

# Feature Extraction 1

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#### **Contents**

- Advanced Image Segmentation
  - 10.5 Region Segmentation Using Clustering and Superpixels
  - 10.6 Region Segmentation Using Graph Cuts



#### Region Segmentation Using K-Means Clustering

- Set of vector observations {z₁, z₂, ..., z₀} z =
   Vector component ← → pixel attribute (R,G,B)

Partition Q into k disjoint cluster sets

$$C = \{C_1, C_2, ..., C_k\}$$

So that the following criterion of optimality is satisfied

$$\underset{C}{\operatorname{arg\,min}} \left( \sum_{i=1}^{k} \sum_{\mathbf{z} \in C_i} \|\mathbf{z} - \mathbf{m}_i\|^2 \right)$$

NP hard!



# Standard K-Means Clustering

- 1. Initialize randomly  $\mathbf{m}_i(1)$ , i = 1, 2, ..., k
- 2. Assign each sample to the closest cluster

$$\mathbf{z}_q \to C_i \text{ if } || \mathbf{z}_q - \mathbf{m}_i ||^2 < || \mathbf{z}_q - \mathbf{m}_j ||^2 j = 1, 2, ..., k(j \neq i); q = 1, 2, ..., Q$$

3. Update the cluster centers (means)

$$\mathbf{m}_i = \frac{1}{|C_i|} \sum_{\mathbf{z} \in C_i} \mathbf{z} \quad i = 1, 2, ..., k$$

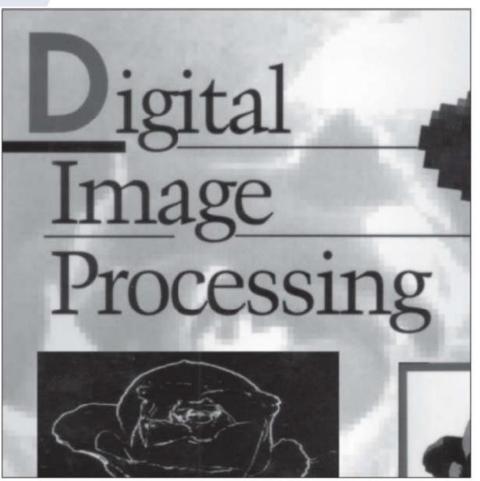
4. Test for completion

E: Euclidean norms of the differences between the mean vectors in the current and previous steps if (E ≤ T)||(max iterations) {stop}; else {go to step 2}



# K-Means Clustering Example

- Image size: 688 x 688
- K-means clustering of intensity: k = 3

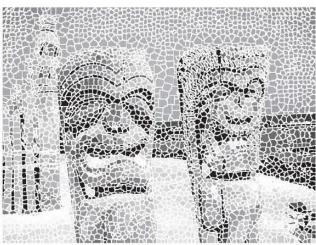




#### Region Segmentation using Superpixels

- grouping pixels into primitive regions that are more perceptually meaningful than individual pixels
- Improve segmentation by reducing irrelevant detail
- Reduce computational load







600x800=480,000 pixels

4,000 superpixels

Superpixel image

#### Region Segmentation using Superpixels

Adherence to boundaries

Boundaries between regions of interest must be preserved in a superpixel image



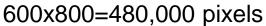
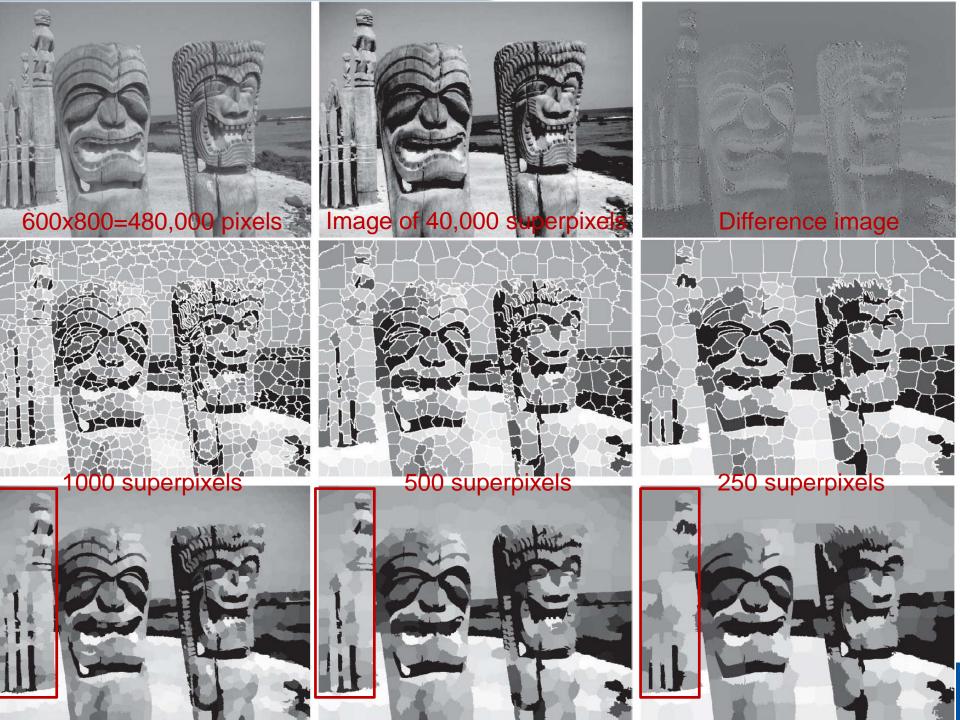




Image of 40,000 superpixels



Difference image





#### Simple Linear Iterative Clustering (SLIC)

- Modified K-means clustering
  - Total number of pixels  $n_{tp}$

$$s = [n_{tp}/n_{sp}]^{1/2}$$

- Desired number of superpixels  $n_{sp}$ 

1. Initialize the algorithm: Compute the initial superpixel cluster centers,

$$\mathbf{m}_{i} = [r_{i} \ g_{i} \ b_{i} \ x_{i} \ y_{i}]^{T}, i = 1, 2, ..., n_{sp}$$

by sampling the image at regular grid steps, s. Move the cluster centers to the lowest gradient position in a  $3 \times 3$  neighborhood. For each pixel location, p, in the image, set a label L(p) = -1 and a distance  $d(p) = \infty$ .

- 2. Assign samples to cluster centers: For each cluster center  $\mathbf{m}_i$ ,  $i=1,2,...,n_{sp}$ , compute the distance,  $D_i(p)$  between  $\mathbf{m}_i$  and each pixel p in a  $2s \times 2s$  neighborhood about  $\mathbf{m}_i$ . Then, for each p and  $i=1,2,...,n_{sp}$ , if  $D_i < d(p)$ , let  $d(p) = D_i$  and L(p) = i.
- 3. **Update the cluster centers:** Let  $C_i$  denote the set of pixels in the image with label L(p) = i. Update  $\mathbf{m}_i$ :

$$\mathbf{m}_i = \frac{1}{|C_i|} \sum_{\mathbf{z} \in C_i} \mathbf{z} \qquad i = 1, 2, ..., n_{sp}$$

where  $|C_i|$  is the number of pixels in set  $C_i$ , and the **z**'s are given by **Eq. (10-86)** 

- 4. **Test for convergence**: Compute the Euclidean norms of the differences between the mean vectors in the current and previous steps. Compute the residual error, E, as the sum of the  $n_{sp}$  norms. If E < T, where T a specified nonnegative threshold, go to Step 5. Else, go back to Step 2.
- 5. Post-process the superpixel regions: Replace all the superpixels in each region,  $C_i$ , by their average value,  $\mathbf{m}_i$ .



#### **Specifying the Distance Measure**

Euclidean distance of color

$$d_c = [(r_j - r_i)^2 + (g_j - g_i)^2 + (b_j - b_i)^2]^{1/2}$$

Spatial Euclidean distance

$$d_s = [(x_j - x_i)^2 + (y_i - y_i)^2]^{1/2}$$
 3D: Supervoxel  

$$d_s = [(x_j - x_i)^2 + (y_j - y_i)^2 + (z_j - z_i)^2]^{1/2}$$
Composite distance

Composite distance

$$D = \left[ \left( \frac{d_c}{d_{cm}} \right)^2 + \left( \frac{d_s}{d_{sm}} \right)^2 \right]^{1/2} \implies D = \left[ \left( \frac{d_c}{c} \right)^2 + \left( \frac{d_s}{s} \right)^2 \right]^{1/2}$$

weight between color and spatial similarity  $d_{cm}$  and  $d_{sm}$  are the maximum expected values of  $d_c$  and  $d_s$ 

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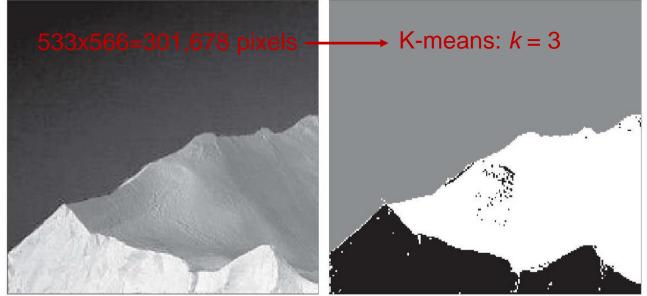
# **Segmentation Examples**

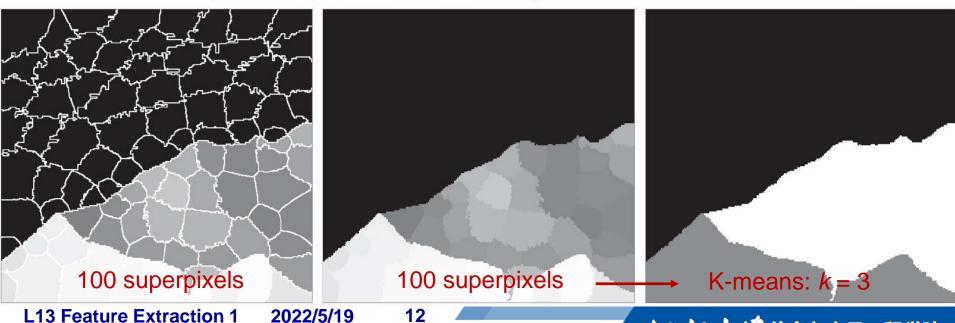






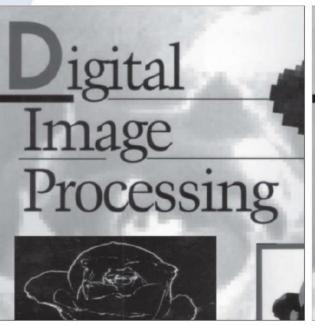
Superpixel-based Segmentation Example

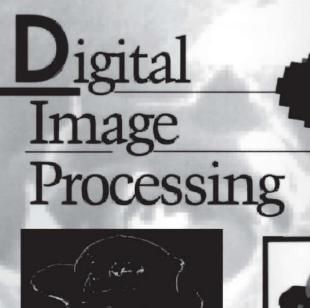


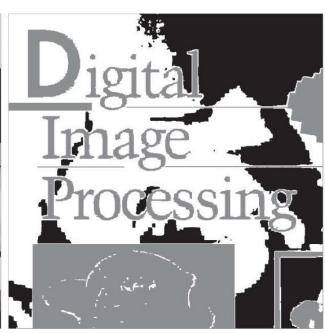




#### Superpixel-based Segmentation Example







688x688=473,344 pixels

95,000 superpixels

K-means: k = 3

Visually quite similar

#### Region Segmentation Using Graph Cuts

Image → weighted, undirected graph G = (V, E)

V: graph nodes ← image pixels / superpixels

 $E \subseteq V \times V$ : graph edges  $\leftarrow$  4 / 8 – adjacency

w(i, j): edge weight  $\leftarrow$  similarity between nodes inverse of difference, correlation

Segmentation → find Graph Cuts

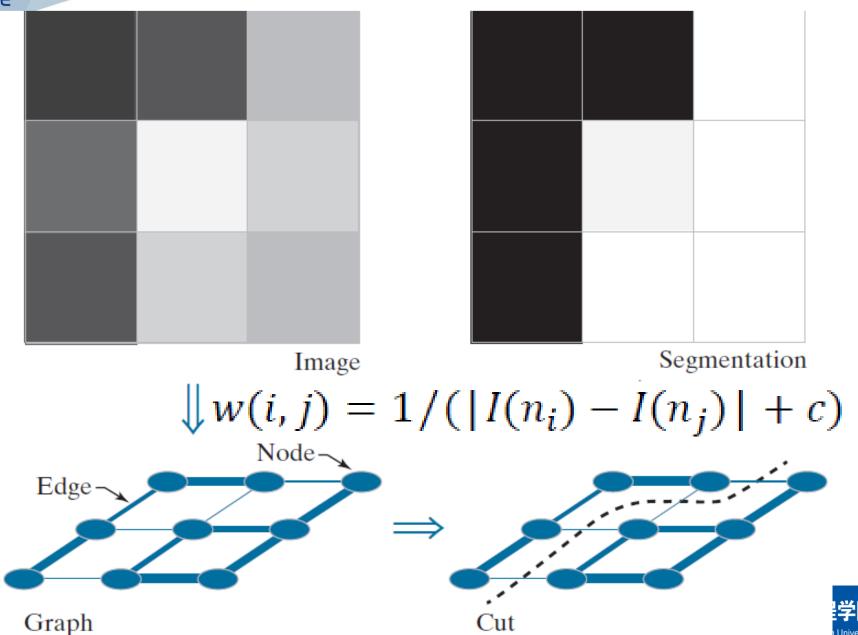
$$A \cup B = V$$
 and  $A \cap B = \emptyset$ 

- Background / foreground segmentation
- Similarity within a subset is high
- Similarity across subsets is low

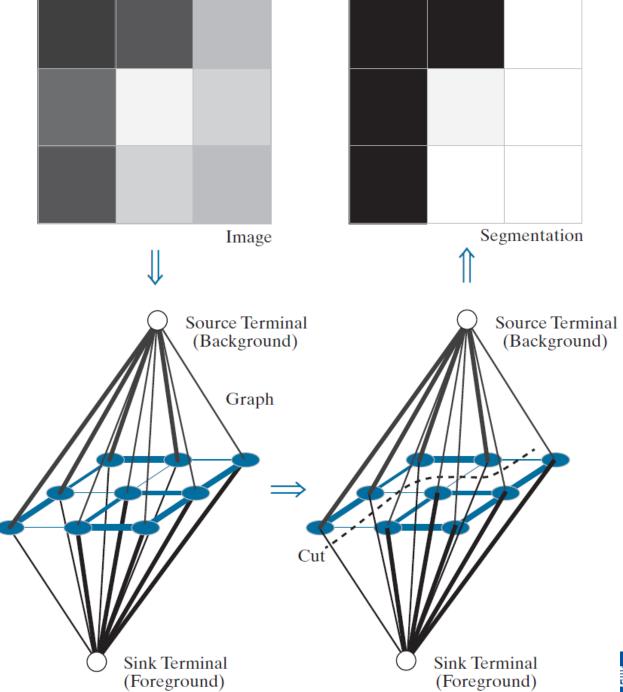


**L13** 

#### Region Segmentation Using Graph Cuts







**L13 Feature Ext** 

ronic Engineering, Zhejiang University



#### Max-Flow, Min-Cut Theorem

#### Normalized cut

$$Ncut(A,B) = \frac{cut(A,B)}{assoc(A,V)} +$$

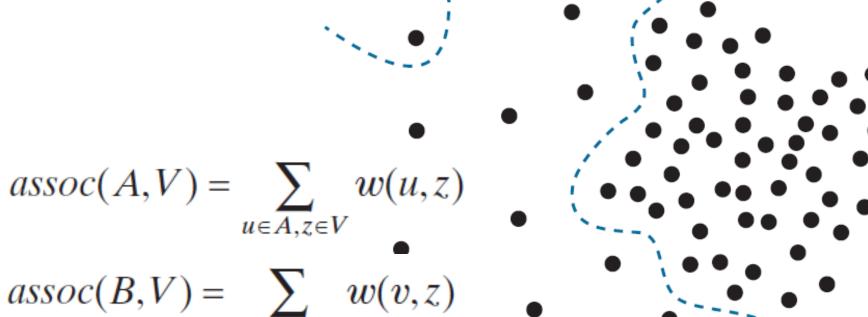
 $v \in B, z \in V$ 

A min cut

$$cut(A,B) = \sum_{u \in A, v \in B} w(u,v)$$

A more meaningful cut

$$\frac{cut(A,B)}{assoc(B,V)}$$





#### NP hard problem

#### min Normalized cut

$$cut(A,B) = \sum_{u \in A, v \in B} w(u,v)$$

$$Ncut(A,B) = \frac{cut(A,B)}{assoc(A,V)} + \frac{cut(A,B)}{assoc(B,V)}$$

$$assoc(A, V) = \sum_{u \in A, z \in V} w(u, z)$$
  $assoc(B, V) = \sum_{v \in B, z \in V} w(v, z)$ 

#### max Normalized association

$$Nassoc(A,B) = \frac{assoc(A,A)}{assoc(A,V)} + \frac{assoc(B,B)}{assoc(B,V)}$$

$$Ncut(A,B) = 2 - Nassoc(A,B)$$



#### **Computing Minimal Graph Cuts**

Indicator vector x:

$$x_i = \begin{cases} 1 & n_i \in A \\ -1 & n_i \in B \end{cases}$$

Find x, minimize Ncut

$$d_i = \sum_j w(i,j)$$

$$Ncut(A,B) = \frac{cut(A,B)}{cut(A,V)} + \frac{cut(A,B)}{cut(B,V)}$$

$$= \frac{\sum_{x_i>0, x_j<0} -w(i,j)x_ix_j}{\sum_{x_i>0} d_i} + \frac{\sum_{x_i<0, x_j>0} -w(i,j)x_ix_j}{\sum_{x_i<0} d_i}$$

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## Generalized Eigenvalue Problem

- Allow indicator vector **x** to be real, continuous numbers  $(\mathbf{D} - \mathbf{W})\mathbf{y} = \lambda \mathbf{D}\mathbf{y}$
- Solution of  $\mathbf{x}$  = eigenvector of  $2^{nd}$  smallest eigenvalue
- **D**:  $K \times K$  diagonal matrix of  $d_i$ , i = 1, 2, ..., K
- W: Kx K wieight matrix of
- Standard eigenvalue problem

$$\mathbf{A}\mathbf{Z} = \lambda \mathbf{Z}$$

$$\mathbf{A} = \mathbf{D}^{-\frac{1}{2}}(\mathbf{D} - \mathbf{W})\mathbf{D}^{-\frac{1}{2}}$$

$$\mathbf{z} = \mathbf{D}^{\frac{1}{2}}\mathbf{y} \xrightarrow{\text{Binarize}} \mathbf{X}$$
Split point



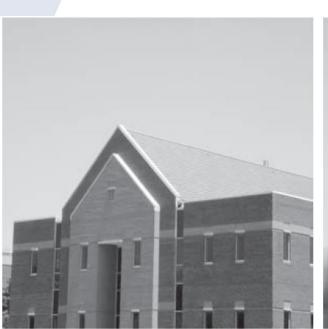
# edge weight $\leftarrow \rightarrow$ similarity

Example

$$w(i,j) = \begin{cases} e^{-\frac{[I(n_i) - I(n_j)]^2}{\sigma_I^2}} e^{-\frac{dist(n_i, n_j)}{\sigma_d^2}} & \text{if } dist(n_i, n_j) < r \\ 0 & \text{otherwise} \end{cases}$$

## Segmentation Using Graph Cuts

Advanced Segmentation







Smoothed with 25x25 box kernel

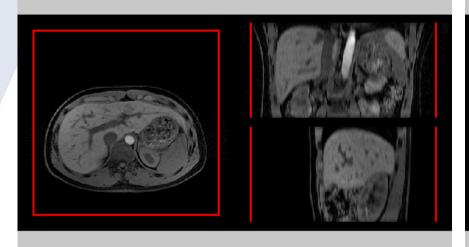
600x600 pixels

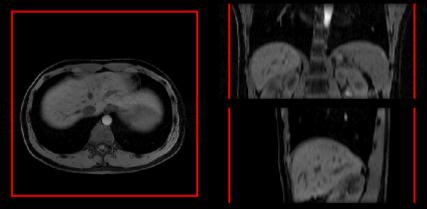
Graph cut segmentation

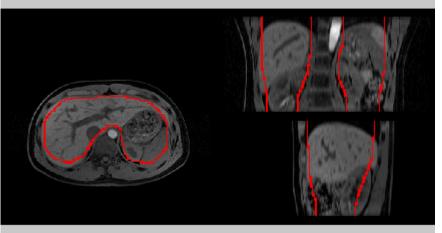
$$K = 2$$

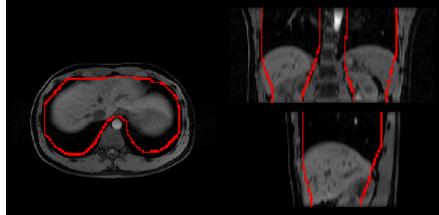


# **Segmentation Examples**









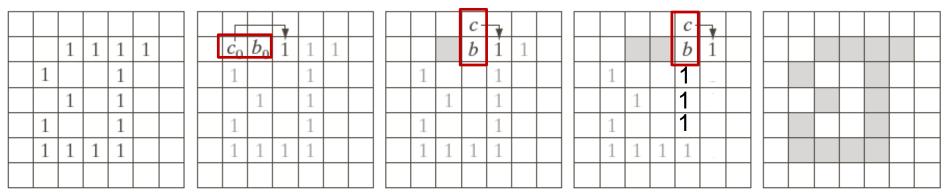


#### 11 Feature Extraction

- **Boundary Preprocessing**
- **Boundary Feature Descriptors**
- Regional Feature Descriptors
- Principle Components as Feature Descriptors
- Whole-Image Features
- Scale-Invariant Feature Transform (SIFT)

#### **Moore Boundary Following (Tracing)**

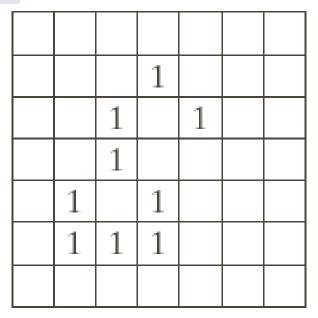
- 1. Starting point  $b=b_0$ : uppermost, leftmost  $c=c_0$ : west neighbor of  $b_0$
- 2. Let the 8-neighbor of b, starting at c and proceeding in clockwise, be denoted by n<sub>1</sub>, n<sub>2</sub>, ... n<sub>8</sub>. Find the 1<sup>st</sup> n<sub>k</sub> labeled 1.
- 3. Let  $b=n_k$ ,  $c=n_{k-1}$
- 4. Repeat step 2 and 3 until return to b<sub>0</sub> & b<sub>1</sub>

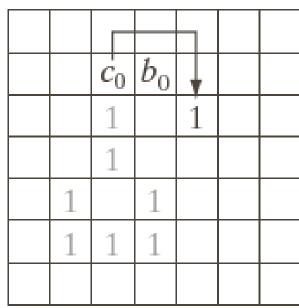


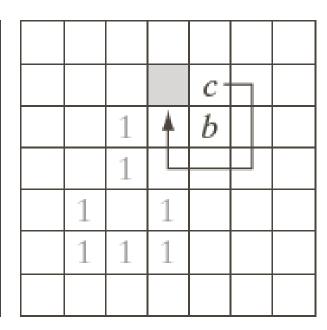


# Attention: b<sub>0</sub> & b<sub>1</sub>

 Error when the stopping rule is returning to b<sub>0</sub> only



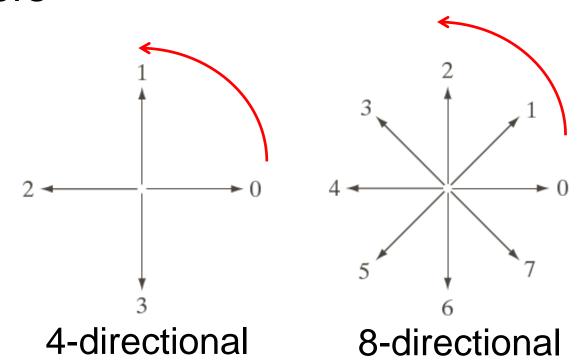






# Chain Codes (链码)

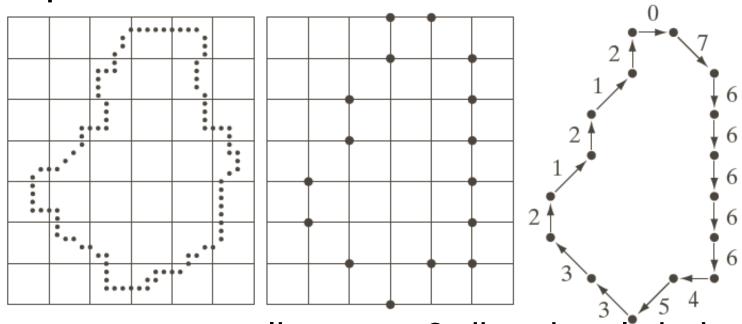
- A connected sequence of straight-line segments of specified length and direction
- Freeman chain code: a sequence of directional numbers





#### **Chain Codes**

- Resample using a larger grid spacing
  - Short chain
  - Coarse: Robust to noise / imperfect segmentation
- Example chain code: 0766666453321212



resampling

8-directional chain code



#### **Normalization of Chain Codes**

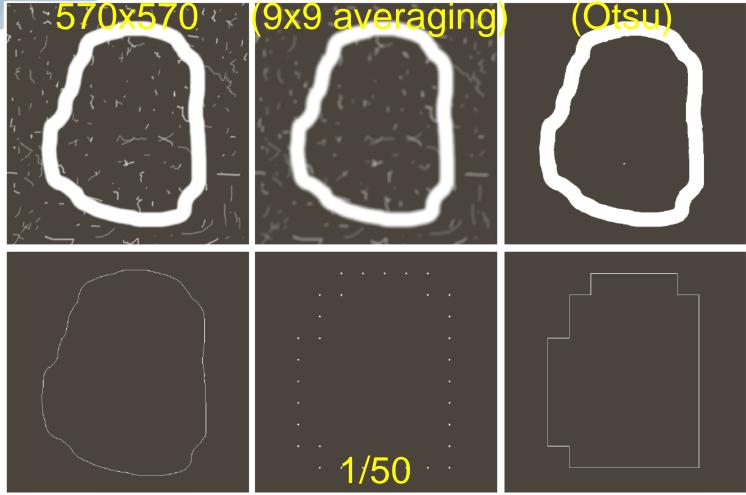
- Starting point: circular -> minimum integer
- Rotation: 1<sup>st</sup> difference of the chain code
- e.g. 4-direction chain code 10103322
- →1<sup>st</sup> difference: 33133030
- Works for integer multiples of 45/90 degree
- Orient the resampling grid along the principal / eigen axes

Size: alter the size of resampling grid

(C) ISEE

Noisy image Smoothed

Segmented



Outer boundary Subsampled Connected

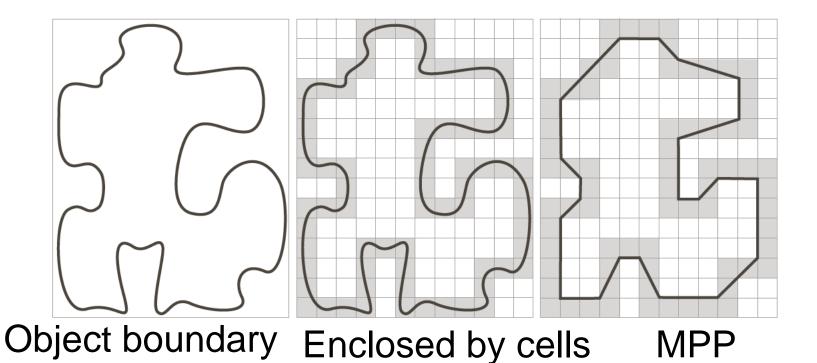
8-dir chain code: 00006066666666444444242222202202

1st difference code: 0006260000006000006260000620626



# Polygonal Approximation using Minimum-Perimeter Polygons (MPP)

- Inner / Outer wall: cell size -> accuracy
- Shrink the boundary (rubber band)



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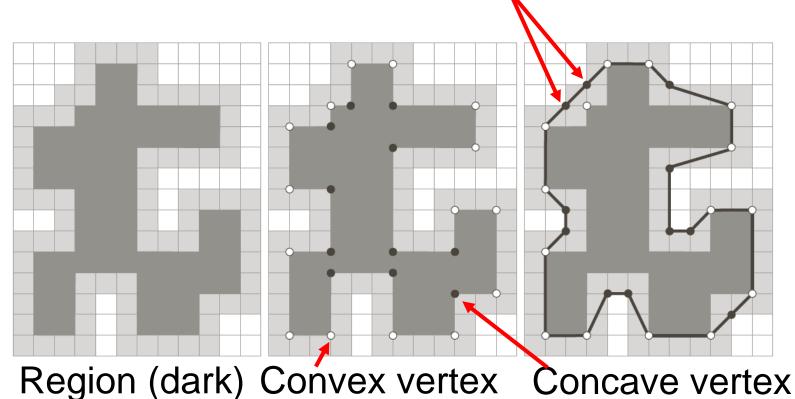
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#### **MPP Approximation**

 Concave vertices displaced to their diagonal mirror locations in the outer wall



Region (dark) Convex vertex L13 Feature Extraction



# MPP algorithm (P519-521)

- Cellular complex: the set of cells enclosing the boundary
- Assumption & Observations:
- 1. The MPP bounded by a simply connected cellular complex is not selfintersecting.
- 2. Every convex vertex of the MPP is a W vertex, but not every W vertex of a boundary is a vertex of the MPP.
- **3.** Every *mirrored concave* vertex of the MPP is a B vertex, but not every B vertex of a boundary is a vertex of the MPP.
- **4.** All B vertices are on or outside the MPP, and all W vertices are on or inside the MPP.
- 5. The uppermost, leftmost vertex in a sequence of vertices contained in a cellular complex is always a W vertex of the MPP.

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#### MPP Approximation Example

Binary Image 8-connected

• Size of cells: 566×566

3, 4,

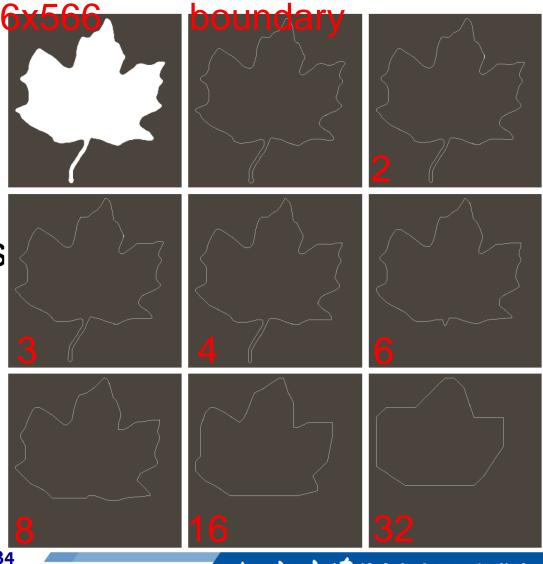
8, 16, 32

Number of points

1900, 206,

160, 127, 92,

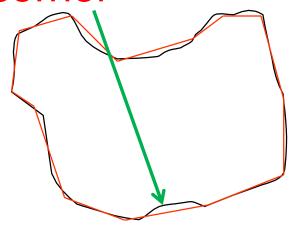
66, 32, 13





## Other Polygonal Approximation

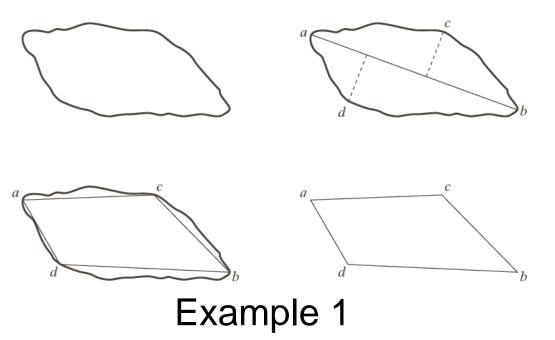
- Merging techniques
- 1. Merge points along a boundary until the least square error line fit of the points merged so far exceeds a preset threshold
- 2. Reset the error and continue merging
- Problem: corner

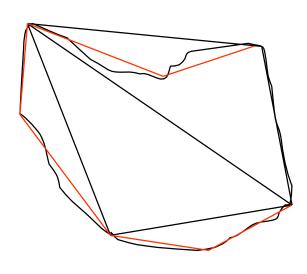




#### Other Polygonal Approximation

- Splitting techniques
- Splitting Merging combined techniques





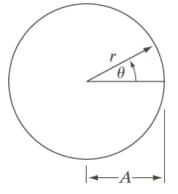
ガシュナック 信息与电子工程学|

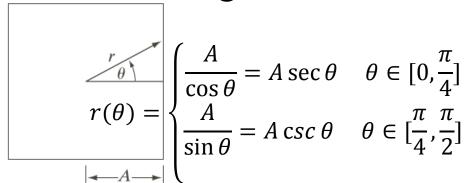
Example 2

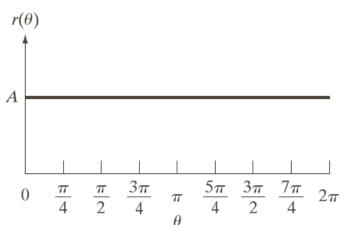
# **Signatures**

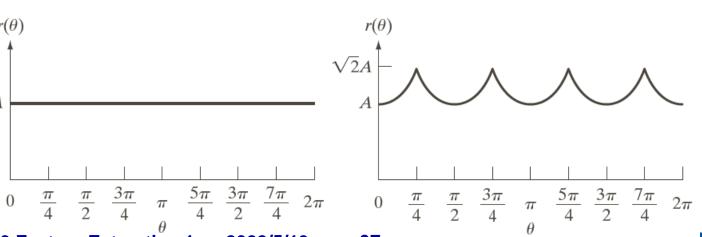
- 1D functional representation of a boundary
- Distance-versus-angle, w.r.t the centroid

Normalization: starting point, scaling





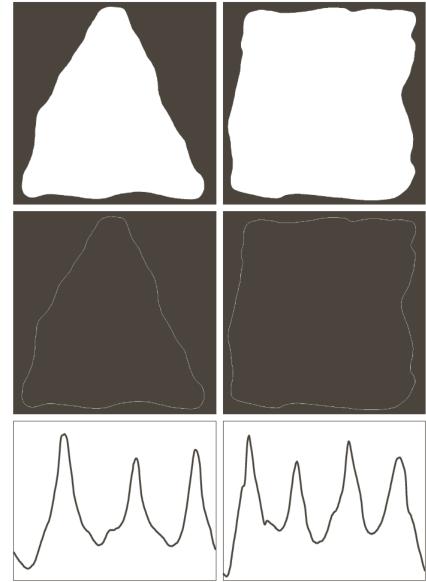




- (C) ISEE
- Example
  - Three peaks
  - Four peaks

- Other functions
   e.g. edge direction
  - Reference point / line
  - Slope density function

## Signatures (cont...)





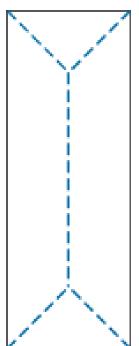
### **Skeletons**

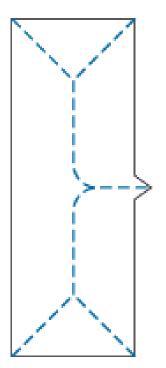
中轴变换(medial axis transform)可用火烧草地来比拟:

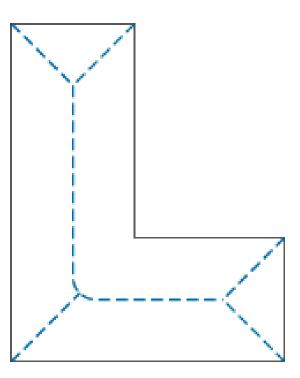
在草地边界上同时点火,火相遇(熄灭)的点就是中轴

 Each point in MAT has more than one closest neighbor on the boundary

the boundary







Medial axes

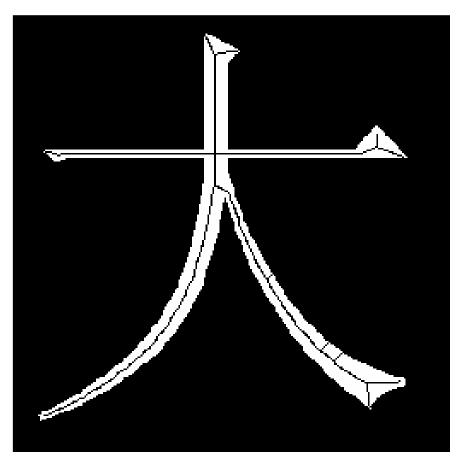


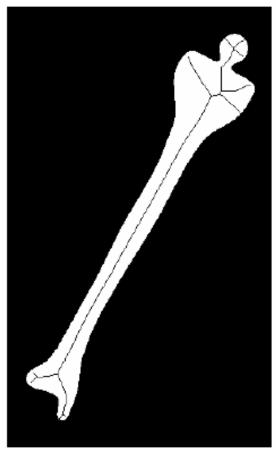
#### • 中轴:

- 对于一个区域R,若边界为B,对区域中每一点P,我们在B上搜索与它最近的点,若能找到多于一个同样距离的最近点,则P属于R的中轴或称骨架
- 在R内作与边界有两个以上切点的内切圆,则所有这些 圆的圆心的集合就是中轴
- 对于中轴与边界相连的区域,可以通过以每个骨架点为中心的圆来重建区域,即这些圆的集合的并组成了整个区域
- 用于中轴变换的距离,除欧氏距离外,也可用其它距离
- 相对于细化,中轴变换的定义更严格,实用中可不区分



# **Skeletonizing Example**







#### **Distance Transform**

0	0	0	0	0
0	1	1	1	0
0	1	1	1	0
0	0	0	0	0

1.41	1	1	1	1.41
1	0	0	0	1
1	0	0	0	1
1.41	1	1	1	1.41

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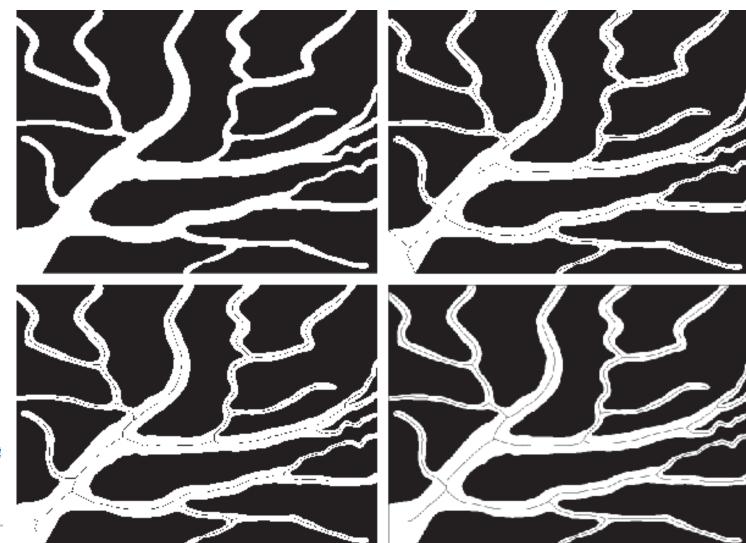




a b c d

#### FIGURE 11.14

- (a) Thresholded image of blood vessels.
- (b) Skeleton obtained by thinning, shown superimposed on the image (note the spurs).
- (c) Result of 40 passes of spur removal.
- (d) Skeleton obtained using the distance transform.





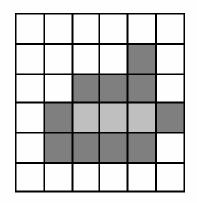
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- Boundary Preprocessing
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### **Simple Descriptors**

- 1. 边界的长度
  - -边界所包围区域的周长
  - -(1)目标的边界是**8**连通的,则边界的长度可用八链码长度来近似
    - •修正:乘上1.1107
  - -(2) 8链码中代表斜向的奇数链码长度计为  $\sqrt{2}$  。这种方法估计的长度比前一种方法误差小些。
    - 修正: 乘上0.948。



区域的边界

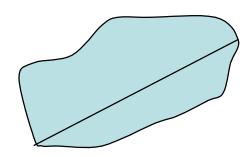


#### 2. 边界的直径

$$Diam(B) = \max_{i,j} [D(p_i, p_j)]$$

- -也称为边界的主轴或长轴
- -其长度和方向是描述边界的一种特征
- -对长度的测量常用欧氏距离,也可用街区距离(水平 和垂直距离之和)

或棋盘距离:  $D_8$  (p,q)=max( $|X_p-X_q|$ , $|Y_p-Y_q|$ )

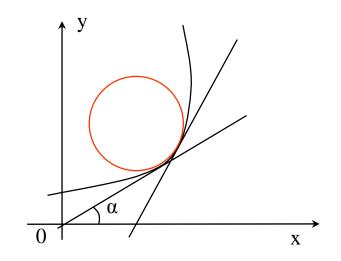




#### 3. 曲率

- 边界的切线方向沿曲线的变化率

$$K = \frac{d\alpha}{ds} = \frac{y''}{(1+y'^2)^{\frac{3}{2}}}$$



- 数字图像
  - 可先对边界进行分段拟合,再计算曲率,

边界的曲率

- 可通过估计边界上的切向和弧长来近似计算曲率
- 曲率半径
  - 是相同曲率的圆的半径,表征了边界的弯曲程度

• 曲率与半径互为倒数 
$$R = \frac{1}{|K|} = \left| \frac{ds}{d\alpha} \right|$$



#### 证明

$$y = f(x)$$

$$tg\alpha = \frac{dy}{dx} = y'$$

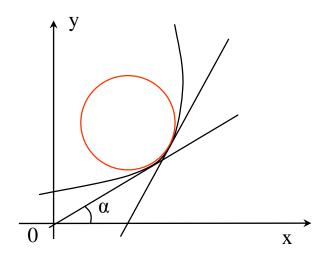
$$K = \frac{d\alpha}{ds} = \frac{d\alpha}{d(tg\alpha)} \frac{d(tg\alpha)}{dx} \frac{dx}{ds}$$

$$\frac{d(tg\alpha)}{d\alpha} = 1 + tg^2\alpha = 1 + y'^2$$

$$\frac{d(tg\alpha)}{dx} = \frac{dy'}{dx} = y$$
"

$$\frac{ds}{dx} = \frac{\sqrt{(dx)^2 + (dy)^2}}{dx} = \sqrt{1 + y'^2}$$

$$K = \frac{d\alpha}{ds} = \frac{d\alpha}{d(tg\alpha)} \frac{d\alpha}{ds} = \frac{d\alpha}{d(tg\alpha)} \frac{d(tg\alpha)}{ds} = \frac{y''}{(1+y'^2)^{\frac{3}{2}}}$$



边界的曲率

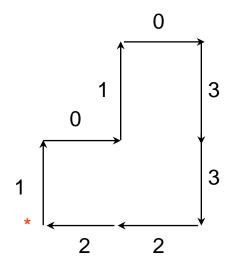


- 如果沿边界的逆时针方向走,则曲率还表征了边界的凹凸性
  - 如果曲率大于零,则边界是向外凸的; (向左弯)
  - 如果曲率小于零,则边界是向内凹的; (向右弯)
  - 如果曲率为零,边界段为直线。



### **Shape Numbers**

- 形状数:组成最小整数的差分链码 (归一化)
  - -如图的4方向链码为10103322, 差分码为33133030, 形状数为03033133
  - -形状数是**90**度**/45**度旋转不变且与起点无关的一种边界描述
- 形状数的阶n: 形状数序列的长度
  - -对于四链码,闭合曲线的形状数的 阶总是偶数:
  - -对于凸形区域,四链码的阶与边界的外接矩形的周长对应



4方向链码示例



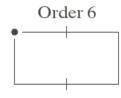
### All shapes of order 4, 6, and 8



Chain code: 0 3 2 1

Difference: 3 3 3 3

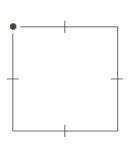
Shape no.: 3 3 3 3

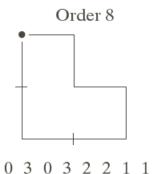


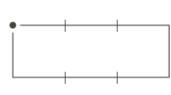
0 0 3 2 2 1

3 0 3 3 0 3

0 3 3 0 3 3







Chain code: 0 0 3 3 2 2 1 1

Difference: 3 0 3 0 3 0 3 0 3 1 3 3 0 3 0

Shape no.: 0 3 0 3 0 3 0 3 0 3 0 3 3 1 3 3

0 0 0 3 2 2 2 1

3 0 0 3 3 0 0 3

0 0 3 3 0 0 3 3

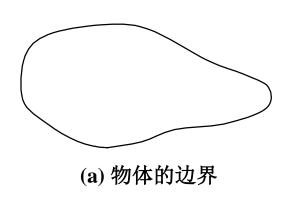
# (C)

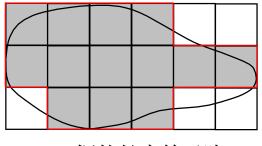
#### 一用网格近似原边界包围的区域,则区域的边界可得到:

- 阶为18的链码: 000030032232221211

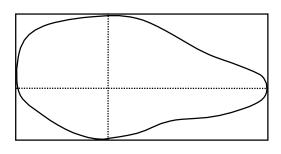
- 差分码为: 300031033013003130

- 形状数为: 000310330130031303

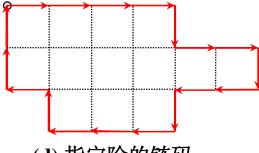




(c) 框的长度等于阶



(b) 边界包围框



(d) 指定阶的链码

找指定阶的形状数



### **Fourier Descriptors**

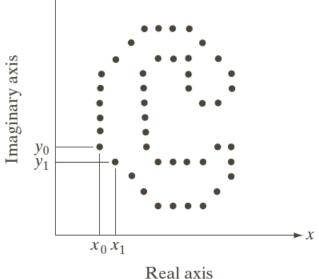
·设边界上有N个点,按逆时针方向,坐标依次为(x<sub>k</sub>,y<sub>k</sub>),

k=0,1,...,N-1,我们将其表示成复数形式:

$$s(k) = x_k + jy_k$$
 k=0,1,...,N-1

-s(k)的离散傅立叶变换是

$$S(u) = \frac{1}{N} \sum_{k=0}^{N-1} s(k) \exp[-j2\pi u k / N]$$
u=0,1,...,N-1



Keai axis

-S(u)称为边界的傅立叶描述。反变换可得到边界的坐标

$$s(k) = \sum_{u=0}^{N-1} S(u) \exp[j2\pi uk/N]$$
 k=0,1,...,N-1



如果只利用傅立叶描述的前P个值,即舍去边界上的高频成分,则

$$s(k) = \sum_{u=0}^{P-1} S(u) \exp[j2\pi uk/N]$$
, k=0,1,...,N-1

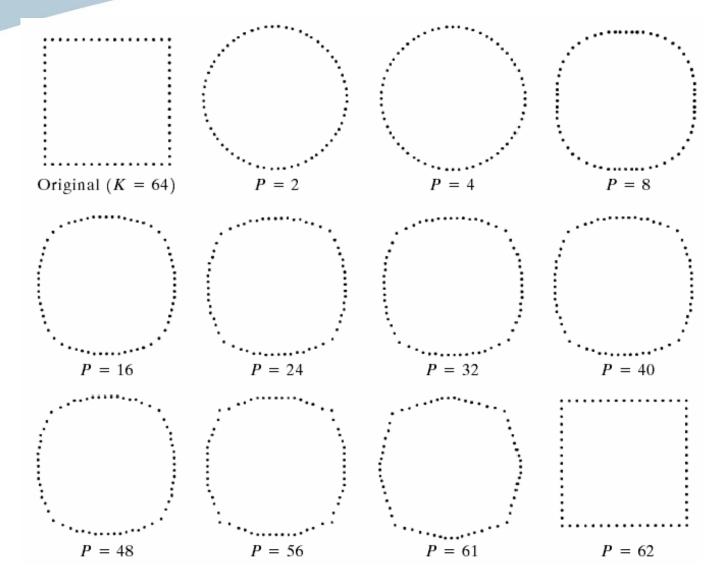
2

是对边界的近似

Attention (DIP4E P837)

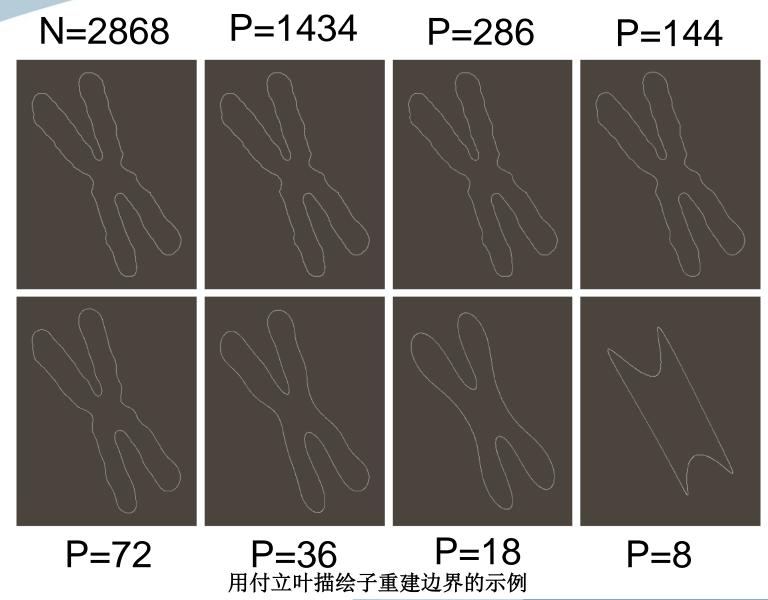
Because the transform is centered, we set to 0 half the number of coefficients on each end of the transform to preserve symmetry.





用付立叶描绘子重建边界的示例







# • 傅立叶描绘子在平移、旋转、尺度变换及起点不同时的影响

变 换	边界	傅立叶描述
平移 (x <sub>0</sub> ,y <sub>0</sub> )	$s_t(k) = s(k) + x_0 + jy_0$	$S_t(u) = S(u) + (x_0 + jy_0) \cdot \delta(u)$
旋转 (θ)	$s_r(k) = s(k) \exp(j\theta)$	$S_r(u) = S(u) \exp(j\theta)$
尺度 (C)	$s_c(k) = C \cdot s(k)$	$S_c(u) = C \cdot S(u)$
起点(k <sub>0</sub> )	$s_p(k) = s(k - k_0)$	$S_p(u) = S(u) \exp(-j2\pi k_0 u/N)$



- 傅立叶描述的另一种形式是用曲线的曲率~ 边长函数进行傅立叶变换
  - 边界的方向

$$\phi(k) = tg^{-1} \left( \frac{y_k - y_{k-1}}{x_k - x_{k-1}} \right)$$

- 曲率

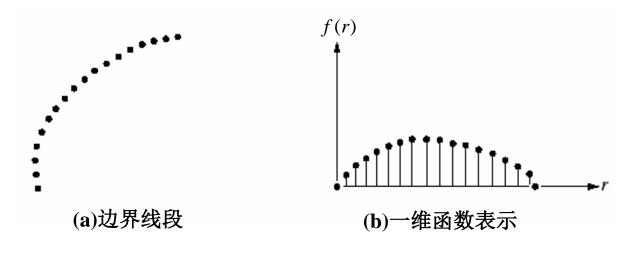
$$K(k) = \phi(k) - \phi(k-1)$$



### **Statistical Moments**

• 对任一段<mark>边界曲线</mark>,均可将其表示成一维函数f(r)的形式, 其中**r**是任意可用的变量

如: 边界上的点到两端所成直线的距离可作为函数值



边界线段的函数表示



• 设边界共有L点,如果将r当作随机变量,上图(b)看成是r的直方图,f(r)当作r的概率,则r的均值为

$$m = \sum_{r=1}^{L} r_i f(r_i)$$
 r的一阶矩

• **r的n**阶矩为  $\mu_n = \sum_{r=1}^L (r_i - m)^n f(r_i)$ 

 $\mu_n$ 与函数 f(r) 的形状有直接关系,如,二阶矩描述了曲线相对于均值的分布,三阶矩描述了曲线相对于均值的对称性。

这种方法由于进行了边界的旋转,故有<mark>旋转不变性</mark>,也可通过 $\mathbf{r}$ 和 f(r) 的缩放达到尺度归一化。



## **Assignments**

11.2, 11.13, 11.15, 11.16

### 课后作业题目请对照参考第4版英文原版

• 第6次编程作业

从Laboratory Projects\_DIP3E.pdf的Proj11-xx中选做1个题目。也可针对DIP4E Chapter 11内容,自拟任务。



# **Assignments**

每个编程作业要求递交1份实验报告,命名"学号姓名\_prjX.pdf",内容提纲包括:

- 实验任务: 描述本次实验的任务, 即所选择的 ProjXX-xx题目,或自拟题目。
- 算法设计: 理论上描述所设计的算法。
- 代码实现: 描述编程环境, 给出自己编写的核心代码。
- 实验结果: 描述具体的实验过程,给出每个小实验的输入数据、算法参数和实验结果,并对结果做简要的讨论。
- 总结: 简要总结本次实验的技术内容, 以及心得体会

2022/5/19