

Feature Extraction 2

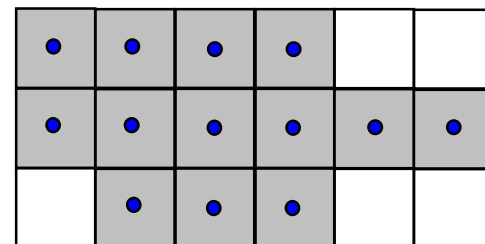
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- Region Feature Descriptors
- Principle Components as Feature Descriptors
- Whole-Image Features
- Scale-Invariant Feature Transform (SIFT)

1. 区域面积

$$A = \sum_{(x,y) \in R} 1$$



区域面积计算

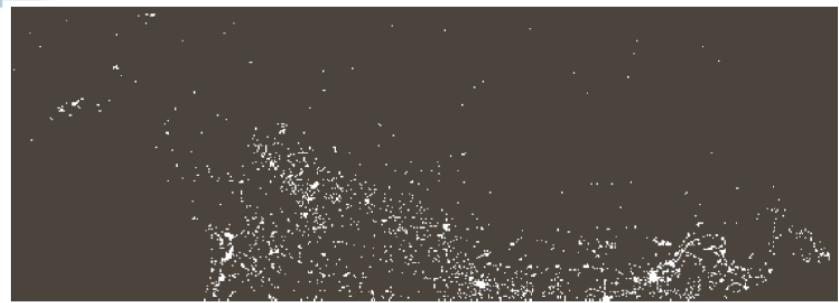
2. 区域重心(区域形心)

$$\bar{x} = \frac{1}{A} \sum_{(x,y) \in R} x$$

$$\bar{y} = \frac{1}{A} \sum_{(x,y) \in R} y$$

A是区域**R**内的像素点数，即面积

Infrared images of the Americas at night



Region no. (from top)	Ratio of lights per region to total lights
1	0.204
2	0.640
3	0.049
4	0.107



1. 形状因子（旋转、尺度不变）

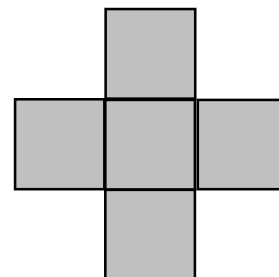
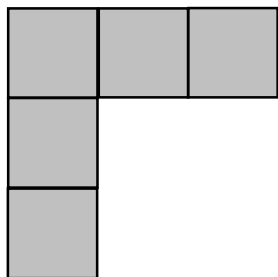
–区域的周长为**P**，面积为**A**，则**形状因子**（或叫**圆形度**）为：

$$F = \frac{P^2}{4\pi A} = \frac{(2\pi r)^2}{4\pi(\pi r^2)}$$

–如果区域为圆形，则形状因子值最小，**F=1**

–对数字图像，**F=1**：

• **正八边形（四连通）**； **正菱形（八连通）**



有相同形状因子的三个区（周长=12,面积=5）

2. 边界能量

- 设目标的周长为 P ，变量 p 表示边界上的一个点与起点间的弧长，即 p 的取值为 $[0, P]$
- p 点的曲率半径为 $r(p)$ ，曲率函数为 $K(p) = 1/r(p)$ ，
- 单位长度的边界平均能量定义为

$$E = \frac{1}{P} \int_0^P |K(p)|^2 dp$$

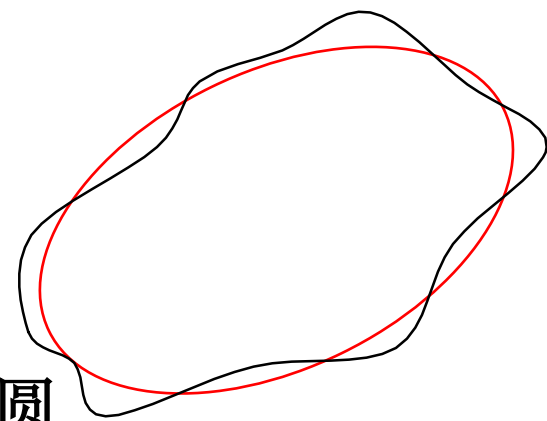
- 面积相同时，圆形区域有最小的平均边界能量

$$E_0 = \left(\frac{2\pi}{P} \right)^2 = \frac{1}{R^2}$$

R 是该圆的半径

3. 拟合椭圆

- 拟合椭圆：用一个椭圆形状去拟合一组边界点
 - 它的长短轴、中心位置和主轴方向都可作为区域的特征，其中长短轴是旋转和位移不变的
 - 长短轴之比也可用于表示圆形程度



- 二次曲线的一般方程为

$$ax^2 + bxy + cy^2 + dx + ey + f = 0$$

- 如果满足 $b^2 - 4ac < 0$ ，则表示一个椭圆
- 令 $a=1$ ，使方程归整化，边界上的点有如下均方误差：

$$\varepsilon^2 = \sum_i \left(x_i^2 + bx_i y_i + cy_i^2 + dx_i + ey_i + f \right)^2$$

- 令上式对参数 b, c, d, e, f 偏导数等于0，就可求出使均方误差最小的参数（拟合）

4.球状性

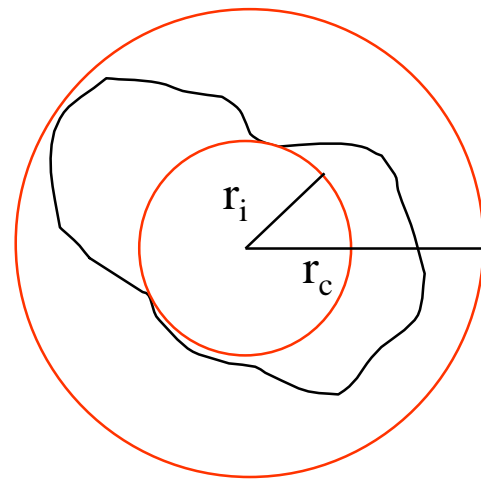
—对于一个二维区域的边界，可找到它的一个外接圆和一个内接圆,二个圆均以区域的重心为圆心

—设内接圆的半径为 r_i ，外接圆的半径为 r_c ，则定义球状性（sphericity）：
$$S = \frac{r_i}{r_c}$$

—若区域为圆形，则 $S=1$ ，否则 $S<1$

—它在平移、旋转和尺度变化时不变

—只要将圆换成球，可扩展到三维



5. 圆形性

—先定义从区域重心到边界点的平均距离:

$$\mu_R = \frac{1}{K} \sum_{k=0}^{K-1} d_k$$

• K 是边界上的像素点数, d_k 是边界上点到重心的距离

—定义从区域重心到边界点的距离的方差:

$$\sigma_R = \frac{1}{K} \sum_{k=0}^{K-1} [d_k - \mu_R]^2$$

• 圆形性定义为 $C = \frac{\mu_R}{\sigma_R}$

在区域趋于圆时, $\sigma_R \rightarrow 0$ $C \rightarrow \infty$

6. 矩形性

—先找到区域的**面积最小外接矩形**（**MER**）：

将区域的边界以**3°**左右的增量旋转**90°**，从而得到一**水平放置的外接矩形**：

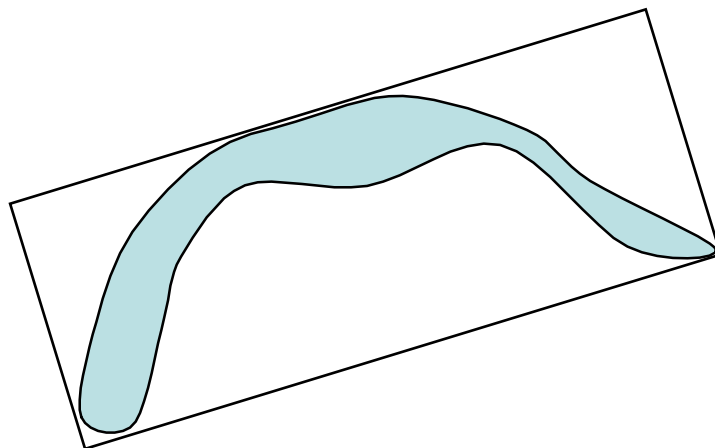
计算时只要找出x,y的最大和最小值就可计算外接矩形的面积

—设区域的最小外接矩形（**MER**）的面积为 A_R ，目标的实际面积为 A_O ，

•矩形拟合因子为：

$$R = A_O / A_R$$

- 矩形区域，R取得**最大值1.0**
 - 圆形区域的R为 $\pi/4$
 - 对于细长并弯曲的目标，有**较小**的R
- 最小外接矩形的**宽长比**也可作为区域形状的一个**特征**

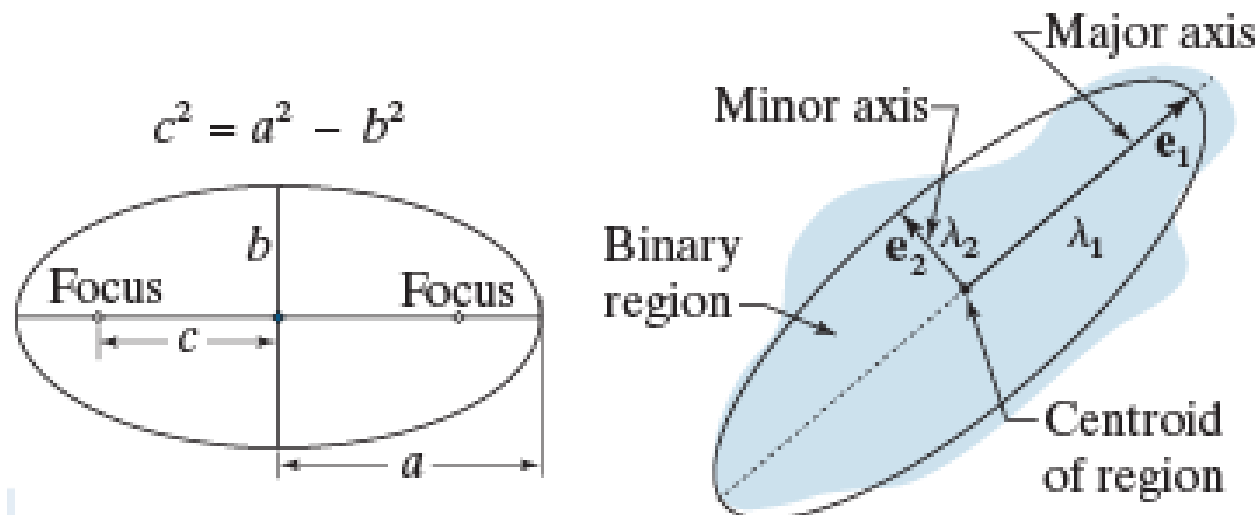


Basic Descriptors (DIP4E)

$$\text{compactness} = \frac{p^2}{A}$$





$$\text{circularity} = \frac{4\pi A}{p^2}$$

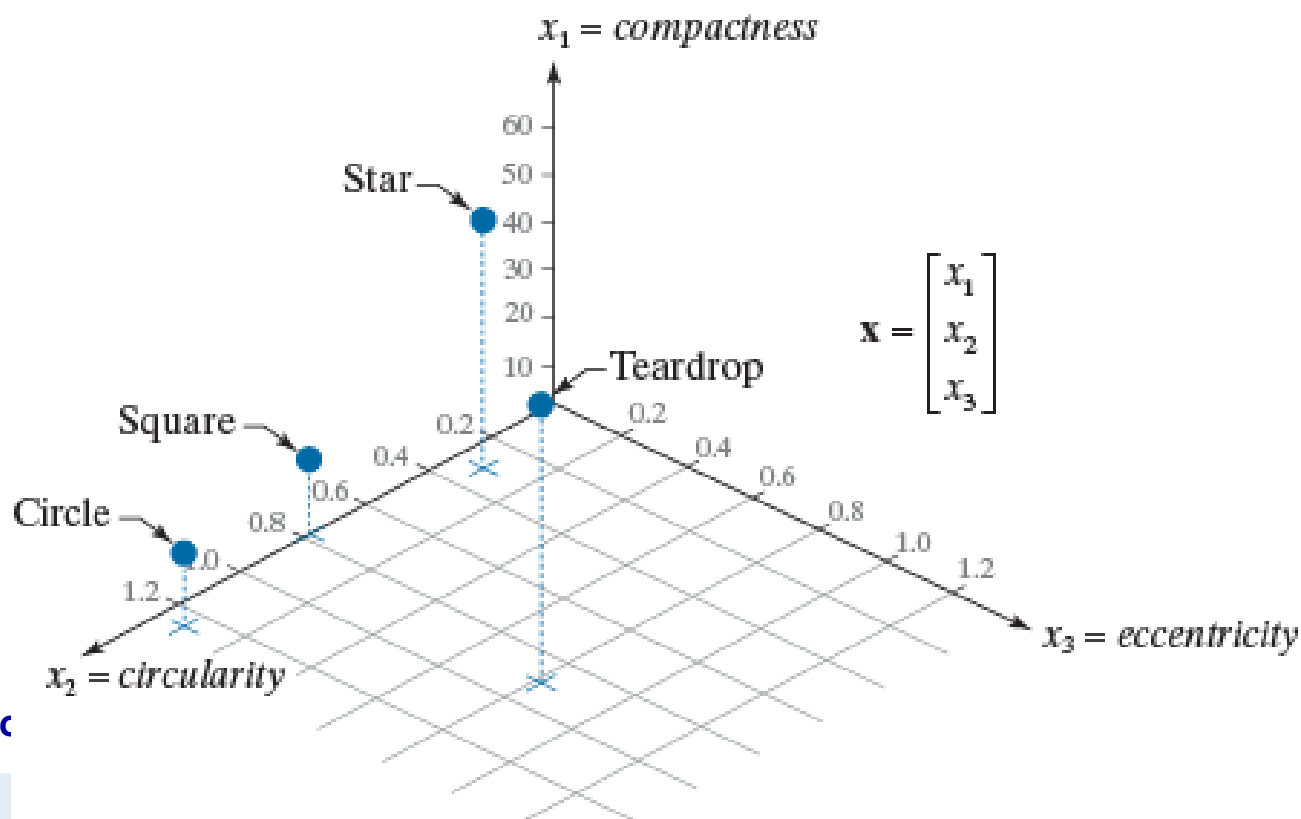
$$\text{eccentricity} = \frac{c}{a} = \frac{\sqrt{a^2 - b^2}}{a} = \sqrt{1 - (b/a)^2} \quad a \geq b$$



e_1 λ_1 and e_2 λ_2 are the eigenvectors and corresponding eigenvalues of the covariance matrix of the coordinates of the region

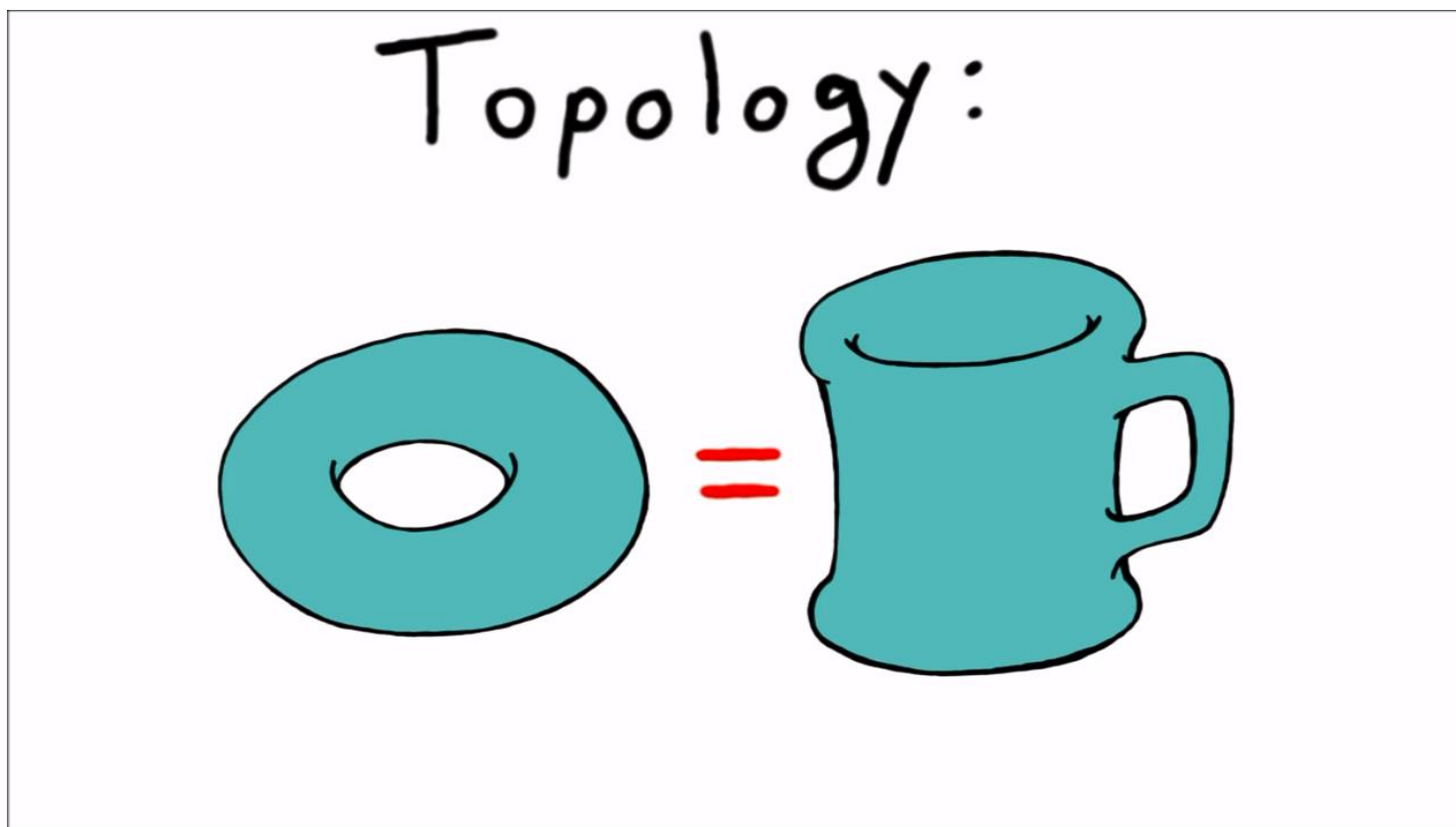
Comparison of Feature Descriptors

Descriptor				
<i>Compactness</i>	10.1701	42.2442	15.9836	13.2308
<i>Circularity</i>	1.2356	0.2975	0.7862	0.9478
<i>Eccentricity</i>	0.0411	0.0636	0	0.8117

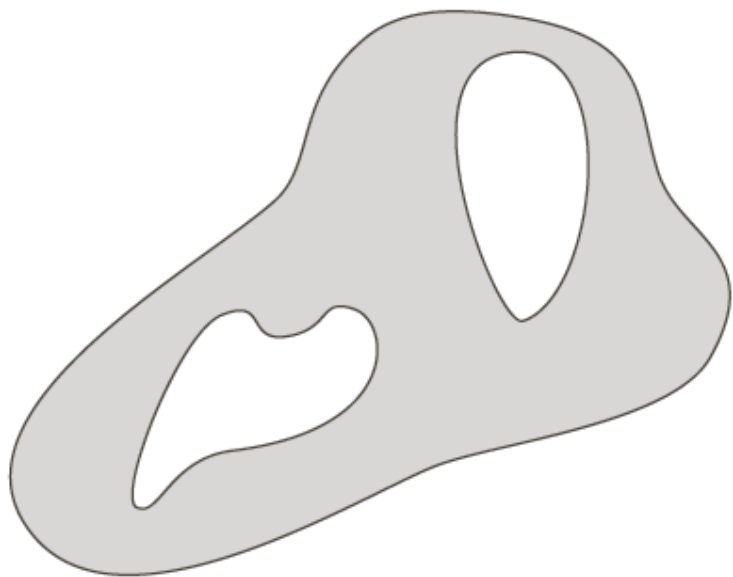


- 图形的拓扑性质

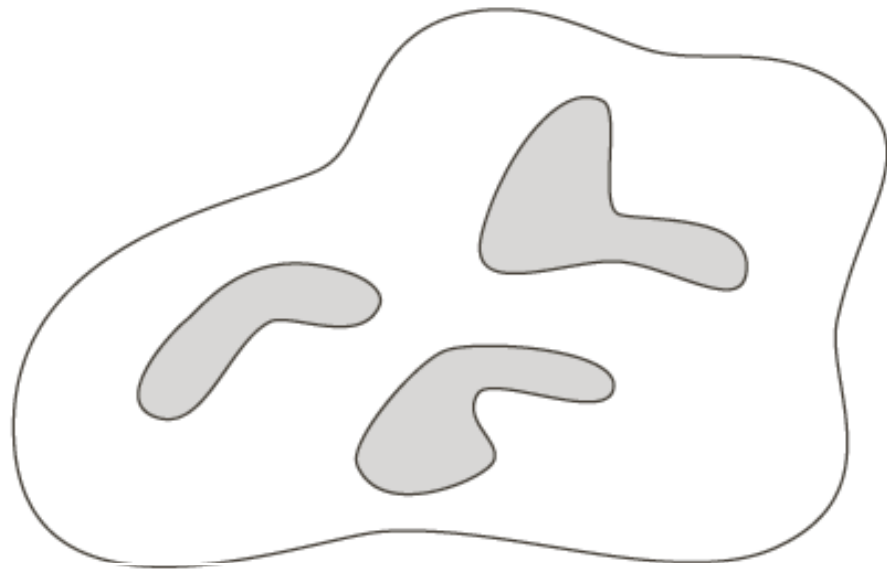
- 是指在不发生撕裂或粘连的情况下，不因图像橡皮膜变形（**rubber-sheet distortions**）而改变的性质



- 区域的两种拓扑性质：
 - 区域的连通数 C
 - 区域的孔数 H

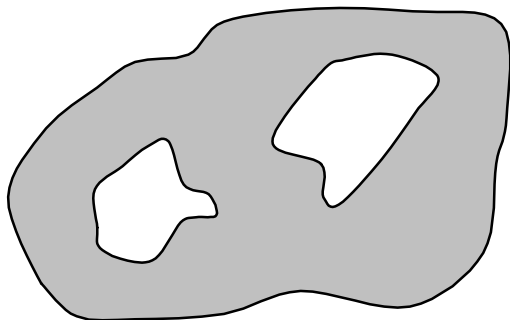


A region with two holes

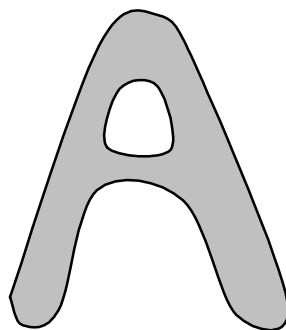


A region with three connected components

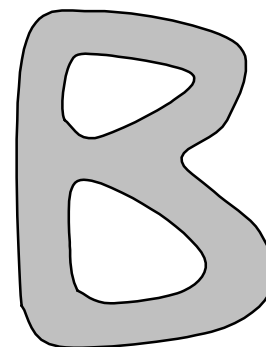
- 另一种拓扑性质：
 - 欧拉数（Euler number）： $E = C - H$



(a) $C=1, H=2, E= -1$

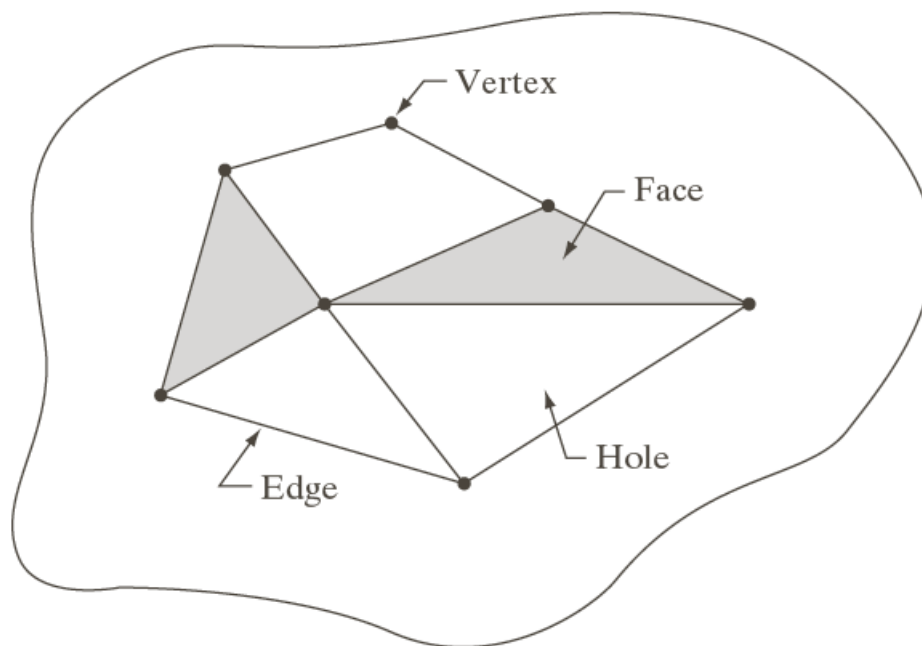


(b) $C=1, H=1, E=0$



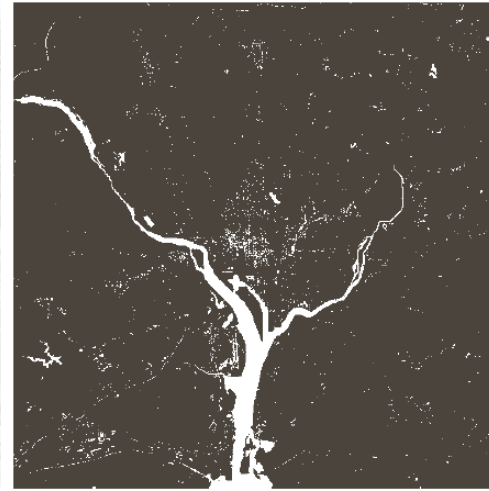
(c) $C=1, H=2, E= -1$

- 对于一个**直线段构成的区域**（称为**多边形网络**）
 - 若顶点数为**V**，边数为**Q**，面数为**F**
 - 则有**欧拉定理**: $V - Q + F = E = C - H$
 - 例: $V=7$, $Q=11$, $F=2$, $C=1$, $H=3$
 - 欧拉数 $E = -2$

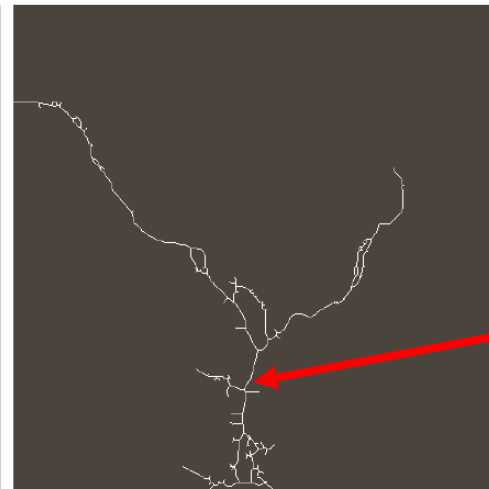


Use of connected components for extracting the largest features in a segmented image

Infrared image of the Washington D.C.



Thresholded



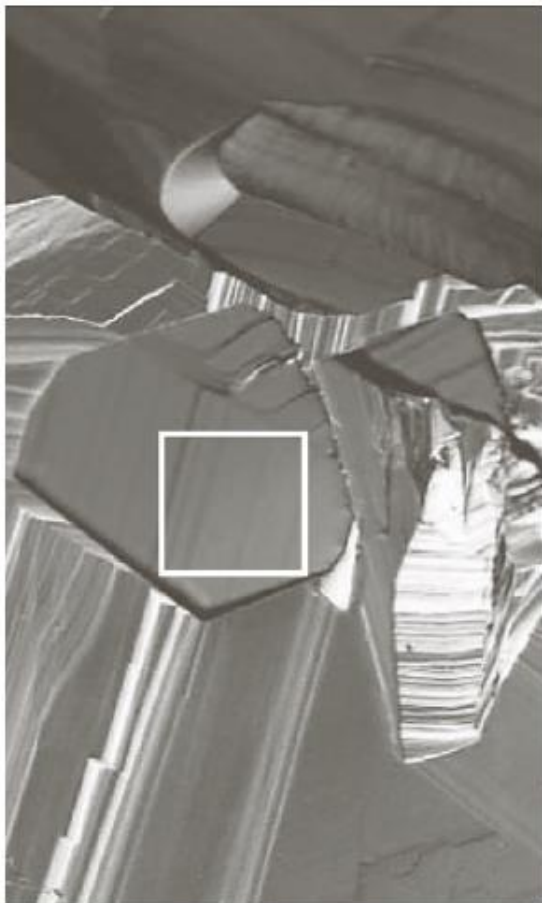
River Branch

Largest connected component

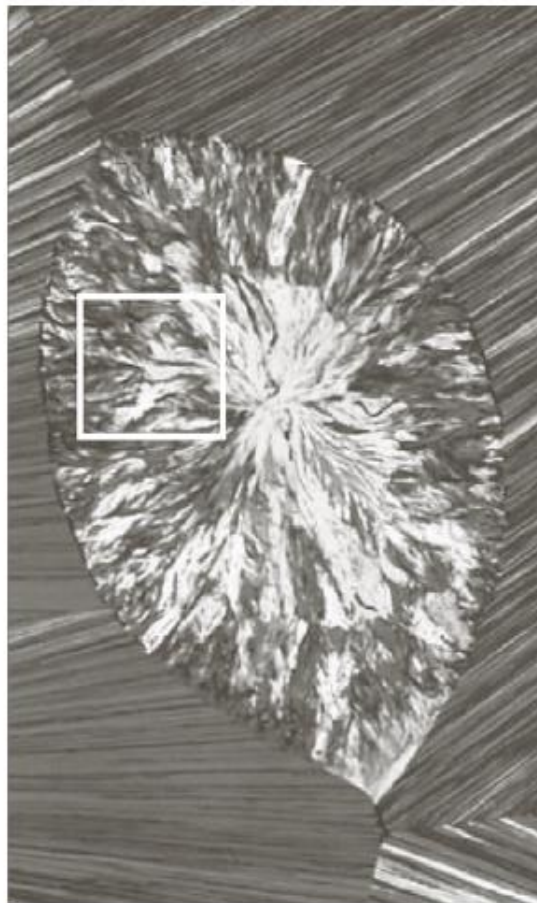
Skeleton

- 常用的目标纹理特征
灰度（或各彩色分量）的最大值、最小值、中值、平均值、方差及高阶矩等统计量

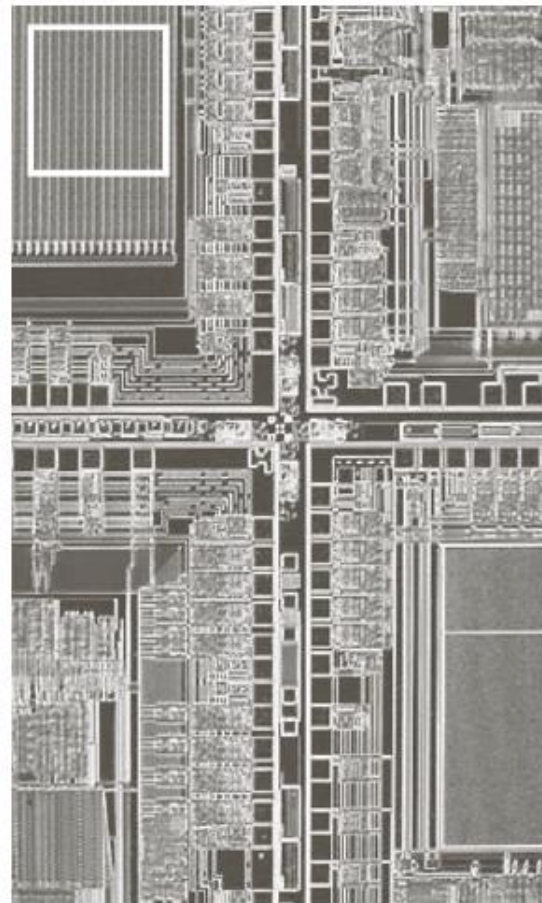
Texture Descriptors



Smooth



Coarse



Regular

Statistical Approaches

- Statistical moments of the intensity histogram

- Mean $m = \sum_{i=0}^{L-1} z_i p(z_i)$

- n -th moment $\mu_n(z) = \sum_{i=0}^{L-1} (z_i - m)^n p(z_i)$

- Measure of Smoothness $R(z) = 1 - \frac{1}{1 + \sigma^2(z)}$

- Measure of the skewness

asymmetry of the distribution

$$\mu_3(z) = \sum_{i=0}^{L-1} (z_i - m)^3 p(z_i)$$

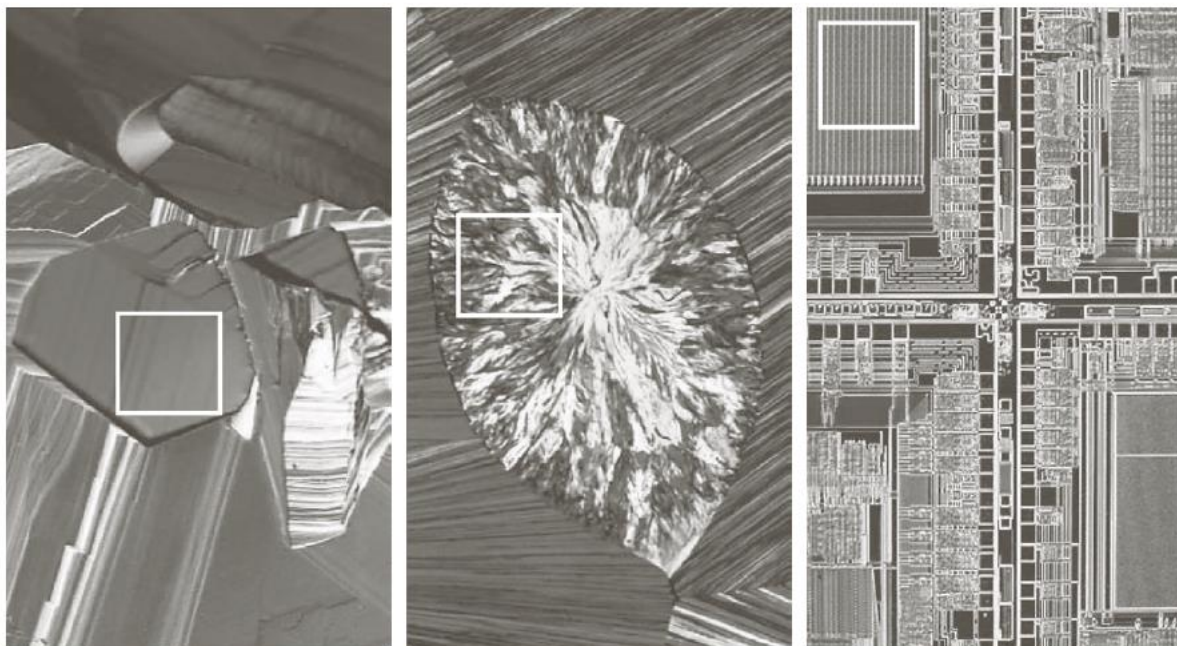
- Measure of uniformity

$$U(z) = \sum_{i=0}^{L-1} p^2(z_i)$$

- Average entropy

$$e(z) = - \sum_{i=0}^{L-1} p(z_i) \log_2 p(z_i)$$

Texture Descriptors



Smooth

Coarse

Regular

Texture	Mean	Standard deviation	R (normalized)	Third moment	Uniformity	Entropy
Smooth	82.64	11.79	0.002	-0.105	0.026	5.434
Coarse	143.56	74.63	0.079	-0.151	0.005	7.783
Regular	99.72	33.73	0.017	0.750	0.013	6.674

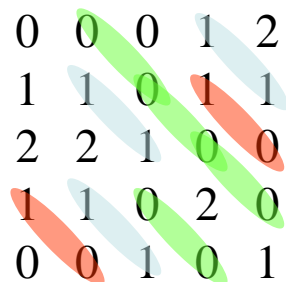
共生矩阵 (co-occurrence matrix)

–在一幅图像中规定一种对于两个像素间的位置关系（包括方向和距离），设有这种关系的两个像素的灰度值分别为 g_i 和 g_j ，

–**共生矩阵**：利用这些像素对得到的**二维直方图**

- 共生矩阵 A 的每个元素 a_{ij} 是具有灰度 g_i 和 g_j 的像素对在图像上出现的数目。

- 例**： g_j 是 g_i 的右下一个像素



图像数据

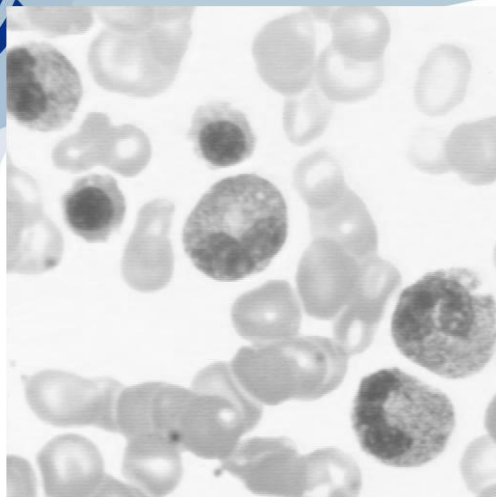
$$A = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} = \begin{bmatrix} 4 & 2 & 1 \\ 2 & 3 & 2 \\ 0 & 2 & 0 \end{bmatrix}$$

$\downarrow g_j = 0, 1, 2$

– 共生矩阵可定义为：

$$P(g_1, g_2) = \frac{\#\{[(x_1, y_1), (x_2, y_2)] \in S \mid f(x_1, y_1) = g_1 \ \& \ f(x_2, y_2) = g_2\}}{\#S}$$

- **S**为目标区域**R**中具有指定空间关系的像素对的集合
- **#** 表示数量
- 右边分子上表示具有指定关系，且灰度值分为 g_i 和 g_j 的像素偶对数
- 分母为具有指定关系的像素对的总数
- 这样得到的**P**是归一化值。



(a)

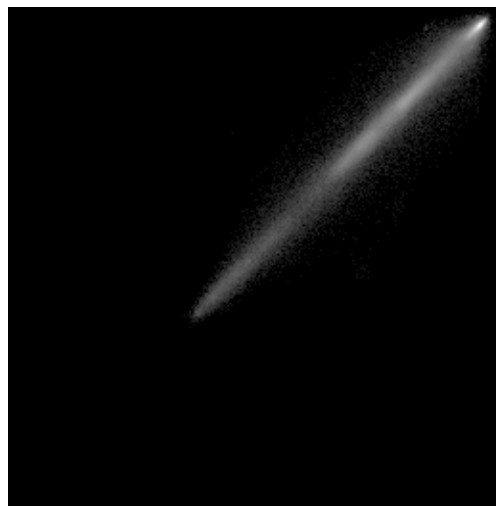


(b)

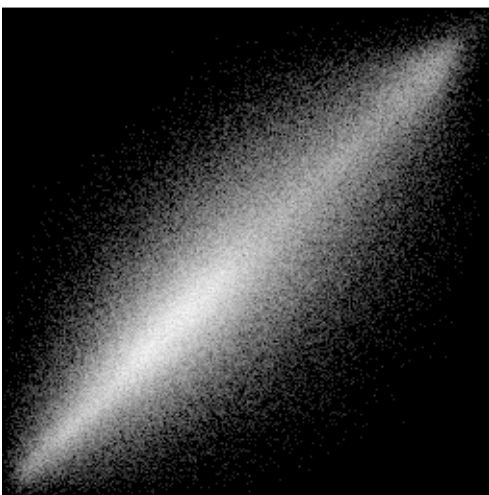


(c)

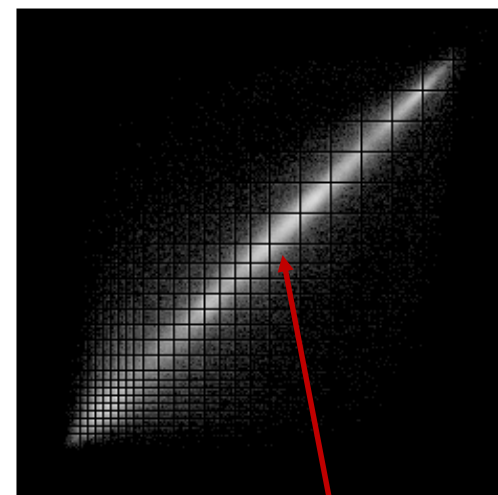
g_j



(d)



(e)



(f)

0

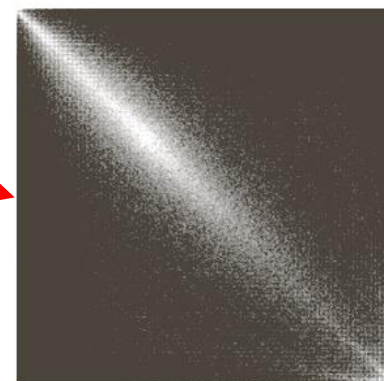
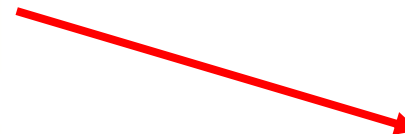
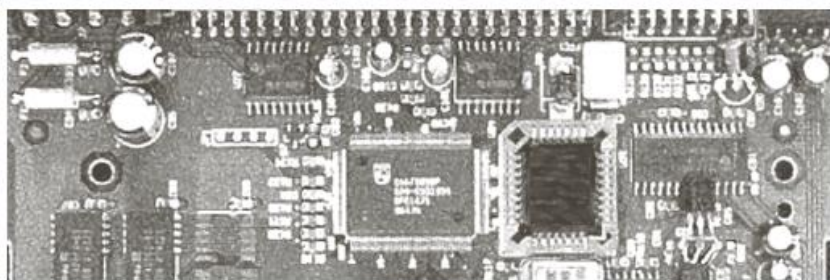
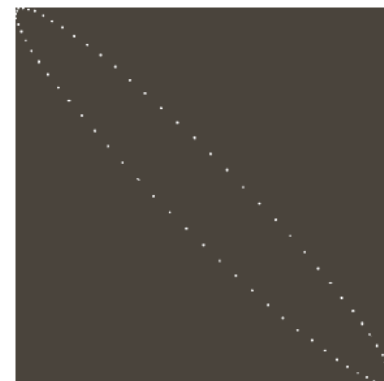
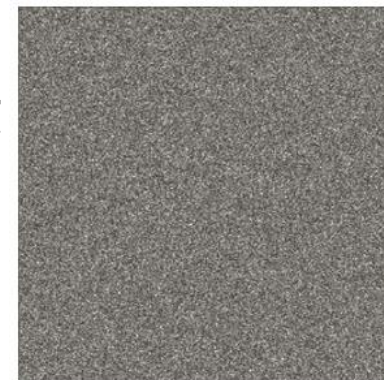
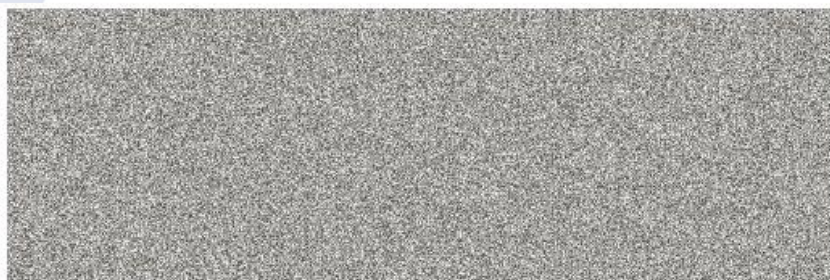
g_i

共生矩阵计算示例

直方图均衡导致灰度级合并

Co-occurrence matrix

Position operator:
one pixel immediately to the right



–利用共生矩阵定义的几个常用的纹理特征

1. 最大概率

$$W_P = \max_{g_1, g_2} P(g_1, g_2)$$

–表示P的最强响应

2. 一致性，或称纹理二阶矩

$$W_M = \sum_{g_1} \sum_{g_2} (P(g_1, g_2))^2$$

–当所有的 $P(g_1, g_2)$ 相等时， W_M 有最小值，对应图像的纹理较丰富

3. 熵

$$W_E = - \sum_{g_1} \sum_{g_2} P(g_1, g_2) \log P(g_1, g_2)$$

–当所有的 $P(g_1, g_2)$ 相等时， W_E 有最大值。

4. 差异度的k阶矩
$$W_C = \sum_{g_1} \sum_{g_2} |g_1 - g_2|^k P(g_1, g_2)$$

– 在图像平坦时， g_1 和 g_2 相近， W_C 较小

5. 惯性（2阶矩）
$$W_I = \sum_{g_1} \sum_{g_2} (g_1 - g_2)^2 P(g_1, g_2)$$

在图像平坦时， g_1 和 g_2 相近， W_I 较小

6. 逆差异度的k阶矩
$$W_R = \sum_{g_1} \sum_{g_2} |g_1 - g_2|^{-k} P(g_1, g_2)$$

– W_R 与 W_C 有相反的效果

7. 均匀性
$$W_H = \sum_{g_1} \sum_{g_2} \frac{P(g_1, g_2)}{k + |g_1 - g_2|}$$

– 在图像平坦时，共生矩阵集中在 g_1 和 g_2 相近的对角线上 $k + |g_1 - g_2|$ 较小的概率较大，故 W_H 较大

TABLE 12.3

Descriptors used for characterizing co-occurrence matrices of size $K \times K$. The term p_{ij} is the ij -th term of \mathbf{G} divided by the sum of the

$$m_r = \sum_{i=1}^K i \sum_{j=1}^K p_{ij}$$

$$m_c = \sum_{j=1}^K j \sum_{i=1}^K p_{ij}$$

$$\sigma_r^2 = \sum_{i=1}^K (i - m_r)^2 \sum_{j=1}^K p_{ij}$$

$$\sigma_c^2 = \sum_{j=1}^K (j - m_c)^2 \sum_{i=1}^K p_{ij}$$

Descriptor	Explanation	Formula
Maximum probability	Measures the strongest response of \mathbf{G} . The range of values is $[0, 1]$.	$\max_{i,j} (p_{ij})$
Correlation	A measure of how correlated a pixel is to its neighbor over the entire image. The range of values is 1 to -1 corresponding to perfect positive and perfect negative correlations. This measure is not defined if either standard deviation is zero.	$\sum_{i=1}^K \sum_{j=1}^K \frac{(i - m_r)(j - m_c) p_{ij}}{\sigma_r \sigma_c}$ $\sigma_r \neq 0; \sigma_c \neq 0$
Contrast	A measure of intensity contrast between a pixel and its neighbor over the entire image. The range of values is 0 (when \mathbf{G} is constant) to $(K - 1)^2$.	$\sum_{i=1}^K \sum_{j=1}^K (i - j)^2 p_{ij}$
Uniformity (also called Energy)	A measure of uniformity in the range $[0, 1]$. Uniformity is 1 for a constant image.	$\sum_{i=1}^K \sum_{j=1}^K p_{ij}^2$
Homogeneity	Measures the spatial closeness to the diagonal of the distribution of elements in \mathbf{G} . The range of values is $[0, 1]$, with the maximum being achieved when \mathbf{G} is a diagonal matrix.	$\sum_{i=1}^K \sum_{j=1}^K \frac{p_{ij}}{1 + i - j }$
Entropy	Measures the randomness of the elements of \mathbf{G} . The entropy is 0 when all p_{ij} 's are 0, and is maximum when the p_{ij} 's are uniformly distributed. The maximum value is thus $2 \log_2 K$.	$-\sum_{i=1}^K \sum_{j=1}^K p_{ij} \log_2 p_{ij}$



TABLE 12.4

Descriptors evaluated using the co-occurrence matrices displayed as images in Fig. 12.32.

Normalized Co-occurrence Matrix	Maximum Probability	Correlation	Contrast	Uniformity	Homogeneity	Entropy
G_1/n_1	0.00006	-0.0005	10838	0.00002	0.0366	15.75
G_2/n_2	0.01500	0.9650	00570	0.01230	0.0824	06.43
G_3/n_3	0.06860	0.8798	01356	0.00480	0.2048	13.58

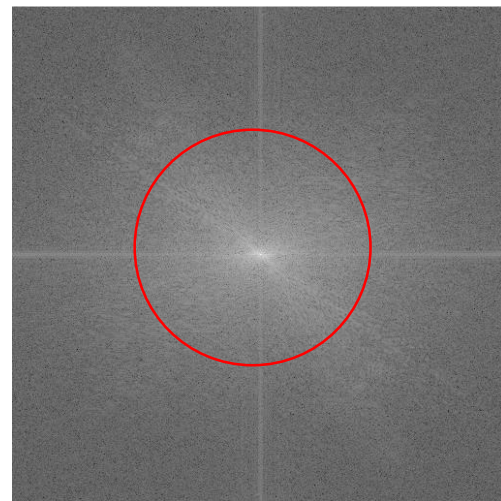
Spectral Approaches

- 图像上周期性和方向性的纹理在频谱上有相应的表现
 - 周期性纹理在频谱上有相应的能量集中区域
 - 纹理的方向在频谱上表现为能量集中区域所在的方向
- 将频谱表示成极坐标形式: $S(r, \theta)$
 - 对于每个方向 θ , 频谱的分布可用一维函数 $S_\theta(r)$ 表示
 - 对于每个指定 r 的圆环上, 频谱的分布也可用一维函数 $S_r(\theta)$ 表示
 - 在 r 半径上的总的能量为

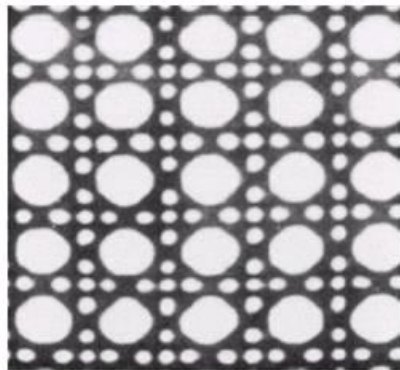
$$S(r) = \sum_{\theta=0}^{\pi} S_\theta(r)$$

- 在 θ 方向的总能量为

$$S(\theta) = \sum_{r=1}^{R_0} S_r(\theta)$$



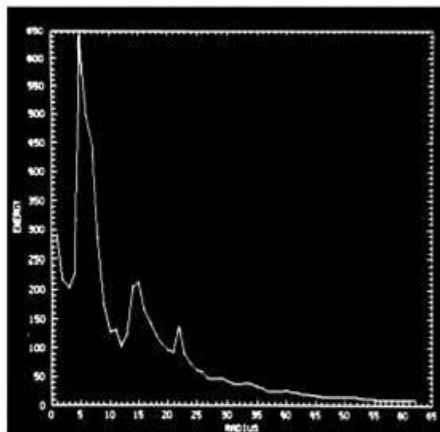
- 用 $S(r)$ 和 $S(\theta)$ 可描述整幅图像或所选区域的纹理特性
- 也从描绘子 $S(r)$ 和 $S(\theta)$ 定量计算一些其它特征
 - 如最大值位置、均值、幅度的方差、均值与最大值间的距离等



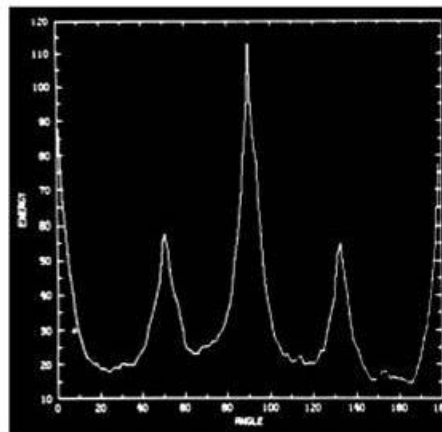
(a) 周期性纹理图



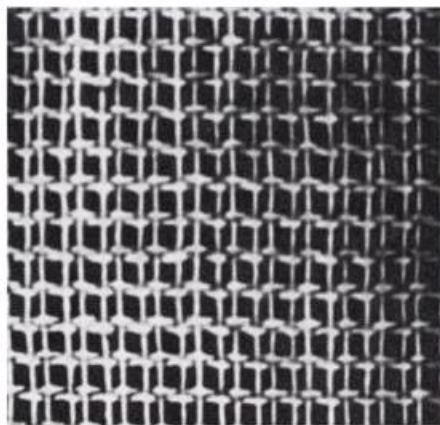
(b) 频谱图



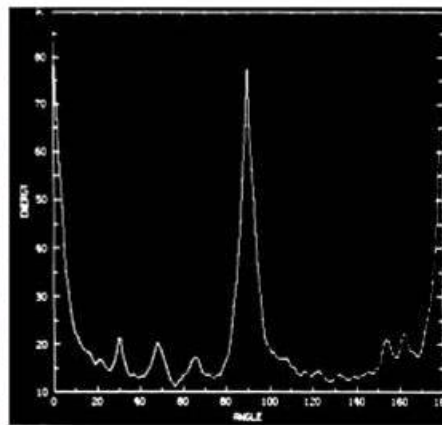
(c) $S(r)$



(d) $S(\theta)$

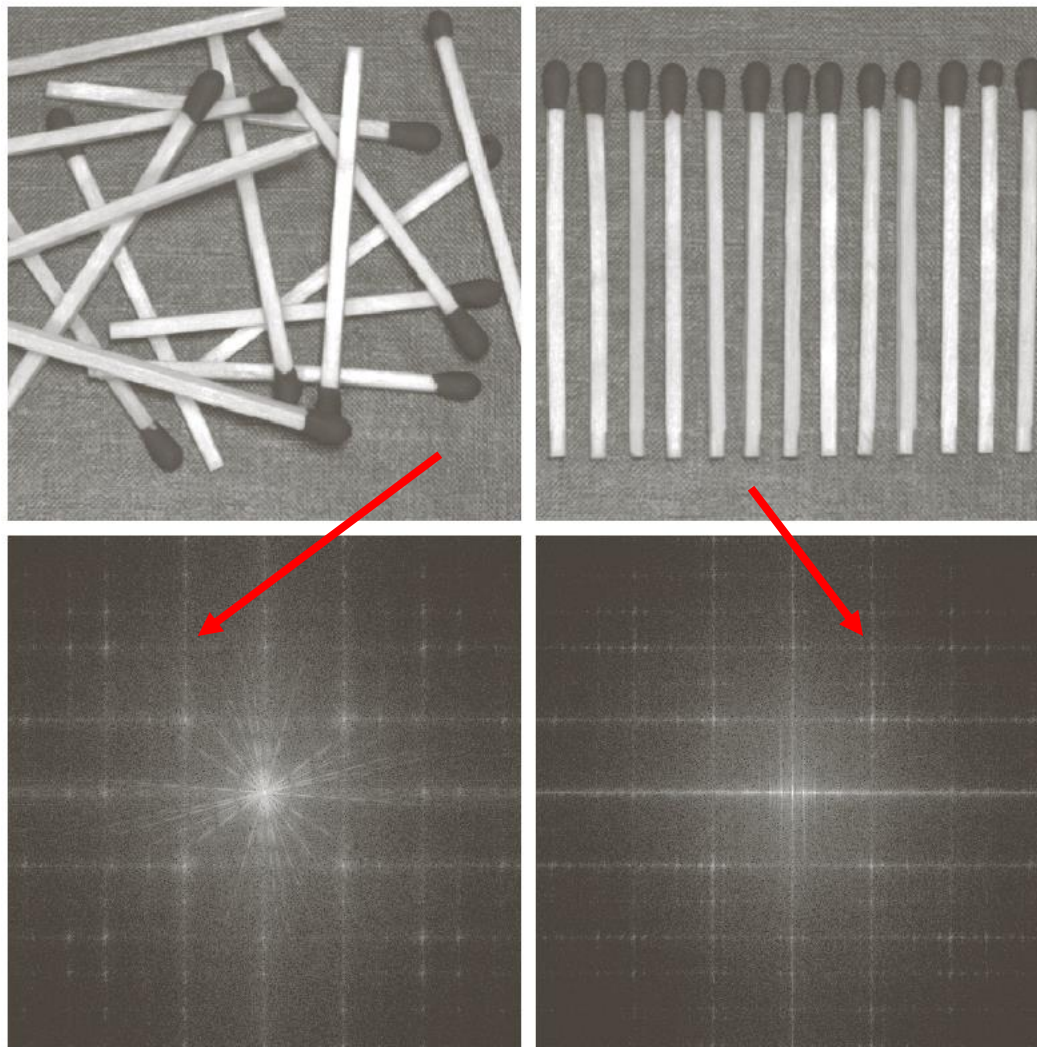


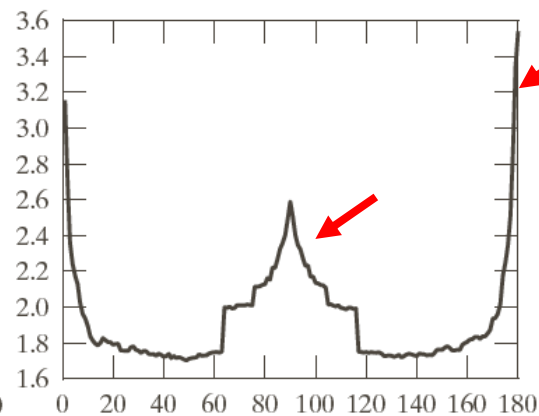
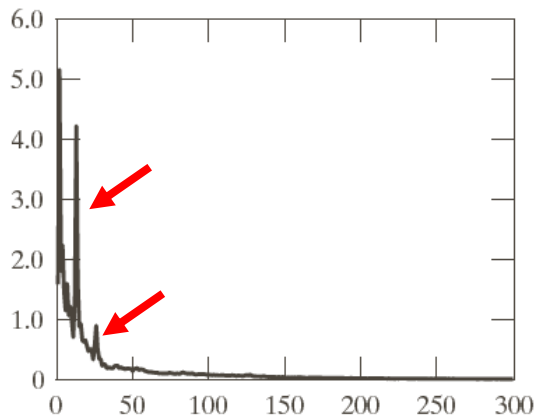
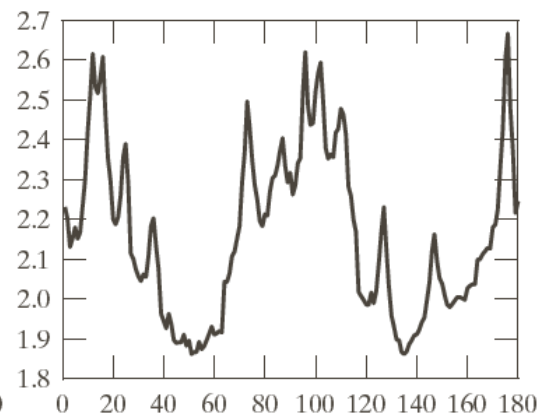
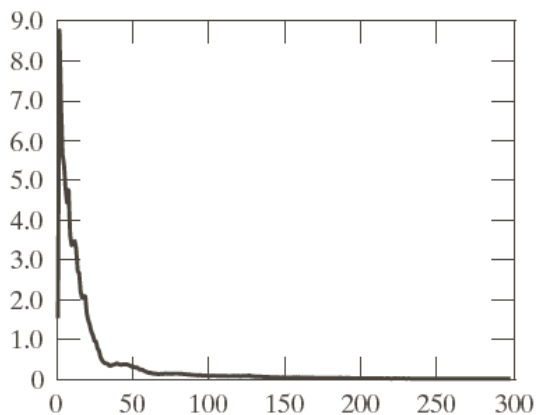
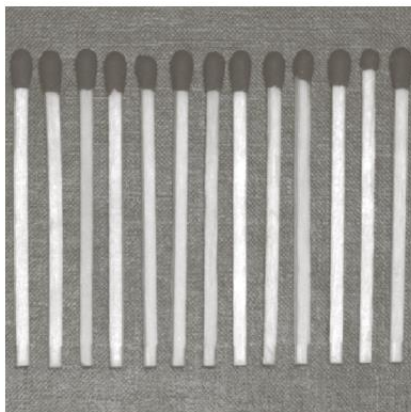
(e) 另一幅纹理图



(f) $S(\theta)$

Fourier Spectra





$S(r)$

$S(\theta)$

Moment Invariants (不变矩)

- 一幅数字图像 $f(x,y)$ ，它的 $p+q$ 阶矩定义：

$$m_{pq} = \sum_x \sum_y x^p y^q f(x, y)$$

—可以证明

- m_{pq} 唯一地被 $f(x,y)$ 所确定
 - 所有非负整数 p 和 q 得到的 m_{pq} 无限集也完全确定了 $f(x,y)$
- 图像 $f(x,y)$ 的 $p+q$ 阶中心矩定义

$$\mu_{pq} = \sum_x \sum_y (x - \bar{x})^p (y - \bar{y})^q f(x, y)$$

—其中 $\bar{x} = m_{10} / m_{00}$ $\bar{y} = m_{01} / m_{00}$
是图像的重心坐标

– 前三阶中心矩可用下式计算

$$\mu_{00} = m_{00}$$

$$\mu_{02} = m_{02} - \bar{y}m_{01}$$

$$\mu_{10} = 0$$

$$\mu_{30} = m_{30} - 3\bar{x}m_{20} + 2\bar{x}^2m_{10}$$

$$\mu_{01} = 0$$

$$\mu_{03} = m_{03} - 3\bar{y}m_{02} + 2\bar{y}^2m_{01}$$

$$\mu_{11} = m_{11} - \bar{y}m_{10}$$

$$\mu_{21} = m_{21} - 2\bar{x}m_{11} - \bar{y}m_{20} + 2\bar{x}^2m_{01}$$

$$\mu_{20} = m_{20} - \bar{x}m_{10}$$

$$\mu_{12} = m_{12} - 2\bar{y}m_{11} - \bar{x}m_{02} + 2\bar{y}^2m_{10}$$

– $f(x,y)$ 的归一化中心矩 $\eta_{pq} = \frac{\mu_{pq}}{\mu_{00}^r}$

其中 $r = \frac{p+q}{2} + 1$ $p+q=2,3,\dots$

– 使二阶中心矩 μ_{11} 变得最小的旋转角 θ 可由下式得出

$$\tan 2\theta = \frac{2\mu_{11}}{\mu_{20} - \mu_{02}}$$

- 若 x,y 轴旋转 θ 角得坐标轴 x',y' ，称为该目标的主轴
 - 如果目标在计算矩前旋转 θ 角，或相对于 x',y' 轴计算矩，则矩具有旋转不变性

由归一化的二阶和三阶中心矩可得到如下7个对连续图像平移、旋转和尺度变换不变的矩：

$$\phi_1 = \eta_{20} + \eta_{02}$$

$$\phi_2 = (\eta_{20} - \eta_{02})^2 + 4\eta_{11}^2$$

$$\phi_3 = (\eta_{30} - 3\eta_{12})^2 + (3\eta_{21} - \eta_{03})^2$$

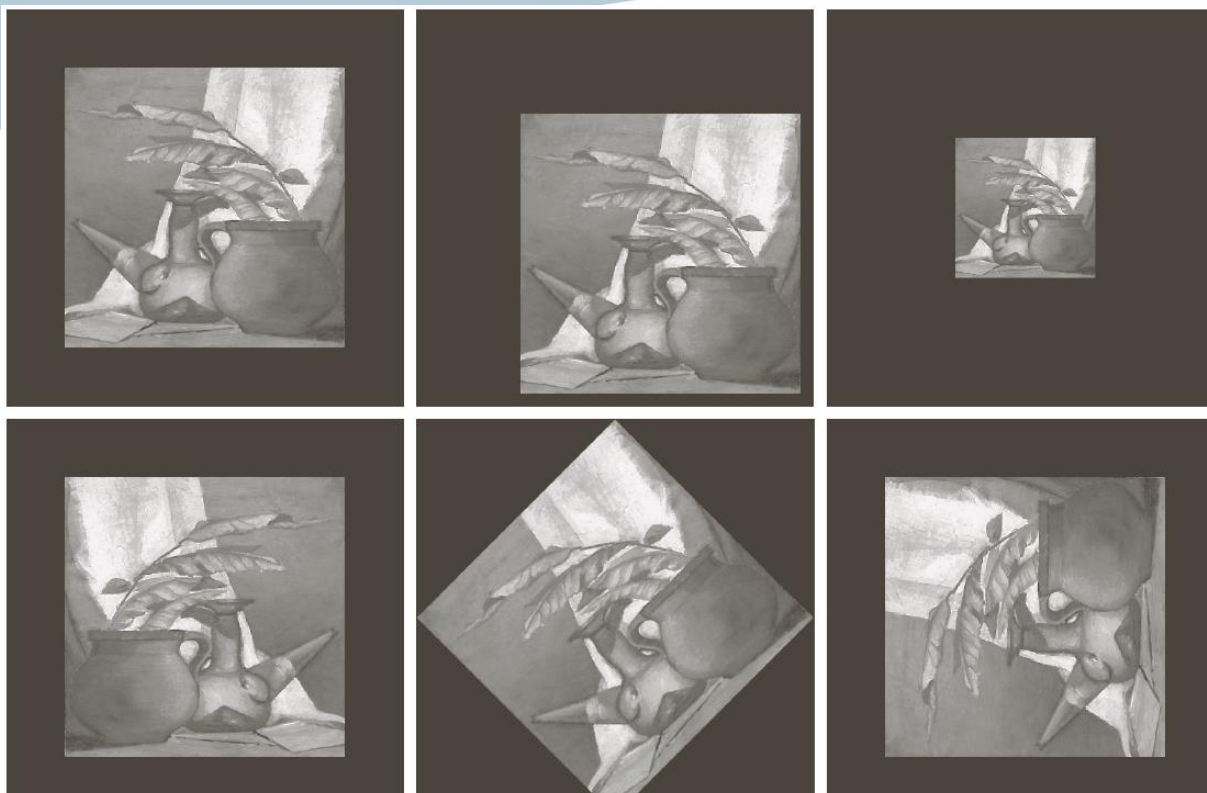
$$\phi_4 = (\eta_{30} + \eta_{12})^2 + (\eta_{21} + \eta_{03})^2$$

$$\begin{aligned} \phi_5 = & (\eta_{30} - 3\eta_{12})(\eta_{30} + \eta_{12})[(\eta_{30} + \eta_{12})^2 - 3(\eta_{21} + \eta_{03})^2] \\ & + (3\eta_{21} - \eta_{03})(\eta_{21} + \eta_{03})[3(\eta_{30} + \eta_{12})^2 - (\eta_{21} + \eta_{03})^2] \end{aligned}$$

$$\phi_6 = (\eta_{20} - \eta_{02})[(\eta_{30} + \eta_{12})^2 - (\eta_{21} + \eta_{03})^2] + 4\eta_{11}(\eta_{30} + \eta_{12})(\eta_{21} + \eta_{03})$$

$$\begin{aligned} \phi_7 = & (3\eta_{21} - \eta_{03})(\eta_{30} + \eta_{12})[(\eta_{30} + \eta_{12})^2 - 3(\eta_{21} + \eta_{03})^2] \\ & + (3\eta_{12} - \eta_{30})(\eta_{21} + \eta_{03})[3(\eta_{30} + \eta_{12})^2 - (\eta_{21} + \eta_{03})^2] \end{aligned}$$

由于 $\phi_7^2 + \phi_5^2 = \phi_3\phi_4^3$ ，所以上述7个不变矩只用6个就可



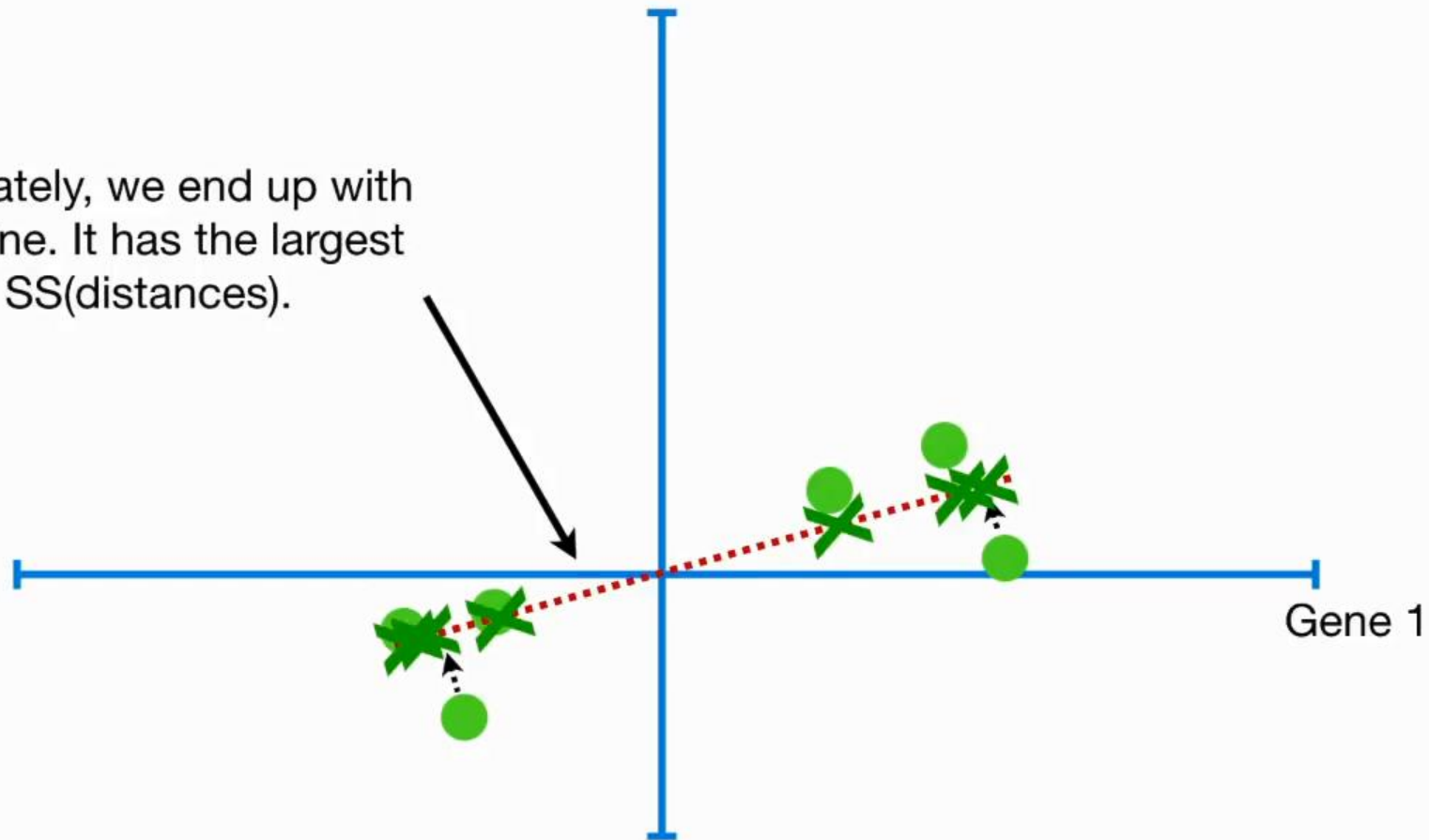
Moment Invariant	Original Image	Translated	Half Size	Mirrored	Rotated 45°	Rotated 90°
ϕ_1	2.8662	2.8662	2.8664	2.8662	2.8661	2.8662
ϕ_2	7.1265	7.1265	7.1257	7.1265	7.1266	7.1265
ϕ_3	10.4109	10.4109	10.4047	10.4109	10.4115	10.4109
ϕ_4	10.3742	10.3742	10.3719	10.3742	10.3742	10.3742
ϕ_5	21.3674	21.3674	21.3924	21.3674	21.3663	21.3674
ϕ_6	13.9417	13.9417	13.9383	13.9417	13.9417	13.9417
ϕ_7	-20.7809	-20.7809	-20.7724	20.7809	-20.7813	-20.7809

可用于判断是否镜像

Principal Component Analysis (PCA)

$$d_1^2 + d_2^2 + d_3^2 + d_4^2 + d_5^2 + d_6^2 = \text{sum of squared distances} = \text{SS}(\text{distances})$$

Ultimately, we end up with this line. It has the largest SS(distances).



Principal Component Analysis (PCA)

- A population of n-dimensional vectors

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}$$

- Mean vector

$$\mathbf{m}_x = E\{\mathbf{x}\}$$

- Covariance matrix

$$\mathbf{C}_x = E\{(\mathbf{x} - \mathbf{m}_x)(\mathbf{x} - \mathbf{m}_x)^T\}$$

- Eigenvectors and eigenvalues

$$\mathbf{C}\mathbf{e}_i = \lambda_i\mathbf{e}_i, \quad \lambda_j \geq \lambda_{j+1} \text{ for } j = 1, 2, \dots, n - 1$$

$$\bullet \quad A = \begin{bmatrix} e_1^T \\ e_2^T \\ \vdots \\ e_n^T \end{bmatrix}, \quad A^{-1} = A^T = [e_1^T \quad e_2^T \quad \dots \quad e_n^T]$$

- Hotelling transform

$$\mathbf{y} = \mathbf{A}(\mathbf{x} - \mathbf{m}_x)$$

Hotelling Transform

- Properties

$$\mathbf{y} = \mathbf{A}(\mathbf{x} - \mathbf{m}_x)$$

$$\mathbf{m}_y = E\{\mathbf{y}\} = \mathbf{0}$$

$$\mathbf{C}_y = \mathbf{A}\mathbf{C}_x\mathbf{A}^T = \begin{bmatrix} \lambda_1 & & & 0 \\ & \lambda_2 & & \\ & & \ddots & \\ 0 & & & \lambda_n \end{bmatrix}$$

$$\mathbf{A}^{-1} = \mathbf{A}^T$$

- Full reconstruction $\mathbf{x} = \mathbf{A}^T\mathbf{y} + \mathbf{m}_x$
- Partial reconstruction

$$\hat{\mathbf{x}} = \mathbf{A}_k^T\mathbf{y} + \mathbf{m}_x$$

$$e_{\text{ms}} = \sum_{j=1}^n \lambda_j - \sum_{j=1}^k \lambda_j = \sum_{j=k+1}^n \lambda_j$$

PCA Example

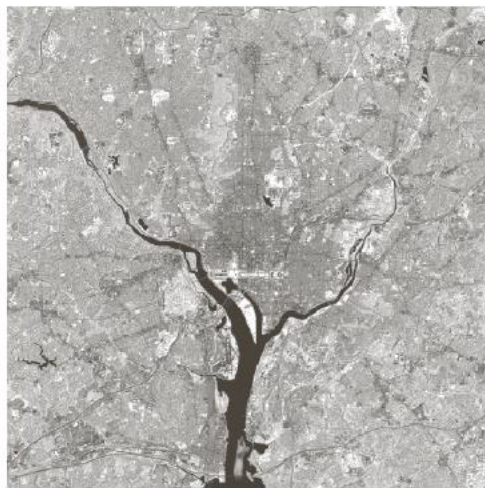
Visible blue



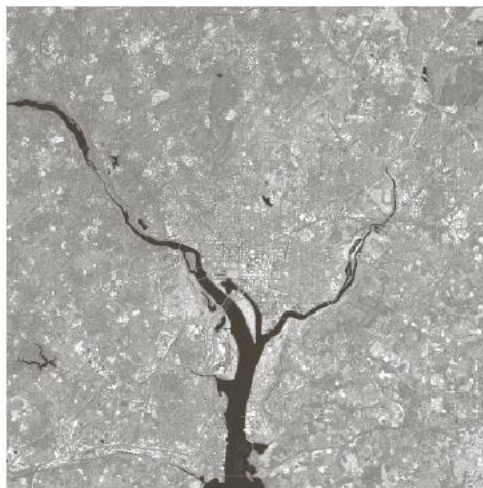
Visible green



Visible red



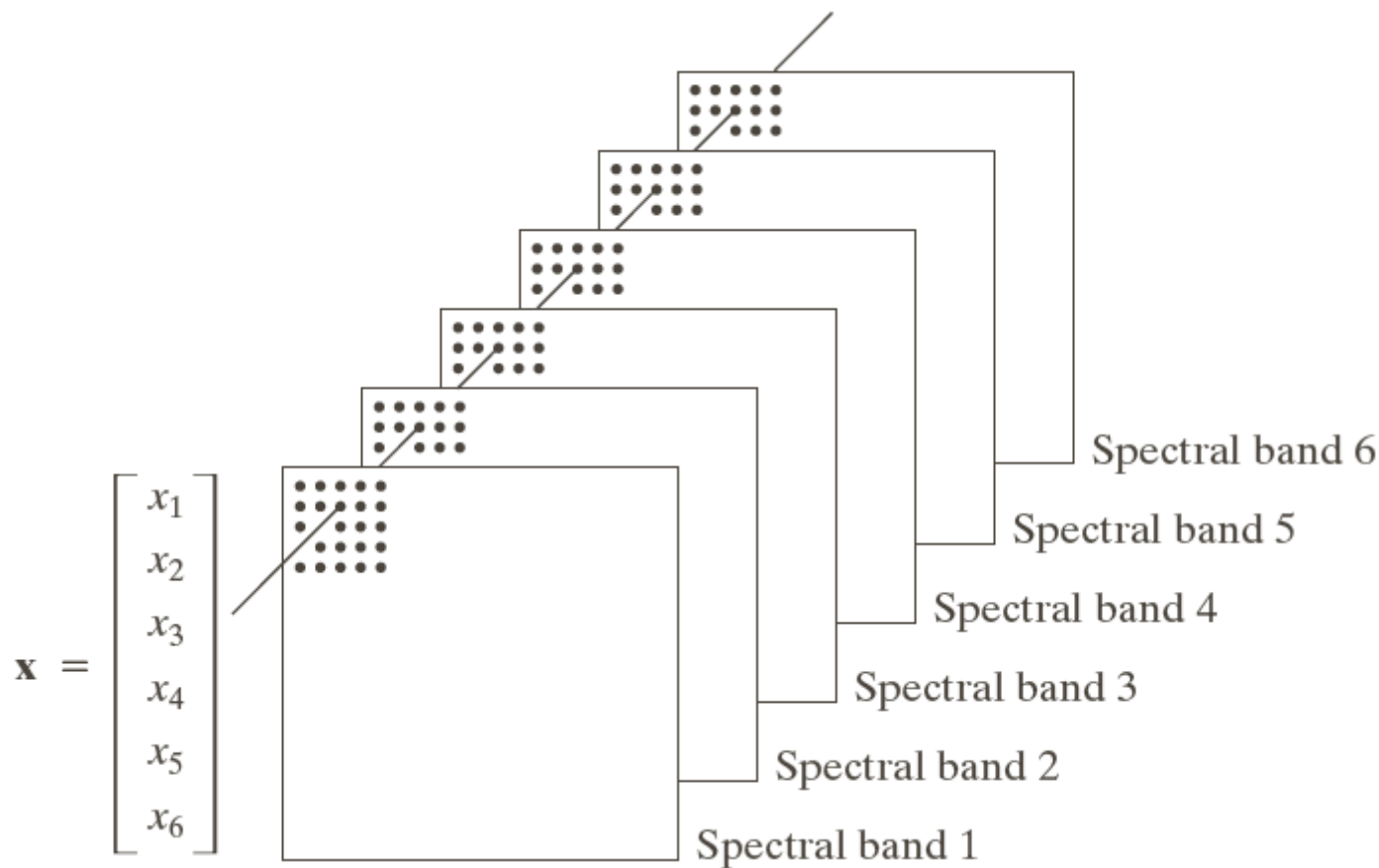
Near infrared



Middle infrared



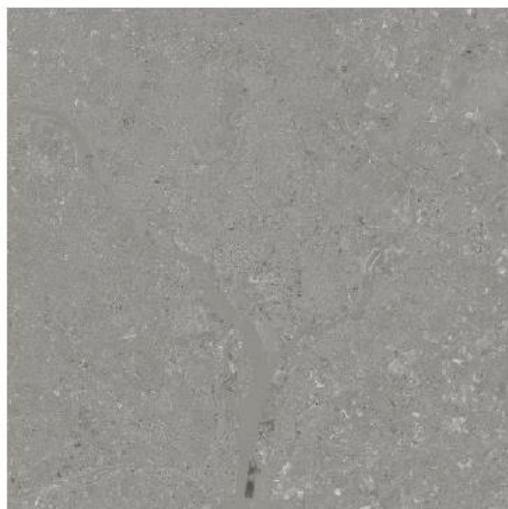
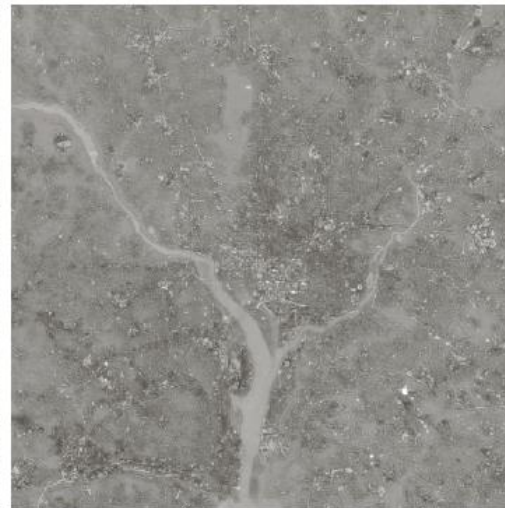
Thermal infrared



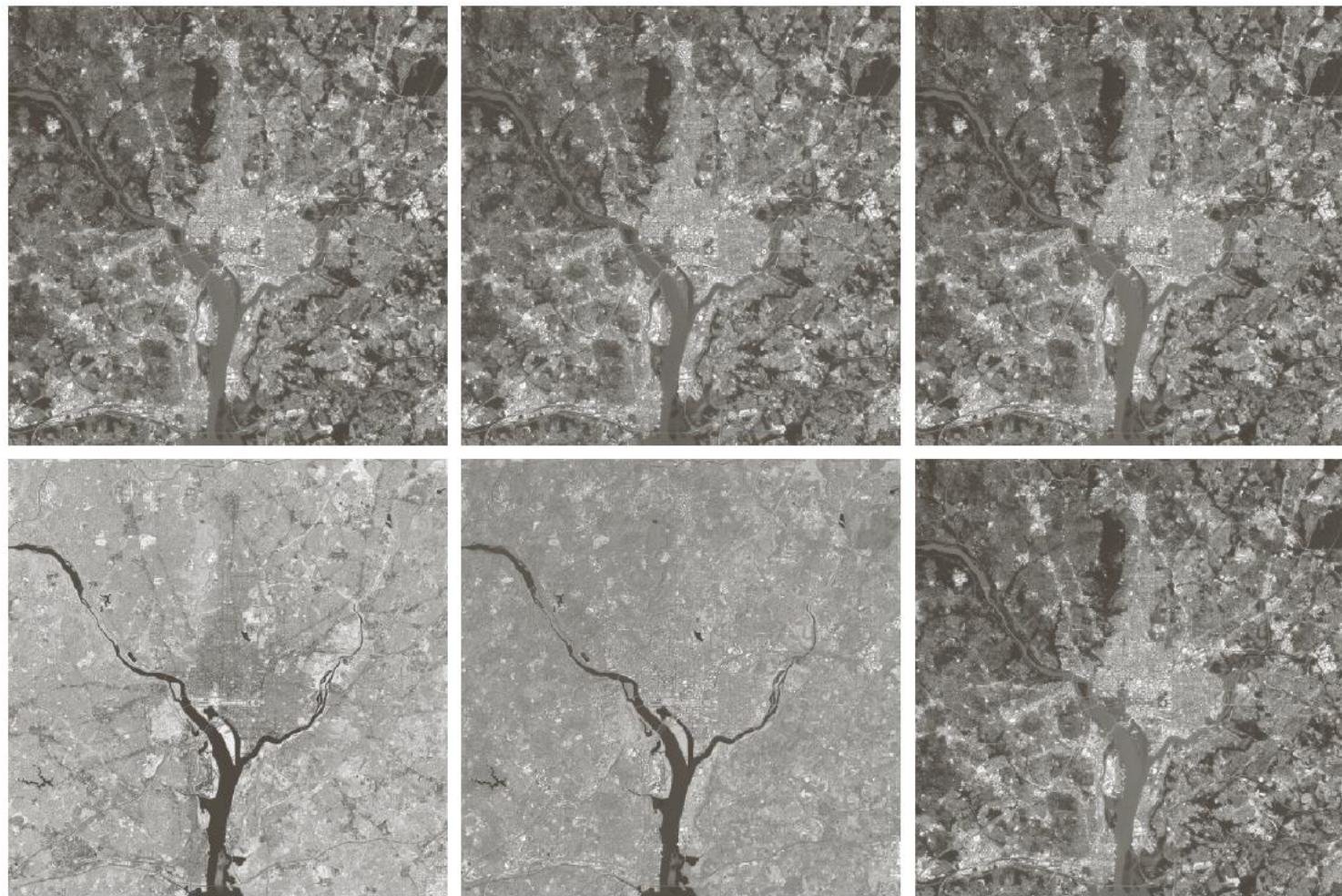
λ_1	λ_2	λ_3	λ_4	λ_5	λ_6
10344	2966	1401	203	94	31

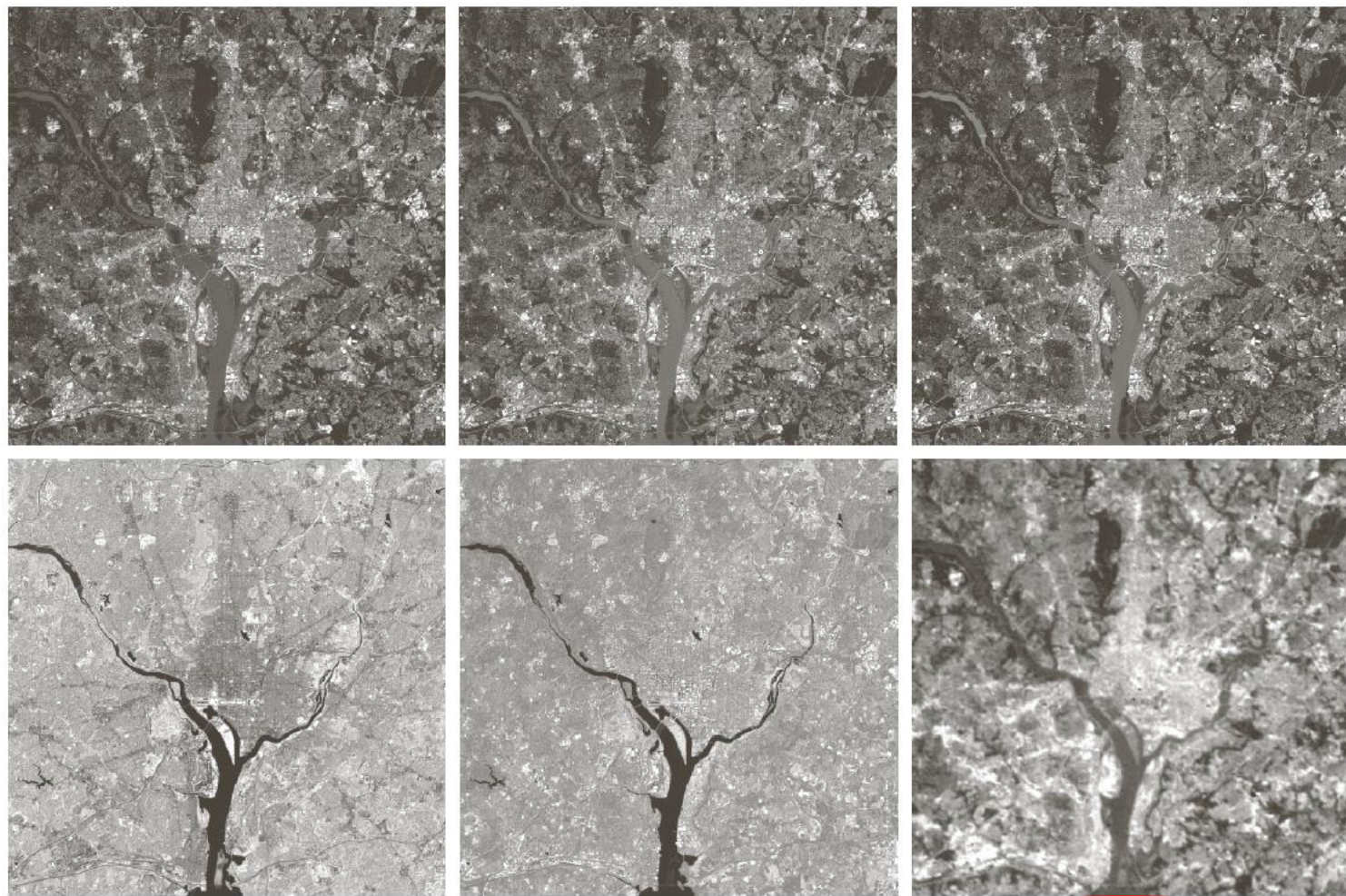
89% of the total variance

$$y = A(x - m_x)$$



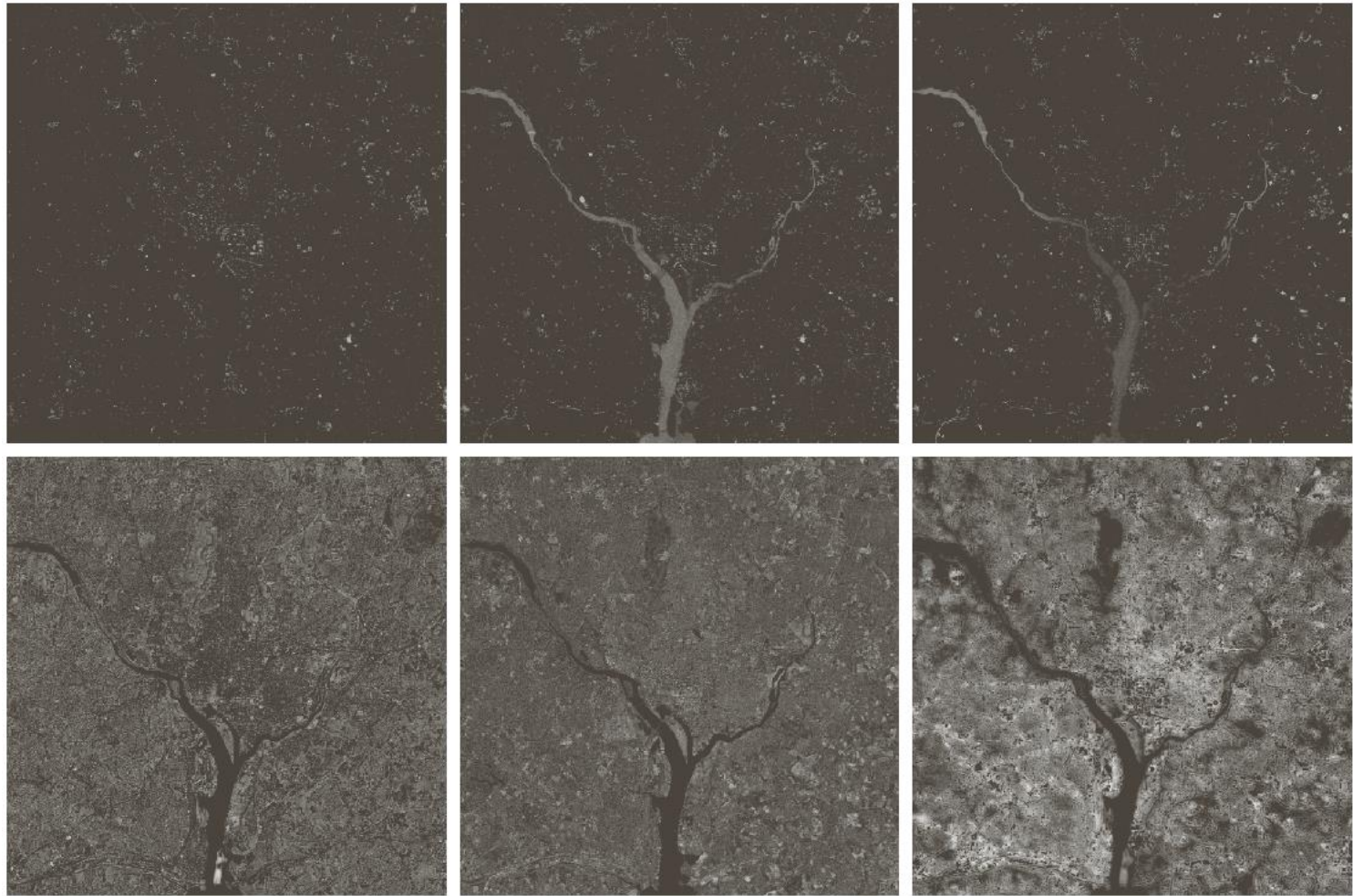
$$\hat{\mathbf{x}} = \mathbf{A}_k^T \mathbf{y} + \mathbf{m}_x \quad k = 2$$





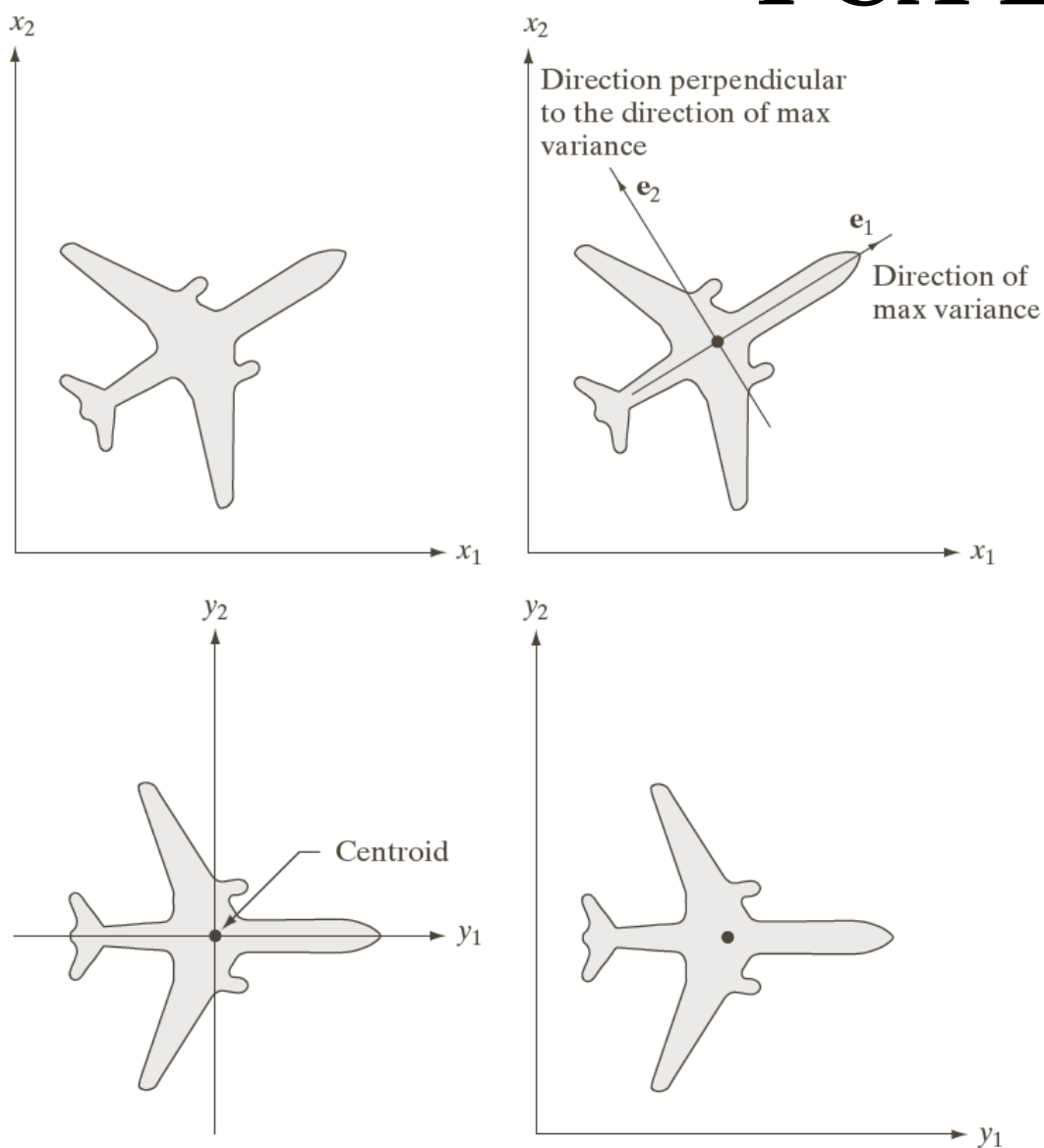
Thermal infrared

Difference Images



All images were enhanced by scaling them to the full [0,255]

PCA Example 2



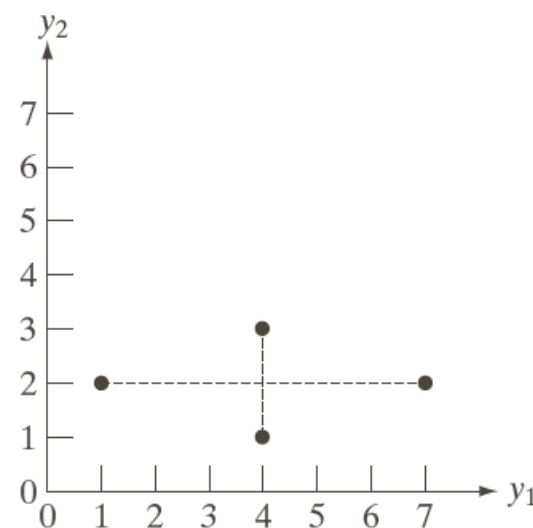
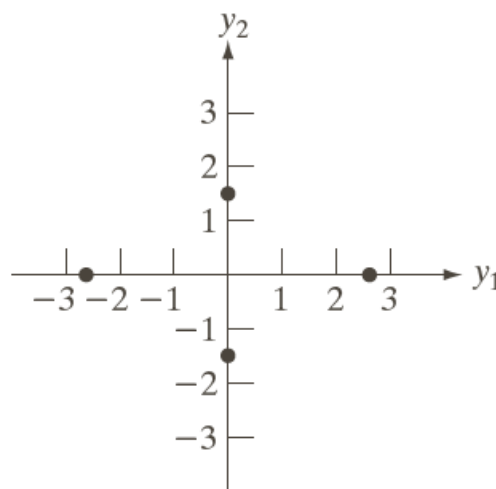
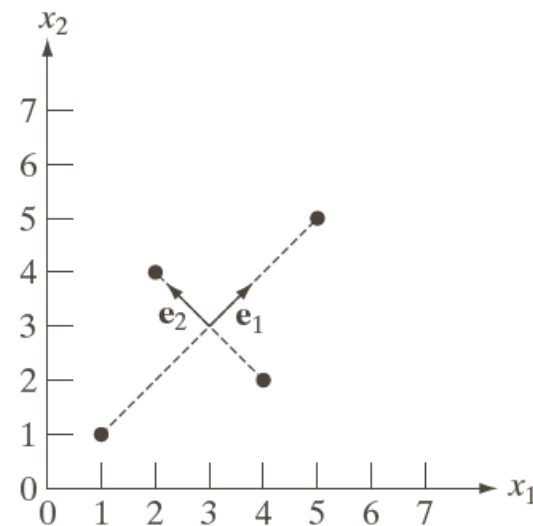
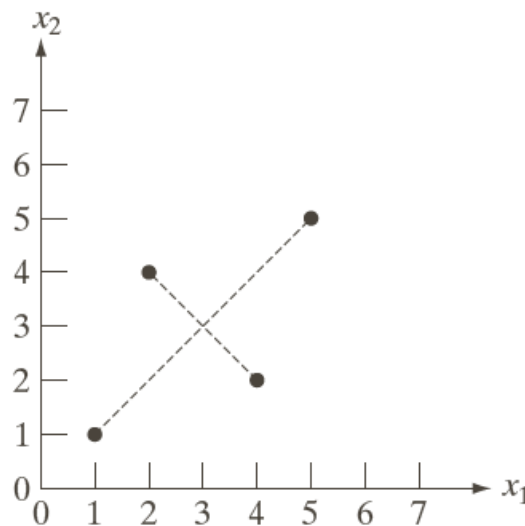
PCA Example 3

$$\mathbf{m}_x = \begin{bmatrix} 3 \\ 3 \end{bmatrix}$$

$$\mathbf{C}_x = \begin{bmatrix} 3.333 & 2.00 \\ 2.00 & 3.333 \end{bmatrix}$$

$$\mathbf{e}_1 = \begin{bmatrix} 0.707 \\ 0.707 \end{bmatrix}$$

$$\mathbf{e}_2 = \begin{bmatrix} -0.707 \\ 0.707 \end{bmatrix}$$



Scale-Invariant Feature Transform (**SIFT**)

Scale space

$$L(x, y, \sigma) = G(x, y, \sigma) \star f(x, y)$$

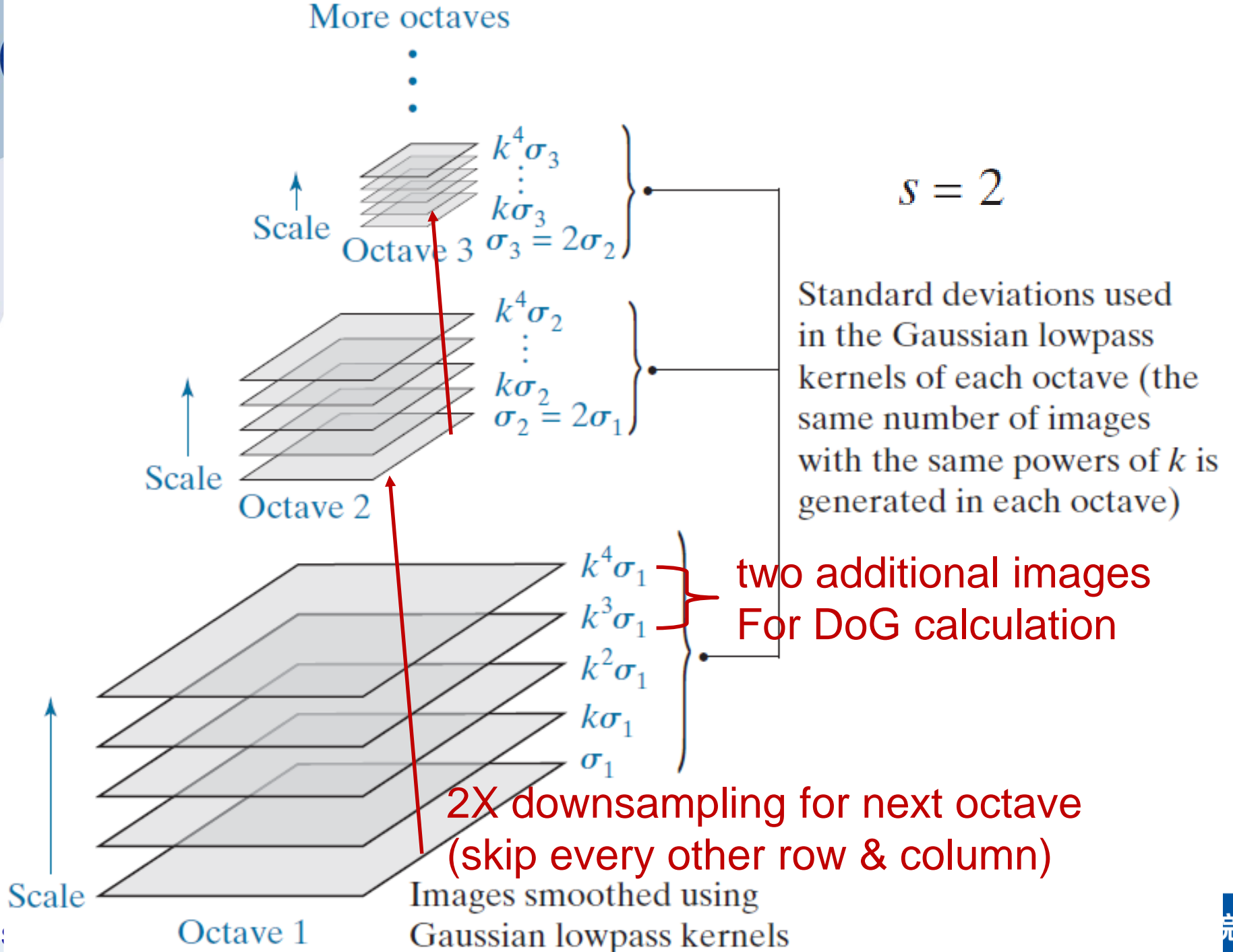
Generate a stack of smoothed images

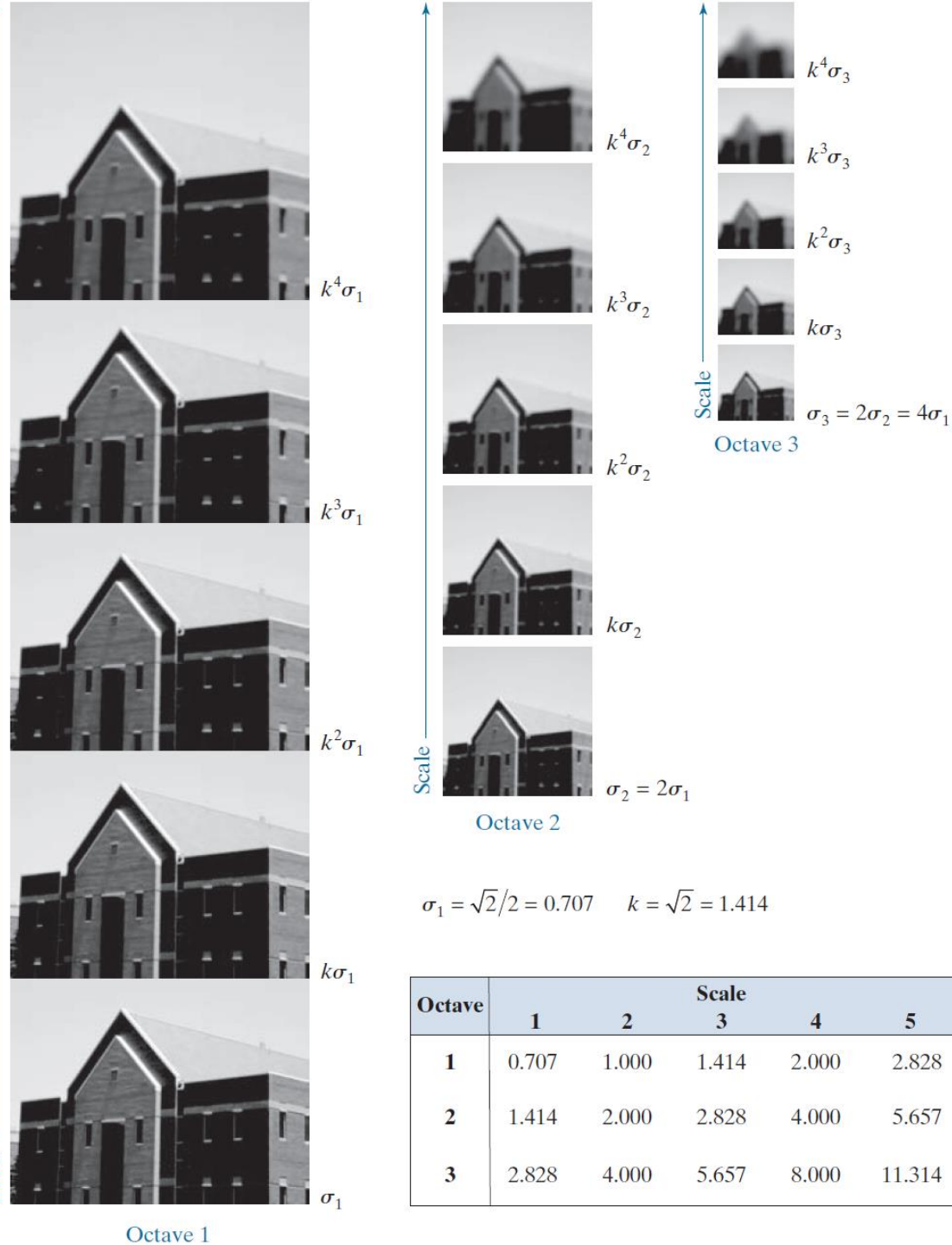
Gaussian kernel

$$G(x, y, \sigma) = \frac{1}{2\pi\sigma^2} e^{-(x^2 + y^2)/2\sigma^2}$$

$$\sigma, k\sigma, k^2\sigma, k^3\sigma, \dots$$

Octaves: $k^s \sigma = 2\sigma \implies s = 2, k = \sqrt{2}$





Find the Initial Keypoints

- Detect **extrema** in the **difference of Gaussians** of two adjacent scale-space images in an octave

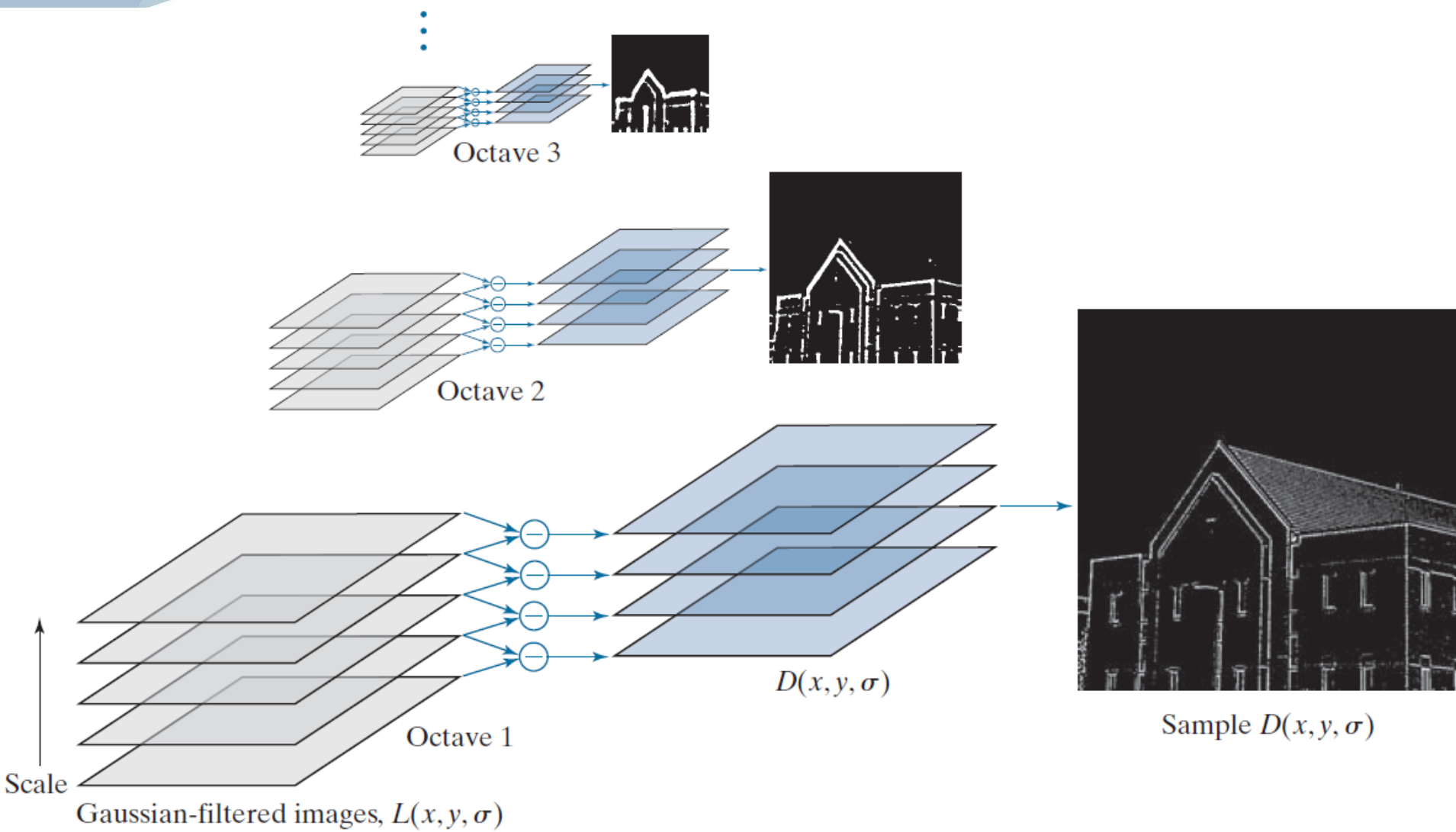
$$D(x, y, \sigma) = [G(x, y, k\sigma) - G(x, y, \sigma)] \star f(x, y)$$

$$= L(x, y, k\sigma) - L(x, y, \sigma)$$

$$\approx (k - 1)\sigma^2 \nabla^2 G$$

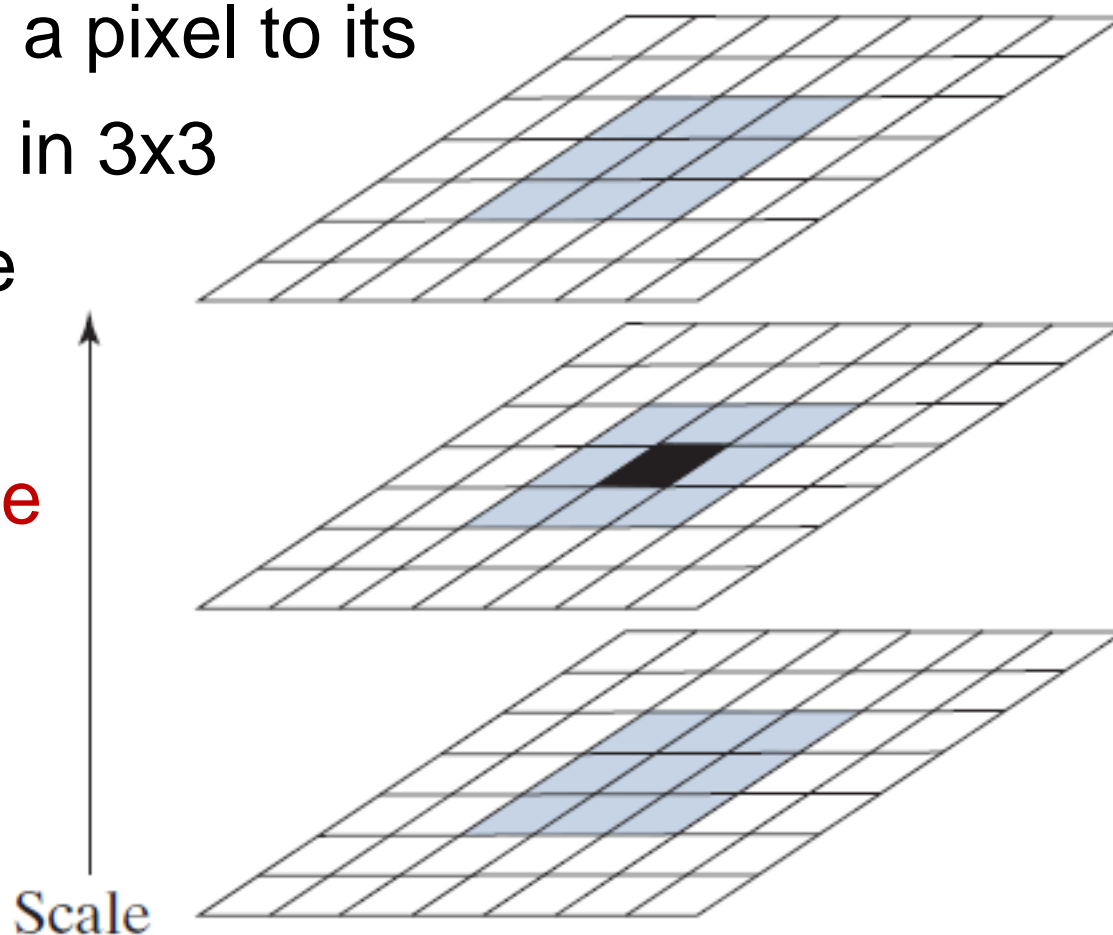
DoG: **approximation to LoG**

$s + 2$ difference functions



Detect local extrema (**maxima or minima**)

- Comparing a pixel to its **26 neighbors** in 3x3 regions at the **current and adjacent scale images**



Corresponding sections of three contiguous $D(x, y, \sigma)$ images

Achieve subpixel accuracy

- Taylor series expansion of $D(x, y, \sigma)$

$$D(\mathbf{x}) = D + \left(\frac{\partial D}{\partial \mathbf{x}} \right)^T \mathbf{x} + \frac{1}{2} \mathbf{x}^T \frac{\partial}{\partial \mathbf{x}} \left(\frac{\partial D}{\partial \mathbf{x}} \right) \mathbf{x}$$

$$= D + (\nabla D)^T \mathbf{x} + \frac{1}{2} \mathbf{x}^T \mathbf{H} \mathbf{x}$$

Gradient operator

$$\nabla D = \frac{\partial D}{\partial \mathbf{x}} = \begin{bmatrix} \partial D / \partial x \\ \partial D / \partial y \\ \partial D / \partial \sigma \end{bmatrix}$$

offset

$$\mathbf{x} = (x, y, \sigma)^T$$

Hessian matrix

$$\mathbf{H} = \begin{bmatrix} \partial^2 D / \partial x^2 & \partial^2 D / \partial x \partial y & \partial^2 D / \partial x \partial \sigma \\ \partial^2 D / \partial y \partial x & \partial^2 D / \partial y^2 & \partial^2 D / \partial y \partial \sigma \\ \partial^2 D / \partial \sigma \partial x & \partial^2 D / \partial \sigma \partial y & \partial^2 D / \partial \sigma^2 \end{bmatrix}$$

Achieve subpixel accuracy

- Location of the extremum

$$\hat{\mathbf{x}} = -\mathbf{H}^{-1} (\nabla D)$$

If the offset is greater than 0.5 in any of its three dimensions, move to the closer integer point and redo interpolation

- Extremum

$$D(\hat{\mathbf{x}}) = D + \frac{1}{2}(\nabla D)^T \hat{\mathbf{x}}$$

Eliminating Edge Response

- Quantify difference between **edges** and **corners**
- Eigenvalues** of Hessian matrix are proportional to the **local curvature** of D
- $r < \text{Threshold}$

$$\mathbf{H} = \begin{bmatrix} \partial^2 D / \partial x^2 & \partial^2 D / \partial x \partial y \\ \partial^2 D / \partial y \partial x & \partial^2 D / \partial y^2 \end{bmatrix} = \begin{bmatrix} D_{xx} & D_{xy} \\ D_{yx} & D_{yy} \end{bmatrix}$$

$$\text{Tr}(\mathbf{H}) = D_{xx} + D_{yy} = \alpha + \beta$$

Largest Smallest Eigenvalue

$\alpha = r\beta$

$$\text{Det}(\mathbf{H}) = D_{xx}D_{yy} - (D_{xy})^2 = \alpha\beta$$

$$\frac{[\text{Tr}(\mathbf{H})]^2}{\text{Det}(\mathbf{H})} = \frac{(\alpha + \beta)^2}{\alpha\beta} = \frac{(r\beta + \beta)^2}{r\beta^2} = \frac{(r + 1)^2}{r}$$

Eliminating Edge Response

$$\frac{[\text{Tr}(\mathbf{H})]^2}{\text{Det}(\mathbf{H})} = \frac{(\alpha + \beta)^2}{\alpha\beta} = \frac{(r\beta + \beta)^2}{r\beta^2} = \frac{(r + 1)^2}{r}$$

Increases with $r \geq 1$, with minimum at $r = 1$

$r < 0$? Discard this point

- Keep “corner-like” point if

$$\frac{[\text{Tr}(\mathbf{H})]^2}{\text{Det}(\mathbf{H})} < \frac{(r + 1)^2}{r} \quad \text{e.g. } r = 10$$

Example of SIFT keypoints



Gradient magnitude **Keypoint orientation**

$$M(x, y) = \left[(L(x+1, y) - L(x-1, y))^2 + (L(x, y+1) - L(x, y-1))^2 \right]^{\frac{1}{2}}$$

- Orientation angle

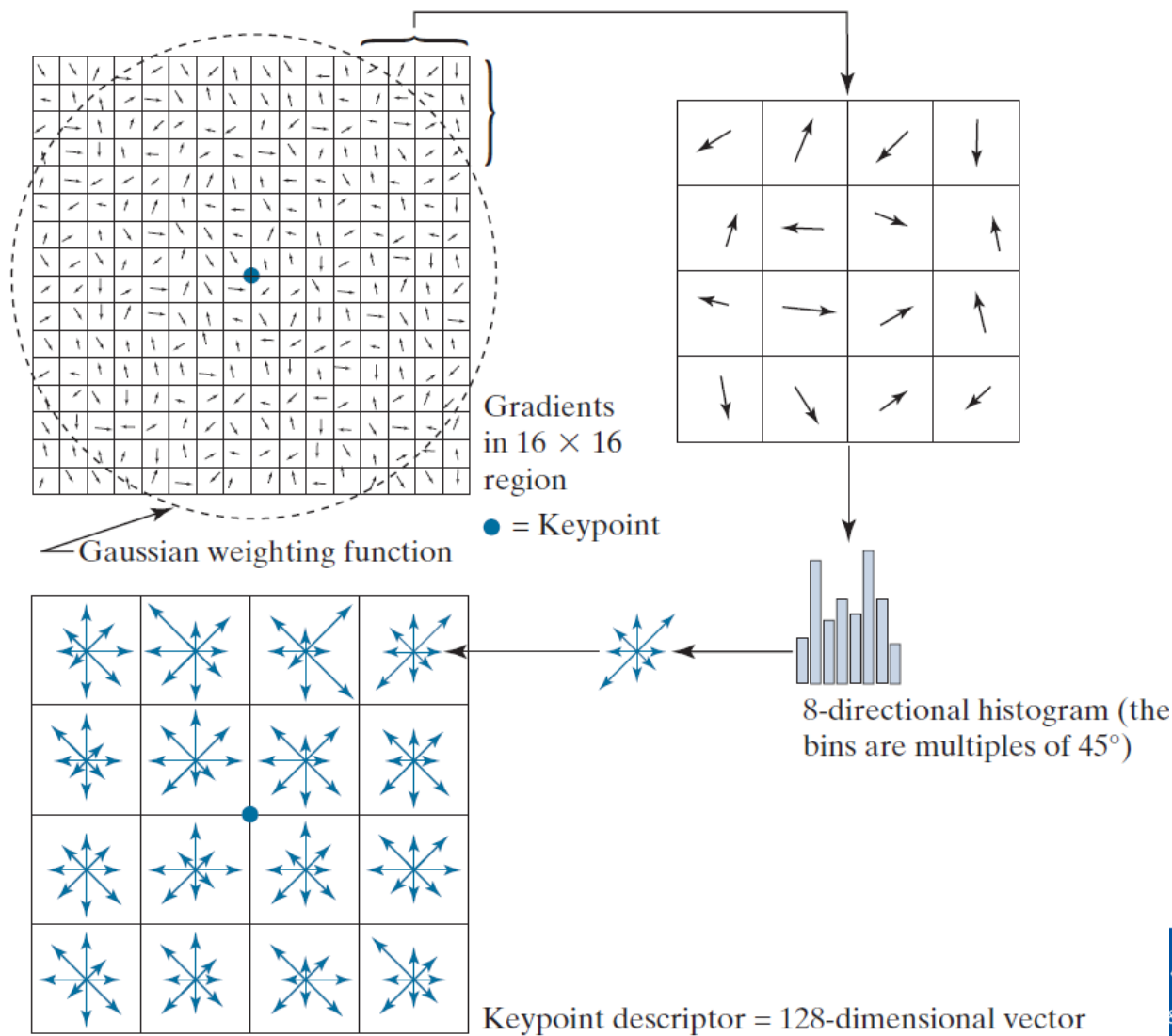
$$\theta(x, y) = \tan^{-1} \left[(L(x, y+1) - L(x, y-1)) / (L(x+1, y) - L(x-1, y)) \right]$$

- **Histogram of orientations**
 - Neighborhood of each keypoint
 - Weighted by its gradient magnitude
 - By a circular Gaussian function with 1.5σ
 - 360 degrees \rightarrow 36 bins
- **Highest peak and $\geq 80\%$** in the histogram
- **Parabola** fit to **interpolate** the peak position



Keypoint descriptors

- Keypoint: location, scale, orientation
- Descriptor: local region around each keypoint



Summary of SIFT algorithm

$\sigma = 1.6$, $s = 2$,
three octaves

1. Construct the scale space
2. Obtain the initial keypoints
3. Improve the location of keypoints
4. Delete unsuitable keypoints
 - Low value of D
 - Edge
5. Compute keypoint orientations
6. Compute keypoint descriptors

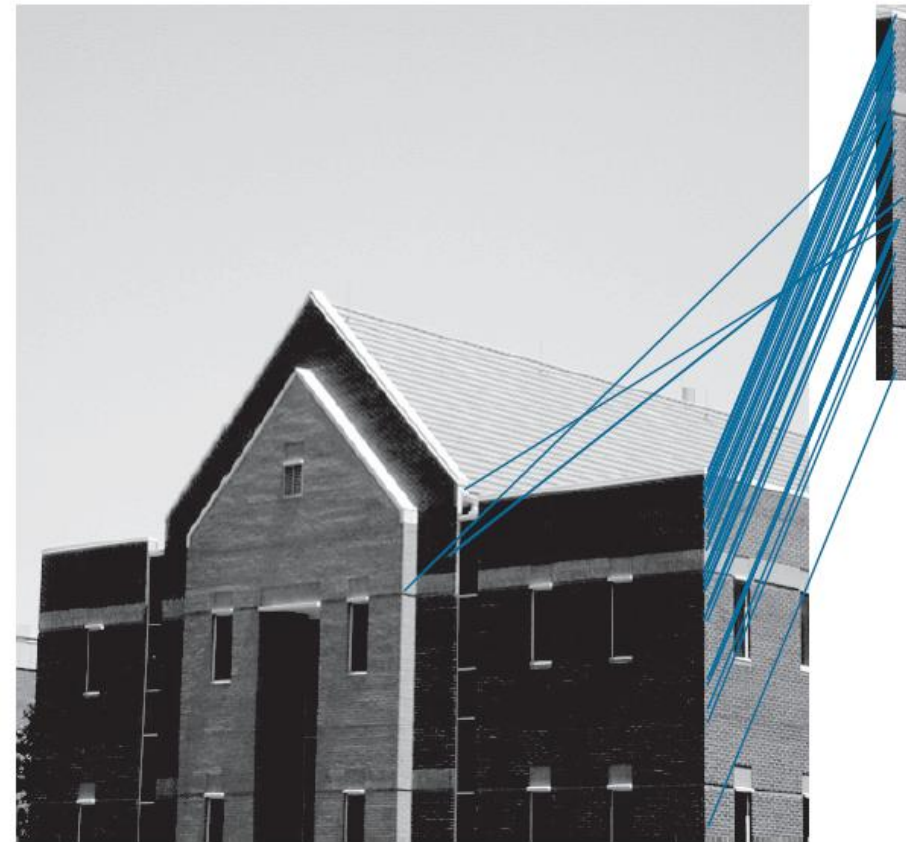
128-dimensional feature vector

Image matching using SIFT

54 keypoints



643 keypoints



Matched: 36 keypoints

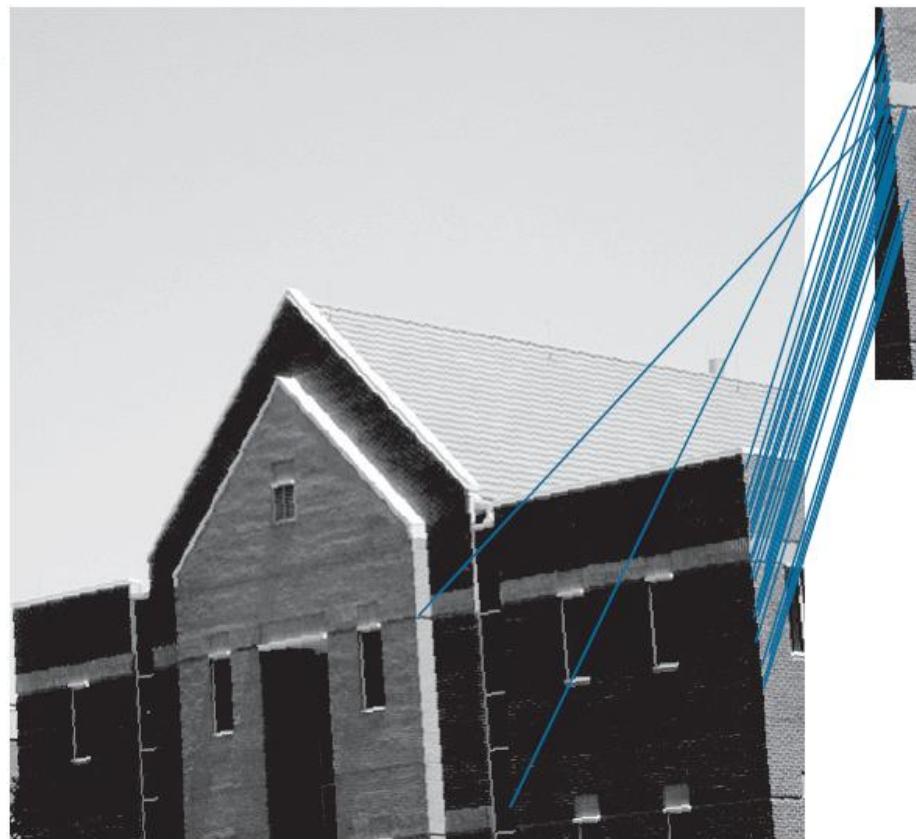
3 are incorrect

Image matching using SIFT

49 keypoints



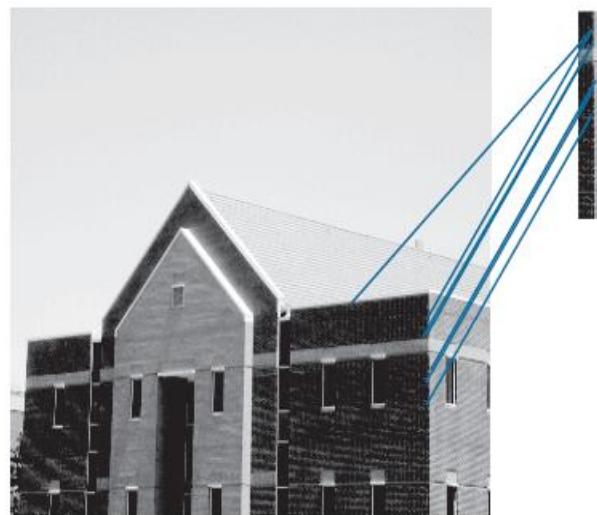
Rotated by 5 degrees
547 keypoints



Matched: 26 keypoints
2 are incorrect

Image matching using SIFT

24 keypoints



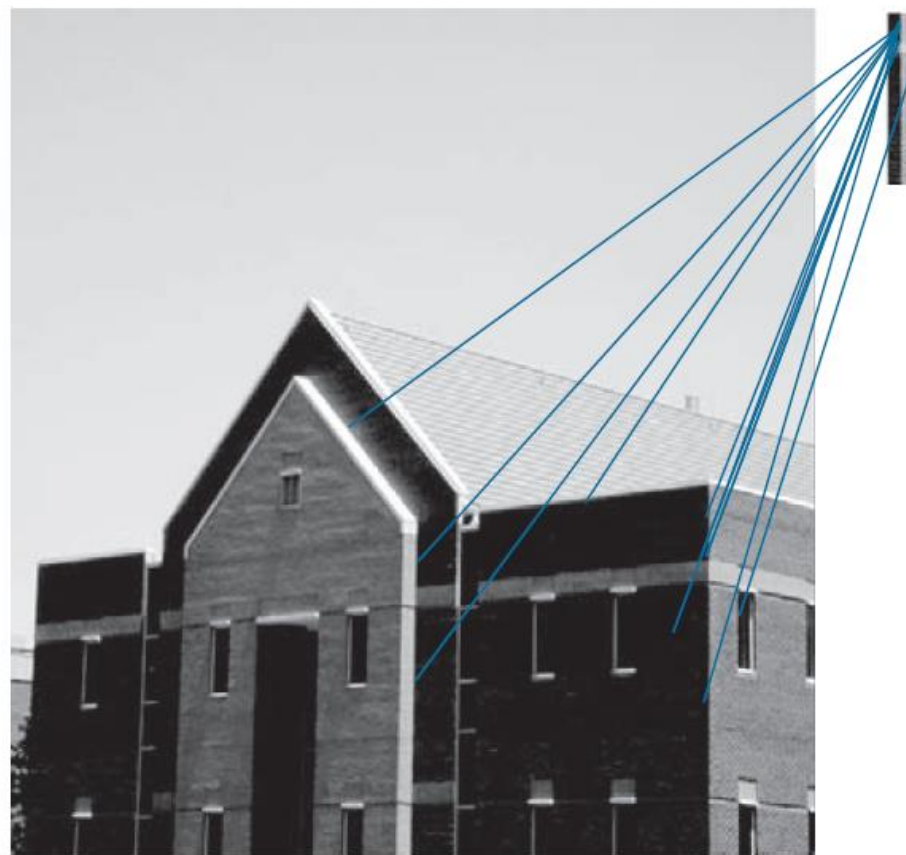
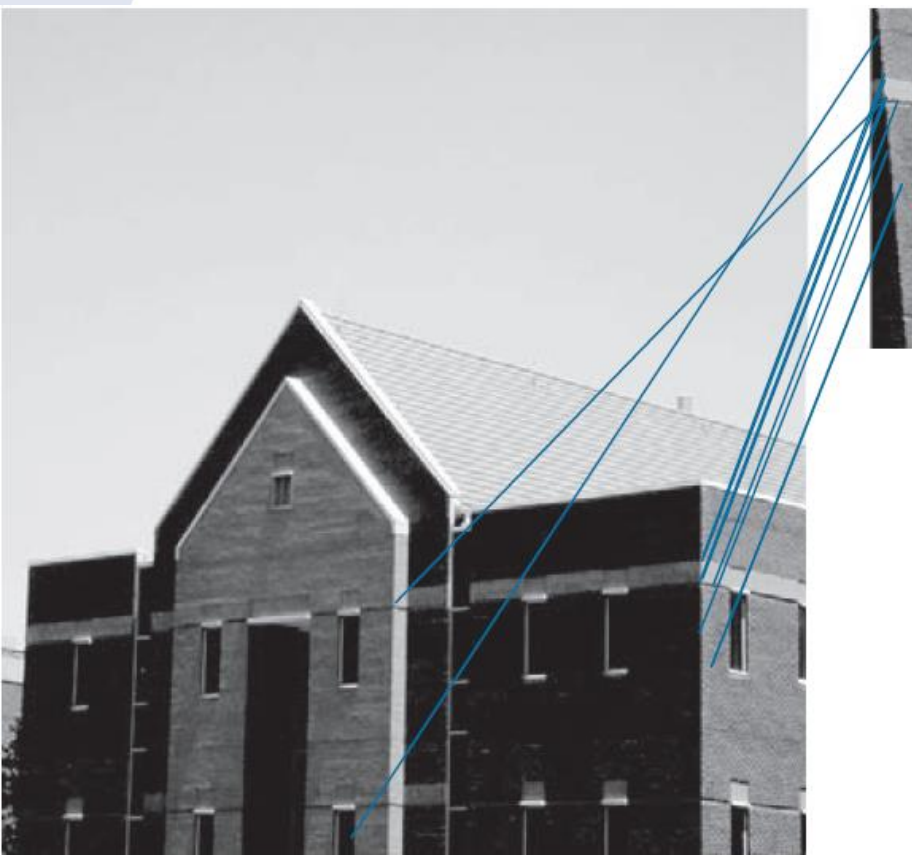
Half size in both directions
195 keypoints

Matched: 7 keypoints
1 is incorrect

Image matching using SIFT

Rotated

Half-sized



Matched: 10 keypoints
2 are incorrect

Matched: 11 keypoints
4 are incorrect

- 11.21, 11.24, 11.27, 11.32, 11.35

课后作业题目请对照参考第4版英文原版