## STATE OF MICHIGAN THIRD JUDICIAL CIRCUIT COURT FOR THE COUNTY OF WAYNE

Plaintiff,  Judge:  -vs-  Merrill Lynch, Pierce, Fenner, & Smith, Inc.,  Defendant.  Nicholas T. Stack (P75095)  SHUMAKER, LOOP & KENDRICK, LLP  Attorneys for Plaintiff  1000 Jackson Street  Toledo, Ohio 43604  Telephone: (419) 241-9000 Fax: (419) 241-6894  Judge:	Vincent A. P	anizzi,	Case No.		-CZ
Defendant.  Nicholas T. Stack (P75095)  SHUMAKER, LOOP & KENDRICK, LLP  Attorneys for Plaintiff  1000 Jackson Street  Toledo, Ohio 43604  Telephone: (419) 241-9000 Fax: (419) 241-6894  Krista L. Elsasser (77904)  Bressler Amery & Ross, P.C.  200 S. Biscayne Blvd., Ste. 2401  Miami, FL 33131  Telephone: 305.501.5480  Facsimile: 305.501.5499  E-mail:	-VS-	Plaintiff,	Judge:		-
Nicholas T. Stack (P75095)  SHUMAKER, LOOP & KENDRICK, LLP  Attorneys for Plaintiff  1000 Jackson Street  Toledo, Ohio 43604  Telephone: (419) 241-9000  Fax: (419) 241-6894  Krista L. Elsasser (77904)  Bressler Amery & Ross, P.C.  200 S. Biscayne Blvd., Ste. 2401  Miami, FL 33131  Telephone: 305.501.5480  Facsimile: 305.501.5499  E-mail:	Merrill Lync	h, Pierce, Fenner, & Smith, Inc.,			
SHUMAKER, LOOP & KENDRICK, LLP Attorneys for Plaintiff 1000 Jackson Street Toledo, Ohio 43604 Telephone: (419) 241-9000 Fax: (419) 241-6894  Bressler Amery & Ross, P.C. 200 S. Biscayne Blvd., Ste. 2401 Miami, FL 33131 Telephone: 305.501.5480 Facsimile: 305.501.5499 E-mail:		Defendant.			
E-Mail: nstack@slk-law.com kelsasser@bressler.com	SHUMAKER, LOOP & KENDRICK, LLP Attorneys for Plaintiff 1000 Jackson Street Toledo, Ohio 43604 Telephone: (419) 241-9000		Bressler Amery & Ross, P.C. 200 S. Biscayne Blvd., Ste. 2401 Miami, FL 33131 Telephone: 305.501.5480 Facsimile: 305.501.5499		

## UNCONTESTED ORDER GRANTING PLAINTIFF VINCENT A. PANIZZI'S MOTION TO VACATE

At a session of Court held in the Wayne County Circuit Court,
Detroit, Michigan, on 2/1/2022

Present: Honorable Susan Hubbard
Circuit Court Judge

This matter is before the Court Pursuant to Section 23 of Michigan's Uniform Arbitration Act, MCL § 691.1703, for the entry of an order to vacate the FINRA arbitration Award dated September 23, 2021 in Arbitration No. 20-03165. Merrill Lynch, Pierce, Fenner, & Smith, Inc., through counsel, has stipulated to and waived notice and hearing on entry of this order. In consideration for this Uncontested Order, Vincent A. Panizzi stipulates to the following:

- 1) The parties will file the same pleadings (statement of claim and answer) that they previously submitted to FINRA,
- 2) The parties will not serve any new discovery requests,
- 3) Mr. Panizzi will produce all documents that the arbitrator pre viously ordered for production,
- 4) Mr. Panizzi will be assessed all FINRA fees for any hearing sessions in the new arbitration (IPHC and expungement hearing) and will be responsible for payment of Merrill Lynch's member fee,
- 5) Mr. Panizzi will call no witnesses other than himself to testify, and
- 6) The expungement hearing will be conducted in one day via Zoom videoconference.

It is, therefore, **ORDERED** that FINRA arbitration Award dated September 23, 2021 in Arbitration No. 20-03165 be vacated and that the matter be reheard before the panel upon the terms contained in this Uncontested Order.

	/s/ Susan Hubbard 2/1/2022
2/1/2022 Dated:	Judge

## I STIPULATE TO THE ENTRY OF THE ABOVE ORDER:

/s/ Nicholas T. Stack /s/Krista L. Esasser (per email consent)

Nicholas T. Stack Krista L. Elsasser

SHUMAKER, LOOP & KENDRICK, LLP Bressler Amery & Ross, P.C

Attorney for Plaintiff Attorney for Merrill Lynch, Pierce,

Vincent A. Panizzi Fenner, & Smith, Inc.

## NOTICE AND HEARING ON ENTRY OF THE ABOVE ORDER IS WAIVED:

/s/ Nicholas T. Stack /s/Krista L. Esasser (per email consent)

Nicholas T. Stack Krista L. Elsasser

SHUMAKER, LOOP & KENDRICK, LLP Bressler Amery & Ross, P.C

Attorneys for Plaintiff Attorney for Merrill Lynch, Pierce,

Vincent A. Panizzi Fenner, & Smith, Inc.