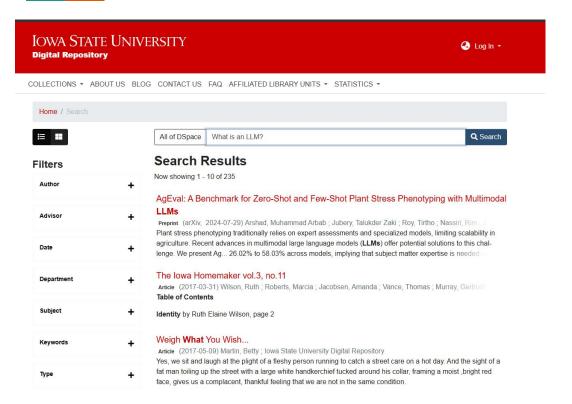
# **RAG Library Search**

Team 01

## Background

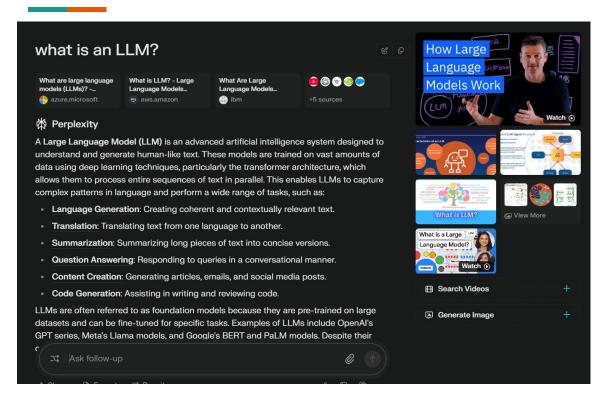


### **ISU Digital Repository**

Find academic papers, projects, etc.

← keyword search flaw

## **Modern Search Platform**



Perplexity.ai

Al search with sources + other relevant info.

Allows conversation-style ← searching

## Well-Defined Problem

**ISU Digital Repository Search has:** 

- 1. Inefficient Document Retrieval
  - Keyword based
- 2. Overwhelming Information Volume
  - Difficult to sift through
- 3. Lack of Intelligent Assistance
  - No dynamic results

# Example

Vague results

User still needs to check each paper

User cannot be specific



#### **IOWA STATE UNIVERSITY Digital Repository**

Home / Search



Q Search

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<b>:</b>		All of DSpace	2024 paper about machine learning
Iters		Search F	Results
Author	+	Now showing 1 - 10 of 1033	
		Designing arti	ifact representation and automa
Advisor	+	Software Engineering  Dissertation (2024-05) Phan, Hung Dang; Jannesari, J	
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tem Type	+		
		Helping Farm	ere with Transcriber: Applying

#### Search Results

#### Designing artifact representation and automated pipeline for machine learning based Software Engineering

Dissertation (2024-05) Phan, Hung Dang; Jannesari, Ali; Cohen, Myra; Le, Wei; Chang, Carl; Quinn, Chris to accurately reflect their characteristics as inputs for SE models. In the second direction, I enhance machine learning model pipelines by adapting them to our new artifact representations and incorporating classical machine translation models to leverage... surpasses the traditional software estimation approach using the Re-

#### Harnessing Citizen Science: Analyzing Bird Population Trends and Migration Patterns

Creative component (2024-12) Rawat, Karan S : Townsend, Anthony : Information Systems and Business Anal This analytical study examines how data science principles and techniques, such as statistical analysis and machine learning, can support bird conservation efforts by analyzing population trends, migration patterns, and external influencing factors... analysis and machine learning to help analyze if citizen science data can pro-

### Machine Learning Approaches Towards Cybersickness Prediction: An Updated Systematic

Article (2024-08) Javadpour, Nikoo; Gilbert, Stephen B; Dorneich, Michael; Fleming, Cody; Industrial and Cybersickness, a form of motion sickness experienced in virtual reality (VR), poses a significant challenge to the widespread adoption of VR technologies. This systematic review explores advancements in machine learning (ML) techniques to detect... and predict cybersickness by analyzing physiological signals. This review spans

### Field inversion machine learning augmented turbulence modeling for time-accurate unsteady

Article (American Institute of Physics, 2024-05-07) Fang, Lean; He, Ping; Department of Aerospace Engin Field inversion machine learning (FIML) has the advantages of model consistency and low data dependency and has been used to augment imperfect turbulence models. However, the solver-intrusive field inversion has a high entry bar, and existing FIML... studies focused on improving only steady-state or time-averaged periodic fig.

#### Helping Farmers with Transcriber: Applying Machine Learning Techniques to Extract Data from Scanned Documents

Creative component (2024-08) Ammari, Saad ; Aduri, Pavan ; Department of Computer Science ; Simanta, Mil our collaboration with SoilSerdem, an AqTech R&D company specializing in agricultural analysis, and explore the

# **Project Objectives**

- 1. Build an Intelligent Retrieval System:
  - semantic search
- 2. Integrate an Al Chatbot:
  - conversation and follow-up questions
- 3. Enhance User Experience:
  - improve research access
- 4. Evaluate Al Models:
  - compare cost and performance
- 5. Lay the Groundwork for Future Expansion:
  - scalable system and more complex functionalities (images/diagrams)

## **Solution - Core Features**

- **Semantic Search** → meaning and context
- Al Chatbot Interface → answers user queries
- **Reference Tracking** → backed by references
- Comparative Analysis of Al Models → open-source models versus paid LLMs
- **Vision vs. Non-Vision Capabilities** → documents that contain images or diagrams.
- Analytics and Metrics Collection → tracks performance metrics
- Research Topics discovery → smart content mapping

# First steps

- Learn and understand the fundamentals
- LLM (Large Language Model)
- What is RAG?
- Experimenting with multiple LLM models
- Apps to run LLMs locally

# **LLM (Large Language Model)**

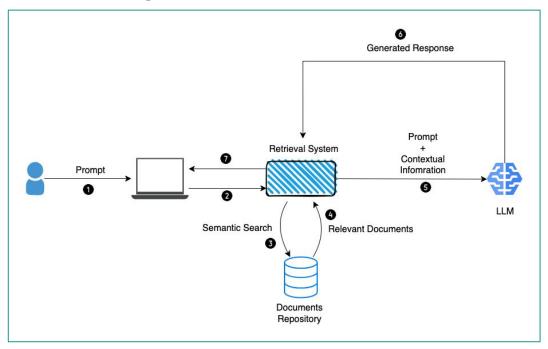
Large: extensive training data and modal size.

Language: focuses on natural language processing.

Model: makes responses based on learned data patterns

But, LLMs are static....

# RAG (Retrieval Augmented Generation)



# Why RAG?

- We need precision and credibility
- Research papers are published constantly
- User needs up-to-date answers

# The experiment

- Select multiple papers from ISU digital repository
- Upload papers to LLMs in groups of three
- Ask general and specific questions
- Ask follow up questions
- Record results
- Compare models
- Decide most suited model for our application

# Running LLMs locally vs cloud

AnythingLLM: provides a multi-user web interface, and supports document-based Q&A

**LM Studio**: models are easy to download, support many open-source models

**OpenWebUI:** open-source, could be hard to set up.

Ollama

Chatgpt.com

Claude.ai

**Google Studio** 

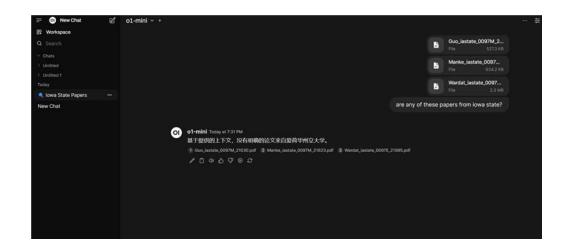
# **Experimenting with LLMs**

### ChatGPT

- GPT 4o
- o1
- o3 mini

**Tested locally** 

Tested via cloud and API



# Claude 3.5 Sonnet

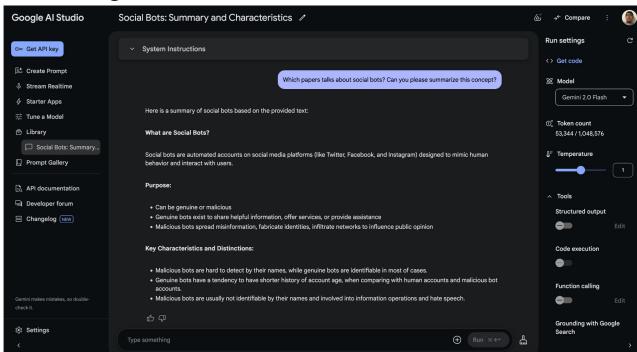
Long context but limited

Better at handling long documents

Could be expensive

Might get confused as documents become larger

### Google's Gemini



Strong factual reasoning.

Supports RAG

Can interpret PDFs that involve images and charts.

Easy API integration

# **Experimenting with LLMs**

### Deepseek R1

- Strengths:
  - Shows how it thinks
- Problems:
  - Slow
  - Hard to escape context
  - Can give incorrect answers for the document is using

# **Experimenting with LLMs**

### Llama 3.2 Vision

- Strengths
  - Straight to the point
- Weaknesses
  - Not very accurate
  - Expensive

## Results

ChatGPT o1 provides most accurate answers; o3-mini can be used for reasoning.

Claude 3.5 Sonnet is fast, and accurate most of the time. Could lose context after multiple follow-up questions.

Gemini 1.8 Flash provides fast token processing

# **Vectorization & Contextualization - Methodology**

### <u>Technical Implementation:</u>

- API Integration: Google Gemini 1.5 Pro LLM
- Vector Database: ChromaDB for document embedding and semantic retrieval
- Document Processing: PDF extraction via pdfplumber with hierarchical chunking
- Answers recorded in JSON files
- Error Handling: Exponential backoff for API calls (2-5-10s) with max\_retries=3

### **Design Choices:**

- ChromaDB for vector database for lightweight architecture and strong search capabilities
- pdfplumber allows for chunking at document and paragraph levels

# **Vectorization & Contextualization - Basic Approach**

### Working:

- Direct document-to-query processing
- Full document context with no fragmentation
- Single-pass analysis without semantic indexing
- Implementation: direct API prompting

#### **Technical Challenges:**

- Context window limitations
- Inability to make connections between different ideas

```
26 def analyze_single_paper(paper_path):
        """Analyze a single paper with basic questions."""
        print(f"\nAnalyzing {os.path.basename(paper_path)}")
        text = extract_text_from_pdf(paper_path)
        results = []
        model = genai.GenerativeModel('gemini-1.5-pro')
33
34
        for q_id, question in BASIC_QUESTIONS.items():
35
            print(f"Processing question: {q_id}")
            prompt = f"Based on this paper, please answer: {question}\n\nPaper content: {text}"
37
38
39
                response = model.generate_content(prompt)
                results.append({
                     'question_id': q_id,
                    'question': question,
                    'response': response.text,
                    'timestamp': datetime.now().isoformat()
            except Exception as e:
                print(f"Error with question {q id}: {e}")
                results.append({
49
                    'question_id': q_id,
                    'question': question,
51
                    'response': None.
52
                    'error': str(e),
53
                    'timestamp': datetime.now().isoformat()
54
55
56
        return results
```

# Vectorization & Contextualization - Vectorized Approach

### Working:

- Document-level dense vector representations
- Semantic similarity search with n\_results=1
- ChromaDB collection with document-level indexing

### **Problems & Challenges:**

- Loss of document structure
- Finding optimality between comprehension and response accuracy is tricky
- Loss of broader context

```
for i in range(1, 4):
   paper_path = os.path.join(papers_dir, f'paper-{i}.pdf')
   if os.path.exists(paper_path):
       print(f"Loading {paper_path}")
       text = extract_text_from_pdf(paper_path)
       if text:
            collection.add(
                documents=[text].
               ids=[f"paper-{i}"],
               metadatas=[{"source": f"paper-{i}"}]
print(f"\nNumber of documents in collection: {collection.count()}")
# Process each paper
for i in range(1, 4):
   paper id = f"paper-{i}"
   print(f"\nAnalyzing {paper_id}")
   paper_results = []
   for a id. question in VECTOR OUESTIONS.items():
       print(f"Processing question: {q_id}")
       try:
           # Get relevant sections
            query results = collection.query(
               query_texts=[question],
               n results=1.
               where={"source": paper_id}
           if query results['documents'] and query results['documents'][0]:
               context = query_results['documents'][0][0]
               prompt = f"Based on this paper section, please answer: {question}\n\nContent: {context}"
               response = get response with retry(model, prompt)
```

## **Vectorization & Contextualization - Context QA**

### Working:

- Multi-document aggregation with boundary markers
- Cross-document thematic analysis
- Category-based question hierarchy (comparative, thematic, synthesis)
- Combined context with explicit document demarcation

### **Challenges:**

- Context window limitations for larger applications
- Combined context window might exceed token limits for models
- Processing entire documents leads to processing overhead
- Treats all documents with equal importance

```
papers_dir = '../data/papers'
papers text = []
# Load papers
for i in range(1, 4):
    paper_path = os.path.join(papers_dir, f'paper-{i}.pdf')
    if os.path.exists(paper_path):
       text = extract_text_from_pdf(paper_path)
        papers_text.append(f"=== Paper {i} ===\n{text}")
# Combine all papers
combined_text = "\n\n".join(papers_text)
results = []
model = genai.GenerativeModel('gemini-1.5-pro')
# Process each category of questions
for category, questions in CONTEXT_QUESTIONS.items():
    print(f"\nProcessing {category} questions...")
    for question in questions:
        print(f"Analyzing: {question}")
        prompt = f""Analyze these research papers together and answer:
        {question}
       Please consider all papers in your analysis and provide specific examples.
        Papers content:
        {combined_text}"""
```

# Vectorization & Contextualization - Vectorized Context Window

### **Working**

- Hybrid chunking strategy with full-document and paragraph-level embeddings
- n\_results=5 with cross-document relevance ranking
- Metadata-based retrieval with source tracking
- ChromaDB query with contextual recombination of top chunks

### **Problem:**

- Rate Limiting implementation is required
- Potential vector database costs
- Data scraping

```
if text:
   # Add full document
   collection.add(
        metadatas=[{"paper_id": f"paper-{i}", "type": "full"}],
        ids=[f"paper-{i}-full"]
    # Split and add chunks
    chunks = text.split('\n\n')
    chunk_count = 0
    for j, chunk in enumerate(chunks):
        if chunk.strip():
            collection.add(
                documents=[chunk].
                metadatas=[{"paper_id": f"paper-{i}", "chunk_id": j, "type": "chunk"}],
                ids=[f"paper-{i}-chunk-{j}"]
           chunk_count += 1
   print(f"Added {chunk_count} chunks for paper-{i}")
```

### **Basic Question and Answer**

## <u>Depth of</u> <u>Understanding</u>

Identifies main findings, results, limitations, etc.
Answers are mostly surface level.

## <u>Contextual</u> <u>Understanding</u>

Connects ideas across different section. Understands relationships between methods, results, and their significance

# **Quality of Response**

Organizes information logically in it's response & presents information in a coherent hierarchy. Sometimes lacks depth.

### **Vectorized Question and Answer**

## <u>Depth of</u> <u>Understanding</u>

Much more detailed technical explanations.

## <u>Contextual</u> <u>Understanding</u>

Stronger linkage between the different sections of the paper. Clearer relationships between methods & their purpose.

# **Quality of Response**

More precise and technically accurate. Better organized responses with a clearer structure.

Contextualized Question and Answer

## <u>Depth of</u> <u>Understanding</u>

Very deep understanding when comparing the different papers. Stronger grasp of theory and practical implications of these papers.

## <u>Contextual</u> <u>Understanding</u>

Exceptional ability to draw inferences across the 3 papers.
Understands how first paper theory lays the framework for the second and third papers.

## Quality of Response

Clear use of examples to support points. Strong analytical answers maintains balance between all 3 papers and individual concepts.

Vectorized Contextual Question and Answer

## <u>Depth of</u> <u>Understanding</u>

Shows significantly enhanced understanding of how papers interconnect and build upon each other.

## <u>Contextual</u> <u>Understanding</u>

Superior ability to trace progression across papers. Better at explaining relationships between theory and practical notions.

# **Quality of Response**

Better organization with clear hierarchical presentation. Stronger supporting examples and evidence

# **Progress & Challenges**

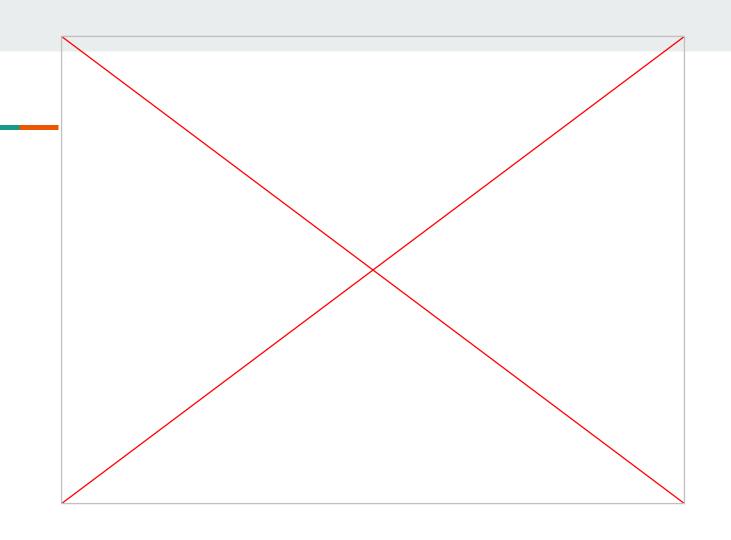
• Experimenting with tools and technologies

# **Remaining Work**

- 1. Unit 1 (Weeks 3-6):
  - Requirements gathering, initial design, and early demos.
- 2. Unit 2 (Weeks 7-11):
  - Development of data ingestion, embedding integration, and LLM-based chatbot features.
- 3. Unit 3 (Weeks 12-13):
  - Final integration, advanced features, and performance analytics.
- 4. Weeks 14-15:
  - Final system polishing, comprehensive testing, and preparation for the final presentation.

## Demo

- Semantic Search
  - Embeddings: text-embedding-ada-002
  - Vector DB: FAISS
- Retrieval Augmented Generation
  - o Gpt-4o api



# **Next Steps**

- Implement ChromaDB, Google Gemini 1.5 Pro LLM
- Create Web Based UI
  - Chatbot
  - Image and Diagram Capabilities