

Chapter 1

A Fully Abstract Game Semantics for Idealized Algol

To introduce our material, we will go back over some old ground, namely the fully abstract game semantics for Idealized Algol developed by Abramsky and McCusker in [AM96]. In keeping with the spirit of this thesis, we will aim to use category theoretic methods, and so our proofs of soundness and adequacy will depart from those given by Abramsky and McCusker, and will instead involve coalgebraic ideas developed by Laird in [Lai02] and [Lai].

1.1 Idealized Algol

The ground types of Idealized Algol are called `com`, `bool`, `nat` and `Var`. The first three are data types corresponding to the sets $\mathbb{C} = \{a\}$, $\mathbb{B} = \{\mathsf{t}, \mathsf{f}\}$ and $\mathbb{N} = \{0, 1, 2, \dots\}$. `com` takes the role of a command or void type; typically, although the return value of a function $T \rightarrow \mathsf{com}$ will not convey any information, the function will have side effects that *do* make a difference.

The type `Var` is the type of a variable that holds elements of \mathbb{N} . It is best understood as corresponding to the following pseudo-Java ‘interface’.

```

public interface Var
{
  nat read();
  com write(nat value);
}

```

We now present the typing rules for the language. Here, Γ will represent a *context*; i.e., a list $x_1 : T_1, \dots, x_n : T_n$ of variable names together with their types.

First, we have the usual rules for the simply typed lambda calculus.

$$\frac{}{\Gamma, x : T \vdash x : T} \quad \frac{\Gamma \vdash M : S \rightarrow T \quad \Gamma \vdash N : S}{\Gamma \vdash MN : T} \quad \frac{\Gamma, x : S \vdash M : T}{\Gamma \vdash \lambda x^S.M : S \rightarrow T}$$

We then have rules for each of the base types. At type **com** we have:

$$\frac{}{\Gamma \vdash \text{skip} : \text{com}} \quad \frac{\Gamma \vdash M : \text{com} \quad \Gamma \vdash N : T}{\Gamma \vdash M; N : T} \quad T \in \{\text{com}, \text{bool}, \text{nat}\}.$$

Here, **skip** is a generic command with no side-effects that returns the unique element of the singleton set \mathbb{C} . $M; N$ represents the sequential composition of M with N ; i.e., the term that first evaluates M , performing any of its side-effects, and then evaluates N and returns the result.

At type **bool** we have true/false values and conditionals.

$$\frac{}{\Gamma \vdash \text{t} : \text{bool}} \quad \frac{}{\Gamma \vdash \text{f} : \text{bool}} \quad \frac{\Gamma \vdash M : \text{bool} \quad \Gamma \vdash N : T \quad \Gamma \vdash P : T}{\Gamma \vdash \text{If } M \text{ then } N \text{ else } P : T} \quad T \in \{\text{com}, \text{bool}, \text{nat}\}$$

At type **nat** we have numerals, arithmetic operators and a conditional that tests whether a number is equal to 0 or not.

$$\frac{}{\Gamma \vdash n : \text{nat}} \quad \frac{\Gamma \vdash M : \text{nat}}{\Gamma \vdash \text{succ } M : \text{nat}} \quad \frac{\Gamma \vdash M : \text{nat}}{\Gamma \vdash \text{pred } M : \text{nat}} \quad \frac{\Gamma \vdash M : \text{nat} \quad \Gamma \vdash N : T \quad \Gamma \vdash P : T}{\Gamma \vdash \text{If0 } M \text{ then } N \text{ else } P : T} \quad T \in \{\text{com}, \text{bool}, \text{nat}\}$$

At type **Var**, we have terms that call the read and write ‘methods’ to dereference the variable or to assign a new value to it.

$$\frac{\Gamma \vdash V : \mathbf{Var}}{\Gamma \vdash !V : \mathbf{nat}} \qquad \frac{\Gamma \vdash V : \mathbf{Var} \quad \Gamma \vdash E : \mathbf{nat}}{\Gamma \vdash V \leftarrow E : \mathbf{com}}$$

We also have the ability to create a new variable.

$$\frac{\Gamma, x : \mathbf{Var} \vdash M : T}{\Gamma \vdash \mathbf{new}_T \lambda x. M : T}$$

The idea here is that if M is a term that refers to some free variable x of type **Var**; then $\mathbf{new} \lambda x. M$ makes x behave like an actual storage cell (so, for instance, the result of the computation $\mathbf{new}_{\mathbf{nat}} \lambda x. (x \leftarrow 5); !x$ will be 5).

We have another way of creating variables, using the **mkvar** keyword. If we think back to our illustration of the **Var** type as an interface, this becomes clearer. **mkvar** creates a new anonymous instance of the **Var** interface, using the ‘methods’ supplied through its arguments.

$$\frac{\Gamma \vdash M : \mathbf{nat} \quad \Gamma \vdash N : \mathbf{nat} \rightarrow \mathbf{com}}{\Gamma \vdash \mathbf{mkvar} MN : \mathbf{Var}}$$

Lastly, we have fixpoint combinators at all types that we use to implement recursion.

$$\frac{\Gamma \vdash M : T \rightarrow T}{\Gamma \vdash \mathbf{Y}_T M : T}$$

1.2 Games and Strategies

We adopt the game semantics from [AM96]; these are based on the game semantics developed in [HO00], with a modification to make them into a linear category.

Definition 1.2.1. An *arena* is a tuple $A = (M_A, \lambda_A, \vdash_A)$, where

- M_A is a set of *moves*,
- $\lambda_A : M_A \rightarrow \{O, P\} \times \{Q, A\}$ is a function that identifies each move as either an *O-move* or a *P-move*, and as either a *question* or an *answer*, and

- \vdash_A is a relation between $M_A + \{*\}$ and M_A such that
 - if $* \vdash_A a$, then $\lambda_A(a) = (O, Q)$, and if $b \vdash_A a$ then $b = *$,
 - if $a \vdash_A b$ and a is an answer, then b is a question, and
 - if $a \vdash_A b$ and $a \neq *$, then either a is an O -move and b a P -move, or the other way round.

If $* \vdash_A a$, then we say that a is an *initial move* in A . If $a \vdash_A b$, then we say that a *enables* b .

As a shorthand, we write $\lambda_A^{OP}: M_A \rightarrow \{O, P\}$ for $\text{pr}_1 \circ \lambda_A$ and $\lambda_A^{QA}: M_A \rightarrow \{Q, A\}$ for $\text{pr}_2 \circ \lambda_A$.

Definition 1.2.2. A *justified sequence* in an arena A is a finite sequence s of moves together with, for each non-initial move a occurring in s , a pointer back to some move b occurring earlier in s such that $b \vdash_A a$. We say that b *justifies* a or that b is the *justifier* of a .

Given such a justified sequence, we define the *P-view* $\lceil s \rceil$ and *O-view* $\lfloor s \rfloor$ of s inductively as follows.

$$\begin{aligned}
 \lceil \epsilon \rceil &= \epsilon \\
 \lceil sa \rceil &= \lceil s \rceil a && \text{if } a \text{ is a } P\text{-move} \\
 \lceil sa \rceil &= a && \text{if } a \text{ is initial} \\
 \lceil sbta \rceil &= \lceil s \rceil ba && \text{if } a \text{ is an } O\text{-move justified by } b \\
 \\
 \lfloor \epsilon \rfloor &= \epsilon \\
 \lfloor sa \rfloor &= \lfloor s \rfloor a && \text{if } a \text{ is an } O\text{-move} \\
 \lfloor sbta \rfloor &= \lfloor s \rfloor ba && \text{if } a \text{ is a } P\text{-move justified by } b
 \end{aligned}$$

A justified sequence s is *well-bracketed* if whenever a question q justifies some answer a , then any question q' occurring after q and before a must justify some answer a' occurring between q' and a , and moreover a is the only answer justified by q . We say that a justified sequence s is *alternating* if it alternates between O -moves and P -moves, and that it is *well-formed* if it is both well-bracketed and alternating.

We say that a well-formed justified sequence is *visible* if whenever $ta \sqsubseteq s$, and a is a P -move, then the justifier of a occurs in the P -view of t , and if

whenever $tb \sqsubseteq s$, and b is a non-initial O -move, then the justifier of b occurs in the O -view of t .

We say that a justified sequence s is *legal* if it is well-formed and visible, and write L_A for the set of legal sequences occurring in A .

Note that since every non-initial move in a justified sequence s must be justified by some previous move, then the first move in the sequence must be initial and therefore an O -question. If s is alternating, this means that s ends with an O -move if it has odd length and with a P -move if it has even length.

Definition 1.2.3. Given a legal sequence $s \in L_A$, and a move b in s , we say that a move a in s is *hereditarily justified by b* if there is a chain of justification pointers going back from a to b .

We write $s|_b$ for the subsequence of s given by all moves in s that are hereditarily justified by b . Given a set I of initial moves, we write $s|_I$ for the subsequence of s given by all moves that are hereditarily justified by some $b \in I$.

A *game* is given by a tuple $A = (M_A, \lambda_A, \vdash_A, P_A)$ where $(M_A, \lambda_A, \vdash_A)$ is an arena and P_A is a non-empty prefix-closed subset of L_A such that if $s \in P_A$ and I is a set of initial moves, then $s|_I \in P_A$.

We shall call an odd-length sequence $s \in P_A$ an *O -position* and an even-length sequence a *P -position*.

Example 1.2.4 (Empty game). The *empty game* I is given by the tuple

$$(\emptyset, \emptyset, \emptyset, \{\epsilon\}),$$

where ϵ is the empty sequence.

Example 1.2.5 (Data-type games). Let X be some set. Then we have a game, which we shall also call X , given by:

- $M_X = \{q\} + X$,
- $\lambda_X(q) = (O, Q)$ and $\lambda_X(x) = (P, A)$ for all $x \in X$,
- $q \vdash_X x$ for each $x \in X$, and
- $P_X = \{\epsilon, q\} \cup \{qx : x \in X\}$, where the x in qx is justified by q .

In particular, we have games \mathbb{C} , \mathbb{B} and \mathbb{N} , which we shall use to model the datatypes `com`, `bool` and `nat` of Idealized Algol.

Definition 1.2.6. Let A be a game. Then a *strategy* for A is a non-empty even-prefix-closed set $\sigma \subseteq P_A$ of P -positions in A such that if $sab, sac \in \sigma$ then $b = c$ and the justifier of b is the justifier of c .

Here, we have identified a strategy for a game with the set of P -positions that can occur when player P plays according to that strategy. So the condition we have given is one of *determinism*: in any O -position sa that can occur in the strategy, player P must have at most one reply.

Note that there may be O -positions for which player P has no reply at all; we use these to model non-terminating computations.

We write $\sigma : A$ to denote that σ is a strategy for the game A .

Definition 1.2.7. A strategy σ for a game A is called *innocent* if player P 's moves only depend on the current P -view; i.e., if whenever $sab \in \sigma$, $t \in \sigma$ and $ta \in P_A$ such that $\ulcorner sa \urcorner = \ulcorner ta \urcorner$, then we have $tab \in \sigma$.

1.3 Connectives on Games

In the *product* $A \times B$ of games A and B , player O chooses either A or B on the first move and subsequent play is that game.

Definition 1.3.1. Given games A, B , define a game $A \times B$ by

- $M_{A \times B} = M_A + M_B$,
- $\lambda_{A \times B} = [\lambda_A, \lambda_B]$,
- $* \vdash_{A \times B} a$ if and only if $* \vdash_A a$ or $* \vdash_B a$ and $a \vdash_{A \times B} b$ if and only if $a \vdash_A b$ or $a \vdash_B b$, and
- $P_{A \times B} = \{s \in L_{A \times B} : s|_A \in P_A \ \& \ s|_B = \epsilon \text{ or } s|_A = \epsilon \ \& \ s|_B \in P_B\}$.

We extend this to arbitrary products $\prod_i A_i$ in the obvious way. In particular, the product 1 of the empty collection is the same as the empty game I defined in Example 1.2.4.

Here, we have written $s|_A$ for the subsequence of s consisting of all moves from M_A and $s|_B$ for the subsequence consisting of all moves from M_B .

In the *tensor product* $A \otimes B$ of games A and B , the games A and B are played in parallel, and player O may switch between games when it is his turn.

Definition 1.3.2. Given games A, B , define a game $A \otimes B$ by

- $M_{A \otimes B} = M_A + M_B$,
- $\lambda_{A \otimes B} = [\lambda_A, \lambda_B]$,
- $* \vdash_{A \otimes B} a$ if and only if $* \vdash_A a$ or $* \vdash_B a$ and $a \vdash_{A \otimes B} b$ if and only if $a \vdash_A b$ or $a \vdash_B b$, and
- $P_{A \otimes B} = \{s \in L_{A \otimes B} : s|_A \in P_A \text{ and } s|_B \in P_B\}$.

In the *linear implication* $A \multimap B$, the game B is played in parallel with a version of A in which the two players' roles have been switched around, and player P may switch between the two games when it is her turn.

Definition 1.3.3. Given games A, B , define a game $A \multimap B$ by

- $M_{A \multimap B} = M_A + M_B$,
- $\lambda_{A \multimap B} = [\neg \circ \lambda_A, \lambda_B]$,
- $* \vdash_{A \multimap B} a$ if and only if $* \vdash_B a$, and $a \vdash_{A \multimap B} b$ if and only if $a \vdash_A b$ or $a \vdash_B b$, or if a is initial in B and b is initial in a , and
- $P_{A \multimap B} = \{s \in L_{A \multimap B} : s|_A \in P_A \text{ and } s|_B \in P_B\}$.

Here, $\neg : \{O, P\} \times \{Q, A\} \rightarrow \{O, P\} \times \{Q, A\}$ is the function that reverses O and P , while leaving $\{Q, A\}$ unchanged.

In the *exponential* of a game A , infinitely many copies of A are played in parallel, and player O may switch between copies whenever it is his move.

Definition 1.3.4. Given a game A , define a game $!A$ by

- $M_{!A} = M_A$,
- $\lambda_{!A} = \lambda_A$,
- $\vdash_{!A} = \vdash_A$ and
- $P_{!A} = \{s \in L_{!A} : s|_b \in P_A \text{ for each initial move } b \text{ occurring in } s\}$.

Lastly, the *sequoid* $A \odot B$ of two games A and B behaves like the tensor product $A \otimes B$, except that the opening move must take place in A .

Definition 1.3.5. Given games A, B , define a game $A \odot B$ by

- $M_{A \odot B} = M_{A \otimes B}$,

- $\lambda_{A \oslash B} = \lambda_{A \otimes B}$,
- $\vdash_{A \oslash B} = \vdash_{A \otimes B}$ and
- $P_{A \oslash B} = \{s \in P_{A \otimes B} : s = \epsilon \text{ or } s \text{ begins with a move from } A\}$.

1.4 Composition of strategies

Definition 1.4.1. Let A, B, C be arenas. An *interaction sequence* between A, B, C is a justified sequence \mathfrak{s} of moves drawn from M_A , M_B and M_C such that $\mathfrak{s}|_{A,B} \in L_{A \multimap B}$ and $\mathfrak{s}|_{B,C} \in L_{B \multimap C}$. Here, $\mathfrak{s}|_{A,B}$ is the subsequence of \mathfrak{s} consisting of those moves from \mathfrak{s} that occur in A or B , together with all justification pointers between moves in A and B , and $\mathfrak{s}|_{B,C}$ is defined similarly.

We write $\text{int}(A, B, C)$ for the set of all interaction sequences between A, B, C .

Given $\mathfrak{s} \in \text{int}(A, B, C)$, we write $\mathfrak{s}|_{A,C}$ for the subsequence of \mathfrak{s} consisting of those moves from \mathfrak{s} that occur in A or C . A move b in $\mathfrak{s}|_{A,C}$ justifies a move a either if b justifies a in either the A or the C components, or if b justifies in \mathfrak{s} some initial move c in B , which itself justifies a .

Definition 1.4.2. Let A, B, C be games, let σ be a strategy for $A \multimap B$ and let τ be a strategy for $B \multimap C$. We define $\sigma \parallel \tau$ to be given by the set

$$\{\mathfrak{s} \in \text{int}(A, B, C) : \mathfrak{s}|_{A,B} \in \sigma \text{ and } \mathfrak{s}|_{B,C} \in \tau\}.$$

Then we define the *composition* $\sigma; \tau$ of σ and τ to be given by the set

$$\{\mathfrak{s}|_{A,C} : \mathfrak{s} \in \sigma \parallel \tau\}.$$

We need some small lemmata and definitions to help us show that this is a strategy.

Lemma 1.4.3. *We extend the function λ_A^{OP} to sequences of moves by*

- $\lambda_A^{OP}(\epsilon) = P$ and
- $\lambda_A^{OP}(sa) = \lambda_A(a)$.

If $s \in P_{A \multimap B}$, then $\lambda_{A \multimap B}^{OP}(s) = (\lambda_A^{OP}(s|_A) \Rightarrow \lambda_B^{OP}(s|_B))$, where \Rightarrow is the binary operation on $\{O, P\}$ defined by

P	Q	$P \Rightarrow Q$
P	P	P
O	P	P
P	O	O
O	O	P

Moreover, if $\lambda_A^{OP}(s|_A) = O$ then $\lambda_{A \multimap B}^{OP}(s|_B) = O$.

Proof. Induction on the length of s . If $s = \epsilon$, then $s|_A = s|_B = \epsilon$, and so $(\lambda_A^{OP}(s|_A) \Rightarrow \lambda_B^{OP}(s|_B)) = (P \Rightarrow P) = P = \lambda_{A \multimap B}^{OP}(s)$.

Suppose then that $s = ta$, and that $\lambda_{A \multimap B}^{OP}(t) = O$. This means that $\lambda_A^{OP}(t|_A) = P$ and $\lambda_B^{OP}(t|_B) = O$. Then, whether a is a move in A or a move in B , adding it will flip exactly one of these components – so $\lambda_{A \multimap B}(s|_A) = O$ and $\lambda_{A \multimap B}^{OP}(s|_B) = O$ if a is a move in A and $\lambda_{A \multimap B}(s|_A) = P$ and $\lambda_{A \multimap B}^{OP}(s|_B) = P$ if a is a move in C .

Suppose instead that $\lambda_{A \multimap B}^{OP}(t) = P$. By induction, this means that either $\lambda_A^{OP}(t|_A) = P$ and $\lambda_B^{OP}(t|_B) = P$ or that $\lambda_A^{OP}(t|_A) = O$ and $\lambda_B^{OP}(t|_B) = O$. In the first case, this means that either $t|_A$ is empty or its last move is a P -move in A (and therefore an O -move in $A \multimap B$), and so the move a must take place in C , meaning that $\lambda_A^{OP}(s|_A) = P$ and $\lambda_B^{OP}(s|_B) = O$.

Similarly, in the second case, the last move in $t|_C$ must be an O -move in B (and therefore an O -move in $A \multimap B$), and so the move a must take place in A , meaning that $\lambda_A^{OP}(s|_A) = P$ and $\lambda_B^{OP}(s|_B) = O$. \square

It follows that

Corollary 1.4.4 (Switching condition). *Only player P may switch between games in $A \multimap B$; i.e., if $tab \in P_{A \multimap B}$, and a occurs in A and b in B , or if a occurs in B and b in A , then b is a P -move.*

Proof. Otherwise, $\lambda_{A \multimap B}(t) = O$, so $\lambda_A(t|_A) = P$ and $\lambda_B(t|_B) = O$. But we must also have $\lambda_{A \multimap B}(tab) = O$, so $\lambda_A(tab|_A) = P$ and $\lambda_B(tab|_B) = O$. But this is a contradiction, since $tab|_A$ and $tab|_B$ are both one move longer than the plays $t|_A$ and $t|_B$. \square

Definition 1.4.5 ([Har06, §3.1]). Given $\mathfrak{s} \in \text{int}(A, B, C)$, we define the *P-view* $\lceil \mathfrak{s} \rceil$ of \mathfrak{s} inductively as follows.

$$\begin{aligned} \lceil \epsilon \rceil &= \epsilon \\ \lceil \mathfrak{s}a \rceil &= \lceil \mathfrak{s} \rceil a \quad \text{if } a \text{ is a move in } B, \text{ an } O\text{-move in } A \text{ or a } P\text{-move in } C \\ \lceil \mathfrak{s}c \rceil &= c \quad \text{if } c \text{ is an initial move of } C \\ \lceil \mathfrak{s}bta \rceil &= \lceil \mathfrak{s} \rceil ba \quad \text{if } a \text{ is a } P\text{-move of } A \text{ or an } O\text{-move of } C \\ &\quad \text{and is justified by } b \end{aligned}$$

Lemma 1.4.6. *If $\mathfrak{s} \in \text{int}(A, B, C)$, then $\lceil \mathfrak{s} \rceil|_{A,C} = \lceil \mathfrak{s}|_{A,C} \rceil$.*

Proof. Induction on the length of \mathfrak{s} . This is clear if $\mathfrak{s} = \epsilon$.

If a is an O -move in A or a P -move in C , then a is a P -move in $A \multimap C$. We have $\lceil \mathfrak{s}a \rceil|_{A,C} = \lceil \mathfrak{s} \rceil|_{A,C}a$, which by the inductive hypothesis is equal to $\lceil \mathfrak{s}|_{A,C} \rceil a$, which is the same as $\lceil \mathfrak{s}a|_{A,C} \rceil$. If b is a move in B , then $\lceil \mathfrak{s}b \rceil|_{A,C} = \lceil \mathfrak{s} \rceil|_{A,C}b = \lceil \mathfrak{s} \rceil|_{A,C} = \lceil \mathfrak{s}|_{A,C} \rceil = \lceil \mathfrak{s}b|_{A,C} \rceil$, by the inductive hypothesis.

If c is initial in C , then $\lceil \mathfrak{s}c \rceil|_{A,C} = c = \lceil \mathfrak{s}c|_{A,C} \rceil$.

Suppose a is a P -move of A or an O -move of C – so a is an O -move in $A \multimap C$ – and suppose that a is justified by b in the sequence $\mathfrak{s}bta$. Since a cannot be an initial move in A , b must occur in the same game as a , and in particular must not occur in B . Then we have $\lceil \mathfrak{s}bta \rceil|_{A,C} = \lceil \mathfrak{s} \rceil|_{A,C}ba = \lceil \mathfrak{s} \rceil|_{A,C}ba$, which by the inductive hypothesis is equal to $\lceil \mathfrak{s}|_{A,C} \rceil ba = \lceil \mathfrak{s}ba|_{A,C} \rceil$. \square

Lemma 1.4.7 ([Har06, §3.1]). *Let $\mathfrak{s}a \in \text{int}(A, B, C)$ (so, in particular, $\mathfrak{s}|_{A,B}$ and $\mathfrak{s}|_{B,C}$ satisfy the visibility condition). If a is a move in B , an O -move in A or a P -move in C , then $\lceil \mathfrak{s}a \rceil \in \text{int}(A, B, C)$.*

Proof. Induction on the length of \mathfrak{s} . If $\mathfrak{s} = \epsilon$, then this is clear. Otherwise, suppose that \mathfrak{s} is non-empty.

First, we claim that $\lceil \mathfrak{s} \rceil \in \text{int}(A, B, C)$. If \mathfrak{s} ends with a move in B , an O -move in A or a P -move in C , then this follows immediately from the inductive hypothesis. Otherwise, suppose that \mathfrak{s} ends with a P -move in A or an O -move in C . If this last move is initial, then $\lceil \mathfrak{s} \rceil$ is a single move, so the claim is trivial. Otherwise, write $\mathfrak{s} = \mathfrak{t}pur$, where p justifies r . By the inductive hypothesis, we have $\lceil \mathfrak{t}p \rceil \in \text{int}(A, B, C)$, and then $\lceil \mathfrak{s} \rceil = \lceil \mathfrak{t}pur \rceil = \lceil \mathfrak{t} \rceil pr = \lceil \mathfrak{t}p \rceil r \in \text{int}(A, B, C)$.

Now, since a is a P -move in $A \multimap B$ or in $B \multimap C$, its predecessor b is an O -move and has some justifier c contained in $\ulcorner \mathfrak{s} \urcorner_X$, where $X \in \{A \multimap B, B \multimap C\}$ is that component in which a is a P -move. Then this c is preceded by some other O -move b' , which is necessarily also contained in $\ulcorner \mathfrak{s} \urcorner$, and so has some justifier c' , contained in $\ulcorner \mathfrak{s} \urcorner_X$ by visibility. Continuing in this way until we reach an initial move, we build up the whole of the sequence $\ulcorner \mathfrak{s} \urcorner_X$ as a subsequence of $\ulcorner \mathfrak{s} \urcorner$. Therefore, the justifier of a must be contained in $\ulcorner \mathfrak{s} \urcorner$, and so $\ulcorner \mathfrak{s}a \urcorner = \ulcorner \mathfrak{s} \urcorner a \in \text{int}(A, B, C)$. \square

Lemma 1.4.8 (*O*-views in the linear implication, [HO00, 4.2, 4.3]). *Let A, B be games, and let bs be a non-empty play in $A \multimap B$ beginning with an initial move b in B .*

- i) If bs ends with a P -move in B , then $\ulcorner bs \urcorner_{A \multimap B} = \ulcorner bs \urcorner_{B \multimap B}$.*
- ii) If bs ends with a P -move in A , then $\ulcorner bs \urcorner_{A \multimap B} = b^\ulcorner s \urcorner_A^A$.*

Proof. Induction on the length of s . If $s = \epsilon$, then bs ends with an O -move in B , and we have $\ulcorner b \urcorner_{A \multimap B} = b = \ulcorner b \urcorner^B$.

Otherwise, suppose that bs ends with a P -move c in B . Let d be the justifier of c . Then d must be an O -move in B . Write $bs = tduc$, where t, u are sequences. Then $\ulcorner tduc \urcorner_{A \multimap B} = \ulcorner t \urcorner_{A \multimap B} dc$ and $\ulcorner tduc \urcorner_{B \multimap B} = \ulcorner t \urcorner_{B \multimap B} dc$. By Corollary 1.4.4, t must end with a P -move in B , or be empty, so by the inductive hypothesis we have $\ulcorner t \urcorner_{A \multimap B} = \ulcorner t \urcorner_{B \multimap B}$. Therefore, $\ulcorner bs \urcorner_{A \multimap B} = \ulcorner tduc \urcorner_{A \multimap B} = \ulcorner t \urcorner_{B \multimap B} dc = \ulcorner tduc \urcorner_{B \multimap B} = \ulcorner bs \urcorner_{B \multimap B}$.

Next, suppose that bs ends with a P -move a in A . Let c be the justifier of a . Then c must be an O -move in A . Write $s = tcua$, where t, u are sequences. Then $\ulcorner btcua \urcorner_{A \multimap B} = \ulcorner bt \urcorner_{A \multimap B} ca$ and $\ulcorner tcua \urcorner_A^A = \ulcorner t \urcorner_A^A ca$, since the roles are reversed in A . By Corollary 1.4.4, t must end in a P -move in A , or be empty, so by the inductive hypothesis we have $\ulcorner bt \urcorner_{A \multimap B} = b^\ulcorner t \urcorner_A^A$. Therefore, $\ulcorner bs \urcorner_{A \multimap B} = \ulcorner btcua \urcorner_{A \multimap B} = \ulcorner bt \urcorner_{A \multimap B} ca = b^\ulcorner t \urcorner_A^A ca = b^\ulcorner tcua \urcorner_A^A = b^\ulcorner s \urcorner_A^A$. \square

Proposition 1.4.9. $\sigma; \tau$ is a strategy for $A \multimap C$.

Proof. First, we claim that $\mathfrak{s}|_{A,C} \in P_{A \multimap B}$ for any $\mathfrak{s} \in \sigma \parallel \tau$. Since we certainly have $\mathfrak{s}|_{A,C}|_A = \mathfrak{s}|_{A,B}|_A \in P_A$ and $\mathfrak{s}|_{A,C}|_C = \mathfrak{s}|_C = \mathfrak{s}|_{B,C}|_C \in P_C$, it suffices to show that $\mathfrak{s}|_{A,C} \in L_{A \multimap C}$.

Suppose that $ta \sqsubseteq \mathfrak{s}|_{A,C}$. We claim that $\lambda_{A \multimap C}(t) = \neg \lambda_{A \multimap C}(a)$. By Lemma 1.4.3, we are in one of the following configurations.

$\lambda_A^{OP}(t _A)$	$\lambda_B^{OP}(t _B)$	$\lambda_C^{OP}(t _C)$	$\lambda_{A \multimap B}^{OP}(t _{A,B})$	$\lambda_{B \multimap C}^{OP}(t _{B,C})$	$\lambda_{A \multimap C}^{OP}(t _{A,C})$
P	P	P	P	P	P
P	P	O	P	O	O
P	O	O	O	P	O
O	O	O	P	P	P

In the configuration PPP , the move a cannot be a move in A , since that would leave $ta|_{A \multimap B}$ in the configuration OP , which is impossible by Lemma 1.4.3. Therefore, it must be a move in C , and must therefore be an O -move in C and hence an O -move in $A \multimap C$.

In the configuration PPO , once again the move a cannot take place in A , since this would leave $ta|_{A \multimap B}$ in an illegal configuration. Therefore, it must occur in C , and must be a P -move in C and hence a P -move in $A \multimap C$.

In the configuration POO , the move a cannot take place in C , or it would leave $ta|_{B,C}$ in the illegal configuration OP , so the move a takes place in A . Therefore, it must be an O -move in A and hence a P -move in $A \multimap C$.

Lastly, in the configuration OOO , the move a cannot occur in C , or it would leave $ta|_{B,C}$ in the configuration OP , and so it must take place in A . Therefore, it must be a P -move in A , and hence an O -move in $A \multimap C$.

Having established that $\mathfrak{s}|_{A,C}$ is alternating, we now show that it is well-bracketed. Suppose that a question move q in $\mathfrak{s}|_{A,C}$ justifies some answer move a . q and a must occur in the same component, since the only case in which a move from one of A and C can justify a move in the other is when both moves are initial, and hence questions. Suppose first that q and a both occur in the game C . Suppose that some other question move q' occurs between q and a in $\mathfrak{s}|_{A,C}$. If q' occurs in C , then it must be answered by some a' occurring between q' and a , since $\mathfrak{s}|_C$ is a well-bracketed sequence. Otherwise, suppose that q' occurs in A .

By examining the table above, we see that there must be some move in B occurring between q and q' in \mathfrak{s} , since moves in A move between configurations OOO and POO , while moves in C move us between configurations PPP and PPO . Let b be the earliest such move. Then b must be a question; indeed, if it is an answer, then it is non-initial and so can only be justified by questions in B . But such a question must occur earlier in $\mathfrak{s}|_{B,C}$ than q , which would mean that q was an unanswered question when the move b was played, contradicting well-bracketedness of $\mathfrak{s}|_{B,C}$. Since b is a question, it

must be answered by some a'' occurring between b and a . Therefore, since $\mathfrak{s}|_{A,B}$ is well-bracketed, the move q' must be answered by some a' occurring between a' and a'' in $\mathfrak{s}|_{A,B}$, and therefore between a' and a in $\mathfrak{s}|_{A,C}$.

The case when q and a both occur in A is similar.

Lastly, we need to show that $\mathfrak{s}|_{A,C}$ satisfies the visibility condition. Let $ta \sqsubseteq \mathfrak{s}|_{A,C}$. Choose some $\mathfrak{t} \sqsubseteq \mathfrak{s}$ such that $\mathfrak{t}|_{A,C} = t$.

Suppose a is a P -move. Then by Lemma 1.4.7, $\lceil ta \rceil \in \text{int}(A, B, C)$. By Lemma 1.4.6, $\lceil t \rceil a = \lceil ta \rceil = \lceil ta \rceil|_{A,C}$, and therefore that the justifier of a must be inside $\lceil t \rceil$.

Secondly, suppose that a is an O -move. If a is an O -move in C , then either it is initial or t ends with some P -move in B , and therefore $\lceil t \rceil_{A \multimap C} = \lceil t \rceil_{B \multimap B} = \lceil \mathfrak{t} \rceil_{B,C \multimap B}$. Therefore, since $\mathfrak{t}|_{B,C}$ satisfies visibility, the justifier of a must lie in $\lceil \mathfrak{t} \rceil_{A \multimap C}$. If a is an O -move in A , then write $t = cu$ and $\mathfrak{t} = c\mathfrak{u}$, where c is the starting move in C . We have $\lceil cua \rceil_{A \multimap C} = c \lceil u \rceil_{A \multimap A} \lceil a \rceil^A = \lceil c\mathfrak{u} \rceil_{A,B \multimap A \multimap B}$. Therefore, the justifier of a must lie in $\lceil \mathfrak{t} \rceil_{A \multimap C}$.

Therefore, $\mathfrak{s}|_{A,C} \in L_{A \multimap C}$, so $\mathfrak{s}|_{A,C} \in P_{A \multimap C}$.

It is fairly clear that $\sigma; \tau$ is even-prefix closed, since σ and τ are. Indeed, if $\mathfrak{s}|_{A,C} \in \sigma; \tau$ and $t \sqsubseteq \mathfrak{s}|_{A,C}$, then we may choose some prefix \mathfrak{t} of \mathfrak{s} such that $t = \mathfrak{t}|_{A,C}$. Then $\mathfrak{t}|_{A,B} \sqsubseteq \mathfrak{s}|_{A,B} \in \sigma$ and $\mathfrak{t}|_{B,C} \sqsubseteq \mathfrak{s}|_{B,C} \in \tau$, so $\mathfrak{t} \in \sigma \parallel \tau$.

We claim that every sequence in $\sigma; \tau$ has even length. Indeed, if $\mathfrak{s}|_{A,B} \in \sigma$ and $\mathfrak{s}|_{B,C} \in \tau$, then both $\mathfrak{s}|_{A,B}$ and $\mathfrak{s}|_{B,C}$ must have even length, so must be in configuration OO or PP . This means that \mathfrak{s} as a whole must be in configuration OOO or PPP , and so $\mathfrak{s}|_{A,C}$ must be in configuration OO or PP , so must have even length.

Lastly, we need to show that $\sigma; \tau$ is deterministic. Suppose that $sab, sac \in \sigma; \tau$, and suppose that $b \neq c$. Suppose that $\mathfrak{s}|_{A,C} = sab$ and $\mathfrak{t}|_{A,C} = sac$, for $\mathfrak{s}, \mathfrak{t} \in \sigma \parallel \tau$, and let u be the longest common prefix of $\mathfrak{s}, \mathfrak{t}$. \mathfrak{s} and \mathfrak{t} are certainly incomparable under the prefix ordering, since $\mathfrak{s}|_{A,C}$ and $\mathfrak{t}|_{A,C}$ are, so we have $up \sqsubseteq \mathfrak{s}$ and $uq \sqsubseteq \mathfrak{t}$, where $p \neq q$. Now p and q cannot be O -moves in A , P -moves in C or moves in B , or they would have to be equal by determinism of σ and τ . Therefore, they are P -moves in A or O -moves in C , but this contradicts $\mathfrak{s}|_{A,C} = sab$ and $\mathfrak{t}|_{A,C} = sac$.

Therefore, the composition $\sigma; \tau$ is a strategy. \square

We also want to show that the composition of innocent strategies is innocent.

We follow the proof given in [Har06]. First, we use a lemma.

Lemma 1.4.10 ([Har06, 3.3.3]). *Let $\mathfrak{s}a \in \text{int}(A, B, C)$.*

- i) If a is a P -move of A or an O -move of B , then $\lceil \mathfrak{s}a \rceil_{A,B} = \lceil \lceil \mathfrak{s}a \rceil \rceil_{A,B}$.*
- ii) If a is a P -move of B or an O -move of C , then $\lceil \mathfrak{s}a \rceil_{B,C} = \lceil \lceil \mathfrak{s}a \rceil \rceil_{B,C}$.*

Proof. Induction on the length of \mathfrak{s} . We prove (i); the proof of (ii) is exactly the same.

If a is a P -move of A or an O -move of B , then it is an O -move of $A \multimap B$. If a is an initial move of $A \multimap B$, then we have $\lceil \mathfrak{s} \rceil_{A,Ba} = a = \lceil a \rceil_{A,B} = \lceil \lceil \mathfrak{s}a \rceil \rceil_{A,B}$. Otherwise, write $\mathfrak{s} = t\mathfrak{u}$, where b justifies a . Then $\lceil \mathfrak{s}a \rceil_{A,B} = \lceil t \rceil_{A,B} \lceil \mathfrak{u}a \rceil_{A,Ba} = \lceil t \rceil_{A,B} \lceil ba \rceil$, which by the inductive hypothesis is equal to $\lceil \lceil t \rceil \rceil_{A,B} \lceil ba \rceil$, which is equal to $\lceil \lceil t\mathfrak{u}a \rceil \rceil_{A,B} = \lceil \lceil \mathfrak{s}a \rceil \rceil_{A,B}$. \square

Proposition 1.4.11. *If $\sigma: A \multimap B$ and $\tau: B \multimap C$ are innocent strategies, then $\sigma; \tau: A \multimap C$ is innocent.*

Proof. Suppose there are $sab, t \in \sigma; \tau$ such that $ta \in P_{A \multimap C}$, $\lceil sa \rceil = \lceil ta \rceil$. Let $\mathfrak{s}'b$ be such that $\mathfrak{s}'b|_{A,C} = sab$ and choose the minimal prefix $\mathfrak{s} \sqsubseteq \mathfrak{s}'$ such that $\mathfrak{s}a|_{A,C} = sa$.

Let $\mathfrak{t}a$ be such that $\mathfrak{t}a|_{A,C} = ta$. Since $\lceil sa \rceil = \lceil ta \rceil$, we have $\lceil \mathfrak{s}a \rceil_{A,C} = \lceil \mathfrak{s}a \rceil_{A,C} = \lceil sa \rceil = \lceil ta \rceil = \lceil \mathfrak{t}a \rceil_{A,C}$ by Lemma 1.4.6. Let \mathfrak{u} be the longest common prefix of $\lceil \mathfrak{s}a \rceil$ and $\lceil \mathfrak{t}a \rceil$. If $\mathfrak{s}a$ and $\mathfrak{t}a$ are not equal, then without loss of generality there is some $\mathfrak{u}p \sqsubseteq \mathfrak{s}$, where $\mathfrak{u}p \not\sqsubseteq \mathfrak{t}$. Then, by determinism of σ and τ , this p cannot be a P -move in either $A \multimap B$ or $B \multimap C$, so it must be a P -move in A or an O -move in C , and is therefore preceded by another move in A or C , which contradicts $\lceil \mathfrak{s}a \rceil_{A,C} = \lceil \mathfrak{t}a \rceil_{A,C}$. Therefore, $\lceil \mathfrak{s}a \rceil = \lceil \mathfrak{t}a \rceil$.

Now write $\mathfrak{s}' = sab_1 \cdots b_n b$, where each b_i is a move in B . We show by induction that $tab_1 \cdots b_j \in \sigma \parallel \tau$. Indeed, if $tab_1 \cdots b_{j-1} \in \sigma \parallel \tau$, then b_j (or b) is a P -move in either $A \multimap B$ or $B \multimap C$, and b_{j-1} is an O -move in that same component. Write X for the component ($A \multimap B$ or $B \multimap C$) in which b_j is a P -move. Repeating the argument above, we see that $\lceil tab_1 \cdots b_{j-1} \rceil = \lceil \mathfrak{s}ab_1 \cdots b_{j-1} \rceil$, and so we have that $\lceil tab_1 \cdots b_{j-1} \rceil_X = \lceil \mathfrak{s}ab_1 \cdots b_{j-1} \rceil_X$ by Lemma 1.4.10. Therefore, by innocence of σ (if $X = A \multimap B$) or τ (if $X = B \multimap C$), we see that $tab_1 \cdots b_j \in \sigma \parallel \tau$. It follows that $tab_1 \cdots b_n b \in \sigma \parallel \tau$, and therefore that $tab \in \sigma; \tau$. \square

1.5 Associativity of composition

In this section, we shall prove that composition of strategies is associative; i.e., that if $\sigma: A \multimap B$, $\tau: B \multimap C$ and $v: C \multimap D$ are strategies, then $(\sigma; \tau); v = \sigma; (\tau; v)$. To do this, if A, B, C, D are arenas, we define the set $\text{int}(A, B, C, D)$ to be the set of all sequences \mathbf{u} of moves such that $\mathbf{u}|_{A,B} \in L_{A \multimap B}$, $\mathbf{u}|_{B,C} \in L_{B \multimap C}$ and $\mathbf{u}|_{C,D} \in L_{C \multimap D}$. Given such a sequence \mathbf{u} , we define $\mathbf{u}|_{A,D}$ as before; i.e., we take all moves from \mathbf{u} occurring in A and D , together with justification pointers within these games, and if an initial move in A is justified by an initial move in B , which is justified by an initial move in C , which is justified by an initial move in D , then we add a justification pointer from that move in A to that move in D .

Given strategies σ, τ, v as above, we define $\sigma \parallel \tau \parallel v$ to be the set of all $\mathbf{u} \in \text{int}(A, B, C, D)$ such that $\mathbf{u}|_{A,B} \in \sigma$, $\mathbf{u}|_{B,C} \in \tau$ and $\mathbf{u}|_{C,D} \in v$. We then claim that:

Lemma 1.5.1.

$$(\sigma; \tau); v = \{\mathbf{u}|_{A,C} : \mathbf{u} \in \sigma \parallel \tau \parallel v\} = \sigma; (\tau; v).$$

Proof. Firstly, if $\mathbf{u} \in \sigma \parallel \tau \parallel v$, then it is clear to see that $\mathbf{u}|_{A,B,C} \in \sigma \parallel \tau$ and that $\mathbf{u}|_{B,C,D} \in \tau \parallel v$, and therefore that $\{\mathbf{u}|_{A,C} : \mathbf{u} \in \sigma \parallel \tau \parallel v\} \subseteq (\sigma; \tau); v$ and $\{\mathbf{u}|_{A,C} : \mathbf{u} \in \sigma \parallel \tau \parallel v\} \subseteq \sigma; (\tau; v)$.

Conversely, suppose that $\mathbf{t} \in (\sigma; \tau); v$, so that $\mathbf{t}|_{A,C} \in \sigma; \tau$ and $\mathbf{t}|_{C,D} \in v$, and choose some $\mathbf{s} \in \sigma \parallel \tau$ such that $\mathbf{s}|_{A,C} = \mathbf{t}|_{A,C}$. We may write

$$\mathbf{s} = \mathbf{c}_1 \mathbf{b}_1 \mathbf{a}_1 \cdots \mathbf{c}_n \mathbf{b}_n \mathbf{a}_n$$

for some (possibly empty) sequences of moves \mathbf{a}_i from A , \mathbf{b}_i from B and \mathbf{c}_i from C . We may then write

$$\mathbf{t} = \mathbf{d}_1 \mathbf{c}_1 \mathbf{a}_1 \cdots \mathbf{d}_n \mathbf{c}_n \mathbf{a}_n$$

(for the same $\mathbf{a}_i, \mathbf{c}_i$), and we can therefore interleave these sequences into the sequence

$$\mathbf{u} = \mathbf{d}_1 \mathbf{c}_1 \mathbf{b}_1 \mathbf{a}_1 \cdots \mathbf{d}_n \mathbf{c}_n \mathbf{b}_n \mathbf{a}_n,$$

which is in $\sigma \parallel \tau \parallel v$. Then we have $\mathbf{u}|_{A,D} = \mathbf{t}|_{A,D}$, and it follows that $(\sigma; \tau); v \subseteq \{\mathbf{u}|_{A,C} : \mathbf{u} \in \sigma \parallel \tau \parallel v\}$, and the case for $\sigma; (\tau; v)$ is identical. \square

1.6 Copycat strategies

Definition 1.6.1. Let A, B be games. Then a *subset inclusion* of A into B is a partial injection $i: M_A \hookrightarrow M_B$ such that

- if i is defined at a and b then $* \vdash_A a$ if and only if $* \vdash_B i(a)$, and $a \vdash_A b$ if and only if $i(a) \vdash_B i(b)$;
- $i(a)$ is defined for every move a occurring in a play in P_A ; and
- $i_*(s) \in P_B$ for every $s \in P_A$.

Here, $i_*(s)$ means the function i applied pointwise to the elements of the string s .

If i is a subset inclusion of A into B , then we get an innocent strategy $\text{subs}_i: B \multimap A$ defined by

$$\text{subs}_i = \{s \in P_{B \multimap A} : \text{for all even-length } t \sqsubseteq s, t|_B = i_*(t|_A)\}.$$

If $P_B = \{i_*(s) : s \in P_A\}$, then we call it a *structural isomorphism*, and we write cc_i ('copycat') for subs_i .

Proposition 1.6.2. subs_i is an innocent strategy.

Moreover, if $\sigma: C \multimap B$ is a strategy, then

$$\sigma; \text{subs}_i = \{[\text{id}_{M_C}, i^{-1}]_*(s) : s \in \sigma, s|_B \in i_*(P_A)\},$$

where $i^{-1}: M_B \multimap M_A$ is the canonical partial right-inverse to i , and if $\tau: A \multimap D$ is a strategy, then

$$\text{subs}_i; \tau = \{[i, \text{id}_{M_D}]_*(s) : s \in \tau\}.$$

Proof. subs_i is clearly prefix-closed by definition. Suppose that $sab, sac \in \text{subs}_i$; then $s|_A = i_*(s|_B)$ and $sab|_A = i_*(sab|_B)$. It follows that $ab|_A = i_*(ab|_B)$, so either a is a move in A and $b = i(a)$ or a is a move in B and $a = i(b)$. Since the same applies to c , and since i is injective, we have $b = c$.

This argument also shows that subs_i is *history-free* – i.e., that its reply to an O -position is entirely determined by the last O -move – and therefore it is certainly innocent.

Now let $\sigma: C \multimap B$ be a strategy. Suppose that $\mathfrak{s} \in \sigma \parallel \text{subs}_i$. Then $\mathfrak{s}|_{C,B} \in \sigma$ and $\mathfrak{s}|_B = i_*(\mathfrak{s}|_A)$; i.e., $\mathfrak{s}|_{C,B} = [\text{id}_{M_C}, i]_*(\mathfrak{s}|_{C,A})$, and therefore $\mathfrak{s}|_{C,A} = [\text{id}_{M_C}, i]_*(\mathfrak{s}|_{C,B})$, where $\mathfrak{s}|_B \in i_*(P_A)$.

Conversely, given $s \in \sigma$, where $s|_B \in i_*(P_A)$, for each P -move $b = i(a)$ in s occurring in the component B , insert the move a immediately after it, and for each O -move $b' = i(a')$ in s occurring in the component B , insert the move a' immediately before it. Let these extra moves in B be justified according to the original moves in A , and let all initial moves in B be justified by the initial moves in A that occur immediately before them. Then the resulting sequence \mathfrak{s} is contained in $\sigma \parallel \text{subs}_i$, and $\mathfrak{s}|_{A,C} = [\text{id}_{M_C}, i^{-1}]_*(s)$.

The case for composition in the other direction is similar. \square

An easy corollary of this fact is that composition of copycat strategies respects composition of the underlying subset inclusions.

Corollary 1.6.3. *Let i be a subset inclusion from A to B and let j be a subset inclusion from B to C . Then $j \circ i$ is a subset inclusion from A to C and $\text{cc}_{j \circ i} = \text{subs}_j; \text{subs}_i: C \multimap A$.*

It is also easy to see from Proposition 1.6.2 that the identity function $\text{id}: M_A \rightarrow M_A$ is a structural isomorphism from A to itself, and that the resulting copycat strategy cc_{id} is an identity for composition. Combining this with our result for associativity in the previous chapter, we get that

Theorem 1.6.4. *The collection of games forms a category \mathcal{G} , where the morphisms $A \rightarrow B$ are strategies for $A \multimap B$, composition is as above and the identity morphisms are the copycat strategies induced from the identity functions on moves.*

In this setting, Proposition 1.6.2 tells us that a structural isomorphism gives rise to an isomorphism in \mathcal{G} .

Proposition 1.6.5. *Let f be a structural isomorphism from a game A to a game B . Then cc_f is an isomorphism in \mathcal{G} from A to B .*

Proof. The underlying partial injection $f: M_A \hookrightarrow M_B$ has an inverse partial injection $f^{-1}: M_B \rightarrow M_A$, inducing a structural isomorphism from B to A . Then Proposition 1.6.2 tells us that cc_f and $\text{cc}_{f^{-1}}$ are inverses in \mathcal{G} . \square

General subset inclusions are not, of course, isomorphisms, but we can still say something category-theoretic about them.

Proposition 1.6.6. *Let i be a subset inclusion from a game A to a game B . Then the strategy subs_i is an epimorphism from B to A .*

Proof. In fact, it is a split epimorphism: we can define a retract

$$\text{ret}_i = \{s \in P_{A \multimap B} : \text{for all even-length } t \sqsubseteq s, t|_A = i_*(t|_B)\}.$$

The same argument as in Proposition 1.6.2 tells us that this is indeed a strategy for $A \multimap B$. Note that although subs_i is always a total strategy (i.e., if $s \in \text{subs}_i$ and $sa \in P_{B \multimap A}$, then there is always $sab \in \text{subs}_i$ for some b), the same is not in general true about ret_i .

In any case, if $\mathfrak{s} \in \text{ret}_i \parallel \text{subs}_i$, then $\mathfrak{s}|_{A^L} = i_*(\mathfrak{s}|_B) = \mathfrak{s}|_{A^R}$, and the same is true of any even-length substring of \mathfrak{s} , and so $\mathfrak{s}|_{A,A} \in \text{id}_A$. Conversely, given any $s \in \text{id}_A$, we can form some $\mathfrak{s} \in \text{ret}_i \parallel \text{subs}_i$ such that $\mathfrak{s}|_{A,A} = s$ as in Proposition 1.6.2.

We can also prove that subs_i is an epimorphism directly, which might be useful, for example, in a setting in which non-total strategies such as ret_i are disallowed. In this setting, let $\sigma, \tau: A \multimap C$ be strategies such that $\text{subs}_i; \sigma = \text{subs}_i; \tau$. Then, by Proposition 1.6.2, we know that

$$\{[i, \text{id}_{M_C}]_*(s) : s \in \sigma\} = \{[i, \text{id}_{M_C}]_*(s) : s \in \tau\}.$$

Then, since the function $[i, \text{id}_{M_D}]_*: P_{A \multimap C} \rightarrow P_{B \multimap C}$ is an injection, we deduce that $\sigma = \tau$. \square

1.7 \mathcal{G} as a Symmetric Monoidal Category

We now claim that the tensor product connective \otimes makes \mathcal{G} into a symmetric monoidal closed category, with internal hom given by \multimap .

Definition 1.7.1. Let $\sigma: A \multimap B$ and $\tau: C \multimap D$ be strategies. We define a strategy $\sigma \otimes \tau: (A \otimes C) \multimap (B \otimes D)$ by

$$\sigma \otimes \tau = \{s \in P_{(A \otimes C) \multimap (B \otimes D)} : s|_{A,B} \in \sigma \text{ and } s|_{C,D} \in \tau\}.$$

To prove that this is a strategy, we prove a lemma analogous to our Lemma 1.4.3.

Lemma 1.7.2. *Let $s \in P_{A \otimes B}$. Then $\lambda_{A \otimes B}^{OP}(s) = \lambda_A^{OP}(s|_A) \wedge \lambda_B^{OP}(s|_B)$, where \wedge is the binary operator on $\{O, P\}$ given by*

p	q	$p \wedge q$
P	P	P
O	P	O
P	O	O
O	O	O

Moreover, either $\lambda_A^{OP}(s|_A) = P$ or $\lambda_B^{OP}(s|_B) = P$.

Proof. Mutual induction on the length of s . This is obvious if s is empty. Suppose that $sa \in P_{A \otimes B}$, where a is an O -move. By induction, since $\lambda_{A \otimes B}(s) = P$, we must have $\lambda_{A \otimes B}(s|_A) = P$ and $\lambda_{A \otimes B}(s|_B) = P$. Therefore, depending on which game a is played in, either $\lambda_A(sa|_A) = O$ and $\lambda_B(sa|_B) = P$ or $\lambda_A(sa|_A) = P$ and $\lambda_B(sa|_B) = O$.

If $sb \in P_{A \otimes B}$, where b is a P -move, then by induction either $\lambda_A(s|_A) = O$ and $\lambda_B(s|_B) = P$ or $\lambda_A(s|_A) = P$ and $\lambda_B(s|_B) = O$. In either case, player P must play in whichever game is currently in an O -position, returning us to configuration PP . \square

The above proof gives us the following result, which is analogous to Corollary 1.4.4.

Corollary 1.7.3 (Switching condition for \otimes). *Player O switches games in $A \otimes B$; i.e., if $sab \in P_{A \otimes B}$, where a and b take place in different games (i.e., a in A and b in B or a in B and b in A), then b is an O -move.*

Proposition 1.7.4. $\sigma \otimes \tau$ is a strategy for $(A \otimes C) \multimap (B \otimes D)$.

Proof. $\sigma \otimes \tau$ is certainly an even-prefix-closed subset of $P_{(A \otimes C) \multimap (B \otimes D)}^{even}$.

Let s be a play of $P_{(A \otimes B) \multimap (C \otimes D)}$. We consider the possible configurations of s ; i.e., the tuples $(\lambda_A(s|_A), \lambda_B(s|_B), \lambda_C(s|_C), \lambda_D(s|_D))$.

By Lemma 1.4.3 we must avoid the overall configuration OP for the linear implication, and by Lemma 1.7.2 we must avoid the configuration OO inside

either tensor product, so we end up with the following possibilities.

$\lambda_A(s _A)$	$\lambda_C(s _C)$	$\lambda_B(s _B)$	$\lambda_D(s _D)$	$\lambda_{A \otimes C}(s _{A,C})$	$\lambda_{B \otimes D}(s _{B,D})$	$\lambda_{(A \otimes C) \multimap (B \otimes D)}(s)$
P	P	P	P	P	P	P
P	P	P	O	P	O	O
P	P	O	P	P	O	O
P	O	P	O	O	O	P
O	P	O	P	O	O	P
P	O	O	P	O	O	P
O	P	P	O	O	O	P

Now, if $s \in \sigma \otimes \tau$, or an odd-length sequence formed by adding an O -move to the end of a sequence in $\sigma \otimes \tau$, then we also know that $s|_{A,B} \in \sigma \subseteq P_{A \multimap B}$ and that $s|_{C,D} \in \tau \subseteq P_{C \multimap D}$. This means that we can discount the last two configurations in the table above, since one contains the illegal configuration OP in $C \multimap D$ and the other contains the illegal configuration OP in $A \multimap B$.

Now suppose that $sab, sac \in \sigma \otimes \tau$. Then sa is an O -position in $(A \otimes C) \multimap (B \otimes D)$, and is therefore in configuration $PPPO$ or $PPOP$. By inspecting the table above, we see that if sa is in configuration $PPPO$, then b and c must both occur either in C or in D , and that if sa is in configuration $PPOP$, then b and c must both occur either in A or in B . In either case, we must have $b = c$, by determinism of τ (in the first case) or of σ (in the second case). \square

We need a lemma to prove that the tensor product of two innocent strategies is innocent.

Lemma 1.7.5. *Let $s \in \sigma \otimes \tau$.*

- i) *If s ends with a move in A or B , then $\ulcorner s \urcorner^{(A \otimes C) \multimap (B \otimes D)} = \ulcorner s|_{A,B} \urcorner^{A \multimap B}$.*
- ii) *If s ends with a move in C or D , then $\ulcorner s \urcorner^{(A \otimes C) \multimap (B \otimes D)} = \ulcorner s|_{C,D} \urcorner^{C \multimap D}$.*

Proof. Induction on the length of s . We prove (i); (ii) is exactly the same.

If a is a P -move, then we have $\ulcorner sa \urcorner = \ulcorner s \urcorner a$. By our analysis in the proof of Proposition 1.7.4, player P only switches moves between A and B , and between C and D , so s must end with a move from A or B . Therefore, by the inductive hypothesis, $\ulcorner s \urcorner = \ulcorner s|_{A,B} \urcorner$. Then $\ulcorner sa \urcorner = \ulcorner s \urcorner a = \ulcorner s|_{A,B} \urcorner a = \ulcorner sa|_{A,B} \urcorner$.

If a is an initial move, then $\ulcorner sa \urcorner = a = \ulcorner sa|_{A,B} \urcorner$.

If a is an O -move justified by b in $sbta$, then $\lceil sbta \rceil = \lceil s \rceil ba$. Then b is a P -move, so s must end with a move in A or B , as before. Therefore, by the inductive hypothesis, $\lceil s \rceil = \lceil s|_{A,B} \rceil$. Then $\lceil sbta \rceil = \lceil s \rceil ba = \lceil s|_{A,B} \rceil ba = \lceil sbta|_{A,B} \rceil$. \square

Proposition 1.7.6. *Let $\sigma: A \rightarrow B$, $\tau: C \rightarrow D$ be innocent strategies. Then $\sigma \otimes \tau$ is innocent.*

Proof. Suppose $sab, t \in \sigma \otimes \tau$ such that $ta \in P_{(A \otimes C) \rightarrow (B \otimes D)}$ and $\lceil sa \rceil = \lceil ta \rceil$. Suppose without loss of generality that a is a move in A or B . Then $\lceil sa \rceil = \lceil sa|_{A,B} \rceil$ and $\lceil ta \rceil = \lceil ta|_{A,B} \rceil$ by Lemma 1.7.5, and therefore $tab|_{A,B} \in \sigma$ by innocence of σ , and so $tab \in \sigma \otimes \tau$. \square

The most important thing we need to prove is that \otimes is a functor.

Proposition 1.7.7. *Let $\sigma': A'' \multimap A'$, $\sigma: A' \multimap A$, $\tau': B'' \multimap B'$ and $\tau: B' \multimap B$ be strategies. Then $(\sigma' \otimes \tau'); (\sigma \otimes \tau) = (\sigma'; \sigma) \otimes (\tau'; \tau)$.*

Moreover, if A', A, B', B are games, i is a subset inclusion from A to A' and j is a structural isomorphism from B to B' , then $\text{subs}_i \otimes \text{subs}_j = \text{subs}_{[i,j]}$. In particular, if A and B are games, then $\text{id}_A \otimes \text{id}_B = \text{id}_{A \otimes B}$.

Proof. First suppose that $s \in (\sigma' \otimes \tau'); (\sigma \otimes \tau)$; so $s = \mathfrak{s}|_{A'', B'', A, B}$, where $\mathfrak{s} \in (\sigma' \otimes \tau') \| (\sigma \otimes \tau)$. Then $\mathfrak{s}|_{A'', A'} \in \sigma'$ and $\mathfrak{s}|_{A', A} \in \sigma$, so $\mathfrak{s}|_{A'', A', A} \in \sigma' \| \sigma$ and therefore $s|_{A'', A} = \mathfrak{s}|_{A'', A} \in \sigma'; \sigma$. Similarly, $s|_{B'', B} \in \tau'; \tau$, and therefore $s \in (\sigma'; \sigma) \otimes (\tau'; \tau)$.

Conversely, suppose that $s \in (\sigma'; \sigma) \otimes (\tau'; \tau)$. Choose some $\mathfrak{s} \in \sigma' \| \sigma$, $\mathfrak{t} \in \tau' \| \tau$ such that $s|_{A'', A} = \mathfrak{s}|_{A'', A}$ and $s|_{B'', B} = \mathfrak{t}|_{B'', B}$. By our analysis, the only time we switch from the A'', A -component to the B'', B component in s , or *vice versa*, is when player O switches between the games A and B . Thus, we may divide s up into blocks, each starting and ending with a move in the outer component $A \otimes B$. This then gives us a way to divide up \mathfrak{s} and \mathfrak{t} into blocks, such that each block of \mathfrak{s} or \mathfrak{t} projects on to a block of s . Lastly, we can string these blocks together to give us some $\mathfrak{u} \in (\sigma' \otimes \tau') \| (\sigma \otimes \tau)$ such that $\mathfrak{u}|_{A'', B'', A, B} = s$.

For the second part, let A', A, B', B be games, let i be a structural isomorphism from A to A' and let j be a structural isomorphism from B to B' . Suppose that $s \in \text{subs}_i \otimes \text{subs}_j$. Then $s|_{A', A} \in \text{subs}_i$ and $s|_{B', B} \in \text{subs}_j$ – so if $u \sqsubseteq s|_{A, A}$ has even length, then $u|_{A'} = i_*(u|_A)$, and if $v \sqsubseteq s|_{B, B}$ has

even length, then $v|_{B'} = i_*(v|_B)$. Suppose that $t \sqsubseteq s$ is of even length. Then, since only player O switches between the A', A -component and the B', B -component, both $t|_{A', A}$ and $t|_{B', B}$ are of even length, it follows that $t|_{A', B'} = [i, j]_*(t|_{A, B})$. Since t was arbitrary, this means that $s \in \text{subs}_{[i, j]}$.

Conversely, suppose that $s \in \text{subs}_{[i, j]}$. Then for all even-length $t \sqsubseteq s$, $t|_{A'} = i_*(t|_A)$ and $t|_{B'} = j_*(t|_B)$. Since any play in σ or in τ is itself a play of $\sigma \otimes \tau$, then if $u \sqsubseteq s|_{A', A}$ has even length, then $u|_{A'} = i_*(u|_A)$, and if $v \sqsubseteq s|_{B', B}$, then $v|_{B'} = j_*(v|_B)$. It follows that $s|_{A', A} \in \text{subs}_i$ and $s|_{B', B} \in \text{subs}_j$, and therefore that $s \in \text{subs}_i \otimes \text{subs}_j$. \square

Now it is fairly clear that if A, B, C are games, then we have structural isomorphisms

$$\begin{aligned} (A \otimes B) \otimes C &\cong A \otimes (B \otimes C) \\ A &\cong A \otimes I & A &\cong I \otimes A \\ A \otimes B &\cong B \otimes A, \end{aligned}$$

induced by the associators, unitors and symmetry of the category of sets with coproduct. We claim that these are natural transformations.

Proposition 1.7.8. *The families of morphisms*

$$\begin{aligned} \text{cc}_{\text{assoc}_{M_A, M_B, M_C}} : (A \otimes B) \otimes C &\rightarrow A \otimes (B \otimes C) \\ \text{cc}_{\text{lunit}_{M_A}} : A &\rightarrow I \otimes A & \text{cc}_{\text{runit}_{M_A}} : A &\rightarrow A \otimes I \\ \text{cc}_{\text{sym}_{M_A, M_B}} : A \otimes B &\rightarrow B \otimes A \end{aligned}$$

are natural transformations in \mathcal{G} .

Proof. We prove this for the associator; the other cases are similar.

Let $\sigma : A' \multimap A$, $\tau : B' \multimap B$, $v : C' \multimap C$ be strategies. By Proposition 1.6.2,

we have

$$\begin{aligned}
& ((\sigma \otimes \tau) \otimes v); \text{cc}_{\text{assoc}_{M_A, M_B, M_C}} \\
&= \{ [\text{id}_{M_{(A' \otimes B') \otimes C'}}, \text{assoc}_{M_A, M_B, M_C}]_*(s) : s \in (\sigma \otimes \tau) \otimes v \} \\
&= \left\{ [\text{id}_{M_{(A' \otimes B') \otimes C'}}, \text{assoc}_{M_A, M_B, M_C}]_*(s) \left| \begin{array}{l} s \in P_{((A' \otimes B') \otimes C') \multimap ((A \otimes B) \otimes C)} \\ s|_{A', A} \in \sigma, s|_{B', B} \in \tau, s|_{C', C} \in v \end{array} \right. \right\} \\
&= \{ s \in P_{((A' \otimes B') \otimes C') \multimap (A \otimes (B \otimes C))} : s|_{A', A} \in \sigma, s|_{B', B} \in \tau, s|_{C', C} \in v \} \\
&= \left\{ [\text{assoc}_{M_{A'}, M_{B'}, M_{C'}}, \text{id}_{M_{A \otimes (B \otimes C)}}]_*(s) \left| \begin{array}{l} s \in P_{(A' \otimes (B' \otimes C')) \multimap (A \otimes (B \otimes C))} \\ s|_{A', A} \in \sigma, s|_{B', B} \in \tau, s|_{C', C} \in v \end{array} \right. \right\} \\
&= \{ [\text{assoc}_{M_{A'}, M_{B'}, M_{C'}}, \text{id}_{M_{A \otimes (B \otimes C)}}]_*(s) : s \in \sigma \otimes (\tau \otimes v) \} \\
&= \text{cc}_{\text{assoc}_{M_{A'}, M_{B'}, M_{C'}}}; (\sigma \otimes (\tau \otimes v)). \quad \square
\end{aligned}$$

Then, by Proposition 1.6.2 again, these natural transformations satisfy the same coherence diagrams (pentagon, triangles, hexagon etc.) satisfied by the original associators, unitors and symmetry in $(\mathbf{Set}, +)$.

It follows that \otimes makes \mathcal{G} into a symmetric monoidal category.

1.8 \mathcal{G} as a Symmetric Monoidal Closed Category

Definition 1.8.1. Let A, B, C, D be games, let σ be a strategy for $A \multimap B$ and let τ be a strategy for $C \multimap D$. Then we define a strategy $\sigma \multimap \tau : (B \multimap C) \multimap (A \multimap D)$ by

$$\sigma \multimap \tau = \{ s \in P_{(B \multimap C) \multimap (A \multimap D)} : s|_{A, B} \in \sigma, s|_{C, D} \in \tau \}.$$

Proposition 1.8.2. $\sigma \multimap \tau$ is a strategy for $(B \multimap C) \multimap (A \multimap D)$.

Proof. $\sigma \multimap \tau$ is certainly a prefix-closed subset of $P_{(B \multimap C) \multimap (A \multimap D)}^{\text{even}}$.

We examine the sign configuration of a play in $(B \multimap C) \multimap (A \multimap D)$, using Lemma 1.4.3. Since we must avoid the configuration OP in either $B \multimap C$, $A \multimap D$ or in $(B \multimap C) \multimap (A \multimap D)$, we arrive at the following list of

possibilities.

$\lambda_B^{OP}(s _B)$	$\lambda_C^{OP}(s _C)$	$\lambda_A^{OP}(s _A)$	$\lambda_D^{OP}(s _D)$	$\lambda_{B \multimap C}^{OP}(s _{B,C})$	$\lambda_{A \multimap D}^{OP}(s _{A,D})$	$\lambda_{(B \multimap C) \multimap (A \multimap D)}^{OP}(s)$
P	O	P	O	O	O	P
P	P	P	O	P	O	O
O	O	P	O	P	O	O
P	P	P	P	P	P	P
O	O	O	O	P	P	P
P	P	O	O	P	P	P
O	O	P	P	P	P	P

If $s \in \sigma \multimap \tau$, then we can immediately discount the last two of these possibilities, since one includes the illegal configuration OP in $A \multimap B$, and the other includes the illegal configuration OP in $B \multimap D$.

By examining the remaining possibilities, we arrive at the conclusion that any O -position in configuration $PPPO$ constrains player P to play in C (to reach configuration $POPO$) or to play in D (to reach configuration $PPPP$), and that any O -position in configuration $OOPO$ constrains player P to play in A (to reach configuration $OOOO$) or to play in C (to reach configuration $POPO$).

Now suppose that $sab, sac \in \sigma \multimap \tau$. Then, by our above analysis, b and c must either both take place in the B, A -component, in which case $b = c$ by determinism of σ , or both in the C, D -component, in which case $b = c$ by determinism of τ . \square

To prove that $\sigma \multimap \tau$ is innocent if σ and τ are, we need a lemma analogous to Lemma 1.7.5.

Lemma 1.8.3. *Let $s \in \sigma \multimap \tau$.*

- i) *If s ends with a move in A or B , then $\ulcorner s \urcorner^{(B \multimap C) \multimap (A \multimap D)} = \ulcorner s|_{A,B} \urcorner^{A \multimap B}$.*
- ii) *If s ends with a move in C or D , then $\ulcorner s \urcorner^{(B \multimap C) \multimap (A \multimap D)} = \ulcorner s|_{C,D} \urcorner^{C \multimap D}$.*

Proof. Exactly the same as in Lemma 1.7.5, using the analysis from the proof of Proposition 1.8.2 to show that player P only switches moves between A and B , and between C and D , in $\sigma \multimap \tau$. \square

Proposition 1.8.4. *Let $\sigma: A \multimap B$, $\tau: C \multimap D$ be innocent strategies. Then $\sigma \multimap \tau$ is innocent.*

Proof. Suppose $sab, t \in \sigma \multimap \tau$ such that $ta \in P_{(B \multimap C) \multimap (A \multimap D)}$ and $\lceil sa \rceil = \lceil ta \rceil$. Suppose without loss of generality that a is a move in A or B . Then $\lceil sa \rceil = \lceil sa|_{A,B} \rceil$ and $\lceil ta \rceil = \lceil ta|_{A,B} \rceil$ by Lemma 1.8.3, and therefore $tab|_{A,B} \in \sigma$ by innocence of σ , and so $tab \in \sigma \multimap \tau$. \square

We now need to prove that \multimap is a functor $\mathcal{G}^{\text{op}} \times \mathcal{G} \rightarrow \mathcal{G}$.

Proposition 1.8.5. *Let $\sigma': A'' \multimap A'$, $\sigma: A' \multimap A$, $\tau': B'' \multimap B'$ and $\tau: B' \multimap B$ be strategies. Then $(\sigma \multimap \tau'); (\sigma' \multimap \tau) = (\sigma'; \sigma) \multimap (\tau'; \tau)$.*

Moreover, if A', A, B', B are games, f is a structural isomorphism from A' to A and g is a structural isomorphism from B' to B , then $\text{cc}_f \multimap \text{cc}_g = \text{cc}_{[f^{-1}, g]}$. In particular, if A, B are games then $\text{id}_A \multimap \text{id}_B = \text{id}_{A \multimap B}$.

Proof. As in Proposition 1.7.7. \square

Now it is easy to see that the associator $\text{assoc}_{M_A, M_B, M_C}$ is a structural isomorphism from $(A \otimes B) \multimap C$ to $A \multimap (B \multimap C)$, so it induces a copycat isomorphism $\Lambda_{A,B,C} = \text{cc}_{\text{assoc}_{M_A, M_B, M_C}} : (A \otimes B) \multimap C \rightarrow A \multimap (B \multimap C)$.

Proposition 1.8.6. $\Lambda_{A,B,C}$ is natural in A, B, C .

Proof. The same argument as in Proposition 1.7.8. \square

We have proved the following.

Theorem 1.8.7. \mathcal{G} is a symmetric monoidal closed category, with tensor product given by \otimes and internal hom given by \multimap .

1.9 Products in \mathcal{G}

Proposition 1.9.1. *Given some family A_i of games, the game $\prod_i A_i$, as defined in Definition 1.3.1, is the category-theoretic product of the A_i .*

Proof. We have natural injections $\text{in}_j: M_{A_j} \hookrightarrow M_{\prod_i A_i}$ giving rise to subset inclusions. Then our projections are given by the morphisms

$$\text{pr}_j := \text{subs}_{\text{in}_j}: \prod_i A_i \rightarrow A_j.$$

Now suppose we have some game B , and strategies $\sigma_i: B \multimap A_i$ for each i . Define a strategy

$$\langle \sigma_i \rangle = \bigcup_i [\text{id}_{M_B}, \text{in}_i]_*(\sigma_i).$$

We claim that this is indeed a strategy for $B \multimap \prod_i A_i$. Indeed, it is certainly a prefix-closed subset of $P_{C \multimap \prod_i A_i}$.

Moreover, if $sab, sac \in \langle \sigma_i \rangle$, then there is some unique j such that a comes from a move in A_j , and therefore sab, sac are both plays in σ_j , so $b = c$.

Next, we claim that $\langle \sigma_i \rangle; \text{pr}_j = \sigma_j$. Indeed, we have

$$\begin{aligned} \langle \sigma_i \rangle; \text{pr}_j &= \langle \sigma_i \rangle; \text{subs}_{\text{in}_j} \\ &= \{[\text{id}_{M_B}, \text{in}_j^{-1}]_*(s) : s \in \langle \sigma_i \rangle, s|_{\prod_i A_i} \in (\text{in}_j)_*(P_{A_j})\} \quad \text{Prop. 1.6.2} \\ &= \sigma_j. \end{aligned}$$

Lastly, suppose $\tau: B \multimap \prod_i A_i$ is a strategy such that $\tau; \text{pr}_j = \sigma_j$ for each j . We claim that $\tau = \langle \sigma_i \rangle$. Indeed, by the argument above, we must have

$$\{[\text{id}_{M_B}, \text{in}_j^{-1}]_*(s) : s \in \tau, s|_{\prod_i A_i} \in (\text{in}_j)_*(P_{A_j})\} = \sigma_j$$

for each j . Suppose that $s \in \tau$. Then $s|_{\prod_i A_i} \in (\text{in}_j)_*(P_{A_j})$ for some j , by the definition of $\prod_i A_i$. Therefore, $s \in [\text{id}_{M_B}, \text{in}_j]_*(\sigma_j)$.

Conversely, let $t \in \sigma_j$. By the above equation, we know that there is some $s \in \tau$ such that $s|_{\prod_i A_i} \in (\text{in}_j)_*(P_{A_j})$ and $[\text{id}_{M_B}, \text{in}_j^{-1}]_*(s) = t$. It follows that $[\text{id}_{M_B}, \text{in}_j]_*(t) = s \in \tau$. \square

An examination of the definitions tells us that

Proposition 1.9.2. *Let A_i, B be games and let ϕ_i be tree embeddings from A_i to B . Then $\langle \text{zz}_{\phi_i} \rangle = \text{zz}_\phi$, where ϕ is the tree embeddings from $\prod_i A_i$ to B given by*

$$\phi(s) = \begin{cases} \epsilon & \text{if } s = \epsilon \\ \phi_i(s|_{A_i}) & \text{if } s \text{ starts with a move from } A_i \end{cases}$$

Proof. The only thing we really need to check is that this is indeed a tree embedding. Let sb, sc be positions in $\prod_i A_i$, where b, c are P -moves. Then sb, sc must start with the same move, so if $\phi(sb) = \phi(sc)$ then we have $\phi_i(sb) = \phi_i(sc)$ for some i and therefore $b = c$. \square

Note that $\langle \sigma_i \rangle$ is not in general innocent, even if all the σ_i are, and there is no version of Proposition 1.9.2 that works for subset inclusion strategies. Of course, since a subset inclusion is a special case of a tree embedding, then $\langle \text{subs}_i \rangle$ is always a tree embedding strategy.

1.10 Sequoidal categories

We have now given the category-theoretic properties of all the connectives from chapter 1.3, with the exception of the sequoid \otimes and the exponential $!$.

We would like to say that $_ \otimes _$ is a functor from $\mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G}$, as is the case with the tensor product $_ \otimes _$. However, this does not quite work: given strategies $\sigma: A \multimap B$ and $\tau: C \multimap D$, we may not get a well-formed strategy for $(A \otimes C) \multimap (B \otimes D)$ by ‘playing according to σ in A and B and according to τ in C and D ’. The reason is that the constraint that player O plays in B before D is not strong enough to force player P to play in A before C ; indeed, suppose that σ tells player P to respond to an initial move in B with another move in B . Suppose that player O then decides to make a move in D . If τ tells player P to respond to this move in D with a move in C , then she will be stuck, unable to play this move because no move has yet been played in A .

We can fix this problem by imposing some constraints on the strategies σ and τ . The problem occurs when player O ’s initial move in B is not reflected by an initial move by player P in A ; therefore, if σ is such that player P always responds to the initial move in B with a move in A , then we can form a strategy $\sigma \otimes \tau$ for $(A \otimes C) \multimap (B \otimes D)$. Moreover, this strategy $\sigma \otimes \tau$ will inherit this property that the first move on the right is always replied to by a move on the left.

Definition 1.10.1. Let A, B be games. A *strict morphism* from A to B is a strategy σ for $A \multimap B$ such that any player P response to an opening move in B is a move in A ; i.e., such that if b is an initial O -move in B and $ba \in \sigma$, then a is a move in A .

We will call such a σ a *strict strategy* for $A \multimap B$, although this is a slight abuse of language, since the definition depends on the constituent games A and B , which may not be recoverable from $A \multimap B$.

It is clear that the composition of strict morphisms is again a strict morphism, as is any morphism of the form subs_i , and so we get a wide subcategory \mathcal{G}_s of \mathcal{G} whose objects are games and where the morphisms are the strict strategies. We then have a natural inclusion functor $J: \mathcal{G}_s \rightarrow \mathcal{G}$.

Definition 1.10.2. Given games A, B, C, D , a strict morphism $\sigma: A \multimap B$ and a strategy $\tau: C \multimap D$, we define a strict morphism $\sigma \otimes \tau: (A \otimes C) \multimap (B \otimes D)$ by

$$\sigma \otimes \tau = \{s \in P_{(A \otimes C) \multimap (B \otimes D)} : s|_{A,B} \in \sigma, s|_{C,D} \in \tau\}.$$

Proposition 1.10.3. $\sigma \otimes \tau$ is a strategy.

Proof. $\sigma \otimes \tau$ is certainly a prefix-closed subset of $P_{(A \otimes C) \multimap (B \otimes D)}$. Moreover, if $sab, sac \in \sigma \otimes \tau$, then $sab, sac \in \sigma \otimes \tau$, so $b = c$. \square

Of course, $P_{A \otimes B}$ is a subset of $P_{A \otimes B}$, which means that the identity function $M_A + M_B \rightarrow M_A + M_B$ gives us a subset inclusion from $A \otimes B$ to $A \otimes B$, and hence a strategy $\text{subs}_{\text{id}_{M_A + M_B}}$ for $A \otimes B \multimap A \otimes B$, which we shall refer to as $\text{wk}_{A,B}$.

Proposition 1.10.4. Let A, B, C, D be games, let $\sigma: A \multimap B$ be a strict strategy and let $\tau: C \multimap D$ be a strategy. Then the following diagram commutes.

$$\begin{array}{ccc} A \otimes C & \xrightarrow{\sigma \otimes \tau} & B \otimes D \\ \text{wk}_{A,C} \downarrow & & \downarrow \text{wk}_{B,D} \\ A \otimes C & \xrightarrow{\sigma \otimes \tau} & B \otimes D \end{array}$$

Proof. By Proposition 1.6.2 and the definition of wk , we know that

$$\begin{aligned} \sigma \otimes \tau; \text{wk}_{B,D} &= \{s \in \sigma \otimes \tau, s|_{B,D} \in P_{B \otimes D}\} \\ \text{wk}_{A,C}; \sigma \otimes \tau &= \sigma \otimes \tau, \end{aligned}$$

as sets of plays.

Now we know that $\sigma \otimes \tau = \{s \in \sigma \otimes \tau : s \in P_{(A \otimes C) \multimap (B \otimes D)}\}$, so it suffices to show that if $s \in \sigma \otimes \tau$ is such that $s|_{B,D} \in P_{B \otimes D}$ then $s \in P_{(A \otimes C) \multimap (B \otimes D)}$.

Indeed, if $s|_{B,D} \in P_{B \otimes D}$ then s begins with an initial O -move in B . Then, *since σ is strict*, the next move in s must be a move in A , and therefore $s|_{A,C}$ begins with a move in A . Since we also have $s|_{A,C} \in P_{A \otimes C}$, we must have that $s|_{A,C} \in P_{A \otimes C}$. \square

Remark 1.10.5. This is the main place where we have used the assumption that σ is a strict strategy: if we drop the strictness requirement from Definition 1.10.2, then we get a valid (if nonsensical) strategy that has a partiality (‘gives up’) if playing according to σ and τ would lead to it creating an invalid play. But such a strategy would not satisfy the conclusion of Proposition 1.10.4, since $\sigma \otimes \tau; \text{wk}_{B,D}$ would contain these extra plays where $\text{wk}_{A,C}; \sigma \otimes \tau$ had ‘given up’.

Remark 1.10.6. Of course, we would *like* to restate Proposition 1.10.4 by saying that wk is some sort of natural transformation, but that doesn’t make sense until we’ve shown that $_ \otimes _$ is a functor.

Proposition 1.10.7. *If we have strict strategies $\sigma': A'' \multimap A'$ and $\sigma: A' \multimap A$, and strategies $\tau': B'' \multimap B'$ and $\tau: B' \multimap B$, then we have*

$$(\sigma' \otimes \tau'); (\sigma \otimes \tau) = (\sigma'; \sigma) \otimes (\tau'; \tau).$$

If A', A, B', B are games, i is a subset inclusion from A into A' and j is a subset inclusion from B into B' , then

$$\text{subs}_i \otimes \text{subs}_j = \text{subs}_{[i,j]}: A' \otimes B' \multimap A \otimes B.$$

In particular, if A, B are games, then $\text{id}_A \otimes \text{id}_B = \text{id}_{A \otimes B}$.

Proof. Let A'', A, B'', B' and $\sigma', \sigma, \tau', \tau$ be as above.

We have

$$\begin{aligned} \text{wk}_{A'', B''}; (\sigma' \otimes \tau'); (\sigma \otimes \tau) &= (\sigma' \otimes \tau'); \text{wk}_{A', B'}; (\sigma \otimes \tau) && \text{Prop. 1.10.4} \\ &= (\sigma' \otimes \tau'); (\sigma \otimes \tau); \text{wk}_{A, B} && \text{Prop. 1.10.4} \\ &= ((\sigma'; \sigma) \otimes (\tau'; \tau)); \text{wk}_{A, B} && \text{Prop. 1.7.7} \\ &= \text{wk}_{A'', B''}; ((\sigma'; \sigma) \otimes (\tau'; \tau)). && \text{Prop. 1.10.4} \end{aligned}$$

By Proposition 1.6.6, $\text{wk}_{A'',B''}$ is an epimorphism, and therefore we have that

$$(\sigma' \circ \tau'); (\sigma \circ \tau) = (\sigma'; \sigma) \circ (\tau'; \tau).$$

Now let A', A, B', B be games, let i be a subset inclusion from A into A' and let j be a subset inclusion from B into B' . Then, since subset inclusion strategies are automatically strict, we have

$$\begin{aligned} \text{wk}_{A',B'}; (\text{subs}_i \circ \text{subs}_j) &= (\text{subs}_i \otimes \text{subs}_j); \text{wk}_{A,B} && \text{Prop. 1.10.4} \\ &= \text{subs}_{[i,j]}; \text{wk}_{A,B} && \text{Prop. 1.7.7} \\ &= \text{subs}_{[i,j]} && \text{Prop. 1.6.2} \\ &= \text{wk}_{A',B'}; \text{subs}_{[i,j]}. && \text{Prop. 1.6.2} \end{aligned}$$

As before, we know from Proposition 1.6.6 that $\text{wk}_{A',B'}$ is an epimorphism, and so

$$\text{subs}_i \circ \text{subs}_j = \text{subs}_{[i,j]}. \quad \square$$

Proposition 1.10.7 tells us that $_ \circ _$ is a functor $\mathcal{G}_s \times \mathcal{G} \rightarrow \mathcal{G}$. As before, write J for the inclusion functor $\mathcal{G}_s \hookrightarrow \mathcal{G}$. Then we can restate Proposition 1.10.4 in a more *natural* way.

Proposition 1.10.8. $\text{wk}_{A,B}$ is a natural transformation $JA \otimes B \rightarrow J(A \circ B)$.

We have some additional structure on the \otimes and \circ operators. By inspecting the definitions that if A, X, Y are games then the associator $\text{assoc}_{M_A, M_X, M_Y}$ and unitor runit_{M_A} in $(\mathbf{Set}, +)$ give rise to structural isomorphisms

$$(A \circ X) \circ Y \cong A \circ (X \otimes Y) \quad A \cong A \circ I.$$

Indeed, in the first case, both games are the game in which A, X and Y are played in parallel, but where the first move must take place in A . In the second case, we have $A \circ I = A \otimes I$, because there are no moves in I anyway, and the copycat morphism induced from the right unitor in $(\mathbf{Set}, +)$ is the same strategy as the right unitor $A \xrightarrow{\cong} A \otimes I$.

We formalize the structure we have uncovered so far in the concept of a *sequoidal category*.

Definition 1.10.9 ([Lai02]). A *sequoidal category* \mathcal{C} is given by

- a monoidal category $(\mathcal{C}, \otimes, I)$ (with coherences *assoc*, *lunit*, *runit*);
- a (strong) right action of \mathcal{C} on a category \mathcal{C}_s ; i.e., a functor ${}_-\otimes_- : \mathcal{C}_s \times \mathcal{C} \rightarrow \mathcal{C}_s$ together with natural isomorphisms

$$\text{passoc}_{a,x,y} : (a \otimes x) \otimes y \xrightarrow{\cong} a \otimes (x \otimes y) \quad r_a : a \xrightarrow{\cong} a \otimes I$$

that make the diagrams

$$\begin{array}{ccc} ((a \otimes x) \otimes y) \otimes z & \xrightarrow{\text{passoc}_{a,x,y} \otimes z} & (a \otimes (x \otimes y)) \otimes z \xrightarrow{\text{passoc}_{a,x \otimes y,z}} a \otimes ((x \otimes y) \otimes z) \\ \downarrow \text{passoc}_{a \otimes x,y,z} & & \swarrow a \otimes \text{assoc}_{x,y,z} \\ (a \otimes x) \otimes (y \otimes z) & \xrightarrow{\text{passoc}_{a,x,(y \otimes z)}} & a \otimes (x \otimes (y \otimes z)) \end{array}$$

$$\begin{array}{ccc} a \otimes x & \xrightarrow{a \otimes \text{lunit}_x} & a \otimes (I \otimes x) \\ r_a \otimes x \downarrow & \nearrow \text{passoc}_{a,I,x} & \\ (a \otimes I) \otimes x & & \end{array} \quad \begin{array}{ccc} a \otimes x & \xrightarrow{a \otimes \text{runit}_x} & a \otimes (x \otimes I) \\ r_a \otimes x \downarrow & \nearrow \text{passoc}_{a,x,I} & \\ (a \otimes x) \otimes I & & \end{array}$$

commute; and

- a lax morphism of actions from ${}_-\otimes_-$ to the right tensor multiplication action ${}_-\otimes_-$ of \mathcal{C} on itself; i.e., a functor $J : \mathcal{C}_s \rightarrow \mathcal{C}$ and a natural transformation $\text{wk}_{a,x} : Ja \otimes x \rightarrow J(a \otimes x)$ that makes the following diagrams commute.

$$\begin{array}{ccc} (Ja \otimes x) \otimes y & \xrightarrow{\text{wk}_{a,x} \otimes y} & J(a \otimes x) \otimes y \xrightarrow{\text{wk}_{a \otimes x,y}} J((a \otimes x) \otimes y) \\ \downarrow \text{assoc}_{Ja,x,y} & & \swarrow J \text{passoc}_{a,x,y} \\ Ja \otimes (x \otimes y) & \xrightarrow{\text{wk}_{a,x \otimes y}} & J(a \otimes (x \otimes y)) \end{array}$$

$$\begin{array}{ccc} Ja & \xrightarrow{Jr_a} & J(a \otimes I) \\ \downarrow \text{runit}_{Ja} & \nearrow \text{wk}_{a,I} & \\ Ja \otimes I & & \end{array}$$

Remark 1.10.10. The definitions of *lax action* can be found at the start of chapter ??, while that of an *oplax morphism of actions* is found at Definition ??. The definitions we have used are similar: a *strong action* is a lax action in which the coherences (called *m* and *e* in chapter ?? and *passoc* and *r*

here) are isomorphisms. A *lax morphism of actions* is defined in the same way as an oplax morphism, except that the coherence (called μ in Definition ?? and wk here) goes in the opposite direction.

Proposition 1.10.11. *The monoidal category \mathcal{G} , together with the category \mathcal{G}_s , the natural transformations*

$$\text{passoc}_{A,X,Y} = \text{cc}_{\text{assoc}_{M_A,M_X,M_Y}} : (A \otimes X) \otimes Y \xrightarrow{\cong} A \otimes (X \otimes Y)$$

$$\text{r}_A = \text{cc}_{\text{runit}_{M_A}} : A \xrightarrow{\cong} A \otimes I,$$

the inclusion functor $J: \mathcal{G}_s \rightarrow \mathcal{G}$ and the natural transformation

$$\text{wk}_{A,X} = \text{subj}: JA \otimes X = A \otimes X \rightarrow A \otimes X = J(A \otimes X)$$

form a sequoidal category.

Proof. We have shown most of this already; all that remains is to show that passoc and r are natural transformations and that the five diagrams in Definition 1.10.9 commute.

Let us start with the diagrams. By Proposition 1.6.2, commutativity of these diagrams follows from commutativity of the diagrams formed from the corresponding subset inclusion functions in **Set**. For example, to show that the first diagram commutes in \mathcal{G} , we must show that the following diagram commutes in **Set**.

$$\begin{array}{ccc} ((M_A + M_X) + M_Y) + M_Z & \xrightarrow{[\text{assoc}_{M_A,M_X,M_Y}, \text{id}_{M_Z}]} & (M_A + (M_X + M_Y)) + M_Z \xrightarrow{\text{assoc}_{M_A,M_X+M_Y,M_Z}} M_A + ((M_X + M_Y) + M_Z) \\ \downarrow \text{assoc}_{M_A+M_X,M_Y,Z} & & \swarrow [\text{id}_{M_A}, \text{assoc}_{M_X,M_Y,M_Z}] \\ (M_A + M_X) + (M_Y + M_Z) & \xrightarrow{\text{assoc}_{M_A,M_X,M_Y+M_Z}} & M_A + (M_X + (M_Y + M_Z)) \end{array}$$

This diagram is, of course, none other than the pentagram diagram for the coproduct $+$ in **Set**. Similarly, the second and third diagrams in Definition 1.10.9 reduce in this case to the triangle diagrams for the coproduct $+$ in **Set**.

For the fourth diagram in Definition 1.10.9, since wk is a subset inclusion strategy induced from an identity map, Proposition 1.6.2 tells us that both arms of the diagram are the strategy induced by the subset inclusion

$$\text{assoc}_{M_A,M_X,M_Y}: (M_A + M_X) + M_Y \rightarrow M_A + (M_X + M_Y)$$

from $(A \otimes X) \otimes Y$ to $A \otimes (X \otimes Y)$. Similarly, both arms of the last diagram in Definition 1.10.9 are the strategies induced by the subset inclusion $\text{runit}_{M_A}: M_A \rightarrow M_A + \emptyset$ from A to $A \otimes I$.

It now remains only to show that passoc and r are natural transformations. For passoc , suppose that A', X', Y', A, X, Y are games, that $\sigma: A' \multimap A$ is a strict strategy and that $\tau: B' \multimap B, v: C' \multimap C$ are strategies. Then we need to show that the following diagram commutes.

$$\begin{array}{ccc} (A' \otimes X') \otimes Y' & \xrightarrow{\text{passoc}_{A', X', Y'}} & A' \otimes (X' \otimes Y') \\ (\sigma \otimes \tau) \otimes v \downarrow & & \downarrow \sigma \otimes (\tau \otimes v) \\ (A \otimes X) \otimes Y & \xrightarrow{\text{passoc}_{A, X, Y}} & A \otimes (X \otimes Y) \end{array}$$

Indeed, we have

$$\begin{aligned} & (\text{wk}_{A', X'} \otimes Y'); \text{wk}_{A' \otimes X', Y'}; \text{passoc}_{A', X', Y'}; (\sigma \otimes (\tau \otimes v)) \\ &= \text{assoc}_{A', X', Y'}; \text{wk}_{A', X' \otimes Y'}; (\sigma \otimes (\tau \otimes v)) && \text{(see above)} \\ &= \text{assoc}_{A', X', Y'}; (\sigma \otimes (\tau \otimes v)); \text{wk}_{A, X \otimes Y} && \text{Prop. 1.10.8} \\ &= ((\sigma \otimes \tau) \otimes v); \text{assoc}_{A, X, Y}; \text{wk}_{A, X \otimes Y} && \text{Prop. 1.7.8} \\ &= ((\sigma \otimes \tau) \otimes v); (\text{wk}_{A, X} \otimes Y); \text{wk}_{A \otimes X, Y}; \text{passoc}_{A, X, Y} && \text{(see above)} \\ &= (\text{wk}_{A', X'} \otimes Y'); ((\sigma \otimes \tau) \otimes v); \text{wk}_{A \otimes X, Y}; \text{passoc}_{A, X, Y} && \text{Prop. 1.10.8} \\ &= (\text{wk}_{A', X'} \otimes Y'); \text{wk}_{A' \otimes X', Y'}; ((\sigma \otimes \tau) \otimes v); \text{passoc}_{A, X, Y} . && \text{Prop. 1.10.8} \end{aligned}$$

Now observe that $\text{wk}_{A', X'} \otimes Y = \text{subs}_{[\text{id}_{M_{A'} + M_{X'}}, \text{id}_{M_{Y'}}]}$ by Proposition 1.7.7, so it is an epimorphism by Proposition 1.6.6. Proposition 1.6.6 also tells us that $\text{wk}_{A' \otimes X', Y'}$ is an epimorphism. Therefore, we have

$$\text{passoc}_{A', X', Y'}; (\sigma \otimes (\tau \otimes v)) = ((\sigma \otimes \tau) \otimes v); \text{passoc}_{A, X, Y}$$

for any $A', X', Y', A, X, Y, \sigma, \tau, v$ as above. It follows that passoc is a natural transformation.

The proof that r is a natural transformation is similar. Let A', A be games and let $\sigma: A' \multimap A$ be a strict strategy. We need to show that the following diagram commutes.

$$\begin{array}{ccc} A' & \xrightarrow{\text{r}_{A'}} & A' \otimes I \\ \sigma \downarrow & & \downarrow \sigma \otimes I \\ A & \xrightarrow{\text{r}_A} & A \otimes I \end{array}$$

Indeed, we have

$$\begin{aligned}
r_{A'}; (\sigma \circ I) &= \text{runit}_{A'}; \text{wk}_{A',I}; (\sigma \otimes I) && \text{(see above)} \\
&= \text{runit}_{A'}; (\sigma \otimes I); \text{wk}_{A,I} && \text{Prop. 1.10.8} \\
&= \sigma; \text{runit}_A; \text{wk}_{A,I} && \text{Prop. 1.7.8} \\
&= \sigma; r_A . && \text{(see above)}
\end{aligned}$$

Therefore, r is a natural transformation, which completes our check of the criteria required by Definition 1.10.9. \square

1.11 Tree Embeddings and Zigzag Strategies

So far, the strategies we have been considering have all been innocent. We now start considering some non-innocent strategies.

We can generalize the subset inclusions of the previous chapter to *tree embeddings*. Tree embeddings are similar to subset inclusions, but generated by a function between plays, rather than between moves. A consequence of this is that while tree embeddings do give rise to strategies, these strategies are not in general innocent.

Definition 1.11.1. Let A, B be games. A *tree embedding* from A to B is a function $\phi: P_A \hookrightarrow P_B$ such that

- ϕ preserves length and justification indices;
- for all sequences $s, t \in P_A$, if $t \sqsubseteq s$ then $\phi(t) \sqsubseteq \phi(s)$; and
- if $\phi(sb) = \phi(sc)$, where b, c are P -moves in A , then $b = c$.

Given a tree embedding ϕ from A to B , we define a strategy $\text{zz}_\phi: B \multimap A$ by

$$\text{zz}_\phi = \{s \in P_{B \multimap A} : \text{for all even-length } t \sqsubseteq s, t|_B = \phi(t|_A)\}.$$

Example 1.11.2. If i is a subset inclusion from A to B , then i_* is a tree embedding from A to B and $\text{zz}_{i_*} = \text{subs}_i$.

Proposition 1.11.3. zz_ϕ is a strategy.

Proof. zz_ϕ is a prefix-closed subset of $P_{B \multimap A}$ by definition. If $sab, sac \in \text{zz}_\phi$, then we have $s|_B = \phi(s|_A)$ and $sab|_B = \phi(sab|_A)$. Since ϕ is length-preserving, $s|_A$ and $s|_B$ must have the same length, and the same is true

of $sab|_A$ and $sab|_B$. Therefore, either a is a move in A and $s|_B b = \phi(s|_A a)$ or a is a move in B and $s|_B a = \phi(s|_A b)$. The same applies to c : so either $s|_B b = \phi(s|_A a) = s|_B c$ or $\phi(s|_A b) = s|_B a = \phi(s|_A c)$. In either case, we have $b = c$. \square

We want an analogue of Proposition 1.6.2.

Definition 1.11.4. Given a tree embedding from A to B , and a play $s \in P_{C \multimap A}$ for some C , we write s^ϕ for the play obtained by replacing the moves of $s|_A$ wholesale with the moves of $\phi(s|_A)$ (using the fact that ϕ preserves length and justification indices).

Proposition 1.11.5. *If $\sigma : C \multimap B$ is a strategy, then $\sigma; \text{zz}_\phi$ is given by*

$$\{s \in P_{C \multimap A} : s^\phi \in \sigma\}.$$

In particular, if ϕ is a tree embedding from A to B and ψ is a tree embedding from B to C , then $\psi \circ \phi$ is a tree embedding from A to C and $\text{zz}_{\psi \circ \phi} = \text{zz}_\psi; \text{zz}_\phi$.

Proof. Suppose that $\mathfrak{s} \in \sigma; \text{zz}_\phi$. Then $\mathfrak{s}|_{C,B} \in \sigma$ and $t|_B = \phi(t|_A)$ for all even-length $t \sqsubseteq \mathfrak{s}|_{B,A}$. Then it is clear that $(\mathfrak{s}|_{C,A})^\phi = \mathfrak{s}|_{C,B}$.

Conversely, suppose that $s \in P_{C \multimap A}$ and that $s^\phi \in \sigma$. We construct a sequence $\mathfrak{s} \in \sigma; \text{zz}_\phi$ such that $\mathfrak{s}|_{C,B} = s^\phi$ and $\mathfrak{s}|_{C,A} = s$ by taking the sequence s and inserting, in order, the elements of the sequence $\phi(s|_A)$ immediately after each O -move in $s|_C$ and immediately before each P -move in C , leaving the rest of s intact. Then $\mathfrak{s}|_{C,B} = s^\phi \in \sigma$ and $\mathfrak{s}|_{B,A} \in \text{zz}_\phi$, by construction. So $s = \mathfrak{s}|_{C,A} \in \sigma; \text{zz}_\phi$. \square

Definition 1.11.6. We say that a tree embedding ϕ is a *tree isomorphism* if it is a bijection.

Proposition 1.11.7. *If ϕ is a tree isomorphism from a game A to a game B , then zz_ϕ is an isomorphism in \mathcal{G} .*

Proof. If ϕ is a tree isomorphism, then its inverse ϕ^{-1} is also a tree isomorphism, and Proposition 1.11.5 tells us that zz_ϕ and $\text{zz}_{\phi^{-1}}$ are inverses in \mathcal{G} . \square

More generally:

Proposition 1.11.8. *If ϕ is a surjection, then zz_ϕ is a monomorphism.*

Proof. Let $\sigma, \tau: C \multimap B$ be strategies. Then, by Proposition 1.11.5, we have

$$\{s \in P_{C \multimap A} : s^\phi \in \sigma\} = \{s \in P_{C \multimap A} : s^\phi \in \tau\}.$$

Let $t \in \sigma$. Then, since ϕ is surjective, there is some $u \in P_A$ such that $\phi(u) = t|_B$. As before, we may construct some sequence t' such that $t'|_A = u$ and $t = (t')^\phi$. Then, since $(t')^\phi = t \in \sigma$, we must have $(t')^\phi \in \tau$; i.e., that $t \in \tau$. So $\sigma \subseteq \tau$.

Similarly, $\tau \subseteq \sigma$, and so σ and τ are equal. \square

1.12 Sequoidally decomposable categories

We will now consider some important additional category-theoretic properties of the sequoid operator on games that do not follow from the fact that \mathcal{G} is a sequoidal category.

Definition 1.12.1 ([CLM13]). Let \mathcal{C} be a sequoidal category such that C_s has arbitrary products (including a terminal object 1). We say that \mathcal{C} is *distributive* if whenever a_i is a collection of objects of \mathcal{C}' and x is an object of \mathcal{C} , the morphism

$$\text{dec}_{(a_i),x} = \langle \text{pr}_i \otimes x \rangle: \prod_i a_i \otimes x \rightarrow \prod_i (a_i \otimes x)$$

is an isomorphism.

Remark 1.12.2. In particular, taking (a_i) to be the empty collection, the morphism $\text{l}_x = (): 1 \otimes x \rightarrow 1$ is an isomorphism.

Proposition 1.12.3. *\mathcal{G} is a distributive sequoidal category.*

Proof. Let $(A_i), X$ be games. By Proposition 1.9.2, the morphism $\langle \text{pr}_i \otimes X \rangle$ is given by the tree embedding $\phi: P_{\prod_i (A_i \otimes X)} \rightarrow P_{\prod_i A_i \otimes X}$ defined as follows.

$$\phi(s) = \begin{cases} \epsilon & \text{if } s = \epsilon \\ [\text{in}_{A_j}, \text{in}_X]_*(s) & \text{if } s \text{ begins with a move in the } j\text{-th component} \end{cases}$$

When we say $[\text{in}_{A_j}, \text{in}_{X^j}]_*(s)$, we have considered s as a sequence in $(M_{A_j} + M_{X^j})^*$.

We claim that ϕ is a bijection. Indeed, it is certainly injective, since if $\phi(s) = \phi(t)$, then the first move of $\phi(s) = \phi(t)$ occurs in one of the A_j , which means that s, t must both come from the j -th component. Then, if we have a non-empty sequence $s \in P_{\prod_i A_i \otimes X}$, then s must start with a move in some A_j , and must thereafter take place in the games A_j and X . Then $s = \phi([\text{in}_{A_j}, \text{in}_{X^j}]_*(s))$, where we have considered s as a sequence in $(M_{A_j} + M_{X^j})^*$.

Therefore, ϕ is a tree isomorphism, so $\text{dec}_{(A_i), X} = \text{zz}\phi$ is an isomorphism by Proposition 1.11.5. \square

We can get a distributivity result in the other direction, but this one is not as strong, since the morphism we get is only a monomorphism, not an isomorphism.

Definition 1.12.4. Let \mathcal{C} be a distributive sequoidal category. We say that \mathcal{C} is *strongly distributive* if whenever $(A_i), (B_i)$ are objects of \mathcal{C}_s , where (B_i) is a non-empty collection, then the morphism

$$\langle A_1 \otimes (\cdots \otimes (A_n \otimes J(\text{pr}_i)) \cdots) \rangle$$

is a monomorphism

$$A_1 \otimes \left(\cdots \otimes \left(A_n \otimes J \left(\prod_i B_i \right) \right) \cdots \right) \rightarrow \prod_i (A_1 \otimes (\cdots \otimes (A_n \otimes J(B_i)) \cdots)).$$

Proposition 1.12.5. \mathcal{G} is a strongly distributive sequoidal category.

Proof. By Proposition 1.9.2, the morphism $\langle (A_1 \otimes (\cdots \otimes (A_n \otimes \text{pr}_i) \cdots)) \rangle$ is given by the tree embedding

$$\phi: P_{\prod_i (A_1 \otimes (\cdots \otimes (A_n \otimes B_i) \cdots))} \rightarrow P_{A_1 \otimes (\cdots \otimes (A_n \otimes \prod_i B_i))}$$

defined as follows.

$$\phi(s) = \begin{cases} \epsilon & \text{if } s = \epsilon \\ [\text{in}_{A_1, \dots, A_n}, \text{in}_{B_j}]_*(s) & \text{if } s \text{ begins with a move in the } j\text{-th component} \end{cases}$$

Note that ϕ is not in general injective, since if s occurs entirely inside one of the copies of the A_i , then $\phi(s) = \phi(s')$ for any identical sequence s' occurring inside one of the other copies of the A_i .

We claim that ϕ is surjective. Indeed, let $t \in P_{A_1 \otimes (\dots \otimes (\prod_i B_i))}$ be a non-empty sequence. If t contains moves in one of the B_j , then we have $t = \phi([in_{A_i^j}, in_{B_j}]_*(t))$, where A_i^j is the copy of A_i in the j -th component of the product and we have considered t as a sequence in $(M_{A_1} + \dots + M_{A_n} + M_{B_j})^*$. If t only contains moves in the A_i , then pick some fixed index 0; then we have $t = \phi([in_{A_i^0}]_*(t))$, where we have considered t as a sequence in $(M_{A_1} + \dots + M_{A_n})^*$.

Therefore, ϕ is a monomorphism by Proposition 1.11.8. \square

Note that if \mathcal{C} is decomposable, this property of being a monomorphism is automatically preserved by the tensor product; i.e., for any objects $A, (B_i), C$ of \mathcal{C}_s (for B_i a non-empty collection), the morphism

$$\langle JC \otimes J(A \otimes J(\text{pr}_i)) \rangle: JC \otimes J \left(A \otimes J \left(\prod_i B_i \right) \right) \rightarrow \prod_i JC \otimes J(A \otimes J(B_i))$$

is a monomorphism.

Definition 1.12.6. A sequoidal category \mathcal{C} is *inclusive* if \mathcal{C}_s is a full-on-objects subcategory of \mathcal{C} containing wk and all isomorphisms of \mathcal{G} , and the functor J is the inclusion functor.

In such a situation, we will sometimes drop the mention of the functor J .

Proposition 1.12.7. \mathcal{G} is an inclusive sequoidal category.

Proof. The only thing we really need to check is that isomorphisms in \mathcal{G} are always strict strategies. Indeed, if σ is a strategy for $A \multimap B$ and τ a strategy for $B \multimap A$ such that $\sigma; \tau = \text{id}_A$, then for any opening move a in A on the right of τ there is some $\mathfrak{s} \in \int(A, B, A)$ such that $\mathfrak{s}|_{A,A} = aa$, and therefore the reply to a in τ must take place in B . \square

An important fact about the sequoid operator for games is that it gives us a way to decompose the tensor product as

$$A \otimes B \cong (A \otimes B) \times (B \otimes A).$$

Informally, this is because both sides allow player O to start either in A or in B , and thereafter to continue in that game.

Definition 1.12.8. Let \mathcal{C} be a distributive inclusive sequoidal category, where \mathcal{C} is a symmetric monoidal category. We say that \mathcal{C} is *decomposable* if the morphisms

$$\text{dec}_{a,b} = \langle \text{wk}_{a,Jb}, \text{sym}_{Ja,Jb}; \text{wk}_{b,Ja} \rangle : Ja \otimes Jb \rightarrow (a \otimes Jb) \times (b \otimes Ja)$$

$$(): I \rightarrow 1$$

are isomorphisms in \mathcal{C}_s .

Proposition 1.12.9. Let \mathcal{C} be a decomposable sequoidal category and suppose that a_1, \dots, a_n is a list of objects of \mathcal{C}_s . Then we have an isomorphism

$$a_1 \otimes \dots \otimes a_n \cong \prod_{i=1}^n (a_i \otimes (a_1 \otimes \dots \otimes a_{i-1} \otimes a_{i+1} \otimes \dots \otimes a_n)).$$

Proof. Induction on n . If $n = 0$, then we have the isomorphism $() : I \rightarrow 1$. More generally, we have

$$\begin{aligned} & a_1 \otimes \dots \otimes a_{n+1} \\ \cong & (a_1 \otimes \dots \otimes a_n) \otimes a_{n+1} \\ \xrightarrow{\text{dec}} & (a_1 \otimes \dots \otimes a_n) \otimes a_{n+1} \times a_{n+1} \otimes (a_1 \otimes \dots \otimes a_n) \\ \cong & \left(\prod_{i=1}^n \left(a_i \otimes \bigotimes_{j \neq i}^{j \leq n} a_j \right) \right) \otimes a_{n+1} \times a_{n+1} \otimes (a_1 \otimes \dots \otimes a_n) \\ \xrightarrow{\text{dist} \times \text{id}} & \prod_{i=1}^n \left(\left(a_i \otimes \bigotimes_{j \neq i}^{j \leq n} a_j \right) \otimes a_{n+1} \right) \times a_{n+1} \otimes (a_1 \otimes \dots \otimes a_n) \\ \xrightarrow{\langle \text{passoc} \rangle \times \text{id}} & \prod_{i=1}^n \left(a_i \otimes \left(\bigotimes_{j \neq i}^{j \leq n} a_j \otimes a_{n+1} \right) \right) \times a_{n+1} \otimes (a_1 \otimes \dots \otimes a_n) \\ \cong & \prod_{i=1}^{n+1} \left(a_i \times \bigotimes_{j \neq i}^{j \leq n+1} a_j \right) \times a_{n+1} \otimes (a_1 \otimes \dots \otimes a_n) \\ \cong & \prod_{i=1}^{n+1} (a_i \otimes (a_1 \otimes \dots \otimes a_{i-1} \otimes a_{i+1} \otimes \dots \otimes a_{n+1})), \end{aligned}$$

where each of the arrows is an isomorphism. \square

The specific isomorphism in Proposition 1.12.9 is rather complicated at the moment, but we can simplify it.

Definition 1.12.10. Given objects a_1, \dots, a_n of a monoidal category, we write sym_i^n for the unique symmetric coherence isomorphism

$$a_1 \otimes \dots \otimes a_n \cong a_i \otimes a_1 \otimes \dots \otimes a_{i-1} \otimes a_{i+1} \otimes \dots \otimes a_n.$$

Proposition 1.12.11. *The isomorphism in the proof of Proposition 1.12.9 is given by*

$$\text{dec}_{(a_i)}^n = \langle \text{sym}_i^n; \text{wk}_{a_i, a_1 \otimes \dots \otimes a_{i-1} \otimes a_{i+1} \otimes \dots \otimes a_n} \rangle.$$

Proof. Induction on n . We will make use of the coherence theorem for symmetric monoidal categories [Mac71, §11] to allow us to elide associators. The base case is obviously true, because $() : I \rightarrow 1$ is the unique morphism between these objects. Otherwise, we observe that the morphism into $\prod_{i=1}^{n+1} \left(a_i \otimes \bigotimes_{j \neq i}^{j \leq n+1} a_j \right)$ is given component-wise by morphisms $a_1 \otimes \dots \otimes a_{n+1} \rightarrow a_i \otimes \bigotimes_{j \neq i}^{j \leq n+1} a_j$ for each $i = 1, \dots, n+1$; we need to check that each of these components is equal to $\text{sym}_i^{n+1}; \text{wk}_{a_i, \bigotimes_{j \neq i} a_j}$.

If $i \leq n$, then the i -th component of the morphism in the proof of Proposition 1.12.9 is given by the composite thick dashed arrows in Figure 1.1, and is therefore equal to the composite of the solid arrows, which is equal to $\text{sym}_i^{n+1}; \text{wk}_{a_i, \bigotimes_{j \neq i} a_j}$ as desired. The $n+1$ -th component of the morphism in the proof of Proposition 1.12.9 is given by the composite

$$\bigotimes_{j=1}^{n+1} a_j \rightarrow \bigotimes_{j=1}^n a_j \otimes a_{n+1} \xrightarrow{\text{sym}_{\bigotimes_{j=1}^n a_j, a_{n+1}}} a_{n+1} \otimes \bigotimes_{j=1}^n a_j \xrightarrow{\text{wk}_{a_{n+1}, \bigotimes_{j=1}^n a_j}} a_{n+1} \otimes \bigotimes_{j=1}^n a_j,$$

and then we use the fact that the leftmost two morphisms in this composite compose to give us sym_{n+1}^{n+1} . \square

Proposition 1.12.12. \mathcal{G} is a decomposable sequoidal category.

Proof. Let A, B be games. By Proposition 1.9.2, the strategy

$$\langle \text{wk}_{A,B}, \text{sym}_{A,B}, \text{wk}_{A,B} \rangle$$

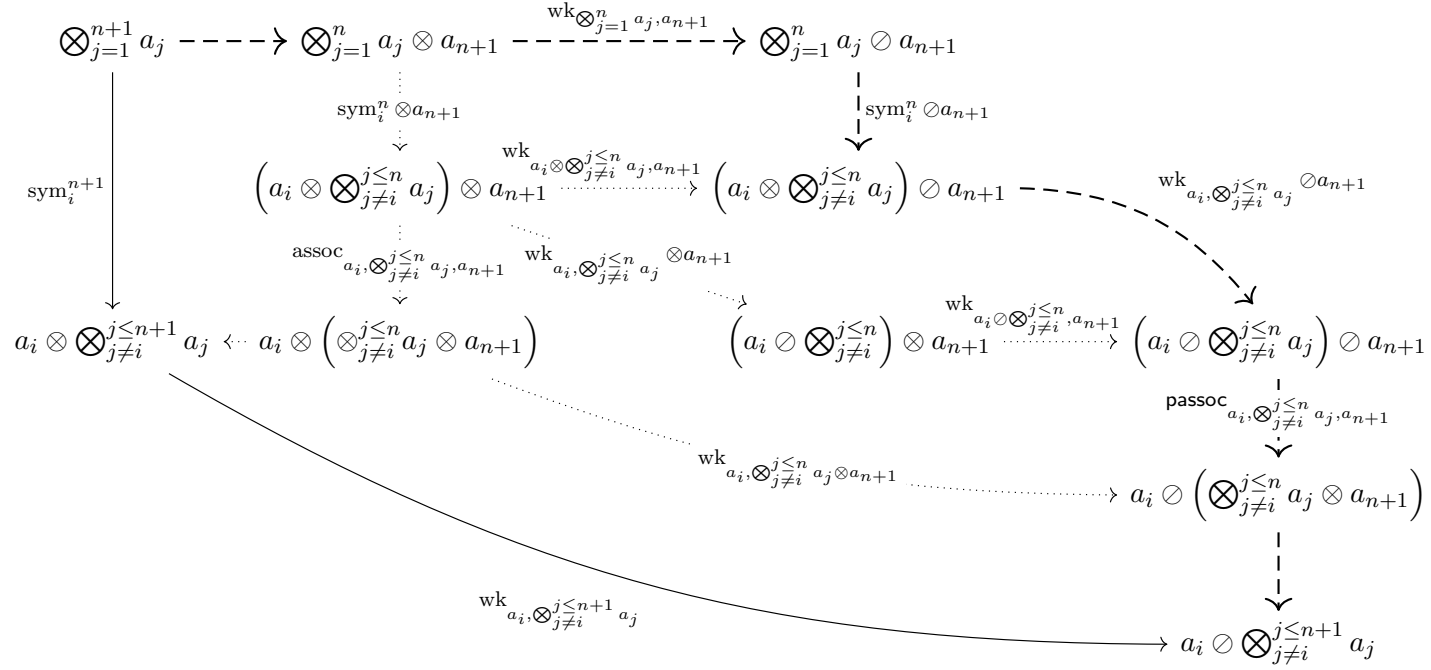


Figure 1.1: Diagram used in the proof of Proposition 1.12.11. The pentagon at the heart of the diagram is the coherence diagram for passoc and wk from Definition 1.10.9.

is given by the tree embedding ϕ from $(A \otimes B) \times (B \otimes A)$ to $A \otimes B$ given by

$$\phi(s) = \begin{cases} \epsilon & \text{if } s = \epsilon \\ s|_{A \otimes B} & \text{if } s \text{ takes place entirely within } A \otimes B \\ s|_{B \otimes A} & \text{if } s \text{ takes place entirely within } B \otimes A \end{cases}.$$

We claim that this tree embedding is a bijection. Indeed, it is certainly injective. Now let $s \in P_{A \otimes B}$ be a non-empty play. Then, if s begins with a move in A , we have $s = \phi((\text{in}_{A \otimes B})_*(s))$, and if s begins with a move in B , we have $s = \phi((\text{in}_{B \otimes A})_*(s))$. Therefore, ϕ is a tree isomorphism, so $\text{dec}_{A,B} = \text{zz}_\phi$ is an isomorphism in \mathcal{G} .

Lastly, we have $I = 1$ in \mathcal{G} , and the unique morphism $I \rightarrow 1$ is the identity. \square

Definition 1.12.13 ([Lai02]). A *sequoidal closed category* is an inclusive sequoidal category \mathcal{C} such that \mathcal{C} is a monoidal closed category (with inner hom \multimap) and such that the map $f \mapsto \Lambda(\text{wk}_{A,B}; f)$ defines an isomorphism

$$\Lambda_s: \mathcal{C}_s(A \otimes B, C) \xrightarrow{\cong} \mathcal{C}_s(A, B \multimap C).$$

Proposition 1.12.14. \mathcal{G} is a sequoidal closed category.

Proof. Since $\text{wk}_{A,B}$ is an epimorphism and Λ is a bijection, the map is certainly injective. Showing that it is surjective comes down to proving that uncurrying of a strict strategy for $A \multimap (B \multimap C)$ is a strict strategy for $(A \otimes B) \multimap C$. Indeed, after the opening move in C , in both cases player P must play the next move in A . \square

1.13 A Formula for the Exponential

Definition 1.13.1. Let \mathcal{C} be a symmetric monoidal category. Given objects A_1, \dots, A_n of \mathcal{C} and a permutation $\pi \in \mathfrak{S}_n$, there is a unique canonical symmetry isomorphism

$$\text{sym}^\pi: A_1 \otimes \dots \otimes A_n \xrightarrow{\cong} A_{\pi(1)} \otimes \dots \otimes A_{\pi(n)}.$$

Given an object A of \mathcal{C} , an n -th *symmetrized tensor power* of A is an equalizer (A^n, eq^n) for the diagram given by all morphisms of the form

$$\text{sym}_\pi: A^{\otimes n} \rightarrow A^{\otimes n}.$$

We say that the symmetrized tensor power A^n *commutes with the tensor product* if $(B \otimes A^n, B \otimes \text{eq}_n)$ is an equalizer for the diagram given by morphisms of the form

$$B \otimes \text{sym}_\pi: B \otimes A^{\otimes n} \rightarrow B \otimes A^{\otimes n}.$$

Proposition 1.13.2 ([GL17]). *Let \mathcal{C} be an inclusive, strongly distributive, decomposable sequoidal category. Then \mathcal{C} has all symmetrized tensor powers.*

Proof. Let A be an object of \mathcal{C} (equivalently, an object of \mathcal{C}_s). We inductively define objects $A^{\otimes n}$ by

- $A^{\otimes 0} = I$; and
- $A^{\otimes(n+1)} = J(A \otimes A^{\otimes n})$.

We claim that $A^{\otimes n}$ is a symmetrized tensor power of A .

Given n , we inductively define a morphism $\text{wk}^n: A^{\otimes n} \rightarrow A^{\otimes n}$, where $\text{wk}^0 = \text{id}_I$, and wk^{n+1} is given by the composite

$$A^{\otimes(n+1)} \rightarrow A \otimes A^{\otimes n} \xrightarrow{A \otimes \text{wk}^n} A \otimes A^{\otimes n} \xrightarrow{\text{wk}_{A, A^{\otimes n}}} A \otimes A^{\otimes n}.$$

We show by induction on n that if B is an object of \mathcal{C} and $k \geq 0$ then the composite

$$B \otimes (A \otimes _)^k A^{\otimes n} \xrightarrow{\langle B \otimes (A \otimes _)^k \text{sym}^\pi \rangle} (B \otimes (A \otimes _)^k A^{\otimes n})^{n!} \xrightarrow{(B \otimes (A \otimes _)^k \text{wk}^n)^{n!}} (B \otimes A^{\otimes(k+n)})^{n!}$$

(i.e., the morphism $\langle B \otimes (A \otimes _)^k (\text{sym}^\pi; \text{wk}^n) \rangle$) is a monomorphism. In particular, taking $k = 0$, we will have shown that $\langle B \otimes (\text{sym}^\pi; \text{wk}^n) \rangle$ is a monomorphism.

The hypothesis is clearly true for $n = 0$; in the general case, we have a composite

$$\begin{aligned} B \otimes (A \otimes _)^k A^{\otimes(n+1)} &\xrightarrow{B \otimes (A \otimes _)^k \langle \text{sym}_i^{n+1}; \text{wk}_{A, A^{\otimes n}} \rangle} B \otimes (A \otimes _)^k (A \otimes \\ A^{\otimes n})^{n+1} &\xrightarrow{\langle B \otimes (A \otimes _)^k; \text{pr}_i \rangle} (B \otimes (A \otimes _)^{k+1} A^{\otimes n})^{n+1} \rightarrow (B \otimes A^{\otimes(k+n+1)})^{(n+1)!}, \end{aligned}$$

where the last arrow is the tensor product of B with the $(n+1)$ -th power of the composite given by

$$(A \otimes _)^{k+1} A^{\otimes n} \xrightarrow{\langle (A \otimes _)^{k+1} \text{sym}^\sigma \rangle} ((A \otimes _)^{k+1} A^{\otimes n})^{n!} \xrightarrow{((A \otimes _)^{k+1} \text{wk}^n)^{n!}} (A^{\otimes(k+n+1)})^{n!},$$

which is a monomorphism by the induction hypothesis. Then the previous composite is the composite of monomorphisms (by our assumptions on \mathcal{C}), and is therefore itself a monomorphism. Now this composite may be written as

$$\langle B \otimes (A \otimes _)^k(\text{sym}_i^{n+1}; \text{wk}_{A, A^{\otimes n}}; (A \otimes \text{sym}^\sigma); (A \otimes \text{wk}^n)) \rangle,$$

which, since wk is a natural transformation, is equal to

$$\langle B \otimes (A \otimes _)^k(\text{sym}_i^{n+1}; (A \otimes \text{sym}^\sigma); (A \otimes \text{wk}^n); \text{wk}_{A, A^{\otimes n}}) \rangle,$$

where σ ranges over the permutations in \mathfrak{S}_n . Moreover, by the definition of wk^n , this is equal to

$$\langle B \otimes (A \otimes _)^k(\text{sym}_i^{n+1}; (A \otimes \text{sym}^\sigma); \text{wk}^{n+1}) \rangle.$$

Now, given $i \in \{1, \dots, n+1\}$ and $\sigma \in \mathfrak{S}_n$, there is a unique permutation $\pi \in \mathfrak{S}_{n+1}$ such that $\text{sym}_i^{n+1}; (A \otimes \text{sym}^\sigma = \text{sym}^\pi)$; moreover, this defines a bijection from $\{1, \dots, n+1\} \times \mathfrak{S}_n \rightarrow \mathfrak{S}_{n+1}$. Therefore (after choosing an appropriate enumeration of our permutations), we see that this composite is in fact equal to

$$\langle B \otimes (A \otimes _)^k(\text{sym}^\pi; \text{wk}^{n+1}) \rangle.$$

Therefore, $\langle B \otimes (A \otimes _)^k(\text{sym}^\pi; \text{wk}^{n+1}) \rangle$ is a monomorphism as desired, completing the induction.

Next, we define morphisms $\text{eq}_n: A^{\otimes n} \rightarrow A^{\otimes n}$ inductively, where $\text{eq}_0 = \text{id}$ and eq_{n+1} is defined by the following composite

$$A^{\otimes(n+1)} = A \otimes A^{\otimes n} \xrightarrow{\langle (A \otimes \text{eq}_n)_1^n \rangle} (A \otimes A^{\otimes n})^n \cong A^{\otimes(n+1)},$$

where the final isomorphism is as in Propositions 1.12.9 and 1.12.11.

First, we show inductively that $\text{eq}_n; \text{sym}^\pi; \text{wk}^n = \text{id}_{A^{\otimes n}}$ for all permutations π of S_n . This is certainly true for $n = 0$; in the general case, let $\pi \in \mathfrak{S}_{n+1}$ be a permutation. Let $j = \pi^{-1}(1)$ be the element sent to 1 by π and let σ be the permutation of $1, \dots, n$ such that applying σ to the elements $2, \dots, n+1$ and composing with π gives us the j -cycle $(1 \dots j)$. Then we have

$$\text{sym}_j^{n+1}; (A \otimes \text{sym}^\sigma) = \text{sym}^\pi.$$

Now we get

$$\begin{aligned}
& \text{eq}_{n+1}; \text{sym}^\pi; \text{wk}^{n+1} \\
&= \langle (A \otimes \text{eq}_n)_1^n; (\text{dec}_A^{n+1})^{-1}; \text{sym}^\pi; (A \otimes \text{wk}^n); \text{wk}_{A, A^{\otimes n}} \rangle \\
&= \langle (A \otimes \text{eq}_n)_1^n; (\text{dec}_A^{n+1})^{-1}; \text{sym}_j^{n+1}; (A \otimes \text{sym}^\sigma); (A \otimes \text{wk}^n); \text{wk}_{A, A^{\otimes n}} \rangle \\
&= \langle (A \otimes \text{eq}_n)_1^n; (\text{dec}_A^{n+1})^{-1}; \text{sym}_j^{n+1}; \text{wk}_{A, A^{\otimes n}}; (A \otimes \text{sym}^\sigma); (A \otimes \text{wk}^n) \rangle \\
&= \langle (A \otimes \text{eq}_n)_1^n; (\text{dec}_A^{n+1})^{-1}; \langle \text{sym}_i^{n+1}; \text{wk}_{A, A^{\otimes n}} \rangle; \text{pr}_j; (A \otimes \text{sym}^\sigma); (A \otimes \text{wk}^n) \rangle \\
&= A \otimes (\text{eq}_n; \text{sym}^\sigma; \text{wk}^n),
\end{aligned}$$

which is equal to the identity on $A^{\otimes(n+1)}$ by the induction hypothesis.

Now let ρ be a permutation in \mathfrak{S}_n . We claim that $\text{eq}_n = \text{eq}_n; \text{sym}^\rho$.

Indeed, we have

$$\begin{aligned}
\text{eq}_n; \langle \text{sym}^\pi; \text{wk}^n \rangle &= \langle \text{eq}_n; \text{sym}^\pi; \text{wk}^n \rangle \\
&= \langle \text{id} \rangle \\
&= \langle \text{eq}_n; \text{sym}^{\rho\pi}; \text{wk}^n \rangle \\
&= \text{eq}_n; \text{sym}^\rho; \langle \text{sym}^\pi; \text{wk}^n \rangle.
\end{aligned}$$

Since $\langle \text{sym}^\pi; \text{wk}^n \rangle$ is a monomorphism, this means that $\text{eq}_n = \text{eq}_n; \text{sym}^\rho$, as desired. Therefore, eq_n equalizes the morphisms eq_n . We claim that it is an equalizer, and that this equalizer is preserved by the tensor product.

Indeed, let B, C be objects of \mathcal{C} , and let $f: C \rightarrow B \otimes A^{\otimes n}$ be a morphism such that $f = f; (B \otimes \text{sym}^\pi)$ for all $\pi \in \mathfrak{S}_n$.

Let $\tilde{f} = f; (B \otimes \text{wk}^n): C \rightarrow B \otimes A^{\otimes n}$. We claim that $\tilde{f}; (B \otimes \text{eq}_n) = f$; indeed, we have

$$\begin{aligned}
\tilde{f}; (B \otimes \text{eq}_n); \langle B \otimes (\text{sym}^\pi; \text{wk}^n) \rangle &= \langle f; (B \otimes (\text{wk}^n; \text{eq}_n; \text{sym}^\pi; \text{wk}^n)) \rangle \\
&= \langle f; (B \otimes \text{wk}^n) \rangle \\
&= f; \langle B \otimes (\text{sym}^\pi; \text{wk}^n) \rangle.
\end{aligned}$$

Therefore, since $\langle B \otimes (\text{sym}^\pi; \text{wk}^n) \rangle$ is a monomorphism, we know that $\tilde{f}; (B \otimes \text{eq}_n) = f$.

Now suppose that $h: C \rightarrow B \otimes A^{\otimes n}$ is such that $h; (B \otimes \text{eq}_n) = f$. We claim that $h = \tilde{f}$. Indeed, we have

$$\tilde{f} = f; (B \otimes \text{wk}^n) = h; (B \otimes \text{eq}_n); (B \otimes \text{wk}^n) = h.$$

Therefore, $(B \otimes A^{\otimes n}, B \otimes \text{eq}_n)$ is an equalizer of the arrows $B \otimes \text{sym}^\pi: B \otimes A^{\otimes n} \rightarrow B \otimes A^{\otimes n}$, as desired. \square

We are interested in symmetrized tensor powers because of an important result of Melliès, Tabareau and Tasson. Suppose \mathcal{C} is a monoidal category, and that \mathcal{C} has symmetrized tensor powers that commute with the tensor product. Given n , we have a morphism

$$A^{\otimes n} \otimes () : A^{\otimes(n+1)} \rightarrow A^{\otimes n},$$

where $()$ is the unique morphism into the terminal object. Then, if A^n and A^{n+1} are the n -th and $n+1$ -th symmetrized tensor powers of A , and $\text{eq}_{n+1}, \text{eq}$ the corresponding equalization, for any $\pi \in \mathfrak{S}_n$ we have a commutative diagram

$$\begin{array}{ccccc} B \otimes A^{n+1} & \xrightarrow{B \otimes \text{eq}_{n+1}} & B \otimes A^{\otimes(n+1)} & \xrightarrow{B \otimes A^{\otimes n} \otimes ()} & B \otimes A^{\otimes n} \\ & & \downarrow \text{sym}^{\pi'} & & \downarrow \text{sym}^{\pi} \\ & & B \otimes A^{\otimes(n+1)} & \xrightarrow{B \otimes A^{\otimes n} \otimes ()} & B \otimes A^{\otimes n} \end{array},$$

where π' is the permutation of $1, \dots, n+1$ that fixes 1 and applies π to the remaining elements $2, \dots, n+1$.

This means that for each $\pi \in \mathfrak{S}_n$ we have

$$\begin{aligned} (B \otimes \text{eq}_{n+1}); (B \otimes A^{\otimes n} \otimes ()) &= (B \otimes \text{eq}_{n+1}); (B \otimes \text{sym}^{\pi'}); (B \otimes A^{\otimes n} \otimes ()) \\ &= (B \otimes \text{eq}_{n+1}); (B \otimes A^{\otimes n} \otimes ()); (B \otimes \text{sym}^{\pi}), \end{aligned}$$

and that there is therefore an induced morphism

$$B \otimes A^{n+1} \rightarrow B \otimes A^n,$$

by the universal property of the equalizer.

Note also that if m, n are integers, then any permutations σ of $1, \dots, m$ and π of $1, \dots, n$ induce a permutation $[\sigma, \pi]$ of $1, \dots, m+n$. Then we get morphisms

$$A^{m+n} \rightarrow A^{\otimes(m+n)} \rightarrow A^{\otimes m} \otimes A^{\otimes n},$$

which are equalized by all symmetries on $A^{\otimes m}$ and $A^{\otimes n}$ individually. Since the equalizers A^m and A^n are preserved by the tensor product, then we get an induced morphisms

$$A^{m+n} \rightarrow A^m \otimes A^n.$$

Theorem 1.13.3 ([MTT09]). *Let \mathcal{C} be a monoidal category such that the monoidal unit for \mathcal{C} is a terminal object. Suppose that \mathcal{C} has symmetrized tensor powers that commute with the tensor product.*

Then, for any objects A, B of \mathcal{C} , there is a natural sequence

$$B \leftarrow B \otimes A \leftarrow B \otimes A^2 \leftarrow B \otimes A^3 \leftarrow \dots$$

In particular, there is a sequence

$$I \leftarrow A \leftarrow A^2 \leftarrow A^3 \leftarrow \dots$$

Suppose that this sequence has a limit $!A$, and that $B \otimes !A$ is the limit of the first sequence for all B .

For each m , we can define morphisms

$$!A \rightarrow A^{m+n} \rightarrow A^m \otimes A^n$$

for each n , which commute with the morphisms $A^{n+1} \rightarrow A^n$ and hence induce a morphism $!A \rightarrow A^m \otimes !A$. Then these morphisms themselves commute with the morphisms $A^{m+1} \otimes !A \rightarrow A^m \otimes !A$, and so we get a morphism $\mu_A: !A \rightarrow !A \otimes !A$.

The morphisms $\mu_A: !A \rightarrow !A \otimes !A$ and $(): A \rightarrow I$ give $!A$ the structure of a commutative comonoid in \mathcal{C} . In fact, this is the cofree commutative comonoid over A in \mathcal{C} . The counit $\text{der}_A: !A \rightarrow A$ of the adjunction is the map in the limiting cone.

We want to show that this theorem applies in \mathcal{G} . First, we find an explicit formula for the morphisms $B \otimes A^{\otimes(n+1)} \rightarrow B \otimes A^{\otimes n}$.

Proposition 1.13.4. *Let \mathcal{C} be an inclusive, strongly distributive, decomposable sequoidal category – so \mathcal{G} has symmetrized tensor powers preserved by the tensor product as in Proposition 1.13.2.*

Then the canonical morphisms $B \otimes A^{\otimes(n+1)} \rightarrow B \otimes A^{\otimes n}$ are given by

$$B \otimes (A \otimes _)^n(),$$

where $(): A \rightarrow I$ is the unique morphism into the terminal object.

Proof. First, we show by induction on n that the following diagram commutes.

$$\begin{array}{ccc} A^{\otimes(n+1)} & \xrightarrow{A^{\otimes n} \otimes ()} & A^{\otimes n} \\ A \otimes \text{wk}^{n+1} \downarrow & & \downarrow \text{wk}^n \\ A^{\otimes(n+1)} & \xrightarrow{(A \otimes _)^n ()} & A^{\otimes n} \end{array}$$

This is clearly true for $n = 0$; in the general case, we have the following commutative diagram –

$$\begin{array}{ccccc}
A^{\otimes(n+2)} & \longrightarrow & A \otimes A^{\otimes(n+1)} & \xrightarrow{A \otimes (A^{\otimes n} \otimes ())} & A \otimes A^{\otimes n} & \longrightarrow & A^{\otimes(n+1)} \\
\downarrow \text{wk}^{n+2} & & \downarrow A \otimes \text{wk}^{n+1} & & \downarrow A \otimes \text{wk}^n & & \downarrow \text{wk}^{n+1} \\
& & A \otimes A^{\otimes(n+1)} & \xrightarrow{A \otimes (A \otimes -)^n} & A \otimes A^{\otimes n} & & \\
& \nwarrow \text{wk}_{A, A^{\otimes(n+1)}} & & & \nwarrow \text{wk}_{A, A^{\otimes n}} & & \\
A^{\otimes(n+1)} & \xleftarrow{(A \otimes -)^{n+1}()} & & & & \xrightarrow{(A \otimes -)^{n+1}()} & A^{\otimes(n+1)}
\end{array}$$

where the middle square is the inductive hypothesis (tensored by A), the outer trapezia are the definitions of wk^{n+2} and wk^{n+1} , and the bottom trapezium commutes because wk is a natural transformation.

Now, by the proof of Proposition 1.13.2, the canonical morphism $B \otimes A^{\otimes(n+1)} \rightarrow B \otimes A^{\otimes n}$ must be constructed as the composite

$$B \otimes (\text{eq}_{n+1}; (A^{\otimes n} \otimes ()); \text{wk}^n),$$

which we have shown is equal to

$$B \otimes (\text{eq}_{n+1}; \text{wk}^{n+1}; (A \otimes -)^n()) = B \otimes (A \otimes -)^n(). \quad \square$$

Definition 1.13.5. Let A be a game. We say that A is *well-opened* if initial moves of A can only occur as the very first move in a play.

It is immediate from the definitions that:

- the empty game I and our data-type games $\mathbb{C}, \mathbb{B}, \mathbb{N}$ are well opened;
- if A_i are well-opened games, then so is $\prod_i A_i$; and
- if B is a well-opened game, then so is $A \multimap B$;

but that $A \otimes B$, $A \otimes B$ and $!A$ are not in general well-opened, even if A and B are.

Proposition 1.13.6. *Let A be a well-opened game. Then we have natural morphisms*

$$!A \rightarrow A^{\otimes n}$$

for each n , and these commute with the natural morphisms $A^{\otimes(n+1)} \rightarrow A^{\otimes n}$ and make $!A$ the limit of the sequence

$$I \leftarrow A \leftarrow A^{\otimes 2} \leftarrow A^{\otimes 3} \leftarrow \dots$$

Moreover, $B \otimes !A$ is the limit of the sequence

$$B \leftarrow B \otimes A \leftarrow B \otimes A^{\otimes 2} \leftarrow B \otimes A^{\otimes 3} \leftarrow \dots$$

Proof. For the sake of notational simplicity, we will only prove the first part of the Proposition, but the second part (the $B \otimes \dots$ version) goes through in exactly the same way.

The morphism in question is the (non-innocent) zigzag strategy given by the tree embedding $\phi_n: P_{A^{\otimes n}} \rightarrow P_{!A}$ defined by

$$\phi_n(s) = \nabla_*(s),$$

where $\nabla: M_A + \dots + M_A$ is the co-diagonal.

We have seen already that the natural morphism $A^{\otimes(n+1)} \rightarrow A^{\otimes n}$ is the copycat morphism generated by the inclusion $n.(M_A) \rightarrow (n+1).(M_A)$, and so it is clear that these commute with zz_ϕ by Proposition 1.11.5.

Now let C be a game and suppose that there are strategies $\sigma_n: C \multimap A^{\otimes n}$ that commute with the natural morphisms $A^{\otimes(n+1)} \rightarrow A^{\otimes n}$. Then we define a morphism $\sigma: C \rightarrow !A$ by

$$\sigma = \left\{ s \in P_{C \multimap !A} \left| \begin{array}{l} \text{for some } n, s|_{!A} \text{ contains} \\ \text{at most } n \text{ initial moves, and} \\ [\text{in}, \text{in}_{A_1}, \dots, \text{in}_{A_n}]_*(s) \in \sigma_n. \end{array} \right. \right\}.$$

Here we have used the fact that A is well-opened to tell us that $s|_{!A}$ is indeed a valid play in $A^{\otimes n}$.

We claim that σ is indeed a strategy. First we show that σ is prefix closed. If $s \in \sigma$ and $t \sqsubseteq s$, write n for the number of initial moves in s . Then t has at most n initial moves; if $[\text{id}_C, \text{in}_{A_1}, \dots, \text{in}_{A_n}]_*(s) \in \sigma_n$, then $[\text{id}_C, \text{in}_{A_1}, \dots, \text{in}_{A_n}]_*(t) \in \sigma_n$, and therefore $t \in \sigma$.

Now note that if $s \in C_{C \multimap !A}$ is such that $s|_{!A}$ contains k initial moves, and if $m, n \geq k$, then

$$[\text{in}, \text{in}_{A_1}, \dots, \text{in}_{A_m}]_*(s) = [\text{in}_C, \text{in}_{A_1}, \dots, \text{in}_{A_n}]_*(s),$$

since the σ_n commute with the natural morphisms $A^{\otimes(n+1)} \rightarrow A^{\otimes n}$. So, if $sab, sac \in \sigma$, then we can assume that

$$[\text{in}_C, \text{in}_{A_1}, \dots, \text{in}_{A_k}]_*(sab), [\text{in}_C, \text{in}_{A_1}, \dots, \text{in}_{A_k}]_*(sac) \in \sigma_k$$

for some common k , and therefore that $b = c$.

Now we have $\sigma; \text{zz}_{\phi_n} = \sigma_n$ for each n by Proposition 1.11.5.

Suppose that τ is some other strategy for $C \multimap !A$ such that $\tau; \text{zz}_{\phi_n} = \sigma_n$ for each n . By Proposition 1.11.5, we have

$$\sigma_n = \tau; \text{zz}_{\phi_n} = \{s \in P_{C \multimap A^{\otimes n}} : s^{\phi_n} \in \tau\}.$$

Suppose $s \in \sigma$ and that s contains n initial moves. Then

$$[\text{in}_C, \text{in}_{A_1}, \dots, \text{in}_{A_n}]_*(s) \in \sigma_n$$

for some n . Therefore, $s = ([\text{in}_C, \text{in}_{A_1}, \dots, \text{in}_{A_n}]_*(s))^{\phi_n} \in \tau$. So $\sigma \subseteq \tau$.

Conversely, suppose that $t \in \tau$. Suppose that $t|_{!A}$ contains n initial moves. Then $t = s^{\phi_n}$ for some sequence $s \in P_{C \multimap A^{\otimes n}}$, and we must have $s \in \sigma_n$. Therefore, $t \in \sigma$. So $\tau \subseteq \sigma$. \square

Therefore, by Theorem 1.13.3, if A is a well-opened game, then $!A$ inherits the structure of a cofree commutative comonoid on A .

Let \mathcal{G}_{wo} denote the category of well-opened games and strategies. Let $\text{CCom}(\mathcal{G})$ denote the category of commutative comonoids with respect to the symmetric monoidal structure on \mathcal{G} .

In general, given two commutative comonoids M, N in a symmetric monoidal category \mathcal{C} , we can form the *tensor product*

$$M \otimes N \rightarrow (M \otimes M) \otimes (N \otimes N) \rightarrow (M \otimes N) \otimes (M \otimes N)$$

$$M \otimes N \rightarrow I \otimes I \rightarrow I,$$

and this makes $\text{CCom}(\mathcal{C})$ into a *Cartesian* category.

Now note that we have defined a functor

$$\mathcal{G}_{wo} \rightarrow \text{CCom}(\mathcal{G})$$

which is a right adjoint on to its image, and therefore preserves products. So if A, B are well-opened games, then we get a natural isomorphism of comonoids between the tensor product of the comonoids on $!A$ and $!B$ and the comonoid on $!(A \times B)$. In particular, we have a natural isomorphism

$$!A \otimes !B \cong !(A \times B).$$

We define a category $\mathcal{G}_{wo}^!$ to be the image of the functor $\mathcal{G}_{wo} \rightarrow \text{CCom}(\mathcal{G})$ inside $\text{CCom}(\mathcal{G})$. By our discussion above, $\mathcal{G}_{wo}^!$ is a Cartesian category.

A more convenient description of $\mathcal{G}_{wo}^!$ is that it is the category where the objects are well-opened games and where the morphisms

$$A \rightarrow B$$

are morphisms $!A \rightarrow B$ in the original category \mathcal{G} . We compose two such morphisms $\sigma: !A \multimap B$ and $\tau: !B \multimap C$ as

$$!A \xrightarrow{\sigma^\dagger} !B \xrightarrow{\tau} C,$$

where the *promotion* σ^\dagger of σ comes from the description of $!A$ as the cofree commutative comonoid on A .

Since the functor $\mathcal{G}_{wo} \rightarrow \text{CCom}(\mathcal{G})$ preserves products, $\mathcal{G}_{wo}^!$ obtains a Cartesian structure given by the category-theoretic product \times . We claim that it is Cartesian closed, with the function object from A to B given by $!A \multimap B$. Indeed, we have

$$\begin{aligned} \mathcal{G}_{wo}^!(A, !B \multimap C) &\cong \mathcal{G}(!A, !B \multimap C) \\ &\cong \mathcal{G}(!A \otimes !B, C) \\ &\cong \mathcal{G}(!(A \times B), C) \\ &\cong \mathcal{G}_{wo}^!(A \times B, C). \end{aligned}$$

We have one thing left to prove.

Proposition 1.13.7. *Let $\sigma: !A \multimap B$, $\tau: !B \multimap C$ be innocent strategies, where A, B, C are well-opened games. Then the composite of σ and τ in $\mathcal{G}_{wo}^!$ is an innocent strategy.*

Proof. We may write this composite as

$$!A \xrightarrow{m} !!A \xrightarrow{! \sigma} !B \xrightarrow{\tau},$$

where $! \sigma$ is the strategy formed by playing σ in parallel with itself, whereas $m: !A \rightarrow !!A$ comes from the fact that $!A$ is the cofree commutative comonoid on A . $! \sigma$ is innocent for the same reasons as in Proposition 1.7.6, so it suffices to show that m is innocent.

Indeed, it is clear from our definitions that $!!A = !A$ as games. Then, m is in fact the identity strategy between these two games, so is innocent. \square

1.14 The Exponential as a Final Coalgebra

Definition 1.14.1. Let $F: \mathcal{C} \rightarrow \mathcal{C}$ be a functor. A *coalgebra for F* or *F -coalgebra* is an object a of \mathcal{C} , together with a morphism $f: a \rightarrow Fa$.

A *coalgebra homomorphism* from (a, f) to (b, g) is a morphism $h: a \rightarrow b$ such that the following diagram commutes.

$$\begin{array}{ccc} a & \xrightarrow{f} & Fa \\ h \downarrow & & \downarrow Fh \\ b & \xrightarrow{g} & Fb \end{array}$$

Clearly, the coalgebras for a given functor F form a category. A *final coalgebra* for F is a terminal object for this category; i.e., an F -coalgebra (t, α) such that for all F -coalgebras (a, f) there is a unique morphism $\llbracket f \rrbracket: a \rightarrow t$ such that the following diagram commutes.

$$\begin{array}{ccc} a & \xrightarrow{f} & Fa \\ \llbracket f \rrbracket \downarrow & & \downarrow F\llbracket f \rrbracket \\ t & \xrightarrow{\alpha} & Ft \end{array}$$

We call $\llbracket f \rrbracket$ the *anamorphism* of f .

We use two standard pieces of theory about coalgebras.

Theorem 1.14.2 (Lambek's Theorem, [Lam68]). *If (t, α) is a final coalgebra for a functor F , then $\alpha: t \rightarrow Ft$ is an isomorphism with inverse given by $\llbracket F\alpha \rrbracket$.*

Theorem 1.14.3 (Adámek's Theorem, [Adá03]). *Suppose \mathcal{C} has a terminal object 1 . By repeatedly applying F to the morphism $F1 \rightarrow 1$, we build up a sequence*

$$1 \leftarrow F1 \leftarrow F^2 1 \leftarrow F^3 1 \leftarrow \dots$$

If this sequence has a limit $F^\omega 1$, and if the morphism $\beta: F(F^\omega 1) \rightarrow F^\omega 1$ induced from the universal property of the limit is an isomorphism, then $(F^\omega 1, \beta^{-1})$ is a final coalgebra for F .

Now we have already shown that if A is well-opened, then $!A$ is the limit of the sequence

$$I \leftarrow A \leftarrow A^{\otimes 2} \leftarrow A^{\otimes 3} \leftarrow \dots$$

and this sequence is precisely the sequence from Adámek's Theorem, when $F = J(A \otimes _): \mathcal{G} \rightarrow \mathcal{G}$. Moreover, this limit is preserved when taking the sequoid with A on the left, and so we get that

Corollary 1.14.4. *If A is a well-opened game, then $!A$ is the final coalgebra for the functor $A \otimes _$.*

In this case, the morphism $!A \rightarrow A \otimes !A$ is the zigzag strategy that plays copycat between the different copies of A ; i.e., zz_ϕ , where $\phi: P_{A \otimes !A} \rightarrow P_{!A}$ is the tree isomorphism given by

$$\phi(s) = [\text{in}_{M_A}, \text{id}]_*(s).$$

One small thing we need to do is to relate the two structures on the exponential.

Proposition 1.14.5. *The final coalgebra*

$$\alpha: !A \rightarrow A \otimes !A$$

is given by the composite

$$!A \xrightarrow{\mu_A} !A \otimes !A \xrightarrow{\text{der}_A \otimes !A} A \otimes !A \xrightarrow{\text{wk}_A, !A} A \otimes !A.$$

Proof. By Theorem 1.13.3, we can tell that this composite is a copycat strategy between $!A$ and $A \otimes !A$, as is α . Since A is well-opened, there is a unique such strategy. \square

1.15 Denotational Semantics of Idealized Algol

We now come to interpret Idealized Algol within our category $\mathcal{G}_{wo}^!$. The base types `com`, `bool` and `nat` are interpreted by the games \mathbb{C} , \mathbb{B} and \mathbb{N} , while the type `Var` is interpreted by the game

$$\text{Var} = \mathbb{C}^{\mathbb{N}} \times \mathbb{N},$$

where $\mathbb{C}^{\mathbb{N}}$ is the product of \mathbb{N} -many copies of \mathbb{C} . Given types S, T , the denotation $\llbracket S \rightarrow T \rrbracket$ of the type of functions from S to T is given by

$$\llbracket S \rrbracket \rightarrow \llbracket T \rrbracket := !\llbracket S \rrbracket \multimap \llbracket T \rrbracket.$$

This gives us the denotation of the types of Idealized Algol.

We inductively define a denotation of terms-in-context $\Gamma \vdash M$ of IA, where $\llbracket x_1 : T_1, \dots, x_n : T_n \vdash M : T \rrbracket$ is a strategy

$$\llbracket T_1 \rrbracket \times \dots \times \llbracket T_n \rrbracket \rightarrow \llbracket T \rrbracket .$$

First note that we have natural innocent strategies $a : \mathbb{C}$, $\mathfrak{t}, \mathfrak{f} : \mathbb{B}$ and $n : \mathbb{N}$, which give us the denotations of $\Gamma \vdash \text{skip}$, $\Gamma \vdash \mathfrak{t}$, $\Gamma \vdash \mathfrak{f}$ and $\Gamma \vdash n$.

Moreover, if we have a strategy

$$\llbracket \Gamma, x : S \vdash M : T \rrbracket : \llbracket \Gamma \rrbracket \times \llbracket S \rrbracket \rightarrow \llbracket T \rrbracket ,$$

then, since $\mathcal{G}_{wo}^!$ is Cartesian closed, we get a strategy

$$\llbracket \Gamma \vdash \lambda x^s. M \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket S \rrbracket \rightarrow \llbracket T \rrbracket .$$

In addition, we have natural morphisms

$$\llbracket \Gamma, x : T \vdash x : T \rrbracket = \llbracket \Gamma \rrbracket \times \llbracket T \rrbracket \xrightarrow{\text{pr}_{\llbracket T \rrbracket}} \llbracket T \rrbracket .$$

Lastly, if we have strategies

$$\llbracket \Gamma \vdash M : S \rightarrow T \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket S \rrbracket \rightarrow \llbracket T \rrbracket \quad \llbracket \Gamma \vdash N : S \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket S \rrbracket ,$$

then we get a strategy

$$\llbracket \Gamma \vdash MN : T \rrbracket = \llbracket \Gamma \rrbracket \xrightarrow{\Delta} \llbracket \Gamma \rrbracket \times \llbracket \Gamma \rrbracket \xrightarrow{\llbracket \Gamma \vdash M \rrbracket \times \llbracket \Gamma \vdash N \rrbracket} (\llbracket S \rrbracket \rightarrow \llbracket T \rrbracket) \times \llbracket S \rrbracket \xrightarrow{\text{ev}} \llbracket S \rrbracket .$$

In order to form the denotation of the next lot of terms, we need a new definition.

Definition 1.15.1. Let X be a set, and let $(\sigma_x : x \in X)$ be a collection of strategies for a game A . Write X for the datatype game corresponding to X . Then we define a strict strategy $(\sigma_x) : X \rightarrow A$ by

$$(\sigma_x) = \{\epsilon\} \cup \{ *q : * \in P_A \} \cup \{ *qys : *s \in \sigma_y \} .$$

In other words, after the initial move in A , (σ_x) requests some element $y \in X$, and thereafter plays according to σ_y in A .

Proposition 1.15.2. *If the σ_x are innocent strategies, then (σ_x) is an innocent strategy.*

Proof. If $*s \in \sigma_y$, then $\ulcorner *qysa \urcorner = *qy \ulcorner sa \urcorner$. Then, if $t \in (\sigma_x)$ and $\ulcorner ta \urcorner = *qy \ulcorner sa \urcorner$, we have $t = *qyt'$ for $t' \in \sigma_y$ and $\ulcorner *t'a \urcorner = \ulcorner *sa \urcorner$. So if $*sab \in \sigma_y$, then $*t'ab \in \sigma_y$ and therefore $tab \in (\sigma_x)$. \square

The most important feature of strategies of the form (σ_x) is the most obvious one: given X , and $y \in X$, we have a strategy y for the game X with maximal play qx . Then $y; (\sigma_x) = \sigma_y$.

Now we define morphisms

- $\text{seq}_X = (\text{id}_X): \mathbb{C} \rightarrow (X \rightarrow X)$;
- $\text{lf}_X = (\lambda x. \lambda y. x, \lambda x. \lambda y. y): \mathbb{B} \rightarrow (X \rightarrow X \rightarrow X)$;
- $\text{succ} = (1, 2, 3, 4, \dots): \mathbb{N} \rightarrow \mathbb{N}$;
- $\text{pred} = (0, 0, 1, 2, \dots): \mathbb{N} \rightarrow \mathbb{N}$;
- $\text{lf0}_X = (\lambda x. \lambda y. x, \lambda x. \lambda y. y, \lambda x. \lambda y. y, \dots): \mathbb{N} \rightarrow (X \rightarrow X \rightarrow X)$;
- $\text{assign} = (\text{pr}_0, \text{pr}_1, \dots): \mathbb{N} \rightarrow (\text{Var} \rightarrow \mathbb{C})$; and
- $\text{deref} = \text{pr}_{\mathbb{N}}: \text{Var} \rightarrow \mathbb{N}$;
- $\text{mkvar} = \lambda w. \lambda r. \langle (w\ n)_{n \in \mathbb{N}}, r \rangle: (\mathbb{N} \rightarrow \mathbb{C}) \rightarrow \mathbb{N} \rightarrow \text{Var}$.

These give us an obvious way to interpret most of the rest of the terms of Idealized Algol. For example, if we have strategies

$$\llbracket \Gamma \vdash V : \text{Var} \rrbracket \qquad \llbracket \Gamma \vdash E : \mathbb{N} \rrbracket ,$$

then we get a strategy

$$\llbracket \Gamma \vdash V \leftarrow E : \mathbb{C} \rrbracket = \llbracket \Gamma \rrbracket \xrightarrow{\Delta} \llbracket \Gamma \rrbracket \times \llbracket \Gamma \rrbracket \xrightarrow{\llbracket \Gamma \vdash E \rrbracket \times \llbracket \Gamma \vdash V \rrbracket} \mathbb{N} \times \text{Var} \xrightarrow{\text{assign}} \mathbb{C} .$$

1.16 Order-Enrichment of \mathcal{G}

The remaining parts of Idealized Algol that we have yet to define are the fixpoint combinator \mathbf{Y}_T and the new variable constructor **new**.

To define \mathbf{Y}_T , we use order-enriched properties of \mathcal{G} .

Note that if A is a game, then we can order the strategies for A by subset inclusion. Then this order is clearly preserved by composition.

Proposition 1.16.1. *The partial order of strategies for A , ordered by inclusion, is directed-complete. So is the partial order of innocent strategies for A .*

Proof. Let Σ be a directed set of strategies for A ; so if $\sigma, \tau \in \Sigma$ then there is some $v \in \Sigma$ such that $\sigma \subseteq v$ and $\tau \subseteq v$. We claim that $\bigcup \Sigma$ is a strategy for A . Indeed, it is certainly even-prefix-closed, and if $sab, sac \in \bigcup \Sigma$, then $sab \in \sigma$ and $sac \in \tau$ for $\sigma, \tau \in \Sigma$, and therefore $sab, sac \in v$ for some $v \in \Sigma$ and so $b = c$.

Now suppose that all $\sigma \in \Sigma$ are innocent. Let $sab \in \bigcup \Sigma$ and suppose that $t \in \bigcup \Sigma$ is such that $\ulcorner ta \urcorner = \ulcorner sa \urcorner$. Then, as before, we have $sab, t \in v$ for some innocent $v \in \Sigma$, and therefore $tab \in v \subseteq \bigcup \Sigma$. \square

It is clear then that composition of strategies is Scott-continuous with respect to this ordering.

Writing $\perp = \{\epsilon\}$ for the bottom strategy for a game A , if we have a strategy $\sigma: A \rightarrow A$, then the Kleene fixed point theorem tells us that we may construct a fixed point for σ as the union of the chain

$$\perp \subseteq \perp; \sigma \subseteq \perp; \sigma; \sigma \subseteq \dots$$

Given a game A , we define a strategy $\mathbf{Y}_A: (A \rightarrow A) \rightarrow A$ as the fixed point of the strategy

$$\lambda F. \lambda f. f(Ff): ((A \rightarrow A) \rightarrow A) \rightarrow (A \rightarrow A) \rightarrow A.$$

We can then use \mathbf{Y}_A to interpret the term $\Gamma \vdash \mathbf{Y}_T M: T$ for any term $\Gamma \vdash M: T \rightarrow T$.

We will later require other order-theoretic properties of the set of strategies for a game A . Recall that an element σ of a directed-complete partially ordered set is called *compact* if whenever we have $\sigma = \bigcup \Sigma$ for some directed set Σ , then $\sigma \in \Sigma$.

A little thought convinces us that a strategy $\sigma: A$ is compact if and only if it is finite as a set of plays; indeed, suppose σ is a finite set and $\sigma = \bigcup \Sigma$. For each $s \in \sigma$, we have $s \in \tau_s$ for some $\tau_s \in \Sigma$; since Σ is directed, then there is some $v \in \Sigma$ such that $\tau_s \subseteq v$ for each s , and therefore $\sigma \subseteq v \subseteq \sigma$. Conversely, if σ is infinite, then by König's lemma, it either has an infinite branching point (i.e., $s \in \sigma$ such that there are infinitely many plays $sab \in \sigma$) or an infinite branch (i.e., an infinite increasing sequence $s_1 \subseteq s_2 \subseteq \dots$ in

σ). In either case, it is easy to construct some directed set Σ such that $\sigma = \bigcup \Sigma$ but $\sigma \notin \Sigma$.

Recall that a directed-complete partial order P is said to be *algebraic* if whenever $p \in P$, the set of compact elements of P lying below p is directed and its supremum is p .

Proposition 1.16.2. *The set of strategies for a game A is an algebraic directed-complete partial order.*

Proof. Let σ be a strategy for a game A and let τ_1, τ_2 be two finite sub-strategies such that $\tau_1, \tau_2 \subseteq \sigma$. Then $\tau_1 \cup \tau_2 \subseteq \sigma$ and is finite; moreover, if $sab, sac \in \tau_1 \cup \tau_2$, then $sab, sac \in \sigma$, so $b = c$.

Lastly, given any $s \in \sigma$, there is a compact strategy σ_s containing s ; namely

$$\sigma_s = \{t : t \sqsubseteq s \text{ has even length}\}. \quad \square$$

1.17 The Strategy cell

Now we come to the denotation of *new*. For this, we shall define a strategy $\text{cell} : !\mathbb{N} \multimap !\text{Var}$ by using the property of $!\text{Var}$ as a final coalgebra.

Given $n \in \mathbb{N}$, we define a strategy $\text{write}_n : !\mathbb{N} \multimap \mathbb{C} \otimes !\mathbb{N}$ by

$$\text{write}_n = !\mathbb{N} \xrightarrow{() } I \xrightarrow{\text{skip} \otimes !n} \mathbb{C} \otimes !\mathbb{N} \xrightarrow{\text{wk}} \mathbb{C} \otimes !\mathbb{N}.$$

Let $\text{read} : !\mathbb{N} \multimap \mathbb{N} \otimes !\mathbb{N}$ be the morphism part α of the limiting coalgebra. In other words, by Proposition 1.14.5, read is the composite

$$\text{read} = !\mathbb{N} \xrightarrow{\mu_{\mathbb{N}}} !\mathbb{N} \otimes !\mathbb{N} \xrightarrow{\text{der}_{\mathbb{N}} \otimes !\mathbb{N}} \mathbb{N} \otimes !\mathbb{N} \xrightarrow{\text{wk}_{\mathbb{N}, !\mathbb{N}}} \mathbb{N} \otimes !\mathbb{N}.$$

Then we get a coalgebra $\text{cell}_0 : !\mathbb{N} \multimap \text{Var} \otimes !\mathbb{N}$ given by

$$!\mathbb{N} \xrightarrow{\langle (\text{write}_n)_{n \in \mathbb{N}}, \text{read} \rangle} (\mathbb{C} \otimes !\mathbb{N})^{\mathbb{N}} \times (\mathbb{N} \otimes !\mathbb{N}) \xrightarrow{\text{dist}_{(\mathbb{C})^{\mathbb{N}}, \mathbb{N}, !\mathbb{N}}^{-1}} (\mathbb{C}^{\mathbb{N}} \times \mathbb{N}) \otimes !\mathbb{N} = \text{Var} \otimes !\mathbb{N}.$$

We then take the anamorphism $\text{cell} = \mathcal{C} \text{ cell}_0 \mathcal{D} : !\mathbb{N} \multimap !\text{Var}$; i.e., cell is the unique morphism making the following diagram commute.

$$\begin{array}{ccc} !\mathbb{N} & \xrightarrow{\text{cell}_0} & \text{Var} \otimes !\mathbb{N} \\ \text{cell} \downarrow & & \downarrow \text{Var} \otimes \text{cell} \\ !\text{Var} & \xrightarrow{\alpha_{\text{Var}}} & \text{Var} \otimes !\text{Var} \end{array}$$

Concretely, the strategy `cell` behaves as follows. When player O plays in `!Var`, he chooses to play either in one of the copies of \mathbb{C} or in \mathbb{N} . If he plays the initial move q_n in the n -th copy of \mathbb{C} , player P updates the value she has stored in her head to n . If he plays the initial move q in \mathbb{N} , then player P replies with this stored value. Lastly, if he plays this initial move q without having played in any of the copies of \mathbb{C} , then player P interrogates the argument in order to find out which value to play.

This strategy `cell` now gives us a morphism $\text{new}_A: !(Var \multimap A) \multimap A$ given by

$$\begin{aligned}
!(Var \multimap A) &\xrightarrow{\text{der}} !(Var \multimap A) \\
&\xrightarrow{\text{lunit}} I \otimes !(Var \multimap A) \\
&\xrightarrow{!0 \otimes !(Var \multimap A)} !\mathbb{N} \otimes !(Var \multimap A) \\
&\xrightarrow{\text{cell} \otimes !(Var \multimap A)} !Var \otimes !(Var \multimap A) \\
&\xrightarrow{\text{ev}} A.
\end{aligned}$$

We use this to provide the denotation of the term `new`.

Lemma 1.17.1. $\text{cell}_0; (\text{pr}_n \otimes !\mathbb{N}) = \text{write}_n$ for each n and $\text{cell}_0; (\text{pr}_{\mathbb{N}} \otimes !\mathbb{N}) = \text{read}$, where $\text{pr}_n: Var = \mathbb{C}^{\mathbb{N}} \times \mathbb{N} \multimap \mathbb{C}$ is the projection on to the n -th copy of \mathbb{C} and $\text{pr}_{\mathbb{N}}: Var = \mathbb{C}^{\mathbb{N}} \times \mathbb{N} \multimap \mathbb{N}$ is the projection on to the copy of \mathbb{N} .

Proof. We have

$$\text{dist}_{(\mathbb{C})_{\mathbb{N}}, \mathbb{N}, !\mathbb{N}}; \text{pr}_n = \langle (\text{pr}_n \otimes !\mathbb{N})_{n \in \mathbb{N}}, \text{pr}_{\mathbb{N}} \otimes !\mathbb{N} \rangle; \text{pr}_n = \text{pr}_n \otimes !\mathbb{N}$$

and

$$\text{dist}_{(\mathbb{C})_{\mathbb{N}}, \mathbb{N}, !\mathbb{N}}; \text{pr}_{\mathbb{N}} = \langle (\text{pr}_n \otimes !\mathbb{N})_{n \in \mathbb{N}}, \text{pr}_{\mathbb{N}} \otimes !\mathbb{N} \rangle; \text{pr}_{\mathbb{N}} = \text{pr}_{\mathbb{N}} \otimes !\mathbb{N},$$

so

$$\begin{aligned}
&\text{cell}_0; (\text{pr}_n \otimes !\mathbb{N}) \\
&= \langle (\text{write}_n)_{n \in \mathbb{N}}, \text{read} \rangle; \text{dist}_{(\mathbb{C})_{\mathbb{N}}, \mathbb{N}, !\mathbb{N}}^{-1}; (\text{pr}_n \otimes !\mathbb{N}) \\
&= \langle (\text{write}_n)_{n \in \mathbb{N}}, \text{read} \rangle; \text{dist}_{(\mathbb{C})_{\mathbb{N}}, \mathbb{N}, !\mathbb{N}}^{-1} \text{dist}_{(\mathbb{C})_{\mathbb{N}}, \mathbb{N}, !\mathbb{N}}; \text{pr}_n \\
&= \langle (\text{write}_n)_{n \in \mathbb{N}}, \text{read} \rangle; \text{pr}_n \\
&= \text{write}_n
\end{aligned}$$

and

$$\begin{aligned}
& \text{cell}_0; (\text{pr}_{\mathbb{N}} \odot !\mathbb{N}) \\
&= \langle (\text{write}_n)_{n \in \mathbb{N}}, \text{read} \rangle; \text{dist}_{(\mathbb{C})_{\mathbb{N}, \mathbb{N}, !\mathbb{N}}}^{-1}; (\text{pr}_{\mathbb{N}} \odot !\mathbb{N}) \\
&= \langle (\text{write}_n)_{n \in \mathbb{N}}, \text{read} \rangle; \text{dist}_{(\mathbb{C})_{\mathbb{N}, \mathbb{N}, !\mathbb{N}}}^{-1} \text{dist}_{(\mathbb{C})_{\mathbb{N}, \mathbb{N}, !\mathbb{N}}}; \text{pr}_{\mathbb{N}} \\
&= \langle (\text{write}_n)_{n \in \mathbb{N}}, \text{read} \rangle; \text{pr}_{\mathbb{N}} \\
&= \text{read} .
\end{aligned}$$

□

1.18 Big-Step Operational Semantics

We now introduce the operational semantics of Idealized Algol, so that we can prove soundness and adequacy of our semantics for it.

We first define a *canonical form* of the language to be

- at type **com**, the term **skip**;
- at type **bool**, the terms **⊤** and **⊥**;
- at type **nat**, the numerals n ;
- at type **Var**, variable names x of type **Var** and expressions of the form **mkvar** W R ; and
- at type $S \rightarrow T$, expressions of the form $\lambda x^S.M$.

We define a **Var**-context to be a context Γ of the form $x_1 : \mathbf{Var}, \dots, x_n : \mathbf{Var}$. Given a **Var**-context Γ , we define a Γ -store to be a function s from the variable names occurring in Γ to the natural numbers. Given such a store s , we write $(s|x \mapsto n)$ for the store given by

$$(s|x \mapsto n)(y) = \begin{cases} n & \text{if } y = x \\ s(y) & \text{otherwise} \end{cases} .$$

We now inductively define a relation $\Gamma, s \vdash M \Downarrow c, s'$, where

- Γ is a **Var**-context;
- s and s' are Γ -stores; and
- $\Gamma \vdash M, \Gamma \vdash c$ are Idealized Algol terms-in-context, where c is a canonical form.

The definition of this relation is shown in Figure 1.2.

$$\begin{array}{c}
\frac{}{\Gamma, s \vdash c \Downarrow c, s} \quad \frac{\Gamma, s \vdash M \Downarrow \lambda x.M', s' \quad \Gamma, s' \vdash M'[N/x] \Downarrow c, s''}{\Gamma, s \vdash MN \Downarrow c, s''} \\
\\
\frac{\Gamma, s \vdash M(\mathbf{Y}M) \Downarrow c, s'}{\Gamma, s \vdash \mathbf{Y}M \Downarrow c, s'} \quad \frac{\Gamma, s \vdash M \Downarrow n, s'}{\Gamma, s \vdash \mathbf{succ} M \Downarrow n+1, s'} \\
\\
\frac{\Gamma, s \vdash M \Downarrow n+1, s'}{\Gamma, s \vdash \mathbf{pred} M \Downarrow n, s'} \quad \frac{\Gamma, s \vdash M \Downarrow 0, s'}{\Gamma, s \vdash \mathbf{pred} M \Downarrow 0, s'} \\
\\
\frac{\Gamma, s \vdash M \Downarrow \mathbf{skip}, s' \quad \Gamma, s' \vdash N \Downarrow c, s''}{\Gamma, s \vdash M; N \Downarrow c, s''} \\
\\
\frac{\Gamma, s \vdash M \Downarrow \mathbf{t}, s' \quad \Gamma, s' \vdash N \Downarrow c, s''}{\Gamma, s \vdash \mathbf{If} M \mathbf{then} N \mathbf{else} P \Downarrow c, s''} \\
\\
\frac{\Gamma, s \vdash M \Downarrow \mathbf{f}, s' \quad \Gamma, s' \vdash P \Downarrow c, s''}{\Gamma, s \vdash \mathbf{If} M \mathbf{then} N \mathbf{else} P \Downarrow c, s''} \\
\\
\frac{\Gamma, s \vdash M \Downarrow 0, s' \quad \Gamma, s' \vdash N \Downarrow c, s''}{\Gamma, s \vdash \mathbf{If} 0 M \mathbf{then} N \mathbf{else} P \Downarrow c, s''} \\
\\
\frac{\Gamma, s \vdash M \Downarrow n+1, s' \quad \Gamma, s' \vdash P \Downarrow c, s''}{\Gamma, s \vdash \mathbf{If} 0 M \mathbf{then} N \mathbf{else} P \Downarrow c, s''} \\
\\
\frac{\Gamma, s \vdash E \Downarrow n, s' \quad \Gamma, s' \vdash V \Downarrow x, s''}{\Gamma, s \vdash V \leftarrow E \Downarrow \mathbf{skip}, (s''|x \mapsto n)} \quad x \in \Gamma \quad \frac{\Gamma, s \vdash V \Downarrow x, s'}{\Gamma, s \vdash !V \Downarrow n, s'} \quad s'(x) = n \\
\\
\frac{\Gamma, x: \mathbf{Var}, (s|x \mapsto 0) \vdash M \Downarrow c, (s'|x \mapsto n)}{\Gamma, s \vdash \mathbf{new} \lambda x.M \Downarrow c, s'} \\
\\
\frac{\Gamma, s \vdash E \Downarrow n, s' \quad \Gamma, s' \vdash V \Downarrow \mathbf{mkvar} WR, s'' \quad \Gamma, s'' \vdash Wn \Downarrow \mathbf{skip}, s'''}{\Gamma, s \vdash V \leftarrow E \Downarrow \mathbf{skip}, s'''} \\
\\
\frac{\Gamma, s \vdash V \Downarrow \mathbf{mkvar} WR, s' \quad \Gamma, s' \vdash R \Downarrow n, s''}{\Gamma, s \vdash !V \Downarrow n, s''}
\end{array}$$

Figure 1.2: Operational semantics for Idealized Algol. See [Har99] and [AM96].

1.19 Small-Step Operational Semantics

We also give a small-step operational semantics for Idealized Algol, which will sometimes be easier to work with.

This time, instead of defining a relation $\Gamma, s \vdash M \Downarrow c, s'$, we define a relation $\Gamma, s \vdash M \longrightarrow \Gamma, \Delta, s' \vdash M'$, where

- Γ, Δ are disjoint **Var**-contexts;
- s is a Γ -store and s' a Γ, Δ -store; and
- $\Gamma \vdash M, \Gamma, \Delta \vdash M'$ are Idealized Algol terms-in-context.

As an auxiliary definition, we need the notion of an *evaluation context* (see [FH92]). This is a single-holed context defined inductively by the following BNF formula, where M ranges over IA terms (subject to typing rules).

$$\begin{aligned} \mathbf{E} ::= & - \mid \mathbf{E} M \mid \text{succ } \mathbf{E} \mid \text{pred } \mathbf{E} \mid \mathbf{E}; M \mid \text{If } \mathbf{E} \text{ then } M \text{ else } M \mid \\ & \text{If0 } \mathbf{E} \text{ then } M \text{ else } M \mid !\mathbf{E} \mid M \leftarrow \mathbf{E} \mid \mathbf{E} \leftarrow n \end{aligned}$$

Next, we define a relation $\Gamma, s, M \dashrightarrow \Gamma, \Delta, s', M'$ as in Figure 1.3.

We then define the relation \longrightarrow as

$$\frac{\Gamma, s \vdash M \dashrightarrow \Gamma, \Delta, s' \vdash M'}{\Gamma, s \vdash \mathbf{E}[M] \longrightarrow \Gamma, \Delta, s' \vdash \mathbf{E}[M']}$$

for each evaluation context \mathbf{E} .

We need to prove that this is equivalent to our original semantics. Given a Γ, Δ -store s , write $s|_{\Gamma}$ for the restriction of s to Γ .

Lemma 1.19.1. *Suppose that $\Gamma, s \vdash M \dashrightarrow \Gamma, \Delta, s' \vdash M'$ and that $\Gamma, \Delta, s' \vdash \mathbf{E}[M'] \Downarrow c, s''$. Then $\Gamma, s \vdash \mathbf{E}[M] \Downarrow c, s''|_{\Gamma}$.*

Proof. Structural induction on \mathbf{E} . The base case, when \mathbf{E} is a hole, covers the interesting cases, so we shall leave it to last. The remaining cases are quite similar, so we will show the proof for one of them for illustration.

If $\mathbf{E} = \mathbf{E}' N$, for some term N , then we have $\Gamma, \Delta, s' \vdash \mathbf{E}'[M'] N \Downarrow c, s''$. By inspection of the rules in Figure 1.2, the derivation of this must end with a

$$\begin{array}{c}
\Gamma, s \vdash (\lambda x.M)N \dashrightarrow \Gamma, s \vdash M[N/x] \qquad \Gamma, s \vdash \mathbf{Y}M \dashrightarrow \Gamma, s \vdash M(\mathbf{Y}M) \\
\Gamma, s \vdash \mathbf{succ} \, n \dashrightarrow \Gamma, s \vdash n + 1 \\
\Gamma, s \vdash \mathbf{pred}(n + 1) \dashrightarrow \Gamma, s \vdash n \qquad \Gamma, s \vdash \mathbf{pred} \, 0 \dashrightarrow \Gamma, s \vdash 0 \\
\Gamma, s \vdash \mathbf{skip}; M \dashrightarrow \Gamma, s \vdash M \\
\Gamma, s \vdash \mathbf{If} \, \mathbf{t} \, \mathbf{then} \, N \, \mathbf{else} \, P \dashrightarrow \Gamma, s \vdash N \qquad \Gamma, s \vdash \mathbf{If} \, \mathbf{f} \, \mathbf{then} \, N \, \mathbf{else} \, P \dashrightarrow \Gamma, s \vdash P \\
\Gamma, s \vdash \mathbf{If} \, 0 \, \mathbf{then} \, N \, \mathbf{else} \, P \dashrightarrow \Gamma, s \vdash N \\
\Gamma, s \vdash \mathbf{If} \, 0(n + 1) \, \mathbf{then} \, N \, \mathbf{else} \, P \dashrightarrow \Gamma, s \vdash P \\
x, \Gamma, s \vdash x \leftarrow n \dashrightarrow x, \Gamma, (s|x \mapsto n) \vdash \mathbf{skip} \qquad x, \Gamma, s \vdash !x \dashrightarrow x, \Gamma, s \vdash s(x) \\
\Gamma, s \vdash \mathbf{new} \, \lambda x.M \dashrightarrow \Gamma, x, (s|x \mapsto 0) \vdash M \\
\Gamma, s \vdash (\mathbf{mkvar} \, W \, R) \leftarrow n \dashrightarrow \Gamma, s \vdash Wn \qquad \Gamma, s \vdash !(\mathbf{mkvar} \, W \, R) \dashrightarrow \Gamma, s \vdash R
\end{array}$$

Figure 1.3: Felleisen-style small-step operational semantics for Idealized Algol.

rule of the form

$$\frac{\Gamma, \Delta, s' \vdash \mathbf{E}'[M'] \Downarrow \lambda x.M'', t \quad \Gamma, \Delta, t \vdash M''[N/x] \Downarrow c, s''}{\Gamma, \Delta, s' \vdash \mathbf{E}'[M'] N \Downarrow c, s''}.$$

Thus, $\Gamma, \Delta, s' \vdash \mathbf{E}'[M'] \Downarrow \lambda x.M'', t$ and $\Gamma, \Delta, t \vdash M''[N/x] \Downarrow c, s''$ must be provable for some M'', t . By the induction hypothesis, this means that $\Gamma, s \vdash \mathbf{E}'[M] \Downarrow \lambda x.M'', t$ is provable. Then we have a derivation

$$\frac{\Gamma, \Delta, s \vdash \mathbf{E}'[M] \Downarrow \lambda x.M'', t \quad \Gamma, \Delta, t \vdash M''[N/x] \Downarrow c, s''}{\Gamma, \Delta, s \vdash \mathbf{E}'[M] N \Downarrow c, s''|_{\Gamma, \Delta}}.$$

Then, because any variables in Δ are not mentioned in $\mathbf{E}'[M] N$ or in c , we have

$$\Gamma, s \vdash \mathbf{E}'[M] N \Downarrow c, s''|_{\Gamma}.$$

Now, let us suppose that \mathbf{E} is a hole, so that $\mathbf{E}[M'] = M'$.

Then there are a number of cases, depending on the particular $\dashv\vdash$ rule we are using. Many of these cases are similar, so we will cover a few of them for the purposes of illustration.

- Suppose that $\Gamma, s \vdash M[N/x] \Downarrow c, s'$. Then we have a derivation

$$\frac{\overline{\Gamma, s \vdash \lambda x.M \Downarrow \lambda x.M, s} \quad \Gamma, s \vdash M[N/x] \Downarrow c, s'}{\Gamma, s \vdash (\lambda x.M)N \Downarrow c, s'}.$$

- We have a derivation

$$\frac{\overline{\Gamma, s \vdash x \Downarrow x, s} \quad \overline{\Gamma, s \vdash n \Downarrow n, s}}{\Gamma, s \vdash x \leftarrow n \Downarrow \text{skip}, (s|x \mapsto n)}.$$

- We have a derivation

$$\frac{\overline{\Gamma, s \vdash x \Downarrow x, s'}}{\Gamma, s \vdash !x \Downarrow s(x), s}.$$

- Suppose that $\Gamma, x, (s|x \mapsto 0) \vdash M \Downarrow c, s'$. Then we have a derivation

$$\frac{\Gamma, x, (s|x \mapsto 0) \vdash M \Downarrow c, s'}{\Gamma, s \vdash \text{new } \lambda x.M \Downarrow c, s'|_{\Gamma}},$$

since $s' = (s'|_{\Gamma}|x \mapsto s'(x))$. □

We have proved:

Proposition 1.19.2. *Suppose that we have a sequence*

$$\Gamma_1, s^{(1)}, M_1 \longrightarrow \cdots \longrightarrow \Gamma_n, s^{(n)}, M_n,$$

where M_n is a canonical form. Then $\Gamma_1, s^{(1)} \vdash M_1 \Downarrow M_n, s^{(n)}|_{\Gamma_1}$.

Proof. Induction on n . The inductive step is Lemma 1.19.1, while the base case ($n = 1$) is given by the derivation

$$\overline{\Gamma, s \vdash c \Downarrow c, s} . \quad \square$$

We can also prove the converse.

Proposition 1.19.3. *Suppose that $\Gamma, s \vdash M \Downarrow c, s'$. Then there are sequences $\Gamma = \Gamma_1, \dots, \Gamma_n$, $s = s^{(1)}, \dots, s^{(n)}$, $M = M_1, \dots, M_n = c$ such that*

$$\Gamma_1, s^{(1)} \vdash M_1 \longrightarrow \cdots \longrightarrow \Gamma_n, s^{(n)} \vdash M_n,$$

and $s^{(n)}|_{\Gamma} = s'$.

Proof. Induction on the derivation of $\Gamma, s \vdash M \Downarrow c, s'$. Since most of the cases are similar, we cover a selection for illustration.

- Suppose that the last step in the derivation is

$$\overline{\Gamma, s \vdash c \Downarrow c, s} .$$

Then we have the one-element sequence $\Gamma, s \vdash c$.

- Suppose that the last step in the derivation is

$$\frac{\Gamma, s \vdash M \Downarrow \lambda x.M', s' \quad \Gamma, s' \vdash M'[N/x] \Downarrow c, s''}{\Gamma, s \vdash MN \Downarrow c, s''} .$$

Then, by the inductive hypothesis, we have small-step derivations

$$\Gamma, s \vdash M \longrightarrow \cdots \longrightarrow \Gamma, \Delta, t', \lambda x.M'$$

$$\Gamma, s' \vdash M'[N/x] \longrightarrow \cdots \longrightarrow \Gamma, \Delta', t'', c,$$

where $t'|_{\Gamma} = s'$ and $t''|_{\Gamma} = s''$.

If we apply the evaluation context $-N$ pointwise to the first small-step derivation, then we have another valid small-step derivation. Then we can join the two together to get the derivation

$$\begin{aligned} \Gamma, s \vdash MN &\longrightarrow \cdots \longrightarrow \Gamma, \Delta, t', (\lambda x. M')N \longrightarrow \\ \Gamma, \Delta, t', M'[N/x] &\longrightarrow \cdots \longrightarrow \Gamma, \Delta \cup \Delta', t'' \setminus t', c, \end{aligned}$$

where $t'' \setminus t'$ is the $\Gamma, \Delta \cup \Delta'$ -store that agrees with t'' on Γ, Δ' and with t' on $\Delta \setminus \Delta'$. Then $(t'' \setminus t')|_{\Gamma} = s''$.

- Suppose that the last step in the derivation is

$$\frac{\Gamma, s \vdash E \Downarrow n, s' \quad \Gamma, s' \vdash V \Downarrow x, s''}{\Gamma, s \vdash V \leftarrow E \Downarrow \text{skip}, (s''|x \mapsto n)} .$$

By the inductive hypothesis, we have small-step derivations

$$\Gamma, s \vdash E \longrightarrow \cdots \longrightarrow \Gamma, \Delta, t', n \quad \Gamma, s' \vdash V \longrightarrow \cdots \longrightarrow \Gamma, \Delta', t'', x,$$

where $t'|_{\Gamma} = s'$ and $t''|_{\Gamma} = s''$.

We may apply the evaluation context $V \leftarrow -$ pointwise to the first derivation and the evaluation context $- \leftarrow n$ pointwise to the second, and then string the two together to get

$$\begin{aligned} \Gamma, s \vdash V \leftarrow E &\longrightarrow \cdots \longrightarrow \Gamma, \Delta, t', V \leftarrow n \longrightarrow \cdots \longrightarrow \\ \Gamma, \Delta \cup \Delta', t'' \setminus t', x \leftarrow n &\longrightarrow \Gamma, \Delta \cup \Delta', (t'' \setminus t'|x \mapsto n), \end{aligned}$$

where we have $(t'' \setminus t'|x \mapsto n)|_{\Gamma} = (s''|x \mapsto n)$.

- Suppose that the last step in the derivation is

$$\frac{\Gamma, s \vdash V \Downarrow x, s'}{\Gamma, s \vdash !V \Downarrow s'(x), s'} .$$

Then, by the induction hypothesis, we have a small-step derivation

$$\Gamma, s \vdash V \longrightarrow \cdots \longrightarrow \Gamma, \Delta, t' \vdash x,$$

where $t'|_{\Gamma} = s'$. Then we may compose this derivation pointwise with the evaluation context $!-$, and add an extra term on the end, to arrive at the derivation

$$\Gamma, s \vdash !V \longrightarrow \cdots \longrightarrow \Gamma, \Delta, t' \vdash !x \longrightarrow \Gamma, \Delta, t' \vdash t'(x),$$

where $t'(x) = s'(x)$.

- Lastly, suppose that the last step in the derivation is

$$\frac{\Gamma, x, (s|x \mapsto 0) \vdash M \Downarrow c, (s'|x \mapsto n)}{\Gamma, s \vdash \text{new } \lambda x.M \Downarrow c, s'}.$$

By the induction hypothesis, we have a small-step derivation

$$\Gamma, x, (s|x \mapsto 0) \vdash M \longrightarrow \cdots \longrightarrow \Gamma, \Delta, x, (t'|x \mapsto n), c,$$

where $t'|_{\Gamma} = s'$. Then we may add a term at the beginning to give us

$$\begin{aligned} \Gamma, s \vdash \text{new } \lambda x.M &\longrightarrow \Gamma, x, (s|x \mapsto 0) \vdash M \longrightarrow \\ &\cdots \longrightarrow \Gamma, \Delta, x, (t'|x \mapsto n), c, \end{aligned}$$

where $(t'|x \mapsto n)|_{\Gamma} = s'$. □

1.20 Soundness

To prove soundness of our model, we shall use the small-step formulation. Our reason for this is that the most difficult part of the denotational semantics we are using is the part to do with state. In the big-step formulation, nearly every rule involves the state changing in some way, whereas in the small-step formulation, only the rules that specifically pertain to the stateful components of the language do.

Given a **Var**-context Γ , we will write S_{Γ} for $\mathbb{N} \times \cdots \times \mathbb{N}$ and \mathcal{V}_{Γ} for $\text{Var} \times \cdots \times \text{Var}$, where in each case the terms of the tensor product are indexed by the variables in Γ . Then, given some term $\Gamma \vdash M$ in context, its denotation will be a morphism $\llbracket \Gamma \vdash M : T \rrbracket : !\mathcal{V}_{\Gamma} \multimap \llbracket T \rrbracket$.

Given Γ , we have a morphism $\text{cell}^{\Gamma} : !S_{\Gamma} \multimap !V_{\Gamma}$, given by

$$!S_{\Gamma} \cong !\mathbb{N} \otimes \cdots \otimes !\mathbb{N} \xrightarrow{\text{cell} \otimes \cdots \otimes \text{cell}} !\text{Var} \otimes \cdots \otimes !\text{Var} \cong !V_{\Gamma}.$$

Lemma 1.20.1. *For $j = 1, \dots, |\Gamma|$, the following diagram commutes.*

$$\begin{array}{ccc} !S_{\Gamma} & \xrightarrow{\text{cell}^{\Gamma}} & !V_{\Gamma} \\ !\text{pr}_j \downarrow & & \downarrow !\text{pr}_j \\ !\mathbb{N} & \xrightarrow{\text{cell}} & !\text{Var} \end{array}$$

Proof. We have a commutative diagram

$$\begin{array}{ccccc}
!S_\Gamma & \xrightarrow{\cong} & !\mathbb{N} \otimes \dots \otimes !\mathbb{N} & \xrightarrow{\text{cell} \otimes \dots \otimes \text{cell}} & !\text{Var} \otimes \dots \otimes !\text{Var} & \xrightarrow{\cong} & !V_\Gamma \\
& \searrow & \downarrow & & \downarrow & \swarrow & \\
& & () \otimes \dots \otimes !\mathbb{N} \otimes \dots \otimes () & & () \otimes \dots \otimes !\text{Var} \otimes \dots \otimes () & & \\
& \searrow & \downarrow & & \downarrow & \swarrow & \\
& & !\mathbb{N} & \xrightarrow{\text{cell}} & !\text{Var} & &
\end{array}
,$$

where the outer triangles commute because the vertical arrows are the projections in the tensor product of comonoids. \square

Given a Γ -store s , we will write $\llbracket s \rrbracket$ for the corresponding morphism $I \rightarrow S_\Gamma$.

We start our proof of soundness with a result about evaluation contexts. This result captures the fact that the term filling the hole of an evaluation context is the first thing to be computed, using the sequoid operator to capture this notion of precedence.

Lemma 1.20.2. *Let $\Gamma \vdash M : T$ be an Idealized Algol term-in-context, and let \mathbf{E} be an evaluation context with a hole of type T , where $\mathbf{E}[M] : U$. Then there is a game A and strategies $\sigma : !\mathcal{V}_\Gamma \multimap A$, $\tau : \llbracket T \rrbracket \otimes A \multimap \llbracket S \rrbracket$, where τ is a strict strategy, such that the denotation $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket$ factors as*

$$!\mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma \xrightarrow{\llbracket \Gamma \vdash M \rrbracket \otimes \sigma} \llbracket T \rrbracket \otimes A \xrightarrow{\text{wk}_{\llbracket T \rrbracket, A}} \llbracket T \rrbracket \otimes A \xrightarrow{\tau} \llbracket U \rrbracket .$$

Proof. Structural induction on \mathbf{E} .

- If $\mathbf{E} = -$ is a hole, then we may take $A = I$, $\sigma = ()$ and $\tau = r_{\llbracket T \rrbracket}$.
- If $\mathbf{E} = \mathbf{E}'N$ for some term N , where \mathbf{E}' has type $S \rightarrow T$, N has type S , and $M : S'$ fits into the hole, then the denotation $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket = \llbracket \Gamma \vdash \mathbf{E}'[M] N \rrbracket$ is given by the composite

$$!\mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma \xrightarrow{\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket \otimes \llbracket \Gamma \vdash N \rrbracket} (!\llbracket S \rrbracket \multimap \llbracket T \rrbracket) \otimes !\llbracket S \rrbracket \xrightarrow{\text{ev}_{!\llbracket S \rrbracket, \llbracket T \rrbracket}} \llbracket T \rrbracket .$$

By the inductive hypothesis, $\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket$ factors as

$$!\mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma \xrightarrow{\llbracket \Gamma \vdash M \rrbracket \otimes \sigma'} \llbracket S' \rrbracket \otimes A' \xrightarrow{\text{wk}_{\llbracket S' \rrbracket, A'}} \llbracket S' \rrbracket \otimes A' \xrightarrow{\tau'} (!\llbracket S \rrbracket \multimap \llbracket T \rrbracket),$$

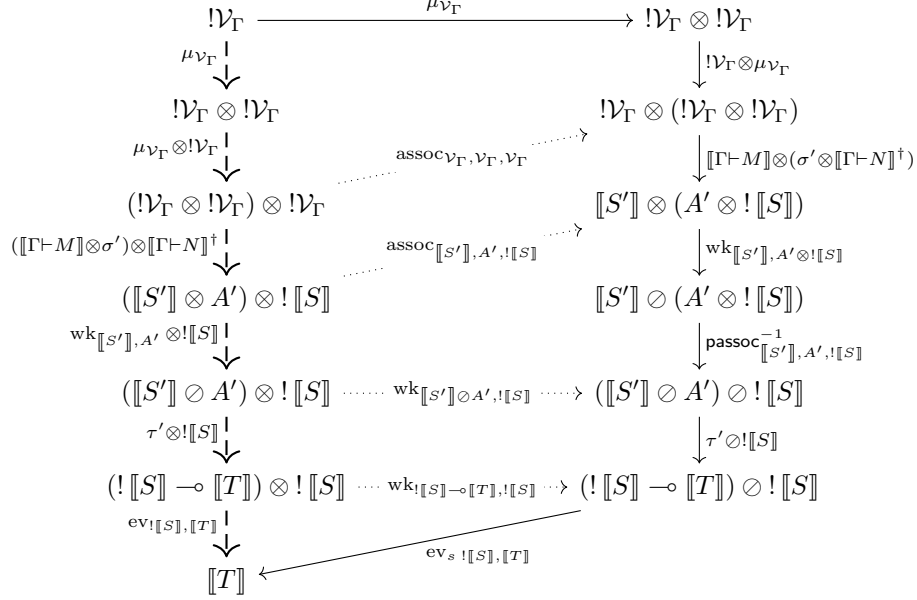


Figure 1.4: The property in Lemma 1.20.2 is preserved by function application. Here, $\text{ev}_s \llbracket [S], [T] \rrbracket = \Lambda_s^{-1}(\text{id}_{\llbracket [S] \multimap [T] \rrbracket})$.

for appropriate A', σ', τ' . Then $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket = \llbracket \Gamma \vdash \mathbf{E}'[M] N \rrbracket$ is given by the thick dashed arrows in the diagram in Figure 1.4. But this composite is equal to that given by the thin solid arrows in the diagram, which is of the required form, with

$$A = A' \otimes ! [S] \quad \sigma = \mu_{\mathcal{V}_\Gamma}; (\sigma' \otimes [\Gamma \vdash N]^\dagger)$$

$$\tau = \text{passoc}_{[S'], A', ! [S]}^{-1}; (\tau' \otimes ! [S]); \text{ev}_s \llbracket [S], [T] \rrbracket.$$

- If $\mathbf{E} = \text{succ } \mathbf{E}'$ or $\text{pred } \mathbf{E}'$, where \mathbf{E}' is a context of type \mathbf{nat} , and $M : T$ is a term that fits into the hole, then the denotation $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket$ is given by the composite

$$\llbracket \mathcal{V}_\Gamma \rrbracket \xrightarrow{\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket} \mathbb{N} \xrightarrow{\theta} \mathbb{N},$$

where θ is either pred or succ . By the inductive hypothesis, $\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket$

factors as

$$! \mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} ! \mathcal{V}_\Gamma \otimes ! \mathcal{V}_\Gamma \xrightarrow{[\Gamma \vdash M] \otimes \sigma'} [T] \otimes A' \xrightarrow{\text{wk}_{[T], A'}} [T] \otimes A' \xrightarrow{\tau'} \mathbb{N},$$

for appropriate A', σ', τ' . Then we can compose on the right by θ , and we are already in the required form, for

$$A = A' \quad \sigma = \sigma' \quad \tau = \tau'; \theta.$$

- Similarly, if $\mathbf{E} = !\mathbf{E}'$, where \mathbf{E}' is a context of type **Var**, and $M : T$ is a term that fits into the hole, then the denotation $[\Gamma \vdash \mathbf{E}[M]]$ is given by the composite

$$! \mathcal{V}_\Gamma \xrightarrow{[\Gamma \vdash \mathbf{E}'[M]]} \text{Var} \xrightarrow{\text{deref}} \mathbb{N}.$$

By the inductive hypothesis, $[\Gamma \vdash \mathbf{E}'[M]]$ factors as

$$! \mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} ! \mathcal{V}_\Gamma \otimes ! \mathcal{V}_\Gamma \xrightarrow{[\Gamma \vdash M] \otimes \sigma'} [T] \otimes A' \xrightarrow{\text{wk}_{[T], A'}} [T] \otimes A' \xrightarrow{\tau'} \text{Var},$$

for appropriate A', σ', τ' . Then we can compose on the right by **deref**, and we are already in the required form, for

$$A = A' \quad \sigma = \sigma' \quad \tau = \tau'; \text{deref}.$$

- If $\mathbf{E} = \mathbf{E}'; N$ for some term N of type $X \in \{\text{com}, \text{bool}, \text{nat}\}$, where \mathbf{E}' is an evaluation context of type **com**, and if $M : T$ fits into the hole in \mathbf{E}' , then the denotation $[\Gamma \vdash \mathbf{E}[M]]$ is given by the composite

$$! \mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} ! \mathcal{V}_\Gamma \otimes ! \mathcal{V}_\Gamma \xrightarrow{[\Gamma \vdash \mathbf{E}'[M]] \otimes [\Gamma \vdash N]} \mathbb{C} \times X \xrightarrow{\Lambda^{-1}(\text{seq}_X)} X.$$

If $\mathbf{E} = N \leftarrow \mathbf{E}'$, for some term N of type **Var**, where \mathbf{E}' is an evaluation context of type **com**, and if $M : T$ fits into the hole in \mathbf{E}' , then the denotation $[\Gamma \vdash \mathbf{E}[M]]$ is given by the composite

$$! \mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} ! \mathcal{V}_\Gamma \otimes ! \mathcal{V}_\Gamma \xrightarrow{[\Gamma \vdash \mathbf{E}'[M]] \otimes [\Gamma \vdash N]} \mathbb{N} \times \text{Var} \xrightarrow{\Lambda^{-1}(\text{assign})} \mathbb{C}.$$

Write $Y = \mathbb{C}$, $X' = X$, $Z = X$ and $v = \text{seq}_X$ in the sequencing case, and $Y = \mathbb{N}$, $X' = \text{Var}$, $Z = \mathbb{C}$ and $v = \text{assign}$ in the variable assignment case. Then, by the inductive hypothesis, $[\Gamma \vdash \mathbf{E}'[M]]$ factors as

$$! \mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} ! \mathcal{V}_\Gamma \otimes ! \mathcal{V}_\Gamma \xrightarrow{[\Gamma \vdash M] \otimes \sigma'} [T] \otimes A' \xrightarrow{\text{wk}_{[T], A'}} [T] \otimes A' \xrightarrow{\tau'} Y,$$

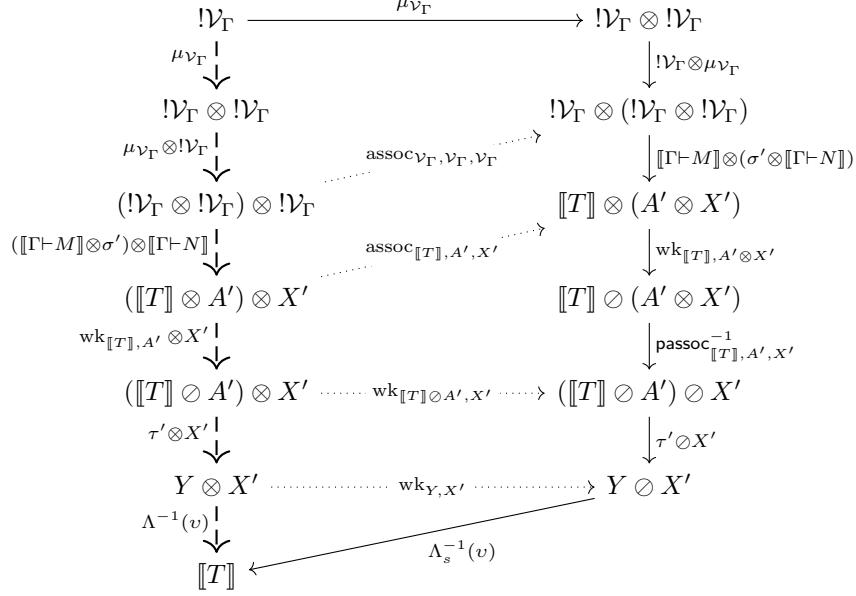


Figure 1.5: The property in Lemma 1.20.2 is preserved by sequencing and variable assignment. We use the fact that $v \in \{\text{seq}_X, \text{assign}\}$ is a strict strategy, so that $\Lambda_s^{-1}(v)$ is well-defined.

for suitable A', σ', τ' . Then $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket$ is given by the thick dashed arrows in the diagram in Figure 1.5. But this composite is equal to that given by the thin solid arrows in the diagram, which is of the required form, with

$$A = A' \otimes X' \quad \sigma = \mu_{V_\Gamma}; (\sigma' \otimes \llbracket \Gamma \vdash N \rrbracket)$$

$$\tau = \text{passoc}_{\llbracket T \rrbracket, A', X'}^{-1}; (\tau' \otimes X'); \Lambda_s^{-1}(v).$$

- If $\mathbf{E} = \text{if } \mathbf{E}' \text{ then } N \text{ else } P$ for a context \mathbf{E}' of type `bool`, where N and P are terms of type $X \in \{\text{bool}, \text{com}, \text{nat}\}$, then write $Y = \text{bool}$ and $\eta = \text{if}_X$. If $\mathbf{E} = \text{if0 } \mathbf{E}' \text{ then } N \text{ else } P$ for a context \mathbf{E}' of type `nat`, where N and P are terms of type $X \in \{\text{bool}, \text{com}, \text{nat}\}$, then write $Y = \text{nat}$ and $\eta = \text{if0}_X$. In either case, if $M : T$ is a term that fits into

the hole, then the denotation $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket$ is given by

$$\begin{aligned} & !\mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma \xrightarrow{\mu_\Gamma \otimes \mathcal{V}_\Gamma} (!\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma) \otimes !\mathcal{V}_\Gamma \\ & \xrightarrow{(\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket \otimes \llbracket \Gamma \vdash N \rrbracket) \otimes \llbracket \Gamma \vdash P \rrbracket} (Y \otimes X) \otimes X \xrightarrow{\Lambda^{-1}(\Lambda^{-1}(\eta))} X. \end{aligned}$$

By the inductive hypothesis, $\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket$ factors as

$$!\mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma \xrightarrow{\llbracket \Gamma \vdash M \rrbracket \otimes \sigma'} \llbracket T \rrbracket \otimes A' \xrightarrow{\text{wk}_{\llbracket T \rrbracket, A'}} \llbracket T \rrbracket \otimes A' \xrightarrow{\tau'} Y,$$

for appropriate A', σ', τ' . Then $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket = \llbracket \Gamma \vdash \mathbf{E}'[M] N \rrbracket$ is given by the thick dashed arrows in the diagram in Figure 1.6. But this composite is equal to that given by the thin solid arrows in the diagram, which is of the required form, with

$$A = (A' \otimes X) \otimes X$$

$$\sigma = \mu_{\mathcal{V}_\Gamma}; (\mu_{\mathcal{V}_\Gamma} \otimes !\mathcal{V}_\Gamma); ((\sigma' \otimes \llbracket \Gamma \vdash N \rrbracket) \otimes \llbracket \Gamma \vdash P \rrbracket) \quad \tau =$$

$$\text{passoc}_{\llbracket T \rrbracket, A' \otimes X, X}^{-1}; (\text{passoc}_{\llbracket T \rrbracket, A', X}^{-1} \otimes X); ((\tau' \otimes X) \otimes X); \Lambda_s^{-1}(\Lambda_s^{-1}(\eta)).$$

- Lastly, suppose that $\mathbf{E} = \mathbf{E}' \leftarrow n$ for some numeral n , where \mathbf{E}' is a context of type **Var**, and suppose that $M : T$ fits into the hole. The denotation $\llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket$ is given by

$$!\mathcal{V}_\Gamma \xrightarrow{\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket} \text{Var} \xrightarrow{\text{lunit}_{\text{Var}}} I \otimes \text{Var} \xrightarrow{n \otimes \text{Var}} \mathbb{N} \otimes \text{Var} \xrightarrow{\text{assign}} \mathbb{C}.$$

By the induction hypothesis, $\llbracket \Gamma \vdash \mathbf{E}'[M] \rrbracket$ takes the form

$$!\mathcal{V}_\Gamma \xrightarrow{\mu_{\mathcal{V}_\Gamma}} !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma \xrightarrow{\llbracket \Gamma \vdash M \rrbracket \otimes \sigma'} \llbracket T \rrbracket \otimes A' \xrightarrow{\text{wk}_{\llbracket T \rrbracket, A'}} \llbracket T \rrbracket \otimes A' \xrightarrow{\tau'} \text{Var},$$

for suitable A', σ', τ' . Then, if we compose on the right by the morphism $\text{lunit}_{\text{Var}}; (n \otimes \text{Var}); \text{assign}$, in order to give us the denotation $\llbracket \Gamma \vdash \mathbf{E}'[M] \leftarrow n \rrbracket = \llbracket \Gamma \vdash \mathbf{E}[M] \rrbracket$, then we are already in the required form, with

$$A = A' \quad \sigma = \sigma' \quad \tau = \tau'; \text{lunit}_{\text{Var}}; (n \otimes \text{Var}); \text{assign}. \quad \square$$

Our next lemma will help us deal with the base \dashrightarrow rules. We will then use Lemma 1.20.2 to extend this to the \rightarrow relation.



a strict strategy, and that the Λ_s^{-1} is a function from strict strategies to strict strategies, so that $\Lambda_s^{-1}(\Lambda_s^{-1}(\eta))$ is well-defined.

Lemma 1.20.3. *The relation $\dashv\dashv$ between triples $\Gamma, s \vdash M$ preserves the composite*

$$! \llbracket s \rrbracket ; \text{cell}^\Gamma ; \mu_{\mathcal{V}_\Gamma} ; (\llbracket \Gamma \vdash M \rrbracket \otimes !\mathcal{V}_\Gamma) ; \text{wk}_{\llbracket T \rrbracket, !\mathcal{V}_\Gamma} .$$

In other words, if $\Gamma, s \vdash M \dashv\dashv \Gamma, \Delta, s' \vdash N$, where M, N have type T , then the following diagram commutes.

$$\begin{array}{ccccc}
I & \xrightarrow{! \llbracket s \rrbracket} & !S_\Gamma & \xrightarrow{\text{cell}^\Gamma} & !\mathcal{V}_\Gamma & \xrightarrow{\mu_{\mathcal{V}_\Gamma}} & !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Gamma \\
! \llbracket s \rrbracket' \downarrow & & \downarrow & & \downarrow & & \downarrow \llbracket \Gamma \vdash M \rrbracket \otimes !V_\Gamma \\
!S_{\Gamma, \Delta} & & & & & & \llbracket T \rrbracket \otimes !V_\Gamma \\
\text{cell}^{\Gamma, \Delta} \downarrow & & & & & & \downarrow \text{wk}_{\llbracket T \rrbracket, !\mathcal{V}_\Gamma} \\
!\mathcal{V}_{\Gamma, \Delta} & & & & & & \llbracket T \rrbracket \otimes !\mathcal{V}_\Gamma \\
\mu_{\mathcal{V}_{\Gamma, \Delta}} \downarrow & & & & & & \downarrow \llbracket T \rrbracket \otimes (\Gamma + 0_\Delta) \\
!\mathcal{V}_{\Gamma, \Delta} \otimes !\mathcal{V}_{\Gamma, \Delta} & \xrightarrow{\llbracket \Gamma, \Delta \vdash N \rrbracket \otimes !\mathcal{V}_{\Gamma, \Delta}} & \llbracket T \rrbracket \otimes !\mathcal{V}_{\Gamma, \Delta} & \xrightarrow{\text{wk}_{\llbracket T \rrbracket, !\mathcal{V}_{\Gamma, \Delta}}} & \llbracket T \rrbracket \otimes !\mathcal{V}_{\Gamma, \Delta} , & &
\end{array}$$

where $\Gamma + 0_\Delta : !\mathcal{V}_\Gamma \rightarrow !\mathcal{V}_{\Gamma, \Delta}$ is the composite

$$!\mathcal{V}_\Gamma \xrightarrow{\text{runit}_{!\mathcal{V}_\Gamma}} !\mathcal{V}_\Gamma \otimes I \xrightarrow{!\mathcal{V}_\Gamma \otimes !0} !\mathcal{V}_\Gamma \otimes !S_\Delta \xrightarrow{!\mathcal{V}_\Gamma \otimes \text{cell}^\Delta} !\mathcal{V}_\Gamma \otimes !\mathcal{V}_\Delta \rightarrow !\mathcal{V}_{\Gamma, \Delta} ;$$

i.e., the morphism given by initializing new storage cells to 0 for all the new variables in Δ .

Proof. We prove this on a case-by-case basis.

- For most of the rules, $\Delta = _$ and $s = s'$; i.e., the rule is of the form

$$\Gamma, s \vdash M \dashv\dashv \Gamma, s \vdash N$$

for some M, N . In such a case, it suffices to show that $\llbracket \Gamma \vdash M \rrbracket = \llbracket \Gamma \vdash N \rrbracket$. Indeed, we have

- $\llbracket \Gamma \vdash (\lambda x.M)N \rrbracket = \llbracket \Gamma \vdash M[N/x] \rrbracket$ (by a usual substitution-lemma argument);
- $\llbracket \Gamma \vdash \mathbf{Y}M \rrbracket = \llbracket \Gamma \vdash (\lambda F.\lambda f.f(Ff))\mathbf{Y}M \rrbracket = \llbracket \Gamma \vdash M(\mathbf{Y}M) \rrbracket$;
- $\llbracket \Gamma \vdash \text{succ } n \rrbracket = \llbracket \Gamma \vdash n + 1 \rrbracket$;
- $\llbracket \Gamma \vdash \text{pred}(n + 1) \rrbracket = \llbracket \Gamma \vdash n \rrbracket$;
- $\llbracket \Gamma \vdash \text{pred } 0 \rrbracket = \llbracket \Gamma \vdash 0 \rrbracket$;

- $\llbracket \Gamma \vdash \text{skip}; M \rrbracket = \llbracket \Gamma \vdash M \rrbracket$;
- $\llbracket \Gamma \vdash \text{If } \mathfrak{t} \text{ then } N \text{ else } P \rrbracket = \llbracket \Gamma \vdash (\lambda x. \lambda y. x)NP \rrbracket = \llbracket \Gamma \vdash N \rrbracket$;
- $\llbracket \Gamma \vdash \text{If } \mathfrak{f} \text{ then } N \text{ else } P \rrbracket = \llbracket \Gamma \vdash (\lambda x. \lambda y. y)NP \rrbracket = \llbracket \Gamma \vdash P \rrbracket$;
- $\llbracket \Gamma \vdash \text{If0 } 0 \text{ then } N \text{ else } P \rrbracket = \llbracket \Gamma \vdash (\lambda x. \lambda y. x)NP \rrbracket = \llbracket \Gamma \vdash N \rrbracket$;
- $\llbracket \Gamma \vdash \text{If0 } (n+1) \text{ then } N \text{ else } P \rrbracket = \llbracket \Gamma \vdash (\lambda x. \lambda y. y)NP \rrbracket = \llbracket \Gamma \vdash P \rrbracket$;
- $\llbracket \Gamma \vdash (\text{mkvar } W \ R) \leftarrow n \rrbracket = \langle \llbracket \Gamma \vdash Wn \rrbracket_{n \in \mathbb{N}}, \llbracket \Gamma \vdash R \rrbracket \rangle; \text{pr}_n = \llbracket \Gamma \vdash Wn \rrbracket$; and
- $\llbracket \Gamma \vdash !(\text{mkvar } W \ R) \rrbracket = \langle \llbracket \Gamma \vdash Wn \rrbracket_{n \in \mathbb{N}}, \llbracket \Gamma \vdash R \rrbracket \rangle; \text{pr}_{\mathbb{N}} = \llbracket \Gamma \vdash R \rrbracket$.

- Now consider the rule

$$\Gamma, s \vdash \text{new } \lambda x. M \dashrightarrow \Gamma, x, (s|x \mapsto 0) \vdash M,$$

where $\Gamma \vdash M : T$. The first observation to make is that the denotation $\llbracket \Gamma \vdash \text{new } \lambda x. M \rrbracket$ may be written as

$$! \mathcal{V}_{\Gamma} \xrightarrow{\Gamma + 0_x} ! \mathcal{V}_{\Gamma, x} \xrightarrow{\Lambda^{-1}(\llbracket \Gamma \vdash \lambda x. M \rrbracket)} \llbracket T \rrbracket,$$

where $\Gamma + 0_x$ is as defined in the statement of this Lemma. Of course, $\Lambda^{-1}(\llbracket \Gamma \vdash \lambda x. M \rrbracket) = \llbracket \Gamma, x \vdash M \rrbracket$.

Next, we claim that we have a commutative diagram

$$\begin{array}{ccc} !S_{\Gamma} & \xrightarrow{\text{cell}^{\Gamma}} & ! \mathcal{V}_{\Gamma} \\ \downarrow \langle S_{\Gamma}, 0 \rangle & & \downarrow \Gamma + 0_x \\ !S_{\Gamma, x} & \xrightarrow{\text{cell}^{\Gamma, x}} & ! \mathcal{V}_{\Gamma, x} . \end{array}$$

Indeed, we have the diagram

$$\begin{array}{ccccccc}
!S_\Gamma & \longrightarrow & !\mathbb{N} \otimes \dots \otimes !\mathbb{N} & \xrightarrow{\text{cell} \otimes \dots \otimes \text{cell}} & !\text{Var} \otimes \dots \otimes !\text{Var} & \longrightarrow & !\mathcal{V}_\Gamma \\
\downarrow & & \downarrow \text{runit} & & \downarrow \text{runit} & & \downarrow \text{runit} \\
& & !\mathbb{N} \otimes \dots \otimes !\mathbb{N} \otimes I & \xrightarrow{\text{cell} \otimes \dots \otimes \text{cell} \otimes I} & !\text{Var} \otimes \dots \otimes !\text{Var} \otimes I & \longrightarrow & !\mathcal{V}_\Gamma \otimes I \\
& & \downarrow & & \downarrow & & \downarrow \\
& & !\mathbb{N} \otimes \dots \otimes !\mathbb{N} \otimes !0 & & !\text{Var} \otimes \dots \otimes !\text{Var} \otimes !\text{Var} \otimes I & & !\mathcal{V}_\Gamma \otimes !0 \\
& & \downarrow & \nearrow & \downarrow & & \downarrow \\
& & & & !\text{Var} \otimes \dots \otimes !\text{Var} \otimes !\mathbb{N} & \longrightarrow & !\mathcal{V}_\Gamma \otimes !\mathbb{N} \\
& & & & \downarrow & & \downarrow \\
& & & & !\text{Var} \otimes \dots \otimes !\text{Var} \otimes \text{cell} & & !\mathcal{V}_\Gamma \otimes \text{cell} \\
& & & & \downarrow & \nearrow & \downarrow \\
& & & & & & !\mathcal{V}_\Gamma \otimes !\text{Var} \\
& & & & & & \downarrow \\
& & & & & & !\mathcal{V}_{\Gamma,x}
\end{array}$$

$!S_{\Gamma,x} \rightarrow !\mathbb{N} \otimes \dots \otimes !\mathbb{N} \otimes !\mathbb{N} \xrightarrow{\text{cell} \otimes \dots \otimes \text{cell} \otimes !\mathbb{N}} !\text{Var} \otimes \dots \otimes !\text{Var} \otimes !\text{Var} \xrightarrow{\text{cell} \otimes \dots \otimes \text{cell} \otimes \text{cell}} !\mathcal{V}_{\Gamma,x}.$

Now the diagram in Figure 1.7 shows us that the conclusion of the lemma is satisfied for this rule.

- Now consider the rules

$$x, \Gamma, s \vdash x \leftarrow n \dashrightarrow x, \Gamma, (s|x \mapsto n) \vdash \text{skip} \quad x, \Gamma, s \vdash !x \dashrightarrow x, \Gamma, s \vdash s(x).$$

In each of these cases, the outer context Γ is unchanged by the rule; moreover, each term ignores the variables in Γ . So the denotations terms-in-context $x, \Gamma \vdash M : T$ on either side of each rule are of the form

$$!\mathcal{V}_{x,\Gamma} \xrightarrow{!pr_1} !\text{Var} \xrightarrow{[x \vdash M]} [T].$$

Now the diagram in Figure 1.8 tells us that each

$$![s]; \text{cell}^{x,\Gamma}; \mu_{\mathcal{V}_{x,\Gamma}}; ([x, \Gamma \vdash M] \otimes !\mathcal{V}_{x,\Gamma}); \text{wk}_{[T], !\mathcal{V}_{x,\Gamma}} -$$

i.e., the composites we are trying to show are preserved by the \dashrightarrow relation – may be written as

$$![s|_\Gamma]; \text{cell}^\Gamma; \text{lunit}_{!\mathcal{V}_\Gamma};$$

$$((! [s|x]; \text{cell}; \mu_{\text{Var}}; ([x \vdash M] \otimes !\text{Var}); \text{wk}_{[T], !\text{Var}}) \otimes !\mathcal{V}_\Gamma);$$

$$\text{wk}_{[T] \otimes !\text{Var}, !\mathcal{V}_\Gamma}; \text{passoc}_{[T], !\text{Var}, !\mathcal{V}_\Gamma}; \cong$$

(where \cong represents the natural isomorphism between $[T] \otimes (!\text{Var} \otimes !\mathcal{V}_\Gamma)$ and $[T] \otimes !\mathcal{V}_{x,\Gamma}$), and so is determined by the composite

$$![s|x]; \text{cell}; \mu_{\text{Var}}; ([x \vdash M] \otimes !\text{Var}); \text{wk}_{[T], !\text{Var}};$$

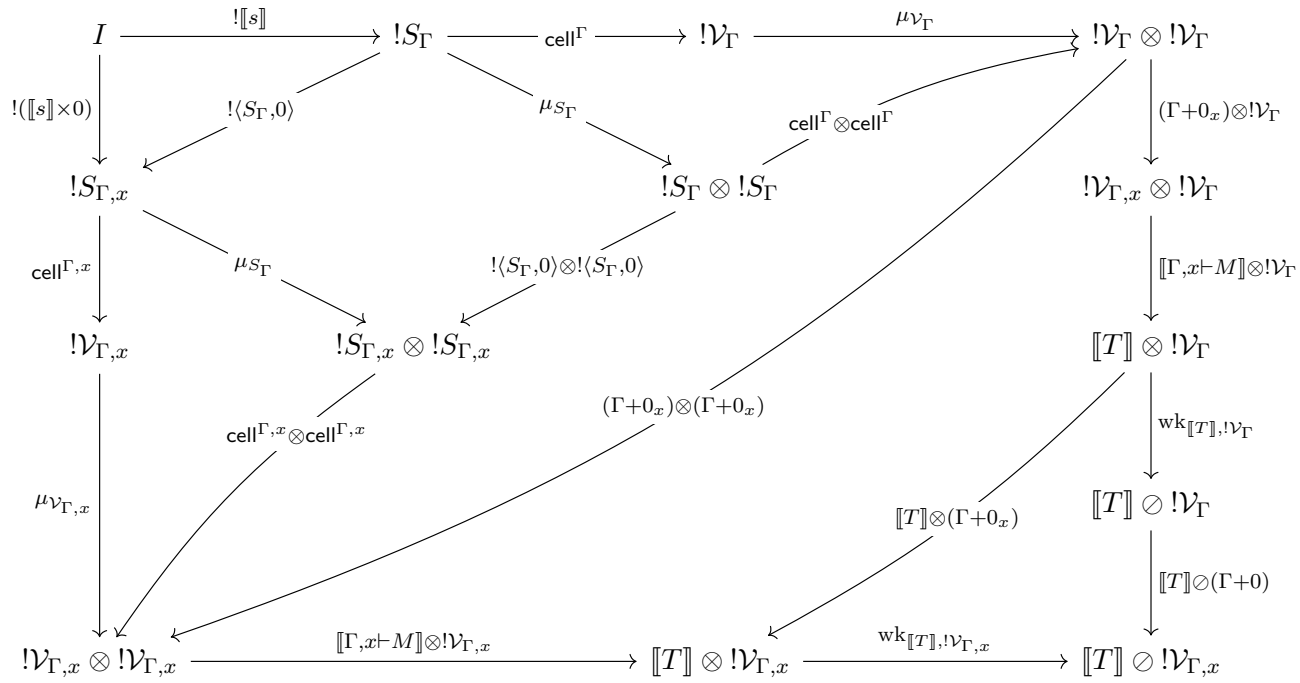


Figure 1.7: The reduction $\Gamma, s \vdash \text{new } \lambda x.M \dashrightarrow \Gamma, x, (s|x \mapsto 0) \vdash M$ satisfies the conclusion of Lemma 1.20.3.

i.e., the composite that we would get if Γ were empty. This tells us that we can assume that Γ is the empty context when we want to deal with these two cases.

Now we have a commutative diagram

□

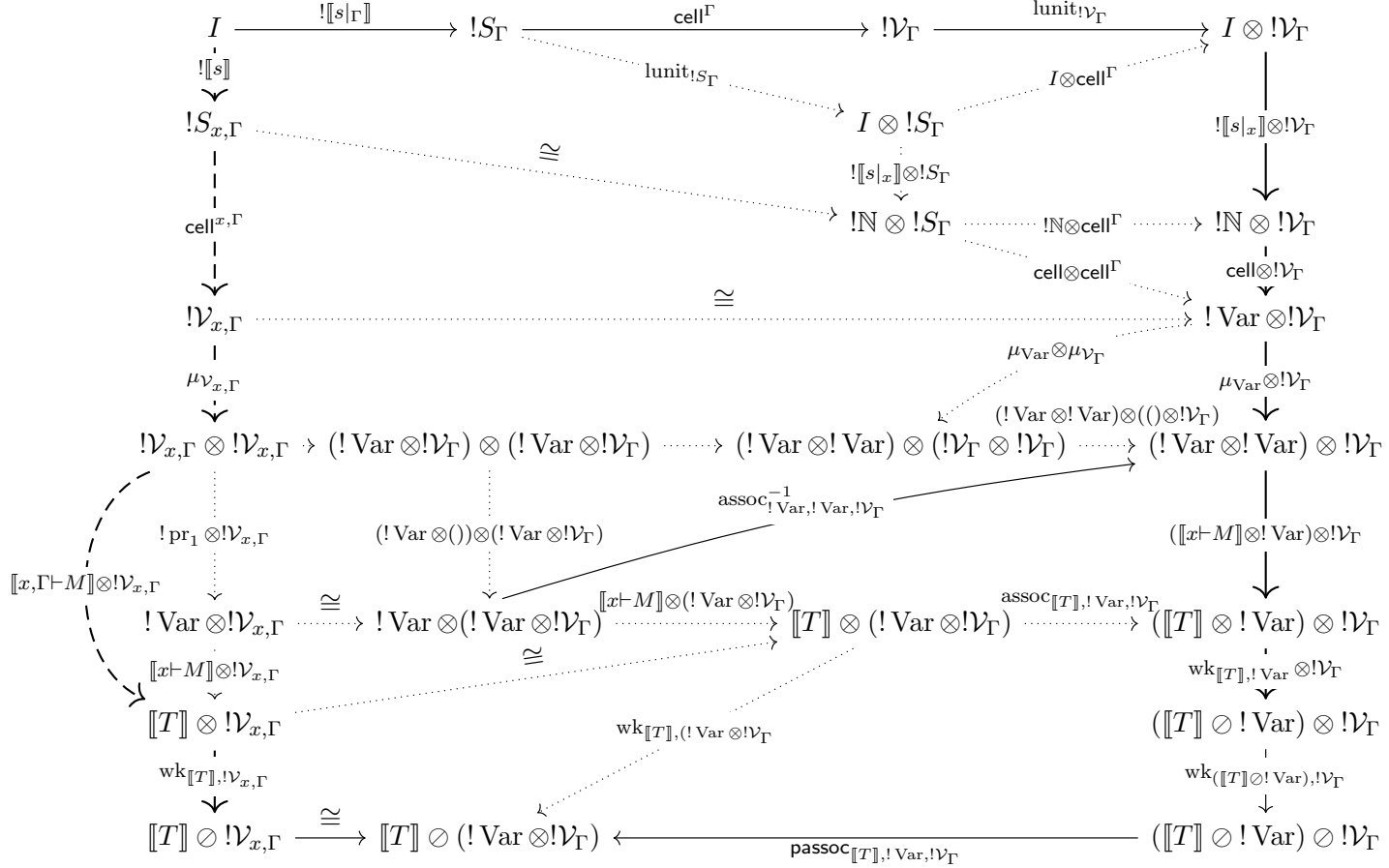


Figure 1.8: Diagram proving that if we want to prove the conclusion of Lemma 1.20.3 for a small-step rule that does not change the context and only mentions one variable, then it suffices to assume that that variable is the only variable in the context.



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