

Ass1

Chenqu Zhao z5242692

Q1.(1) The following code is implemented in Python and contains Python operations about list.

result \leftarrow < >

```
Intersect(A, B):
if A.len = 0 or B.len = 0:
    return result
else:
    index  $\leftarrow$  A[0]
    A_left, A_right, B_left, B_right  $\leftarrow$  < >, < >, < >, < >
    for i in range(A.len):
        if A[i] < index:
            A_left.append(A[i])
        elif A[i] > index:
            A_right.append(A[i])

    for j in range(B.len):
        if B[j] < index:
            B_left.append(B[j])
        elif B[j] > index:
            B_right.append(B[j])
    else:
        result.append(index)

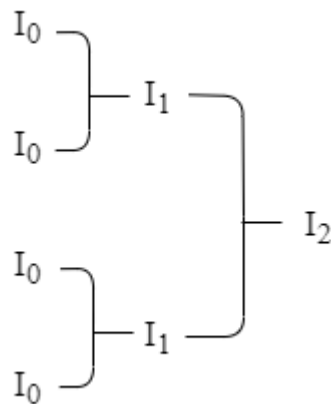
Intersect(A_left, B_left)
Intersect(A_right, B_right)
return result
```

(2) The boundary case does not change, and the else operations are explained below. When requiring k sub-lists, $k-1$ indexes should be picked up. As the same logic of question(1), I pick the first $k-1$ values as indexes in list A (if there are enough, otherwise, just acquire as many as it can) and sort them from small to large. After that, I traverse list A and divide it into k sub-lists using these $k-1$ indexes. Then, I traverse list B and divide it into k sub-lists using same indexes(append the same values as indexes to result list). Name the k sub_lists of A and B as $A_0, A_1, A_2 \dots A_{n-1}$ and $B_0, B_1, B_2 \dots B_{n-1}$.

To use same logic as question (1), do a for loop for m which is an integer from 0 to $k-1$. invoke Intersect(A_m, B_m) for each sub-list pair during each iteration.

Q2.

- (1) The logarithmic merge is used with t sub-indexes(I_0) initially, the diagram below indicates partial algorithm.



...

One kind of sub-index could only appear once during each generation, otherwise, it will be merged with sub-index having same subscript to produce a new sub-index on higher level of the binary tree. Therefore, the result of number of sub-indexes is at most $\text{ceil}(\log_2 t)$.

- (2) There are M pages in total. During each page processing, according to logarithmic merge, there is only 1 occurrence of sub-index with generation number k .

Therefore, the following recursive calculations can be defined:

Merge $k-1$ sub-indexes once

Merge $k-2$ sub-indexes twice

Merge $k-3$ sub-indexes four times

...

Merge 0 sub-indexes 2^{k-1} times

Regarding to question(1), $k = \log_2 t$

Reading collection: $t * M$

Merge: $\sum_{i=0}^{k-1} 2^i * (2 * 2^{k-i-1} + 2^{k-i}) * M = k * 2^{k+1} * M = 2 * t * M * \log_2 t$

The total I/O cost is $t * M + 2 * t * M * \log_2 t$

Regarding to Big-O notation, the total I/O cost of the logarithmic merge is $O(t * M * \log_2 t)$.

Q3.

- (1) Precision = $\text{tp}/(\text{tp} + \text{fp}) = (6/6+14) = \frac{3}{10}$

$$(2) \text{ Recall} = \text{tp}/(\text{tp} + \text{fn}) = (6/6+2) = \frac{3}{4}$$

$$F_1 = [(\beta^2 + 1)PR] / [\beta^2 P + R] = \frac{(1+1) * \frac{3}{10} * \frac{3}{4}}{1 * \frac{3}{10} + \frac{3}{4}} = 0.4286$$

(3)

doc_id	1	2	3	4	5	6	7	8	9	10
Precision(%)	100	100	66.67	50	40	33.33	28.57	25	33.33	30
Recall(%)	12.5	25	25	25	25	25	25	25	37.5	37.5

doc_id	11	12	13	14	15	16	17	18	19	20
Precision(%)	36.36	33.33	30.77	28.57	33.33	31.25	29.41	27.78	26.32	30
Recall(%)	50	50	50	50	62.5	62.5	62.5	62.5	62.5	75

According to the table above, the uninterpolated precisions of the system at 25% recall are 100%, 66.67%, 50%, 40%, 33.33%, 28.57%, 25%.

(4) The highest precisions found for any recall higher than 33%(doc_id >= 9) is
 $4/11 = 0.3636$

$$(5) \text{ MAP} = \frac{1}{8} * (\frac{1}{1} + \frac{2}{2} + \frac{3}{9} + \frac{4}{11} + \frac{5}{15} + \frac{6}{20} + 0 + 0) = 0.4163$$

$$(6) \text{ The largest possible MAP is } \frac{1}{8} * (\frac{1}{1} + \frac{2}{2} + \frac{3}{9} + \frac{4}{11} + \frac{5}{15} + \frac{6}{20} + \frac{7}{21} + \frac{8}{22}) = 0.5034$$

$$(7) \text{ The smallest possible MAP is } \frac{1}{8} * (\frac{1}{1} + \frac{2}{2} + \frac{3}{9} + \frac{4}{11} + \frac{5}{15} + \frac{6}{20} + \frac{7}{9999} + \frac{8}{10000}) = 0.4165$$

$$(8) 0.5034 - 0.4163 = 0.0871$$

$$0.4165 - 0.4163 = 0.0002$$

So, the error is in [0.0002, 0.086]

Q4.

$$(1) P(Q|d_1) = \frac{2}{10} * \frac{3}{10} * \frac{1}{10} * \frac{2}{10} * \frac{2}{10} * \frac{0}{10} = 0$$

$$P(Q|d_2) = \frac{7}{10} * \frac{1}{10} * \frac{1}{10} * \frac{1}{10} * \frac{0}{10} * \frac{0}{10} = 0$$

It is not able to rank these two documents.

(2) With smoothing,

$$P(Q|d_1) = (0.8 * \frac{2}{10} + 0.2 * 0.8) * (0.8 * \frac{3}{10} + 0.2 * 0.1) * (0.8 * \frac{1}{10} + 0.2 * 0.025) * (0.8 * \frac{2}{10} + 0.2 * 0.025) * (0.8 * \frac{2}{10} + 0.2 * 0.025) * (0.8 * \frac{0}{10} + 0.2 * 0.025) = 9.63 * 10^{-7}$$

$$P(Q|d_2) = (0.8 * \frac{7}{10} + 0.2 * 0.8) * (0.8 * \frac{1}{10} + 0.2 * 0.025) * (0.8 * \frac{1}{10} + 0.2 * 0.025) * (0.8 * \frac{0}{10} + 0.2 * 0.025) * (0.8 * \frac{0}{10} + 0.2 * 0.025) * (0.8 * \frac{0}{10} + 0.2 * 0.025) = 9.63 * 10^{-7}$$

$$\begin{aligned}
 P(Q|d_2) &= (0.8 * \frac{7}{10} + 0.2 * 0.8) * (0.8 * \frac{1}{10} + 0.2 * 0.1) * (0.8 * \\
 &\frac{1}{10} + 0.2 * 0.025) * (0.8 * \frac{1}{10} + 0.2 * 0.025) * (0.8 * \frac{0}{10} + 0.2 * 0.025)(0.8 * \frac{0}{10} + \\
 &0.2 * 0.025) = 1.30 * 10^{-8}
 \end{aligned}$$

Document d_1 would be ranked higher.