# **COINVENT: Towards a Computational Concept Invention Theory**

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#### **Abstract**

We aim to develop a computationally feasible, cognitivelyinspired, formal model of concept invention, drawing on Fauconnier and Turner's theory of conceptual blending, and grounding it on a sound mathematical theory of concepts. Conceptual blending, although successfully applied to describing combinational creativity in a varied number of fields, has barely been used at all for implementing creative computational systems, mainly due to the lack of sufficiently precise mathematical characterisations thereof. The model we will define will be based on Goguen's proposal of a Unified Concept Theory, and will draw from interdisciplinary research results from cognitive science, artificial intelligence, formal methods and computational creativity. To validate our model, we will implement a proof of concept of an autonomous computational creative system that will be evaluated in two testbed scenarios: mathematical reasoning and melodic harmonisation. We envisage that the results of this project will be significant for gaining a deeper scientific understanding of creativity, for fostering the synergy between understanding and enhancing human creativity, and for developing new technologies for autonomous creative systems.

#### Introduction

Of the three forms of creativity put forward in (Boden 1990)—combinational, exploratory, and transformational—the most difficult to capture computationally turned out to be the combinational type (Boden 2009), i.e., when novel ideas (concepts, theories, solutions, works of art) are produced through unfamiliar combinations of familiar ideas. Although generating novel ideas, or concepts, by combining old ones is not complicated in principle, the difficulty lies in doing this in a computationally tractable way, and in being able to recognise the value of newly invented concepts for better understanding a certain domain; even without it being specifically sought—i.e., by 'serendipity' (Boden 1990, p. 234), (Pease et al. 2013).

To address this problem, we will concentrate on an important development that has significantly influenced the current understanding of the general cognitive principles operating during creative thinking, namely Fauconnier and Turner's theory of *conceptual blending*, also known as conceptual integration (Fauconnier and Turner 1998). Fauconnier and Turner proposed conceptual blending as the fundamental cognitive operation underlying much of every-

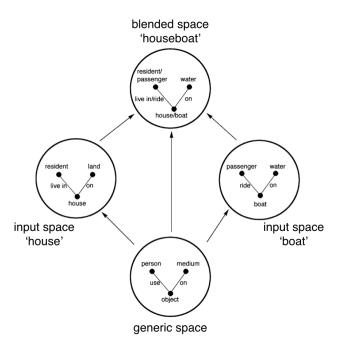


Figure 1: 'Houseboat' blend, adapted from (Goguen and Harrell 2010)

day thought and language, and modelled it as a process by which people subconsciously combine particular elements and their relations, of originally separate mental spaces, into a unified space, in which new elements and relations emerge, and new inferences can be drawn. For instance, a 'houseboat' or a 'boathouse' are not simply the intersection of the concepts of 'house' and 'boat'. Instead, the concepts 'houseboat' and 'boathouse' selectively integrate different aspects of the source concepts in order to produce two new concepts, each with its own distinct internal structure (see Figure 1 for the 'houseboat' blend).

The cognitive, psychological and neural basis of conceptual blending has been extensively studied (Fauconnier and Turner 2003; Gibbs, Jr. 2000; Baron and Osherson 2011). Moreover, Fauconnier and Turner's theory has been successfully applied for describing existing blends of ideas and concepts in a varied number of fields, such as linguistics, mu-

sic theory, poetics, mathematics, theory of art, political science, discourse analysis, philosophy, anthropology, and the study of gesture and of material culture (Turner 2012). However, the theory has hardly been used for implementing creative computational systems. Indeed, since Fauconnier and Turner did not aim at computer models of cognition, they did not develop their theory in sufficient detail for conceptual blending to be captured algorithmically. Consequently, the theory is silent on issues that are relevant if conceptual blending is to be used as a mechanism for designing creative systems: it does not specify how input spaces are retrieved; or which elements and relations of these spaces are to be projected into the blended space; or how these elements and relations are to be further combined; or how new elements and relations emerge; or how this new structure is further used in creative thinking (i.e., how the blend is "run"). Conceptual blending theory does not specify how novel blends are constructed.

Nevertheless, a number of researchers in the field of computational creativity have recognised the potential value of Fauconnier and Turner's theory for guiding the implementation of creative systems, and some computational accounts of conceptual blending have already been proposed (Veale and O'Donoghue 2000; Pereira 2007; Goguen and Harrell 2010; Thagard and Stewart 2011). They attempt to concretise some of Fauconnier and Turner's insights, and the resulting systems have shown interesting and promising results in creative domains such as interface design, narrative style, poetry generation, and visual patterns. All of these accounts, however, are customised realisations of conceptual blending, which are strongly dependent on hand-crafted representations of domain-specific knowledge, and are limited to very specific forms of blending. The major obstacle for a general account of computational conceptual blending is currently the lack of a mathematically precise theory that is suitable for the rigorous development of creative systems based on conceptual blending.

## A Formal Model of Conceptual Blending

To address the relative lack of study of the computational potential of conceptual blending, in the FP7-ICT project COINVENT<sup>1</sup>, we are setting out to:

- develop a novel, computationally feasible, formal model of conceptual blending that is sufficiently precise to capture the fundamental insights of Fauconnier and Turner's theory, while being general enough to address the syntactic and semantic heterogeneity of knowledge representations;
- gain a deeper understanding of conceptual blending and its potential role in computational creativity, by linking this novel formal model to relevant, cognitively-inspired computational models, such as analogical and case-based reasoning, induction, semantic alignment, and coherencebased reasoning;
- design a generic, creative computational system based on this novel formal model, capable of serendipitous inven-

tion and manipulation of novel abstract concepts, enhancing thus the creativity of humans when this system is instantiated to particular application domains for which conceptual blending is a core process of creative thinking;

4. validate the model and its computational realisation in two representative working domains: mathematics and music.

The only attempt so far to provide a general and mathematically precise account of conceptual blending has been put forward by Goguen, initially as part of algebraic semiotics (Goguen 1999), and later in the context of a wider theory of concepts: Unified Concept Theory (Goguen 2005a). He has also shown its aptness for formalising information integration (Goguen 2005b) and reasoning about space and time (Goguen 2006).

Goguen's intuition was that conceptual blending could be modelled based on the *colimit* construct of category theory—a field of abstract mathematics that has provided deep insights in mathematical logic and computer science, and has often been used as a guide for finding good definitions and research directions. In his *Categorical Manifesto*, he intuitively describes this construct as follows: "Given a category of widgets, the operation of putting a system of widgets together to form some super-widget corresponds to taking the colimit of the diagram of widgets that shows how to interconnect them." (Goguen 1991)

To model conceptual blending we would start with a collection of input spaces—Goguen defines them as semiotic spaces of signs and their relations—and of structurepreserving mappings between them, capturing how the structure of these spaces is related. The colimit would be the optimal way to put these spaces together into one single space taking into account how they were originally connected by structure-preserving mappings. Here 'optimal' means that the colimit includes all structure of the input spaces, but not more; and that it would not make unnecessary fusion of structure. An important property of colimits is that they are unique up to isomorphism. But since conceptual blending does not operate in general under this notion of optimality, Goguen suggested to extend this idea by including a notion of 'quality' of structure-preserving mappings between mental spaces to cope with the idea of partial mappings that selectively map only certain structure into the blend, and to model conceptual blends as colimits in this extended setting.

As it stands, Goguen's account is still very abstract and lacks concrete algorithmic descriptions. There are several reasons, though, that make it an appropriate candidate theory on which to ground the formal model we are aiming at:

• It is an important contribution towards the unification of several formal theories of concepts, including the geometrical conceptual spaces of (Gärdenfors 2004), the symbolic conceptual spaces of (Fauconnier 1994), the information flow of (Barwise and Seligman 1997), the formal concept analysis of (Ganter and Wille 1999), and the lattice of theories of (Sowa 2000). This makes it possible to potentially draw from existing algorithms that have already been developed in the scope of each of these frame-

<sup>&</sup>lt;sup>1</sup>www.coinvent-project.eu

works.

It covers any formal logic, even multiple logics, supporting thus the integration and processing of concepts under various forms of syntactic and semantic heterogeneity. This is important, since we cannot assume conceptual spaces represented in a homogeneous manner across diverse domains. Current tools for heterogeneous specifications such as Hets (Mossakowski, Maeder, and Lüttich 2007) allow parsing, static analysis and proof management incorporating various provers and different specification languages.

By developing a formal model of conceptual blending building on Goguen's initial account, we aim to provide general principles that will guide the design of computer systems capable of inventing new higher-level, more abstract concepts and representations out of existing, more concrete concepts and interactions with the environment, and to do so based on the sound reuse and exploitation of existing computational implementations of closely related models, such as those for analogical and metaphorical reasoning (Falkenhainer, Forbus, and Gentner 1989), semantic integration (Schorlemmer and Kalfoglou 2008), or cognitive coherence (Thagard 2000). With such a formal, but computationally feasible model, we will ultimately bridge the existing gap between the theoretical foundations of conceptual blending and their computational realisations. This, in turn, will contribute to the much-needed foundations for the design of creative systems that effectively enhance both artificial and human creativity when deployed in the kinds of genuinely creative tasks underlying the sort of abstract reasoning common to many branches of the sciences and the arts.

### **Working Domains**

To explore the genericity of the proposed formal model of concept invention and of the computational realisation we are after, we will focus on two representative working domains of creativity: mathematics and music, "the most sharply contrasted fields of intellectual activity which one can discover, and yet bound together, supporting one another as if they would demonstrate the hidden bond which draws together all activities of our mind, and which also in the revelations of artistic genius leads us to surmise unconscious expressions of a mysteriously active intelligence," as noted wisely in (von Helmholtz 1885).

In mathematics, the creative act of providing novel definitions, conjectures, theorems, examples, counter-examples, or proofs can be seen as particular cases of concept invention (Montano-Rivas et al. 2012). In music, concept invention may apply to the generation of new melodies, harmonies, rhythms, or counterpoints (and their combination) (Mazzola, Park, and Thalmann 2011), and to the integration of musical and textual spaces to achieve novel musical metaphors (Zbikowski 2002).

The following examples illustrate the sort of creative activity we want to address with our formal model and its computational realisation.

**Example 1** The historical example of the discovery of the quaternions by Hamilton is one that is well documented (e.g., (Hersh 2011)), so much is known about the intermediate steps involved in the discovery. This can be treated by our approach, by taking the starting point as the unproblematic blend between the algebraic structure of the complex numbers as a field (with addition, multiplication and division), and the geometric structure of the 2-dimensional real plane as a real vector space (with addition, and scalar multiplication). In our terms, Hamilton wanted to find a similar blend involving an algebraic structure corresponding to 3dimensional real vector space. He ended up, however, by finding a blend involving a 4-dimensional real vector space, and the algebra of the quaternions — which involves leaving out from the algebraic theory the commutativity of multiplication. We thus see the characteristic features of blending, in the diagram of Figure 2, where the arrows indicate morphisms in Unified Concept Theory. This shows the characteristic features of blending, where:

- there are two given concepts: commutative fields, and (4-dimensional) real vector spaces;
- a common concept, structurally similar to some aspects of the given concepts is identified (Common);
- the initial concepts are blended, respecting the common aspects,
- an initially inconsistent blended concept of quaternions is obtained;
- this is modified by dropping an initial feature (commutativity of multiplication), to obtain a consistent concept.

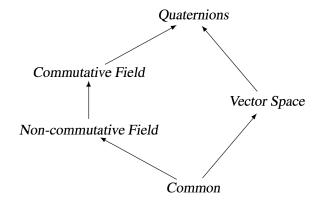


Figure 2: Blend for quaternions.

By deploying COINVENT-based technology in this working domain, our ultimate goal is to transcend the capabilities of current state-of-the-art automated reasoning support tools, which as of today are reluctantly accepted by their users and perceived more as an obstacle to than a facilitator of creative thinking. The choice of the domain of mathematics is further supported by the following reasons:

 Evidence from cognitive science, education, and history of mathematics suggests that the hierarchy of mathematical concepts is grounded on some simple numerical abilities humans have, combined with know-how about physical scenarios of interaction with the environment (Lakatos 1976; Lakoff and Núñez 2000). This means that by tackling the case of mathematics, we need to address problems concerning the situatedness of agents.

- The span of usage of mathematical concepts goes from rather concrete situations (children learning to count how many toys you give them) to the very abstract (as when professional mathematicians do research) — see (Lakoff and Núñez 2000; Alexander 2011).
- Mathematics allows us to explore the social dimension of concept invention and the forces external to cognition that shape the process of conceptual blending over time, crucial in educational and research environments (Lakatos 1976; Goguen 1997).
- Currently, there is no cognitive model of the way in which people invent mathematical concepts; there are to our knowledge no models of how humans create mathematics. Hence only a few computational creativity systems exist that support creative mathematical thinking, such as (Colton 2002).

**Example 2** Devising appropriate chordal harmonisations for melodies derived from non-Western cultures or, even, for new creations could potentially be tackled computationally based on our approach. A computational system could autonomously explore different chordal spaces generating novel harmonic combinations/blends appropriate for the melodies at hand. This could be applied for the design of an interactive compositional tool or computer game where the user inputs a melody (may 'sing in' a melody) and the automatic harmonisation system produces interactively novel harmonisations that creatively combine harmonic properties from different music idioms. It could also be applied, for instance, for video-game design and programming, by endowing game creations with the capacity of generating new harmonisations on-the-fly; the creative melodic harmonisation assistant could provide appropriate harmonisations following the mood changes or activity or gestural patterns emerging as the game unfolds. In Figure 3, a traditional melody is harmonised in radically different ways corresponding to individual harmonic spaces (tonal, modal, atonal). The creative harmonisation assistant may generate such original harmonisations or enable the emergence of new unpredicted harmonisations stemming from blends between such spaces.

By deploying COINVENT-based technology in this working domain our ultimate goal is to be capable of making software go beyond a mere application of compositional rules, so as to refute the common belief that creativity is separated from the computational processes used in music composition, and that these processes just do uncreative calculations. The choice of the domain of music is further supported by the following reasons:

 The conceptual level of music, together with the role of cognitive models such as conceptual blending in musical



Figure 3: Four different harmonisations of a traditional melody (first four-bar phrase) — harmonizations created by C. Tsougras (Aristotle University of Thessaloniki).

analysis, has gained increased attention in the field of music theory (Zbikowski 2002).

- A substantial body of contemporary research on musical creativity from the philosophy of computer modelling, through music semiotics, education, performance and neuroscience, to experimental psychology (Deliège and Wiggins 2006; Mazzola, Park, and Thalmann 2011) provides the necessary background for exploring computational creativity in a scientific manner in the domain of music.
- Traditional music analysis has weak conceptual power for studying complex constructions. Formal theories of musical structure and processes, as employed in contemporary computational modelling of music (Anagnostopoulou and Cambouropoulos 2012; Conklin and Anagnostopoulou 2006; Steedman 1996), are considered an adequate tool for computer-aided composition of advanced music.
- The language of modern mathematics, whose conceptual character has been stressed by contemporary mathematicians (Lawvere and Shanuel 1997; Boulez and Connes 2011), has been advocated as a way forward in the analysis of its effectiveness in musical creativity (Future and

Emerging Technologies 2011).

 Musical creativity, particularly musical performance, is ultimately contextualised, situated, and embodied (Goguen 2004). In particular, in musical gesture theory, conceptual blending has been suggested as a powerful model of musical interpretation (Echard 2006).

We believe that the exploration of the domains of mathematics and music should reveal very general principles applicable to other creative domains.

#### **Relevant Prior Research**

COINVENT is a collective effort to advance the understanding of creativity through a precise formalisation of an important cognitive model and a concrete computational realisation thereof. We shall do so informed by the main contributions towards a science of creativity (Sternberg 1999) and drawing from several foundational theories that have hitherto largely been pursued independently.

During the last decades, scholars and researchers in cognitive linguistics and cognitive psychology have made significant contributions to the understanding of the fundamental role that metaphor and analogy play in cognition (Lakoff and Johnson 1980; Gentner, J.Holvoak, and Kokinov 2001; Fauconnier and Turner 2003), at the same time that significant evidence has been gathered supporting a philosophy of mind grounded on the embodiment of mind and meaning (Maturana and Varela 1987; Varela, Thompson, and Rosch 1992; Lakoff and Johnson 1999; Johnson 2007). This research has been heavily influenced by the dramatic progress in imaging techniques carried out in the field of neuroscience, such as functional MRI.

In parallel, the development of the field of Category Theory has led to a remarkable unification and simplification of mathematics (Mac Lane 1971; Lawvere and Shanuel 1997), which has helped to reach a deep understanding across different fields such as computer science, mathematical logic, physics, and linguistics. More recently, these techniques have been applied to obtain some preliminary formalisations of conceptual metaphor and blending (Goguen 1999; Old and Priss 2001; Guhe, Smaill, and Pease 2009) by applying techniques such as institution theory (Goguen and Burstall 1992) or information flow theory (Barwise and Seligman 1997), which are based on category theory.

Automated reasoning techniques from artificial intelligence that are either based on cognitive principles such as case-based reasoning (Aamodt and Plaza 1994) —grounded on the prototype theory of categorisation (Rosch 1973) and reasoning by analogy making (Gentner 1983)—or on formal methods for inductive reasoning such as anti-unification (Plotkin 1971) will be some of the seed technologies for the computational realisation of our model. Some preliminary steps have been made already, in joint research by some of the consortium members of COINVENT, by taking ideas from Lakatos (Lakatos 1976) and from (Lakoff and Núñez 2000) as starting points and extending the HDTP system (Heuristic-Driven Theory Projection, developed at the University of Osnabrück (Gust, Kühnberger, and Schmid 2006; Schwering et al. 2009) and based on anti-unification) to give

a computational account of how these processes can give rise to basic concepts of arithmetic (Guhe et al. 2011). Another set of important seed technologies for COINVENT originates in research carried out originally at the University of Bremen, and now at the University of Magdeburg, and addresses the knowledge representation and reasoning layer of the project. This includes the distributed ontology language DOL, currently standardised within the Object Management Group OMG (www.ontoiop.org), a major international effort with over 40 experts involved worldwide, and which supports an extensible number of logical languages, major modularisation and logical structuring techniques, and in particular supports the specification of basic blending diagrams as formalised by Joseph Goguen. Moreover, the Hets system<sup>2</sup> will serve as a central, and extensible, reasoning infrastructure, with which other tools developed within COIN-VENT will interface. Lastly, the technology developed in the OntoHub.org project will allow the building of a dedicated semantic repository for formalised concepts in the mathematics and music domains, supporting heterogeneous specifications in a semantically-backed logical context, and providing interfaces for sharing, browsing, and the integration of reasoning services. This repository will be hosted at conceptportal.org.

In addition, the consortium members of COINVENT have shown an important experience in the development and application of the above foundational theories and seed technologies to a wide variety of fields, in computational creativity and other related areas: by studying the combination of case solutions and knowledge transfer in case-based reasoning (CBR) (Ontañón and Plaza 2010; Ontañón and Plaza 2012) and its application to computational creativity (Ontañón and Plaza 2012; Arcos 2012); by providing formal foundations for distributed reasoning with heterogeneous logics and their representations (Mossakowski, Maeder, and Lüttich 2007), and by applying them to achieve semantic alignment and integration (Schorlemmer and Kalfoglou 2008; Kutz, Mossakowski, and Lücke 2010; Kalfoglou and Schorlemmer 2010; Kutz et al. 2012); by proposing novel architectures for coherence-driven, cognitivelyinspired (BDI) agents (Joseph et al. 2010) and computational frameworks for multi-agent interaction-based agreement on concepts and their semantics (Ontañón and Plaza 2010; Atencia and Schorlemmer 2012); by formalising Lakatos-style automated theorem proving (Colton and Pease 2004) and mathematical theory formation (Colton 2002).

# **Expected Contributions**

We expect that a mathematically precise theory, as the one we are proposing in the context of the COINVENT project, will lead to the following contributions:

**Theory and Technology.** Computational implementations of cognitive and psychological models serve, in general, two main purposes:

 $<sup>^2</sup> See \quad \mbox{http://www.informatik.uni-bremen.de/} \ agbkb/forschung/formal_methods/CoFI/hets/ index e.htm$ 

 Computational implementations are tools for exploring implications of the ideas embedded in a particular model, beyond the limits of human thinking. Thus, they are vehicles of further scientific inquiry of the cognitive and psychological processes that the model seeks to describe.

In this sense, the formal model coming out of the COIN-VENT project, together with its computational realisation, will be an important tool for exploring the implications of Fauconnier and Turner's theory of conceptual blending for understanding creative thinking. One such implication is the role concept creation and invention plays in serendipitous reasoning, i.e., in recognising the value of newly invented concepts not only for better understanding a certain domain, but even for advancing the understanding of a previously unidentified problem that was initially not the concern of inquiry. If our model advances the understanding of implications such as how serendipity might work, cognitive science and psychology could take these results to explore serendipitous reasoning from a cognitive and psychological point of view. This alone would already be an important step forward in developing a science of creativity.

By grounding our research on Goguen's proposal for a Unified Concept Theory, we will build upon the deep understanding gained by relating different approaches to the notion of concept invention, and do so on a firm mathematical foundation that is consequently of great help in providing precise descriptions of what can and should be implemented in a computational system.

2. Computational implementations make a general model that is usually stated in abstract terms more concrete, facilitating the study of its formal and computational properties, and guiding the design and implementation of computer systems that attempt to display the cognitive capabilities captured in the model. Hence, they provide direct engineering advances.

We will demonstrate these advances through two prototype implementations of autonomous creative systems that display creative activity through the accomplishment of concept creation and invention in the domains of mathematics and music. Ideally, these systems will be developed with the following properties:

- an ability to form abstractions over both semantic and syntactic aspects of a domain;
- an ability to form new representations, by conceptual blending;
- an ability to revise representations on the basis of new concrete information that fits badly with the current conceptualisation (using ideas from Lakatos); and
- heuristically guided algorithms to solve problems, based on combinations of the above abilities.

If our intuitions are right about the power of conceptual blending to boost the capabilities of autonomous creative systems and our project is successful, our contribution could go even beyond that direction, in developing novel ways to use methodologies from cognitive science in systems engineering, and vice versa. **Working Domains.** In the domain of mathematics, we plan to build a computational system that aids mathematicians in by supporting their reasoning at a conceptual level and in their creative work, for example

- proposing potentially interesting novel definitions, theories, and conjectures that are motivated by conceptual (not only formal) reasons, and
- evaluating the potential of such ideas when proposed by the mathematician.

Not only mathematicians, but also others engaged in similar sorts of reasoning, when developing new concepts and theories, can benefit greatly from the processes of building new conceptualisations from combinations of existing conceptualisations and particular examples and counter-examples.

The particular system we propose as our proof-of-concept would be the first of its kind in mathematics, as it goes well beyond what proof assistants do. More importantly, if, as we intend, the system turns out to be judged by mathematicians attractive and even potentially useful in their work of conceptually advancing mathematics, this would open the door to something not seen before. The system resulting from this project will, therefore, be a showcase of how systems like proof assistant systems can be improved so that they are useful for mathematicians.

In the domain of music, we plan to build a pioneering computational system that aids musicians in composition, namely in melodic harmonisation, that allows exploration of novel uncharted conceptual territories, for example

- proposing new harmonic concepts emerging from learned harmonic spaces, examples and counter-examples;
- suggesting new harmonic conceptualisations emerging from combinations/blends of different harmonic spaces that give rise to potentially interesting new harmonies.

Computer-aided compositional systems are often 'accused' of merely replicating/mimicking given music styles and being confined to the initial musical space that has been explicitly modelled in the system. The creativity of such systems is considered rather limited as the system cannot supersede its built-in concepts and cannot generate new unforeseen concepts. The particular system we propose as our proof-of-concept would be the first of its kind that goes well beyond what current melodic harmonisation systems are capable of doing. It would open the way more generally to music/art creativity assistance tools that enable people to explore the borders of their artistic creativity by giving them new original ideas for further exploration.

Measures of Creativity. The computational creativity community needs concrete measures of evaluation to enable us to make objective, falsifiable claims about progress made from one version of a program to another, or for comparing and contrasting different software systems for the same creative task. There are currently three main models of evaluation (Ritchie 2007; Colton, Pease, and Charnley 2011; Jordanous 2011), but they are still rarely used, and there are problems with each. We will extend these measures: for instance, serendipity, which is an important aspect of human

creativity, currently does not feature in any of the evaluation models. We will formulate ways of evaluating this and other under-represented notions. We will also contribute to the methodology of computational creativity by applying all three models, as well the new measures we develop, to our system and to other creative systems. One of the best ways to evaluate and improve measures of creativity is to apply them in a reflective manner. We will furthermore evaluate each model of evaluation according to principles in the philosophy of science, and survey other experts for ease of use and adherence to intuitions about creativity.

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