

# 50003 - Models of Computation - Lecture 6

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## Definition by Induction for SimpleExp

To define a function on all expressions in **SimpleExp**:

- define  $f(n)$  directly, for each number  $n$ .
- define  $f(E_1 + E_2)$  in terms of  $f(E_1)$  and  $f(E_2)$ .
- define  $f(E_1 \times E_2)$  in terms of  $f(E_1)$  and  $f(E_2)$ .

For example, we can do this with *den*:

$$\text{den}(E) = n \leftrightarrow E \Downarrow n$$

## Evaluation

### Many Steps of Evaluation

Given  $\rightarrow$  we can define a new relation  $\rightarrow^*$  as:

$$E \rightarrow^* E' \leftrightarrow (E = E' \vee E \rightarrow E_1 \rightarrow E_2 \rightarrow \cdots \rightarrow E_k \rightarrow E')$$

For expressions, the final answer is  $n$  if  $E \rightarrow^* n$ .

### Multi-Step Reductions

The relation  $E \rightarrow^n E'$  is defined using mathematics induction by:

- **Base Case**

$E \rightarrow^0 E$  for all  $E \in \text{SimpleExp}$

- **Inductive Case**

For every  $E, E' \in \text{SimpleExp}$ ,  $E \rightarrow^{k+1} E'$  if and only if there is some  $E''$  such that:

$$E \rightarrow^k E'' \wedge E'' \rightarrow E'$$

- **Definition**

$\rightarrow^*$  - there are some number of steps to evaluate to  $E'$ .

$$E \rightarrow^* E' \Leftrightarrow \exists n. [E \rightarrow^n E']$$

### Properties of $\rightarrow$

- **Determinacy** If  $E \rightarrow E_1$  and  $E \rightarrow E_2$  then  $E_1 = E_2$ .
- **Confluence** If  $E \rightarrow^* E_1$  and  $E \rightarrow^* E_2$  then there exists  $E'$  such that  $E_1 \rightarrow^* E'$  and  $E_2 \rightarrow^* E'$ .

- **Unique answer** If  $E \rightarrow^* n_1$  and  $E \rightarrow^* n_2$  then  $n_1 = n_2$ .
- **Normal Forms** Normal form is numbers ( $\mathbb{N}$ ) for any  $E$ ,  $E = n$  or  $E \rightarrow E'$  for some  $E'$ .
- **Normalisation** No infinite sequences of expressions  $E_1, E_2, E_3, \dots$  such that for all  $i \in \mathbb{N}$   $E_i \rightarrow E_{i+1}$  (Every path goes to a normal form).

## Confluence of Small Step

We can prove a lemma expressing confluence:

$$L_1 : \forall n \in \mathbb{N}. \forall E, E_1, E_2 \in SimpleExp. [E \rightarrow^n E_1 \wedge E \rightarrow^* E_2 \Rightarrow \exists E' \in SimpleExp. [E_1 \rightarrow^* E' \wedge E_2 \rightarrow^* E']]$$

### Lemma $\Rightarrow$ Confluence

Confluence is:  $\forall E, E_1, E_2 \in SimpleExp. [E \rightarrow^* E_1 \wedge E \rightarrow^* E_2 \Rightarrow \exists E' \in SimpleExp. [E_1 \rightarrow^* E' \wedge E_2 \rightarrow^* E']]$  From lemma  $L_1$

- |     |  |   |
|-----|--|---|
| (1) | Take some arbitrary $E, E_1, E_2 \in SimpleExp$ , assume confluence holds. | (Initial Setup)                         |
| (2) | $E \rightarrow^* E_1$  | (By Confluence)                         |
| (3) | $\exists n \in \mathbb{N}. [E \rightarrow^n E_1]$                          | (By 2 & definition of $\rightarrow^*$ ) |
| (4) | Hence $L_1$  | (By 3)                                  |

## Determinacy of Small Step

We create a property  $P$ :

$$P(E) \stackrel{def}{=} \forall E_1, E_2 \in SimpleExp. [E \rightarrow E_1 \wedge E \rightarrow E_2 \Rightarrow E_1 = E_2]$$

There are 3 rules that apply:

$$(A) \frac{}{n_1 + n_2 \rightarrow n} \quad n = n_1 + n_2 \quad (B) \frac{E \rightarrow E'}{n + E \rightarrow n + E'} \quad (C) \frac{E_1 \rightarrow E'_1}{E_1 + E_2 \rightarrow E'_1 + E_2}$$

### Base Case

Take arbitrary  $n \in \mathbb{N}$  and  $E_1, E_2 \in SimpleExp$  such that  $n \rightarrow E_1 \wedge n \rightarrow E_2$  to show  $E_1 = E_2$ .

- |     |  |                           |
|-----|--|---------------------------|
| (1) | $n \not\rightarrow$  | (By inversion on A,B & C) |
| (2) | $\neg(n \rightarrow E_1)$  | (By 1)                    |
| (3) | $\neg(n \rightarrow E_1 \wedge n \rightarrow E_2)$                 | (By 2)                    |
| (4) | $n \rightarrow E_1 \wedge n \rightarrow E_2 \Rightarrow E_1 = E_2$ | (By 3)                    |
| (5) | $E \rightarrow E_1 \wedge E \rightarrow E_2 \Rightarrow E_1 = E_2$ | (By 4)                    |

Hence  $P(n)$

### Inductive Step

Take arbitrary  $E, E_1, E_2$  such that  $E = E_1 + E_2$

Inductive Hypothesis:

$$IH_1 = P(E_1)$$

$$IH_2 = P(E_2)$$

Assume there exists  $E_3, E_4 \in SimpleExp$  such that  $E_1 + E_2 \rightarrow E_3$  and  $E_1 + E_2 \rightarrow E_4$ .  
To show  $E_3 = E_4$ .

From inversion on A, B & C there are 3 cases to consider:

**For A:**

- (1) There exists  $n_1, n_2 \in \mathbb{N}$  such that  $E_1 = n_1$  and  $E_2 = n_2$  (By case A)
- (3)  $E_3 = n_1 + n_2$  (By 1, A)
- (4)  $E_4 = n_1 + n_2$  (By 1, A)
- (5)  $E_3 = E_4$  (By 3 & 4)

**For B:**

- (1) There exists  $n \in \mathbb{N}$  such that  $E_1 = n$  (By case B)
- (2) There exists  $E' \in SimpleExp$  such that  $E_2 \rightarrow E'$  (By case B)
- (3)  $E_3 = n + E'$  (By case B)
- (4) There exists  $E'' \in SimpleExp$  such that  $E_2 \rightarrow E''$  (By case B)
- (5)  $E_4 = n + E''$  (By case B)
- (6)  $E' = E''$  (By  $IH_2$ )
- (7)  $E_3 = E_4$  (By 3,5 & 6)

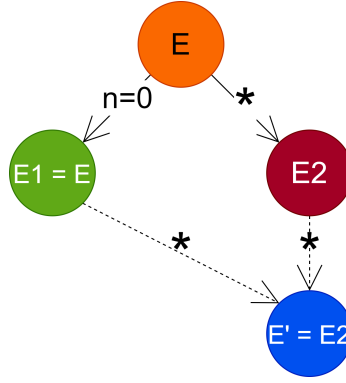
**For C:**

- (1) There exists  $E' \in SimpleExp$  such that  $E_1 \rightarrow E'$  (By case C)
- (2) There exists  $E'' \in SimpleExp$  such that  $E_1 \rightarrow E''$  (By case C)
- (3)  $E_3 = E' + E_2$  (By case C)
- (4)  $E_4 = E'' + E_2$  (By case C)
- (5)  $E' = E''$  (By  $IH_1$ )
- (6)  $E_3 = E_4$  (By 3,4 & 5)

(If  $E$  reduces to  $E_1$  in  $n$  steps, and to  $E_2$  in some number of steps, then there must be some  $E'$  that  $E_1$  and  $E_2$  reduce to.)

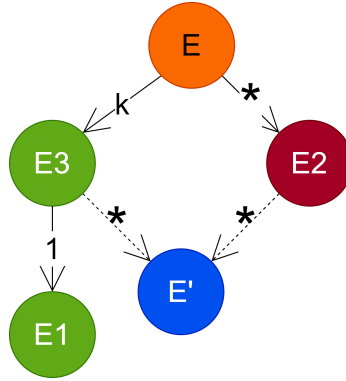
### Base Case

The base cases has  $n = 0$ . Hence  $E = E_1$ , and hence  $E_1 \rightarrow^* E_2$  and  $E_1 \rightarrow^* E'$



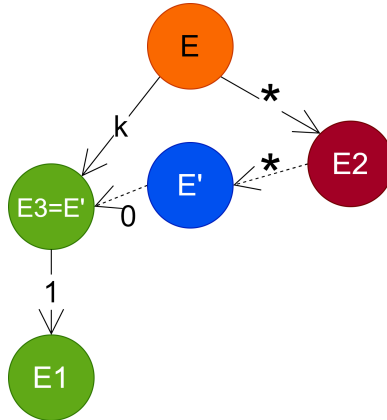
### Inductive Case

Next we assume confluence for up to  $k$  steps, and attempt to prove for  $k + 1$  steps.



We have two cases:

**Case 1:**  $E_3 = E'$ , this is easy as  $E_2 \rightarrow^* E' \rightarrow^0 E_3 \rightarrow^1 E_1$ .



**Case 2:**  $E_3 \rightarrow^1 E'' \rightarrow^* E'$ , in this case as  $E_3 \rightarrow^1 E_1$  we know by determinacy that  $E'' = E_1$  and hence  $E_1 \rightarrow^* E'$ .

