

# Self-Attention For Generative Models

Ashish Vaswani and Anna Huang

Joint work with: Noam Shazeer, Niki Parmar, Lukasz Kaiser, Illia Polosukhin, Llion Jones, Justin Gilmer, David Bieber, Jonathan Frankle, Jakob Uszkoreit, and others.

# Learning Representations of Variable Length Data

## **Basic building block of sequence-to-sequence learning**

Neural machine translation, summarization, QA, ...

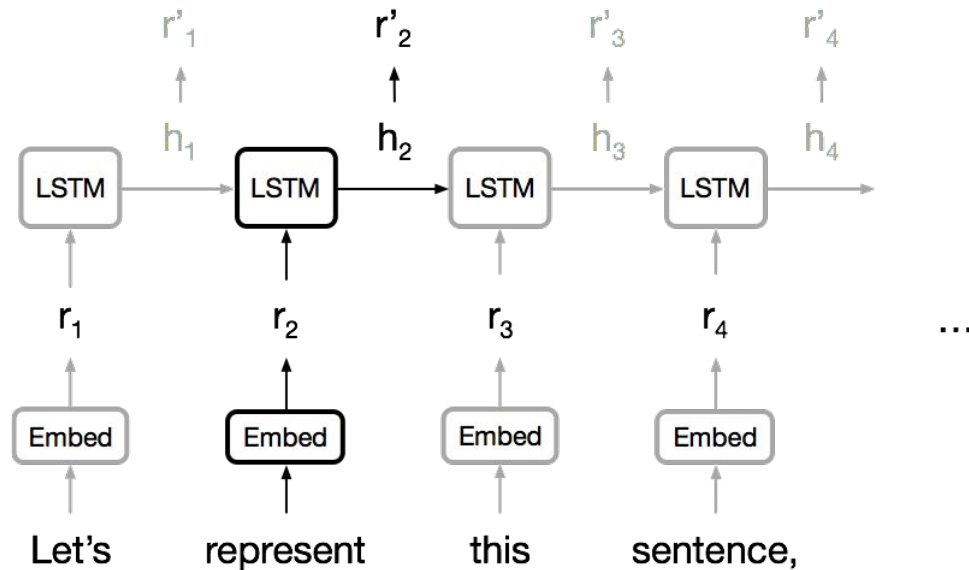
# Recurrent Neural Networks

Model of choice for learning variable-length representations.

Natural fit for sentences and sequences of pixels.

LSTMs, GRUs and variants dominate recurrent models.

# Recurrent Neural Networks



But...

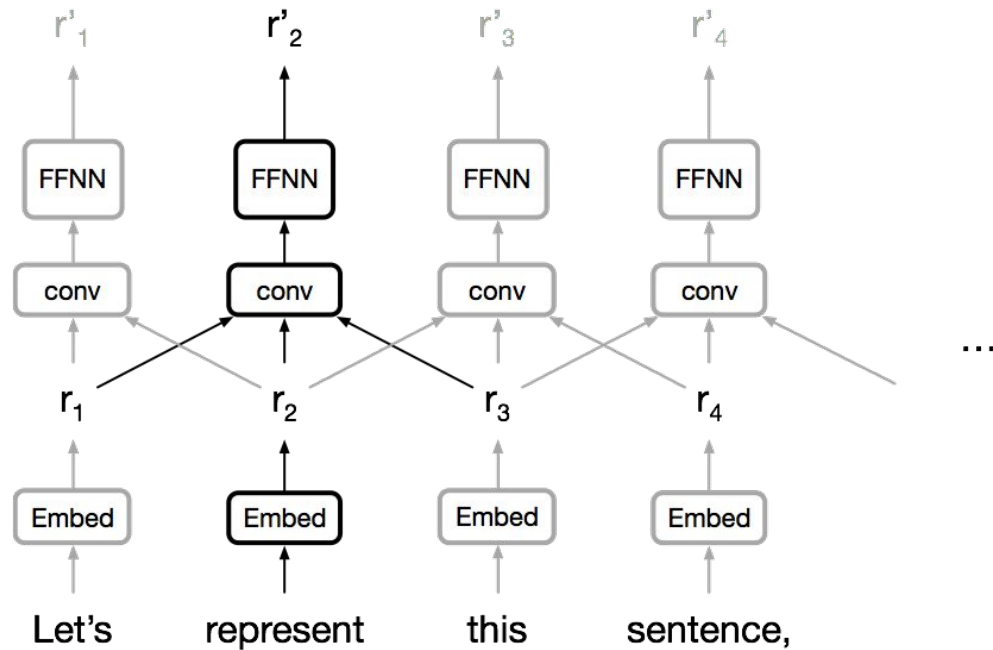
Sequential computation inhibits parallelization.

No explicit modeling of long and short range dependencies.

We want to model hierarchy.

**RNNs (w/ sequence-aligned states) seem wasteful!**

# Convolutional Neural Networks?



# Convolutional Neural Networks?

Trivial to parallelize (per layer).

Exploits local dependencies

‘Interaction distance’ between positions linear or logarithmic.

**Long-distance dependencies require many layers.**

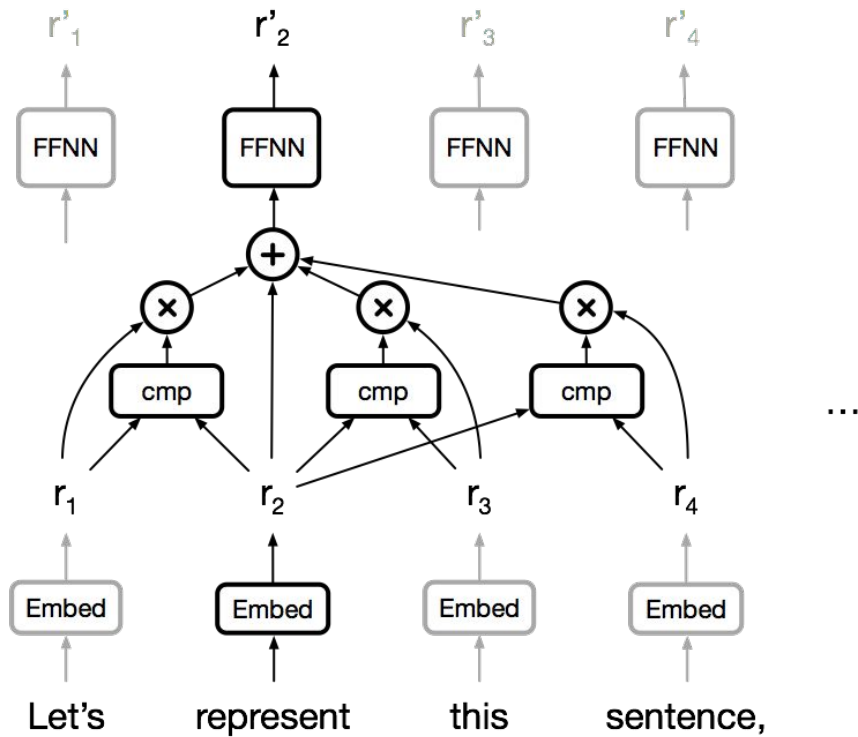
# Attention

Attention between encoder and decoder is crucial in NMT.

**Why not use attention for representations?**



# Self-Attention



Text generation

# Self-Attention

Constant 'path length' between any two positions.

Gating/multiplicative interactions.

Trivial to parallelize (per layer).

Can replace sequential computation entirely?

# Previous work

## **Classification & regression with self-attention:**

Parikh et al. (2016), Lin et al. (2016)

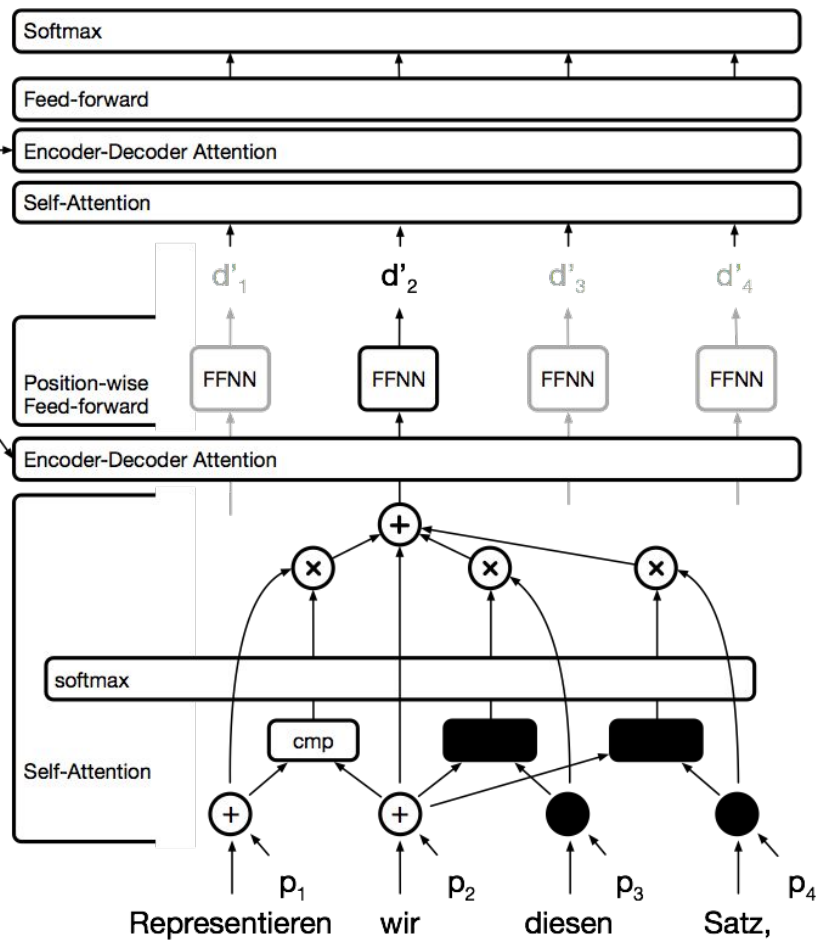
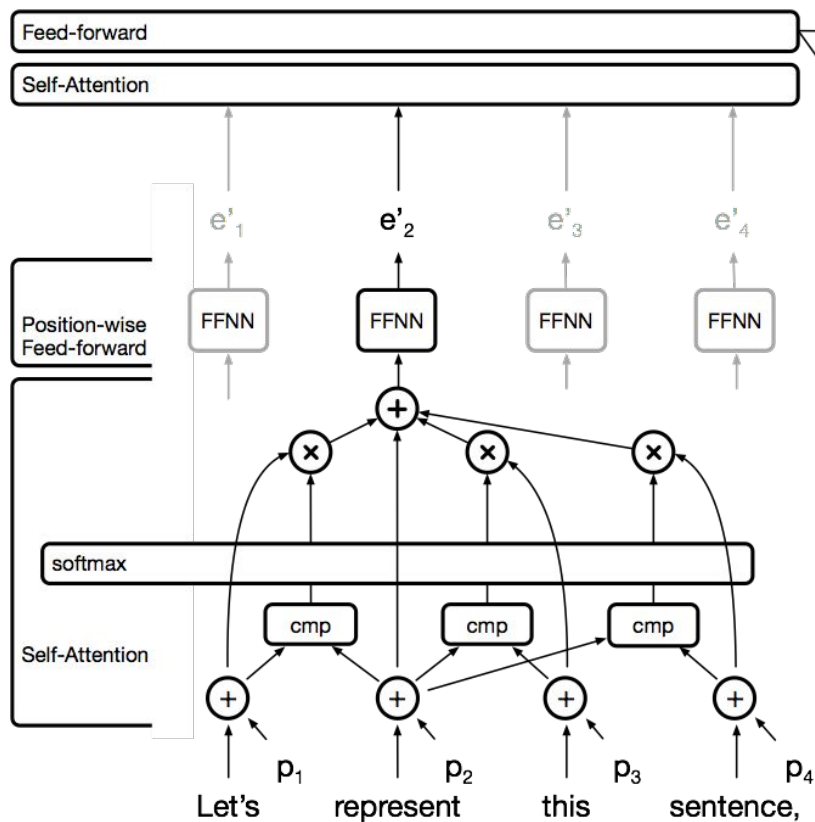
## **Self-attention with RNNs:**

Long et al. (2016), Shao, Gows et al. (2017)

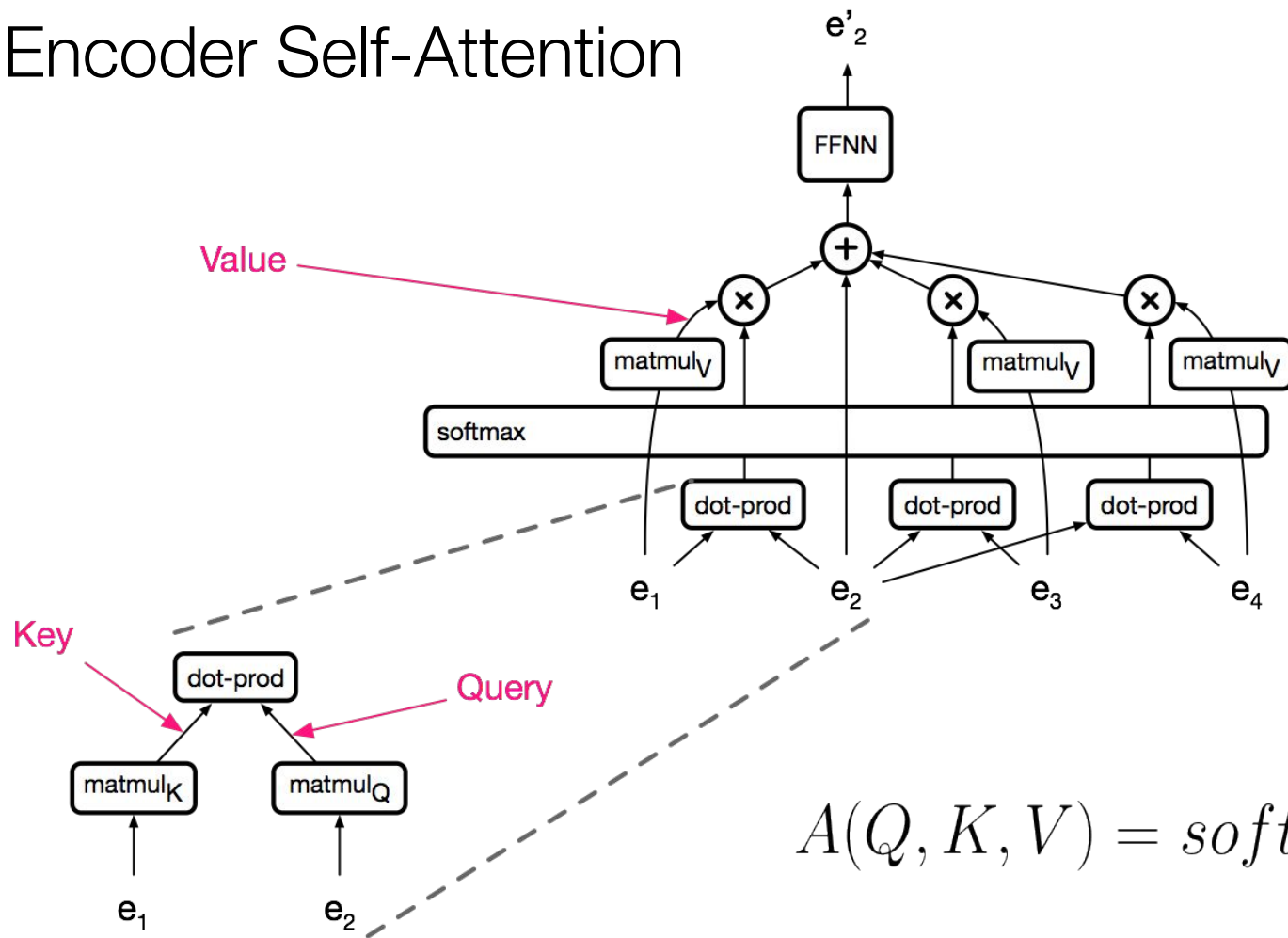
## **Recurrent attention:**

Sukhbaatar et al. (2015)

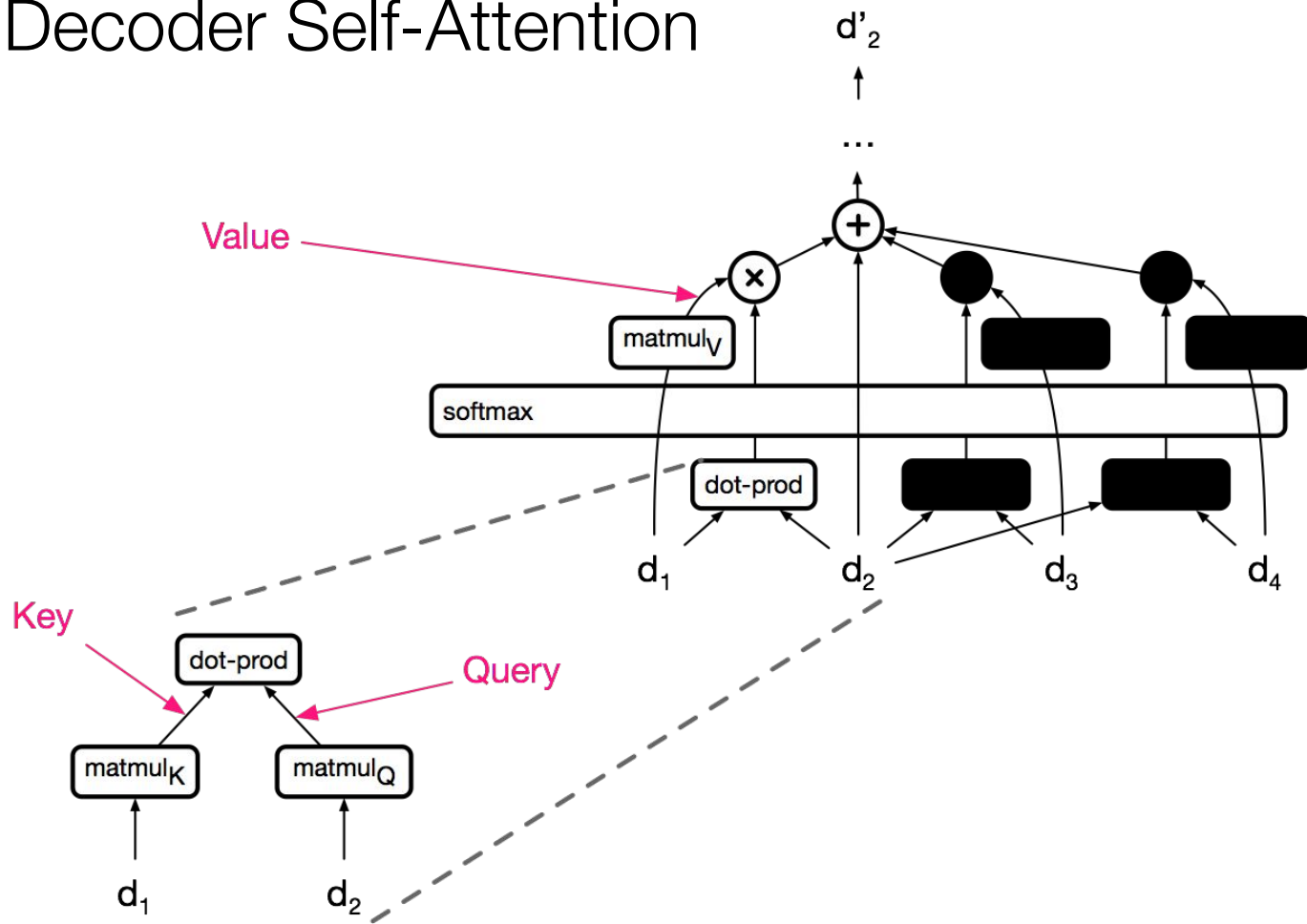
# The Transformer



# Encoder Self-Attention



# Decoder Self-Attention



# Attention is Cheap!

FLOPs

Self-Attention	$O(\text{length}^2 \cdot \text{dim})$
RNN (LSTM)	$O(\text{length} \cdot \text{dim}^2)$
Convolution	$O(\text{length} \cdot \text{dim}^2 \cdot \text{kernel\_width})$



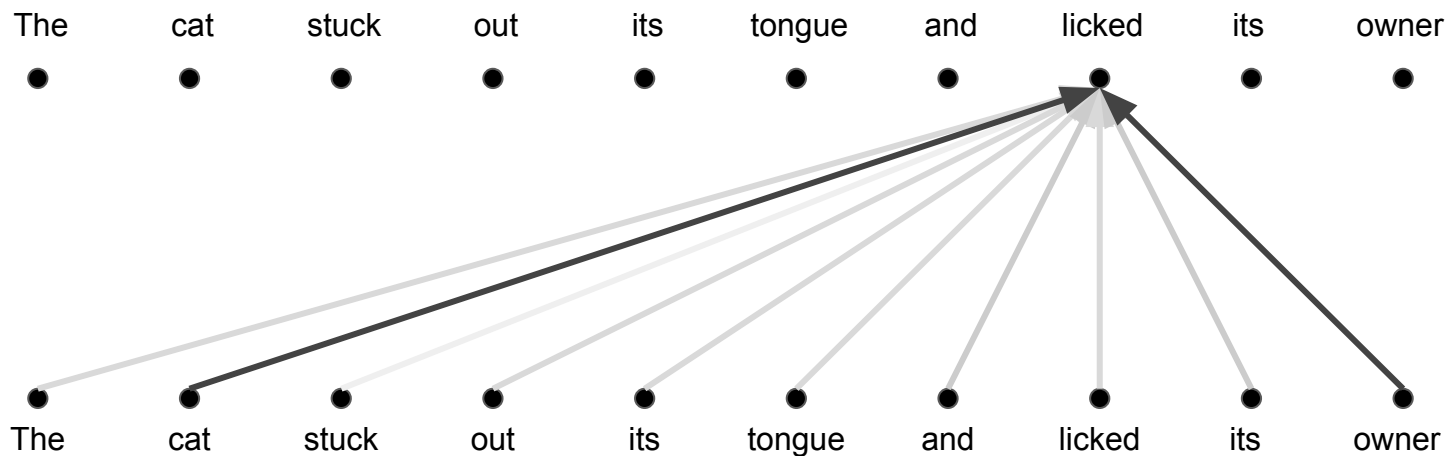
# Attention is Cheap!

FLOPs

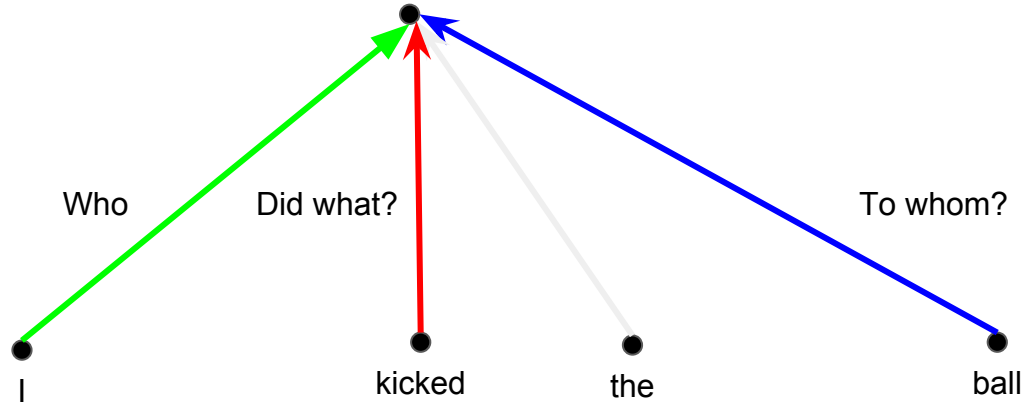
Self-Attention	$O(\text{length}^2 \cdot \text{dim})$	$= 4 \cdot 10^9$
RNN (LSTM)	$O(\text{length} \cdot \text{dim}^2)$	$= 16 \cdot 10^9$
Convolution	$O(\text{length} \cdot \text{dim}^2 \cdot \text{kernel\_width})$	$= 6 \cdot 10^9$

length=1000 dim=1000 kernel\_width=3

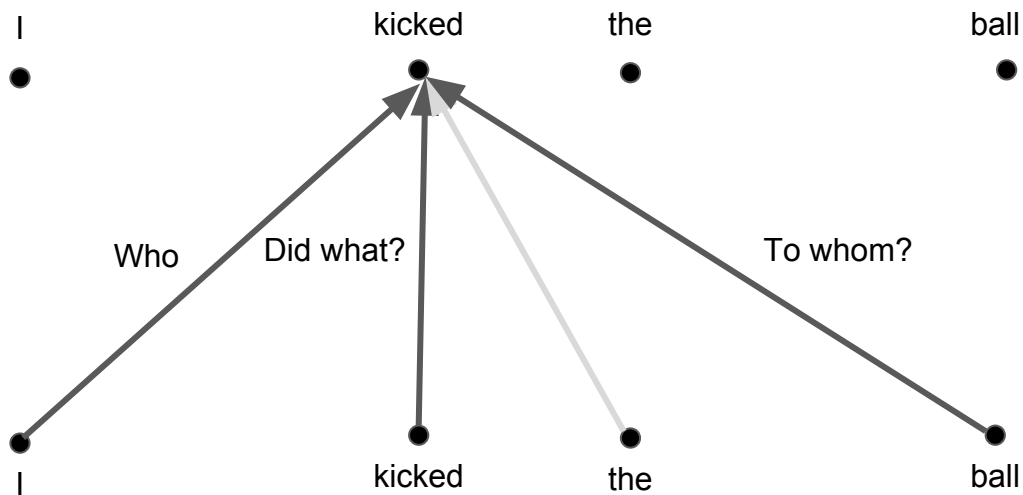
# Attention: a weighted average



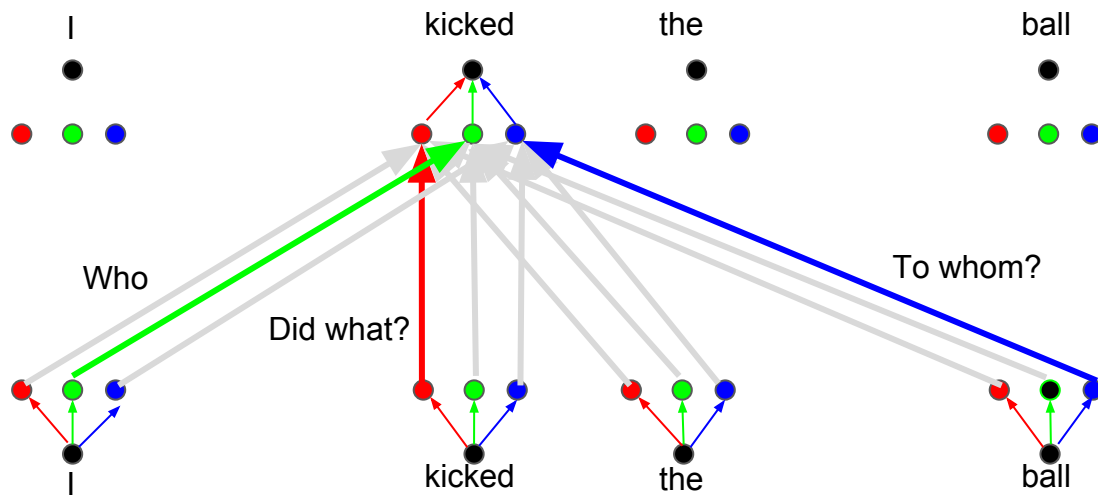
# Convolutions



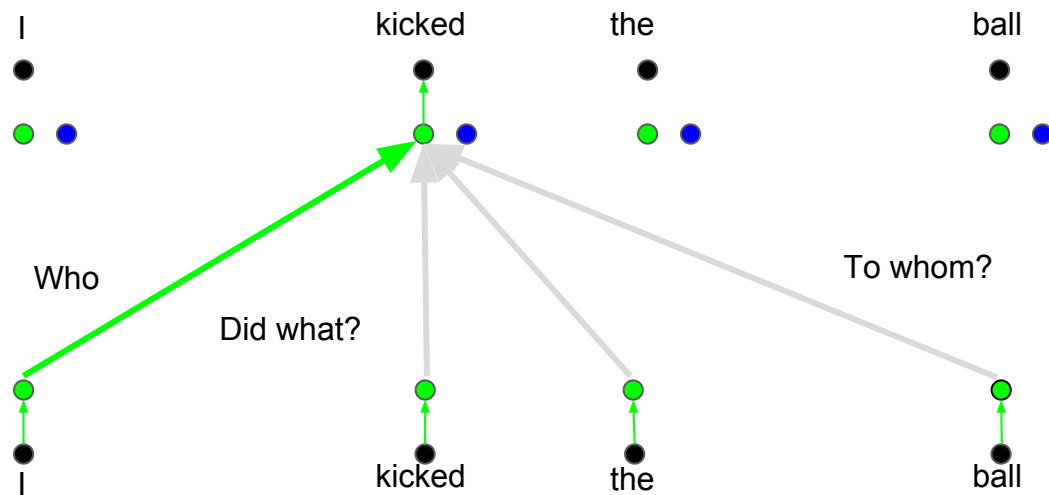
# Self-Attention



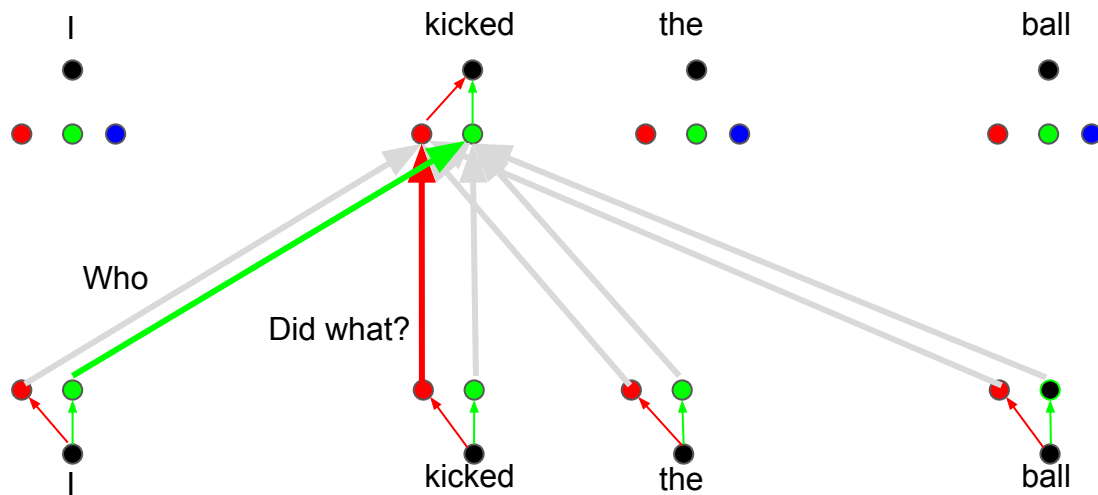
# Parallel attention heads



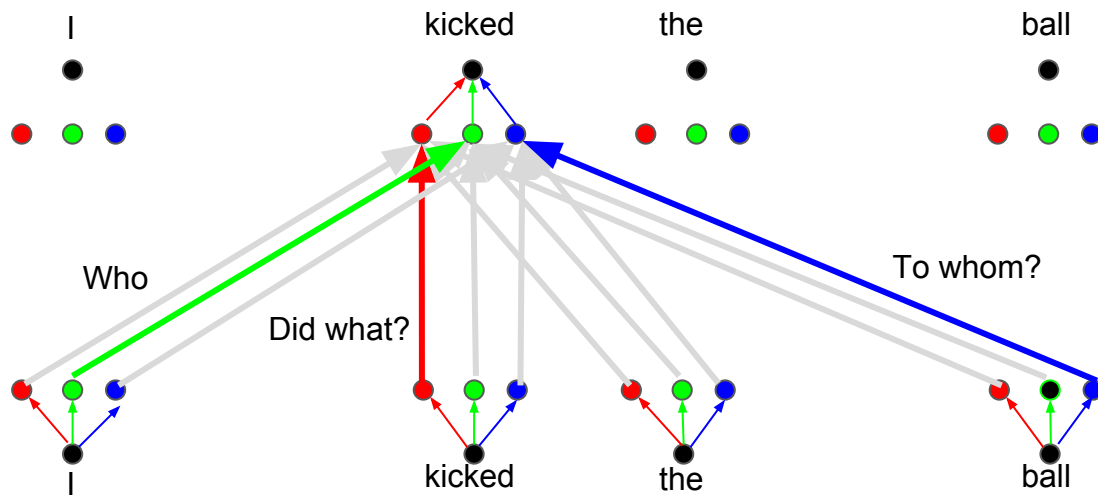
# Attention head: Who



# Parallel attention heads

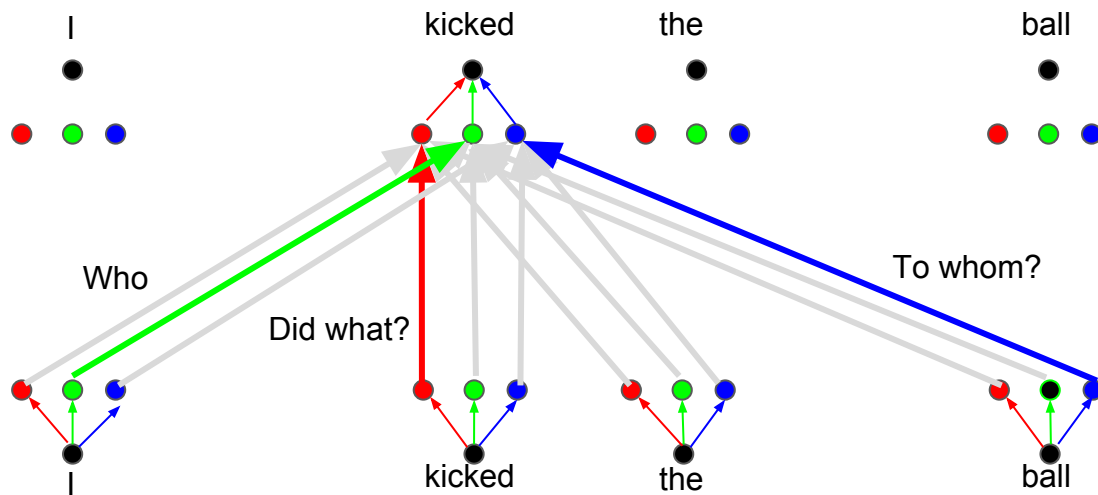


# Parallel attention heads

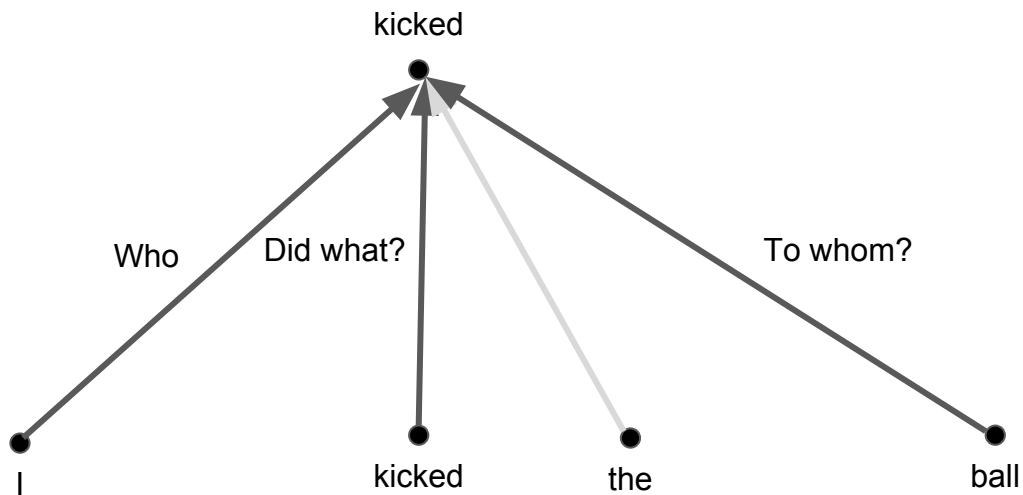




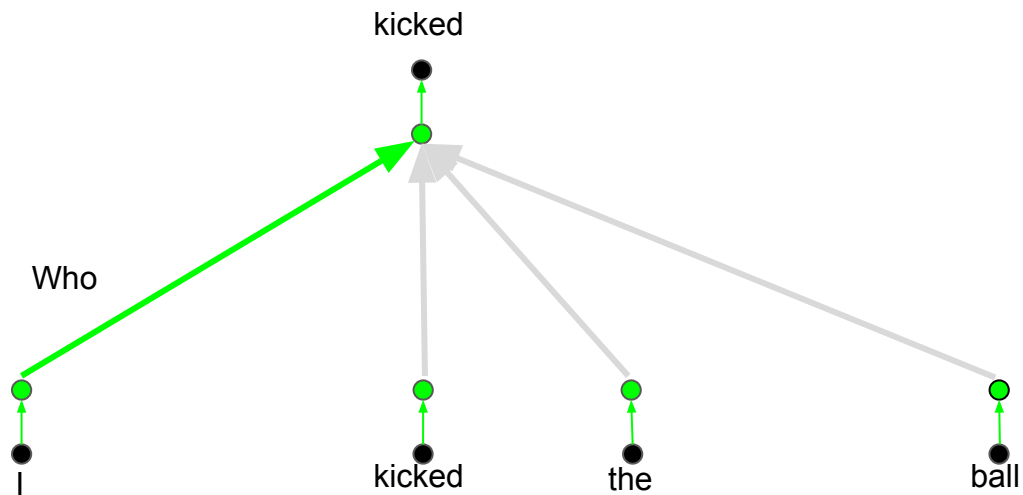
# Parallel attention heads



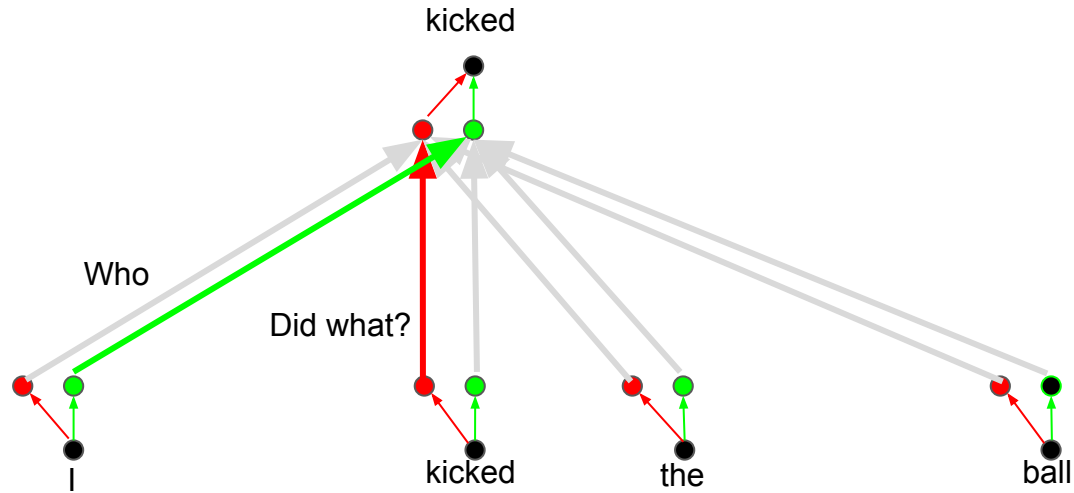
# Self-Attention: Averaging



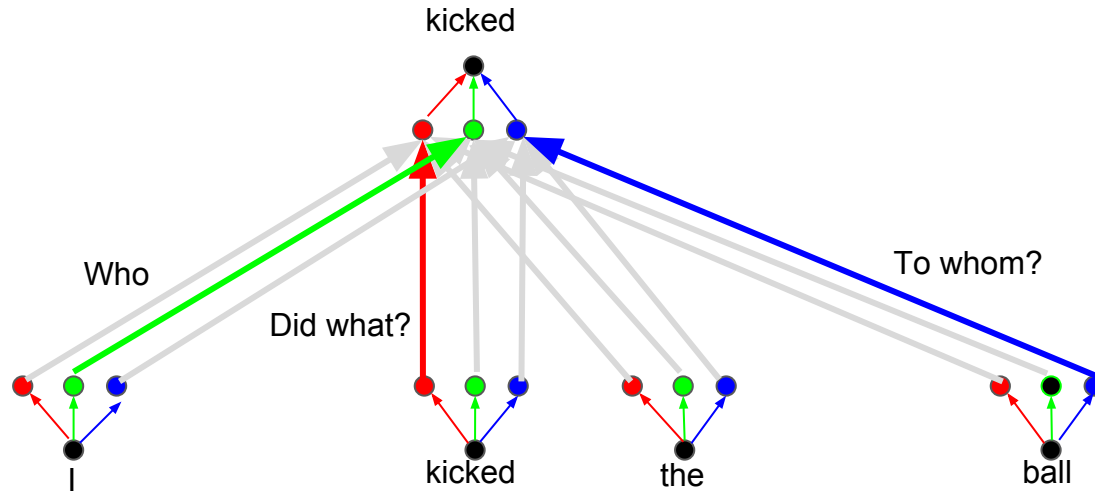
# Attention head: Who



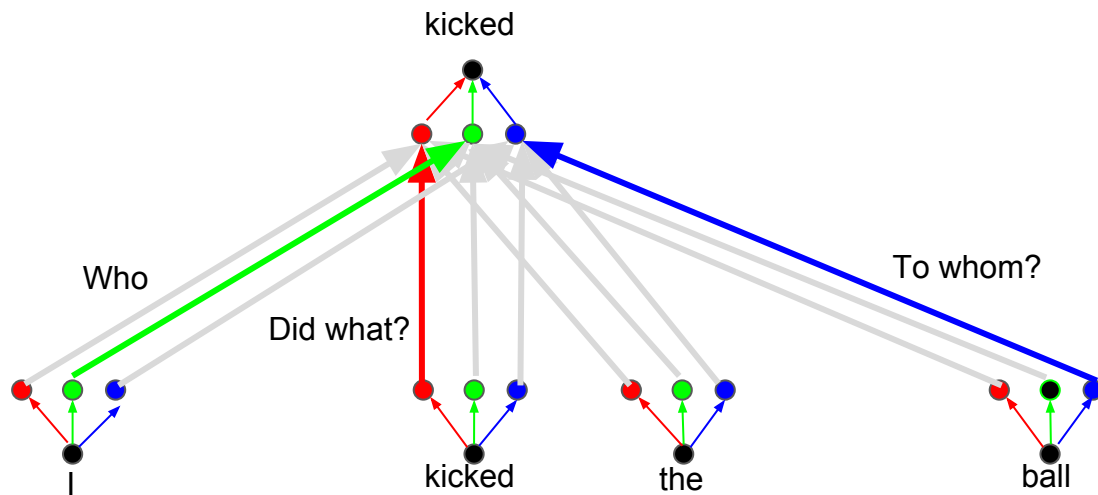
# Attention head: Did What?



# Attention head: To Whom?

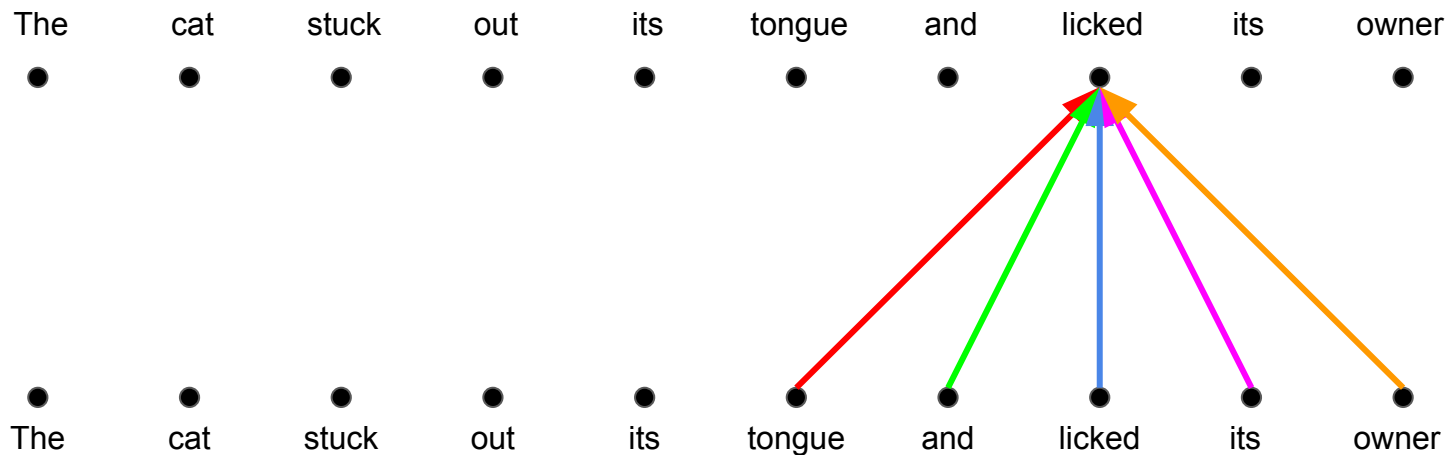


# Multihead Attention

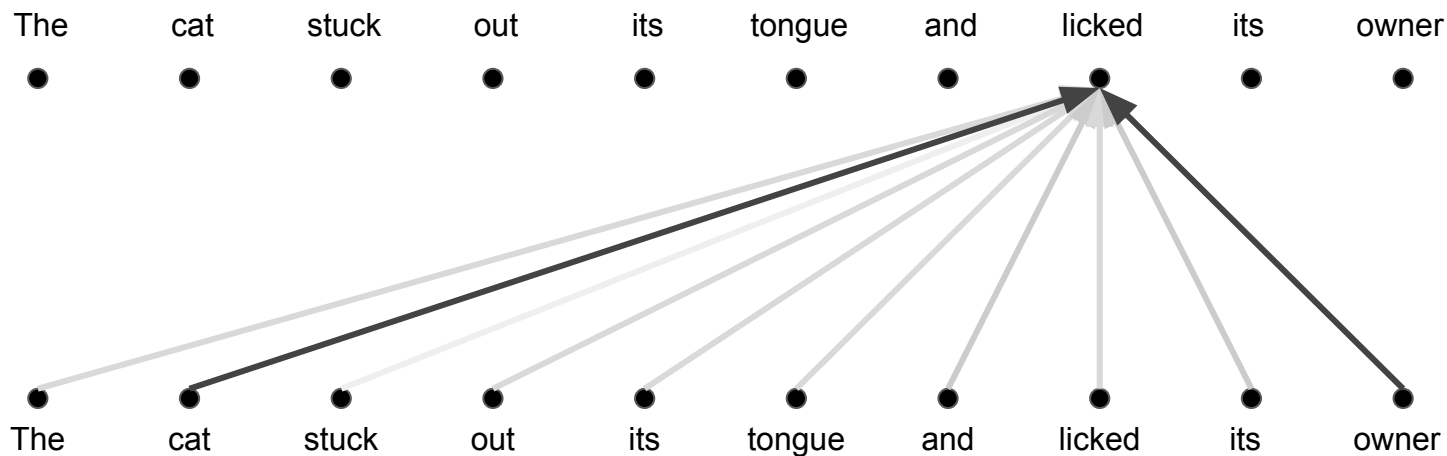


# Convolution:

Different linear transformations by relative position.



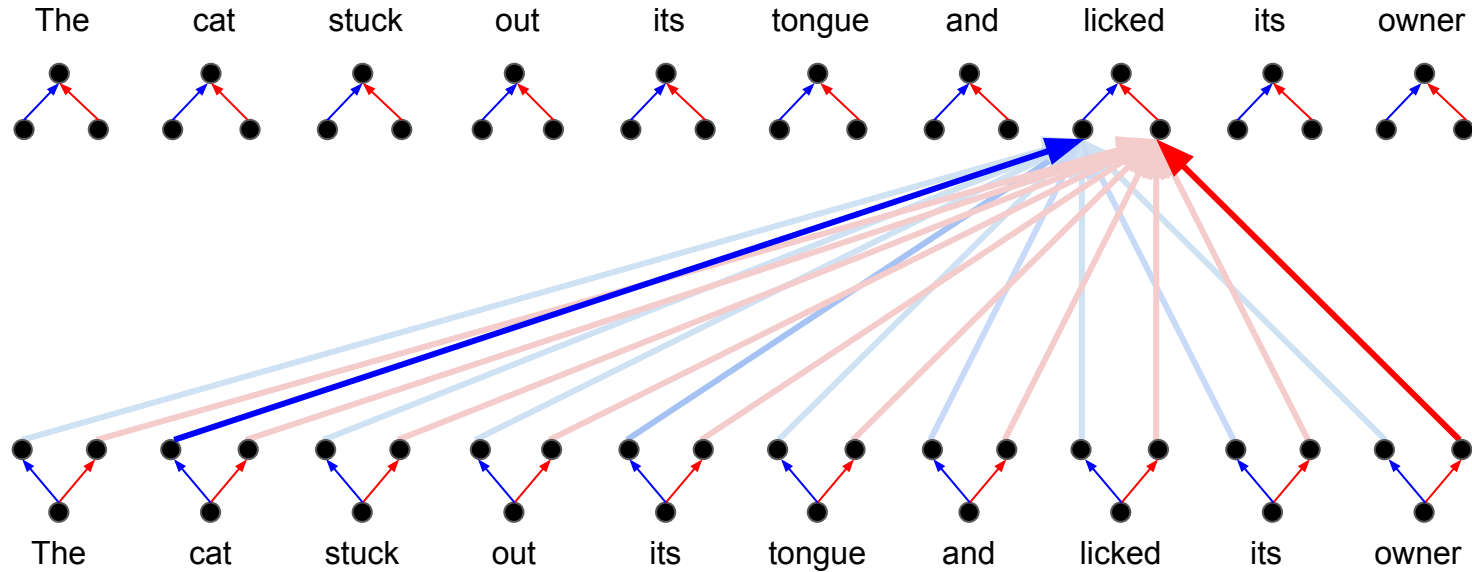
# Attention: a weighted average





# Multi-head Attention

Parallel attention layers with different linear transformations on input and output.



Results

# Machine Translation: WMT-2014 BLEU

	EN-DE	EN-FR
GNMT (orig)	24.6	39.9
ConvSeq2Seq	25.2	40.5
Transformer*	<b>28.4</b>	<b>41.8</b>

\*Transformer models trained >3x faster than the others.

Attention is All You Need (NeurIPS 2017) Vaswani\*, Shazeer\*, Parmar\*, Uszkoreit\*, Jones\*, Kaiser\*, Gomez\*, Polosukhin\*

# Frameworks:

[tensor2tensor](#)

[Sockeye](#)

# Importance of residuals

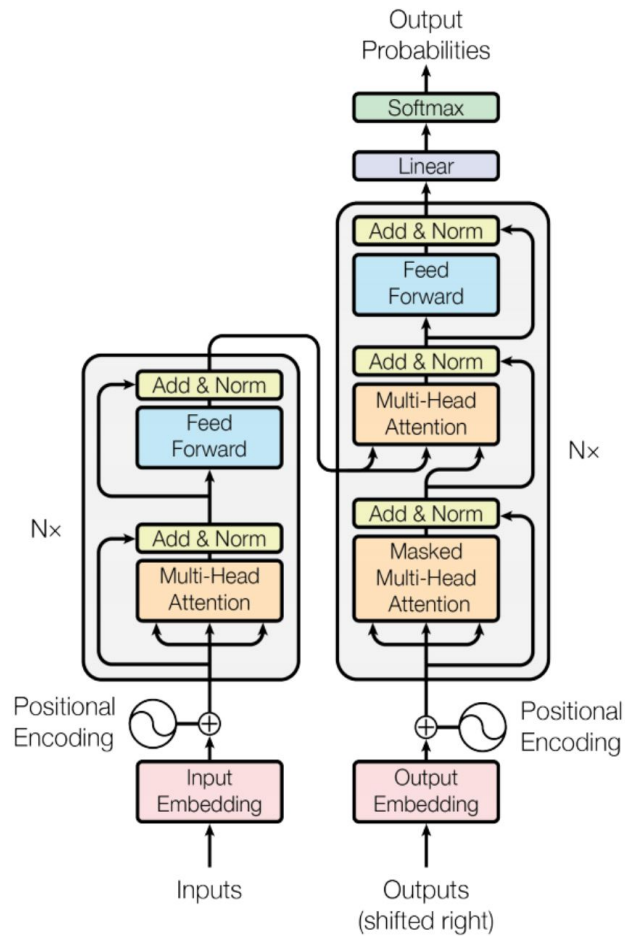
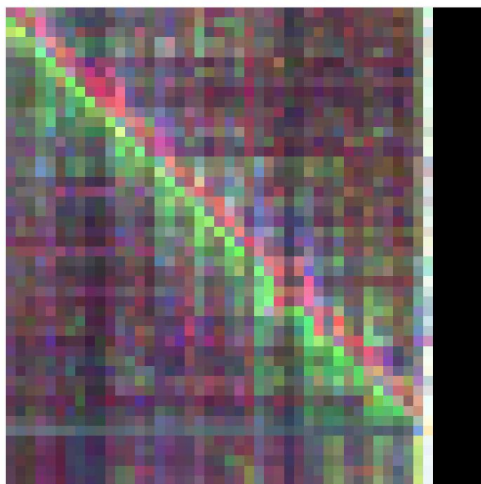


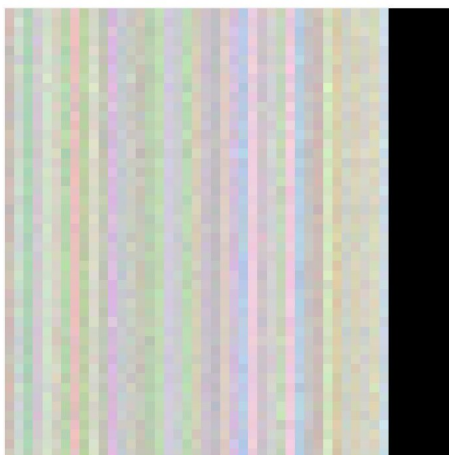
Figure 1: The Transformer - model architecture.

# Importance of Residuals

Residuals carry positional information to higher layers, among other information.

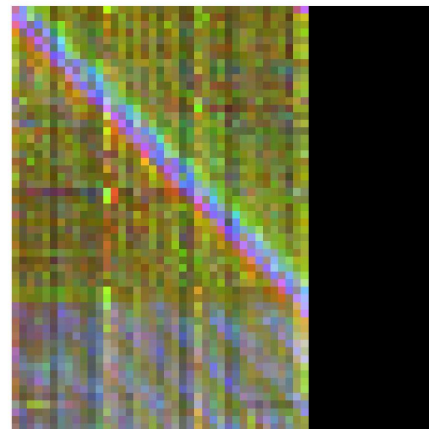


With residuals



Without residuals

step 206104 (Thu Jul 13 2017 04:35:54 GMT+0700 (PDT))



Without residuals,  
with timing signals

# Training Details

ADAM optimizer with a learning rate warmup (warmup + exponential decay)

Dropout during training at every layer just before adding residual

Layer-norm

Attention dropout (for some experiments)

Checkpoint-averaging

Label smoothing

Auto-regressive decoding with beam search and length biasing

...

# What Matters?

Result

	$N$	$d_{\text{model}}$	$d_{\text{ff}}$	$h$	$d_k$	$d_v$	$P_{\text{drop}}$	$\epsilon_{ls}$	train steps	PPL (dev)	BLEU (dev)	params $\times 10^6$	
base	6	512	2048	8	64	64	0.1	0.1	100K	4.92	25.8	65	
(A)					1	512	512				5.29	24.9	
					4	128	128				5.00	25.5	
					16	32	32				4.91	25.8	
					32	16	16				5.01	25.4	
(B)					16					5.16	25.1	58	
					32					5.01	25.4	60	
(C)	2									6.11	23.7	36	
	4									5.19	25.3	50	
	8									4.88	25.5	80	
		256			32	32				5.75	24.5	28	
		1024			128	128				4.66	26.0	168	
			1024								5.12	25.4	53
			4096								4.75	26.2	90
(D)							0.0			5.77	24.6		
							0.2			4.95	25.5		
									0.0	4.67	25.3		
									0.2	5.47	25.7		
(E)	positional embedding instead of sinusoids									4.92	25.7		
big	6	1024	4096	16				0.3	300K	<b>4.33</b>	<b>26.4</b>	213	



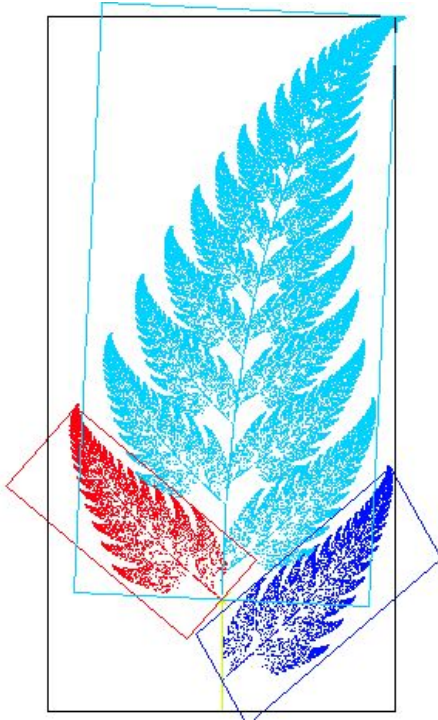
# Generating Wikipedia by Summarizing Long Sequences

msaleh@ et al. submission to ICLR'18

	ROUGE
seq2seq-attention	12.7
Transformer-ED (L=500)	34.2
Transformer-DMCA (L=1 1000)	<b>36.2</b>

# Self-Similarity, Image and Music Generation

# Self-similarity in images



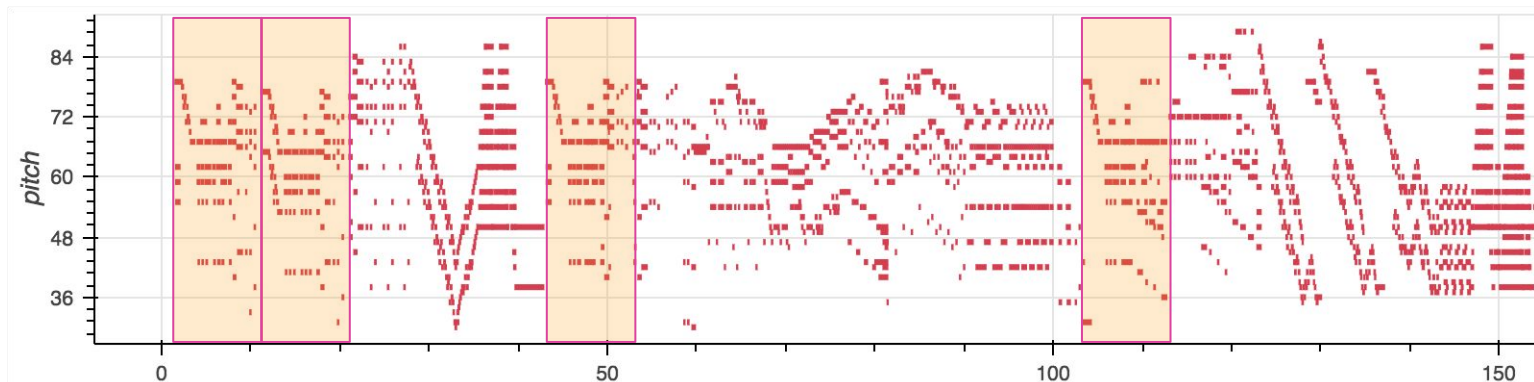
# Self-Similarity in Images



Starry Night (Van Gogh, June 1889)

# Self-similarity in music

Motifs repeat, immediately and also at a distance



# Probabilistic Image Generation

Model the joint distribution of pixels

Turning it into a sequence modeling problem

**Assigning probabilities allows measuring generalization**

# Probabilistic Image Generation

RNNs and CNNs are state-of-the-art (PixelRNN, PixelCNN)

**CNNs incorporating gating now match RNNs in quality**

**CNNs are much faster due to parallelization**

A Oord et al. (2016), Salimans et al. (2017), Kalchbrenner et al. (2016)

# Probabilistic Image Generation

Long-range dependencies matter for images (e.g. symmetry)

Likely increasingly important with increasing image size

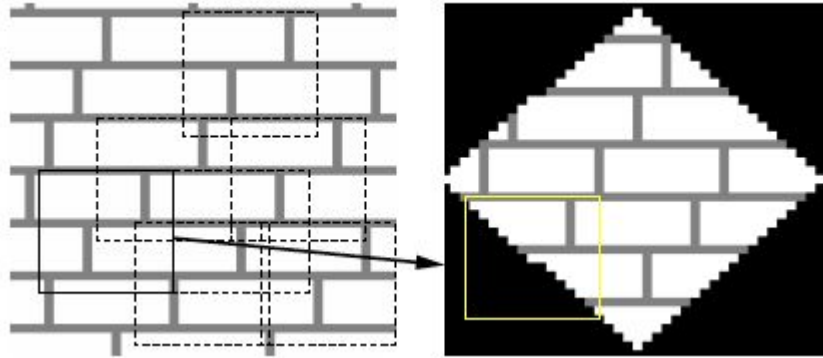
Modeling long-range dependencies with CNNs requires either

**Many layers** likely making training harder

**Large kernels** at large parameter/computational cost

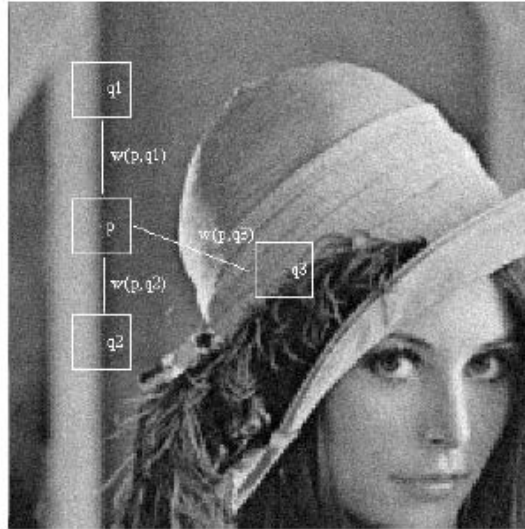


# Texture Synthesis with Self-Similarity



Texture Synthesis by Non-parametric Sampling (Efros and Leung, 1999)

# Non-local Means



**Figure 1. Scheme of NL-means strategy. Similar pixel neighborhoods give a large weight,  $w(p, q1)$  and  $w(p, q2)$ , while much different neighborhoods give a small weight  $w(p, q3)$ .**

# Non-local Means

A Non-local Algorithm for Image Denoising (Buades, Coll, and Morel. CVPR 2005)

Non-local Neural Networks (Wang et al., 2018)

# Previous work

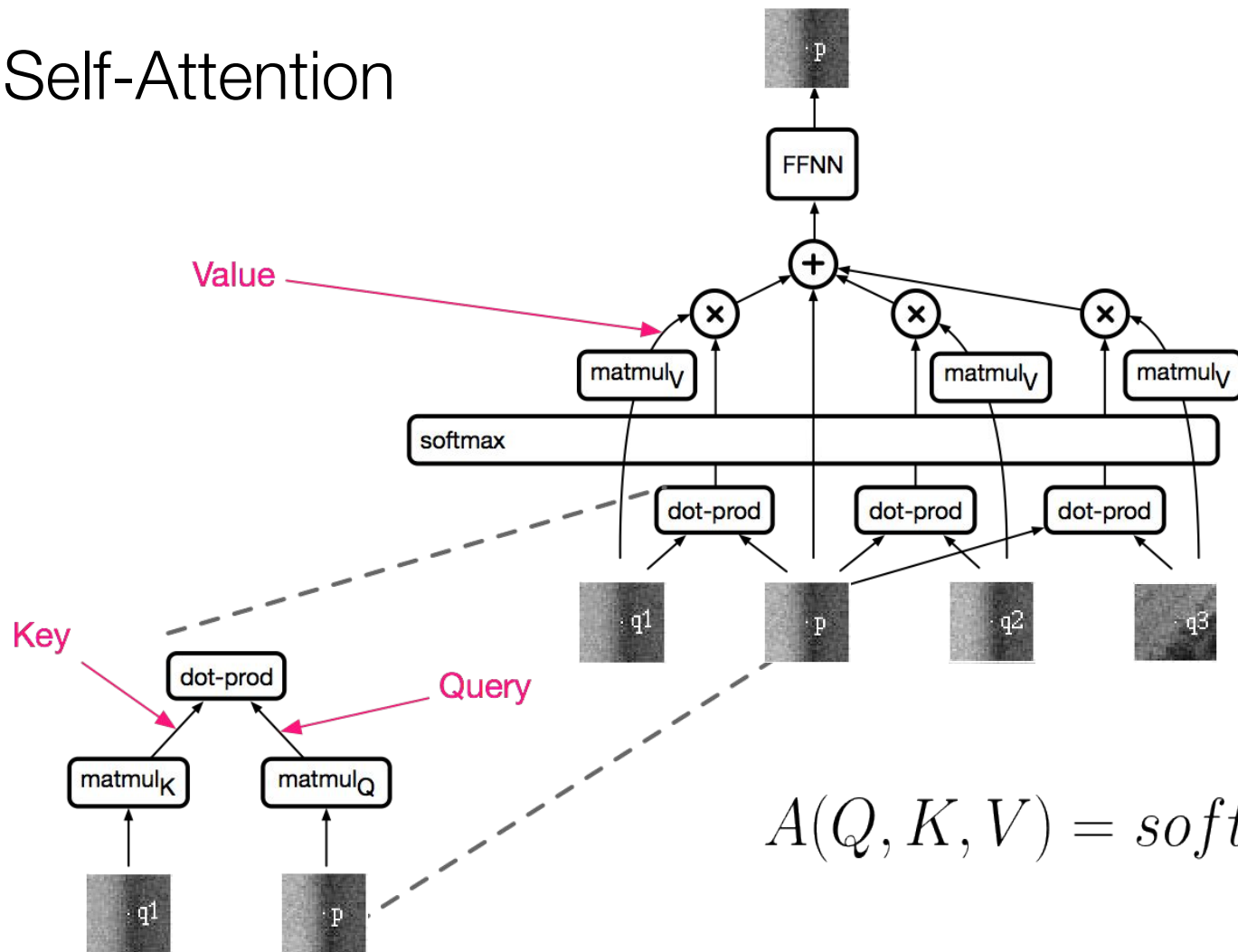
## **Self-attention:**

Parikh et al. (2016), Lin et al. (2016), Vaswani et al. (2017)

## **Autoregressive Image Generation:**

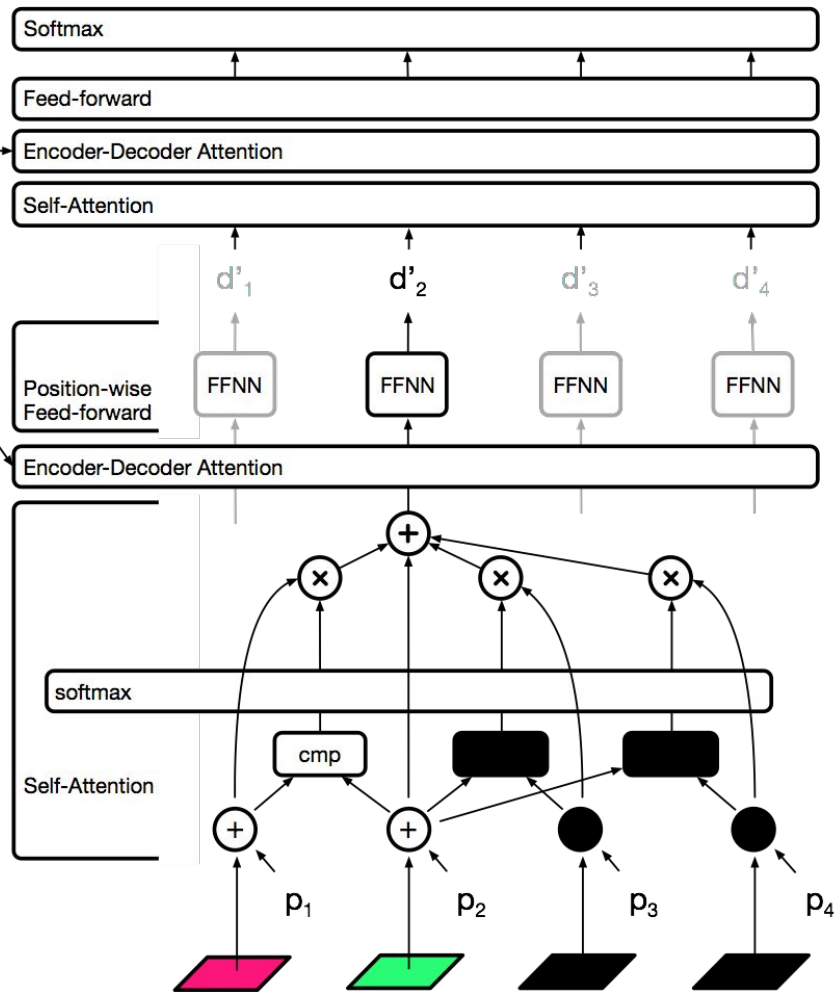
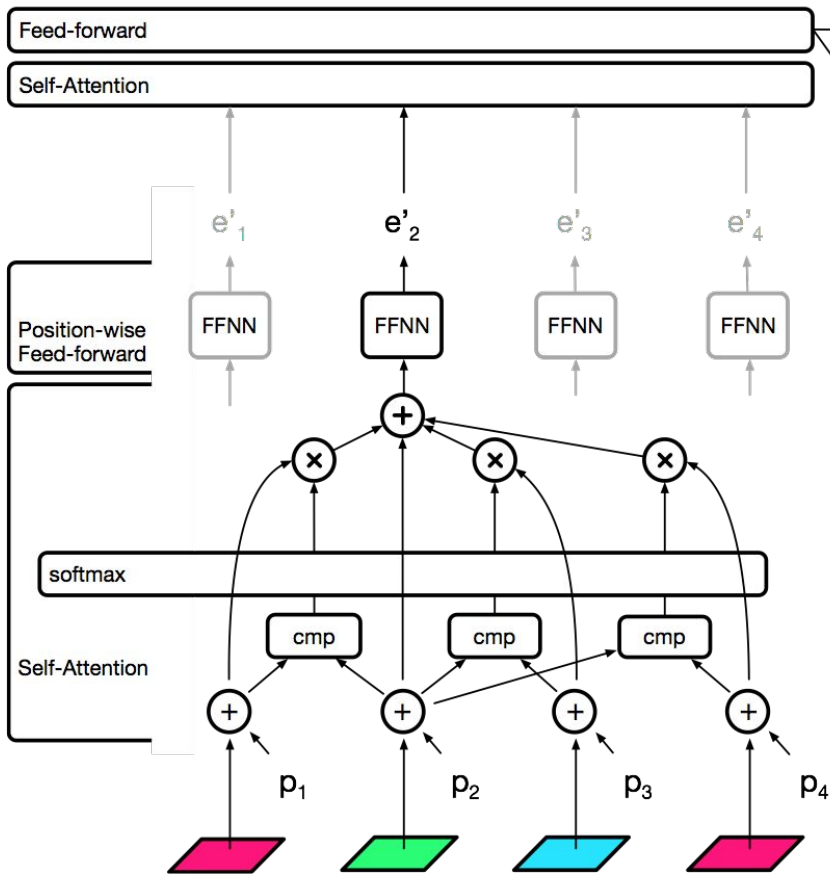
A Oord et al. (2016), Salimans et al. (2017)

# Self-Attention

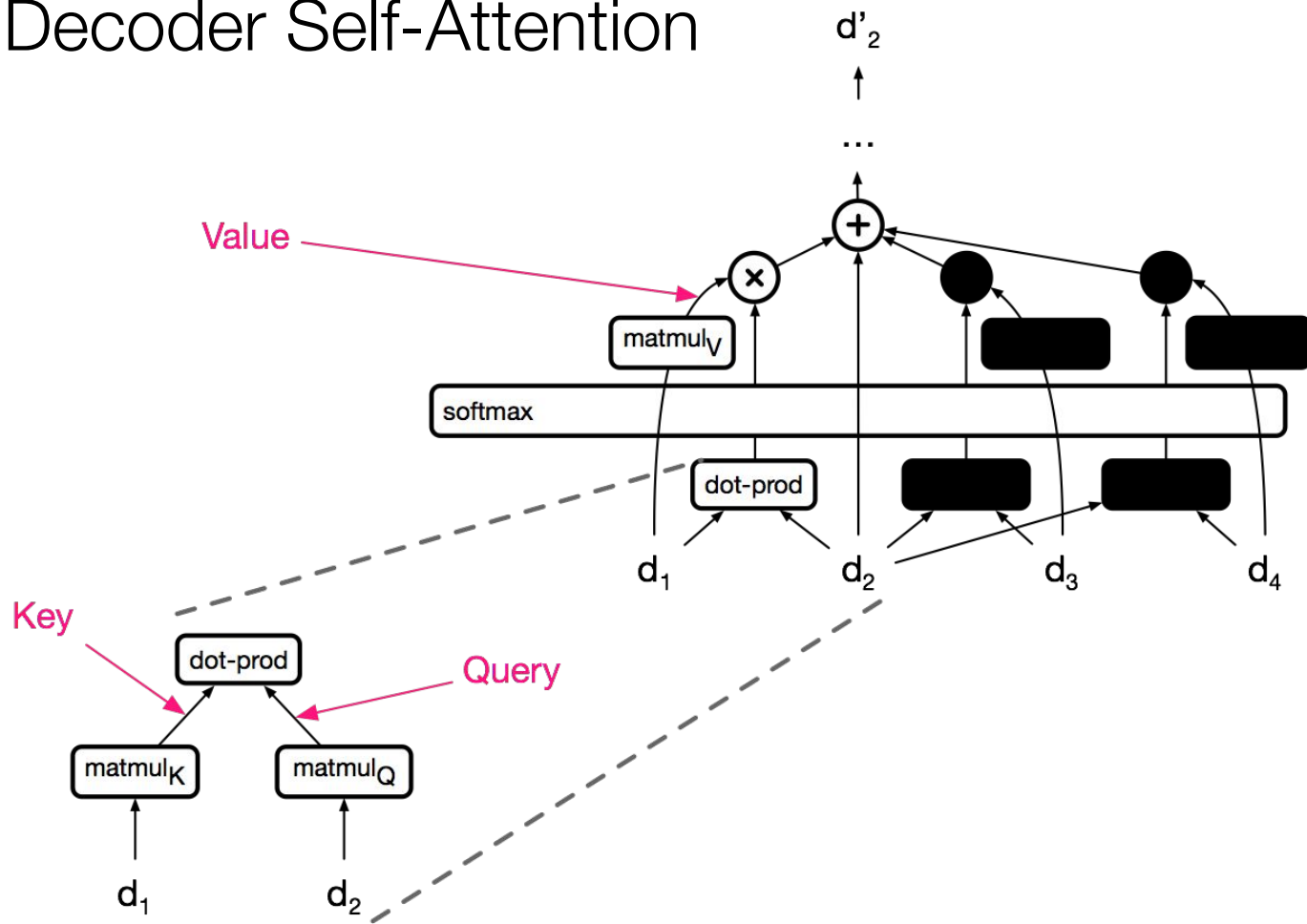


$$A(Q, K, V) = softmax\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

# The Image Transformer



# Decoder Self-Attention



# Attention is Cheap!

FLOPs

Self-Attention	$O(\text{length}^2 \cdot \text{dim})$
RNN (LSTM)	$O(\text{length} \cdot \text{dim}^2)$
Convolution	$O(\text{length} \cdot \text{dim}^2 \cdot \text{kernel\_width})$



Attention is Cheap if length  $\ll$  dim!

FLOPs

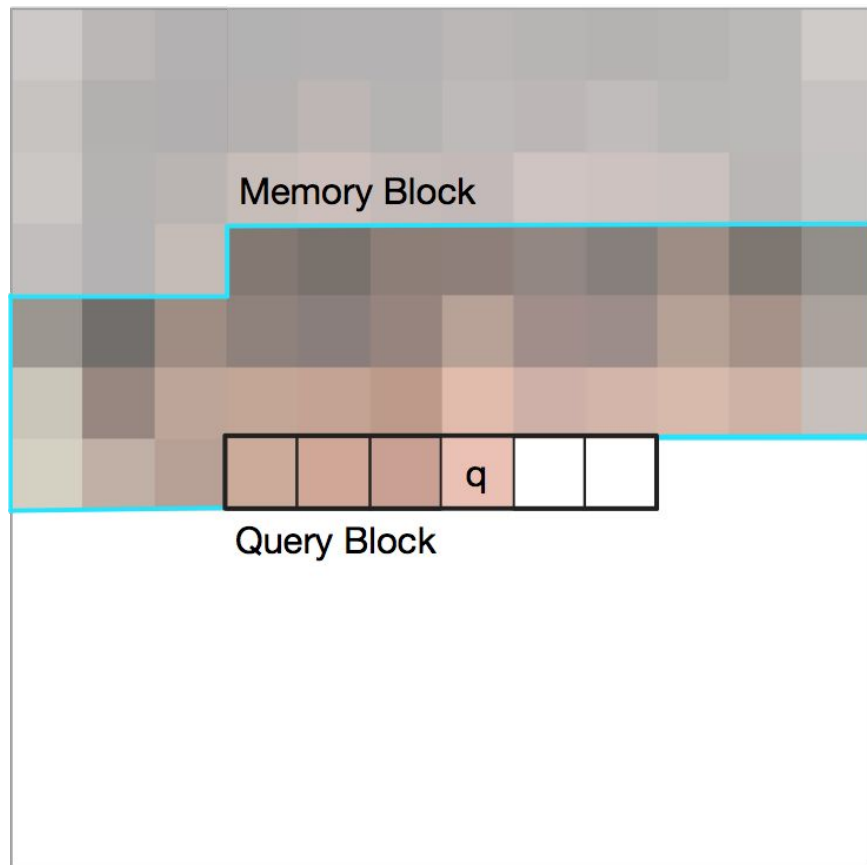
Self-Attention	$O(\text{length}^2 \cdot \text{dim})$ (length=3072 for images)
RNN (LSTM)	$O(\text{length} \cdot \text{dim}^2)$
Convolution	$O(\text{length} \cdot \text{dim}^2 \cdot \text{kernel\_width})$

# Combining Locality with Self-Attention

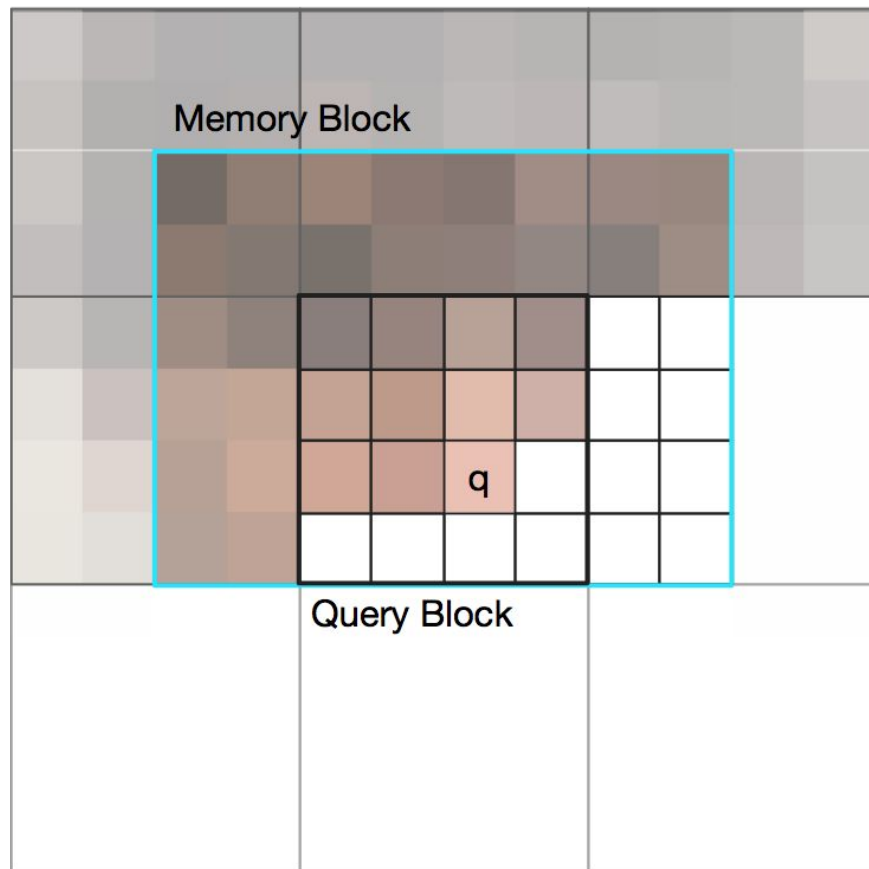
Restrict the attention windows to be local neighborhoods

Good assumption for images because of spatial locality

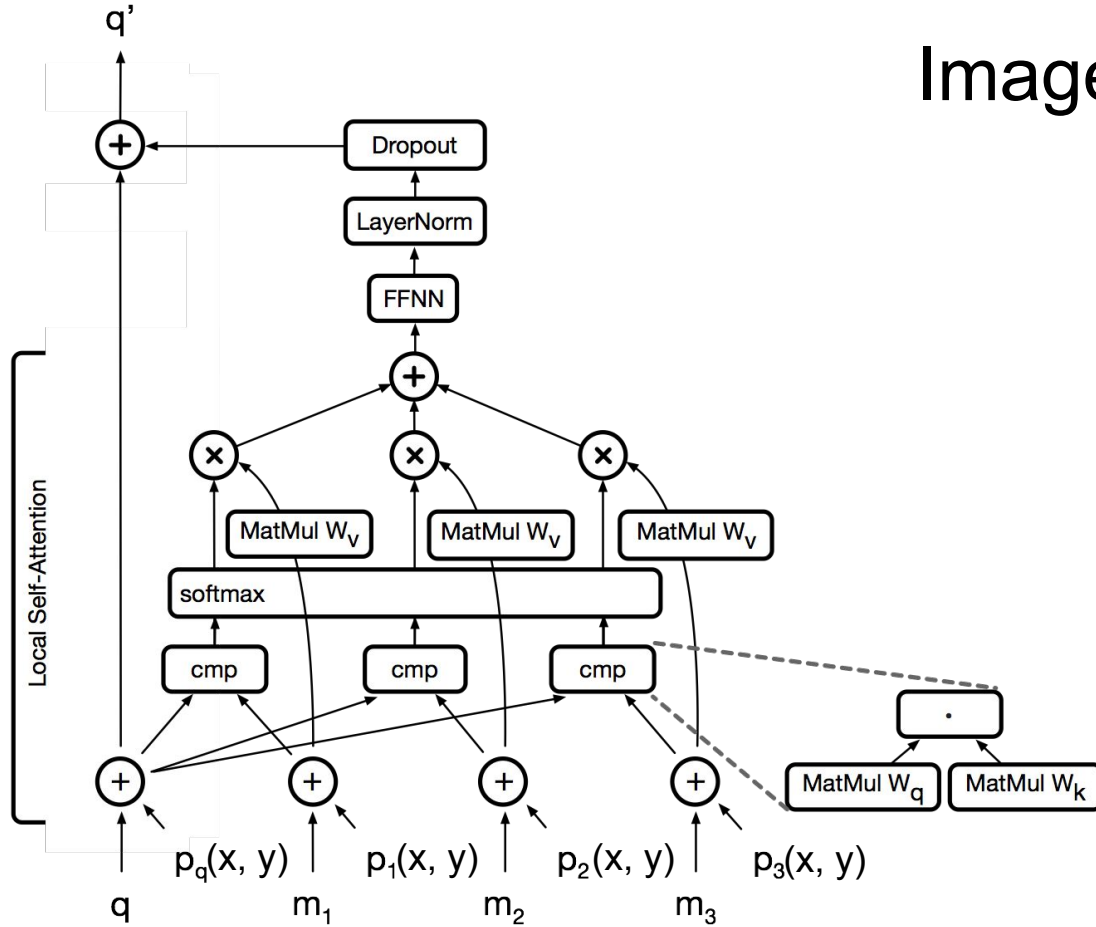
## Local 1D Attention



## Local 2D Attention



# Image Transformer Layer



# Tasks

Super-resolution

Unconditional and Conditional Image generation

# Results

## Image Transformer

Parmar\*, Vaswani\*, Uszkoreit, Kaiser, Shazeer, Ku, and Tran. ICML 2018

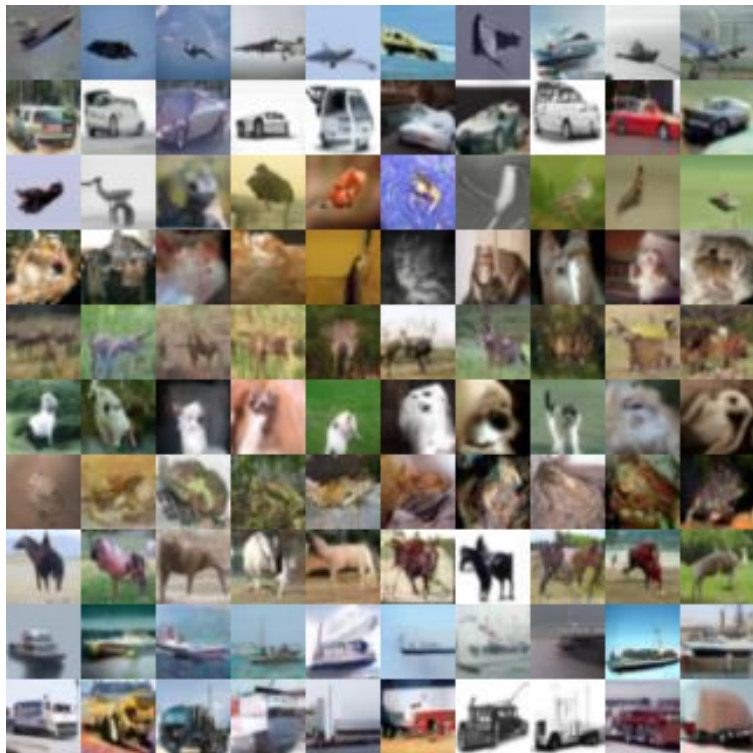
# Unconditional Image Generation

	Cifar-10 (Test)	Imagenet (Validation)
PixelRNN	3.00	3.86
Gated PixelCNN	3.03	3.83
PixelCNN++	2.92 (dmol)	-
PixelSNAIL	<b>2.85</b>	3.8
Image Transformer, 1D local	2.9 (xent)	<b>3.77</b>
Image Transformer, 1D local	2.9 (dmol)	3.78

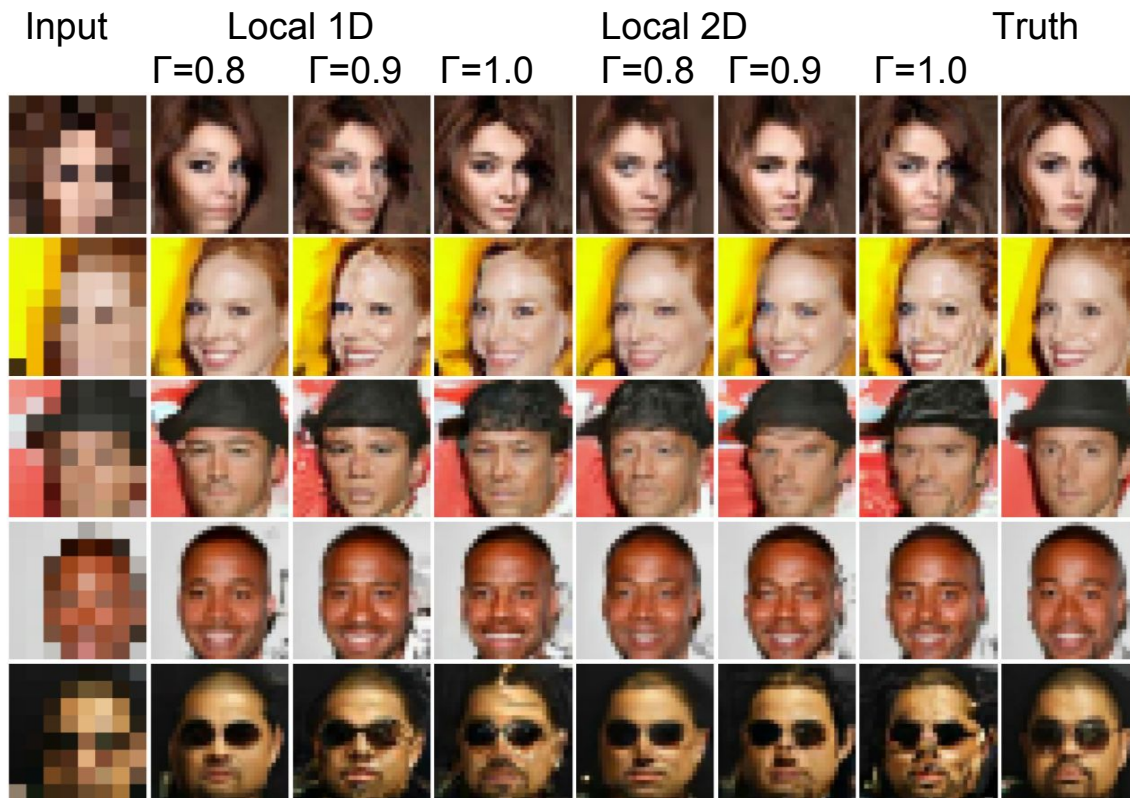
Cross entropy of various models on CIFAR-10 and Imagenet datasets.



# Cifar10 Samples



# CelebA Super Resolution

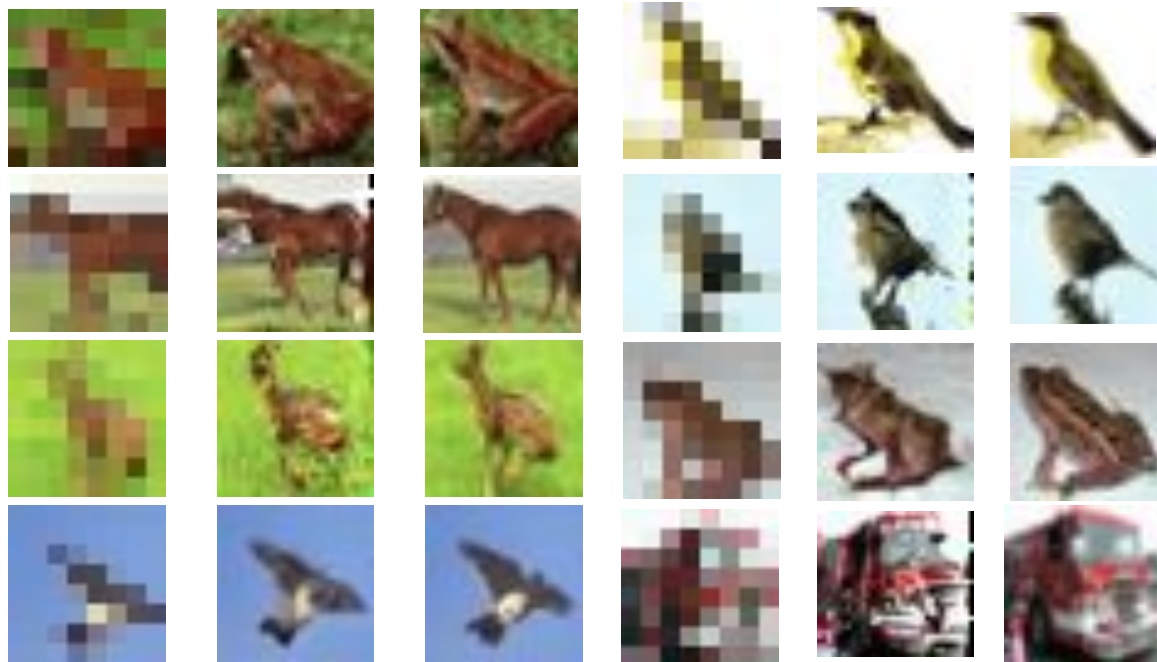


# CelebA Super Resolution

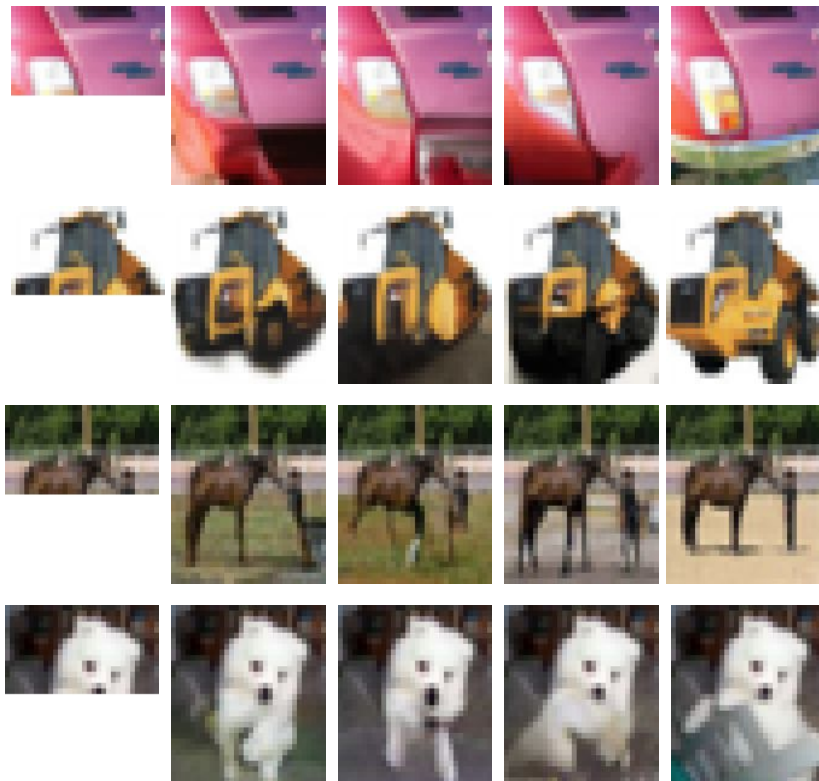
	% Fooled			
	$\Gamma = \text{n/a}$	$\Gamma = 1.0$	$\Gamma = 0.9$	$\Gamma = 0.8$
ResNet	4.0	-	-	-
srez GAN (Garcia, 2016)	8.5	-	-	-
Pixel Recursive (Dahl et al., 2017)	-	11.0	10.4	10.25
Image Transformer, 1D local		<b>35.94 <math>\pm</math> 3.0</b>	33.5 $\pm$ 3.5	29.6 $\pm$ 4.0
Image Transformer, 2D local		<b>36.11 <math>\pm</math> 2.5</b>	34 $\pm$ 3.5	30.64 $\pm$ 4.0

Human Eval performance for the Image Transformer on CelebA. The fraction of humans fooled is significantly better than the previous state of art.

# Cifar10 SuperResolution



# Conditional Image Completion



# Music generation using relative self-attention

Music Transformer (ICLR 2019) by Cheng-Zhi Anna Huang, Ashish Vaswani, Jakob Uszkoreit, Noam Shazeer, Ian Simon, Curtis Hawthorne, Andrew M. Dai, Matthew D. Hoffman, Monica Dinculescu and Douglas Eck.

Blog post: <https://magenta.tensorflow.org/music-transformer>

# Raw representations in music and language

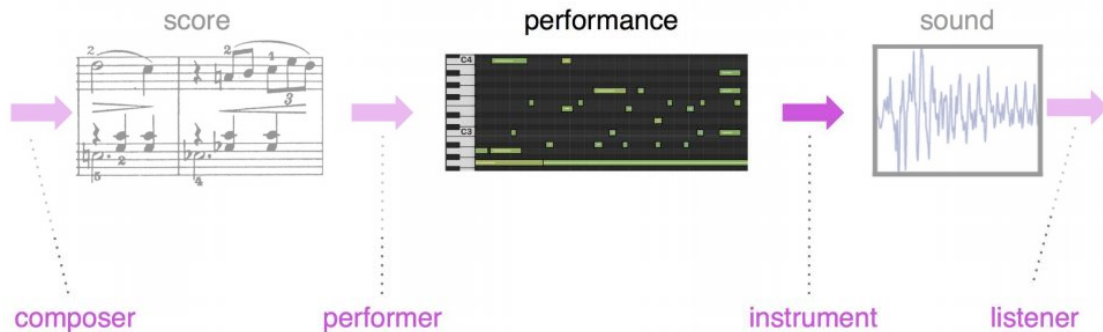
Language

text



speech

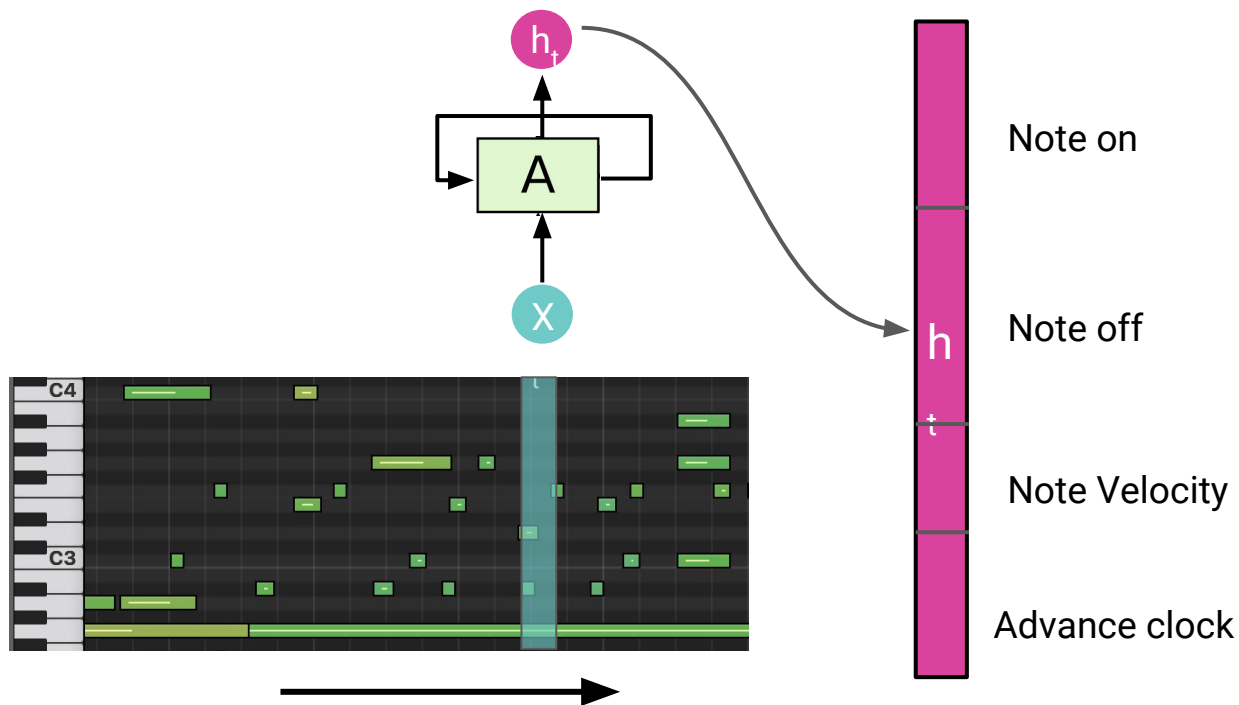
Music



(Image from Simon & Oore, 2016)

# Music Language model:

## Prior work Performance RNN (Simon & Oore, 2016)





# Continuations to given initial motif

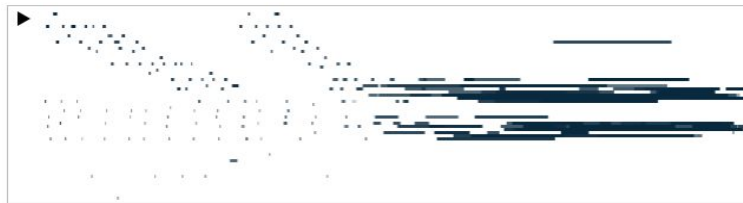
Given  
motif



RNN-LSTM



Transformer



Music  
Transformer



# Continuations to given initial motif

Given  
motif



# Continuations to given initial motif

Given  
motif



# Continuations to given initial motif

Given  
motif



RNN-LSTM



# Continuations to given initial motif

Given  
motif



RNN-LSTM



# Continuations to given initial motif

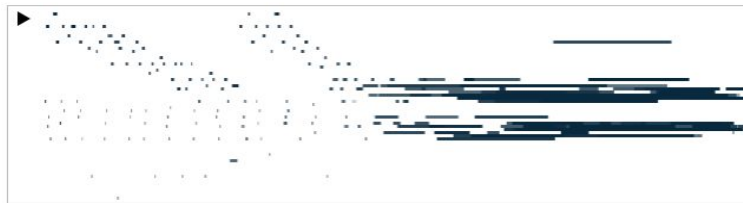
Given  
motif



RNN-LSTM



Transformer



# Continuations to given initial motif

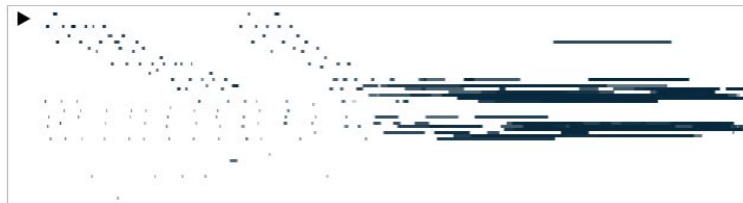
Given  
motif



RNN-LSTM



Transformer



# Continuations to given initial motif

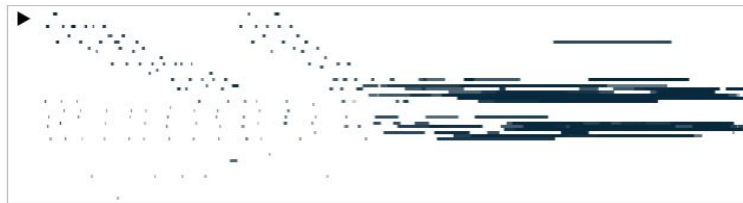
Given  
motif



RNN-LSTM



Transformer



Music  
Transformer





# Continuations to given initial motif

Given  
motif



RNN-LSTM



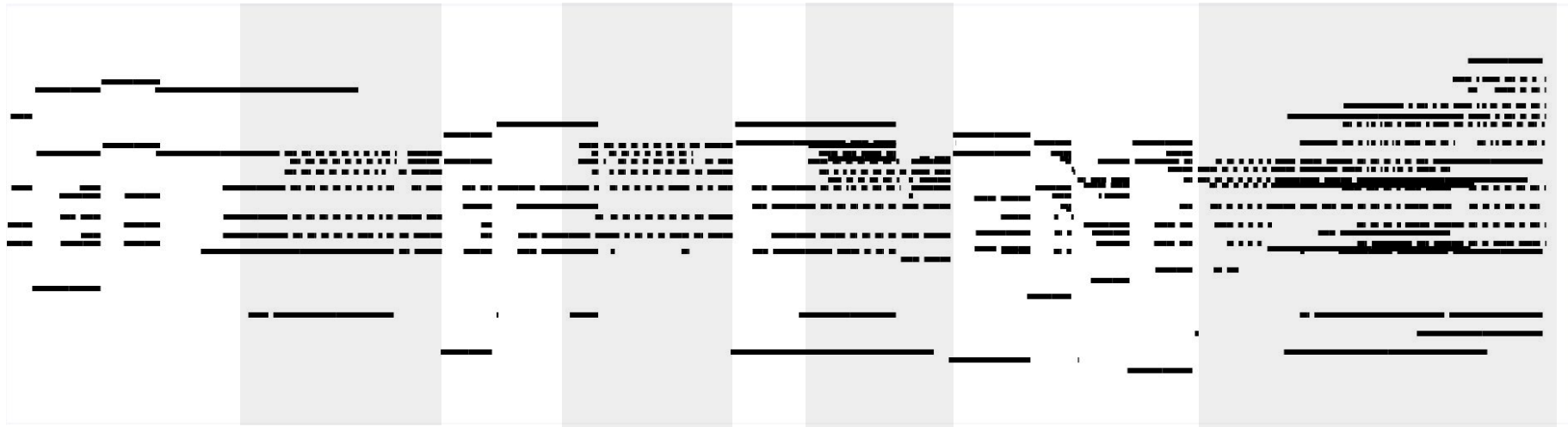
Transformer



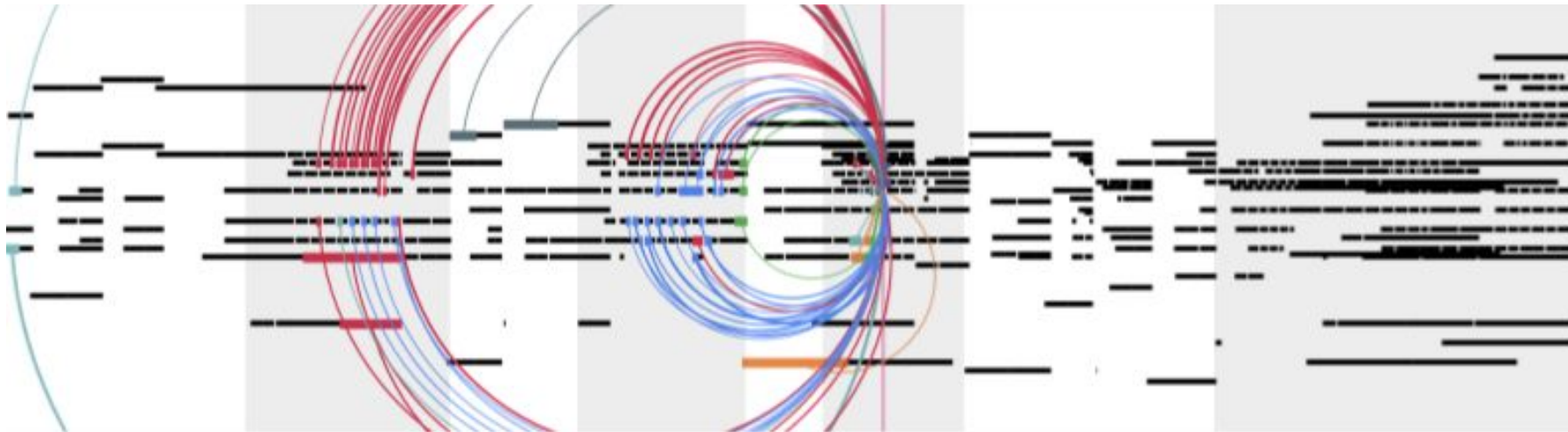
Music  
Transformer

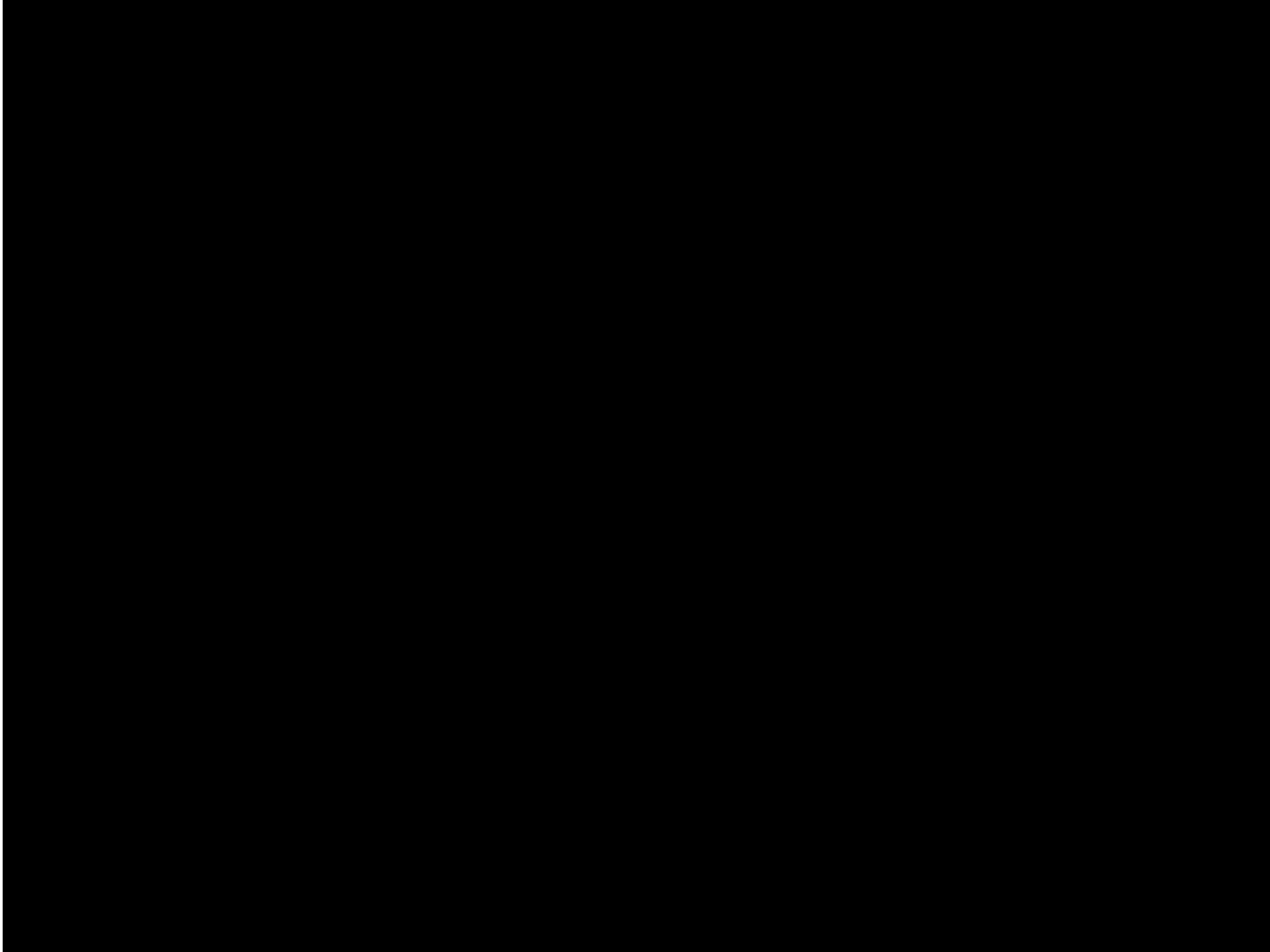


# Self-Similarity in Music

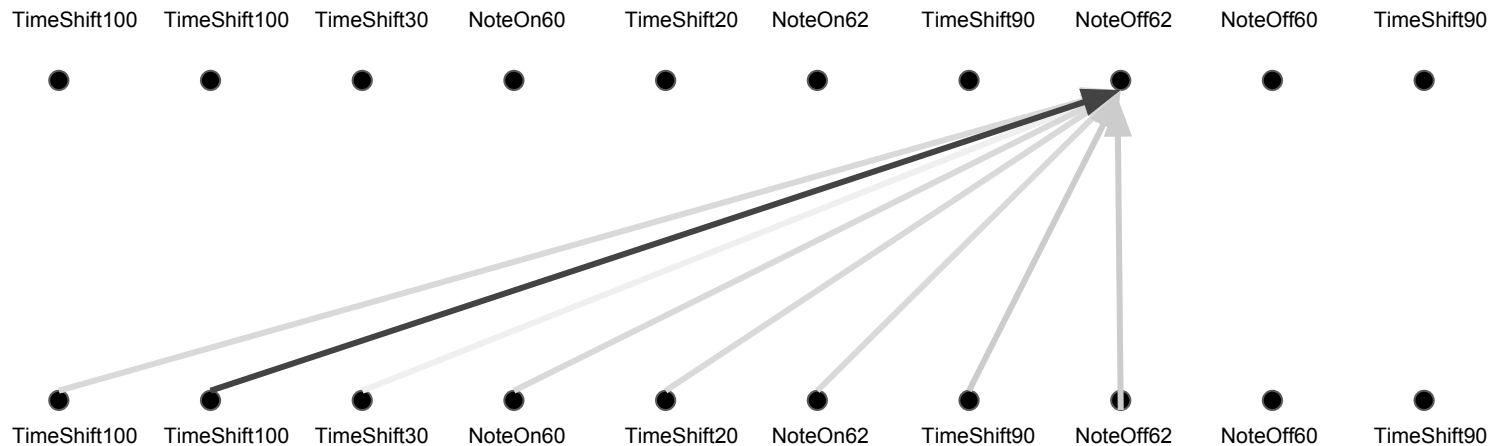


# Sample from Music Transformer

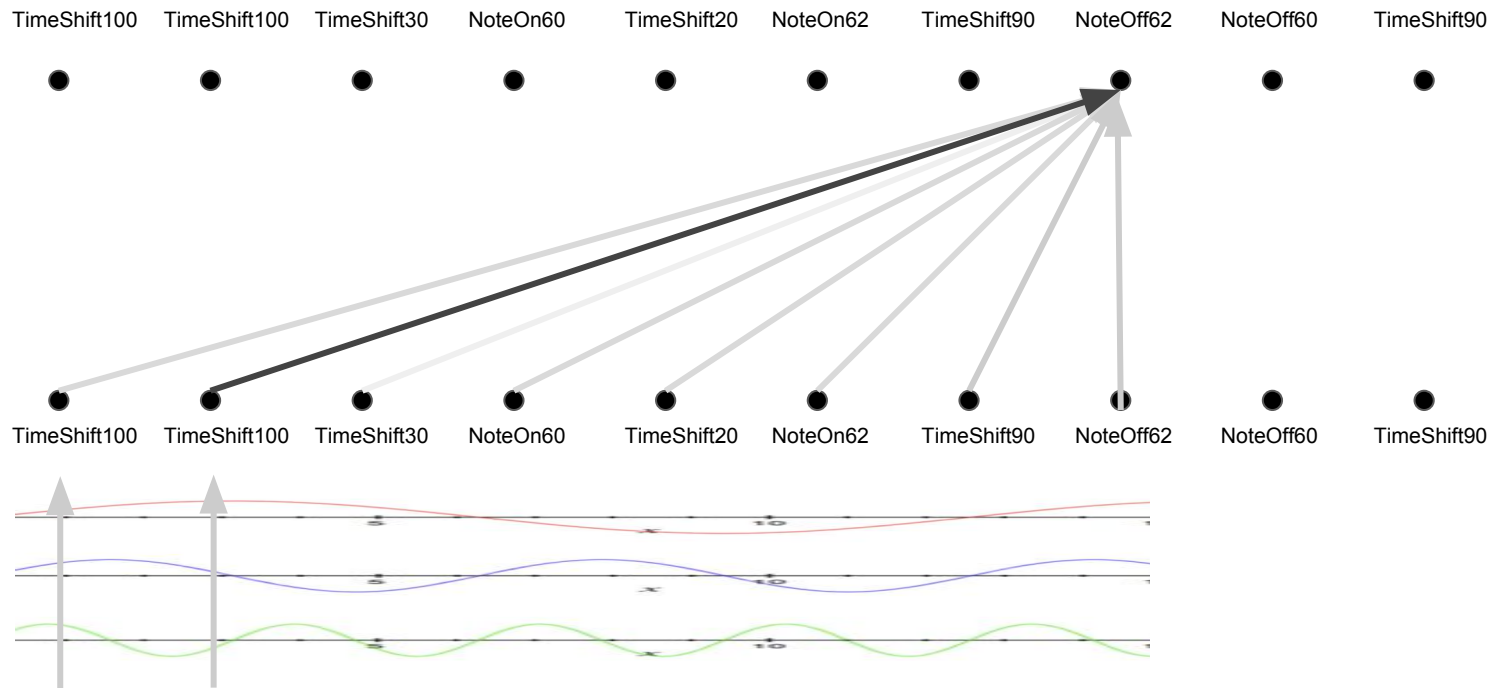




# Attention: a weighted average

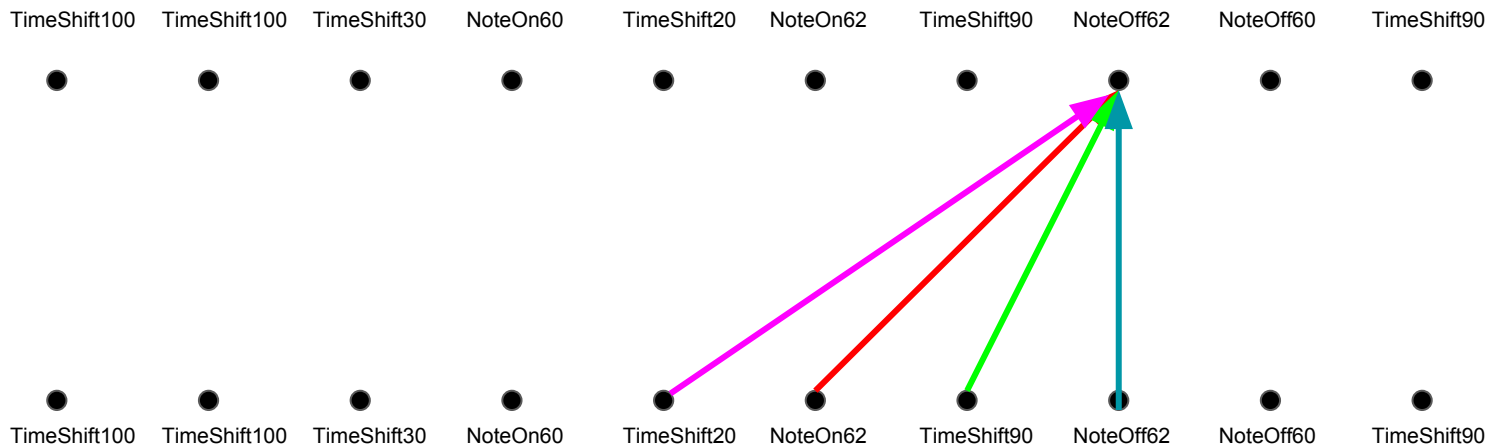


# Attention: a weighted average



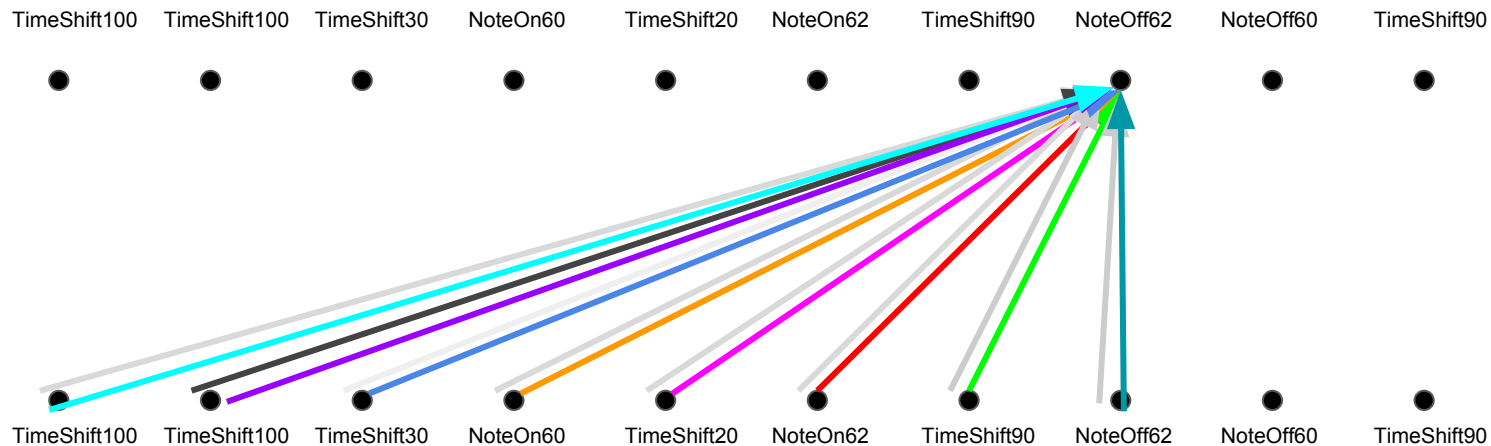
# Convolution:

Different linear transformations by relative position.



# Relative attention (Shaw et al, 2018)

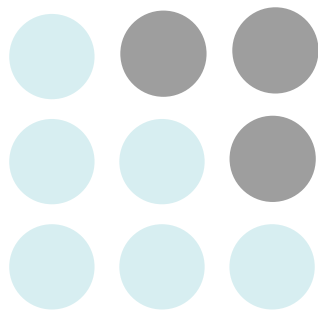
## Multihead attention + convolution?





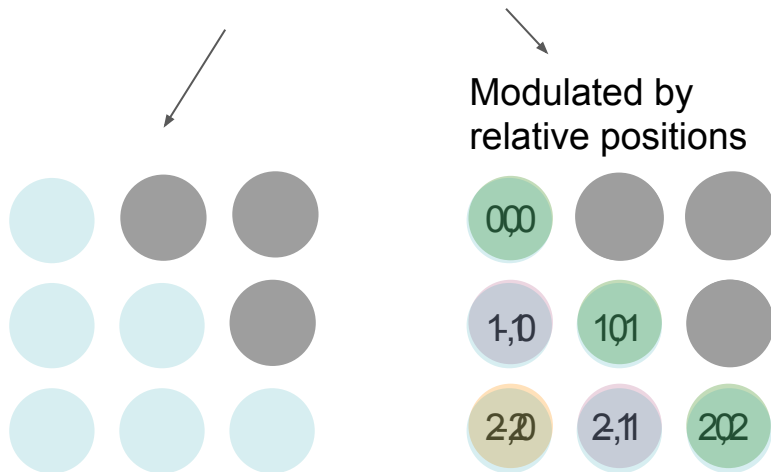
# Closer look at attention

$$\text{softmax}(QK^{\top})$$



# Closer look at relative attention

$$\text{softmax}(QK^\top + Qf(E_{rel}))$$



# Machine Translation (Shaw et al, 2018)

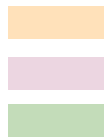
Model	Position Representati on	BLEU En-De	BLEU En-Fr
Transformer Big	Absolute	27.9	41.3
Transformer Big	Relative	<b>29.2</b>	<b>41.5</b>

# Previous work $O(L^2D)$ : **8.5 GB** per layer (Shaw et al, 2018)

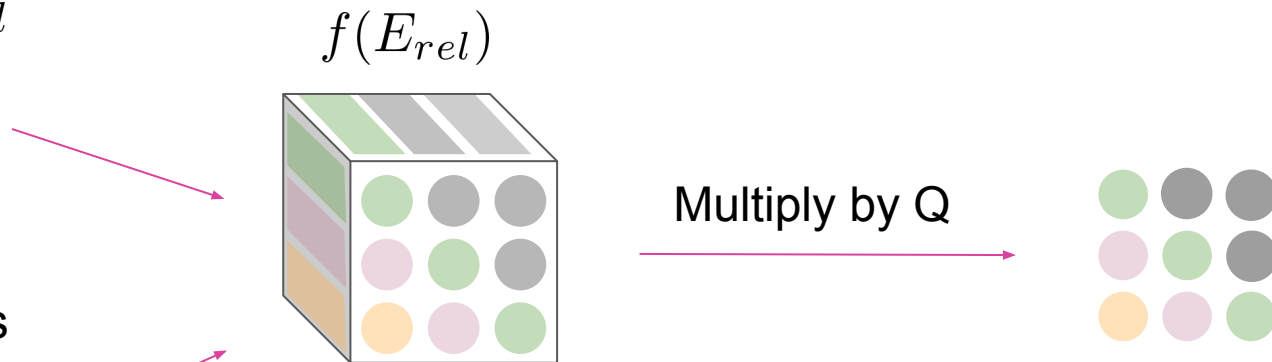
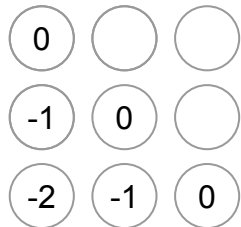
Per layer, **L=2048**, **D=512**

$$\text{softmax}(QK^{\top} + Qf(E_{rel}))$$

Relative  
embeddings  $E_{rel}$



Relative distances



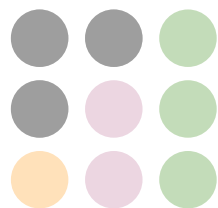
# Our formulation $O(LD)$ : **4.2 MB** per layer

$$\text{softmax}(QK^{\top} + \text{skew}(QE_{rel}^{\top}))$$

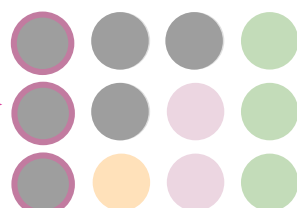
Per layer, **L=2048**, **D=512**

Absolute by relative

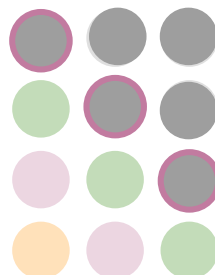
$QE^{\top}$



Pad



Reshape

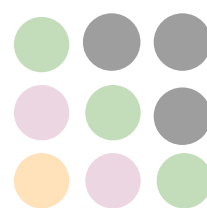


Skew

Slice



$i_q$

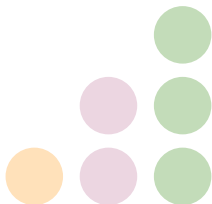


Absolute by absolute

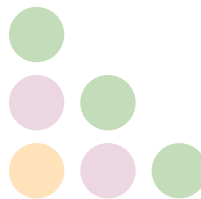
# Goal of skewing procedure

Indexed by

absolute by relative



absolute by absolute



# Skewing to reduce relative memory from $O(L^2D)$ to $O(LD)$

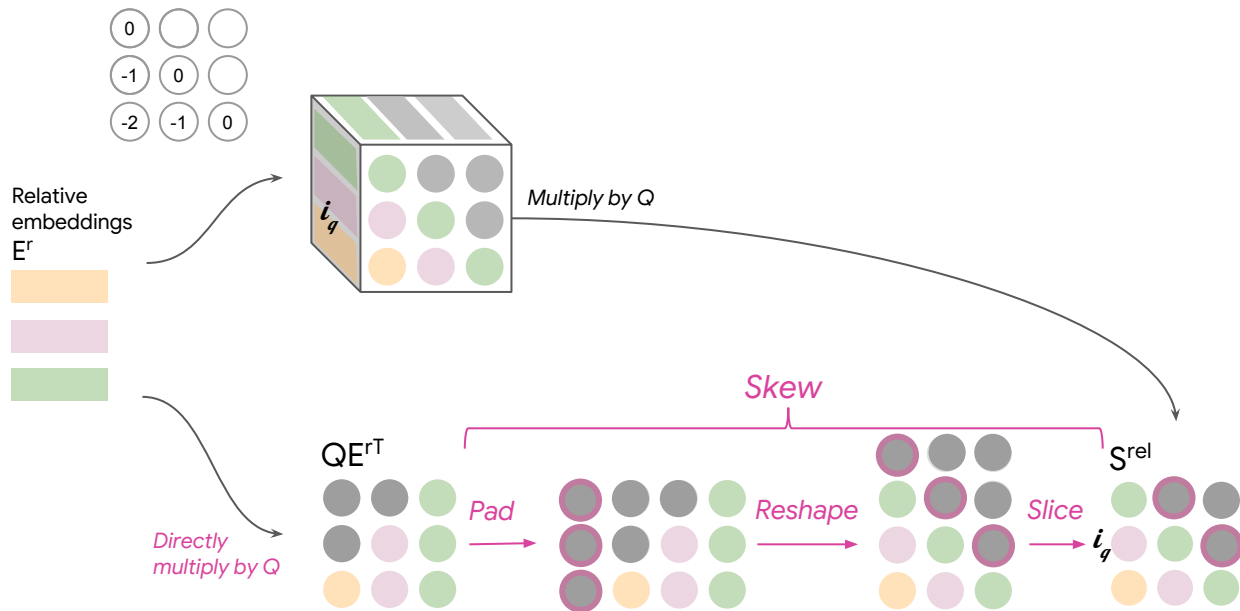
Per layer,  $L=2048$ ,  $D=512$

Previous work

$O(L^2D)$ : **8.5 GB**

Our work

$O(LD)$ : **4.2 MB**



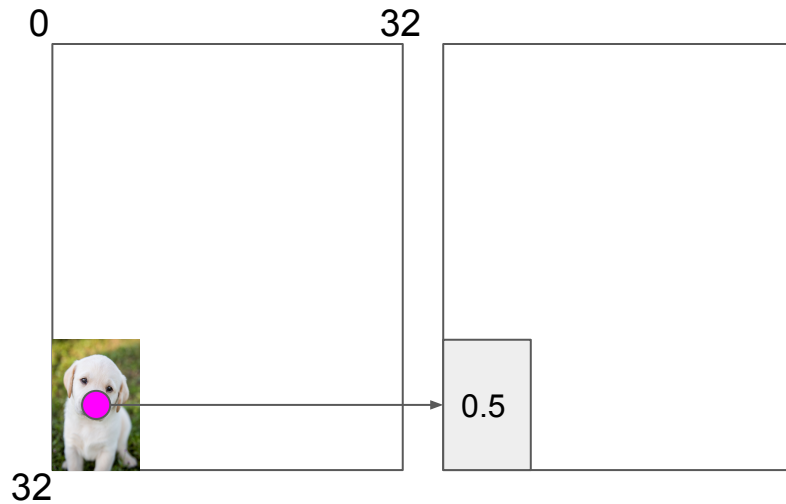
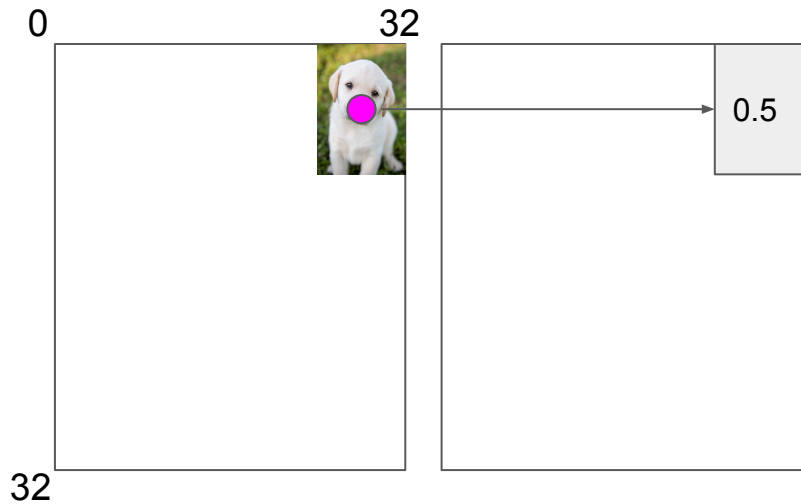
A Jazz sample from Music Transformer



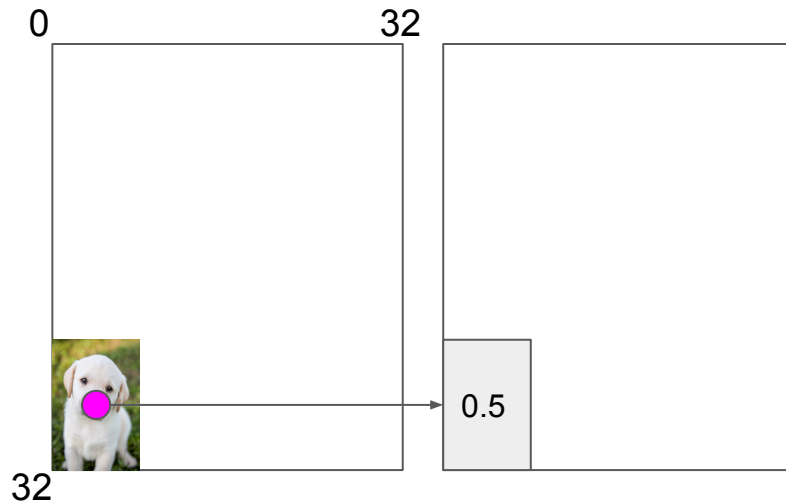
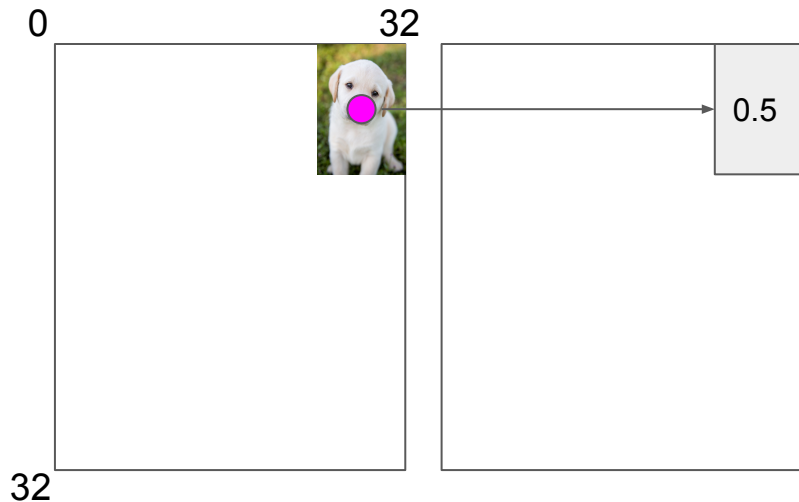
# A Jazz sample from Music Transformer



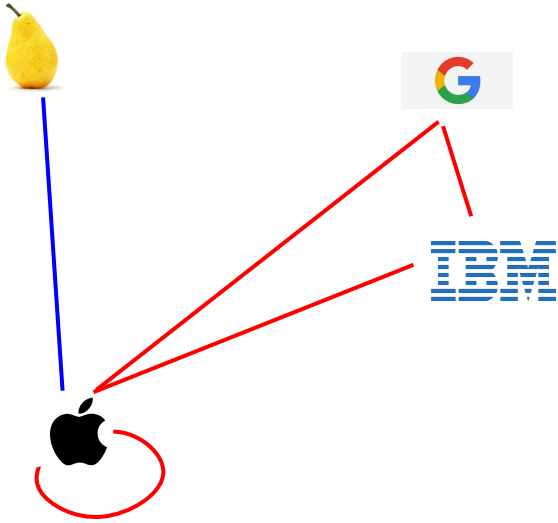
# Convolutions and Translational Equivariance



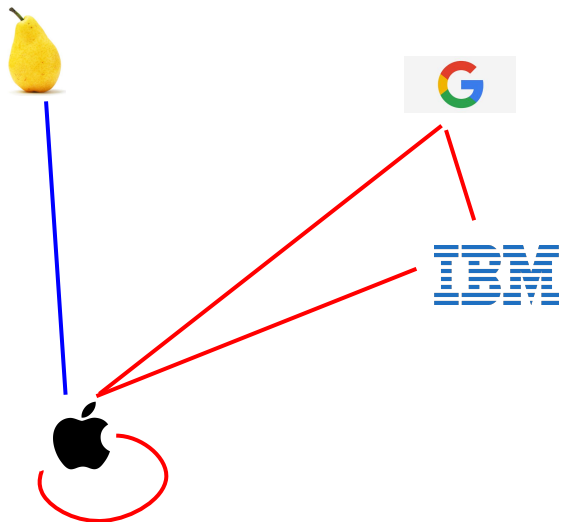
# Relative positions Translational Equivariance



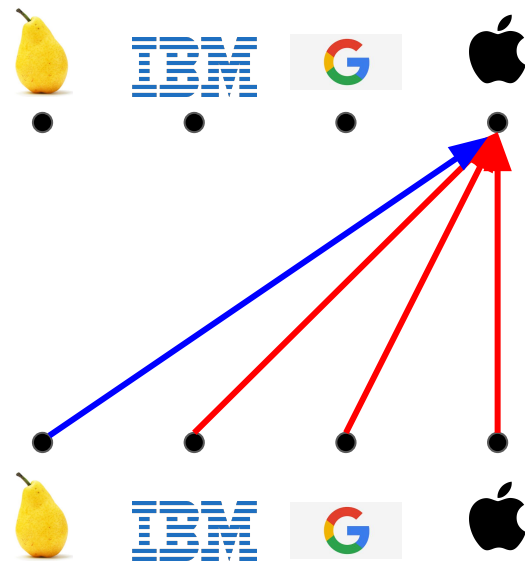
# Relative Attention And Graphs



# Relative Attention And Graphs

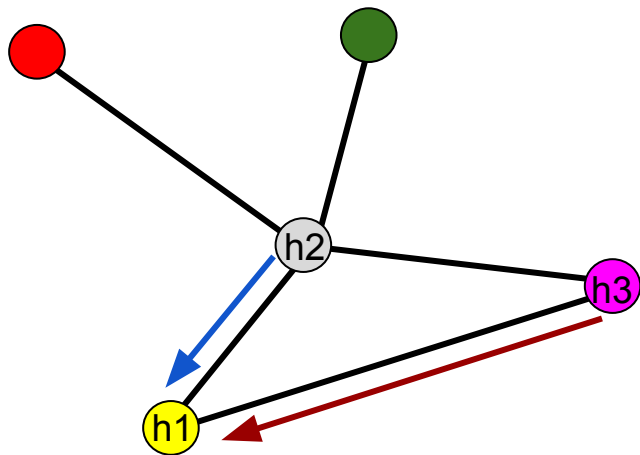


Relational inductive biases, deep learning, and graph networks. (Battaglia et al., 2018)



Self-Attention With Relative Position Representations (Shaw et al., 2018)

# Message Passing Neural Networks



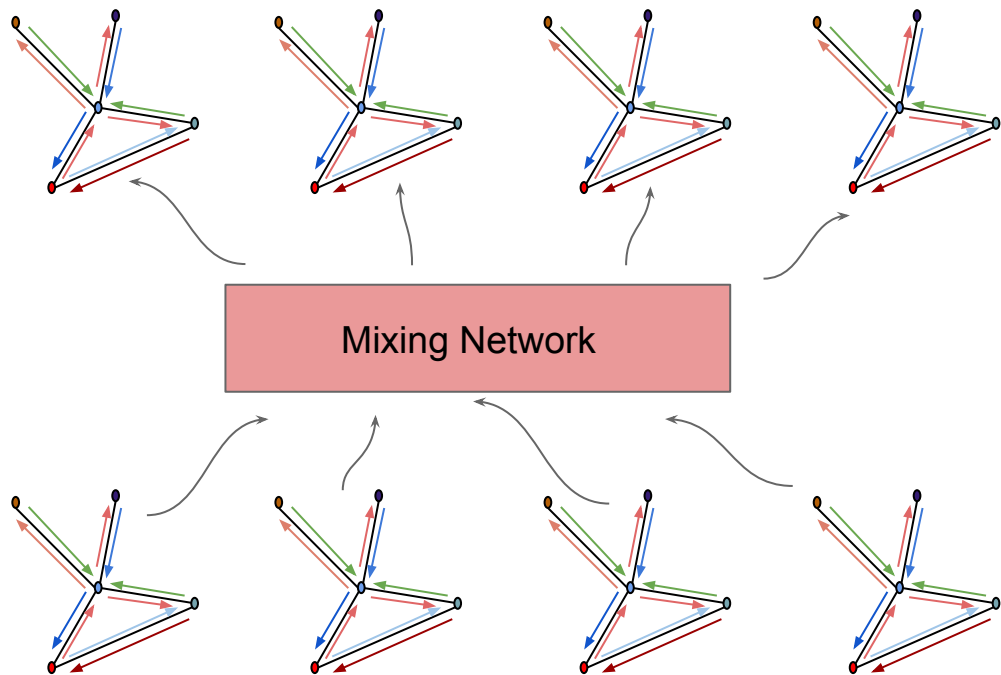
$$m_v^{t+1} = \sum_{w \in N(v)} M_t(h_v^t, h_w^t, e_{vw})$$

$$h_v^{t+1} = U_t(h_v^t, m_v^{t+1})$$

$$\hat{y} = R(\{h_v^T | v \in G\})$$

Neural Message Passing For Quantum  
Chemistry. Gilmer et al. ICML 2017

# Multiple Towers



- Run  $k$  smaller copies of the MPNN in parallel.
- Mix node states after each message pass.
- Offers a factor of  $k$  speedup for the same node dimension  $d$  ( $> 2\times$  speedup when  $d=200$ ).
- Also helped improve performance when used with matrix multiply message function.

# Graph Library

[Code](#)

With Justin Gilmer, Jonathan Frankle, and David Bieber



# Self-Attention

Constant 'path length' between any two positions.

Unbounded memory.

Trivial to parallelize (per layer).

Models Self-Similarity.

Relative attention provides expressive timing, equivariance, and extends naturally to graphs.

# Active Research Area

Non autoregressive transformer (Gu and Bradbury et al., 2018)

Deterministic Non-Autoregressive Neural Sequence Modeling by Iterative Refinement  
(Lee, Manismov, and Cho, 2018)

Fast Decoding in Sequence Models Using Discrete Latent Variables (ICML 2018)  
Kaiser, Roy, Vaswani, Pamar, Bengio, Uszkoreit, Shazeer

Towards a Better Understanding of Vector Quantized Autoencoders  
Roy, Vaswani, Parmar, Neelakantan, 2018

Blockwise Parallel Decoding For Deep Autogressive Models (NeurIPS 2019)  
Stern, Shazeer, Uszkoreit,

Transfer learning

Improving Language Understanding by Generative Pre-Training (Radford, Narsimhan, Salimans, and Sutskever)

BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding (Devlin, Chang, Lee, and Toutanova)

# Optimization and Large Models

Adafactor: Adaptive Learning Rates with Sublinear Memory Cost (ICML 2018).  
Shazeer, Stern.

Memory-Efficient Adaptive Optimization for Large-Scale Learning (2019). Anil,  
Gupta, Koren, Singer.

Mesh-TensorFlow: Deep Learning for Supercomputers (NeurIPS 2019).  
Shazeer, Cheng, Parmar, Tran, Vaswani, Koanantakool, Hawkins, Lee, Hong,  
Young, Sepassi, Hechtman) [Code](#) (5 billion parameters)

Self-attention in Other Work.

Generating Wikipedia by Summarizing Long sequences. (ICLR 2018). Liu, Saleh, Pot, Goodrich, Sepassi, Shazeer, Kaiser.

Universal Transformers (ICLR 2019). Deghiani\*, Gouws\*, Vinyals, Uszkoreit, Kaiser.

Transformer-XL: Attentive Language Models Beyond a Fixed-Length Context (2019). Dai, Yang, Yang, Carbonell, Le, Salakhutdinov.

A Time-Restricted Self-Attention Layer for ASR (ICASSP 2018). Povey, Hadian, Gharemani, Li, Khudanpur.

Character-Level Language Modeling with Deeper Self-Attention (2018). Roufou\*, Choe\*, Guo\*, Constant\*, Jones\*



Ongoing and Future Work

# Ongoing

Self-supervision and classification for images and video

Understanding Transfer

# Future

Multitask learning

Long-range attention