

# **Discrete Mathematical Structures**

**DISCIPLINE SPECIFIC CORE COURSE - 5:**

**Discrete Mathematical Structures**

**Course: B.Sc. (H) Computer Science**

**Unit-II**

# CONTENT OF UNIT-I

## Logic and Proofs:

- I. Propositional Logic,
- II. Propositional Equivalences,
- III. Use of first-order logic to express natural language predicates,
- IV. Quantifiers, Nested Quantifiers,
- V. Rules of Inference,
- VI. Introduction to Proofs,
- VII. Proof Methods and Strategies,
- VIII. Mathematical Induction.

**PROPOSITIONAL LOGIC,  
PROPOSITIONAL  
EQUIVALENCES,  

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USE OF FIRST-ORDER LOGIC  
TO EXPRESS NATURAL  
LANGUAGE PREDICATES**

# PROPOSITIONS

Our discussion begins with an introduction to the basic building blocks of logic—propositions. A **proposition** is a declarative sentence (that is, a sentence that declares a fact) that is either true or false, but not both.

**EXAMPLE 1** All the following declarative sentences are propositions.

*Extra  
Examples* ➤

1. Washington, D.C., is the capital of the United States of America.
2. Toronto is the capital of Canada.
3.  $1 + 1 = 2$ .
4.  $2 + 2 = 3$ .

Propositions 1 and 3 are true, whereas 2 and 4 are false.

## PROPOSITIONS CONTINUED...

**EXAMPLE 2** Consider the following sentences.

1. What time is it?
2. Read this carefully.
3.  $x + 1 = 2$ .
4.  $x + y = z$ .

Sentences 1 and 2 are not propositions because they are not declarative sentences. Sentences 3 and 4 are not propositions because they are neither true nor false. Note that each of sentences 3 and 4 can be turned into a proposition if we assign values to the variables.

## PROPOSITIONS CONTINUED...

We use letters to denote **propositional variables** (or **sentential variables**), that is, variables that represent propositions, just as letters are used to denote numerical variables. The conventional letters used for propositional variables are  $p, q, r, s, \dots$ . The **truth value** of a proposition is true, denoted by T, if it is a true proposition, and the truth value of a proposition is false, denoted by F, if it is a false proposition. Propositions that cannot be expressed in terms of simpler propositions are called **atomic propositions**.

## PROPOSITIONS CONTINUED...

Let  $p$  be a proposition. The *negation of  $p$* , denoted by  $\neg p$  (also denoted by  $\bar{p}$ ), is the statement  
“It is not the case that  $p$ .”

The proposition  $\neg p$  is read “not  $p$ .” The truth value of the negation of  $p$ ,  $\neg p$ , is the opposite  
of the truth value of  $p$ .

**Remark:** The notation for the negation operator is not standardized. Although  $\neg p$  and  $\bar{p}$  are the  
most common notations used in mathematics to express the negation of  $p$ , other notations you  
might see are  $\sim p$ ,  $-p$ ,  $p'$ ,  $Np$ , and  $!p$ .

## PROPOSITIONS CONTINUED...

**EXAMPLE 3** Find the negation of the proposition

“Michael’s PC runs Linux”

*Extra  
Examples* ➤

and express this in simple English.

*Solution:* The negation is

“It is not the case that Michael’s PC runs Linux.”

This negation can be more simply expressed as

“Michael’s PC does not run Linux.”

## PROPOSITIONS CONTINUED...

**EXAMPLE 4** Find the negation of the proposition

“Vandana’s smartphone has at least 32 GB of memory”

and express this in simple English.

*Solution:* The negation is

“It is not the case that Vandana’s smartphone has at least 32 GB of memory.”

This negation can also be expressed as

“Vandana’s smartphone does not have at least 32 GB of memory”

or even more simply as

“Vandana’s smartphone has less than 32 GB of memory.”

# CONJUNCTION

**TABLE 1** The Truth Table for the Negation of a Proposition.

$p$	$\neg p$
T	F
F	T

## Definition 2

Let  $p$  and  $q$  be propositions. The *conjunction* of  $p$  and  $q$ , denoted by  $p \wedge q$ , is the proposition “ $p$  and  $q$ .” The conjunction  $p \wedge q$  is true when both  $p$  and  $q$  are true and is false otherwise.

# CONJUNCTION CONTINUED...

## EXAMPLE 5

Find the conjunction of the propositions  $p$  and  $q$  where  $p$  is the proposition “Rebecca’s PC has more than 16 GB free hard disk space” and  $q$  is the proposition “The processor in Rebecca’s PC runs faster than 1 GHz.”

*Solution:* The conjunction of these propositions,  $p \wedge q$ , is the proposition “Rebecca’s PC has more than 16 GB free hard disk space, and the processor in Rebecca’s PC runs faster than 1 GHz.” This conjunction can be expressed more simply as “Rebecca’s PC has more than 16 GB free hard disk space, and its processor runs faster than 1 GHz.” For this conjunction to be true, both conditions given must be true. It is false when one or both of these conditions are false.



# DISJUNCTION

## Definition 3

Let  $p$  and  $q$  be propositions. The *disjunction* of  $p$  and  $q$ , denoted by  $p \vee q$ , is the proposition “ $p$  or  $q$ .” The disjunction  $p \vee q$  is false when both  $p$  and  $q$  are false and is true otherwise.

Table 3 displays the truth table for  $p \vee q$ .

**TABLE 2** The Truth Table for the Conjunction of Two Propositions.

$p$	$q$	$p \wedge q$
T	T	T
T	F	F
F	T	F
F	F	F

**TABLE 3** The Truth Table for the Disjunction of Two Propositions.

$p$	$q$	$p \vee q$
T	T	T
T	F	T
F	T	T
F	F	F

# DISJUNCTION CONTINUED...

**EXAMPLE 6** Translate the statement “Students who have taken calculus or introductory computer science can take this class” in a statement in propositional logic using the propositions  $p$ : “A student who has taken calculus can take this class” and  $q$ : “A student who has taken introductory computer science can take this class.”

*Solution:* We assume that this statement means that students who have taken both calculus and introductory computer science can take the class, as well as the students who have taken only one of the two subjects. Hence, this statement can be expressed as  $p \vee q$ , the inclusive or, or disjunction, of  $p$  and  $q$ .

# DISJUNCTION CONTINUED...

## EXAMPLE 7

Extra  
Examples

What is the disjunction of the propositions  $p$  and  $q$ , where  $p$  and  $q$  are the same propositions as in Example 5?

*Solution:* The disjunction of  $p$  and  $q$ ,  $p \vee q$ , is the proposition

“Rebecca’s PC has at least 16 GB free hard disk space, or the processor in Rebecca’s PC runs faster than 1 GHz.”

This proposition is true when Rebecca’s PC has at least 16 GB free hard disk space, when the PC’s processor runs faster than 1 GHz, and when both conditions are true. It is false when both of these conditions are false, that is, when Rebecca’s PC has less than 16 GB free hard disk space and the processor in her PC runs at 1 GHz or slower.

# DISJUNCTION CONTINUED...

## Definition 4

Let  $p$  and  $q$  be propositions. The *exclusive or* of  $p$  and  $q$ , denoted by  $p \oplus q$  (or  $p \text{XOR } q$ ), is the proposition that is true when exactly one of  $p$  and  $q$  is true and is false otherwise.

# DISJUNCTION CONTINUED...

The truth table for the exclusive or of two propositions is displayed in Table 4.

## EXAMPLE 8

Let  $p$  and  $q$  be the propositions that state “A student can have a salad with dinner” and “A student can have soup with dinner,” respectively. What is  $p \oplus q$ , the exclusive or of  $p$  and  $q$ ?

*Solution:* The exclusive or of  $p$  and  $q$  is the statement that is true when exactly one of  $p$  and  $q$  is true. That is,  $p \oplus q$  is the statement “A student can have soup or salad, but not both, with dinner.” Note that this is often stated as “A student can have soup or a salad with dinner,” without explicitly stating that taking both is not permitted. 

# DISJUNCTION CONTINUED...

**EXAMPLE 9** Express the statement “I will use all my savings to travel to Europe or to buy an electric car” in propositional logic using the statement  $p$ : “I will use all my savings to travel to Europe” and the statement  $q$ : “I will use all my savings to buy an electric car.”

*Solution:* To translate this statement, we first note that the or in this statement must be an exclusive or because this student can either use all his or her savings to travel to Europe or use all these savings to buy an electric car, but cannot both go to Europe and buy an electric car. (This is clear because either option requires all his savings.) Hence, this statement can be expressed as  $p \oplus q$ .

# CONDITIONAL STATEMENTS

## Definition 5

Let  $p$  and  $q$  be propositions. The *conditional statement*  $p \rightarrow q$  is the proposition “if  $p$ , then  $q$ .” The conditional statement  $p \rightarrow q$  is false when  $p$  is true and  $q$  is false, and true otherwise. In the conditional statement  $p \rightarrow q$ ,  $p$  is called the *hypothesis* (or *antecedent* or *premise*) and  $q$  is called the *conclusion* (or *consequence*).

## Assessment

The statement  $p \rightarrow q$  is called a conditional statement because  $p \rightarrow q$  asserts that  $q$  is true on the condition that  $p$  holds. A conditional statement is also called an **implication**.

The truth table for the conditional statement  $p \rightarrow q$  is shown in Table 5. Note that the statement  $p \rightarrow q$  is true when both  $p$  and  $q$  are true and when  $p$  is false (no matter what truth value  $q$  has).

# CONDITIONAL STATEMENTS

**TABLE 4** The Truth Table for the Exclusive Or of Two Propositions.

$p$	$q$	$p \oplus q$
T	T	F
T	F	T
F	T	T
F	F	F

**TABLE 5** The Truth Table for the Conditional Statement  $p \rightarrow q$ .

$p$	$q$	$p \rightarrow q$
T	T	T
T	F	F
F	T	T
F	F	T

# CONDITIONAL STATEMENTS

Because conditional statements play such an essential role in mathematical reasoning, a variety of terminology is used to express  $p \rightarrow q$ . You will encounter most if not all of the following ways to express this conditional statement:

“if  $p$ , then  $q$ ”

“if  $p$ ,  $q$ ”

“ $p$  is sufficient for  $q$ ”

“ $q$  if  $p$ ”

“ $q$  when  $p$ ”

“a necessary condition for  $p$  is  $q$ ”

“ $q$  unless  $\neg p$ ”

“ $p$  implies  $q$ ”

“ $p$  only if  $q$ ”

“a sufficient condition for  $q$  is  $p$ ”

“ $q$  whenever  $p$ ”

“ $q$  is necessary for  $p$ ”

“ $q$  follows from  $p$ ”

“ $q$  provided that  $p$ ”

A useful way to understand the truth value of a conditional statement is to think of an obligation or a contract. For example, the pledge many politicians make when running for office is

“If I am elected, then I will lower taxes.”

# BICONDITIONALS STATEMENTS

**TABLE 6** The Truth Table for the Biconditional  $p \leftrightarrow q$ .

$p$	$q$	$p \leftrightarrow q$
T	T	T
T	F	F
F	T	F
F	F	T

**BICONDITIONALS** We now introduce another way to combine propositions that expresses that two propositions have the same truth value.

## Definition 6

Let  $p$  and  $q$  be propositions. The *biconditional statement*  $p \leftrightarrow q$  is the proposition “ $p$  if and only if  $q$ .” The biconditional statement  $p \leftrightarrow q$  is true when  $p$  and  $q$  have the same truth values, and is false otherwise. Biconditional statements are also called *bi-implications*.

# BICONDITIONALS STATEMENTS

The truth table for  $p \leftrightarrow q$  is shown in Table 6. Note that the statement  $p \leftrightarrow q$  is true when both the conditional statements  $p \rightarrow q$  and  $q \rightarrow p$  are true and is false otherwise. That is why we use the words “if and only if” to express this logical connective and why it is symbolically written by combining the symbols  $\rightarrow$  and  $\leftarrow$ . There are some other common ways to express  $p \leftrightarrow q$ :

“ $p$  is necessary and sufficient for  $q$ ”

“if  $p$  then  $q$ , and conversely”

“ $p$  iff  $q$ .” “ $p$  exactly when  $q$ .”

The last way of expressing the biconditional statement  $p \leftrightarrow q$  uses the abbreviation “iff” for “if and only if.” Note that  $p \leftrightarrow q$  has exactly the same truth value as  $(p \rightarrow q) \wedge (q \rightarrow p)$ .

## EXAMPLE 13

Let  $p$  be the statement “You can take the flight,” and let  $q$  be the statement “You buy a ticket.” Then  $p \leftrightarrow q$  is the statement

“You can take the flight if and only if you buy a ticket.”

*Extra  
Examples* ➤

This statement is true if  $p$  and  $q$  are either both true or both false, that is, if you buy a ticket and can take the flight or if you do not buy a ticket and you cannot take the flight. It is false when  $p$  and  $q$  have opposite truth values, that is, when you do not buy a ticket, but you can take the flight (such as when you get a free trip) and when you buy a ticket but you cannot take the flight (such as when the airline bumps you). ◀

# TRUTH TABLE OF THE COMPOUND PROPOSITION

**EXAMPLE 14** Construct the truth table of the compound proposition

$$(p \vee \neg q) \rightarrow (p \wedge q).$$

*Solution:* Because this truth table involves two propositional variables  $p$  and  $q$ , there are four rows in this truth table, one for each of the pairs of truth values TT, TF, FT, and FF. The first two columns are used for the truth values of  $p$  and  $q$ , respectively. In the third column we find the truth value of  $\neg q$ , needed to find the truth value of  $p \vee \neg q$ , found in the fourth column. The fifth column gives the truth value of  $p \wedge q$ . Finally, the truth value of  $(p \vee \neg q) \rightarrow (p \wedge q)$  is found in the last column. The resulting truth table is shown in Table 7. 

**TABLE 7** The Truth Table of  $(p \vee \neg q) \rightarrow (p \wedge q)$ .

$p$	$q$	$\neg q$	$p \vee \neg q$	$p \wedge q$	$(p \vee \neg q) \rightarrow (p \wedge q)$
T	T	F	T	T	T
T	F	T	T	F	F
F	T	F	F	F	T
F	F	T	T	F	F

## LOGIC AND BIT OPERATIONS

**TABLE 8**

Precedence of Logical Operators.

<i>Operator</i>	<i>Precedence</i>
$\neg$	1
$\wedge$	2
$\vee$	3
$\rightarrow$	4
$\leftrightarrow$	5

*Truth Value      Bit*

T	1
F	0

**TABLE 9** Table for the Bit Operators ***OR***, ***AND***, and ***XOR***.

$x$	$y$	$x \vee y$	$x \wedge y$	$x \oplus y$
0	0	0	0	0
0	1	1	0	1
1	0	1	0	1
1	1	1	1	0

## Definition 7

A *bit string* is a sequence of zero or more bits. The *length* of this string is the number of bits in the string.

## EXAMPLE 15

101010011 is a bit string of length nine.

## LOGIC AND BIT OPERATIONS

**EXAMPLE 16** Find the bitwise *OR*, bitwise *AND*, and bitwise *XOR* of the bit strings 01 1011 0110 and 11 0001 1101. (Here, and throughout this book, bit strings will be split into blocks of four bits to make them easier to read.)

*Solution:* The bitwise *OR*, bitwise *AND*, and bitwise *XOR* of these strings are obtained by taking the *OR*, *AND*, and *XOR* of the corresponding bits, respectively. This gives us

$$\begin{array}{r} 01 \ 1011 \ 0110 \\ 11 \ 0001 \ 1101 \\ \hline 11 \ 1011 \ 1111 & \text{bitwise } OR \\ 01 \ 0001 \ 0100 & \text{bitwise } AND \\ 10 \ 1010 \ 1011 & \text{bitwise } XOR \end{array}$$



# APPLICATIONS OF PROPOSITIONAL LOGIC

- Translating English Sentences
- System Specifications
- Boolean Searches
- Logic Puzzles
- Logic Circuits

# TRANSLATING ENGLISH SENTENCES

**EXAMPLE 1** How can this English sentence be translated into a logical expression?

Extra  
Examples

“You can access the Internet from campus only if you are a computer science major or you are not a freshman.”

*Solution:* There are many ways to translate this sentence into a logical expression. Although it is possible to represent the sentence by a single propositional variable, such as  $p$ , this would not be useful when analyzing its meaning or reasoning with it. Instead, we will use propositional variables to represent each sentence part and determine the appropriate logical connectives between them. In particular, we let  $a$ ,  $c$ , and  $f$  represent “You can access the Internet from campus,” “You are a computer science major,” and “You are a freshman,” respectively. Noting that “only if” is one way a conditional statement can be expressed, this sentence can be represented as

$$a \rightarrow (c \vee \neg f).$$

## TRANSLATING ENGLISH SENTENCES

**EXAMPLE 2** How can this English sentence be translated into a logical expression?

“You cannot ride the roller coaster if you are under 4 feet tall unless you are older than 16 years old.”

*Solution:* Let  $q$ ,  $r$ , and  $s$  represent “You can ride the roller coaster,” “You are under 4 feet tall,” and “You are older than 16 years old,” respectively. Then the sentence can be translated to

$$(r \wedge \neg s) \rightarrow \neg q.$$

There are other ways to represent the original sentence as a logical expression, but the one we have used should meet our needs. 

# SYSTEM SPECIFICATIONS

## EXAMPLE 3

Express the specification “The automated reply cannot be sent when the file system is full” using logical connectives.

Extra  
Examples

*Solution:* One way to translate this is to let  $p$  denote “The automated reply can be sent” and  $q$  denote “The file system is full.” Then  $\neg p$  represents “It is not the case that the automated reply can be sent,” which can also be expressed as “The automated reply cannot be sent.” Consequently, our specification can be represented by the conditional statement  $q \rightarrow \neg p$ .

System specifications should be **consistent**, that is, they should not contain conflicting requirements that could be used to derive a contradiction. When specifications are not consistent, there would be no way to develop a system that satisfies all specifications.

## SYSTEM SPECIFICATIONS

**EXAMPLE 4** Determine whether these system specifications are consistent:

“The diagnostic message is stored in the buffer or it is retransmitted.”

“The diagnostic message is not stored in the buffer.”

“If the diagnostic message is stored in the buffer, then it is retransmitted.”

*Solution:* To determine whether these specifications are consistent, we first express them using logical expressions. Let  $p$  denote “The diagnostic message is stored in the buffer” and let  $q$  denote “The diagnostic message is retransmitted.” The specifications can then be written as  $p \vee q$ ,  $\neg p$ , and  $p \rightarrow q$ . An assignment of truth values that makes all three specifications true must have  $p$  false to make  $\neg p$  true. Because we want  $p \vee q$  to be true but  $p$  must be false,  $q$  must be true. Because  $p \rightarrow q$  is true when  $p$  is false and  $q$  is true, we conclude that these specifications are consistent, because they are all true when  $p$  is false and  $q$  is true. We could come to the same conclusion by use of a truth table to examine the four possible assignments of truth values to  $p$  and  $q$ .

## SYSTEM SPECIFICATIONS

**EXAMPLE 5** Do the system specifications in Example 4 remain consistent if the specification “The diagnostic message is not retransmitted” is added?

*Solution:* By the reasoning in Example 4, the three specifications from that example are true only in the case when  $p$  is false and  $q$  is true. However, this new specification is  $\neg q$ , which is false when  $q$  is true. Consequently, these four specifications are inconsistent.

## BOOLEAN SEARCHES

Logical connectives are used extensively in searches of large collections of information, such as indexes of Web pages. Because these searches employ techniques from propositional logic, they are called **Boolean searches**.

In Boolean searches, the connective *AND* is used to match records that contain both of two search terms, the connective *OR* is used to match one or both of two search terms, and the connective *NOT* (sometimes written as *AND NOT*) is used to exclude a particular search term. Careful planning of how logical connectives are used is often required when Boolean searches are used to locate information of potential interest. Example 6 illustrates how Boolean searches are carried out.

# BOOLEAN SEARCHES

## EXAMPLE 6

Extra Examples ➤

**Web Page Searching** Most Web search engines support Boolean searching techniques, which is useful for finding Web pages about particular subjects. For instance, using Boolean searching to find Web pages about universities in New Mexico, we can look for pages matching NEW AND MEXICO AND UNIVERSITIES. The results of this search will include those pages that contain the three words NEW, MEXICO, and UNIVERSITIES. This will include all of the pages of interest, together with others such as a page about new universities in Mexico. (Note that Google, and many other search engines, do require the use of “AND” because such search engines use all search terms by default.) Most search engines support the use of quotation marks to search for specific phrases. So, it may be more effective to search for pages matching “NEW MEXICO” AND UNIVERSITIES.

Next, to find pages that deal with universities in New Mexico or Arizona, we can search for pages matching (NEW AND MEXICO OR ARIZONA) AND UNIVERSITIES. (*Note:* Here the AND operator takes precedence over the OR operator. Also, in Google, the terms used for this search would be NEW MEXICO OR ARIZONA.) The results of this search will include all pages that contain the word UNIVERSITIES and either both the words NEW and MEXICO or the word ARIZONA. Again, pages besides those of interest will be listed. Finally, to find Web pages that deal with universities in Mexico (and not New Mexico), we might first look for pages matching MEXICO AND UNIVERSITIES, but because the results of this search will include pages about universities in New Mexico, as well as universities in Mexico, it might be better to search for pages matching (MEXICO AND UNIVERSITIES) NOT NEW. The results of this search include pages that contain both the words MEXICO and UNIVERSITIES but do not contain the word NEW. (In Google, and many other search engines, the word “NOT” is replaced by the symbol “-”. In Google, the terms used for this last search would be MEXICO UNIVERSITIES -NEW.)

## LOGIC PUZZLES

Puzzles that can be solved using logical reasoning are known as **logic puzzles**. Solving logic puzzles is an excellent way to practice working with the rules of logic. Also, computer programs designed to carry out logical reasoning often use well-known logic puzzles to illustrate their capabilities. Many people enjoy solving logic puzzles, published in periodicals, books, and on the Web, as a recreational activity.

The next three examples present logic puzzles, in increasing level of difficulty. Many others can be found in the exercises. In Section 1.3 we will discuss the  $n$ -queens puzzle and the game of Sudoku.

# LOGIC PUZZLES

## EXAMPLE 7

As a reward for saving his daughter from pirates, the King has given you the opportunity to win a treasure hidden inside one of three trunks. The two trunks that do not hold the treasure are empty. To win, you must select the correct trunk. Trunks 1 and 2 are each inscribed with the message “This trunk is empty,” and Trunk 3 is inscribed with the message “The treasure is in Trunk 2.” The Queen, who never lies, tells you that only one of these inscriptions is true, while the other two are wrong. Which trunk should you select to win?

*Solution:* Let  $p_i$  be the proposition that the treasure is in Trunk  $i$ , for  $i = 1, 2, 3$ . To translate into propositional logic the Queen’s statement that exactly one of the inscriptions is true, we observe that the inscriptions on Trunk 1, Trunk 2, and Trunk 3, are  $\neg p_1$ ,  $\neg p_2$ , and  $p_2$ , respectively. So, her statement can be translated to

$$(\neg p_1 \wedge \neg(\neg p_2) \wedge \neg p_2) \vee (\neg(\neg p_1) \wedge \neg p_2 \wedge \neg p_2) \vee (\neg(\neg p_1) \wedge \neg(\neg p_2) \wedge p_2).$$

Using the rules for propositional logic, we see that this is equivalent to  $(p_1 \wedge \neg p_2) \vee (p_1 \wedge p_2)$ . By the distributive law,  $(p_1 \wedge \neg p_2) \vee (p_1 \wedge p_2)$  is equivalent to  $p_1 \wedge (\neg p_2 \vee p_2)$ . But because  $\neg p_2 \vee p_2$  must be true, this is then equivalent to  $p_1 \wedge \top$ , which is in turn equivalent to  $p_1$ . So the treasure is in Trunk 1 (that is,  $p_1$  is true), and  $p_2$  and  $p_3$  are false; and the inscription on Trunk 2 is the only true one. (Here, we have used the concept of propositional equivalence, which is discussed in Section 1.3.)

# LOGIC PUZZLES

## EXAMPLE 8

Extra Examples ➤

In [Sm78] Smullyan posed many puzzles about an island that has two kinds of inhabitants, knights, who always tell the truth, and their opposites, knaves, who always lie. You encounter two people  $A$  and  $B$ . What are  $A$  and  $B$  if  $A$  says “ $B$  is a knight” and  $B$  says “The two of us are opposite types”?

*Solution:* Let  $p$  and  $q$  be the statements that  $A$  is a knight and  $B$  is a knight, respectively, so that  $\neg p$  and  $\neg q$  are the statements that  $A$  is a knave and  $B$  is a knave, respectively.

We first consider the possibility that  $A$  is a knight; this is the statement that  $p$  is true. If  $A$  is a knight, then he is telling the truth when he says that  $B$  is a knight, so that  $q$  is true, and  $A$  and  $B$  are the same type. However, if  $B$  is a knight, then  $B$ 's statement that  $A$  and  $B$  are of opposite

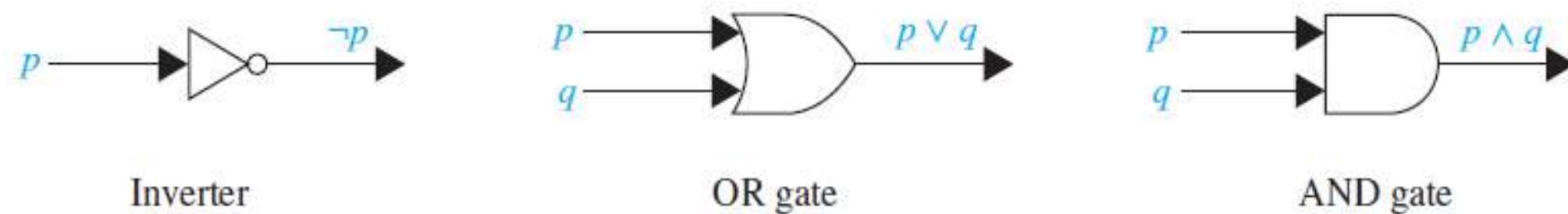
types, the statement  $(p \wedge \neg q) \vee (\neg p \wedge q)$ , would have to be true, which it is not, because  $A$  and  $B$  are both knights. Consequently, we can conclude that  $A$  is not a knight, that is, that  $p$  is false.

If  $A$  is a knave, then because everything a knave says is false,  $A$ 's statement that  $B$  is a knight, that is, that  $q$  is true, is a lie. This means that  $q$  is false and  $B$  is also a knave. Furthermore, if  $B$  is a knave, then  $B$ 's statement that  $A$  and  $B$  are opposite types is a lie, which is consistent with both  $A$  and  $B$  being knaves. We can conclude that both  $A$  and  $B$  are knaves.

# LOGIC CIRCUITS

A **logic circuit** (or **digital circuit**) receives input signals  $p_1, p_2, \dots, p_n$ , each a bit [either 0 (off) or 1 (on)], and produces output signals  $s_1, s_2, \dots, s_n$ , each a bit. In this section we will restrict our attention to logic circuits with a single output signal; in general, digital circuits may have multiple outputs.

Complicated digital circuits can be constructed from three basic circuits, called **gates**, shown in Figure 1. The **inverter**, or **NOT gate**, takes an input bit  $p$ , and produces as output  $\neg p$ . The **OR gate** takes two input signals  $p$  and  $q$ , each a bit, and produces as output the signal  $p \vee q$ . Finally, the **AND gate** takes two input signals  $p$  and  $q$ , each a bit, and produces as output the signal  $p \wedge q$ . We use combinations of these three basic gates to build more complicated circuits, such as that shown in Figure 2.



**FIGURE 1** Basic logic gates.

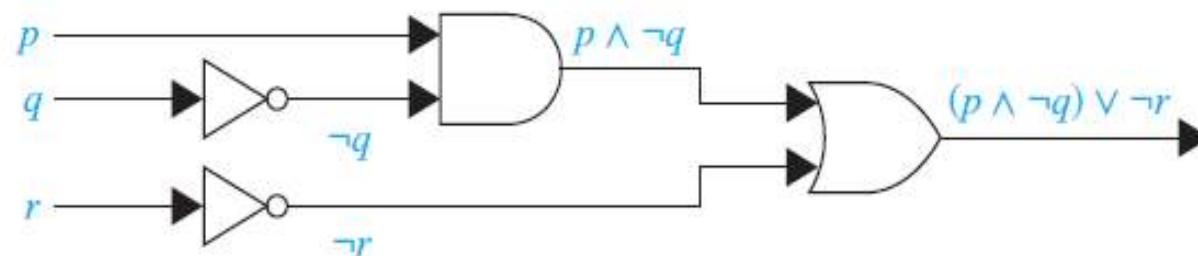
# LOGIC CIRCUITS

**EXAMPLE 10** Determine the output for the combinatorial circuit in Figure 2.

*Solution:* In Figure 2 we display the output of each logic gate in the circuit. We see that the AND gate takes input of  $p$  and  $\neg q$ , the output of the inverter with input  $q$ , and produces  $p \wedge \neg q$ . Next, we note that the OR gate takes input  $p \wedge \neg q$  and  $\neg r$ , the output of the inverter with input  $r$ , and produces the final output  $(p \wedge \neg q) \vee \neg r$ .

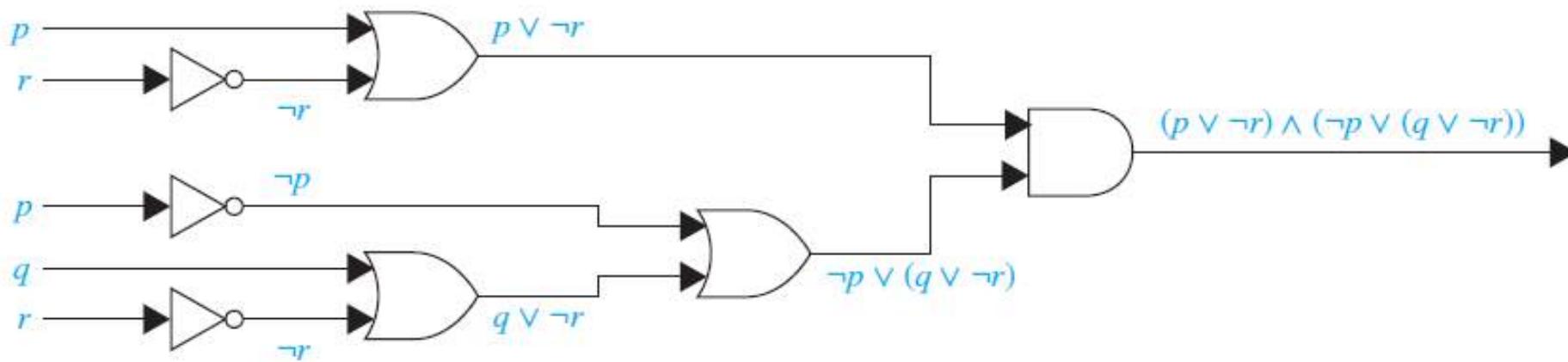


Suppose that we have a formula for the output of a digital circuit in terms of negations, disjunctions, and conjunctions. Then, we can systematically build a digital circuit with the desired output, as illustrated in Example 11.



**FIGURE 2** A combinatorial circuit.

# LOGIC CIRCUITS



**FIGURE 3** The circuit for  $(p \vee \neg r) \wedge (\neg p \vee (q \vee \neg r))$ .

**EXAMPLE 11** Build a digital circuit that produces the output  $(p \vee \neg r) \wedge (\neg p \vee (q \vee \neg r))$  when given input bits  $p$ ,  $q$ , and  $r$ .

*Solution:* To construct the desired circuit, we build separate circuits for  $p \vee \neg r$  and for  $\neg p \vee (q \vee \neg r)$  and combine them using an AND gate. To construct a circuit for  $p \vee \neg r$ , we use an inverter to produce  $\neg r$  from the input  $r$ . Then, we use an OR gate to combine  $p$  and  $\neg r$ . To build a circuit for  $\neg p \vee (q \vee \neg r)$ , we first use an inverter to obtain  $\neg r$ . Then we use an OR gate with inputs  $q$  and  $\neg r$  to obtain  $q \vee \neg r$ . Finally, we use another inverter and an OR gate to get  $\neg p \vee (q \vee \neg r)$  from the inputs  $p$  and  $q \vee \neg r$ .

To complete the construction, we employ a final AND gate, with inputs  $p \vee \neg r$  and  $\neg p \vee (q \vee \neg r)$ . The resulting circuit is displayed in Figure 3. 

# PROPOSITIONAL EQUIVALENCES

## Definition 1

A compound proposition that is always true, no matter what the truth values of the propositional variables that occur in it, is called a *tautology*. A compound proposition that is always false is called a *contradiction*. A compound proposition that is neither a tautology nor a contradiction is called a *contingency*.

Tautologies and contradictions are often important in mathematical reasoning. Example 1 illustrates these types of compound propositions.

## EXAMPLE 1

We can construct examples of tautologies and contradictions using just one propositional variable. Consider the truth tables of  $p \vee \neg p$  and  $p \wedge \neg p$ , shown in Table 1. Because  $p \vee \neg p$  is always true, it is a tautology. Because  $p \wedge \neg p$  is always false, it is a contradiction. 

**TABLE 1 Examples of a Tautology and a Contradiction.**

$p$	$\neg p$	$p \vee \neg p$	$p \wedge \neg p$
T	F	T	F
F	T	T	F

# LOGICAL EQUIVALENCES & DE MORGAN LAWS

Demo

Compound propositions that have the same truth values in all possible cases are called **logically equivalent**. We can also define this notion as follows.

## Definition 2

The compound propositions  $p$  and  $q$  are called *logically equivalent* if  $p \leftrightarrow q$  is a tautology. The notation  $p \equiv q$  denotes that  $p$  and  $q$  are logically equivalent.

**Remark:** The symbol  $\equiv$  is not a logical connective, and  $p \equiv q$  is not a compound proposition but rather is the statement that  $p \leftrightarrow q$  is a tautology. The symbol  $\Leftrightarrow$  is sometimes used instead of  $\equiv$  to denote logical equivalence.

Extra Examples

One way to determine whether two compound propositions are equivalent is to use a truth table. In particular, the compound propositions  $p$  and  $q$  are equivalent if and only if the columns giving their truth values agree. Example 2 illustrates this method to establish an extremely important and useful logical equivalence, namely, that of  $\neg(p \vee q)$  with  $\neg p \wedge \neg q$ . This logical equivalence is one of the two **De Morgan laws**, shown in Table 2, named after the English mathematician Augustus De Morgan, of the mid-nineteenth century.

# LOGICAL EQUIVALENCES

**TABLE 2 De Morgan's Laws.**

$$\neg(p \wedge q) \equiv \neg p \vee \neg q$$

$$\neg(p \vee q) \equiv \neg p \wedge \neg q$$

**EXAMPLE 2** Show that  $\neg(p \vee q)$  and  $\neg p \wedge \neg q$  are logically equivalent.

*Solution:* The truth tables for these compound propositions are displayed in Table 3. Because the truth values of the compound propositions  $\neg(p \vee q)$  and  $\neg p \wedge \neg q$  agree for all possible combinations of the truth values of  $p$  and  $q$ , it follows that  $\neg(p \vee q) \leftrightarrow (\neg p \wedge \neg q)$  is a tautology and that these compound propositions are logically equivalent. ◀

**TABLE 3 Truth Tables for  $\neg(p \vee q)$  and  $\neg p \wedge \neg q$ .**

$p$	$q$	$p \vee q$	$\neg(p \vee q)$	$\neg p$	$\neg q$	$\neg p \wedge \neg q$
T	T	T	F	F	F	F
T	F	T	F	F	T	F
F	T	T	F	T	F	F
F	F	F	T	T	T	T

# LOGICAL EQUIVALENCES

**EXAMPLE 3** Show that  $p \rightarrow q$  and  $\neg p \vee q$  are logically equivalent. (This is known as the **conditional-disjunction equivalence**.)

*Solution:* We construct the truth table for these compound propositions in Table 4. Because the truth values of  $\neg p \vee q$  and  $p \rightarrow q$  agree, they are logically equivalent. 

**TABLE 4** Truth Tables for  $\neg p \vee q$  and  $p \rightarrow q$ .

$p$	$q$	$\neg p$	$\neg p \vee q$	$p \rightarrow q$
T	T	F	T	T
T	F	F	F	F
F	T	T	T	T
F	F	T	T	T

# LOGICAL EQUIVALENCES

## EXAMPLE 4

Show that  $p \vee (q \wedge r)$  and  $(p \vee q) \wedge (p \vee r)$  are logically equivalent. This is the *distributive law* of disjunction over conjunction.

*Solution:* We construct the truth table for these compound propositions in Table 5. Because the truth values of  $p \vee (q \wedge r)$  and  $(p \vee q) \wedge (p \vee r)$  agree, these compound propositions are logically equivalent. 

**TABLE 5 A Demonstration That  $p \vee (q \wedge r)$  and  $(p \vee q) \wedge (p \vee r)$  Are Logically Equivalent.**

$p$	$q$	$r$	$q \wedge r$	$p \vee (q \wedge r)$	$p \vee q$	$p \vee r$	$(p \vee q) \wedge (p \vee r)$
T	T	T	T	T	T	T	T
T	T	F	F	T	T	T	T
T	F	T	F	T	T	T	T
T	F	F	F	T	T	T	T
F	T	T	T	T	T	T	T
F	T	F	F	F	T	F	F
F	F	T	F	F	F	T	F
F	F	F	F	F	F	F	F

**TABLE 6** Logical Equivalences.

<i>Equivalence</i>	<i>Name</i>
$p \wedge T \equiv p$ $p \vee F \equiv p$	Identity laws
$p \vee T \equiv T$ $p \wedge F \equiv F$	Domination laws
$p \vee p \equiv p$ $p \wedge p \equiv p$	Idempotent laws
$\neg(\neg p) \equiv p$	Double negation law
$p \vee q \equiv q \vee p$ $p \wedge q \equiv q \wedge p$	Commutative laws
$(p \vee q) \vee r \equiv p \vee (q \vee r)$ $(p \wedge q) \wedge r \equiv p \wedge (q \wedge r)$	Associative laws
$p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$ $p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$	Distributive laws
$\neg(p \wedge q) \equiv \neg p \vee \neg q$ $\neg(p \vee q) \equiv \neg p \wedge \neg q$	De Morgan's laws
$p \vee (p \wedge q) \equiv p$ $p \wedge (p \vee q) \equiv p$	Absorption laws
$p \vee \neg p \equiv T$ $p \wedge \neg p \equiv F$	Negation laws

# LOGICAL EQUIVALENCES

**TABLE 7** Logical Equivalences Involving Conditional Statements.

$$p \rightarrow q \equiv \neg p \vee q$$

$$p \rightarrow q \equiv \neg q \rightarrow \neg p$$

$$p \vee q \equiv \neg p \rightarrow q$$

$$p \wedge q \equiv \neg(p \rightarrow \neg q)$$

$$\neg(p \rightarrow q) \equiv p \wedge \neg q$$

$$(p \rightarrow q) \wedge (p \rightarrow r) \equiv p \rightarrow (q \wedge r)$$

$$(p \rightarrow r) \wedge (q \rightarrow r) \equiv (p \vee q) \rightarrow r$$

$$(p \rightarrow q) \vee (p \rightarrow r) \equiv p \rightarrow (q \vee r)$$

$$(p \rightarrow r) \vee (q \rightarrow r) \equiv (p \wedge q) \rightarrow r$$

**TABLE 8** Logical Equivalences Involving Biconditional Statements.

$$p \leftrightarrow q \equiv (p \rightarrow q) \wedge (q \rightarrow p)$$

$$p \leftrightarrow q \equiv \neg p \leftrightarrow \neg q$$

$$p \leftrightarrow q \equiv (p \wedge q) \vee (\neg p \wedge \neg q)$$

$$\neg(p \leftrightarrow q) \equiv p \leftrightarrow \neg q$$

# LOGICAL EQUIVALENCES

## EXAMPLE 5

Use De Morgan's laws to express the negations of "Miguel has a cellphone and he has a laptop computer" and "Heather will go to the concert or Steve will go to the concert."

## Assessment ➤

*Solution:* Let  $p$  be "Miguel has a cellphone" and  $q$  be "Miguel has a laptop computer." Then "Miguel has a cellphone and he has a laptop computer" can be represented by  $p \wedge q$ . By the first of De Morgan's laws,  $\neg(p \wedge q)$  is equivalent to  $\neg p \vee \neg q$ . Consequently, we can express the negation of our original statement as "Miguel does not have a cellphone or he does not have a laptop computer."

Let  $r$  be "Heather will go to the concert" and  $s$  be "Steve will go to the concert." Then "Heather will go to the concert or Steve will go to the concert" can be represented by  $r \vee s$ . By the second of De Morgan's laws,  $\neg(r \vee s)$  is equivalent to  $\neg r \wedge \neg s$ . Consequently, we can express the negation of our original statement as "Heather will not go to the concert and Steve will not go to the concert." ◀

# CONSTRUCTING NEW LOGICAL EQUIVALENCES

## EXAMPLE 6

Show that  $\neg(p \rightarrow q)$  and  $p \wedge \neg q$  are logically equivalent.

Extra Examples ➤

*Solution:* We could use a truth table to show that these compound propositions are equivalent (similar to what we did in Example 4). Indeed, it would not be hard to do so. However, we want to illustrate how to use logical identities that we already know to establish new logical identities, something that is of practical importance for establishing equivalences of compound propositions with a large number of variables. So, we will establish this equivalence by developing a series of logical equivalences, using one of the equivalences in Table 6 at a time, starting with  $\neg(p \rightarrow q)$  and ending with  $p \wedge \neg q$ . We have the following equivalences.

$$\begin{aligned}\neg(p \rightarrow q) &\equiv \neg(\neg p \vee q) && \text{by the conditional-disjunction equivalence (Example 3)} \\ &\equiv \neg(\neg p) \wedge \neg q && \text{by the second De Morgan law} \\ &\equiv p \wedge \neg q && \text{by the double negation law}\end{aligned}$$



# CONSTRUCTING NEW LOGICAL EQUIVALENCES

**EXAMPLE 7** Show that  $\neg(p \vee (\neg p \wedge q))$  and  $\neg p \wedge \neg q$  are logically equivalent by developing a series of logical equivalences.

$$\begin{aligned}\neg(p \vee (\neg p \wedge q)) &\equiv \neg p \wedge \neg(\neg p \wedge q) && \text{by the second De Morgan law} \\ &\equiv \neg p \wedge [\neg(\neg p) \vee \neg q] && \text{by the first De Morgan law} \\ &\equiv \neg p \wedge (p \vee \neg q) && \text{by the double negation law} \\ &\equiv (\neg p \wedge p) \vee (\neg p \wedge \neg q) && \text{by the second distributive law} \\ &\equiv \mathbf{F} \vee (\neg p \wedge \neg q) && \text{because } \neg p \wedge p \equiv \mathbf{F} \\ &\equiv (\neg p \wedge \neg q) \vee \mathbf{F} && \text{by the commutative law for disjunction} \\ &\equiv \neg p \wedge \neg q && \text{by the identity law for } \mathbf{F}\end{aligned}$$

Consequently  $\neg(p \vee (\neg p \wedge q))$  and  $\neg p \wedge \neg q$  are logically equivalent. 

# CONSTRUCTING NEW LOGICAL EQUIVALENCES

**EXAMPLE 8** Show that  $(p \wedge q) \rightarrow (p \vee q)$  is a tautology.

*Solution:* To show that this statement is a tautology, we will use logical equivalences to demonstrate that it is logically equivalent to **T**. (Note: This could also be done using a truth table.)

$$\begin{aligned}(p \wedge q) \rightarrow (p \vee q) &\equiv \neg(p \wedge q) \vee (p \vee q) && \text{by Example 3} \\ &\equiv (\neg p \vee \neg q) \vee (p \vee q) && \text{by the first De Morgan law} \\ &\equiv (\neg p \vee p) \vee (\neg q \vee q) && \text{by the associative and commutative} \\ &&& \text{laws for disjunction} \\ &\equiv T \vee T && \text{by Example 1 and the commutative} \\ &&& \text{law for disjunction} \\ &\equiv T && \text{by the domination law}\end{aligned}$$



## SATISFIABILITY

A compound proposition is **satisfiable** if there is an assignment of truth values to its variables that makes it true (that is, when it is a tautology or a contingency). When no such assignments exists, that is, when the compound proposition is false for all assignments of truth values to its variables, the compound proposition is **unsatisfiable**. Note that a compound proposition is unsatisfiable if and only if its negation is true for all assignments of truth values to the variables, that is, if and only if its negation is a tautology.

When we find a particular assignment of truth values that makes a compound proposition true, we have shown that it is satisfiable; such an assignment is called a **solution** of this particular satisfiability problem. However, to show that a compound proposition is unsatisfiable, we need to show that *every* assignment of truth values to its variables makes it false. Although we can always use a truth table to determine whether a compound proposition is satisfiable, it is often more efficient not to, as Example 9 demonstrates.

# SATISFIABILITY

## EXAMPLE 9

Determine whether each of the compound propositions  $(p \vee \neg q) \wedge (q \vee \neg r) \wedge (r \vee \neg p)$ ,  $(p \vee q \vee r) \wedge (\neg p \vee \neg q \vee \neg r)$ , and  $(p \vee \neg q) \wedge (q \vee \neg r) \wedge (r \vee \neg p) \wedge (p \vee q \vee r) \wedge (\neg p \vee \neg q \vee \neg r)$  is satisfiable.

*Solution:* Instead of using a truth table to solve this problem, we will reason about truth values. Note that  $(p \vee \neg q) \wedge (q \vee \neg r) \wedge (r \vee \neg p)$  is true when the three variables  $p$ ,  $q$ , and  $r$  have the same truth value (see Exercise 42 of Section 1.1). Hence, it is satisfiable as there is at least one assignment of truth values for  $p$ ,  $q$ , and  $r$  that makes it true. Similarly, note that  $(p \vee q \vee r) \wedge (\neg p \vee \neg q \vee \neg r)$  is true when at least one of  $p$ ,  $q$ , and  $r$  is true and at least one is false (see Exercise 43 of Section 1.1). Hence,  $(p \vee q \vee r) \wedge (\neg p \vee \neg q \vee \neg r)$  is satisfiable, as there is at least one assignment of truth values for  $p$ ,  $q$ , and  $r$  that makes it true.

Finally, note that for  $(p \vee \neg q) \wedge (q \vee \neg r) \wedge (r \vee \neg p) \wedge (p \vee q \vee r) \wedge (\neg p \vee \neg q \vee \neg r)$  to be true,  $(p \vee \neg q) \wedge (q \vee \neg r) \wedge (r \vee \neg p)$  and  $(p \vee q \vee r) \wedge (\neg p \vee \neg q \vee \neg r)$  must both be true. For the first to be true, the three variables must have the same truth values, and for the second to be true, at least one of the three variables must be true and at least one must be false. However, these conditions are contradictory. From these observations we conclude that no assignment of truth values to  $p$ ,  $q$ , and  $r$  makes  $(p \vee \neg q) \wedge (q \vee \neg r) \wedge (r \vee \neg p) \wedge (p \vee q \vee r) \wedge (\neg p \vee \neg q \vee \neg r)$  true. Hence, it is unsatisfiable. 

# Quantifiers & Nested Quantifiers

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# PREDICATES AND QUANTIFIERS

Propositional logic, studied in Sections 1.1–1.3, cannot adequately express the meaning of all statements in mathematics and in natural language. For example, suppose that we know that

“Every computer connected to the university network is functioning properly.”

No rules of propositional logic allow us to conclude the truth of the statement

“MATH3 is functioning properly,”

where MATH3 is one of the computers connected to the university network. Likewise, we cannot use the rules of propositional logic to conclude from the statement

“CS2 is under attack by an intruder,”

where CS2 is a computer on the university network, to conclude the truth of

“There is a computer on the university network that is under attack by an intruder.”

In this section we will introduce a more powerful type of logic called **predicate logic**. We will see how predicate logic can be used to express the meaning of a wide range of statements in mathematics and computer science in ways that permit us to reason and explore relationships between objects. To understand predicate logic, we first need to introduce the concept of a

# PREDICATES AND QUANTIFIERS

## Predicates

Statements involving variables, such as

$$“x > 3,” \quad “x = y + 3,” \quad “x + y = z,”$$

and

“Computer  $x$  is under attack by an intruder,”

and

“Computer  $x$  is functioning properly,”

are often found in mathematical assertions, in computer programs, and in system specifications. These statements are neither true nor false when the values of the variables are not specified. In this section, we will discuss the ways that propositions can be produced from such statements.

The statement “ $x$  is greater than 3” has two parts. The first part, the variable  $x$ , is the subject of the statement. The second part—the **predicate**, “is greater than 3”—refers to a property that the subject of the statement can have. We can denote the statement “ $x$  is greater than 3” by  $P(x)$ , where  $P$  denotes the predicate “is greater than 3” and  $x$  is the variable. The statement  $P(x)$  is also said to be the value of the **propositional function**  $P$  at  $x$ . Once a value has been assigned to the variable  $x$ , the statement  $P(x)$  becomes a proposition and has a truth value. Consider Examples 1 and 2.

# PREDICATES AND QUANTIFIERS

**EXAMPLE 1** Let  $P(x)$  denote the statement “ $x > 3$ .” What are the truth values of  $P(4)$  and  $P(2)$ ?

*Solution:* We obtain the statement  $P(4)$  by setting  $x = 4$  in the statement “ $x > 3$ .” Hence,  $P(4)$ , which is the statement “ $4 > 3$ ,” is true. However,  $P(2)$ , which is the statement “ $2 > 3$ ,” is false. 

**EXAMPLE 2** Let  $A(x)$  denote the statement “Computer  $x$  is under attack by an intruder.” Suppose that of the computers on campus, only CS2 and MATH1 are currently under attack by intruders. What are truth values of  $A(\text{CS1})$ ,  $A(\text{CS2})$ , and  $A(\text{MATH1})$ ?

*Solution:* We obtain the statement  $A(\text{CS1})$  by setting  $x = \text{CS1}$  in the statement “Computer  $x$  is under attack by an intruder.” Because CS1 is not on the list of computers currently under attack, we conclude that  $A(\text{CS1})$  is false. Similarly, because CS2 and MATH1 are on the list of computers under attack, we know that  $A(\text{CS2})$  and  $A(\text{MATH1})$  are true. 

# PREDICATES AND QUANTIFIERS

We can also have statements that involve more than one variable. For instance, consider the statement “ $x = y + 3$ .” We can denote this statement by  $Q(x, y)$ , where  $x$  and  $y$  are variables and  $Q$  is the predicate. When values are assigned to the variables  $x$  and  $y$ , the statement  $Q(x, y)$  has a truth value.

## EXAMPLE 3

*Extra Examples* ➤

Let  $Q(x, y)$  denote the statement “ $x = y + 3$ .” What are the truth values of the propositions  $Q(1, 2)$  and  $Q(3, 0)$ ?

*Solution:* To obtain  $Q(1, 2)$ , set  $x = 1$  and  $y = 2$  in the statement  $Q(x, y)$ . Hence,  $Q(1, 2)$  is the statement “ $1 = 2 + 3$ ,” which is false. The statement  $Q(3, 0)$  is the proposition “ $3 = 0 + 3$ ,” which is true. ◀

## EXAMPLE 4

Let  $A(c, n)$  denote the statement “Computer  $c$  is connected to network  $n$ ,” where  $c$  is a variable representing a computer and  $n$  is a variable representing a network. Suppose that the computer MATH1 is connected to network CAMPUS2, but not to network CAMPUS1. What are the values of  $A(\text{MATH1}, \text{CAMPUS1})$  and  $A(\text{MATH1}, \text{CAMPUS2})$ ?

*Solution:* Because MATH1 is not connected to the CAMPUS1 network, we see that  $A(\text{MATH1}, \text{CAMPUS1})$  is false. However, because MATH1 is connected to the CAMPUS2 network, we see that  $A(\text{MATH1}, \text{CAMPUS2})$  is true. ◀

# PREDICATES AND QUANTIFIERS

Similarly, we can let  $R(x, y, z)$  denote the statement “ $x + y = z$ .” When values are assigned to the variables  $x$ ,  $y$ , and  $z$ , this statement has a truth value.

**EXAMPLE 5** What are the truth values of the propositions  $R(1, 2, 3)$  and  $R(0, 0, 1)$ ?

*Solution:* The proposition  $R(1, 2, 3)$  is obtained by setting  $x = 1$ ,  $y = 2$ , and  $z = 3$  in the statement  $R(x, y, z)$ . We see that  $R(1, 2, 3)$  is the statement “ $1 + 2 = 3$ ,” which is true. Also note that  $R(0, 0, 1)$ , which is the statement “ $0 + 0 = 1$ ,” is false. ◀

In general, a statement involving the  $n$  variables  $x_1, x_2, \dots, x_n$  can be denoted by

$$P(x_1, x_2, \dots, x_n).$$

A statement of the form  $P(x_1, x_2, \dots, x_n)$  is the value of the **propositional function**  $P$  at the  $n$ -tuple  $(x_1, x_2, \dots, x_n)$ , and  $P$  is also called an  **$n$ -place predicate** or an  **$n$ -ary predicate**.

Propositional functions occur in computer programs, as Example 6 demonstrates.

# PREDICATES AND QUANTIFIERS

**EXAMPLE 6** Consider the statement

**if**  $x > 0$  **then**  $x := x + 1.$

When this statement is encountered in a program, the value of the variable  $x$  at that point in the execution of the program is inserted into  $P(x)$ , which is “ $x > 0$ .” If  $P(x)$  is true for this value of  $x$ , the assignment statement  $x := x + 1$  is executed, so the value of  $x$  is increased by 1. If  $P(x)$  is false for this value of  $x$ , the assignment statement is not executed, so the value of  $x$  is not changed.



# PREDICATES AND QUANTIFIERS

**EXAMPLE 7** Consider the following program, designed to interchange the values of two variables  $x$  and  $y$ .

```
temp := x  
x := y  
y := temp
```

Find predicates that we can use as the precondition and the postcondition to verify the correctness of this program. Then explain how to use them to verify that for all valid input the program does what is intended.

*Solution:* For the precondition, we need to express that  $x$  and  $y$  have particular values before we run the program. So, for this precondition we can use the predicate  $P(x, y)$ , where  $P(x, y)$  is the statement “ $x = a$  and  $y = b$ ,” where  $a$  and  $b$  are the values of  $x$  and  $y$  before we run the program. Because we want to verify that the program swaps the values of  $x$  and  $y$  for all input values, for the postcondition we can use  $Q(x, y)$ , where  $Q(x, y)$  is the statement “ $x = b$  and  $y = a$ .”

To verify that the program always does what it is supposed to do, suppose that the precondition  $P(x, y)$  holds. That is, we suppose that the statement “ $x = a$  and  $y = b$ ” is true. This means that  $x = a$  and  $y = b$ . The first step of the program,  $temp := x$ , assigns the value of  $x$  to the variable  $temp$ , so after this step we know that  $x = a$ ,  $temp = a$ , and  $y = b$ . After the second step of the program,  $x := y$ , we know that  $x = b$ ,  $temp = a$ , and  $y = b$ . Finally, after the third step, we know that  $x = b$ ,  $temp = a$ , and  $y = a$ . Consequently, after this program is run, the postcondition  $Q(x, y)$  holds, that is, the statement “ $x = b$  and  $y = a$ ” is true. ◀

# PREDICATES AND QUANTIFIERS

## Definition 1

The *universal quantification* of  $P(x)$  is the statement

“ $P(x)$  for all values of  $x$  in the domain.”

The notation  $\forall xP(x)$  denotes the universal quantification of  $P(x)$ . Here  $\forall$  is called the **universal quantifier**. We read  $\forall xP(x)$  as “for all  $xP(x)$ ” or “for every  $xP(x)$ .” An element for which  $P(x)$  is false is called a **counterexample** to  $\forall xP(x)$ .

## EXAMPLE 8

*Extra Examples* ➤

Let  $P(x)$  be the statement “ $x + 1 > x$ .” What is the truth value of the quantification  $\forall xP(x)$ , where the domain consists of all real numbers?

*Solution:* Because  $P(x)$  is true for all real numbers  $x$ , the quantification

$$\forall xP(x)$$

is true.



# PREDICATES AND QUANTIFIERS

TABLE 1 Quantifiers.

<i>Statement</i>	<i>When True?</i>	<i>When False?</i>
$\forall xP(x)$	$P(x)$ is true for every $x$ .	There is an $x$ for which $P(x)$ is false.
$\exists xP(x)$	There is an $x$ for which $P(x)$ is true.	$P(x)$ is false for every $x$ .

**EXAMPLE 9** Let  $Q(x)$  be the statement “ $x < 2$ .” What is the truth value of the quantification  $\forall xQ(x)$ , where the domain consists of all real numbers?

*Solution:*  $Q(x)$  is not true for every real number  $x$ , because, for instance,  $Q(3)$  is false. That is,  $x = 3$  is a counterexample for the statement  $\forall xQ(x)$ . Thus,

$$\forall xQ(x)$$

is false. 

**EXAMPLE 10** Suppose that  $P(x)$  is “ $x^2 > 0$ .” To show that the statement  $\forall xP(x)$  is false where the universe of discourse consists of all integers, we give a counterexample. We see that  $x = 0$  is a counterexample because  $x^2 = 0$  when  $x = 0$ , so that  $x^2$  is not greater than 0 when  $x = 0$ . 

# PREDICATES AND QUANTIFIERS

## EXAMPLE 11

What does the statement  $\forall xN(x)$  mean if  $N(x)$  is “Computer  $x$  is connected to the network” and the domain consists of all computers on campus?

*Solution:* The statement  $\forall xN(x)$  means that for every computer  $x$  on campus, that computer  $x$  is connected to the network. This statement can be expressed in English as “Every computer on campus is connected to the network.” ◀

As we have pointed out, specifying the domain is mandatory when quantifiers are used. The truth value of a quantified statement often depends on which elements are in this domain, as Example 12 shows.

## EXAMPLE 12

What is the truth value of  $\forall x(x^2 \geq x)$  if the domain consists of all real numbers? What is the truth value of this statement if the domain consists of all integers?

*Solution:* The universal quantification  $\forall x(x^2 \geq x)$ , where the domain consists of all real numbers, is false. For example,  $(\frac{1}{2})^2 \not\geq \frac{1}{2}$ . Note that  $x^2 \geq x$  if and only if  $x^2 - x = x(x - 1) \geq 0$ . Consequently,  $x^2 \geq x$  if and only if  $x \leq 0$  or  $x \geq 1$ . It follows that  $\forall x(x^2 \geq x)$  is false if the domain consists of all real numbers (because the inequality is false for all real numbers  $x$  with  $0 < x < 1$ ). However, if the domain consists of the integers,  $\forall x(x^2 \geq x)$  is true, because there are no integers  $x$  with  $0 < x < 1$ . ◀

# PREDICATES AND QUANTIFIERS

## Definition 2

The *existential quantification* of  $P(x)$  is the proposition

“There exists an element  $x$  in the domain such that  $P(x)$ .”

We use the notation  $\exists xP(x)$  for the existential quantification of  $P(x)$ . Here  $\exists$  is called the *existential quantifier*.

## EXAMPLE 13

Extra Examples ➤

Let  $P(x)$  denote the statement “ $x > 3$ .” What is the truth value of the quantification  $\exists xP(x)$ , where the domain consists of all real numbers?

*Solution:* Because “ $x > 3$ ” is sometimes true—for instance, when  $x = 4$ —the existential quantification of  $P(x)$ , which is  $\exists xP(x)$ , is true. ◀

Observe that the statement  $\exists xP(x)$  is false if and only if there is no element  $x$  in the domain for which  $P(x)$  is true. That is,  $\exists xP(x)$  is false if and only if  $P(x)$  is false for every element of the domain. We illustrate this observation in Example 14.

## EXAMPLE 14

Let  $Q(x)$  denote the statement “ $x = x + 1$ .” What is the truth value of the quantification  $\exists xQ(x)$ , where the domain consists of all real numbers?

*Solution:* Because  $Q(x)$  is false for every real number  $x$ , the existential quantification of  $Q(x)$ , which is  $\exists xQ(x)$ , is false. ◀

# RULES OF INFERENCE

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# VALID ARGUMENTS IN PROPOSITIONAL LOGIC

Consider the following argument involving propositions (which, by definition, is a sequence of propositions):

“If you have a current password, then you can log onto the network.”

“You have a current password.”

Therefore,

“You can log onto the network.”

We would like to determine whether this is a valid argument. That is, we would like to determine whether the conclusion “You can log onto the network” must be true when the premises “If you have a current password, then you can log onto the network” and “You have a current password” are both true.

Before we discuss the validity of this particular argument, we will look at its form. Use  $p$  to represent “You have a current password” and  $q$  to represent “You can log onto the network.” Then, the argument has the form

$$\begin{array}{c} p \rightarrow q \\ p \\ \hline \therefore q \end{array}$$

where  $\therefore$  is the symbol that denotes “therefore.”

# VALID ARGUMENTS IN PROPOSITIONAL LOGIC

What happens when we replace  $p$  and  $q$  in this argument form by propositions where not both  $p$  and  $p \rightarrow q$  are true? For example, suppose that  $p$  represents “You have access to the network” and  $q$  represents “You can change your grade” and that  $p$  is true, but  $p \rightarrow q$  is false. The argument we obtain by substituting these values of  $p$  and  $q$  into the argument form is

“If you have access to the network, then you can change your grade.”

“You have access to the network.”

---

∴ “You can change your grade.”

The argument we obtained is a valid argument, but because one of the premises, namely the first premise, is false, we cannot conclude that the conclusion is true. (Most likely, this conclusion is false.)

## Definition 1

An *argument* in propositional logic is a sequence of propositions. All but the final proposition in the argument are called *premises* and the final proposition is called the *conclusion*. An argument is *valid* if the truth of all its premises implies that the conclusion is true.

An *argument form* in propositional logic is a sequence of compound propositions involving propositional variables. An argument form is *valid* if no matter which particular propositions are substituted for the propositional variables in its premises, the conclusion is true if the premises are all true.

**Remark:** From the definition of a valid argument form we see that the argument form with premises  $p_1, p_2, \dots, p_n$  and conclusion  $q$  is valid exactly when  $(p_1 \wedge p_2 \wedge \dots \wedge p_n) \rightarrow q$  is a tautology.

# RULES OF INFERENCE FOR PROPOSITIONAL LOGIC

- We can always use a truth table to show that an argument form is valid. We do this by showing that whenever the premises are true, the conclusion must also be true.
- However, this can be a tedious approach.
- **For example**, when an argument form involves 10 different propositional variables, to use a truth table to show this argument form is valid requires  $2^{10} = 1024$  different rows.
- Fortunately, we do not have to resort to truth tables. Instead, we can first establish the validity of some relatively simple argument forms, called **rules of inference**.

The tautology  $(p \wedge (p \rightarrow q)) \rightarrow q$  is the basis of the rule of inference called **modus ponens**, or the **law of detachment**. (Modus ponens is Latin for *mode that affirms*.) This tautology leads to the following valid argument form, which we have already seen in our initial discussion about arguments (where, as before, the symbol  $\therefore$  denotes “therefore”):

$$\begin{array}{c} p \\ p \rightarrow q \\ \hline \therefore q \end{array}$$

Using this notation, the hypotheses are written in a column, followed by a horizontal bar, followed by a line that begins with the therefore symbol and ends with the conclusion. In particular,

# RULES OF INFERENCE FOR PROPOSITIONAL LOGIC

**EXAMPLE 1** Suppose that the conditional statement “If it snows today, then we will go skiing” and its hypothesis, “It is snowing today,” are true. Then, by modus ponens, it follows that the conclusion of the conditional statement, “We will go skiing,” is true. 

As we mentioned earlier, a valid argument can lead to an incorrect conclusion if one or more of its premises is false. We illustrate this again in Example 2.

**EXAMPLE 2** Determine whether the argument given here is valid and determine whether its conclusion must be true because of the validity of the argument.

“If  $\sqrt{2} > \frac{3}{2}$ , then  $(\sqrt{2})^2 > \left(\frac{3}{2}\right)^2$ . We know that  $\sqrt{2} > \frac{3}{2}$ . Consequently,  
 $(\sqrt{2})^2 = 2 > \left(\frac{3}{2}\right)^2 = \frac{9}{4}$ .”

*Solution:* Let  $p$  be the proposition “ $\sqrt{2} > \frac{3}{2}$ ” and  $q$  the proposition “ $2 > (\frac{3}{2})^2$ .” The premises of the argument are  $p \rightarrow q$  and  $p$ , and  $q$  is its conclusion. This argument is valid because it is constructed by using modus ponens, a valid argument form. However, one of its premises,  $\sqrt{2} > \frac{3}{2}$ , is false. Consequently, we cannot conclude that the conclusion is true. Furthermore, note that the conclusion of this argument is false, because  $2 < \frac{9}{4}$ . 

# RULES OF INFERENCE FOR PROPOSITIONAL LOGIC

## EXAMPLE 3

State which rule of inference is the basis of the following argument: “It is below freezing now. Therefore, it is below freezing or raining now.”

*Solution:* Let  $p$  be the proposition “It is below freezing now,” and let  $q$  be the proposition “It is raining now.” Then this argument is of the form

$$p$$

$$\therefore \underline{p \vee q}$$

# RULES OF INFERENCE FOR PROPOSITIONAL LOGIC

**TABLE 1** Rules of Inference.

<i>Rule of Inference</i>	<i>Tautology</i>	<i>Name</i>
$\begin{array}{l} p \\ p \rightarrow q \\ \hline \therefore q \end{array}$	$(p \wedge (p \rightarrow q)) \rightarrow q$	Modus ponens
$\begin{array}{l} \neg q \\ p \rightarrow q \\ \hline \therefore \neg p \end{array}$	$(\neg q \wedge (p \rightarrow q)) \rightarrow \neg p$	Modus tollens
$\begin{array}{l} p \rightarrow q \\ q \rightarrow r \\ \hline \therefore p \rightarrow r \end{array}$	$((p \rightarrow q) \wedge (q \rightarrow r)) \rightarrow (p \rightarrow r)$	Hypothetical syllogism
$\begin{array}{l} p \vee q \\ \neg p \\ \hline \therefore q \end{array}$	$((p \vee q) \wedge \neg p) \rightarrow q$	Disjunctive syllogism
$\begin{array}{l} p \\ \hline \therefore p \vee q \end{array}$	$p \rightarrow (p \vee q)$	Addition
$\begin{array}{l} p \wedge q \\ \hline \therefore p \end{array}$	$(p \wedge q) \rightarrow p$	Simplification
$\begin{array}{l} p \\ q \\ \hline \therefore p \wedge q \end{array}$	$((p) \wedge (q)) \rightarrow (p \wedge q)$	Conjunction
$\begin{array}{l} p \vee q \\ \neg p \vee r \\ \hline \therefore q \vee r \end{array}$	$((p \vee q) \wedge (\neg p \vee r)) \rightarrow (q \vee r)$	Resolution

# RULES OF INFERENCE FOR PROPOSITIONAL LOGIC

**EXAMPLE 4** State which rule of inference is the basis of the following argument: “It is below freezing and raining now. Therefore, it is below freezing now.”

*Solution:* Let  $p$  be the proposition “It is below freezing now,” and let  $q$  be the proposition “It is raining now.” This argument is of the form

$$\begin{array}{c} p \wedge q \\ \therefore p \end{array}$$

This argument uses the simplification rule.

**EXAMPLE 5** State which rule of inference is used in the argument:

If it rains today, then we will not have a barbecue today. If we do not have a barbecue today, then we will have a barbecue tomorrow. Therefore, if it rains today, then we will have a barbecue tomorrow.

*Solution:* Let  $p$  be the proposition “It is raining today,” let  $q$  be the proposition “We will not have a barbecue today,” and let  $r$  be the proposition “We will have a barbecue tomorrow.” Then this argument is of the form

$$\begin{array}{c} p \rightarrow q \\ q \rightarrow r \\ \therefore p \rightarrow r \end{array}$$

Hence, this argument is a hypothetical syllogism.

# RULES OF INFERENCE FOR PROPOSITIONAL LOGIC

## EXAMPLE 6

Extra Examples ➤

Show that the premises “It is not sunny this afternoon and it is colder than yesterday,” “We will go swimming only if it is sunny,” “If we do not go swimming, then we will take a canoe trip,” and “If we take a canoe trip, then we will be home by sunset” lead to the conclusion “We will be home by sunset.”

*Solution:* Let  $p$  be the proposition “It is sunny this afternoon,”  $q$  the proposition “It is colder than yesterday,”  $r$  the proposition “We will go swimming,”  $s$  the proposition “We will take a canoe trip,” and  $t$  the proposition “We will be home by sunset.” Then the premises become  $\neg p \wedge q$ ,  $r \rightarrow p$ ,  $\neg r \rightarrow s$ , and  $s \rightarrow t$ . The conclusion is simply  $t$ . We need to give a valid argument with premises  $\neg p \wedge q$ ,  $r \rightarrow p$ ,  $\neg r \rightarrow s$ , and  $s \rightarrow t$  and conclusion  $t$ .

We construct an argument to show that our premises lead to the desired conclusion as follows.

Step	Reason
1. $\neg p \wedge q$	Premise
2. $\neg p$	Simplification using (1)
3. $r \rightarrow p$	Premise
4. $\neg r$	Modus tollens using (2) and (3)
5. $\neg r \rightarrow s$	Premise
6. $s$	Modus ponens using (4) and (5)
7. $s \rightarrow t$	Premise
8. $t$	Modus ponens using (6) and (7)

Note that we could have used a truth table to show that whenever each of the four hypotheses is true, the conclusion is also true. However, because we are working with five propositional variables,  $p$ ,  $q$ ,  $r$ ,  $s$ , and  $t$ , such a truth table would have 32 rows.

# RULES OF INFERENCE FOR PROPOSITIONAL LOGIC

## EXAMPLE 7

Show that the premises “If you send me an e-mail message, then I will finish writing the program,” “If you do not send me an e-mail message, then I will go to sleep early,” and “If I go to sleep early, then I will wake up feeling refreshed” lead to the conclusion “If I do not finish writing the program, then I will wake up feeling refreshed.”

*Solution:* Let  $p$  be the proposition “You send me an e-mail message,”  $q$  the proposition “I will finish writing the program,”  $r$  the proposition “I will go to sleep early,” and  $s$  the proposition “I will wake up feeling refreshed.” Then the premises are  $p \rightarrow q$ ,  $\neg p \rightarrow r$ , and  $r \rightarrow s$ . The desired conclusion is  $\neg q \rightarrow s$ . We need to give a valid argument with premises  $p \rightarrow q$ ,  $\neg p \rightarrow r$ , and  $r \rightarrow s$  and conclusion  $\neg q \rightarrow s$ .

This argument form shows that the premises lead to the desired conclusion.

### Step

1.  $p \rightarrow q$
2.  $\neg q \rightarrow \neg p$
3.  $\neg p \rightarrow r$
4.  $\neg q \rightarrow r$
5.  $r \rightarrow s$
6.  $\neg q \rightarrow s$

### Reason

- |  |
|--|
| Premise                                  |
| Contrapositive of (1)                    |
| Premise                                  |
| Hypothetical syllogism using (2) and (3) |
| Premise                                  |
| Hypothetical syllogism using (4) and (5) |



# RESOLUTION

Computer programs have been developed to automate the task of reasoning and proving theorems. Many of these programs make use of a rule of inference known as **resolution**. This rule of inference is based on the tautology

Links 

$$((p \vee q) \wedge (\neg p \vee r)) \rightarrow (q \vee r).$$

(Exercise 34 in Section 1.3 asks for the verification that this is a tautology.) The final disjunction in the resolution rule,  $q \vee r$ , is called the **resolvent**. When we let  $q = r$  in this tautology, we obtain  $(p \vee q) \wedge (\neg p \vee q) \rightarrow q$ . Furthermore, when we let  $r = \mathbf{F}$ , we obtain  $(p \vee q) \wedge (\neg p) \rightarrow q$  (because  $q \vee \mathbf{F} \equiv q$ ), which is the tautology on which the rule of disjunctive syllogism is based.

## EXAMPLE 8

Extra Examples 

Use resolution to show that the hypotheses “Jasmine is skiing or it is not snowing” and “It is snowing or Bart is playing hockey” imply that “Jasmine is skiing or Bart is playing hockey.”

*Solution:* Let  $p$  be the proposition “It is snowing,”  $q$  the proposition “Jasmine is skiing,” and  $r$  the proposition “Bart is playing hockey.” We can represent the hypotheses as  $\neg p \vee q$  and  $p \vee r$ , respectively. Using resolution, the proposition  $q \vee r$ , “Jasmine is skiing or Bart is playing hockey,” follows. 

# RESOLUTION

Resolution plays an important role in programming languages based on the rules of logic, such as Prolog (where resolution rules for quantified statements are applied). Furthermore, it can be used to build automatic theorem proving systems. To construct proofs in propositional logic using resolution as the only rule of inference, the hypotheses and the conclusion must be expressed as **clauses**, where a clause is a disjunction of variables or negations of these variables. We can replace a statement in propositional logic that is not a clause by one or more equivalent statements that are clauses. For example, suppose we have a statement of the form  $p \vee (q \wedge r)$ . Because  $p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$ , we can replace the single statement  $p \vee (q \wedge r)$  by two statements  $p \vee q$  and  $p \vee r$ , each of which is a clause. We can replace a statement of the form  $\neg(p \vee q)$  by the two statements  $\neg p$  and  $\neg q$  because De Morgan's law tells us that  $\neg(p \vee q) \equiv \neg p \wedge \neg q$ . We can also replace a conditional statement  $p \rightarrow q$  with the equivalent disjunction  $\neg p \vee q$ .

## EXAMPLE 9

Show that the premises  $(p \wedge q) \vee r$  and  $r \rightarrow s$  imply the conclusion  $p \vee s$ .

*Solution:* We can rewrite the premises  $(p \wedge q) \vee r$  as two clauses,  $p \vee r$  and  $q \vee r$ . We can also replace  $r \rightarrow s$  by the equivalent clause  $\neg r \vee s$ . Using the two clauses  $p \vee r$  and  $\neg r \vee s$ , we can use resolution to conclude  $p \vee s$ . 

# FALLACIES

Several common fallacies arise in incorrect arguments. These fallacies resemble rules of inference, but are based on contingencies rather than tautologies. These are discussed here to show the distinction between correct and incorrect reasoning.

Links

The proposition  $((p \rightarrow q) \wedge q) \rightarrow p$  is not a tautology, because it is false when  $p$  is false and  $q$  is true. However, there are many incorrect arguments that treat this as a tautology. In other words, they treat the argument with premises  $p \rightarrow q$  and  $q$  and conclusion  $p$  as a valid argument form, which it is not. This type of incorrect reasoning is called the **fallacy of affirming the conclusion**.

## EXAMPLE 10

Is the following argument valid?

If you do every problem in this book, then you will learn discrete mathematics. You learned discrete mathematics.

Therefore, you did every problem in this book.

*Solution:* Let  $p$  be the proposition “You did every problem in this book.” Let  $q$  be the proposition “You learned discrete mathematics.” Then this argument is of the form: if  $p \rightarrow q$  and  $q$ , then  $p$ . This is an example of an incorrect argument using the fallacy of affirming the conclusion. Indeed, it is possible for you to learn discrete mathematics in some way other than by doing every problem in this book. (You may learn discrete mathematics by reading, listening to lectures, doing some, but not all, the problems in this book, and so on.)

## FALLACIES

**EXAMPLE 11** Let  $p$  and  $q$  be as in Example 10. If the conditional statement  $p \rightarrow q$  is true, and  $\neg p$  is true, is it correct to conclude that  $\neg q$  is true? In other words, is it correct to assume that you did not learn discrete mathematics if you did not do every problem in the book, assuming that if you do every problem in this book, then you will learn discrete mathematics?

*Solution:* It is possible that you learned discrete mathematics even if you did not do every problem in this book. This incorrect argument is of the form  $p \rightarrow q$  and  $\neg p$  imply  $\neg q$ , which is an example of the fallacy of denying the hypothesis.

# Introduction To Proofs

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# FALLACIES

- Formally, a **theorem** is a statement that can be shown to be true. In mathematical writing, the term theorem is usually reserved for a statement that is considered at least somewhat important.
- Less important theorems sometimes are called **propositions**. (Theorems can also be referred to as **facts** or **results**.) A theorem may be the universal quantification of a conditional statement with one or more premises and a conclusion.
- However, it may be some other type of logical statement, as the examples later in this chapter will show.
- We demonstrate that a theorem is true with a **proof**. A proof is a valid argument that establishes the truth of a theorem.
- The statements used in a proof can include **axioms** (or **postulates**).

## UNDERSTANDING HOW THEOREMS ARE STATED

it. For example, the statement

“If  $x > y$ , where  $x$  and  $y$  are positive real numbers, then  $x^2 > y^2$ ,”

really means

“For all positive real numbers  $x$  and  $y$ , if  $x > y$ , then  $x^2 > y^2$ . ”

To prove a theorem of the form  $\forall x(P(x) \rightarrow Q(x))$ , our goal is to show that  $P(c) \rightarrow Q(c)$  is true, where  $c$  is an arbitrary element of the domain, and then apply universal generalization. In this proof, we need to show that a conditional statement is true. Because of this, we now focus on methods that show that conditional statements are true. Recall that  $p \rightarrow q$  is true unless  $p$  is true but  $q$  is false. Note that to prove the statement  $p \rightarrow q$ , we need only show that  $q$  is true if  $p$  is true. The following discussion will give the most common techniques for proving conditional

# DIRECT PROOFS

## Definition 1

The integer  $n$  is *even* if there exists an integer  $k$  such that  $n = 2k$ , and  $n$  is *odd* if there exists an integer  $k$  such that  $n = 2k + 1$ . (Note that every integer is either even or odd, and no integer is both even and odd.) Two integers have the *same parity* when both are even or both are odd; they have *opposite parity* when one is even and the other is odd.

## EXAMPLE 1

Give a direct proof of the theorem “If  $n$  is an odd integer, then  $n^2$  is odd.”

*Extra Examples* ➤

*Solution:* Note that this theorem states  $\forall n P(n) \rightarrow Q(n)$ , where  $P(n)$  is “ $n$  is an odd integer” and  $Q(n)$  is “ $n^2$  is odd.” As we have said, we will follow the usual convention in mathematical proofs by showing that  $P(n)$  implies  $Q(n)$ , and not explicitly using universal instantiation. To begin a direct proof of this theorem, we assume that the hypothesis of this conditional statement is true, namely, we assume that  $n$  is odd. By the definition of an odd integer, it follows that  $n = 2k + 1$ , where  $k$  is some integer. We want to show that  $n^2$  is also odd. We can square both sides of the equation  $n = 2k + 1$  to obtain a new equation that expresses  $n^2$ . When we do this, we find that  $n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$ . By the definition of an odd integer, we can conclude that  $n^2$  is an odd integer (it is one more than twice an integer). Consequently, we have proved that if  $n$  is an odd integer, then  $n^2$  is an odd integer. ◀

## DIRECT PROOFS

**EXAMPLE 2** Give a direct proof that if  $m$  and  $n$  are both perfect squares, then  $mn$  is also a perfect square. (An integer  $a$  is a **perfect square** if there is an integer  $b$  such that  $a = b^2$ .)

*Solution:* To produce a direct proof of this theorem, we assume that the hypothesis of this conditional statement is true, namely, we assume that  $m$  and  $n$  are both perfect squares. By the definition of a perfect square, it follows that there are integers  $s$  and  $t$  such that  $m = s^2$  and  $n = t^2$ . The goal of the proof is to show that  $mn$  must also be a perfect square when  $m$  and  $n$  are; looking ahead we see how we can show this by substituting  $s^2$  for  $m$  and  $t^2$  for  $n$  into  $mn$ . This tells us that  $mn = s^2t^2$ . Hence,  $mn = s^2t^2 = (ss)(tt) = (st)(st) = (st)^2$ , using commutativity and associativity of multiplication. By the definition of perfect square, it follows that  $mn$  is also a perfect square, because it is the square of  $st$ , which is an integer. We have proved that if  $m$  and  $n$  are both perfect squares, then  $mn$  is also a perfect square. 

# PROOF BY CONTRAPOSITION

**EXAMPLE 3** Prove that if  $n$  is an integer and  $3n + 2$  is odd, then  $n$  is odd.

*Extra  
Examples* ➤

**Solution:** We first attempt a direct proof. To construct a direct proof, we first assume that  $3n + 2$  is an odd integer. From the definition of an odd integer, we know that  $3n + 2 = 2k + 1$  for some integer  $k$ . Can we use this fact to show that  $n$  is odd? We see that  $3n + 1 = 2k$ , but there does not seem to be any direct way to conclude that  $n$  is odd. Because our attempt at a direct proof failed, we next try a proof by contraposition.

The first step in a proof by contraposition is to assume that the conclusion of the conditional statement “If  $3n + 2$  is odd, then  $n$  is odd” is false; namely, assume that  $n$  is even. Then, by the definition of an even integer,  $n = 2k$  for some integer  $k$ . Substituting  $2k$  for  $n$ , we find that  $3n + 2 = 3(2k) + 2 = 6k + 2 = 2(3k + 1)$ . This tells us that  $3n + 2$  is even (because it is a multiple of 2), and therefore not odd. This is the negation of the premise of the theorem. Because the negation of the conclusion of the conditional statement implies that the hypothesis is false, the original conditional statement is true. Our proof by contraposition succeeded; we have proved the theorem “If  $3n + 2$  is odd, then  $n$  is odd.”

# PROOF BY CONTRAPOSITION

**EXAMPLE 4** Prove that if  $n = ab$ , where  $a$  and  $b$  are positive integers, then  $a \leq \sqrt{n}$  or  $b \leq \sqrt{n}$ .

*Solution:* Because there is no obvious way of showing that  $a \leq \sqrt{n}$  or  $b \leq \sqrt{n}$  directly from the equation  $n = ab$ , where  $a$  and  $b$  are positive integers, we attempt a proof by contraposition.

The first step in a proof by contraposition is to assume that the conclusion of the conditional statement “If  $n = ab$ , where  $a$  and  $b$  are positive integers, then  $a \leq \sqrt{n}$  or  $b \leq \sqrt{n}$ ” is false. That is, we assume that the statement  $(a \leq \sqrt{n}) \vee (b \leq \sqrt{n})$  is false. Using the meaning of disjunction together with De Morgan’s law, we see that this implies that both  $a \leq \sqrt{n}$  and  $b \leq \sqrt{n}$  are false. This implies that  $a > \sqrt{n}$  and  $b > \sqrt{n}$ . We can multiply these inequalities together (using the fact that if  $0 < s < t$  and  $0 < u < v$ , then  $su < tv$ ) to obtain  $ab > \sqrt{n} \cdot \sqrt{n} = n$ . This shows that  $ab \neq n$ , which contradicts the statement  $n = ab$ .

Because the negation of the conclusion of the conditional statement implies that the hypothesis is false, the original conditional statement is true. Our proof by contraposition succeeded; we have proved that if  $n = ab$ , where  $a$  and  $b$  are positive integers, then  $a \leq \sqrt{n}$  or  $b \leq \sqrt{n}$ . 

# PROOF BY CONTRAPOSITION

## EXAMPLE 5

Show that the proposition  $P(0)$  is true, where  $P(n)$  is “If  $n > 1$ , then  $n^2 > n$ ” and the domain consists of all integers.

*Solution:* Note that  $P(0)$  is “If  $0 > 1$ , then  $0^2 > 0$ . $^2$ ” We can show  $P(0)$  using a vacuous proof. Indeed, the hypothesis  $0 > 1$  is false. This tells us that  $P(0)$  is automatically true. 

**Remark:** The fact that the conclusion of this conditional statement,  $0^2 > 0$ , is false is irrelevant to the truth value of the conditional statement, because a conditional statement with a false hypothesis is guaranteed to be true.

## EXAMPLE 6

Prove that if  $n$  is an integer with  $10 \leq n \leq 15$  which is a perfect square, then  $n$  is also a perfect cube.

*Solution:* Note that there are no perfect squares  $n$  with  $10 \leq n \leq 15$ , because  $3^2 = 9$  and  $4^2 = 16$ . Hence, the statement that  $n$  is an integer with  $10 \leq n \leq 15$  which is a perfect square is false for all integers  $n$ . Consequently, the statement to be proved is true for all integers  $n$ . 

## PROOF BY CONTRAPOSITION

**EXAMPLE 7** Let  $P(n)$  be “If  $a$  and  $b$  are positive integers with  $a \geq b$ , then  $a^n \geq b^n$ ,” where the domain consists of all nonnegative integers. Show that  $P(0)$  is true.

*Solution:* The proposition  $P(0)$  is “If  $a \geq b$ , then  $a^0 \geq b^0$ .” Because  $a^0 = b^0 = 1$ , the conclusion of the conditional statement “If  $a \geq b$ , then  $a^0 \geq b^0$ ” is true. Hence, this conditional statement, which is  $P(0)$ , is true. This is an example of a trivial proof. Note that the hypothesis, which is the statement “ $a \geq b$ ,” was not needed in this proof. 

# PROOF BY CONTRAPOSITION

## Definition 2

The real number  $r$  is *rational* if there exist integers  $p$  and  $q$  with  $q \neq 0$  such that  $r = p/q$ . A real number that is not rational is called *irrational*.

## EXAMPLE 8

*Extra Examples* ➤

Prove that the sum of two rational numbers is rational. (Note that if we include the implicit quantifiers here, the theorem we want to prove is “For every real number  $r$  and every real number  $s$ , if  $r$  and  $s$  are rational numbers, then  $r + s$  is rational.”)

*Solution:* We first attempt a direct proof. To begin, suppose that  $r$  and  $s$  are rational numbers. From the definition of a rational number, it follows that there are integers  $p$  and  $q$ , with  $q \neq 0$ , such that  $r = p/q$ , and integers  $t$  and  $u$ , with  $u \neq 0$ , such that  $s = t/u$ . Can we use this information to show that  $r + s$  is rational? That is, can we find integers  $v$  and  $w$  such that  $r + s = v/w$  and  $w \neq 0$ ?

With the goal of finding these integers  $v$  and  $w$ , we add  $r = p/q$  and  $s = t/u$ , using  $qu$  as the common denominator. We find that

$$r + s = \frac{p}{q} + \frac{t}{u} = \frac{pu + qt}{qu}.$$

# PROOF BY CONTRAPOSITION

Because  $q \neq 0$  and  $u \neq 0$ , it follows that  $qu \neq 0$ . Consequently, we have expressed  $r + s$  as the ratio of two integers,  $v = pu + qt$  and  $w = qu$ , where  $w \neq 0$ . This means that  $r + s$  is rational. We have proved that the sum of two rational numbers is rational; our attempt to find a direct proof succeeded. 

**EXAMPLE 9** Prove that if  $n$  is an integer and  $n^2$  is odd, then  $n$  is odd.

*Solution:* We first attempt a direct proof. Suppose that  $n$  is an integer and  $n^2$  is odd. From the definition of an odd integer, there exists an integer  $k$  such that  $n^2 = 2k + 1$ . Can we use this information to show that  $n$  is odd? There seems to be no obvious approach to show that  $n$  is odd because solving for  $n$  produces the equation  $n = \pm\sqrt{2k + 1}$ , which is not terribly useful.

Because this attempt to use a direct proof did not bear fruit, we next attempt a proof by contraposition. We take as our hypothesis the statement that  $n$  is not odd. Because every integer is odd or even, this means that  $n$  is even. This implies that there exists an integer  $k$  such that  $n = 2k$ . To prove the theorem, we need to show that this hypothesis implies the conclusion that  $n^2$  is not odd, that is, that  $n^2$  is even. Can we use the equation  $n = 2k$  to achieve this? By squaring both sides of this equation, we obtain  $n^2 = 4k^2 = 2(2k^2)$ , which implies that  $n^2$  is also even because  $n^2 = 2t$ , where  $t = 2k^2$ . We have proved that if  $n$  is an integer and  $n^2$  is odd, then  $n$  is odd. Our attempt to find a proof by contraposition succeeded. 

# PROOF BY CONTRAPOSITION

**EXAMPLE 10** Show that at least four of any 22 days must fall on the same day of the week.

*Extra Examples* ➤

*Solution:* Let  $p$  be the proposition “At least four of 22 chosen days fall on the same day of the week.” Suppose that  $\neg p$  is true. This means that at most three of the 22 days fall on the same day of the week. Because there are seven days of the week, this implies that at most 21 days could have been chosen, as for each of the days of the week, at most three of the chosen days could fall on that day. This contradicts the premise that we have 22 days under consideration. That is, if  $r$  is the statement that 22 days are chosen, then we have shown that  $\neg p \rightarrow (r \wedge \neg r)$ . Consequently, we know that  $p$  is true. We have proved that at least four of 22 chosen days fall on the same day of the week. ◀

**EXAMPLE 11** Prove that  $\sqrt{2}$  is irrational by giving a proof by contradiction.

*Solution:* Let  $p$  be the proposition “ $\sqrt{2}$  is irrational.” To start a proof by contradiction, we suppose that  $\neg p$  is true. Note that  $\neg p$  is the statement “It is not the case that  $\sqrt{2}$  is irrational,” which says that  $\sqrt{2}$  is rational. We will show that assuming that  $\neg p$  is true leads to a contradiction.

# PROOF BY CONTRAPOSITION

If  $\sqrt{2}$  is rational, there exist integers  $a$  and  $b$  with  $\sqrt{2} = a/b$ , where  $b \neq 0$  and  $a$  and  $b$  have no common factors (so that the fraction  $a/b$  is in lowest terms). (Here, we are using the fact that every rational number can be written in lowest terms.) Because  $\sqrt{2} = a/b$ , when both sides of this equation are squared, it follows that

$$2 = \frac{a^2}{b^2}.$$

Hence,

$$2b^2 = a^2.$$

By the definition of an even integer it follows that  $a^2$  is even. We next use the fact that if  $a^2$  is even,  $a$  must also be even, which follows by Exercise 18. Furthermore, because  $a$  is even, by the definition of an even integer,  $a = 2c$  for some integer  $c$ . Thus,

$$2b^2 = 4c^2.$$

Dividing both sides of this equation by 2 gives

$$b^2 = 2c^2.$$

By the definition of even, this means that  $b^2$  is even. Again using the fact that if the square of an integer is even, then the integer itself must be even, we conclude that  $b$  must be even as well.

We have now shown that the assumption of  $\neg p$  leads to the equation  $\sqrt{2} = a/b$ , where  $a$  and  $b$  have no common factors, but both  $a$  and  $b$  are even, that is, 2 divides both  $a$  and  $b$ . Note that the statement that  $\sqrt{2} = a/b$ , where  $a$  and  $b$  have no common factors, means, in particular, that 2 does not divide both  $a$  and  $b$ . Because our assumption of  $\neg p$  leads to the contradiction that 2 divides both  $a$  and  $b$  and 2 does not divide both  $a$  and  $b$ ,  $\neg p$  must be false. That is, the statement  $p$ , “ $\sqrt{2}$  is irrational,” is true. We have proved that  $\sqrt{2}$  is irrational. 

## PROOF BY CONTRAPOSITION

**EXAMPLE 12** Give a proof by contradiction of the theorem “If  $3n + 2$  is odd, then  $n$  is odd.”

*Solution:* Let  $p$  be “ $3n + 2$  is odd” and  $q$  be “ $n$  is odd.” To construct a proof by contradiction, assume that both  $p$  and  $\neg q$  are true. That is, assume that  $3n + 2$  is odd and that  $n$  is not odd. Because  $n$  is not odd, we know that it is even. Because  $n$  is even, there is an integer  $k$  such that  $n = 2k$ . This implies that  $3n + 2 = 3(2k) + 2 = 6k + 2 = 2(3k + 1)$ . Because  $3n + 2$  is  $2t$ , where  $t = 3k + 1$ ,  $3n + 2$  is even. Note that the statement “ $3n + 2$  is even” is equivalent to the statement  $\neg p$ , because an integer is even if and only if it is not odd. Because both  $p$  and  $\neg p$  are true, we have a contradiction. This completes the proof by contradiction, proving that if  $3n + 2$  is odd, then  $n$  is odd.



# PROOF BY CONTRAPOSITION

## EXAMPLE 15

Show that the statement “Every positive integer is the sum of the squares of two integers” is false.

### Extra Examples

*Solution:* To show that this statement is false, we look for a counterexample, which is a particular integer that is not the sum of the squares of two integers. It does not take long to find a counterexample, because 3 cannot be written as the sum of the squares of two integers. To show this is the case, note that the only perfect squares not exceeding 3 are  $0^2 = 0$  and  $1^2 = 1$ . Furthermore, there is no way to get 3 as the sum of two terms each of which is 0 or 1. Consequently, we have shown that “Every positive integer is the sum of the squares of two integers” is false. 

# PROOF BY CONTRAPOSITION

**EXAMPLE 16** What is wrong with this famous supposed “proof” that  $1 = 2$ ?

**“Proof”:** We use these steps, where  $a$  and  $b$  are two equal positive integers.

Step	Reason
1. $a = b$	Given
2. $a^2 = ab$	Multiply both sides of (1) by $a$
3. $a^2 - b^2 = ab - b^2$	Subtract $b^2$ from both sides of (2)
4. $(a - b)(a + b) = b(a - b)$	Factor both sides of (3)
5. $a + b = b$	Divide both sides of (4) by $a - b$
6. $2b = b$	Replace $a$ by $b$ in (5) because $a = b$ and simplify
7. $2 = 1$	Divide both sides of (6) by $b$

**Solution:** Every step is valid except for step 5, where we divided both sides by  $a - b$ . The error is that  $a - b$  equals zero; division of both sides of an equation by the same quantity is valid as long as this quantity is not zero. 

# PROOF BY CONTRAPOSITION

**EXAMPLE 17** What is wrong with this “proof”?

“Theorem”: If  $n^2$  is positive, then  $n$  is positive.

**“Proof”:** Suppose that  $n^2$  is positive. Because the conditional statement “If  $n$  is positive, then  $n^2$  is positive” is true, we can conclude that  $n$  is positive.

**Solution:** Let  $P(n)$  be “ $n$  is positive” and  $Q(n)$  be “ $n^2$  is positive.” Then our hypothesis is  $Q(n)$ . The statement “If  $n$  is positive, then  $n^2$  is positive” is the statement  $\forall n(P(n) \rightarrow Q(n))$ . From the hypothesis  $Q(n)$  and the statement  $\forall n(P(n) \rightarrow Q(n))$  we cannot conclude  $P(n)$ , because we are not using a valid rule of inference. Instead, this is an example of the fallacy of affirming the conclusion. A counterexample is supplied by  $n = -1$  for which  $n^2 = 1$  is positive, but  $n$  is negative. 

## PROOF BY CONTRAPOSITION

### EXAMPLE 19

Is the following argument correct? It supposedly shows that  $n$  is an even integer whenever  $n^2$  is an even integer.

Suppose that  $n^2$  is even. Then  $n^2 = 2k$  for some integer  $k$ . Let  $n = 2l$  for some integer  $l$ . This shows that  $n$  is even.

*Solution:* This argument is incorrect. The statement “let  $n = 2l$  for some integer  $l$ ” occurs in the proof. No argument has been given to show that  $n$  can be written as  $2l$  for some integer  $l$ . This is circular reasoning because this statement is equivalent to the statement being proved, namely, “ $n$  is even.” The result itself is correct; only the method of proof is wrong. 

