

Recursive definition of  $L^*$ ,  $L$  is a language over some alphabet  $\Sigma$ .

Ver 1

1.  $\Lambda \in L^*$

2. For any  $x \in L^*$  and  $y \in L$ ,

$xy \in L^*$

3. No string is in  $L^*$  unless it can be obtained by using rules 1 and 2.

Example

Let  $L = \{a, ab\}$

• Using rule 1,  $\Lambda \in L^*$ .

• One application of Rule 2, we have

$$\Lambda a = a$$

$$\Lambda ab = ab$$

i.e.  $L^1 = \{\Lambda, a, ab\}$

• Second application of Rule 2, we have  $L^2$ .

$L^2 = \{\Lambda, a, ab, aab, aba, aa, abab\}$

In same way, a string obtained by concatenating  $k$  elements of  $L$ , can be obtained by  $k$  applications

Ver 2

1.  $\Lambda \in L^*$
2. For any  $x \in L$ ,  $x \in L^*$
3. For any two elements  $x$  and  $y$  of  $L^*$ ,  $xy \in L^*$
4. No string is in  $L^*$  unless it can be obtained by using rules 1, 2 and 3.

Both of these definitions are equal and define  $L^*$ .

### Recursive Definition — Fully parenthesized Algebraic Expressions

- Let  $\Sigma$  be the alphabet  $\{x, (, ), +, -\}$
- Fully Parenthesized means exactly one pair of parenthesis for every operator

Follows the definition of AE involving binary operators "+" and "-" and the identifier "i".

$$1. \quad i \in AE$$

2. For any  $x, y \in AE$ , both  $(x+y)$  and  $(x-y)$  are elements of AE

3. No string is in AE unless it can be obtained by using rules (1) and (2).

Examples of strings in AE are

$$i, \quad (i+i), \quad (i-i), \quad ((i+i)-i), \\ ((i-(i-i))+i)$$

Finite Subsets of Natural Number

$F$ , a set of subsets of Natural Numbers, is defined as follows:

$$1. \quad \emptyset \in F$$

2. For any  $n \in N$ ,  $\{n\} \in F$

3. For any A and B in F,  $A \cup B \in F$

4. Nothing is in F unless it can be obtained by using rules 1, 2, and 3.

### Example

Consider the following language, defined recursively :

1.  $\Lambda \in L$
2. For any  $y \in L$ , both  $0y$  and  $0y1$  are in  $L$
3. No string is in  $L$  unless it can be obtained by rules 1 and 2

Here, string in  $L$  are of the form

$$0^i 1^j, \quad i \geq j \geq 0$$

Let's prove that every string of this form is in  $L$ .

$$\text{Let } A = \{ 0^i 1^j \mid i \geq j \geq 0 \}$$

We have to prove that  $A \subseteq L$



To prove  $A \subseteq L$ , i.e.

For every  $n \geq 0$ , every  $x \in A$  with  $|x| = n$  is an element of  $L$ .

Basis: Every  $x \in A$  with  $|x| = 0$  is an element of  $L$

Proof:  $|x| = 0 \Rightarrow x$  is ' $\Lambda$ '

As per Rule 1 in def<sup>n</sup> of  $L$ ,  $\Lambda \in L$ .

Hypothesis:  $k \geq 0$ , and every  $x$  in  $A$

<sup>stronger</sup> <sub>PMI</sub> with  $|x| \leq k$  is an element of  $L$ .

Induction

Stmnt: Every  $x$  in  $A$  with  $|x| = k+1$  is an element of  $L$

Proof

Suppose  $x \in A$  and  $|x| = k+1$

$$\therefore x = 0^i 1^j, \text{ where } i \geq j \geq 0$$

$$\text{and } i+j = k+1$$

Case I  $i > j$

$$\therefore x = 0y \text{ where } y = 0^m 1^n, \\ m \geq n \geq 0$$

Also,  $|y| = k$ ,  $\therefore$  From Induction hypo.

$$y \in L$$

$\therefore$  Using 2nd statement (Rule)  $0y \in L$

$$\therefore x \in L$$

Case II  $i = j$

There is atleast '1' Zero and '1' One,  
in  $x$  ( $\because |x| = k+1$ )  
i.e.  $|x|$  is atleast  
'2' and  $k$  is  
atleast '1'

$$\therefore x = 0y1 \text{ for some } y.$$

Moreover,  $y \in A$  ( $\because i = j$ )

$$\therefore y = 0^m 1^m, m \geq 0 \quad \left( \begin{array}{l} \text{Here} \\ m = i-1 = \\ j-1 \end{array} \right)$$

Also,  $|y| \leq k$  (As such  $|y|$  is  $k-1$ )

$\therefore$  From hypothesis,  $y \in L$ .

$\therefore$  Using rule 2 for any  $y \in L$ ,  $0y1 \in L$ . We can generate

## Recursive Definition — More Examples

\* Recursive definition for the set  $B$  of positive integers divisible by 2 or 7.

1.  $2 \in B ; 7 \in B$

2. For every  $x \in B$  and every  $n \in \mathbb{N}$ ,  
the set of Natural Numbers,  $x * n \in B$

\* Recursive definition for the set  $A$  of all the strings of the form  $0^i 1^j$ , where  
 $j \leq i \leq 2j$

1.  $1 \in A$

2. For every  $x \in A$ , both  $0x1$  and  $00x1$  are in  $A$

\* Recursive definition for the strings in  $\{0, 1\}^*$  containing substring  $00$ . Let  $B$  be such set.

1.  $00 \in B$

2. For any  $x \in B$ , all the strings  $xx$  and  $x1$  are in  $B$



\* Recursive definition for set  $A$  of all strings in  $\{0,1\}^*$ , not containing substring '00'

$$1. \quad \lambda \in A; \quad 0 \in A$$

$$2. \quad \text{For } x \in A, \text{ both } 1x \text{ and } 01x \in A$$

OR

$$2. \quad \text{For } x \in A, \text{ both } x1 \text{ and } x10 \text{ are in } A$$

→ One more example of proof on strings using P. M. I.

Strings of the form  $0y1$  must contain the substring  $01$

Let  $P(N)$  be the statement :

If  $|x| = N$  and  $x = 0y1$  for some string

$y \in \{0,1\}^*$ , Then  $x$  contains the substring

$01$ . We will prove this for  $N \geq 2$ .



Basis:  $P(2)$  is True, i.e.  $|x|=2$  and  $x=0y1$   
 for some string  $y \in \{0,1\}^*$ , then  $x$   
 contains the substring 01.

Proof  $|x|=2$  and  $x=0y1 \Rightarrow x=01$ ,  
 which is obviously true

Hypothesis:  $k \geq 2$  and  $P(k)$ , i.e. if  $|x|=k$   
 and  $x=0y1$  for some string  $y \in \{0,1\}^*$ ,  
 then  $x$  contains the substring 01

Induction

Stmt  $P(k+1)$  is True, i.e. if  $|x|=k+1$   
 and  $x=0y1$  for some  $y \in \{0,1\}^*$ , then  
 $x$  contains the substring 01.

Proof  $|x|=k+1$ ,  $x=0y1$

$\therefore |y|=k$  { Here  $y$  is Non-Null as  $k$   
 is atleast 2 }

$\therefore y$  begins with either '0' or '1' as it is  
 Non-Null

Case I  $y$  begins with '1'

Then,  $x = 0y1$  contains substring 01  
as it is prefix of  $x$

Case II  $y$  begins with '0'

Here,  $|y1| \geq 2$  ( $\because |y1| = k$ )

Also,  $y1$  begins with '0' and ends with '1'.

$\therefore y1$  has the form  $0z1$  for some  
 $z \in \{0, 1\}^*$

$\therefore y1$  contains substring 01 using  
Induction Hypothesis.

$\therefore x = 0y1$  also contains substring 01.

{ This can also be proved by taking string  
 $0y$  instead of  $y1$ . Its length is also  
 $k$ . The cases would be:  $y$  ends with  
'0', hence  $x$  ends with suffix 01. If  $y$   
ends with 1, then it is of the form  $0z1$  for  
 $z \in \{0, 1\}^*$ .  $\therefore$  it contains substring 01 from both.

Let's continue with the language  $L$  and set  $A$  of Page (38). Let's prove that  $L \subseteq A$  (converse), using a very different induction variable.

It's no. of times, Rule 2 is applied in generating  $x$  in  $L$

(Of course it can also be proved using  $|x|$ )

To prove,

For every  $n \geq 0$ , every  $x \in L$ , obtained by  $n$  applications of rule 2, is an element of  $A$ .

Basis:  $x \in L$ ,  $x$  is obtained by 0 applications of Rule 2 in  $L$ , is an element of  $A$ .

Proof:  $x$  is obtained by '0' applications of Rule 2, therefore  $x$  is ' $\Lambda$ '.

But,  $\Lambda = 0^0 1^0$ ,  $\therefore \Lambda \in A$



Hypothesis:  $k \geq 0$ , and every ~~string~~<sup>string</sup> in  $L$  that can be obtained by  $k$  applications of rule 2 is an element of  $A$ .

Induction

Stmnt: Any string in  $L$  that can be obtained by  $k+1$  applications of rule 2 is in  $A$

Proof Let  $x$  be an element of  $L$  that is obtained by  $k+1$  applications of rule 2.

$$\therefore x = 0y \quad \text{or} \quad x = 0y1$$

Where  $y$  is obtained by  $k$  applications of Rule 2 in  $L$ .

$$\Rightarrow y \in A \quad (\because \text{Hypo.}), \quad \therefore y = 0^i 1^j, \quad i \geq j \geq 0$$

$$\therefore x = 0y = 0^{i+1} 1^j, \quad i+1 \geq j \geq 0 \quad (\text{Actually, } i+1 > j) \\ \therefore x \in A$$

$$\text{or } x = 0y1 = 0^{i+1} 1^{j+1}, \quad i+1 \geq j+1 > 0 \\ \text{or } i+1 > j+1 \geq 0 \\ \therefore x \in A$$



## \* Structural Induction

- This is an induction proof based on the structure of the def<sup>n</sup>.
- Here, integer  $N$  is not explicitly used.

Structural Induction Proof of  $L \subseteq A$ .

To prove:  $L \subseteq A$

Basis : We must show that  $\Lambda \in A$ .

This is True because  $\Lambda = 0^0 1^0$ .

Hypothesis : The string  $y \in L$  is an element of  $A$ .

Induction Statement : Both  $0y$  and  $0y1$  are elements of  $A$ .

Proof  $y \in A \Rightarrow y = 0^i 1^j, i \geq j \geq 0$

$$\therefore 0y = 0^{i+1} 1^j \in A$$

and  $0y1 = 0^{i+1} 1^{j+1}$  also belongs to  $A$ .

## Example 2 - Structural Induction

Property of Fully Parenthesized Algebraic Expressions, already defined as:

1.  $\epsilon \in AE$
2. For any  $x$  and  $y$  in  $AE$ , both  $(x+y)$  and  $(x-y)$  are in  $AE$ .
3. No other strings are in  $AE$ .

To prove: No string in  $AE$  contains the substring  $) ($ .

Basis step: The string  $\epsilon$  doesn't contain the substring  $) ($ .

Induction

Hypothesis:  $x$  and  $y$  are the strings that don't contain the substring  $) ($ .

Induction

Stmt: Neither  $(x+y)$  nor  $(x-y)$  contain the substring  $) ($ .

Proof :

In both the expressions, the symbol that precedes  $x$  is not  $)$ , the symbol following  $x$  is not  $($ , the symbol preceding  $y$  is not  $)$ , the symbol following  $y$  is not  $($ .

∴ Only way  $) ($  could appear in  $(x+y)$  and  $(x-y)$  would <sup>be</sup> ~~it~~ ~~for~~ for it to occur in  $x$  or  $y$  separately.

However, using hypothesis, we know that  $x$  and  $y$  don't contain the substring  $) ($ .

[Here, we have made the hypothesis weaker than we really needed, i.e. proved slightly more than was necessary]

Here, in hypothesis, we could have written:



" If  $x$  and  $y$  are <sup>any</sup> strings in  $AE$  not containing  $) ($ , then neither  $(x+y)$  nor  $(x-y)$  contain  $) ($ . "

In our Induction step, we showed this not only for  $x$  and  $y$  in  $AE$ , but for any  $x$  and  $y$ .

This simplification is often, though not always, possible.

# Recursive definition for the language of strings with more a's than b's

Let  $L \subseteq \Sigma^*$ , where  $\Sigma = \{a, b\}$

1.  $a \in L$ .

2. For any  $x \in L$ ,  $ax \in L$ .

3. For any  $x$  and  $y$  in  $L$ , all the strings  $bxy$ ,  $xbx$ ,  $xyb$  are in  $L$ .

4. No other strings are in  $L$ .



Let's prove that every element of  $L$

has more a's than b's, using Structural Induction

(We will prove something stronger than required)

Ex. 3 To prove: Every element of  $L$  has more a's than b's

Basis: The string 'a' has more a's than b's.

Hypothesis

$x$  and  $y$  are the strings containing more a's than b's

Induction Statement

Each of the strings  $ax$ ,  $bxy$ ,  $xyb$ ,  $xyb$  has more a's than b's

Proof  $ax$  has more a's than b's because  $x$  has.

Since both  $x$  and  $y$  have more a's than

b's,  $xy$  has at least two more a's than b's, and therefore any string formed by inserting one more b still has at least one more 'a' than 'b'.

# Recursive definition of Length and Reverse Function

<u>Length</u>	<u>Reverse</u>	$x^r$ means $\text{Rev}(x)$
1. $ \Lambda  = 0$	1. $\Lambda^r = \Lambda$	
2. For any $x \in \Sigma^*$ , $a \in \Sigma$ $ xa  =  x  + 1$	2. For any $x \in \Sigma^*$ , $a \in \Sigma$ , $(xa)^r = a x^r$	

Ex. 4 Structural Induction Proof on property of Length Function.

For every  $x$  and  $y$  in  $\Sigma^*$ ,

$$|xy| = |x| + |y|$$

The proof is based on  $|y|$

Statement

For every  $y$ ,  $|xy| = |x| + |y|$

Basis:  $y$  is  $\epsilon$

$$|x\epsilon| = |x| + |\epsilon|$$

is True because  $|\epsilon| = 0$

Induction

Hypothesis  $y$  is a string for which the statement holds.

$$\text{i.e. } |xy| = |x| + |y|$$

Induction  
Statement

$$|x(ya)| = |x| + |(ya)|$$

Proof

$$|x(ya)| = |(xy)a| \quad (": \text{Concatenation is associative})$$

$$= |(xy)| + 1 \quad (": \text{def'n of length f'n})$$

$$= (|x| + |y|) + 1 \quad (": \text{Hypo.})$$

$$= |x| + (|y| + 1) \quad (": \text{addition is associative})$$

$$= |x| + (|(ya)|) \quad (": \text{def'n of length f'n})$$

$$= \text{RHS}$$