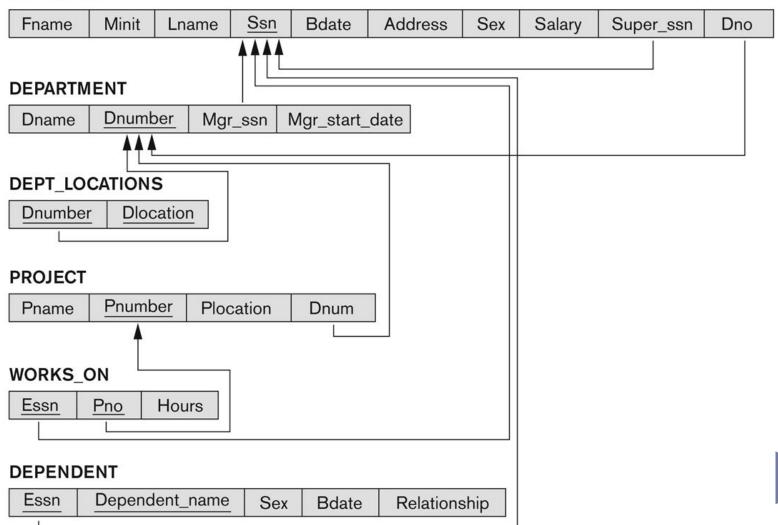
# RELATIONAL ALGEBRA

# RELATIONAL ALGEBRA

- ? There are two types of operations in RDBMS
  - Retrieval
  - Update
- ? The set of operations for specifying **retrieval requests** (or **queries**) in relational model is called Relational Algebra.
- ? A sequence of relational algebra operations forms a relational algebra expression.

# Company Database Considered in Examples

#### **EMPLOYEE**



# SELECT OPERATION (UNARY OPERATION)

- ? This operation selects a subset of tuples from a relation that satisfy a selection condition.
- $\square$  Select is denoted by:  $\sigma_{\text{selection condition}}(R)$

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

#### DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date	
Research	5	333445555	1988-05-22	
Administration	4	987654321	1995-01-01	
Headquarters	1	888665555	1981-06-19	

#### **DEPT\_LOCATIONS**

Dnumber	Dlocation	
1	Houston	
4	Stafford	
5	Bellaire	
5	Sugarland	
5	Houston	

# **EXAMPLES: SELECT OPERATION**

? Select the employees whose department number is 4:

$$\sigma_{\text{DNO}=4}$$
 (EMPLOYEE)

- ? Select all the projects in department 5
- ? Select the employees whose salary is greater than \$35,000

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	٧	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

#### DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date	
Research	5	333445555	1988-05-22	
Administration	4	987654321	1995-01-01	
Headquarters	1	888665555	1981-06-19	

#### WORKS\_ON

Essn	<u>Pno</u>	Hours
123456789	1	32.5
123456789	2	7.5
666884444	3	40.0
453453453	1	20.0
453453453	2	20.0
333445555	2	10.0
333445555	3	10.0
333445555	10	10.0
333445555	20	10.0
999887777	30	30.0
999887777	10	10.0
987987987	10	35.0
987987987	30	5.0
987654321	30	20.0
987654321	20	15.0
888665555	20	NULL

#### DEPT\_LOCATIONS

Dnumber	Dlocation
1	Houston
4	Stafford
5	Bellaire
5	Sugarland
5	Houston

#### **PROJECT**

Pname	Pnumber	Plocation	Dnum
ProductX	1	Bellaire	5
ProductY	2	Sugarland	5
ProductZ	3	Houston	5
Computerization	10	Stafford	4
Reorganization	20	Houston	1
Newbenefits	30	Stafford	4

#### DEPENDENT

Essn	Dependent_name	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	М	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	М	1942-02-28	Spouse
123456789	Michael	М	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

# SELECT OPERATION

- ? Selection condition is a Boolean expression specified on the attributes of relation R
  - It can include boolean operators AND, OR, NOT applied on relational operators <, > <=,>=, !=, =
- ? Select  $\sigma$  is commutative:

$$\sigma_{\text{}}(\sigma_{\text{}}(R)) = \sigma_{\text{}}(\sigma_{\text{}}(R))$$

? Cascade of Select operations

$$\sigma_{<\operatorname{cond}1>}(\sigma_{<\operatorname{cond}2>} \ (\sigma_{<\operatorname{cond}3>}(R)) = \sigma_{<\operatorname{cond}1> \ \operatorname{AND} \ < \operatorname{cond}2> \ \operatorname{AND} \ < \operatorname{cond}3>}(R)))$$

# σ<sub>(Dno=4 AND Salary>25000) OR (Dno=5 AND Salary>30000)</sub> (EMPLOYEE).

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5

# PROJECT OPERATION (UNARY OPERATION)

- ? This operation selects a subset of columns from the existing relation.
- ? Project operation is denoted by  $\pi_{\text{<attribute list>}} R$
- ? It removes duplicate tuples, the result of project is set of tuples

### Example:

- ? RESULT  $\leftarrow \pi_{\text{LNAME, FNAME, SALARY}}$  (EMPLOYEE)
- ?  $DN \leftarrow \pi_{DNAME, DNUMBER}$  (DEPARTMENT)

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

Lname	Fname	Salary
Smith	John	30000
Wong	Franklin	40000
Zelaya	Alicia	25000
Wallace	Jennifer	43000
Narayan	Ramesh	38000
English	Joyce	25000
Jabbar	Ahmad	25000
Borg	James	55000

# PROJECT OPERATION

- ? Project operation is *not* commutative
- ?  $\pi_{< list1>} (\pi_{< list2>} (R)) = \pi_{< list1>} (R)$  as long as < list2> contains the attributes in < list1>
- ? No of Tuples in the result of projection  $\pi_{< list>}(R)$ 
  - less or equal to the number of tuples in R
  - If the list of attributes includes a *key* of R, then the no of is *equal* to the no of tuples in R

# RELATIONAL ALGEBRA EXPRESSIONS

- ? We may want to apply several relational algebra operations one after the other
  - We can write the operations as a single relational algebra expression by nesting the operations, or
  - 2. We can apply one operation at a time and create **intermediate result relations**.

# Example: Sequence of Operations

- ? To retrieve the first name, last name, and salary of all employees who work in Department 5
- ? Result of sequence of operations:
  - $\pi_{\text{FNAME, LNAME, SALARY}}(\sigma_{\text{DNO}=5}(\text{EMPLOYEE}))$
- ? Using intermediate relation:
  - D5  $\leftarrow$   $\sigma_{DNO=5}(EMPLOYEE)$
  - RESULT  $\leftarrow \pi_{\text{FNAME, LNAME, SALARY}}$  (D5)
- ? Renaming of attributes
  - $D5 \leftarrow \sigma_{DNO=5}(EMPLOYEE)$
  - R (FirstName, LastName, Salary)  $\leftarrow \pi_{\text{FNAME, LNAME, SALARY}}$  (D5)

# Example of applying multiple operations and RENAME

#### (a)

Fname	Lname	Salary
John	Smith	30000
Franklin	Wong	40000
Ramesh	Narayan	38000
Joyce	English	25000

 $\pi_{\text{FNAME, LNAME, SALARY}}(\sigma_{\text{DNO}=5}(\text{EMPLOYEE}))$ 

 $D5 \leftarrow \sigma_{DNO=5}(EMPLOYEE)$ 

R (First\_name, Last\_name, Salary)  $\leftarrow \pi_{\text{Fname, Lname, Salary}}$  (D5)

#### (b)

#### **TEMP**

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston,TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston,TX	М	40000	888665555	5
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble,TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5

#### R

First_name	Last_name	Salary
John	Smith	30000
Franklin	Wong	40000
Ramesh	Narayan	38000
Joyce	English	25000

#### Figure 6.2

Results of a sequence of operations. (a)  $\pi_{\text{Fname, Lname, Salary}}(\sigma_{\text{Dno=5}}(\text{EMPLOYEE}))$ .

(b) Using intermediate relations and renaming of attributes.

# RENAME OPEARATION

- ? Rename operator is denoted by  $\rho$  (rho)
- ? Rename operation  $\rho$  can be expressed as:
  - $\rho_{S}(R)$  rename the *relation* to S
  - $\rho_{(B1, B2, ..., Bn)}(R)$  rename the *attributes* to B1, B2, .....Bn
- ? Example:
  - $\bullet \ \rho_{\ RESULT\ (First\_Name, Last\_Name,\ DNO)}(D5)$

# Union (Binary Operation)

- ? The result of  $R \cup S$ , is a relation that includes all tuples that are either in R or in S or in both R and S
- ? Duplicate tuples are eliminated
- ? The two relations R and S must be "type compatible" (or Union compatible)
  - ? R and S must have same number of attributes
  - ? Each pair of corresponding attributes must have same or compatible domains

# UNION EXAMPLE

To retrieve the social security numbers of all employees who either work in department 5 or directly supervise an employee who works in department 5

 $\begin{aligned} \text{DEP5\_EMPS} \leftarrow \sigma_{\text{DNO=5}} & \left( \text{EMPLOYEE} \right) \\ \text{RESULT1} \leftarrow \pi_{\text{SSN}} & \left( \text{DEP5\_EMPS} \right) \\ \text{RESULT2} & \left( \text{SSN} \right) \leftarrow \pi_{\text{SUPERSSN}} & \left( \text{DEP5\_EMPS} \right) \\ \text{RESULT} \leftarrow & \text{RESULT1} \cup & \text{RESULT2} \end{aligned}$ 

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

#### **RESULT1**

	Ssn
	123456789
	333445555
000	666884444
101	453453453

#### **RESULT2**

Ssn
333445555
888665555

#### **RESULT**

Ssn
123456789
333445555
666884444
453453453
888665555

# INTERSECTION AND SET DIFFERENCE (BINARY OPERATIONS)

- ? INTERSECTION operation: the result of  $R \cap S$ , is a relation that includes all tuples that are in both R and S
- ? SET DIFFERENCE operation: the result of R-S, is a relation that includes all tuples that are in R but not in S
- ? Two relations R and S must be "type compatible"

# RELATIONAL ALGEBRA OPERATIONS FROM SET THEORY

- ? Both  $\cup$  and  $\cap$  are *commutative* operations
  - $R \cup S = S \cup R$ , and  $R \cap S = S \cap R$
- ? Both  $\cup$  and  $\cap$  can be treated as n-ary operations
  - $R \cup (S \cup T) = (R \cup S) \cup T$
  - $(R \cap S) \cap T = R \cap (S \cap T)$
- ? Minus operation is not commutative
  - $\bullet$  R S  $\neq$  S R

# Example to illustrate the result of UNION, INTERSECT, and DIFFERENCE

#### (a) STUDENT

Fn	Ln
Susan	Yao
Ramesh	Shah
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wang
Ernest	Gilbert

#### **INSTRUCTOR**

Fname	Lname
John	Smith
Ricardo	Browne
Susan	Yao
Francis	Johnson
Ramesh	Shah

(b)

Ln
Yao
Shah
Kohler
Jones
Ford
Wang
Gilbert
Smith
Browne
Johnson

(c)	Fn	Ln
	Susan	Yao
	Ramesh	Shah

(d)	Fn	Ln		
	Johnny	Kohler		
	Barbara	Jones		
	Amy	Ford		
	Jimmy	Wang		
	Ernest	Gilbert		

e)	Fname	Lname
	John	Smith
	Ricardo	Browne
	Francis	Johnson

#### Figure 6.4

The set operations UNION, INTERSECTION, and MINUS. (a) Two union-compatible relations. (b) STUDENT ∪ INSTRUCTOR. (c) STUDENT ∩ INSTRUCTOR. (d) STUDENT − INSTRUCTOR. (e) INSTRUCTOR − STUDENT.

### CARTESIAN PRODUCT

- ? The result of Cartesian product of two relations R(A1, A2, . . ., An) x S(B1, B2, . . ., Bm) is given as: Result(A1, A2, . . ., An, B1, B2, . . ., Bm)
- ? Let  $|R| = n_R$  and  $|S| = n_S$ , then  $|R \times S| = n_R * n_S$
- ? R and S may NOT be "type compatible"
- ? Cross Product is a meaningful operation only if it is followed by other operations

### Example (not meaningful):

$$\begin{array}{l} F \leftarrow \sigma_{\text{SEX='F'}}(\text{EMPLOYEE}) \\ \text{EN} \leftarrow \pi_{\text{FNAME, LNAME, SSN}}(F) \\ \text{E\_DP} \leftarrow \text{EN x DEPENDENT} \end{array}$$

#### **Problem:**

Retrieve a list of each female employee's dependents

### Example (meaningful):

$$\begin{array}{l} {\rm A\_DP} \leftarrow \sigma_{\rm \, SSN=ESSN}(\mathbf{E\_DP}) \\ {\rm R} \leftarrow \pi_{\rm \, FNAME, \, LNAME, \, DEPENDENT\_NAME}({\rm A\_DP}) \end{array}$$

#### **DEPENDENT**

Essn	Dependent_name	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	М	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	М	1942-02-28	Spouse
123456789	Michael	М	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

#### Figure 6.5

The CARTESIAN PRODUCT (CROSS PRODUCT) operation.



#### FEMALE\_EMPS

	Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
	Alicia	J	Zelaya	999887777	1968-07-19	3321 Castle, Spring, TX	F	25000	987654321	4
	Jennifer	S	Wallace	987654321	1941-06-20	291Berry, Bellaire, TX	F	43000	888665555	4
1	Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5

#### EN

#### MPNAMES

1	Fname	Lname	Ssn		
	Alicia	Zelaya	999887777		
	Jennifer	Wallace	987654321		
	Joyce	English	453453453		

### E\_DI

#### EMP\_DEPENDENTS

CIMIL_DE	PENDEN	13					
Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Alicia	Zelaya	999887777	333445555	Alice	F	1986-04-05	
Alicia	Zelaya	999887777	333445555	Theodore	М	1983-10-25	
Alicia	Zelaya	999887777	333445555	Joy	F	1958-05-03	
Alicia	Zelaya	999887777	987654321	Abner	М	1942-02-28	20.0
Alicia	Zelaya	999887777	123456789	Michael	М	1988-01-04	
Alicia	Zelaya	999887777	123456789	Alice	F	1988-12-30	
Alicia	Zelaya	999887777	123456789	Elizabeth	F	1967-05-05	
Jennifer	Wallace	987654321	333445555	Alice	F	1986-04-05	***
Jennifer	Wallace	987654321	333445555	Theodore	М	1983-10-25	
Jennifer	Wallace	987654321	333445555	Joy	F	1958-05-03	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	
Jennifer	Wallace	987654321	123456789	Michael	М	1988-01-04	
Jennifer	Wallace	987654321	123456789	Alice	F	1988-12-30	
Jennifer	Wallace	987654321	123456789	Elizabeth	F	1967-05-05	
Joyce	English	453453453	333445555	Alice	F	1986-04-05	
Joyce	English	453453453	333445555	Theodore	М	1983-10-25	
Joyce	English	453453453	333445555	Joy	F	1958-05-03	
Joyce	English	453453453	987654321	Abner	М	1942-02-28	
Joyce	English	453453453	123456789	Michael	М	1988-01-04	
Joyce	English	453453453	123456789	Alice	F	1988-12-30	
Joyce	English	453453453	123456789	Elizabeth	F	1967-05-05	

#### **ACTUAL DEPENDENTS**

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	

#### RESULT

	Fname	Lname	Dependent_name
,	Jennifer	Wallace	Abner



# JOIN(BINARY OPERATION)

- ? JOIN denoted by ⋈ combine related tuples from various relations
- ? JOIN combines CARTESIAN PRODECT and SELECT into a single operation
- ? General form of a join operation on two relations R(A1, A2, ..., An) and S(B1, B2, ..., Bm) is:

# Example of JOIN operation

? Retrieve the name of the manager of each department.

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

#### DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date	
Research	5	333445555	1988-05-22	
Administration	4	987654321	1995-01-01	
Headquarters	1	888665555	1981-06-19	

# $DEPT\_MGR \leftarrow DEPARTMENT \quad \underset{MGRSSN=SSN}{\blacktriangleright} EMPLOYEE$

? The join condition can also be specified as DEPARTMENT.MGRSSN= EMPLOYEE.SSN

#### DEPT\_MGR

Dname	Dnumber	Mgr_ssn	 Fname	Minit	Lname	Ssn	
Research	5	333445555	 Franklin	Т	Wong	333445555	
Administration	4	987654321	 Jennifer	S	Wallace	987654321	
Headquarters	1	888665555	 James	E	Borg	888665555	

# COMPLETE SET OF RELATIONAL OPERATIONS

- ? The set of operations including
  - SELECT σ,
  - PROJECT  $\pi$ ,
  - UNION ∪,
  - DIFFERENCE ,
  - RENAME  $\rho$ , and
  - CARTESIAN PRODUCT X

is called a *complete set* because any relational algebra expression can be expressed using these.

- ? For example:
  - $R \cap S = (R \cup S) ((R S) \cup (S R))$
  - R  $\underset{\text{<join condition>}}{\triangleright} S = \sigma_{\text{<join condition>}} (R X S)$

# Some properties of JOIN

- ? Consider the following JOIN operation:
  - R(A1, A2, ..., An)  $\searrow$  S(B1, B2, ..., Bm) R.Ai=S.Bj
  - Result is a relation Q with degree n + m attributes: Q(A1, A2, . . ., An, B1, B2, . . ., Bm), in that order.
  - Relation Q has one tuple for each combination of tuples—r from R and s from S, but *only if they* satisfy the join condition r[Ai]=s[Bj]
  - If R has  $n_R$  tuples, and S has  $n_S$  tuples, then no of tuples in join result  $< n_R * n_S$ .

# THETA-JOIN

? The general case of JOIN operation is called a Theta-join:  $R \bowtie S$ 

theta

- ? Theta is a boolean expression on the attributes of R and S; for example:
  - R.Ai<S.Bj AND (R.Ak=S.Bl OR R.Ap<S.Bq)
- ? Theta can have any comparison operators  $\{=,\neq,<, \leq,>,\geq,\}$

# Equi-Join

? EQUIJOIN is a join condition that involves only equality operator = .

# ? Example:

- DEPT\_MGR  $\leftarrow$  DEPARTMENT  $_{\text{MGRSSN=SSN}}$  EMPLOYEE
- Retrieve a list of each female employee's dependents

$$F \leftarrow \sigma_{SEX='F'}(EMPLOYEE)$$
 $EN \leftarrow \pi_{FNAME, LNAME, SSN}(F)$ 
 $E\_DP \leftarrow EN \longrightarrow DEPENDENT$ 
 $SSN=ESSN$ 

# Issue with Equijoin Operation

#### DEPT\_MGR

Dname	Dnumber	Mgr_ssn	 Fname	Minit	Lname	Ssn	
Research	5	333445555	Franklin	Т	Wong	333445555	
Administration	4	987654321	 Jennifer	S	Wallace	987654321	
Headquarters	1	888665555	 James	E	Borg	888665555	

- ? Superfluous column
- ? Result of EQUIJOIN always have one or more pairs of attributes that have identical values in every tuple.

# NATURAL JOIN OPERATION

- ? NATURAL JOIN operation (denoted by \*) is created to get rid of the superfluous attribute in an EQUIJOIN condition.
- ? The two join attributes, or each pair of corresponding join attributes must *have the same name* in both relations
  - If this is not the case, a renaming operation is applied first.

# NATURAL JOIN OPERATION

- ? **Example:** To apply a natural join on the DNUMBER attributes of DEPARTMENT and DEPT\_LOCATIONS, it is sufficient to write:
  - DEPT\_LOCS ← DEPARTMENT \* DEPT\_LOCATIONS
- ? Only attribute with the same name is DNUMBER
- ? An implicit join condition is created based on this attribute: DEPARTMENT.DNUMBER=DEPT\_LOCATIONS.DNUMBER

#### **DEPARTMENT**

Dname	Dnumber	Mgr_ssn	Mgr_start_date
Research	5	333445555	1988-05-22
Administration	4	987654321	1995-01-01
Headquarters	1	888665555	1981-06-19

#### **DEPT\_LOCATIONS**

Dnumber	Dlocation	
1	Houston	
4	Stafford	
5	Bellaire	
5	Sugarland	
5	Houston	

# Example: Natural Join

- ? Another example:  $Q \leftarrow R(A,B,C,D) * S(C,D,E)$ 
  - The implicit join condition includes *each pair* of attributes with the same name, "AND" together:
    - <sup>2</sup> R.C=S.C AND R.D=.S.D
  - Result keeps only one attribute of each such pair:
    - Q(A,B,C,D,E)

# Example of NATURAL JOIN OPERATION

(a) PROJ\_DEPT

Pname	<u>Pnumber</u>	Plocation	Dnum	Dname	Mgr_ssn	Mgr_start_date
ProductX	1	Bellaire	5	Research	333445555	1988-05-22
ProductY	2	Sugarland	5	Research	333445555	1988-05-22
ProductZ	3	Houston	5	Research	333445555	1988-05-22
Computerization	10	Stafford	4	Administration	987654321	1995-01-01
Reorganization	20	Houston	1	Headquarters	888665555	1981-06-19
Newbenefits	30	Stafford	4	Administration	987654321	1995-01-01

#### (b) DEPT\_LOCS

Dname	Dnumber	Mgr_ssn	Mgr_start_date	Location
Headquarters	1	888665555	1981-06-19	Houston
Administration	4	987654321	1995-01-01	Stafford
Research	5	333445555	1988-05-22	Bellaire
Research	5	333445555	1988-05-22	Sugarland
Research	5	333445555	1988-05-22	Houston

Figure 6.7

Results of two NATURAL JOIN operations.

(a) PROJ\_DEPT ← PROJECT \* DEPT.

(b) DEPT\_LOCS ← DEPARTMENT \* DEPT\_LOCATIONS.

# DIVISION (BINARY OPERATION)

- The division operation is applied to two relations  $R(Z) \div S(X)$ , where  $X \subseteq Z$ .
- Let Y = Z X
  - We have  $Z = X \cup Y$  and Y is a set of attributes of R that are not the attributes of S.
  - The result of DIVISION is a relation T(Y)
- For a tuple t to appear in the result T of the DIVISION, the values in t must appear in R in combination with *every* tuple in S.

3

N.		
Α	В	
a1	b1	
a2	b1	
аЗ	b1	
a4	b1	
a1	b2	
аЗ	b2	
a2	b3	
аЗ	b3	
a4	b3	
a1	b4	
a2	b4	
аЗ	b4	

S

	Α	
Г	a1	
	a2	
	аЗ	

Т

	В	
	b1	
Г	b4	

# EXAMPLE OF DIVISION

Retrieve all employees who work on all the project that *John Smith* works on

- Smith  $\square$   $\sigma$  fname='John' and lname='Smith' (Employee)
- Smith\_Pnos  $\square$   $\pi$  Pno (Works\_on  $\stackrel{\triangleright}{\triangleright}$  Smith)
- Ssn\_Pnos  $\square$   $\pi$  <sub>Essn,Pno</sub> (Works\_on)
- ullet SSNS(ssn)  $\Box$  Ssn\_Pnos  $\div$  Smith\_Pnos

#### SSN PNOS

	×
Essn	Pno
123456789	1
123456789	2
666884444	3
453453453	1
453453453	2
333445555	2
333445555	3
333445555	10
333445555	20
999887777	30
999887777	10
987987987	10
987987987	30
987654321	30
987654321	20
888665555	20
999887777 999887777 987987987 987987987 987654321 987654321	20 30 10 10 30 30 20

#### SMITH\_PNOS

Pno
1
2

#### SSNS

Ssn
123456789
453453453

# RECAP OF RELATIONAL ALGEBRA OPERATIONS

**Table 6.1**Operations of Relational Algebra

Operation	Purpose	Notation
SELECT	Selects all tuples that satisfy the selection condition from a relation $R$ .	$\sigma_{\langle \text{selection condition} \rangle}(R)$
PROJECT	Produces a new relation with only some of the attributes of <i>R</i> , and removes duplicate tuples.	$\pi_{< attribute \ list>}(R)$
THETA JOIN	Produces all combinations of tuples from $R_1$ and $R_2$ that satisfy the join condition.	$R_1 \bowtie_{< \text{join condition}>} R_2$
EQUIJOIN	Produces all the combinations of tuples from $R_1$ and $R_2$ that satisfy a join condition with only equality comparisons.	$R_1 \bowtie_{<\text{join condition}>} R_2,$ OR $R_1 \bowtie_{(<\text{join attributes 1}>),}$ $(<\text{join attributes 2}>)$
NATURAL JOIN	Same as EQUIJOIN except that the join attributes of $R_2$ are not included in the resulting relation; if the join attributes have the same names, they do not have to be specified at all.	$R_1*_{<\text{join condition}>} R_2,$ OR $R_1*_{(<\text{join attributes 1>}),}$ $(<\text{join attributes 2>})$ $R_2$ OR $R_1*_R_2$
UNION	Produces a relation that includes all the tuples in $R_1$ or $R_2$ or both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cup R_2$
INTERSECTION	Produces a relation that includes all the tuples in both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cap R_2$
DIFFERENCE	Produces a relation that includes all the tuples in $R_1$ that are not in $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 - R_2$
CARTESIAN PRODUCT	Produces a relation that has the attributes of $R_1$ and $R_2$ and includes as tuples all possible combinations of tuples from $R_1$ and $R_2$ .	$R_1 \times R_2$
DIVISION	Produces a relation $R(X)$ that includes all tuples $t[X]$ in $R_1(Z)$ that appear in $R_1$ in combination with every tuple from $R_2(Y)$ , where $Z = X \cup Y$ .	$R_1(Z) \div R_2(Y)$

# AGGREGATE FUNCTIONS

? Now we specify mathematical **aggregate functions** on collections of values from the database.

### ? Examples:

- Retrieve the average or total salary of all employees
- Retrieve total number of employee tuples
- ? Functions applied to collections of numeric values include
  - SUM, AVERAGE, MAXIMUM, and MINIMUM.
  - COUNT function is used for counting tuples or values.

# AGGREGATE FUNCTION OPERATION

- ? Use of the Aggregate Functional operation  $\mathcal{F}$ 
  - ullet  $\mathcal{F}_{\text{MAX Salary}}$  (EMPLOYEE)
  - $\mathcal{F}_{MIN \text{ Salary}}$  (EMPLOYEE)
  - $\mathcal{F}_{SUM Salary}$  (EMPLOYEE)
  - $\mathcal{F}_{\text{COUNT SSN, AVERAGE Salary}}$  (EMPLOYEE)
    - ? computes no of employees and their average salary
    - Note: count just counts the number of rows, without removing duplicates

## Using Grouping with Aggregation

? Grouping can be combined with Aggregate Functions

## ? Example:

- For each department, retrieve the DNO, COUNT SSN, and AVERAGE SALARY
- ullet DNO  $\mathcal{F}_{\text{COUNT SSN, AVERAGE Salary}}$  (EMPLOYEE)

## **EXAMPLE: AGGREGATE FUNCTIONS AND GROUPING**

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Address		Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	Fondren, Houston, TX M 30000		333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	638 Voss, Houston, TX M 40000		888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX F 25000		987654321	4	
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	1 Berry, Bellaire, TX F 43000		888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX F 25000 333		333445555	5	
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX M		25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX		55000	NULL	1

The aggregate function operation.

(a)  $\rho_{R(Dno, No\_of\_employees, Average\_sal)}$  ( $\rho_{Dno}$   $\rho_{COUNT Ssn, AVERAGE Salary}$  (EMPLOYEE)). (b)  $\rho_{Dno}$   $\rho_{COUNT Ssn, AVERAGE Salary}$  (EMPLOYEE).

(c) 3 COUNT Ssn, AVERAGE Salary (EMPLOYEE).

R

(a)	Dno No_of_employees		Average_sal
	5	4	33250
	4	3	31000
	1	1	55000

(c)	Count_ssn	Average_salary
	8	35125

(b)	Dno	Count_ssn	Average_salary
	5	4	33250
	4	3	31000
	1	1	55000

# Examples of Queries in Relational Algebra

• Q1: Retrieve the name and address of all employees who work for the 'Research' department.

RESEARCH\_DEPT  $\leftarrow \sigma$  dname='research' (DEPARTMENT)

RESEARCH\_EMPS  $\leftarrow$  (RESEARCH\_DEPT  $\searrow$  dnumber= dno EMPLOYEE)

RESULT  $\leftarrow \pi$  fname, lname, address (RESEARCH\_EMPS)

#### **EMPLOYEE**

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	731 Fondren, Houston, TX M 30000 333		333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX M 40000 888665		888665555	5	
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	astle, Spring, TX F 25000 98		987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F 43000 88866555		888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F 25000 33344		333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX M 25000 98765432		987654321	4	
James	Е	Borg	888665555	1937-11-10	0 450 Stone, Houston, TX M 55000 NULL		NULL	1	

#### DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date
Research	5	333445555	1988-05-22
Administration	4	987654321	1995-01-01
Headquarters	1	888665555	1981-06-19

#### **DEPT LOCATIONS**

Dnumber	Dlocation
1	Houston
4	Stafford
5	Bellaire
5	Sugarland
5	Houston

# Examples of Queries in Relational Algebra

Q6: Retrieve the names of employees who have no dependents.

 $ALL\_EMPS \leftarrow \pi \text{ ssn}(EMPLOYEE)$ 

888665555 | 1937-1

EMPS\_WITH\_DEPS(SSN)  $\leftarrow \pi \text{ ESSN}(DEPENDENT)$ 

EMPS\_WITHOUT\_DEPS ← (ALL\_EMPS - EMPS\_WITH\_DEPS)

RESULT  $\leftarrow \pi$  LNAME, FNAME (EMPS\_WITHOUT\_DEPS \* EMPLOYEE)

#### **EMPLOYEE**

James

Borg

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	ſ
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	Г
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	Г
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	Т
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	Γ
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	T
Joyce	Α	English	453453453	1972-07-21	1972-07-21 F621 Disa Hauster TV F		05000	22244555	1
Ahmad	V	Jabbar	987987987	1969-0 <b>DE</b> I	PENDENT				
		O <sub>marrow</sub> .							_

Essn	Dependent_name	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	М	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	М	1942-02-28	Spouse
123456789	Michael	М	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

Dno

# Examples of Queries in Relational Algebra

Q5: Retrieve the names of all employees with two or more dependents.

T1(Ssn, No\_of\_dependents) 
$$\leftarrow$$
 Essn  $\mathcal{F}_{COUNT Dependent\_name}$  (DEPENDENT)   
T2  $\leftarrow$   $\sigma_{No of dependents > 1}$  (T1)

RESULT 
$$\leftarrow \pi_{\text{LNAME FNAME}}$$
 (T2 \* EMPLOYEE)

#### **EMPLOYEE**

Fname	Minit	Lname	Ssn	Bdate	Bdate Address S		Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX M 30000 3		333445555	5	
Franklin	Т	Wong	333445555	1955-12-08	2-08 638 Voss, Houston, TX M 40000 8886655		888665555	5	
Alicia	J	Zelaya	999887777	1968-01-19	968-01-19 3321 Castle, Spring, TX F 25000 9876		987654321	4	
Jennifer	s	Wallace	987654321	1941-06-20 291 Berry, Bellaire, TX F 43000 88		888665555	4		
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	1972-07-31 5631 Rice, Houston, TX F 25000 333		333445555	5	
Ahmad	V	Jabbar	987987987	1969-03-29	1969-03-29 980 Dallas Houston TX M 25000 987654		987654321	4	
1		_	000005555		SENT				

### DEPENDENT

Essn	Dependent_name	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	М	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	М	1942-02-28	Spouse
123456789	Michael	М	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

## OUTER JOIN OPERATION

- ? In INNER JOIN, tuples without a *matching* are eliminated from the join result
  - Tuples with null are also eliminated
  - This amounts to loss of information.
- ? OUTER joins operations are used when we want to keep
  - all the tuples in R in the join result, or
  - all tuples in S in the join result, or
  - all tuples in both relations R and S in the join result

## LEFT OUTER JOIN

- ? List the employees name and the department name that they manage. If they don't manage one, then indicate this with a null value.
- ? Temp  $\Box$  (Employee  $\underset{Ssn=Mgr\_Ssn}{\smile}$  Department)
- Result  $\square \pi_{\text{Fname, Minit, Lname, Dname}}$  (Temp)

### **RESULT**

Fname	Minit	Lname	Dname
John	В	Smith	NULL
Franklin	Т	Wong	Research
Alicia	J	Zelaya	NULL
Jennifer	S	Wallace	Administration
Ramesh	K	Narayan	NULL
Joyce	Α	English	NULL
Ahmad	V	Jabbar	NULL
James	E	Borg	Headquarters

## OUTER JOIN OPERATION

- ? Left outer join: keeps every tuple in R, denoted as  $R \bowtie S$ 
  - if no matching tuple is found in S, then the attributes of S in the join result are filled with null values.
- ? **Right outer join:** keeps every tuple in S in the result of  $R \times S$ .
- ? **Full outer join:** keeps all tuples <u>in both the left</u> and the right relations. It is denoted by  $\equiv \bowtie$

## Full Outer Join vs Cartesian Product

#### **EMPLOYEE**

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	Т	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

#### DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date	
Research	5	333445555	1988-05-22	
Administration	4	987654321	1995-01-01	
Headquarters	1	888665555	1981-06-19	



99



### RESULT

Employee	~
Linployee	Q

 $_{\mathrm{Ssn=Mgr\_Ssn}}^{}$  Department

			·
Fname	Minit	Lname	Dname
John	В	Smith	NULL
Franklin	Т	Wong	Research
Alicia	J	Zelaya	NULL
Jennifer	S	Wallace	Administration
Ramesh	K	Narayan	NULL
Joyce	Α	English	NULL
Ahmad	V	Jabbar	NULL
James	E	Borg	Headquarters

## **OUTER UNION OPERATIONS**

- ? The outer union operation take the union of tuples in two relations R(X, Y) and S(X, Z) that are **partially compatible**,
  - Only some of their attributes, say X, are type compatible.
  - The attributes that are type compatible are represented only once in the result
  - The attributes that are not type compatible from either relation are also kept in the result relation T(X, Y, Z).

## OUTER JOIN EXAMPLE

- ? An outer union can be applied to two relations STUDENT(Name, SSN, Department, Advisor) and INSTRUCTOR(Name, SSN, Department, Rank).
  - Tuples are matched based on having the same combination of values of the shared attributes— Name, SSN, Department.
  - If a student is also an instructor, both Advisor and Rank will have a value; otherwise, one of these two attributes will be null.
  - Result relation:

STUDENT\_OR\_INSTRUCTOR (Name, SSN, Department, Advisor, Rank)

## RELATIONAL ALGEBRA OPERATORS

- ? Relational Algebra consists of several groups of operations
  - Unary Relational Operations
    - ? SELECT (symbol: σ (sigma))
    - ? PROJECT (symbol:  $\pi$  (pi))
    - ? RENAME (symbol: ρ (rho))
  - Relational Algebra Operations From Set Theory
    - <sup>2</sup> UNION (∪), INTERSECTION (∩), DIFFERENCE (-)
    - ? CARTESIAN PRODUCT (x)
  - Binary Relational Operations
    - ? JOIN (several variations of JOIN exist)
    - ? DIVISION
  - Additional Relational Operations
    - **? OUTER JOINS, OUTER UNION**
    - <sup>2</sup> AGGREGATE FUNCTIONS (These compute summary of information: for example, SUM, COUNT, AVG, MIN, MAX)

## CHAPTER SUMMARY

- ? Relational Algebra
  - Unary Relational Operations
  - Relational Algebra Operations From Set Theory
  - Binary Relational Operations
  - Additional Relational Operations
  - Examples of Queries in Relational Algebra