

# **COMP 446 / 546**

# **ALGORITHM DESIGN**

# **AND ANALYSIS**

**LECTURE 5 NUMBER THEORETIC ALGORITHMS**

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# RANDOMIZED ALGORITHMS

- **Last time**
  - Las Vegas: Randomized Quicksort
- **Today**
  - Monte Carlo: Primality Testing

# BASIC NUMBER THEORY

- **Theorem:** Let  $a, b, c$  be integers.

- If  $a \mid b \wedge a \mid c$  then  $a \mid (b + c)$
- If  $a \mid b$  then  $a \mid bc \quad \forall c$
- If  $a \mid b \wedge b \mid c$ , then  $a \mid c$

- **Proof:**

- 1. If  $a \mid b \wedge a \mid c$  then  $\exists k_1, k_2$  integers s.t.  $b = k_1 a \wedge c = k_2 a \Rightarrow b + c = k_1 a + k_2 a = (k_1 + k_2)a$  where  $(k_1 + k_2)$  is an integer  $\Rightarrow a \mid (b + c)$
- 2. ??
- 3. ??

# BASIC NUMBER THEORY

- **Definition:** If  $a \equiv b \pmod{m}$ , then  $a$  is *congruent (equivalent)* to  $b$  modulo  $m$ . Furthermore, we have:
  - $a \equiv b \pmod{m} \leftrightarrow (a \bmod m) = (b \bmod m)$
  - $a \equiv b \pmod{m} \leftrightarrow m \mid a - b$
  - $a \equiv b \pmod{m} \leftrightarrow \exists k \in \mathbb{Z} \ a = b + km$
- The set of all integers congruent to  $a$  modulo  $m$  constitutes a **congruence (equivalence) class**.
  - Remember, there are  $m$  pairwise-disjoint equivalence classes modulo  $m$ .

# BASIC NUMBER THEORY

- **Theorem:** If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$  then

- $a + c \equiv b + d \pmod{m}$
- $ac \equiv bd \pmod{m}$

- **Proof:** ??

- **Corollary:**

- $(a+b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$
- $(ab) \bmod m = ((a \bmod m)(b \bmod m)) \bmod m$

# PRIME NUMBERS

- A positive integer  $p \geq 2$  is **prime** if the only positive integers that divide  $p$  are 1 and  $p$ .
- Positive integers  $N \geq 2$  which are not prime are called **composite**.
- The unique **prime factorization** of a positive integer  $N$  is an expression of  $N$  as a product of primes
  - $N = p_1 p_2 p_3 \cdots p_k$
- **Theorem: (*The Fundamental Theorem of Arithmetic*)**
  - Every positive integer (greater than 1) can be written **uniquely** as the product of primes.

# CLASSIC AND MODERN PROBLEMS

## 1. Density

- How many primes are in  $\{1 \dots N\}$ ?

## 2. Listing

- List all the primes in  $\{1 \dots N\}$ .

## 3. Testing

- Given a positive integer  $N$ , is  $N$  prime?

## 4. Generating

- Pick a random prime number in  $\{1 \dots N\}$ .

- **Modern cryptography is largely built on these problems**

# 1. DENSITY OF PRIMES

- Let  $\pi(N)$  = the number of primes in  $\{1 \dots N\}$ 
  - $\pi(10) = 4$   $\{2, 3, 5, 7\}$
  - $\pi(20) = 8$   $\{2, 3, 5, 7, 11, 13, 17, 19\}$
- **Theorem (Euclid):** There are **infinitely-many** primes.
- **Proof: (by contradiction)** Assume all  $n$  primes are  $p_1, p_2, \dots, p_n$ 
  - Let  $q = p_1 * p_2 * \dots * p_n + 1$
  - By **the Fundamental Theorem of Arithmetic**,  $q$  is either prime or can be written as a product of primes.
  - No prime  $p_i$  divides  $q$  since it means  $p_i \mid 1$ , which is impossible.
  - Therefore **either**  $q$  must be prime, **or**  $q$  must have another prime divisor such that  $p \neq p_i \forall i \ 1 \leq i \leq n$ . Contradiction.
    - This is a **non-constructive** existence proof



# 1. DENSITY OF PRIMES

Theorem (Euler / Hadamard): (*Prime Number Theorem*)

$$\lim_{n \rightarrow \infty} \frac{\pi(n)}{n / \ln n} = 1$$

In other words, as  $n \rightarrow \infty$ ,  $\pi(n) \rightarrow n / \ln n$

- There are about  $n / \ln n$  primes that are less than (or equal to)  $n$ .
- Given a random number  $y$  s.t.  $1 < y < n$ , the probability that  $y$  is prime is  $1 / \ln n$

## 2. LISTING PRIMES

- List all the primes in  $\{1 \dots N\}$

### Sieve of Eratosthenes

```
set prime [2..N] = 1
for p = 2 to n do
    if prime [p] = 1 then
        print "p is prime"
        for m = 2 to N/p do
            prime [mp] = 0
```

For each prime  $p$ , the Sieve eliminates all multiples of  $p$ . No prime will ever be eliminated, and every composite (which must have a prime factor smaller than itself) is guaranteed to be eliminated before the outer loop reaches it.

Running time:  
 $O(N \pi(N))$  multiplications

# 3. TESTING PRIMALITY

- Pseudocode?
- **Theorem:** If  $N$  is composite, then  $N$  has a prime factor  $p \leq \sqrt{N}$ .
- **Proof:** By contradiction.
  - Suppose some composite  $N$  has a prime factorization  $N = p_1 p_2 \dots p_k$  where all  $p_i > \sqrt{N}$ .
  - Then  $N = p_1 p_2 \dots p_k > (\sqrt{N})^k$
  - This only holds for  $k < 2$ , which means  $N$  is prime.
  - Contradiction.

# 3. TESTING PRIMALITY

ISPRIME (N)

for  $k = 2$  to  $\sqrt{N}$  do

if  $k \mid N$  then

return “N is not prime, the evidence is k”

return “N is prime”

**Runtime:**  $O(\sqrt{N})$  divisions

Input  $N$  has length  $n = \log N$ .

In terms of input length, the runtime is  $O(2^{n/2})$

**EXPONENTIAL!!!**

# RANDOMIZED PRIMALITY TESTING

- **General Idea:**

- On input  $N$ , use randomness to look for **evidence** that  $N$  is composite
- If evidence found, output "N is composite"
- Otherwise, output "N is **probably** prime"

- **History:**

- **Miller-Rabin Test 1975**
  - Monte Carlo
- **Adleman Huang Test 1987**
  - Las Vegas
- **Agrawal-Kayal-Saxena Test 2002**
  - **Deterministic polynomial time with no errors**
  - **Not practical**
    - Hard to implement
    - Large Big-Oh constant

# BASIC GROUP THEORY

- A **group**  $(G, *)$  is a **set**  $G$  and a **binary operation**  $*$  as long as there is  $e \in G$  such that **for all**  $a, b, c \in G$ :
  - $a * b \in G$  (closure)
  - $(a * b) * c = a * (b * c)$  (associativity)
  - $a * e = a$  and  $e * a = a$  (identity)
  - There exists a **unique**  $a^{-1}$  such that  $a * a^{-1} = e$  and  $a^{-1} * a = e$  (inverses)
- **Some Basic Groups: Let  $N > 0$** 
  - $Z_N = \{0, 1, 2, \dots, N-1\}$  under **addition (mod  $N$ )**, identity is 0
  - $Z_N^* = \{x \mid 1 \leq x < N \text{ and } \gcd(x, N) = 1\}$  under **multiplication (mod  $N$ )**, identity is 1
    - EX:  $Z_6^* = \{1, 5\}$
    - EX:  $Z_7^* = \{1, \dots, 6\}$

# BASIC GROUP THEORY

- $(H, *)$  is a **subgroup** of  $(G, *)$  if  $H \subseteq G$  and  $(H, *)$  is a group:
  - $\forall x, y \in H, x * y \in H$  (closure)
  - $\forall x \in H, x^{-1} \in H$  (inverse)
  - and the **identity** of  $G$  is in  $H$  ( $e \in H$ )
  - **associativity** follows directly from the property of operation  $*$
- Let  $|G|$  denote the **order** of  $G$ : the number of elements in  $G$ .
- **Theorem (Lagrange)**: Let  $G$  be a group, and  $H$  be a **subgroup** of  $G$ . Then  $|H|$  divides  $|G|$ .
- Define **Euler's phi function** as  $\phi(N) = |Z_N^*|$
- **Theorem (Euler)**: For  $N > 1$  and all  $a \in Z_N^*$  we have  $a^{\phi(N)} \equiv 1 \pmod N$

# FERMAT'S LITTLE THEOREM

If  $N$  is **prime**, then for every integer  $a$  such that  $1 \leq a \leq N-1$ ,

$$a^{N-1} \equiv 1 \pmod{N}$$

- **Proof:**

- **Given**  $a \in \mathbb{Z}_p^*$
- **Let**  $a\mathbb{Z}_p^* = \{ ax \mid x \in \mathbb{Z}_p^* \} = \{ 1a, 2a, 3a, \dots, (p-1)a \}$
- $a\mathbb{Z}_p^* = \mathbb{Z}_p^*$  **because**
  - $ax \in a\mathbb{Z}_p^* \Rightarrow ax \in \mathbb{Z}_p^*$  (**closure**) **and**
  - $x \in \mathbb{Z}_p^* \Rightarrow x = a(a^{-1}x) \in a\mathbb{Z}_p^*$  (**closure and inverse**)
- **Multiply (mod  $p$ ) all the elements in each set**
  - $1a \cdot 2a \cdot 3a \cdot \dots \cdot (p-1)a = 1 \cdot 2 \cdot 3 \cdot \dots \cdot (p-1) \pmod{p}$
  - $a^{p-1} (1 \cdot 2 \cdot 3 \cdot \dots \cdot (p-1)) = 1 \cdot 2 \cdot 3 \cdot \dots \cdot (p-1) \pmod{p}$
  - $a^{p-1} = 1 \pmod{p}$



# FERMAT'S LITTLE THEOREM

If  $N$  is **prime**, then for every integer  $a$  such that  $1 \leq a \leq N-1$ ,

$$a^{N-1} \equiv 1 \pmod{N}$$

- **Primality Testing Idea:** On input  $N$ , if we can find  $1 \leq a \leq N-1$ , such that  $a^{N-1} \not\equiv 1 \pmod{N}$ , then this proves that  $N$  is NOT prime. But we still have **no clue on factors of  $N$** .
- **Example:** Given  $N=15$  let  $a=2$  and test if  $2^{14} \equiv? 1 \pmod{15}$   
$$2^{14} \equiv 4 \pmod{15}$$
- **Bingo!** Now we know that **15 is not a prime**

# FERMAT'S LITTLE THEOREM

If  $N$  is **prime**, then for every integer  $a$  such that  $1 \leq a \leq N-1$ ,

$$a^{N-1} \equiv 1 \pmod{N}$$

- Works for **15**. But for  $N = 341 = 31 \cdot 11$ , we have  $2^{341-1} \not\equiv 1 \pmod{341}$
- **Solution:** Fermat's theorem says for any  $a$ , not just **2**, so try other values.
- But, for  $N = 561 = 51 \cdot 11$  we have  $\forall a, a^{N-1} \equiv 1 \pmod{N}$ .
- These are called **Carmichael Numbers** and there are **infinitely many** of them.
- **Problem:** Fermat's theorem is not an if-and-only-if theorem.
- **Theorem:**  $N$  is a Carmichael number  $\Rightarrow N$  is not a prime power

# FERMAT'S PRIMALITY TEST (FOR NON-CARMICHAEL NUMBERS)

- A randomized primality test based on Fermat's Little Theorem.

**pick**  $a \in \{1 \dots N-1\}$  at random

**if**  $a^{N-1} \not\equiv 1 \pmod{N}$  **then**

**output** "N is composite"

**else**

**output** "N may be prime"

← Using divide-and-conquer algorithm with  $O(\log N)$  complexity

## Easy Facts:

Runs in **polynomial** time

On prime  $N$ , **always** outputs "N may be prime"

May **err** on composite  $N$

# FERMAT'S PRIMALITY TEST (FOR NON-CARMICHAEL NUMBERS)

- **Theorem:** If  $N$  is composite but not a Carmichael number, then the  $\Pr[\text{algorithm outputs "N may be prime"}] \leq 1/2$ .
- **Proof:**
  - Define  $B = \{ a \in \mathbb{Z}_N^* \mid a^{N-1} \equiv 1 \pmod{N} \}$
  - $B$  contains all the inputs which cause Fermat's test to produce an error. It is a **subgroup** of  $\mathbb{Z}_N^*$ 
    - **Closure:**  $a^{N-1} \equiv 1 \pmod{N}, b^{N-1} \equiv 1 \pmod{N} \Rightarrow (ab)^{N-1} \equiv 1 \pmod{N}$
    - **Identity:**  $1^{N-1} \equiv 1 \pmod{N}$
    - **Inverses:**  $a^{N-1} \equiv 1 \Rightarrow (a^{N-1})^{-1} \equiv 1 \Rightarrow (a^{-1})^{N-1} \equiv 1 \pmod{N}$
  - Since  $N$  is neither prime nor Carmichael,  $B \neq \mathbb{Z}_N^*$  so  $|B| < |\mathbb{Z}_N^*|$
  - Remember Lagrange's Theorem:  $|B| \text{ divides } |\mathbb{Z}_N^*| \Rightarrow |B| \leq 1/2 |\mathbb{Z}_N^*|$
  - Thus,  $\Pr[\text{randomly picking bad a value}] = |B| / |\mathbb{Z}_N^*| \leq 1/2$

# FERMAT'S PRIMALITY TEST (FOR NON-CARMICHAEL NUMBERS)

- Remember, we can boost the correctness probability of a randomized algorithm as high as we like by repeating it.
- Randomized primality test for **non-Carmichael N**:

**repeat** k times

**pick**  $a \in \{1 \dots N-1\}$  at random

**if**  $a^{N-1} \not\equiv 1 \pmod{N}$  **then**

**return** “N is composite”

**return** “N may be prime”

# FERMAT'S PRIMALITY TEST (FOR NON-CARMICHAEL NUMBERS)

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**if**  $a^{N-1} \not\equiv 1 \pmod{N}$  **then**

**return** “N is composite”

**return** “N may be prime”

- **Correct** with probability  $\geq 1 - \frac{1}{2^k}$

# QUADRATIC RESIDUE THEOREM (MODULAR SQUARE-ROOTS)

- **Theorem:** If  $N$  is **prime**, then the equation  $x^2 \equiv 1 \pmod{N}$  has only **two** solutions in  $\mathbb{Z}_N^*$  :

$$x \equiv 1 \pmod{N} \text{ and } x \equiv -1 \pmod{N}$$

- **Proof:**

- Suppose  $a^2 \equiv 1 \pmod{N}$ .
- Then  $(a+1)(a-1) = a^2 - 1 \equiv 0 \pmod{N}$ .
- Thus  $(a+1)(a-1)$  is a multiple of  $N$
- Since  $N$  is a prime, then either  $(a+1)$  or  $(a-1)$  is a multiple of  $N$
- Therefore either  $a \equiv 1 \pmod{N}$  or  $a \equiv -1 \pmod{N}$
- **Alternative formulation:** If there exists an integer  $1 < x < n-1$ , such that  $x^2 \equiv 1 \pmod{n}$ , then  $n$  is **composite**.

# NEW PRIMALITY TEST

- **New Idea for a Primality test:**

- If, on input  $N$ , can find  $x$  such that  $x^2 \equiv 1 \pmod{N}$  but  $x \not\equiv 1 \pmod{N}$  and  $x \not\equiv -1 \pmod{N}$ , then it's a proof that  $N$  is **not prime**.
- This idea will work for **all**  $N$

- *How do we find square roots of 1 (mod N) different from 1 and -1 ?*

- **Idea:** Take any  $a$  such that  $a^{N-1} \equiv 1 \pmod{N}$  (any  $a$  works for Carmichael numbers)



# NEW PRIMALITY TEST

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- If, on input  $N$ , can find  $x$  such that  $x^2 \equiv 1 \pmod{N}$  but  $x \not\equiv 1 \pmod{N}$  and  $x \not\equiv -1 \pmod{N}$ , then it's a proof that  $N$  is **not prime**.
- This idea will work for **all**  $N$

- *How do we find square roots of 1 (mod N) different from 1 and -1 ?*

- **Idea:** Take any  $a$  such that  $a^{N-1} \equiv 1 \pmod{N}$  (any  $a$  works for Carmichael numbers)

- Find  $s, t$  with  $N-1 = 2^s t$  with  $t$  **odd**. (how is this possible?)

- Compute  $a^{\frac{N-1}{2}} \pmod{N}$ ,  $a^{\frac{N-1}{4}} \pmod{N}$  ...  $a^{\frac{N-1}{2^s}} \pmod{N}$

- Find the first value that is different from 1 and -1

- **Theorem:**  $N$  Carmichael  $\Rightarrow \Pr[\text{finding a root different from 1 and -1}] \geq \frac{1}{2}$

# MILLER-RABIN PRIMALITY TEST

- **INPUT:**  $N > 2$  odd with  $N-1 = 2^s t$  such that  $t$  is odd.
- **OUTPUT:** “probably prime” or “composite”

## MILLER-RABIN (N)

if  $N = a^b$  with  $a, b > 1$  then return “composite”

pick random integer  $a$  in  $\mathbb{Z}_N^*$

if  $a^{N-1} \not\equiv 1 \pmod{N}$  then return “composite”

compute the sequence  $a^{\frac{N-1}{2}}, \dots, a^{\frac{N-1}{2^s}} \pmod{N}$

find the first element  $y \not\equiv 1 \pmod{N}$  in the sequence

(if it doesn't exist, return “composite”)

if  $y \not\equiv -1 \pmod{N}$  then return “composite”

else return “probably prime”

Check if  $N$  is a perfect power.

*Can we do it in polynomial time?*

# MILLER-RABIN PRIMALITY TEST: CORRECTNESS

- **If  $N$  is a prime**

- No matter how we choose  $a$ , algorithm always says “probably prime” since we can never find
  - $a$  s.t.  $a^{N-1} \not\equiv 1 \pmod{N}$
  - or a non-trivial root of 1  $\pmod{N}$ .

- **If  $N$  is composite**

- Need to prove there are many choices of  $a$  for which the algorithm will output “composite”
- **Show:**  $\Pr[\text{algorithm outputs “composite” on composite } N] \geq 1/2$

# MILLER-RABIN PRIMALITY TEST: CORRECTNESS

- Consider the set  $B$  of bad choices of  $a$  such that Miller-Rabin test says that  $N$  is prime when  $N$  is indeed composite.
- We want to prove  $|B| \leq (N-1)/2$ .
- We do it by proving that  $B$  is always contained in a proper sub-group of  $Z_N^*$  and therefore (using Lagrange's Theorem)  
 $|B| \leq \frac{1}{2} |Z_N^*|$
- Proof will be skipped.

# MILLER-RABIN PRIMALITY TEST

- For every **composite N**
  - The probability that Miller-Rabin makes a **mistake** saying that **N** is probably prime is  $\leq \frac{1}{2}$ .
  - **Repeat** the test **k times**, and say probably prime if and only if test never says **N** is composite.
  - Probability of making a mistake is  $\leq \frac{1}{2^k}$
- **Deterministic** polynomial-time always-correct primality testing algorithm exists, but not practical.
- In practice, use Miller-Rabin test with **k=80**.

# 4. GENERATING RANDOM PRIMES

- **Goal:** Pick a random prime number in  $\{1..N\}$
- **Brute force algorithm**
  - **List** all the primes in  $\{1..N\}$
  - **Pick** one at random
- **Listing the primes takes time polynomial in  $N$ .**
  - Remember,  $O(N \pi(N))$  multiplications
- Remember, the **size of the input  $N$**  is  $n = \log N$ .
- Thus, an algorithm polynomial in  $N$  is indeed **exponential** in its input size ( $N = 2^n$ ).
  - $O(N \pi(N))$  multiplications =  $O(2^n \pi(2^n))$  multiplications
- We want an algorithm that is **polynomial** in  $n$ .

# 4. GENERATING RANDOM PRIMES

## RANDOM-PRIME (N)

Pick a random number  $m \in \{1 \dots N\}$

Test if  $m$  is prime (e.g., run MILLER-RABIN ( $m$ ))

If  $m$  is prime, return  $m$

else, try again

- *What is the expected number of tries before finding a prime?*
- Let  $p$  be the probability of picking a prime on one try
  - $p = \pi(N) / N = 1 / \ln N$  (Prime Number Theorem)
- Expected number of tries is
  - $1/p = \ln N = O(\log N) = O(n)$

# INTEGER OPERATIONS

- Until now, we have only considered algorithm running times in terms of the number of **integer operations**
  - Multiplication, addition, division
- *But, in terms of **input length**, how long do these operations take?*
  - i.e., if we multiply two **n-bit** integers, how many **bitwise operations** will it take?
  - Are multiplication, addition, division all **one-step** operations taking the same amount of time?
  - What about **modular** multiplication, addition, division? Are they simpler because we have modulus **N** that simplifies the operations, or harder?



# REPRESENTATIONS OF INTEGERS

- **Theorem:** Every positive integer  $n$  can be written **uniquely** as

- $n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b^1 + a_0 b^0 \quad k \in \mathbb{N}, 0 < a_i < b, 1 < b, a_k \neq 0$

- **Definition:** The **base  $b$**  expansion (representation) of  $n$  is denoted by  $(a_k a_{k-1} \dots a_1 a_0)_b$

- $b = 2 \quad \rightarrow \quad \text{Binary}$
- $b = 16 \quad \rightarrow \quad \text{Hexadecimal}$
- $b = 8 \quad \rightarrow \quad \text{Octal}$
- $b = 10 \quad \rightarrow \quad \text{Decimal}$

# ALGORITHMS ON BINARY INTEGERS

- **n**: number of bits in the binary representation of an integer
  - Thus, for integer **N** we have  $n = \log N$
- Consider operations on **n-bit** integers.
- **Addition**: Takes  $O(n)$  steps.
- **Multiplication**: Takes  $O(n^2)$  steps.
- **Division**: Takes  $O(n^2)$  steps with optimized algorithms.
- **Overall**: addition is easy, multiplication is hard, division is harder.

# COMPLEXITY OF MODULAR OPERATIONS

- **Modular Multiplication: Compute  $ab \pmod{m}$** 
  - regular multiplication and then division, i.e. time complexity  $O(n^2)$
- **Modular Division: Compute  $a/b \pmod{m}$** 
  - Compute  $b^{-1} \pmod{m}$  first and then multiply  $ab^{-1} \pmod{m}$
  - Inverse does **not** always exists - we need  $\gcd(b,m) = 1$
- **Modular Inversion:**
  - Done via **Extended** Euclidean algorithm
- **Euclidean Algorithm**
  - **GCD ( $a, b$ )** // assume w.l.o.g.  $a \geq b$ 
    - if  $b = 0$  then return  $a$
    - else return  $\text{GCD}(b, a \bmod b)$

# CORRECTNESS OF EUCLIDEAN ALGORITHM

- **Lemma:** Let  $a = bq + r$ , where  $a, b, q$  and  $r$  are integers. Then we have  $\gcd(a, b) = \gcd(b, r)$
- **Proof:**
  - (i) Assume  $(c \mid a \wedge c \mid b)$ . Then show  $c \mid r$ 
    - We know  $c \mid a - bq$  (**WHY ??**) Remember  $r = a - bq$
    - Hence **any** common divisor of  $a$  and  $b$  is **also** a common divisor of  $b$  and  $r$ .
  - (ii) Assume  $(c \mid b \wedge c \mid r)$ . Then show  $c \mid a$ 
    - We know  $c \mid bq + r$  (**WHY ??**) Remember  $a = bq + r$
    - Hence **any** common divisor of  $b$  and  $r$  is **also** a common divisor of  $a$  and  $b$ .
  - (i) and (ii) together mean that **all** common divisors of  $(a, b)$  and  $(b, r)$  pairs are the same. Therefore their **greatest** common divisor must also be the same.

# COMPLEXITY OF EUCLIDEAN GCD ALGORITHM

**GCD** ( $a, b$ )

if  $b = 0$  then return  $a$

else return GCD( $b, a \bmod b$ )

- **Theorem:** If  $a > b \geq 0$  and the invocation of **GCD** performs  $k \geq 1$  recursive calls, then  $a \geq F_{k+2}$  and  $b \geq F_{k+1}$ .

- (where  $F_k$  is the  $k^{\text{th}}$  Fibonacci number)

- **Proof:** (by induction)

- $k = 1 \quad \Rightarrow \quad b \geq 1 = F_2, a \geq 2 = F_3$  Base case OK
- $k = n - 1 \quad \Rightarrow \quad b \geq F_n, a \geq F_{n+1}$  Inductive Hypothesis
- $k = n:$  OK
  - $\Rightarrow \quad b = a \bmod b \geq F_n, a = b \geq F_{n+1}$
  - $\Rightarrow \quad a \geq b + a \bmod b \geq F_{n+1} + F_n = F_{n+2}$

- **Running Time:**

- $F_k \approx ((1 + \sqrt{5}) / 2)^k / \sqrt{5} \quad \Rightarrow \quad (\sqrt{2})^k < F_k < 2^k$
- $n = \max\{\log a, \log b\}$  - number of bits to encode  $a$  and  $b$ 
  - $n \approx \log F_{k+2} \approx k$
- $\Theta(k) = \Theta(n)$  recursive calls with one **division** at each call (for  $a \bmod b$ )
- $\Theta(n^3)$  complexity in terms of **bit operations**

# EXTENDED EUCLIDEAN ALGORITHM

- **Theorem:** There exist integers  $s$  and  $t$  such that  $\gcd(a,b) = as + bt$
- $(\gcd, s, t) = \text{ExtendedGCD}(a, b)$ 
  - if  $b = 0$  then return  $(a, 1, 0)$
  - $(d', x', y') \leftarrow \text{ExtendedGCD}(b, a \bmod b)$
  - $(d, x, y) \leftarrow (d', y', x' - \text{floor}(a/b) y')$
  - return  $(d, x, y)$
- **Complexity:**  $O(n^3)$ 
  - Modular Inversion:  $O(n^3)$
  - Modular Division:  $O(n^3) + O(n^2) = O(n^3)$ 
    - Compute  $b^{-1} \pmod{m}$  as the  $s$  value in  $\text{ExtendedGCD}(b, m)$  where  $\gcd(b, m) = 1$
    - Then perform a modular multiplication  $ab^{-1} \pmod{m}$
    - Harder than regular division, harder than modular multiplication

# COMPLEXITY OF MODULAR OPERATIONS

- **Modular Exponentiation: Compute  $b^a \pmod{m}$** 
  - Trivial algorithm:
    - Compute  $b \pmod{m}$ ,  $b^2 \pmod{m}$ ,  $b^3 \pmod{m}$ , ...,  $b^a \pmod{m}$
    - $O(a)$  modular multiplications.  $a \sim 2^n \rightarrow O(2^n) \rightarrow \text{EXPONENTIAL!!}$
  - Square-and-multiply algorithm:
    - Compute  $b \pmod{m}$ ,  $b^2 \pmod{m}$ ,  $b^4 \pmod{m}$ ,  $b^8 \pmod{m}$ , ...
    - Then compute  $b^a \pmod{m}$  by multiplying powers of  $b$  where the corresponding bit of  $a$  is 1.
    - Takes  $O(n)$  modular multiplications  $\rightarrow O(n^3)$  bit operations. Lots of research in the area.
- Overall: **(Modular) Exponentiation is the hardest.** Know these when creating algorithms!!!

# CHINESE REMAINDER THEOREM

- Theorem: *(The Chinese Remainder Theorem)*
- Let  $m_1, m_2, \dots, m_n$  be pairwise relatively-prime positive integers.

The system

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

▪

▪

$$x \equiv a_n \pmod{m_n}$$

- has a **unique** solution in modulo  $m = m_1 \cdot m_2 \dots m_n$



# CHINESE REMAINDER THEOREM

- **Solution:**

- Let  $M_k = m / m_k$  for  $k = 1, 2, \dots, n$ .
- Hence  $\gcd(m_k, M_k) = 1$  (since  $m_i$  are pairwise relatively prime)
- Therefore  $\exists y_k$  inverse of  $M_k \bmod m_k$  s.t.  $M_k y_k \equiv 1 \pmod{m_k}$
- The solution can then be given as:
- $x = a_1 M_1 y_1 + a_2 M_2 y_2 + \dots + a_n M_n y_n$

- **Check:**

- Note:  $M_i \equiv 0 \pmod{m_k}$  when  $i \neq k$
- and  $M_k y_k \equiv 1 \pmod{m_k}$
- $x \pmod{m_k} = a_1 M_1 y_1 \pmod{m_k} + a_2 M_2 y_2 \pmod{m_k} + \dots + a_n M_n y_n \pmod{m_k}$
- $= 0 \pmod{m_k} + \dots + 0 \pmod{m_k} + a_k M_k y_k \pmod{m_k} + 0 \pmod{m_k} + \dots + 0 \pmod{m_k}$
- $\equiv a_k \pmod{m_k}$

# APPLICATIONS OF CHINESE REMAINDER THEOREM

- The Chinese Remainder Theorem is extremely useful **when working with large numbers** (e.g., cryptography, signal processing) just as the (Extended) Euclidean Algorithm is.
- **Computer Arithmetic with Large Numbers**
  - Suppose our computer can perform arithmetic operations with **integers  $< 100$**  much faster than with larger integers.
  - In reality, our computers can handle numbers  **$< 2^{30}$**  well
    - But we will say  **$< 100$**  so that we can understand the example
  - Yet, we want to work with much larger numbers (e.g.,  **$2^{2050}$** )
    - Again, for the sake of example, we want to work with numbers  **$< 100000000 = 10^8$**
  - Let us pick a group of **pairwise relatively-prime** numbers:  **$m_1 = 99$ ,  $m_2 = 98$ ,  $m_3 = 97$ ,  $m_4 = 95$ .**

# APPLICATIONS OF CHINESE REMAINDER THEOREM

- By CRT, every number  $< m_1 \cdot m_2 \cdot m_3 \cdot m_4 = 99 \cdot 98 \cdot 97 \cdot 95 = 89403930$  can be represented uniquely using these four moduli.

modulus	99	98	97	95
123684:	(33,	8,	9,	89)
+ 413456:	(32,	92,	42,	16)
<hr/>				
537140:	(65,	2,	51,	10)

*WHY CAN WE ADD PER MODULI ??*

BECAUSE  $(a+b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$

- We only perform additions per moduli (very fast operations), and then use CRT for the following system to find  $x = 537140$ :
  - $x \equiv 65 \bmod 99$
  - $x \equiv 2 \bmod 98$
  - $x \equiv 51 \bmod 97$
  - $x \equiv 10 \bmod 95$

# APPLICATIONS OF CHINESE REMAINDER THEOREM

- **Pseudoprime:** Composite integer  $N$  for which  $2^{N-1} \equiv 1 \pmod{N}$
- E.g.,  $341 = 11 \cdot 31$        $2^{340} \equiv 1 \pmod{341}$
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  - Use Chinese Remainder Theorem:
    - $2^{340} \equiv 1 \pmod{11}$
    - $2^{340} \equiv 1 \pmod{31}$
    - $\rightarrow 2^{340} \equiv 1 \pmod{341}$