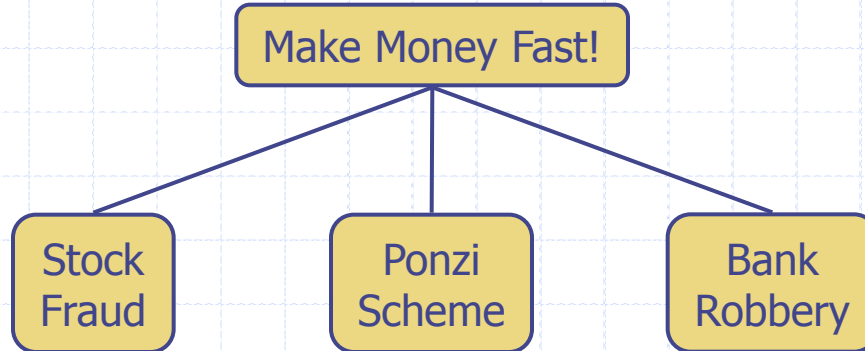
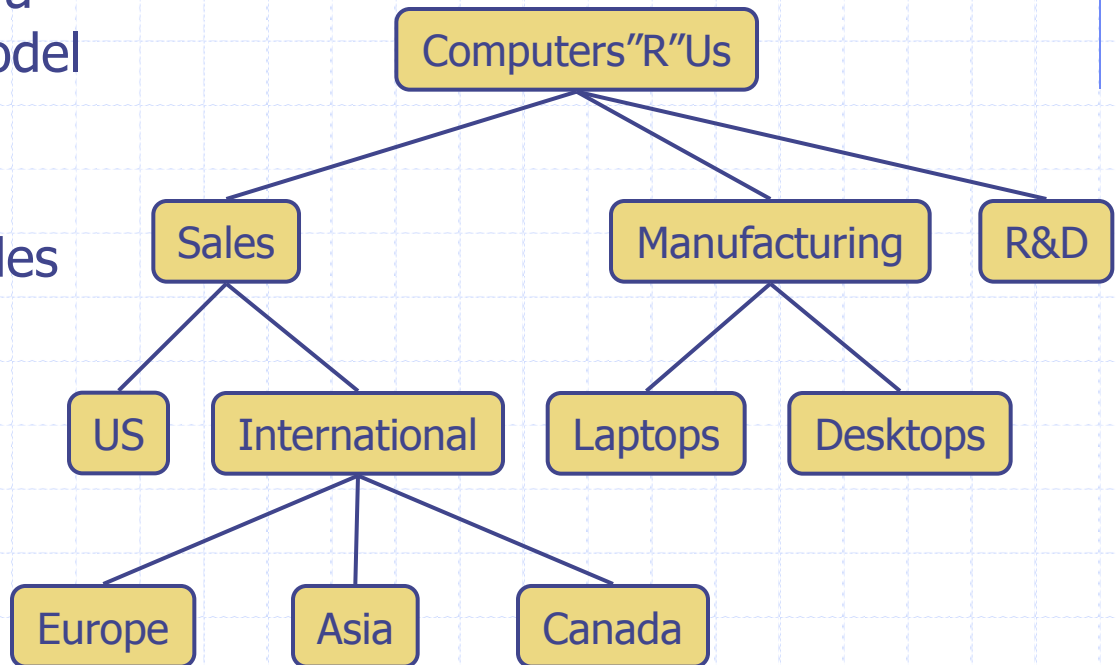


Trees



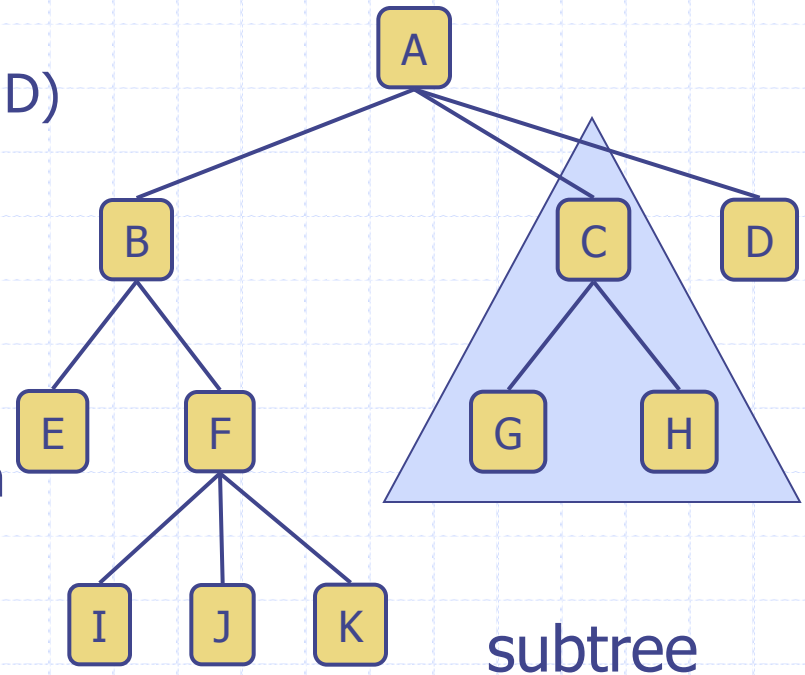
What is a Tree

- ❑ In computer science, a tree is an abstract model of a **hierarchical** structure
- ❑ A tree consists of nodes with a **parent-child relation**
- ❑ Applications:
 - Organization charts
 - File systems
 - Programming environments



Tree Terminology

- ❑ **Root**: node without parent (A)
- ❑ **Internal node**: node with at least one child (A, B, C, F)
- ❑ **External node** (a.k.a. **leaf**): node without children (E, I, J, K, G, H, D)
- ❑ **Ancestors** of a node: parent, grandparent, grand-grandparent, etc.
- ❑ **Depth** of a node: number of ancestors
- ❑ **Height** of a tree: maximum depth of any node (3)
- ❑ **Descendant** of a node: child, grandchild, grand-grandchild, etc.
- ❑ **Subtree**: tree consisting of a node and its descendants



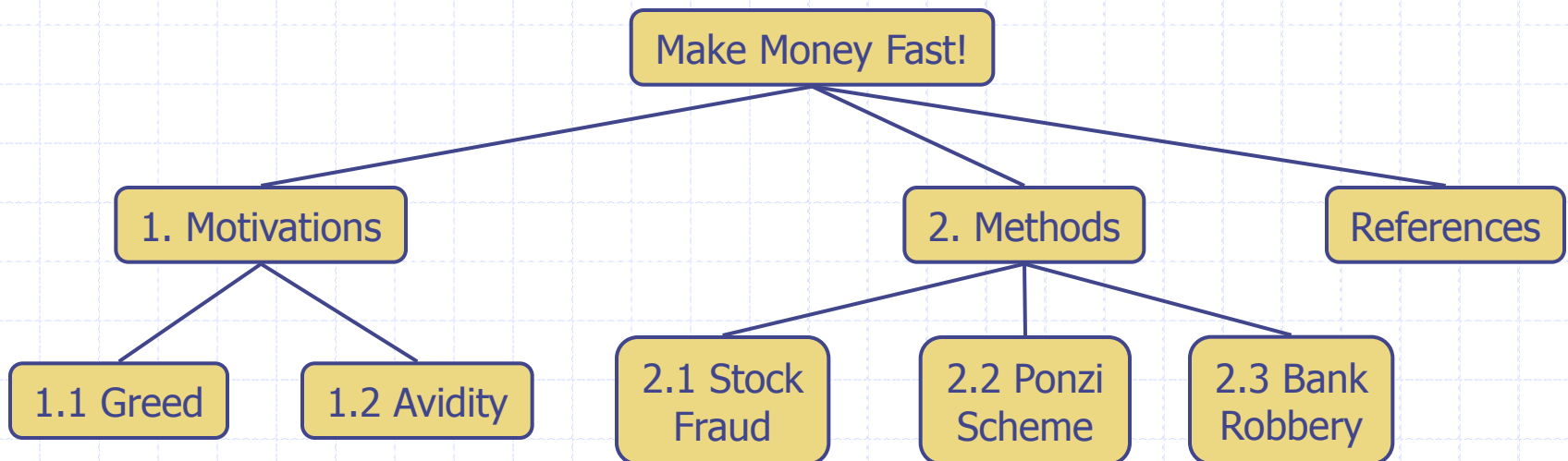
Tree ADT

- We use positions to abstract nodes
- Generic methods:
 - integer **size()**
 - boolean **isEmpty()**
 - Iterator **iterator()**
 - Iterable **positions()**
- Accessor methods:
 - position **root()**
 - position **parent(p)**
 - Iterable **children(p)**
- ◆ Query methods:
 - boolean **isInternal(p)**
 - boolean **isExternal(p)**
 - boolean **isRoot(p)**
- ◆ Update method:
 - element **replace** (p, o)
- ◆ Additional update methods may be defined by data structures implementing the Tree ADT

Preorder Traversal

- ❑ A traversal visits the nodes of a tree in a systematic manner
- ❑ In a **preorder** traversal, a node is visited before its descendants
- ❑ Application: table of contents

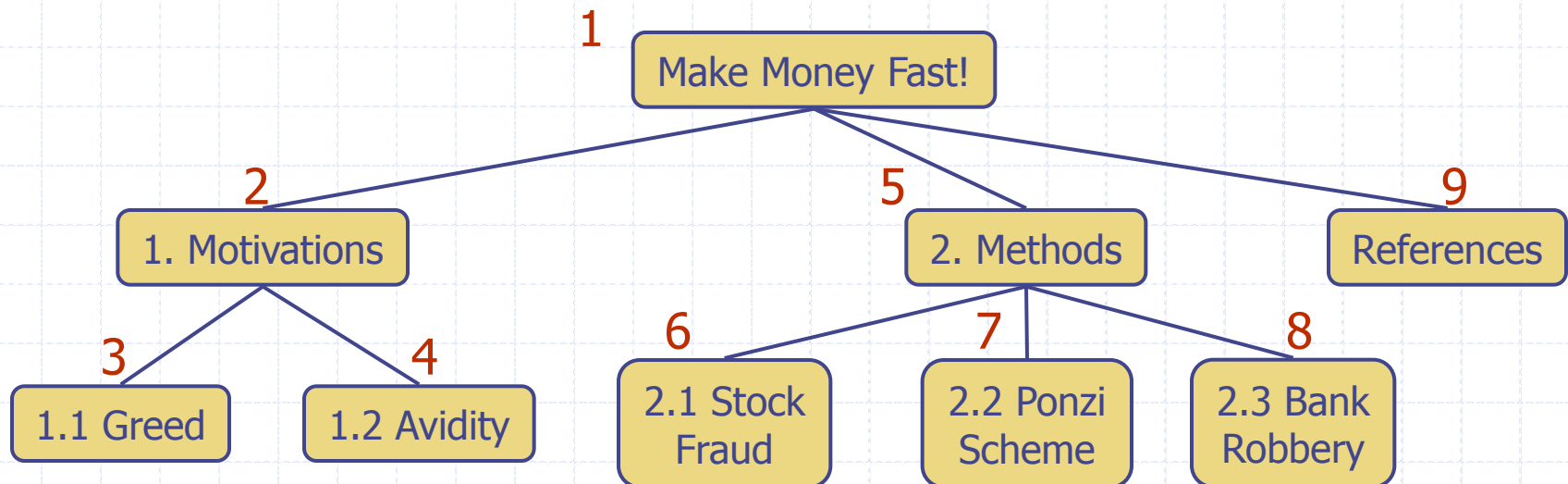
Algorithm *preOrder*(*v*)
 visit(*v*)
 for each child *w* of *v*
 preorder (*w*)



Preorder Traversal

- ❑ A traversal visits the nodes of a tree in a systematic manner
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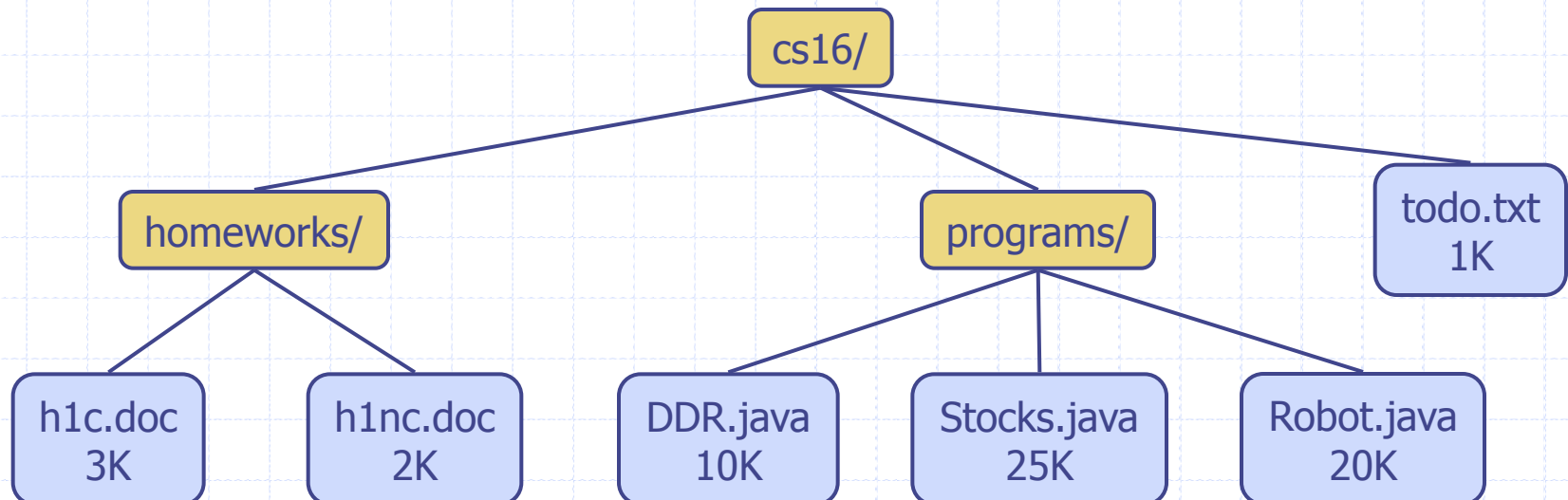
Algorithm *preOrder(v)*
visit(v)
for each child *w* of *v*
preorder(w)



Postorder Traversal

- ❑ In a **postorder** traversal, a node is visited after its descendants
- ❑ Application: compute space used by files in a directory and its subdirectories

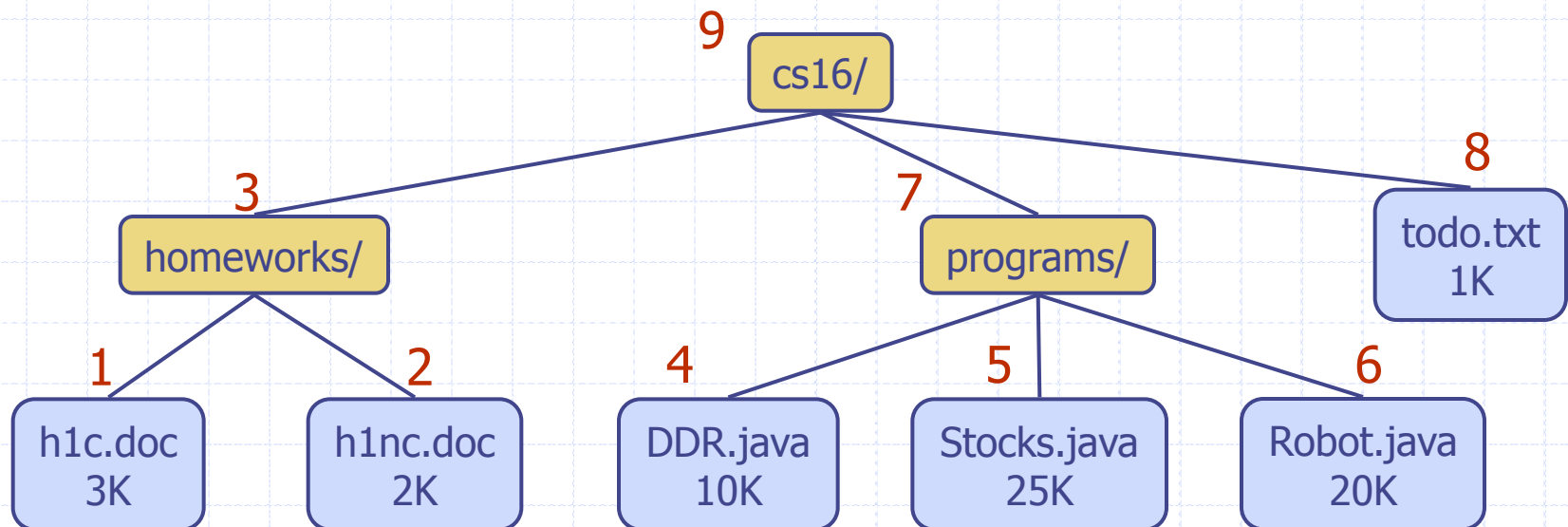
Algorithm *postOrder(v)*
for each child *w* of *v*
 postOrder(w)
visit(v)



Postorder Traversal

- In a **postorder** traversal, a node is visited after its descendants
- Application: compute space used by files in a directory and its subdirectories

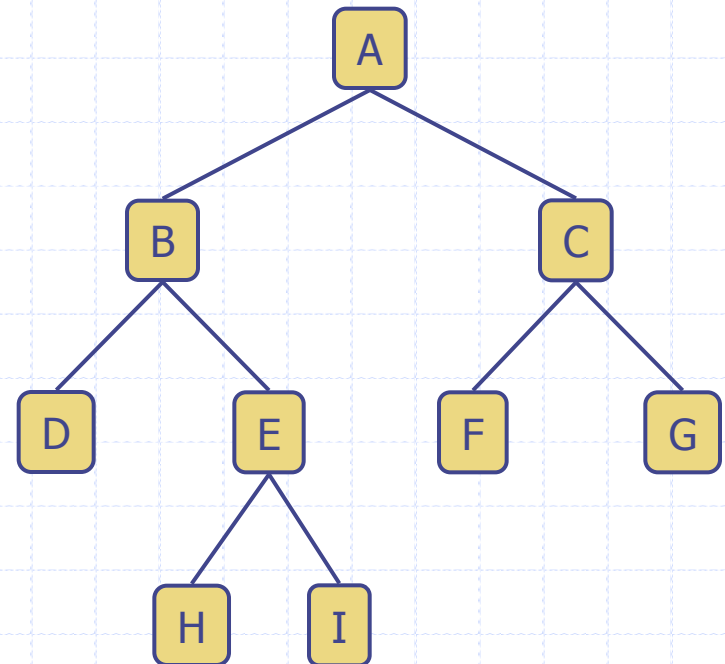
Algorithm *postOrder*(*v*)
 for each child *w* of *v*
 postOrder (*w*)
 visit(*v*)



Binary Trees

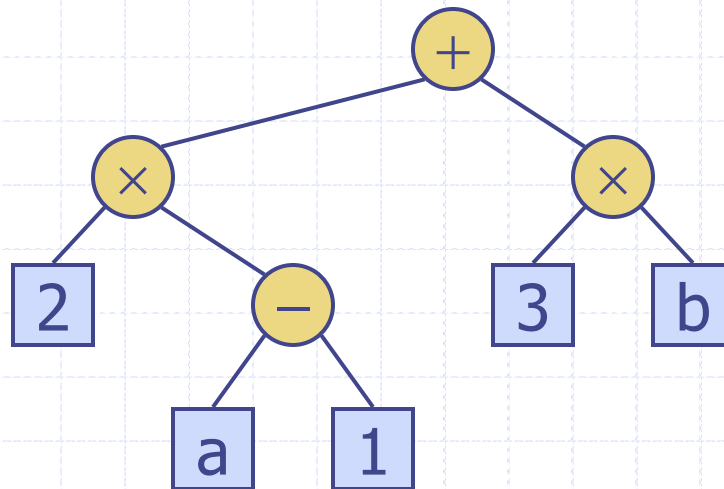
- A binary tree is a tree with the following properties:
 - Each internal node has **at most** two children (exactly two for **proper** binary trees)
 - The children of a node are an ordered pair
- We call the children of an internal node **left child** and **right child**
- Children with same parent are **siblings**
- Alternative recursive definition: a binary tree is either
 - a tree consisting of a single node, or empty
 - a tree whose root has an ordered pair of children, each of which is a binary tree

- Applications:
 - arithmetic expressions
 - decision processes
 - searching



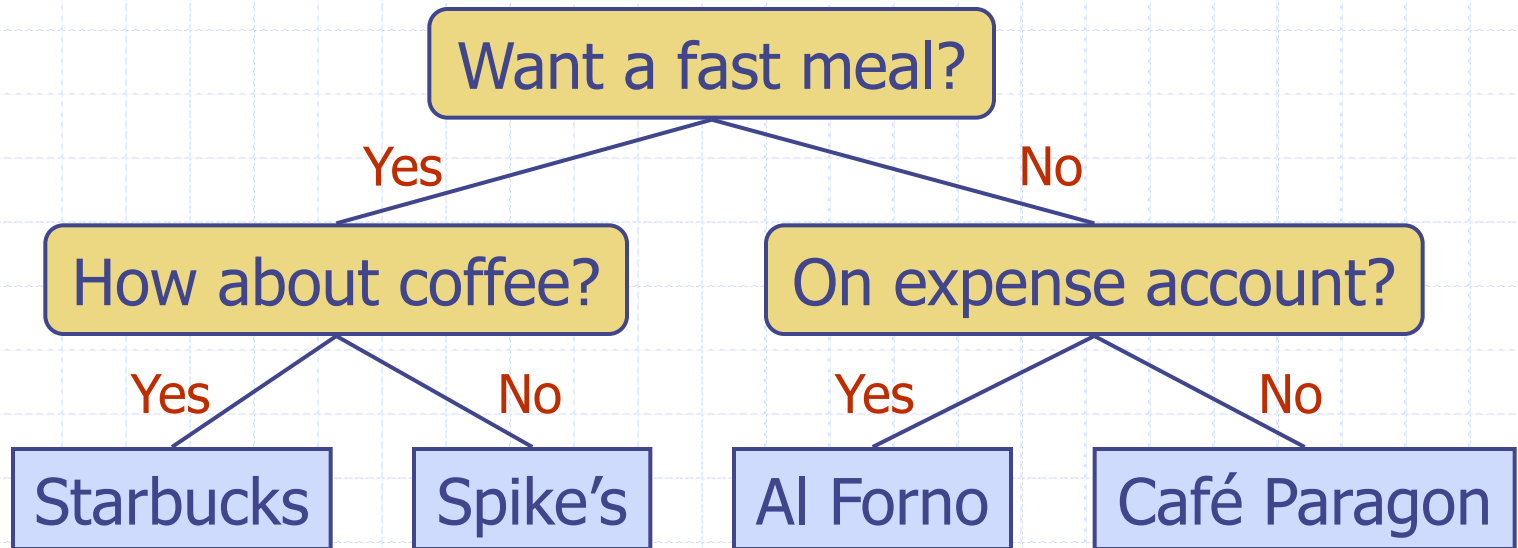
Arithmetic Expression Tree

- Binary tree associated with an arithmetic expression
 - internal nodes: operators
 - external nodes: operands
- Example: arithmetic expression tree for the expression $(2 \times (a - 1) + (3 \times b))$



Decision Tree

- Binary tree associated with a decision process
 - internal nodes: questions with yes/no answer
 - external nodes: decisions
- Example: dining decision



Properties of Proper Binary Trees

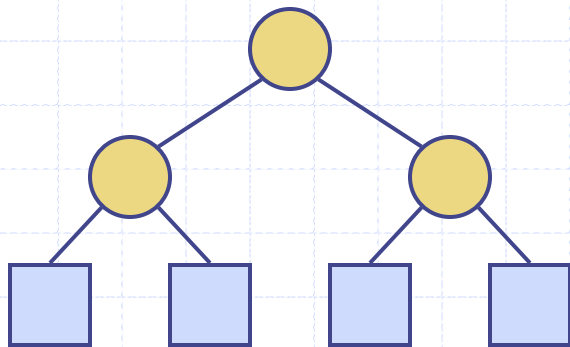
□ Notation

n number of nodes

e number of
external nodes

i number of internal
nodes

h height



◆ Properties:

■ $e = i + 1$

■ $n = 2e - 1$

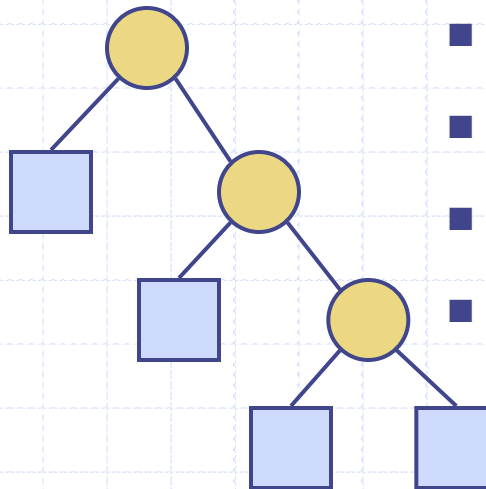
■ $h \leq i$

■ $h \leq (n - 1)/2$

■ $e \leq 2^h$

■ $h \geq \log_2 e$

■ $h \geq \log_2 (n + 1) - 1$



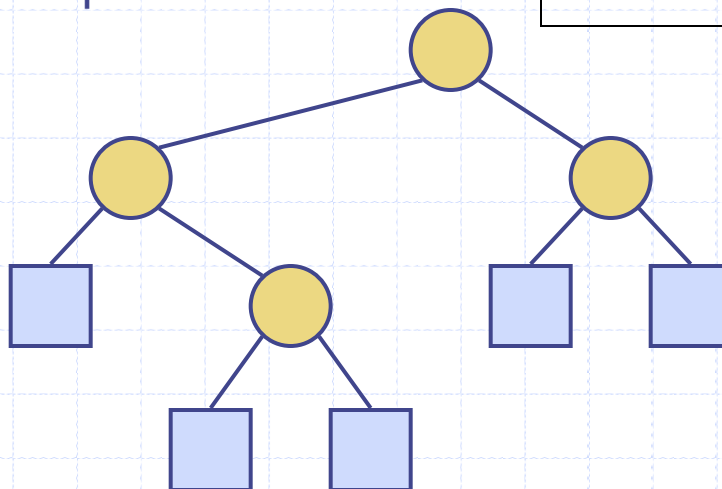
BinaryTree ADT

- The BinaryTree ADT extends the Tree ADT, i.e., it inherits all the methods of the Tree ADT
- Additional methods:
 - position **left**(p)
 - position **right**(p)
 - boolean **hasLeft**(p)
 - boolean **hasRight**(p)
- Update methods may be defined by data structures implementing the BinaryTree ADT

Inorder Traversal

- In an **inorder** traversal a node is visited after its left subtree and before its right subtree
- Application: draw a binary tree
 - $x(v)$ = inorder rank of v
 - $y(v)$ = depth of v

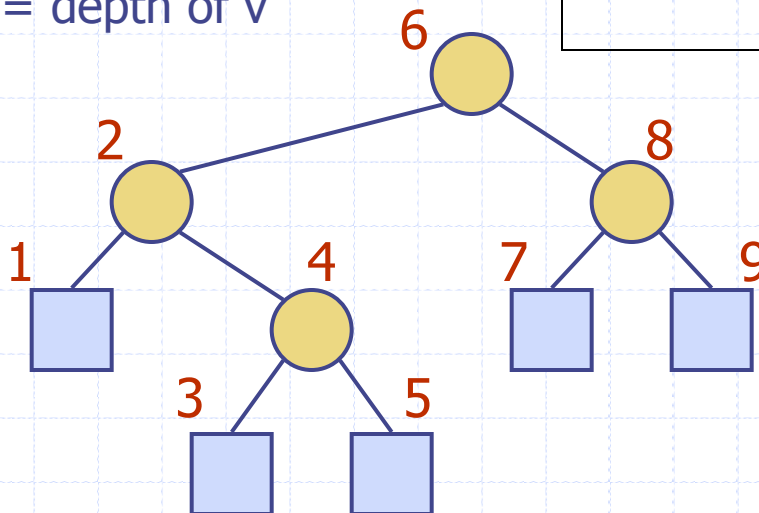
```
Algorithm inOrder( $v$ )  
  if hasLeft ( $v$ )  
    inOrder (left ( $v$ ))  
  visit( $v$ )  
  if hasRight ( $v$ )  
    inOrder (right ( $v$ ))
```



Inorder Traversal

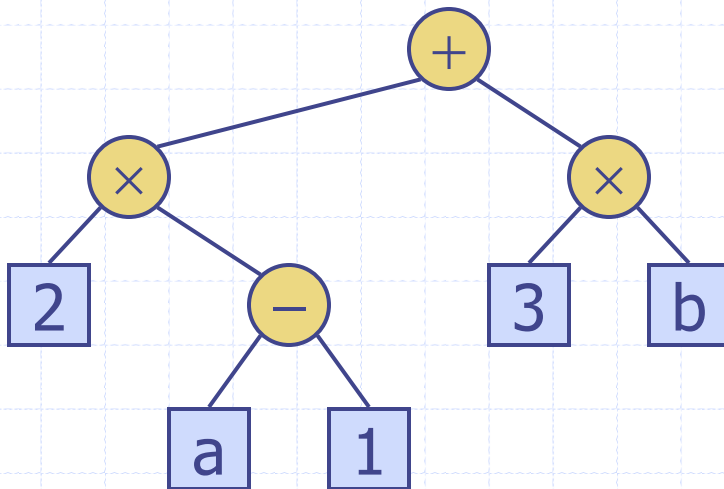
- In an **inorder** traversal a node is visited after its left subtree and before its right subtree
- Application: draw a binary tree
 - $x(v)$ = inorder rank of v
 - $y(v)$ = depth of v

```
Algorithm inOrder( $v$ )  
  if hasLeft ( $v$ )  
    inOrder (left ( $v$ ))  
  visit( $v$ )  
  if hasRight ( $v$ )  
    inOrder (right ( $v$ ))
```



Print Arithmetic Expressions

- Specialization of an **inorder** traversal
 - print operand or operator when visiting node
 - print "(" before traversing left subtree
 - print ")" after traversing right subtree



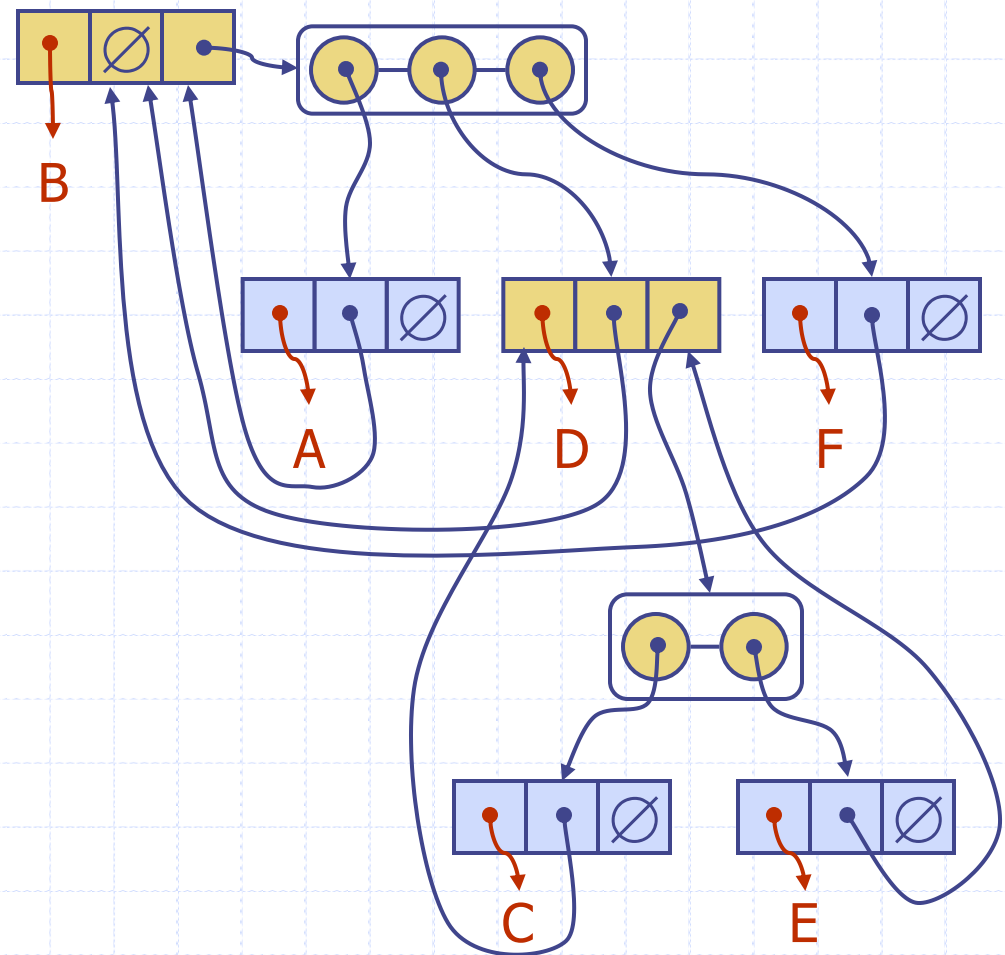
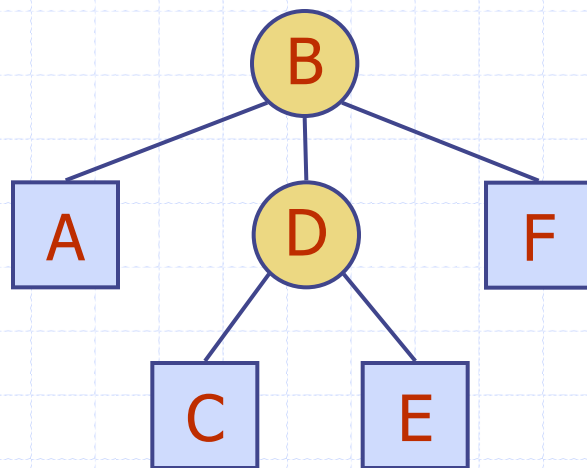
Algorithm *printExpression(v)*

```
if hasLeft (v)
    print("(")
    inOrder (left(v))
    print(v.element ())
if hasRight (v)
    inOrder (right(v))
    print(")")
```

$((2 \times (a - 1)) + (3 \times b))$

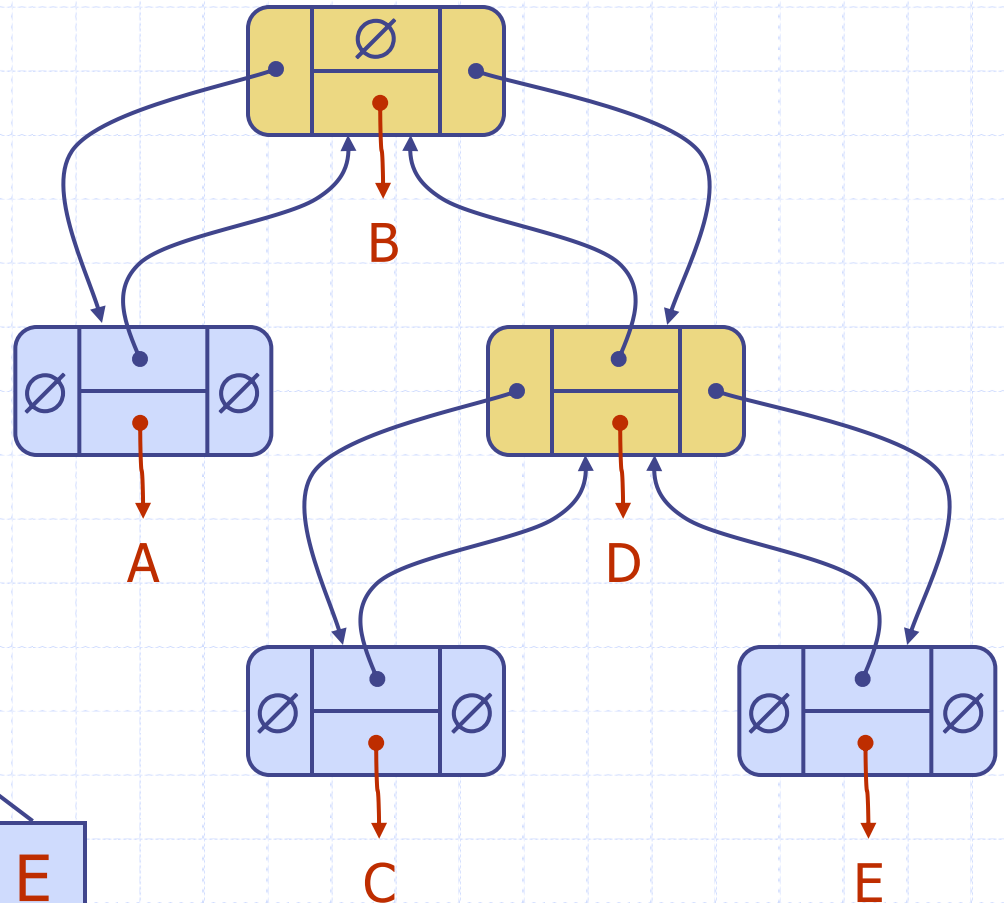
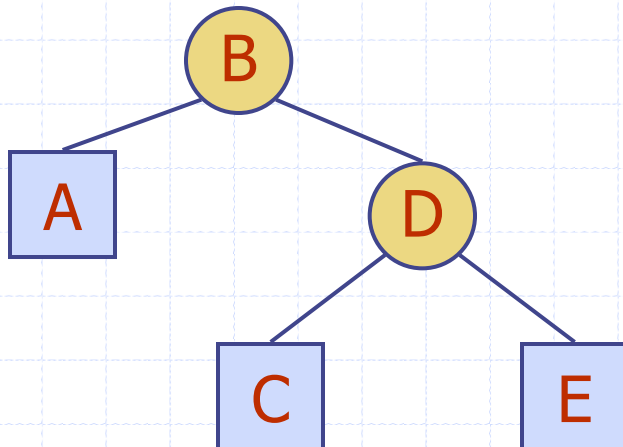
Linked Structure for Trees

- A node is represented by an object storing
 - Element
 - Parent node
 - Sequence of children nodes
- Node objects implement the Position ADT



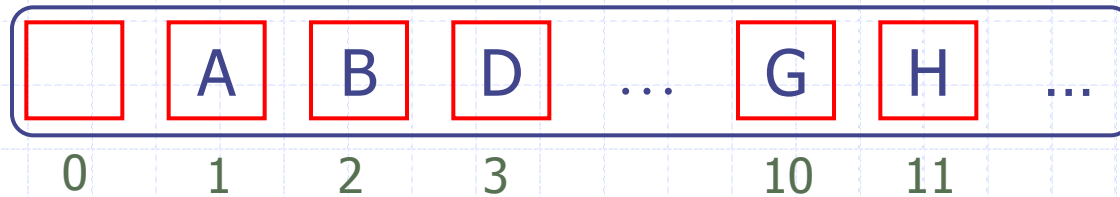
Linked Structure for Binary Trees

- A node is represented by an object storing
 - Element
 - Parent node
 - Left child node
 - Right child node
- Node objects implement the Position ADT

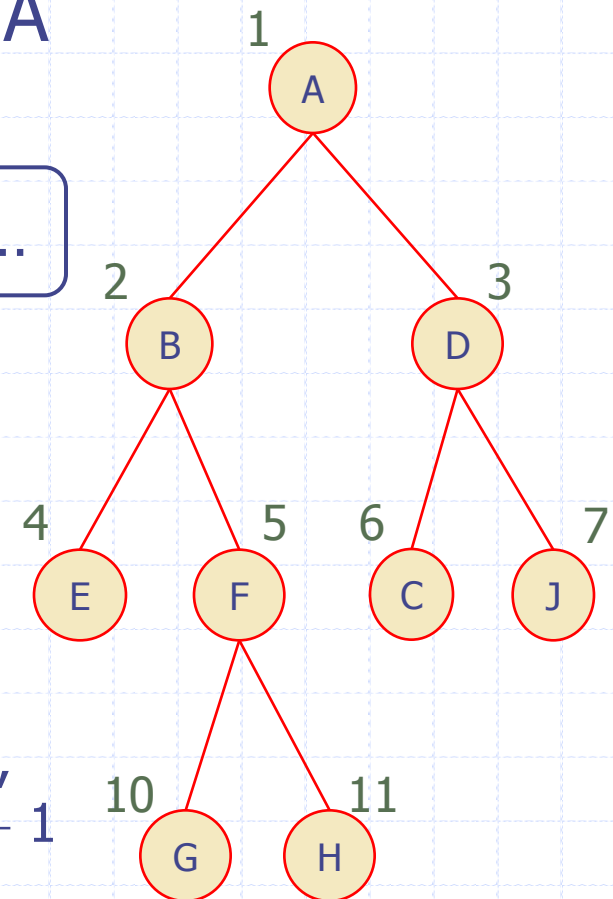


Array-Based Representation of Binary Trees

- Nodes are stored in an array A

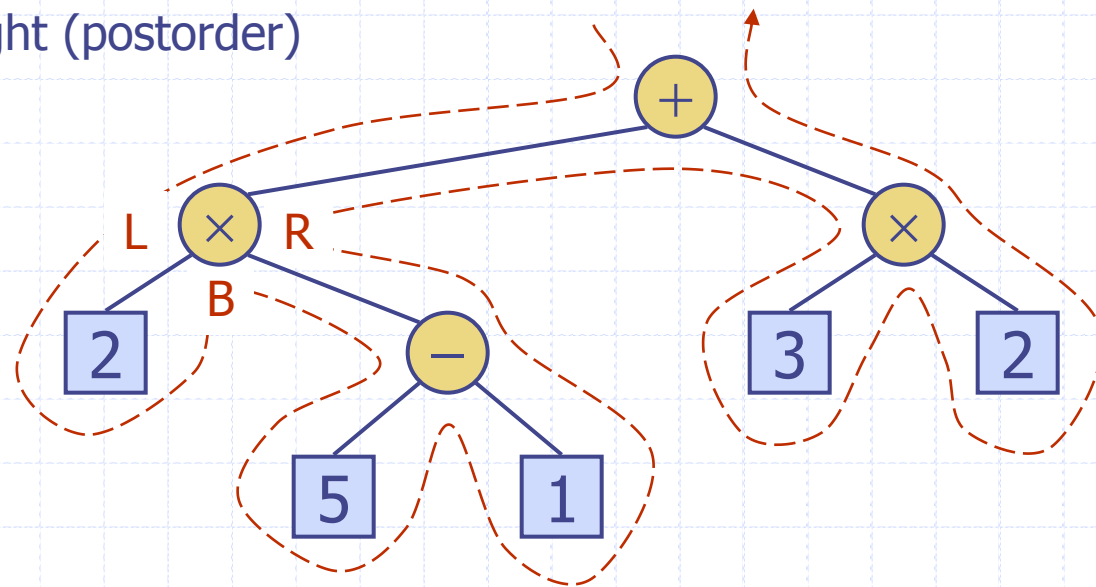


- Node v is stored at $A[\text{rank}(v)]$
 - $\text{rank}(\text{root}) = 1$
 - if node is the left child of $\text{parent}(\text{node})$,
 $\text{rank}(\text{node}) = 2 \cdot \text{rank}(\text{parent}(\text{node}))$
 - if node is the right child of $\text{parent}(\text{node})$,
 $\text{rank}(\text{node}) = 2 \cdot \text{rank}(\text{parent}(\text{node})) + 1$



Euler Tour Traversal

- ❑ Generic traversal of a binary tree
- ❑ Includes a special cases the preorder, postorder and inorder traversals
- ❑ Walk around the tree and visit each node three times:
 - on the left (preorder)
 - from below (inorder)
 - on the right (postorder)



Template Method Pattern

- Generic algorithm
- Implemented by abstract Java class
- Visit methods redefined by subclasses
- Template method `eulerTour`
 - Recursively called on left and right children
 - A `TourResult` object with fields `left`, `right` and `out` keeps track of the output of the recursive calls to `eulerTour`

```
public abstract class EulerTour <E, R> {  
    protected BinaryTree<E> tree;  
    public abstract R execute(BinaryTree<E> T);  
    protected void init(BinaryTree<E> T) { tree = T; }  
    protected R eulerTour(Position<E> v) {  
        TourResult<R> r = new TourResult<R>();  
        visitLeft(v, r);  
        if (tree.hasLeft(p))  
            { r.left=eulerTour(tree.left(v)); }  
        visitBelow(v, r);  
        if (tree.hasRight(p))  
            { r.right=eulerTour(tree.right(v)); }  
        visitRight(v, r);  
        return r.out;  
    }  
    protected void visitLeft(Position<E> v, TourResult<R> r) {}  
    protected void visitBelow(Position<E> v, TourResult<R> r) {}  
    protected void visitRight(Position<E> v, TourResult<R> r) {}  
}
```

Specializations of EulerTour

- Specialization of class EulerTour to evaluate arithmetic expressions
- Assumptions
 - Nodes store ExpressionTerm objects with method getValue
 - ExpressionVariable objects at external nodes
 - ExpressionOperator objects at internal nodes with method setOperands(Integer, Integer)

```
public class EvaluateExpressionTour
    extends EulerTour<ExpressionTerm, Integer> {
    public Integer execute
        (BinaryTree<ExpressionTerm> T) {
        init(T);
        return eulerTour(tree.root());
    }
    protected void visitRight
        (Position<ExpressionTerm> v,
         TourResult<Integer> r) {
        ExpressionTerm term = v.element();
        if (tree.isInternal(v)) {
            ExpressionOperator op = (ExpressionOperator) term;
            op.setOperands(r.left, r.right); }
        r.out = term.getValue();
    }
}
```

Evaluate Arithmetic Expressions

- Specialization of a **postorder** traversal
 - recursive method returning the value of a subtree
 - when visiting an internal node, combine the values of the subtrees

Algorithm *evalExpr(v)*

if *isExternal* (*v*)

return *v.element* ()

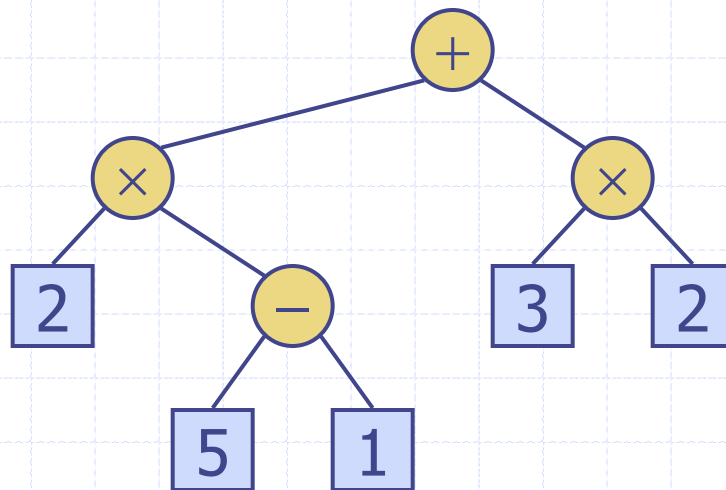
else

x ← *evalExpr*(*leftChild* (*v*))

y ← *evalExpr*(*rightChild* (*v*))

◇ ← operator stored at *v*

return *x* ◇ *y*



Binary Search Tree

- Is a binary tree
 - where elements can be totally ordered
 - ◆ E.g., Integers
- For each node v
 - All elements in its left subtree are $< v$
 - All elements in its right subtree are $> v$
- Enables efficient search

<http://www.cs.usfca.edu/~galle/s/visualization/BST.html>