

COMP 446 / 546

ALGORITHM DESIGN

AND ANALYSIS

LECTURE 6 LINEAR-TIME SORTING

ALPTEKİN KÜPÇÜ

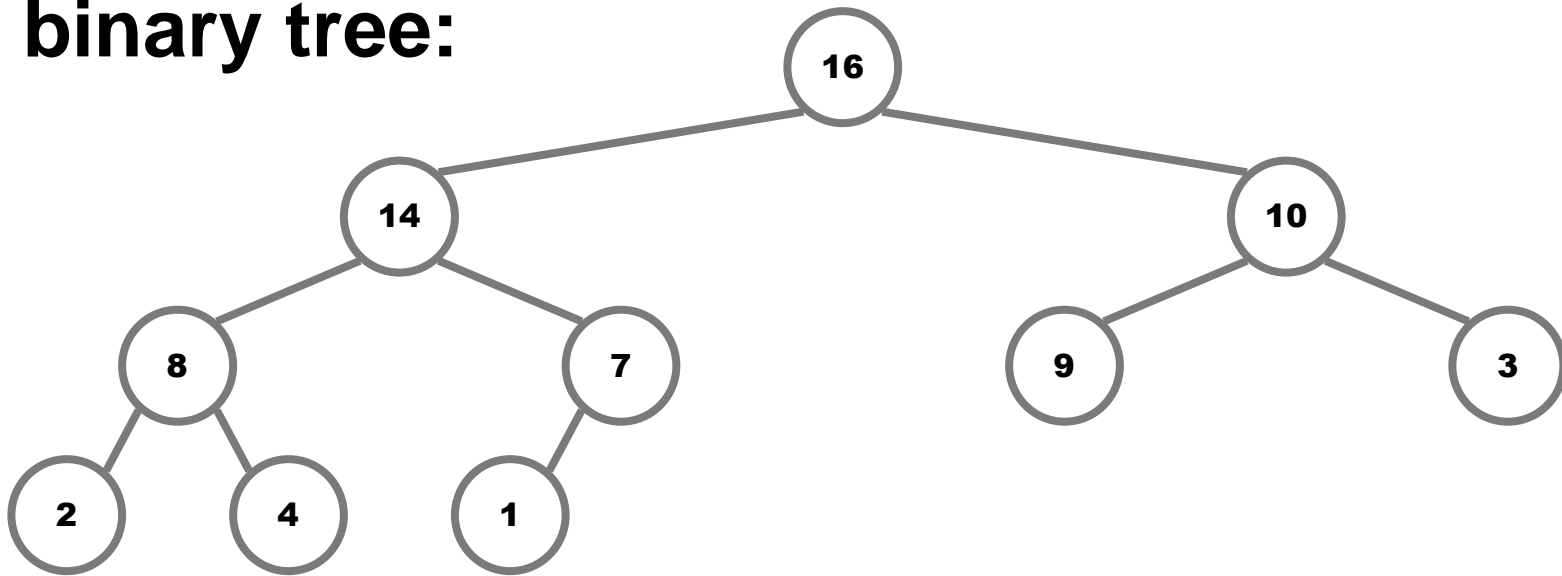
Based on slides of David Luebke, Jennifer Welch, Michael Goodrich, Roberto Tamassia,
and Cevdet Aykanat

SORTING REVISITED

- So far:
 - **Quicksort**
 - $O(n^2)$ worst-case, $O(n \log n)$ average-case
 - $O(n \log n)$ expected time for Randomized Quicksort
 - **Merge Sort**
 - $O(n \log n)$ worst-case running time
 - **Insertion Sort**
 - Sorts in-place
 - $O(n^2)$ worst-case but $O(n)$ best-case
- **Next: Heapsort**
 - Combines advantages of Merge Sort and Insertion Sort
 - $O(n \log n)$ worst-case, in-place
 - Another design paradigm

HEAP

- A *heap* can be seen as a nearly-complete binary tree:



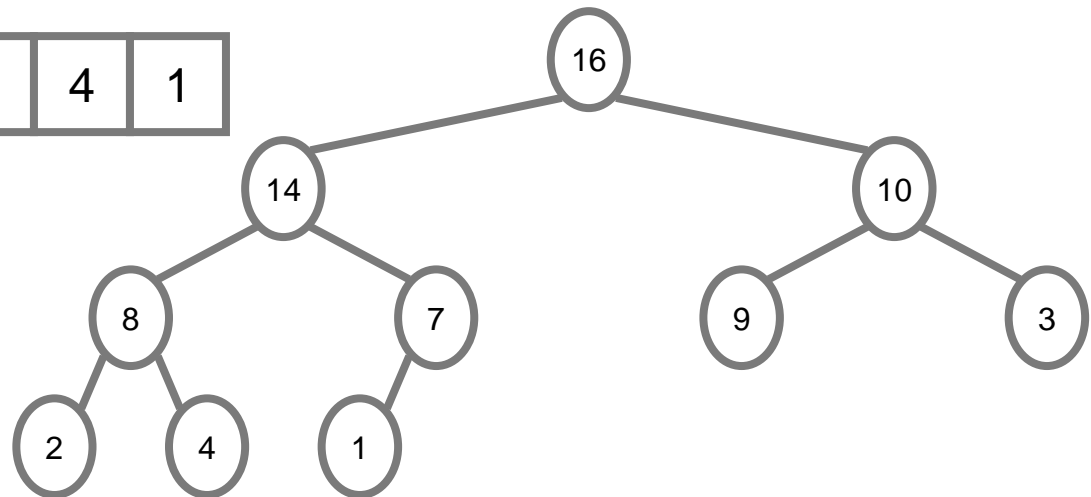
- *What makes a binary tree complete?*
- *Is the example above complete?*

ARRAY REPRESENTATION

- Represent a nearly-complete binary tree as an array:

- The **root** node is the first element $A[1]$
- Node i is $A[i]$
- The **parent** of node i is $A[i/2]$ (integer division)
- The **left child** of node i is $A[2i]$
- The **right child** of node i is $A[2i + 1]$

16	14	10	8	7	9	3	2	4	1
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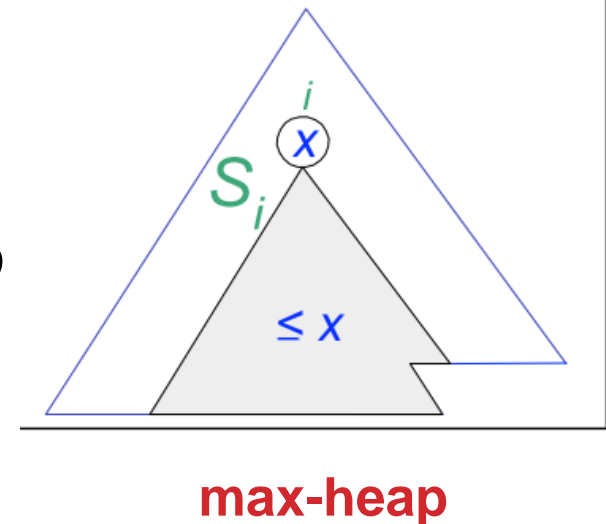


HEAP PROPERTY

- Heaps also satisfy the *heap property*:

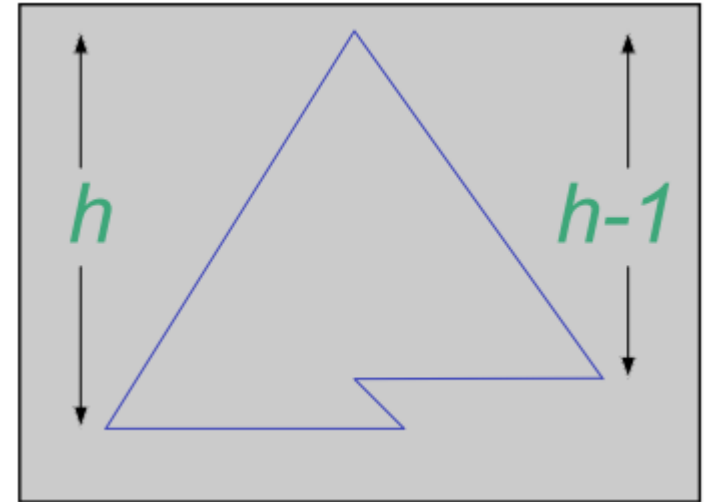
$$A[\text{Parent}(i)] \geq A[i] \quad \text{for all nodes } i > 1$$

- The value of a node is at most the value of its parent
- **Where is the *largest* element in a heap stored?**
 - Largest element in a sub-tree of a heap is at the root of the sub-tree.
- For a **min-heap**, the relation would be otherwise:
 - $A[\text{Parent}(i)] \leq A[i]$



HEAP HEIGHT

- The *height* of a **node** in the tree is the number of edges on the longest (leftmost) path to a leaf
- The **height** of a **tree** is the height of its **root**
- *What is the height of an n -element heap?*



HEAP OPERATIONS: HEAPIFY()

- **HEAPIFY (i)** : maintain the heap property
 - **Given:** a node i in the heap with left child l and right child r
 - **Given:** two sub-trees rooted at l and r , **assumed to be heaps**
 - **Problem:** The sub-tree rooted at i may violate the heap property (**How?**)
 - **Action:** let the value of the parent node “float down” so sub-tree rooted at i satisfies the heap property
 - *What will be the basic operation between i , l , and r ?*

HEAP OPERATIONS: HEAPIFY()

HEAPIFY(A, i)

l = Left(i)

r = Right(i)

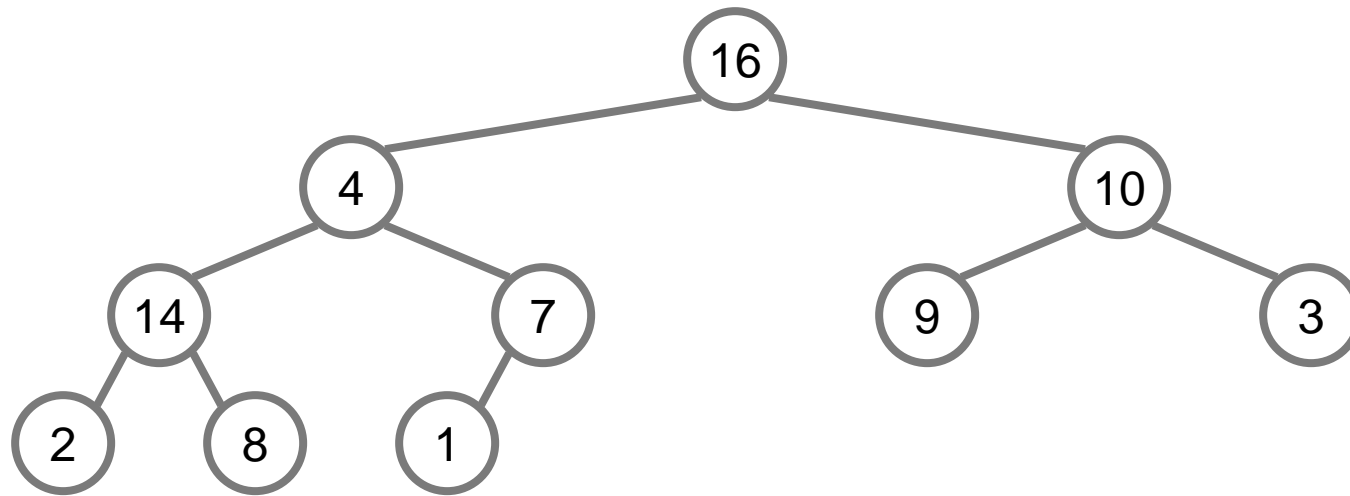
largest = indexof(max(A[i], A[l], A[r]))

if (largest != i) then

 swap A[i] \leftrightarrow A[largest]

 HEAPIFY(A, largest)

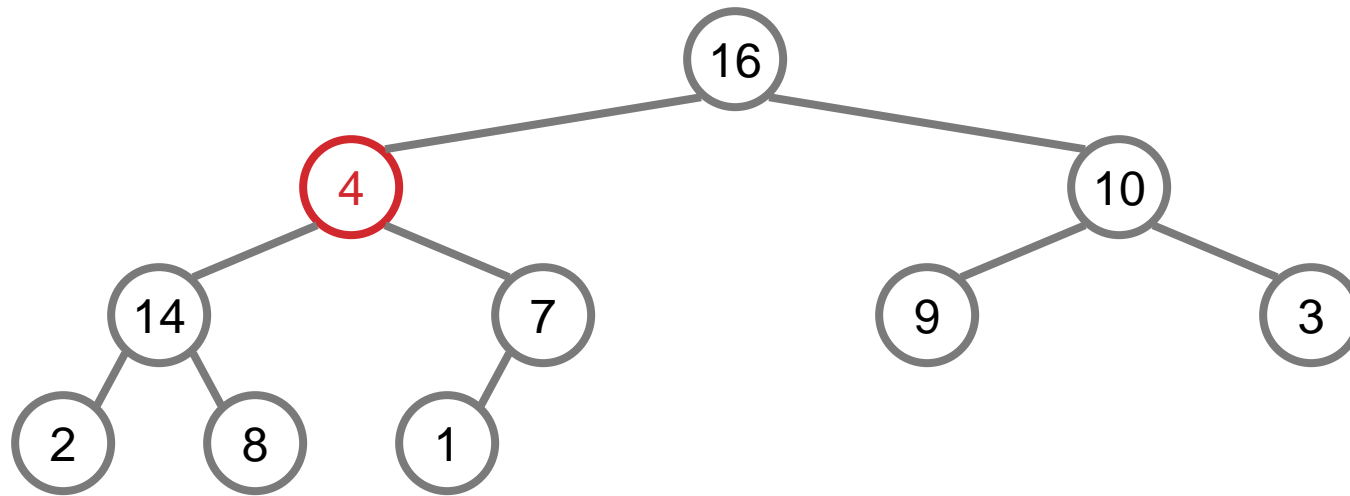
HEAPIFY() EXAMPLE



A =

16	4	10	14	7	9	3	2	8	1
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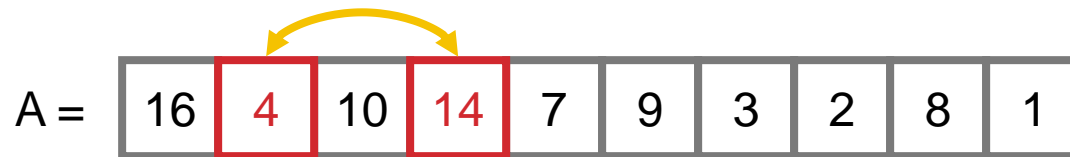
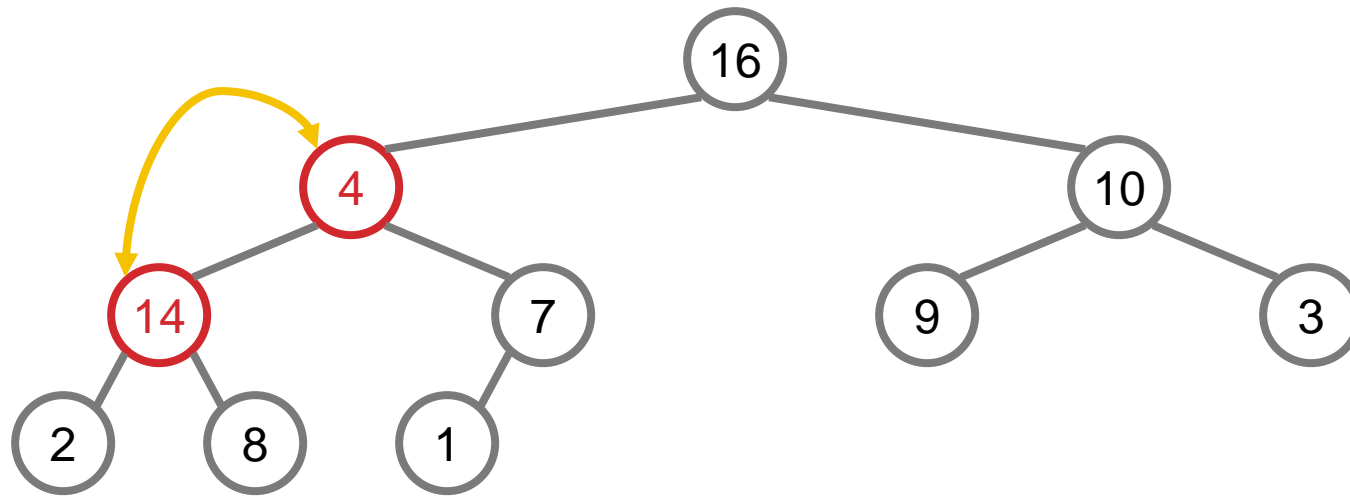
HEAPIFY() EXAMPLE



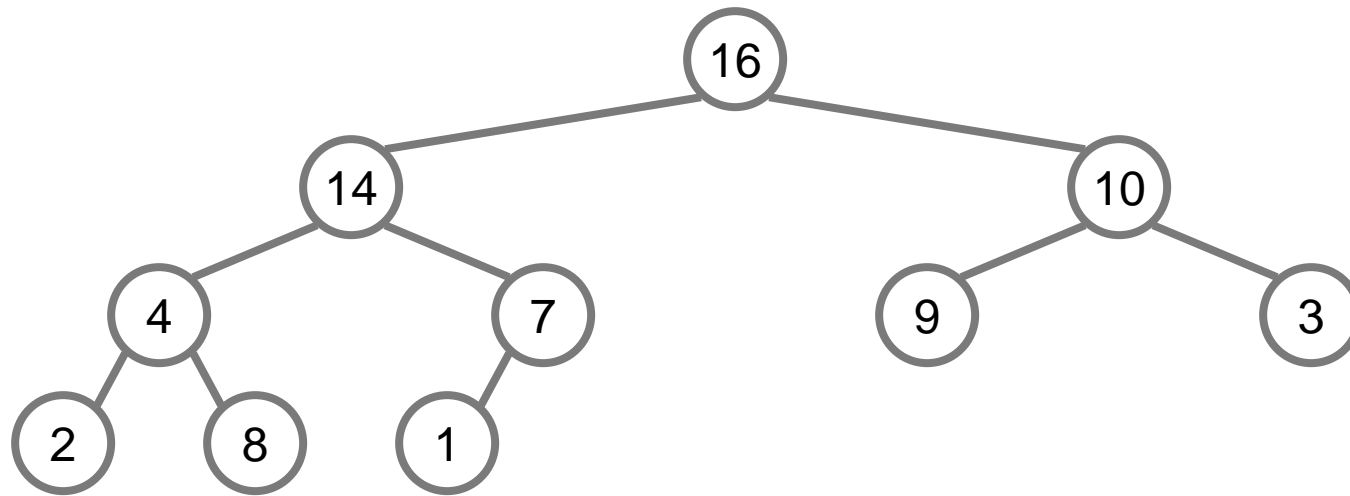
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HEAPIFY() EXAMPLE



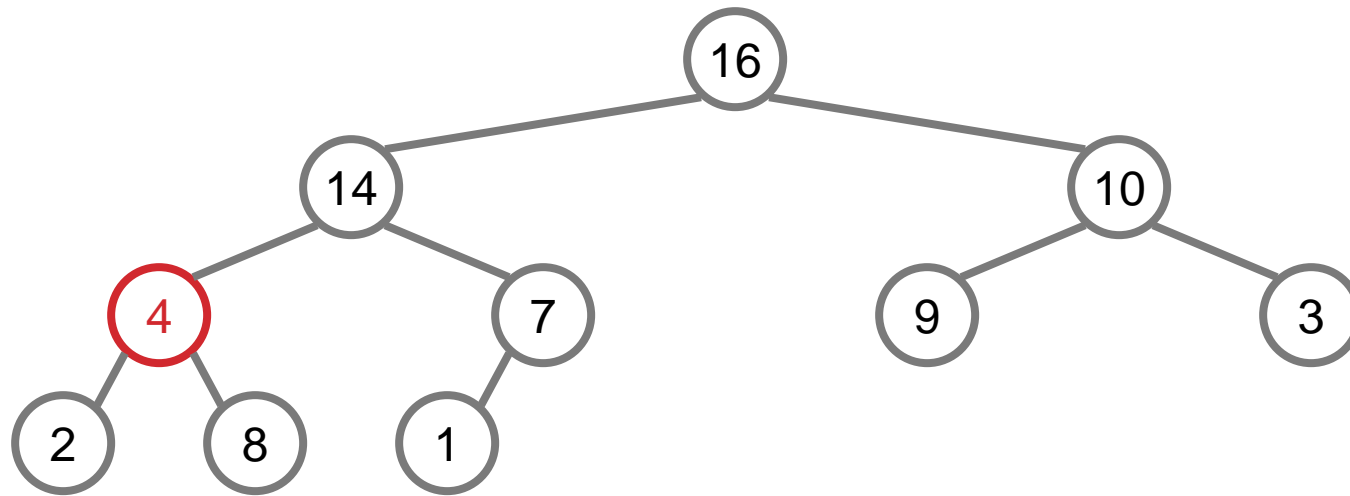
HEAPIFY() EXAMPLE



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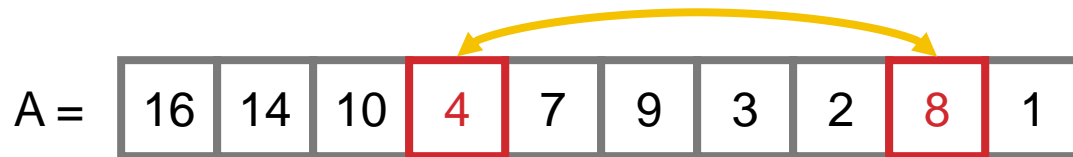
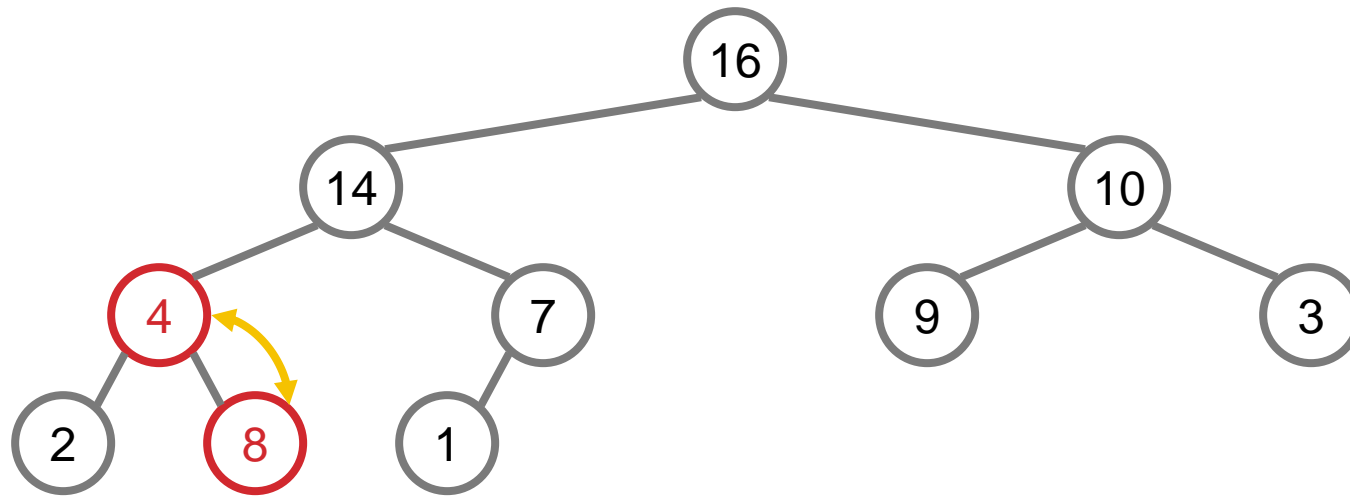
HEAPIFY() EXAMPLE



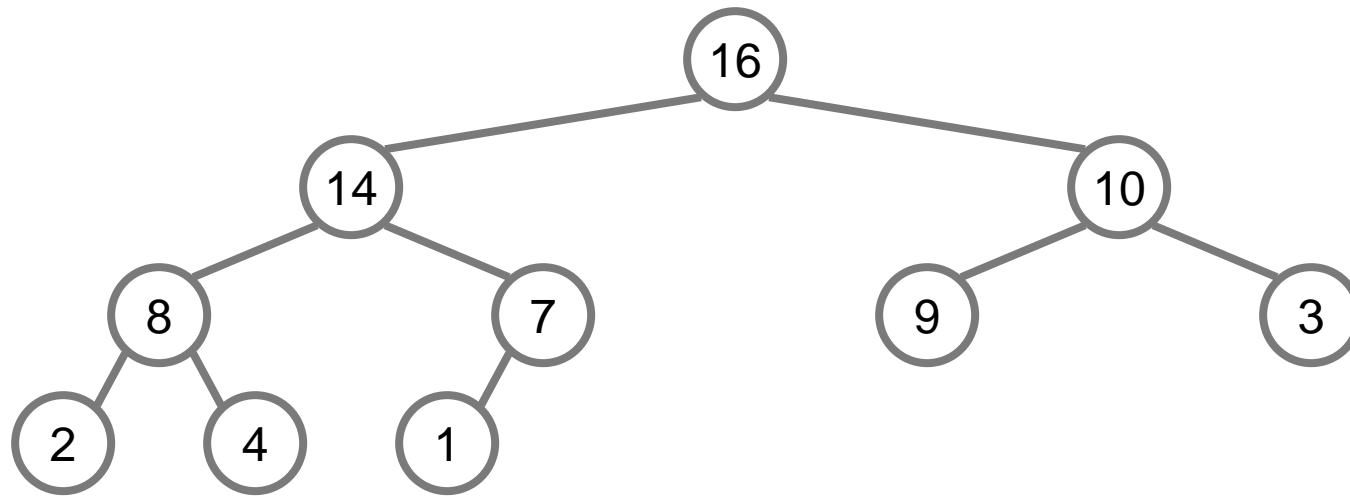
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HEAPIFY() EXAMPLE



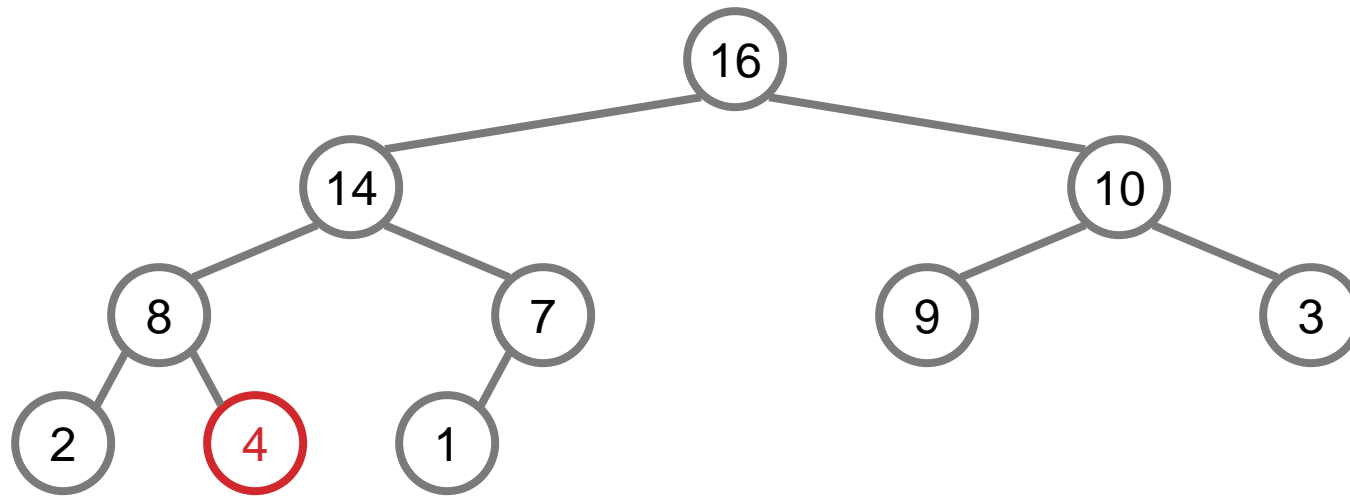
HEAPIFY() EXAMPLE



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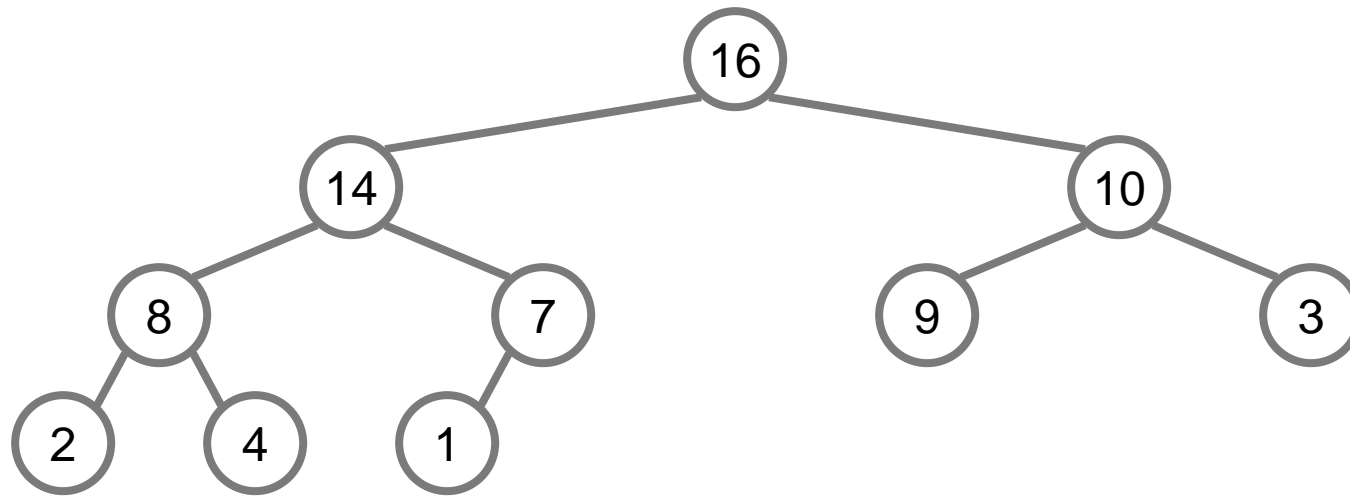
HEAPIFY() EXAMPLE



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HEAPIFY() EXAMPLE



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HEAPIFY() RUNNING TIME

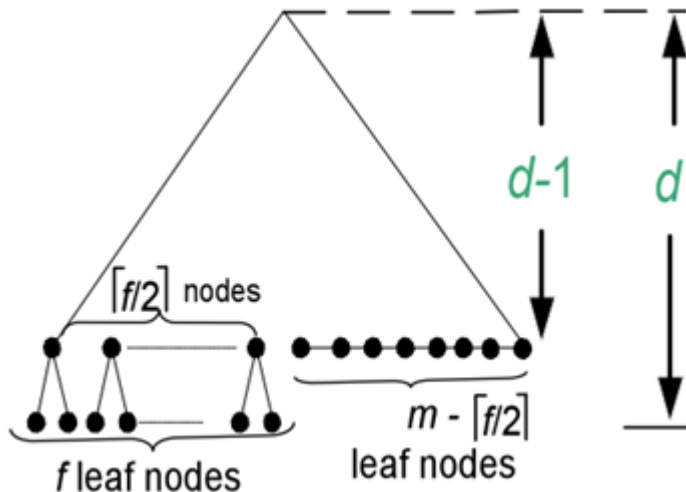
- Within a single recursive call, what is the running time of HEAPIFY () ?
- How many times can HEAPIFY () recursively call itself in the worst-case?
- What is the worst-case running time of HEAPIFY () on a heap of size n ?

HEAPIFY() RUNNING TIME

- Within a single recursive call, what is the running time of `HEAPIFY()` ?
 - $O(1)$
- How many times can `HEAPIFY()` recursively call itself in the worst-case?
 - $O(\text{height}) = O(\log n)$
- What is the worst-case running time of `HEAPIFY()` on a heap of size n ?
 - $O(\log n)$

HEAP OPERATIONS: BUILDHEAP()

- Build a heap in a **bottom-up** manner by running **HEAPIFY()** on successive sub-trees
- For array of length n , all elements in range $A[\lfloor n/2 \rfloor + 1 \dots n]$ are already heaps (*Why?*)



All leaves are heaps by default

Denote #nodes at level $d-1$ by m

$$m = 2^{d-1}$$

Total #nodes is n

$$n = 2^{d+1} - 1 - 2(m - f/2)$$

$$= 4m - 1 - 2m + f$$

$$= 2m + f - 1$$

$$\# \text{leaves} = m - f/2 + f = \lceil n/2 \rceil$$

HEAP OPERATIONS: BUILDHEAP()

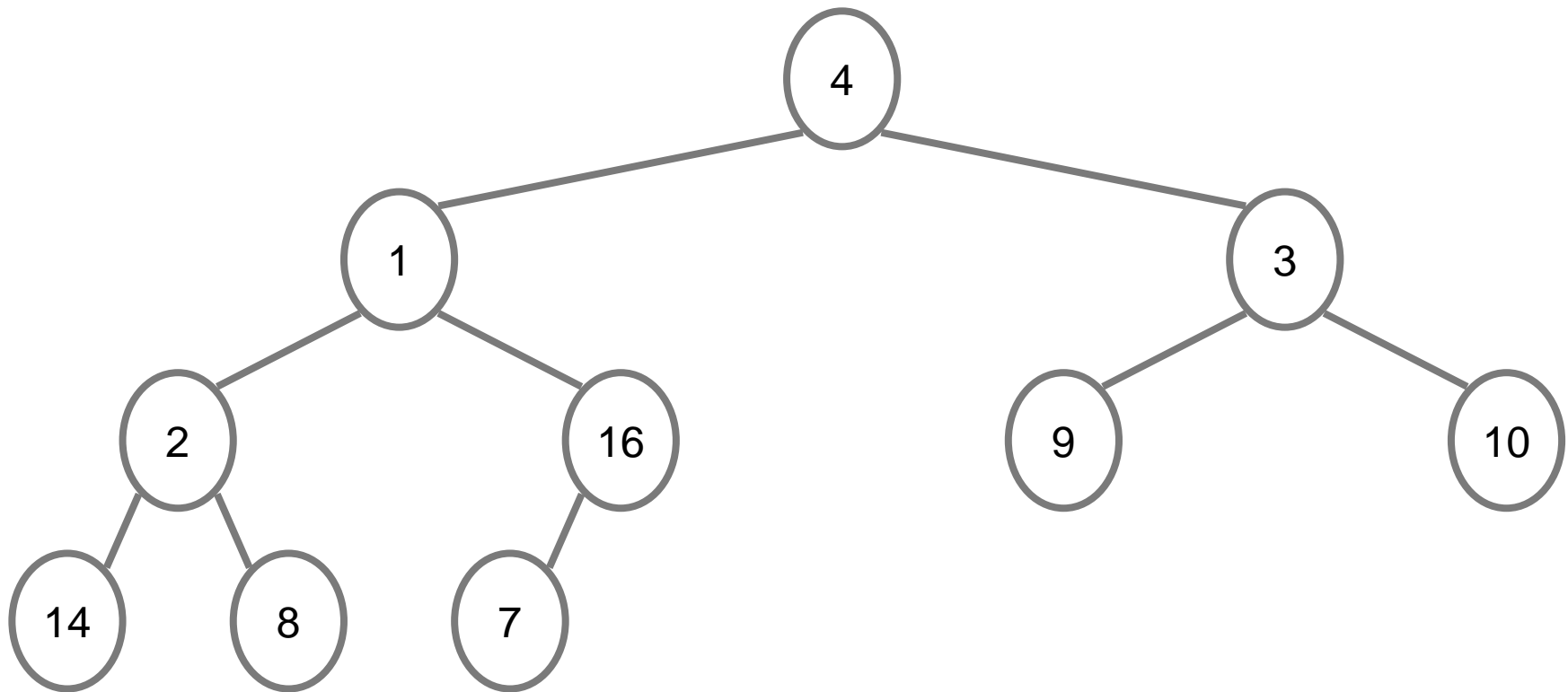
- Walk backwards through the array from $n/2$ to 1, calling `HEAPIFY()` on each node.
- Order of processing guarantees that the children of node i are already heaps when i is processed during `HEAPIFY(i)`

BUILDHEAP (A, n)

```
for (i =  $\lfloor n/2 \rfloor$  downto 1)
    HEAPIFY(A, i)
```

BUILDHEAP() EXAMPLE

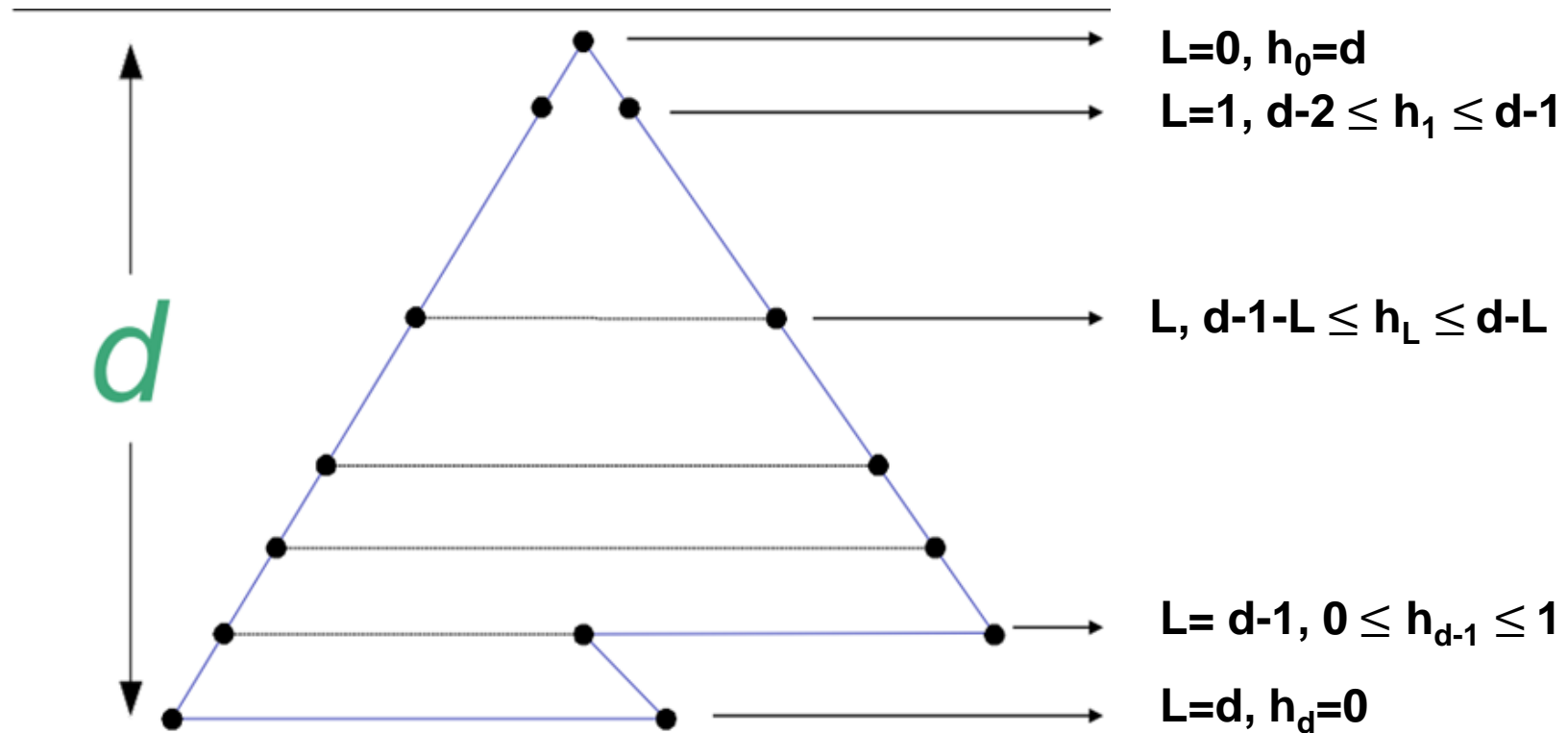
- Work through the example on the board
 $A = \{4, 1, 3, 2, 16, 9, 10, 14, 8, 7\}$



BUILDHEAP() RUNNING TIME

- Each call to HEAPIFY () takes $O(\log n)$ time
- There are $O(n)$ such calls ($\lfloor n/2 \rfloor$ calls indeed)
- Thus the running time is $O(n \log n)$
 - *Is this a correct asymptotic upper bound?*
 - *Is this an asymptotically tight bound?*
- A tighter bound is actually $O(n)$
 - *How can this be? Is there a flaw in the above reasoning?*

BUILDHEAP() : TIGHTER RUNNING TIME ANALYSIS



Let h_L denote height of a node at level L

We have $d-1-L \leq h_L \leq d-L$

BUILDHEAP() : TIGHTER RUNNING TIME ANALYSIS

- Assume that all nodes at the last complete level ($l = d - 1$) are processed (upper bound)

$$T(n) \leq \sum_{l=0}^{d-1} n_l O(h_l) = O\left(\sum_{l=0}^{d-1} n_l h_l\right)$$

$$T(n) \leq O\left(\sum_{l=0}^{d-1} 2^l (d-l)\right) \quad \left\{ \begin{array}{l} n_l = \# \text{ of nodes at level } l \leq 2^l \\ h_l = \text{height of nodes at level } l \leq d-l \end{array} \right.$$

Let $h = d - l \Rightarrow l = d - h$ (change of variables)

$$T(n) \leq O\left(\sum_{h=1}^d h 2^{d-h}\right) = O\left(\sum_{h=1}^d h 2^d / 2^h\right) = O\left(2^d \sum_{h=1}^d h (1/2)^h\right)$$

$$\text{But } 2^d = \Theta(n) \Rightarrow T(n) \leq O\left(n \sum_{h=1}^d h (1/2)^h\right)$$

BUILDHEAP() : TIGHTER RUNNING TIME ANALYSIS

- $\sum_{h=1}^d h (1/2)^h \leq \sum_{h=0}^d h (1/2)^h \leq \sum_{h=0}^{\infty} h (1/2)^h$
- **Recall infinite decreasing geometric series**
- $\sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$ where $|x| < 1$
- **Differentiate both sides**
- $\sum_{k=0}^{\infty} kx^{k-1} = \frac{1}{(1-x)^2}$
- **Then multiply both sides by x**
- $\sum_{k=0}^{\infty} kx^k = \frac{x}{(1-x)^2}$

BUILD-HEAP: TIGHTER RUNNING TIME ANALYSIS

- $\sum_{k=0}^{\infty} kx^k = \frac{x}{(1-x)^2}$
- In our case: $x=1/2$ and $k=h$
- $\sum_{h=0}^{\infty} h \left(\frac{1}{2}\right)^h = \frac{1/2}{\left(1-\frac{1}{2}\right)^2} = 2$
- $T(n) \leq O\left(n \sum_{h=1}^d h(1/2)^h\right) = O(2n) = O(n)$

BUILD-HEAP: TIGHTER RUNNING TIME ANALYSIS

- $\sum_{k=0}^{\infty} kx^k = \frac{x}{(1-x)^2}$
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- $\sum_{h=0}^{\infty} h \left(\frac{1}{2}\right)^h = \frac{1/2}{\left(1-\frac{1}{2}\right)^2} = 2$
- $T(n) \leq O\left(n \sum_{h=1}^d h(1/2)^h\right) = O(2n) = O(n)$
- **Intuition:**
 - Most HEAPIFY() calls occur at lower levels, since most of the nodes in a tree are at lower levels.
 - Those calls are very fast, $O(1)$ for the lowest levels that contain most of the nodes.
 - Only relatively few nodes at upper levels require $O(\log n)$ HEAPIFY() cost.

HEAPSORT

HEAPSORT (A, n)

 BUILDHEAP (A, n)

 Repeat until n = 2

 //The largest element is the root, which
 should be the last element in the sorted
 array

 swap A[1] \leftrightarrow A[n]

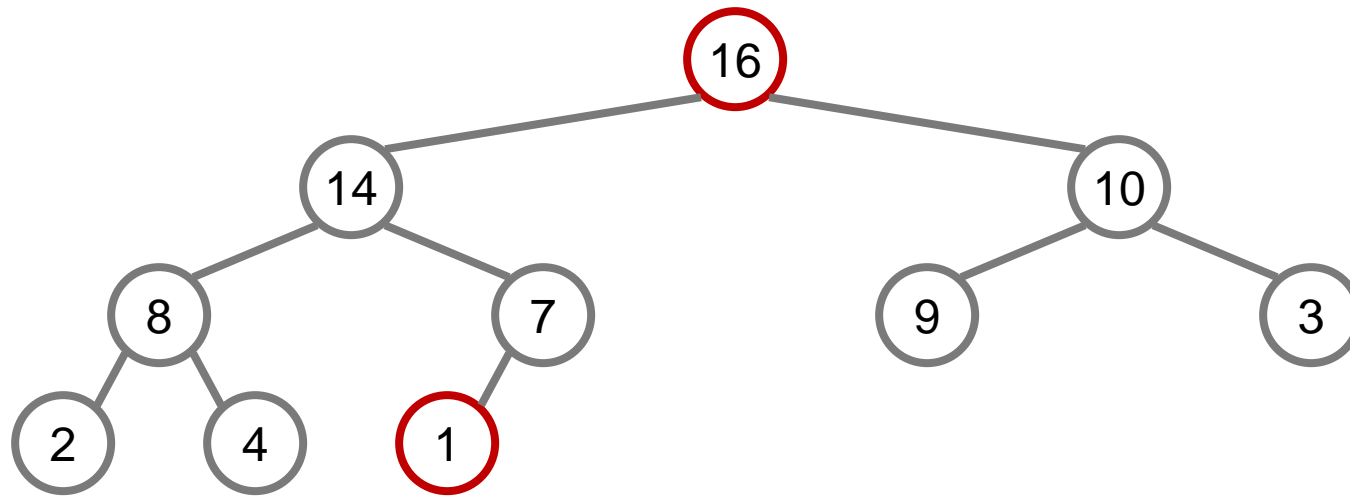
 //Discard node n from the heap (reduce
 heap size)

 //Sub-trees rooted at children of the root
 are heaps but the new root may violate
 heap property

 HEAPIFY (A, n - 1)

 set n = n - 1

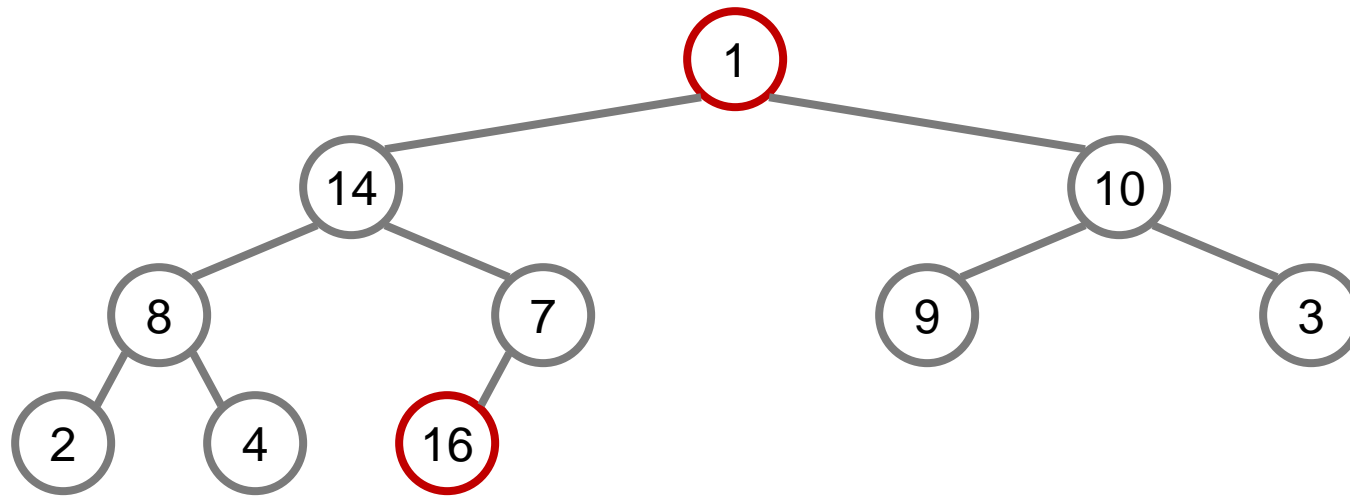
HEAPSORT EXAMPLE



A =

16	14	10	8	7	9	3	2	4	1
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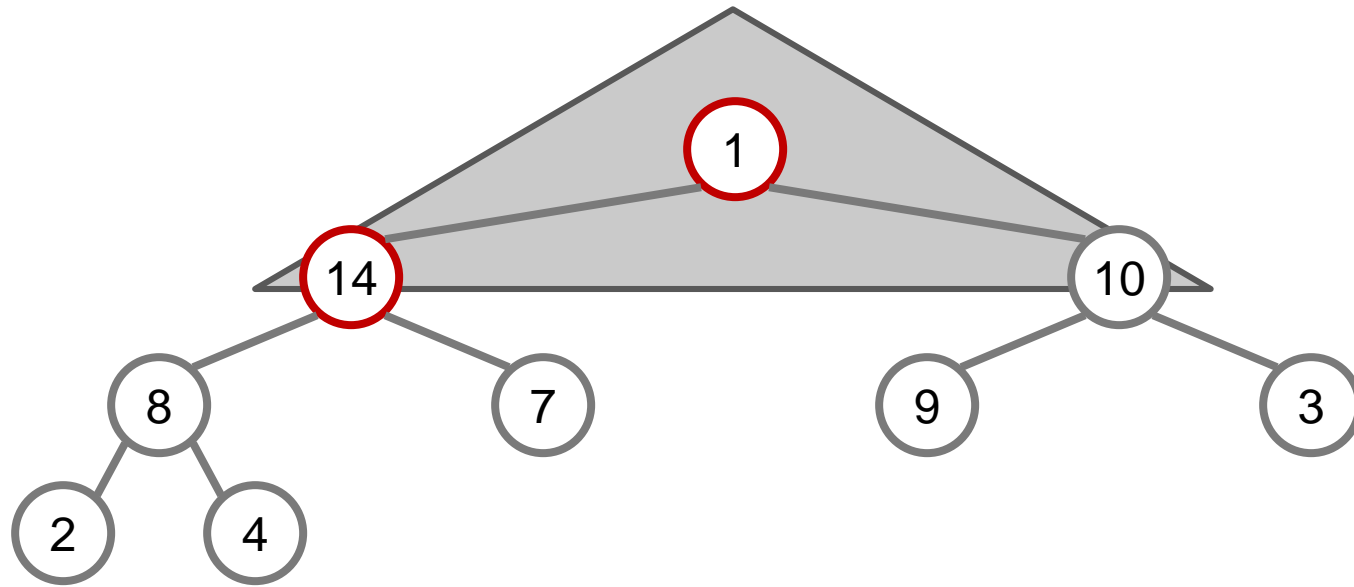
HEAPSORT EXAMPLE



A =

1	14	10	8	7	9	3	2	4	16
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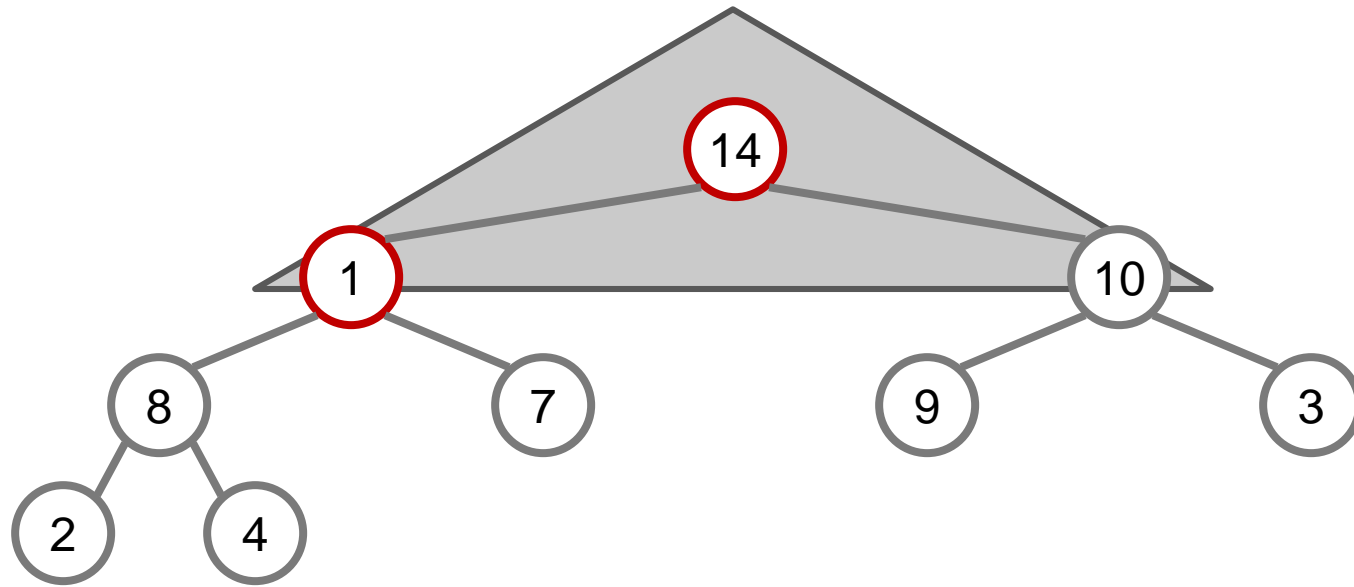
HEAPSORT EXAMPLE



A =

1	14	10	8	7	9	3	2	4	16
---	----	----	---	---	---	---	---	---	----

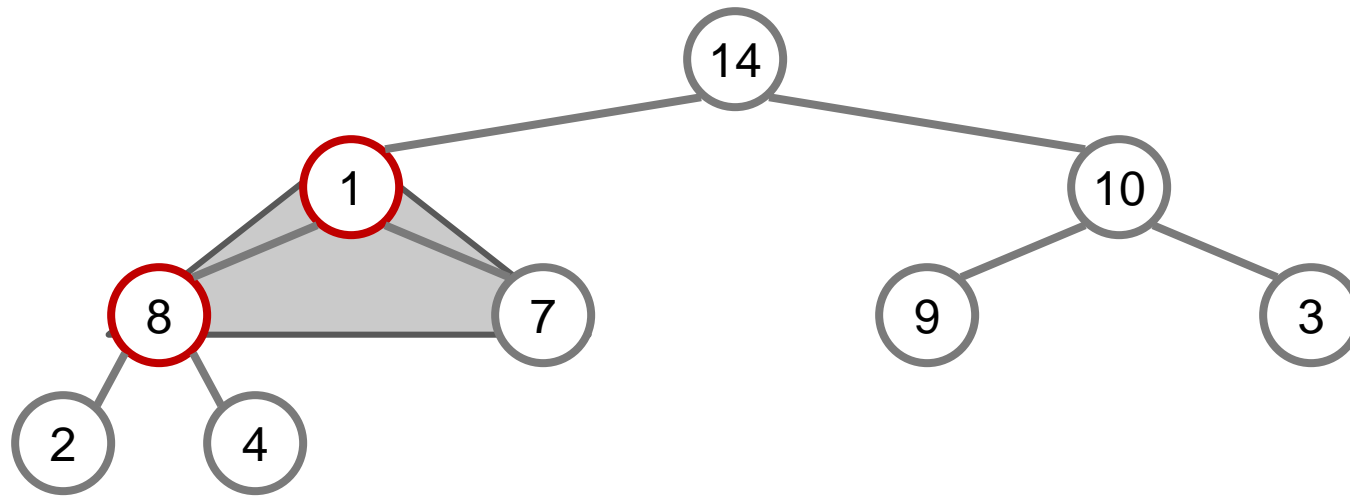
HEAPSORT EXAMPLE



A =

14	1	10	8	7	9	3	2	4	16
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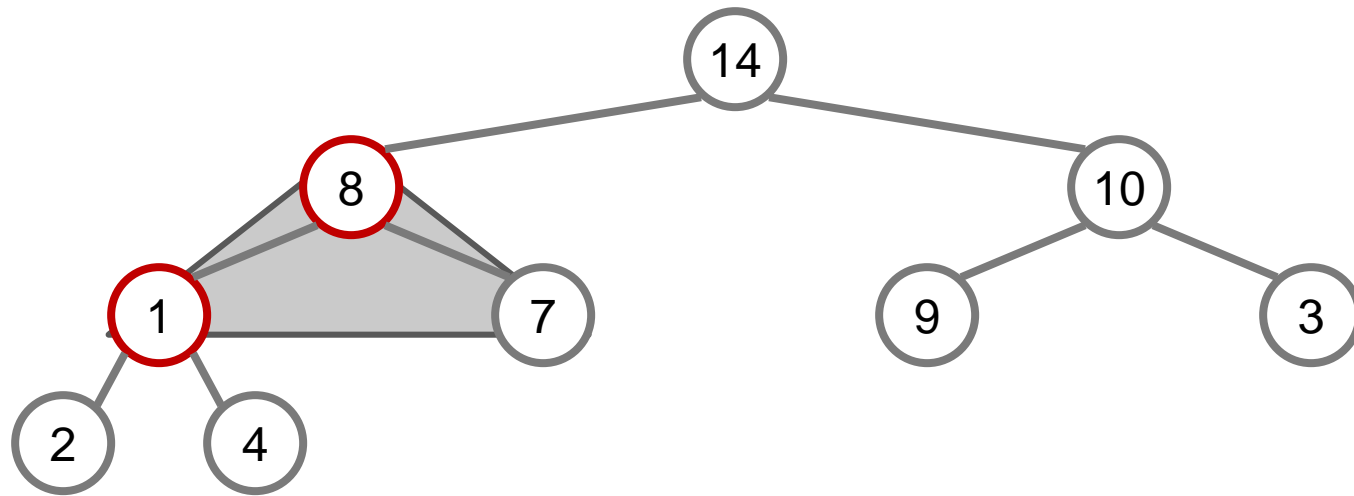
HEAPSORT EXAMPLE



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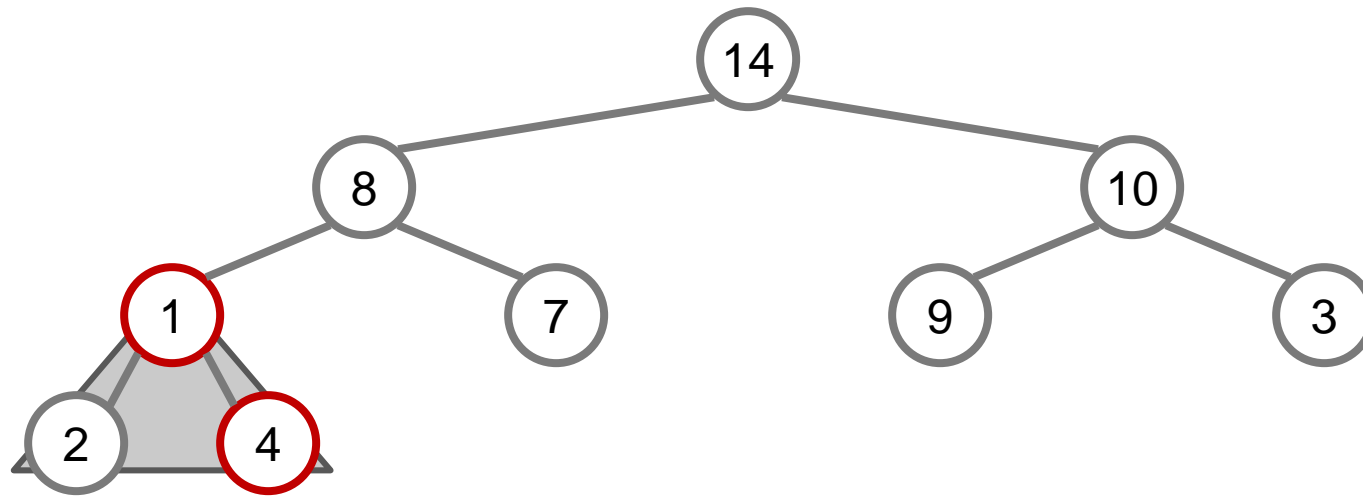
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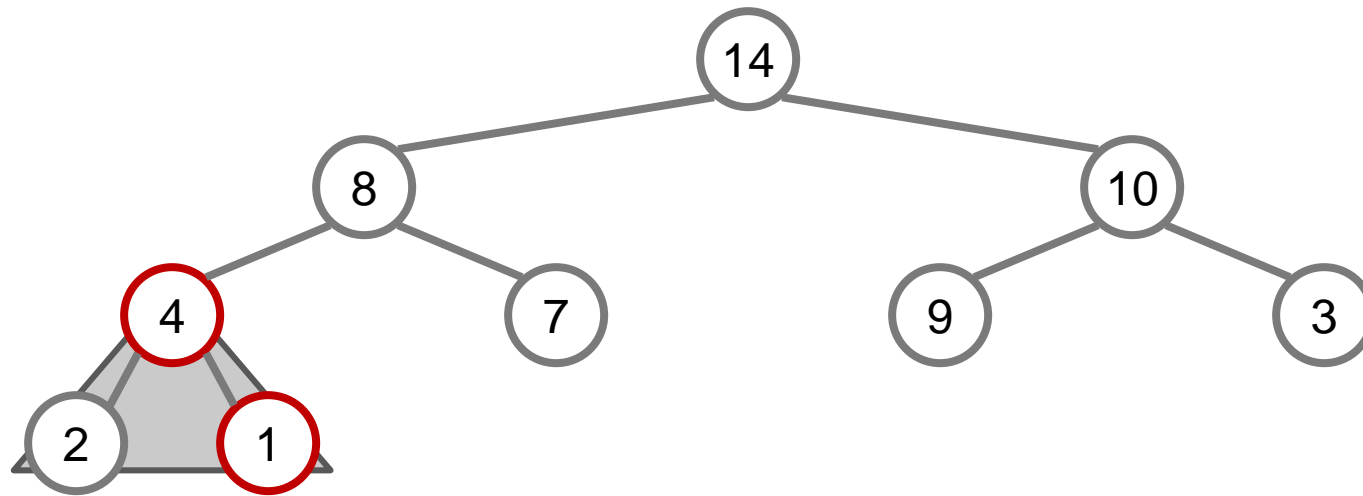
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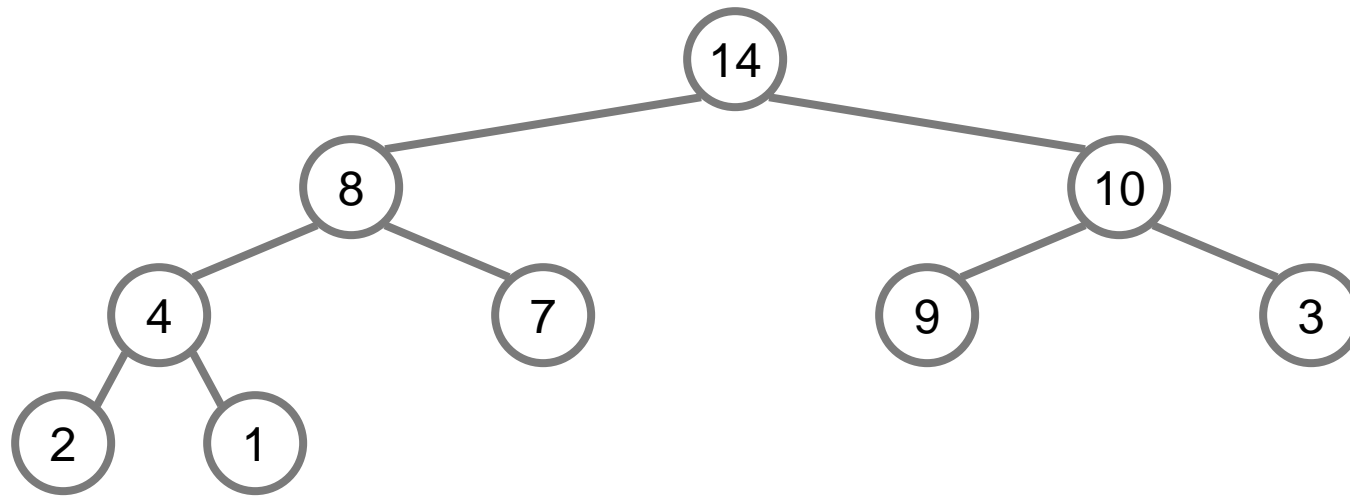
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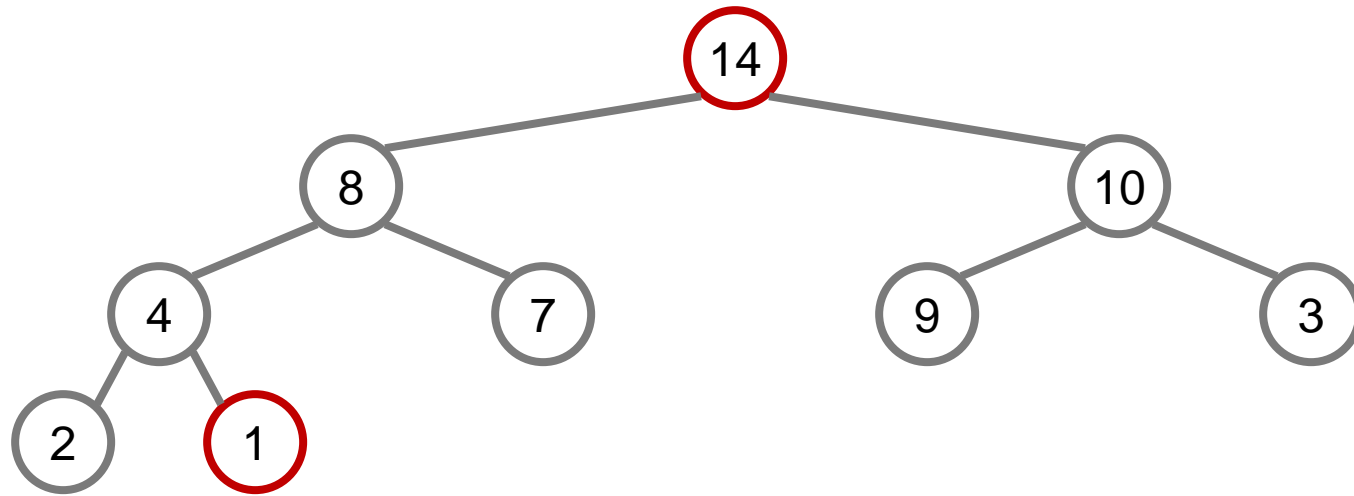
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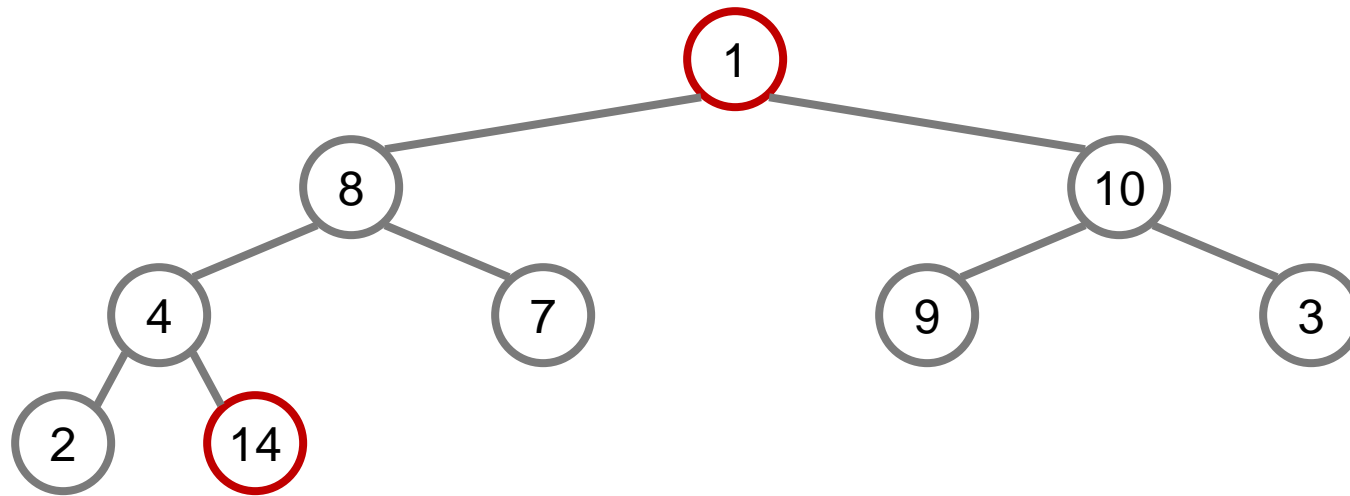
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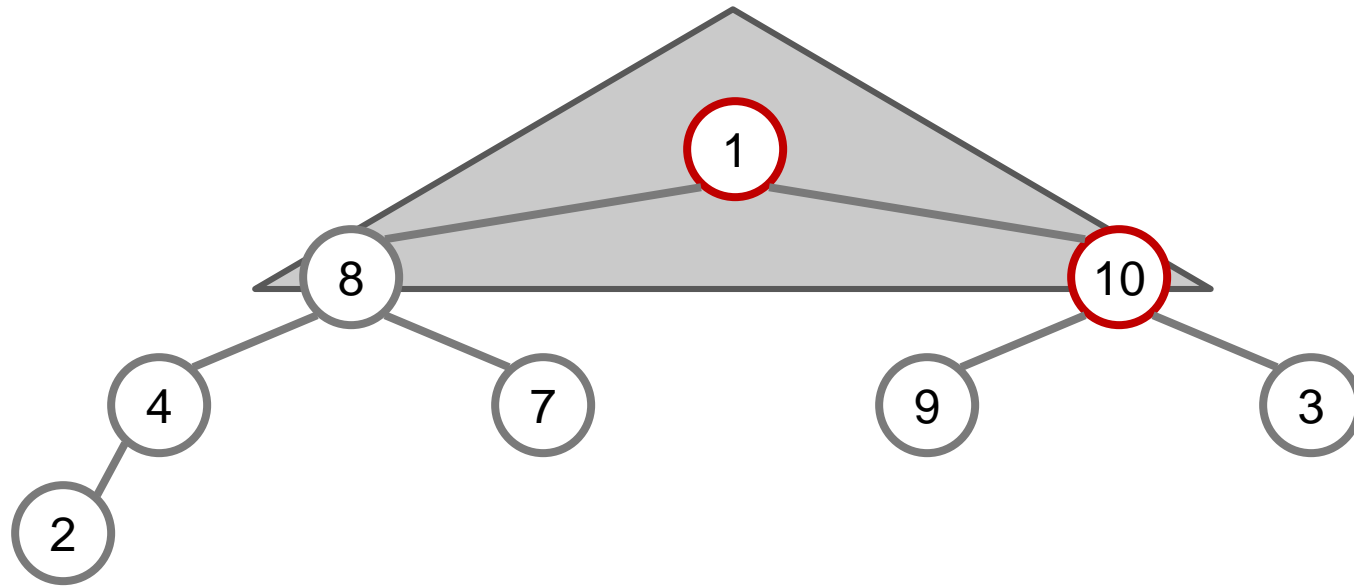
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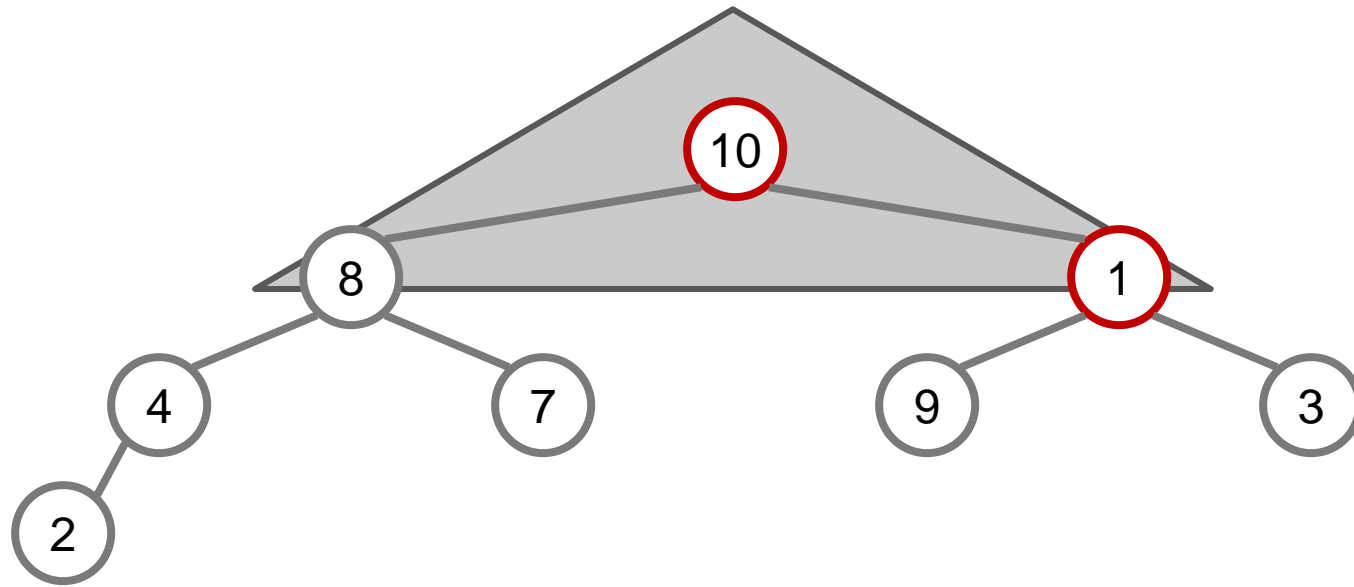
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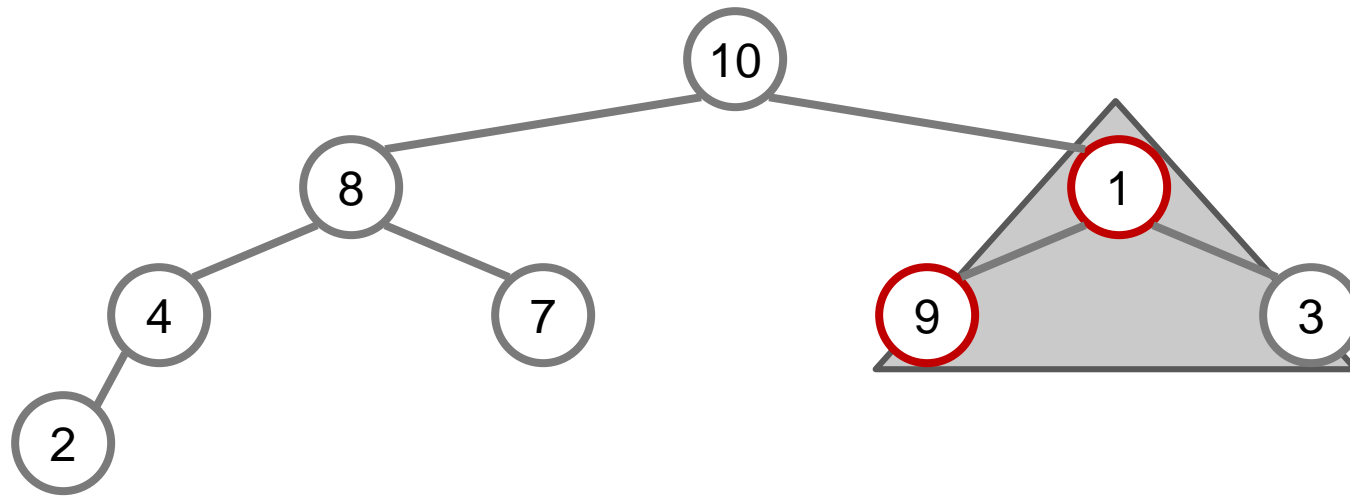
HEAPSORT EXAMPLE



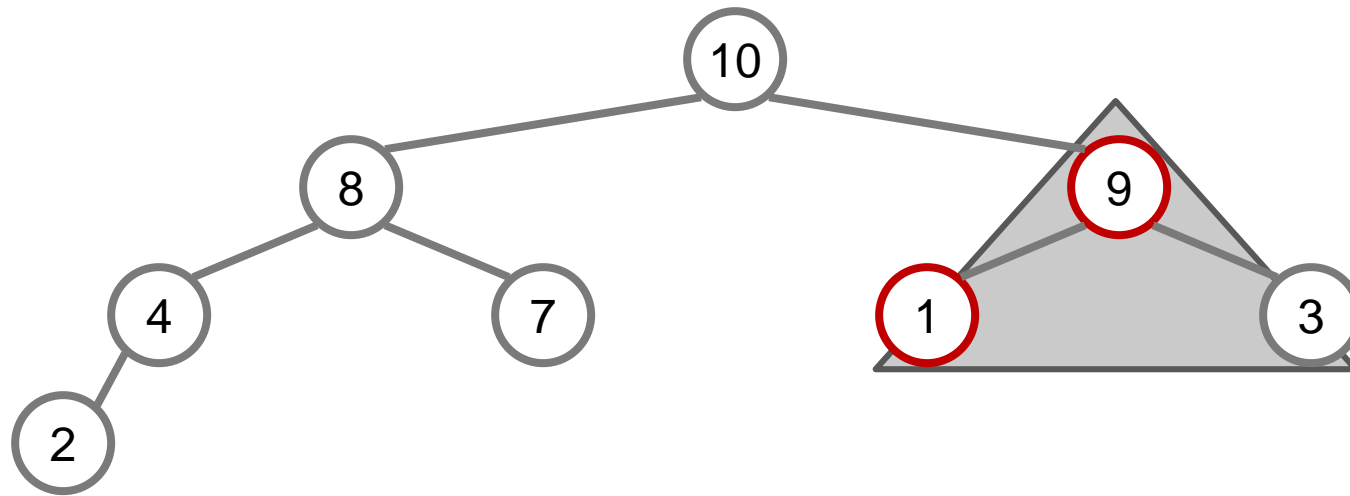
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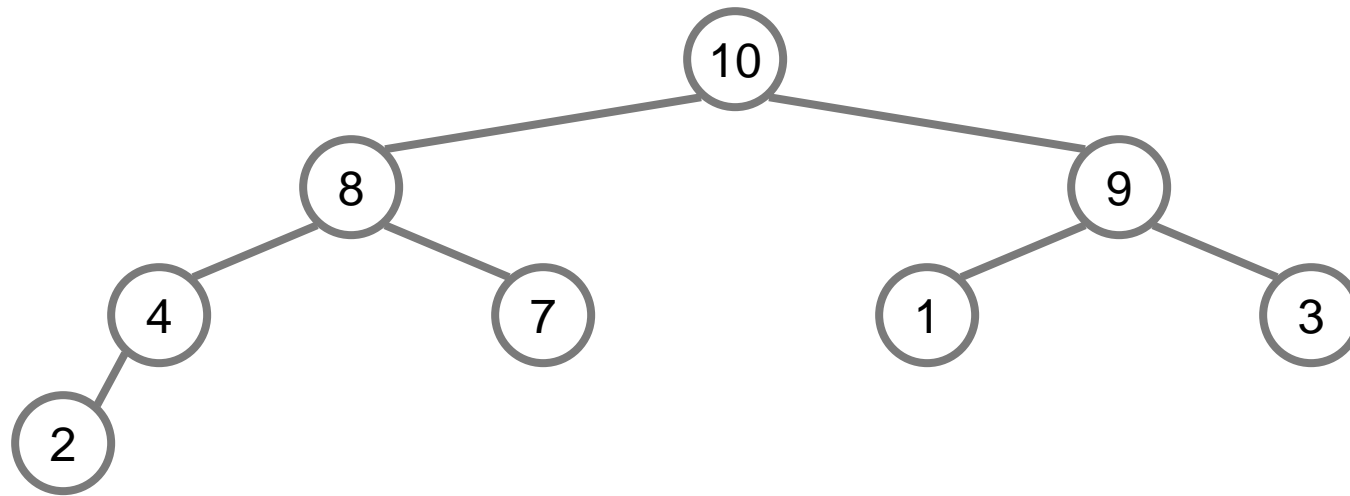
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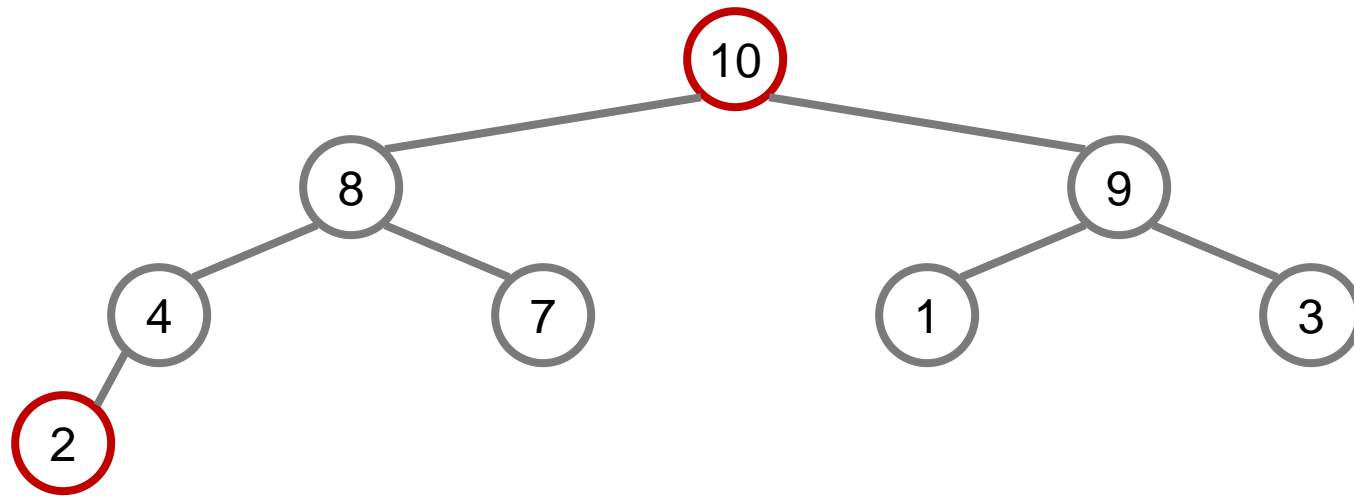
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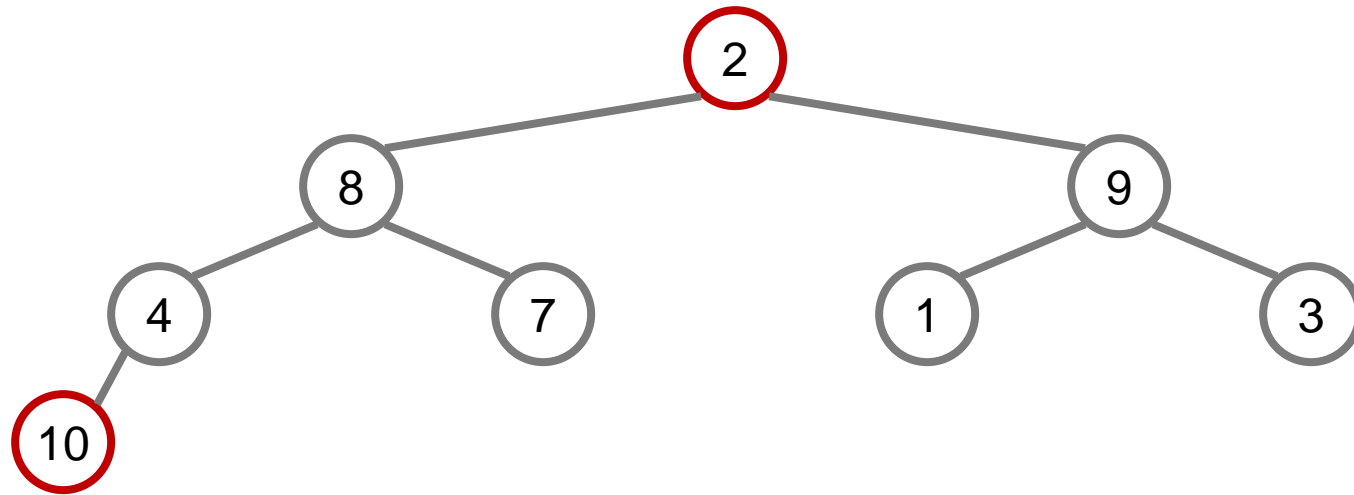
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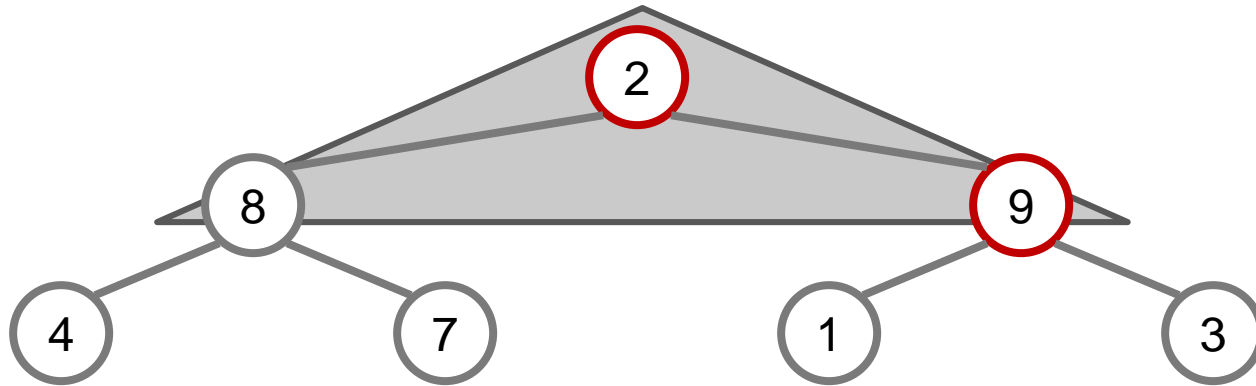
HEAPSORT EXAMPLE



A =

2	8	9	4	7	1	3	10	14	16
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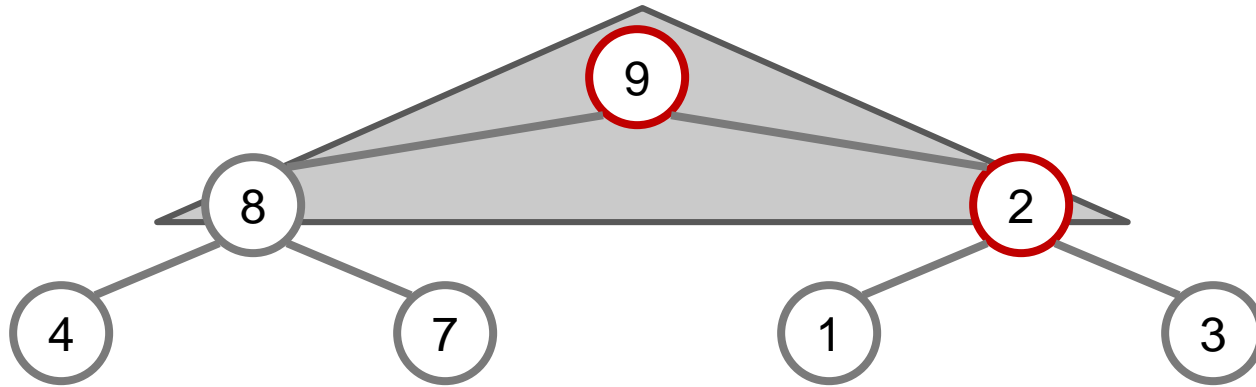
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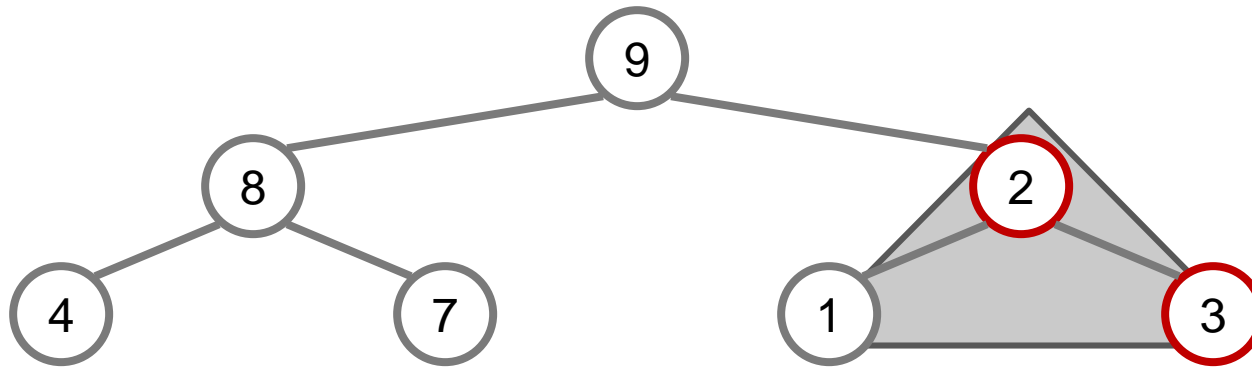
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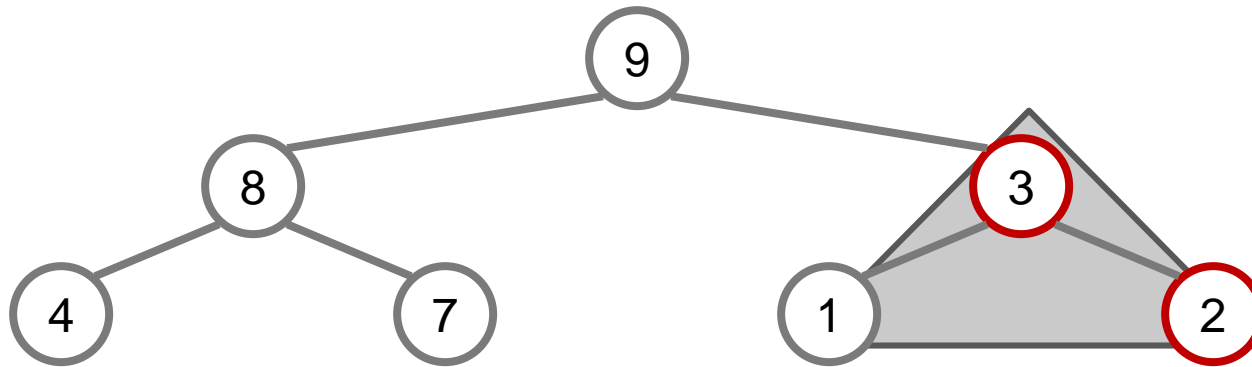
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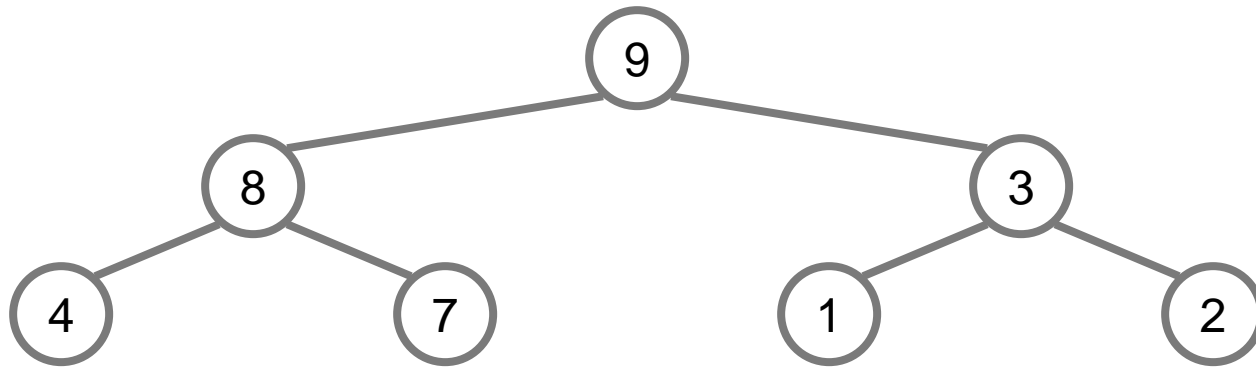
HEAPSORT EXAMPLE



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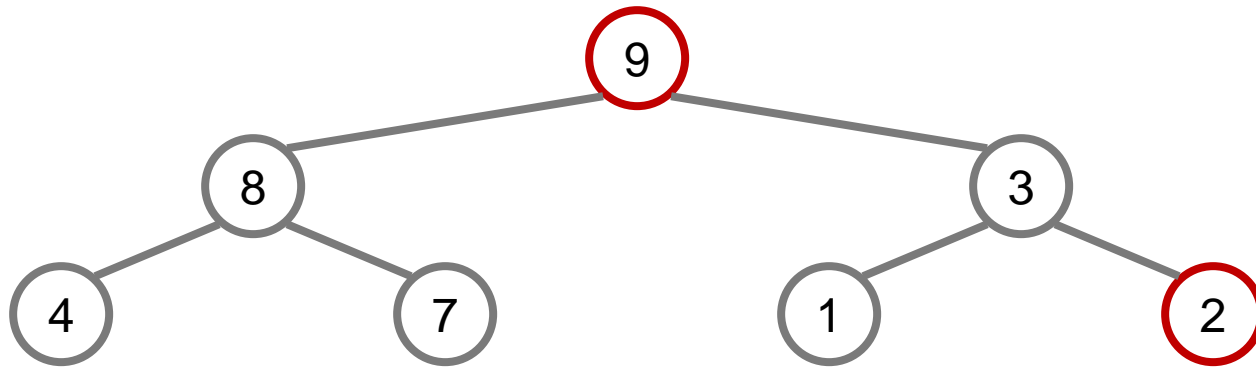
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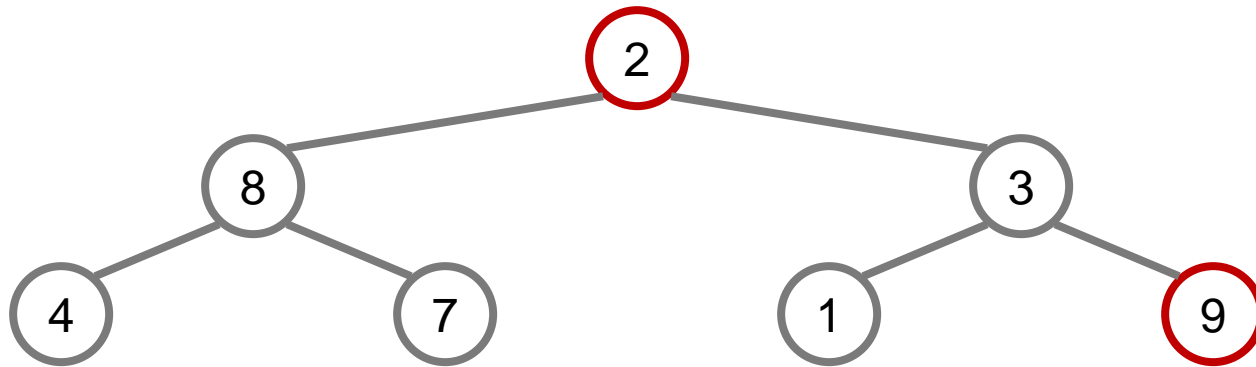
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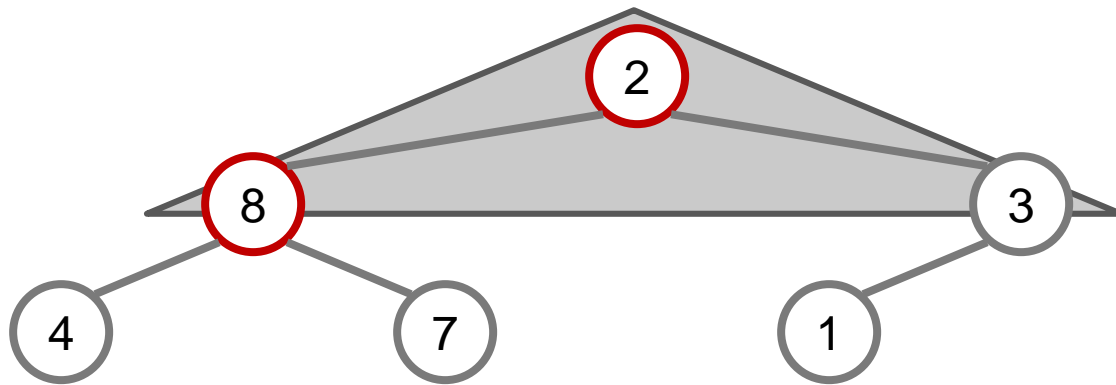
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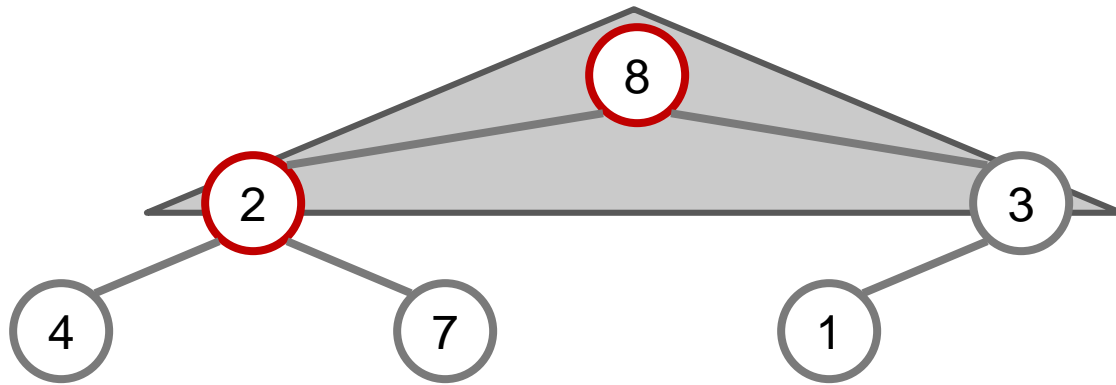
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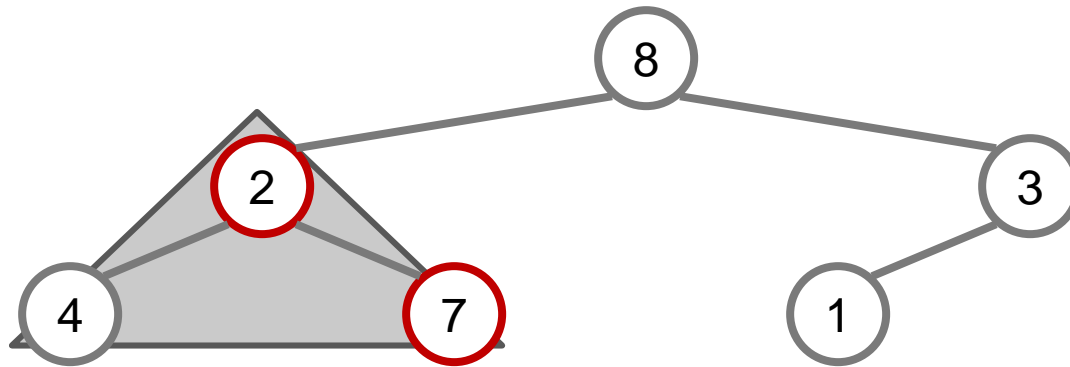
HEAPSORT EXAMPLE



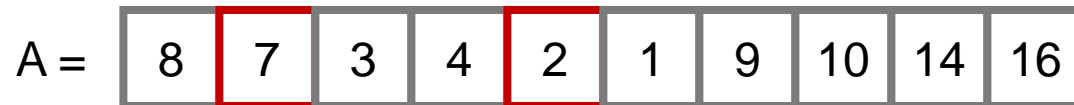
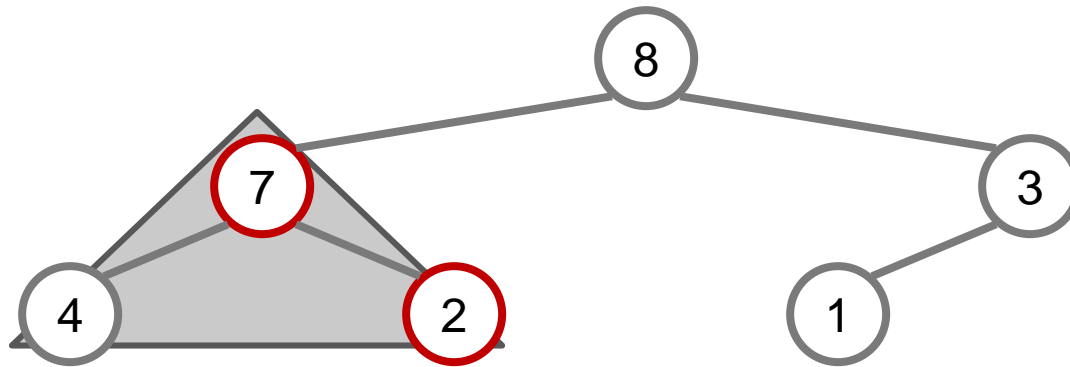
A =

8	2	3	4	7	1	9	10	14	16
---	---	---	---	---	---	---	----	----	----

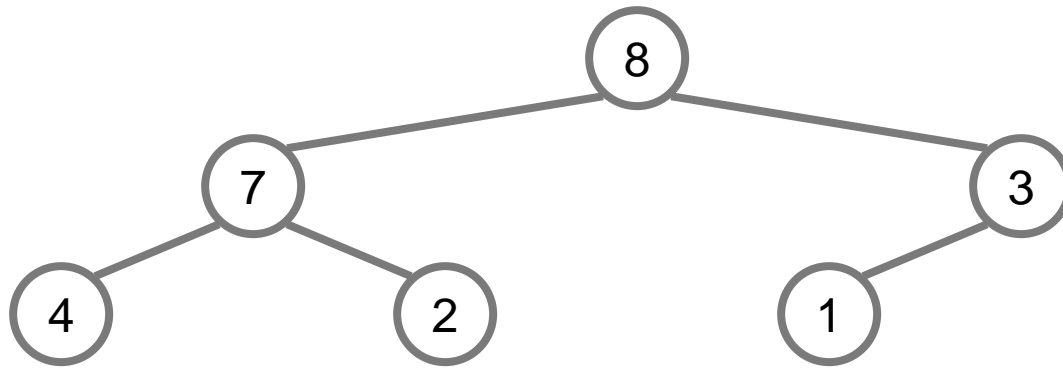
HEAPSORT EXAMPLE



HEAPSORT EXAMPLE



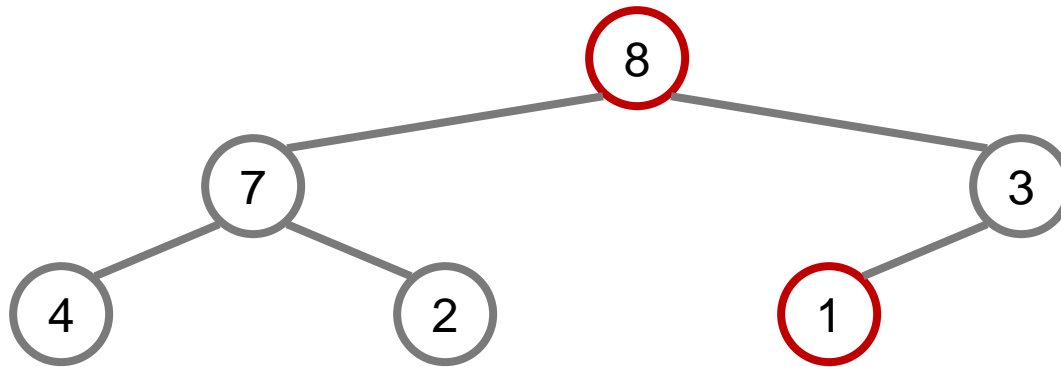
HEAPSORT EXAMPLE



A =

8	7	3	4	2	1	9	10	14	16
---	---	---	---	---	---	---	----	----	----

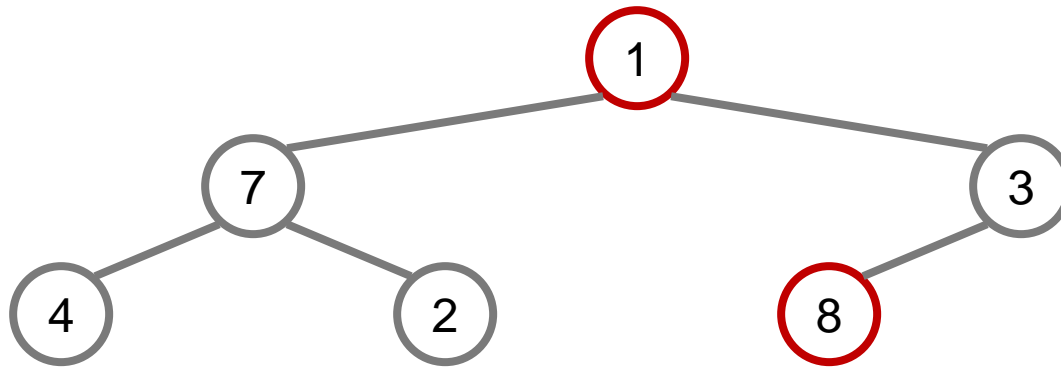
HEAPSORT EXAMPLE



A =

8	7	3	4	2	1	9	10	14	16
---	---	---	---	---	---	---	----	----	----

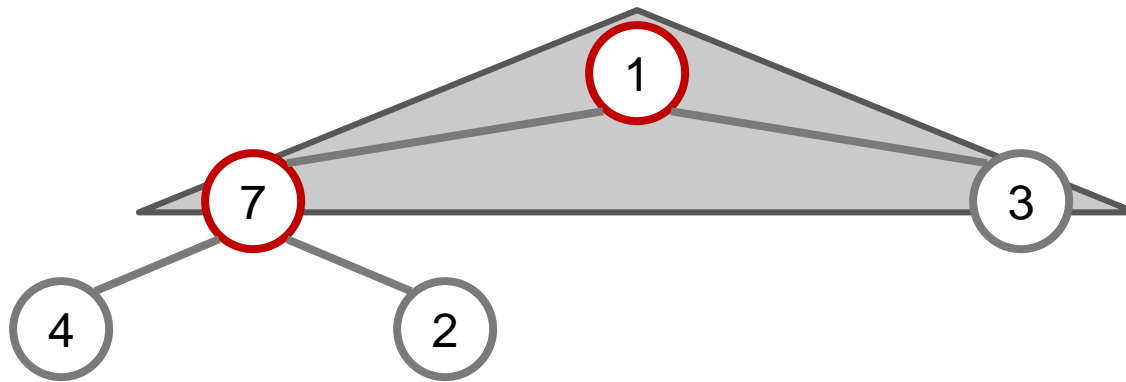
HEAPSORT EXAMPLE



A =

1	7	3	4	2	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

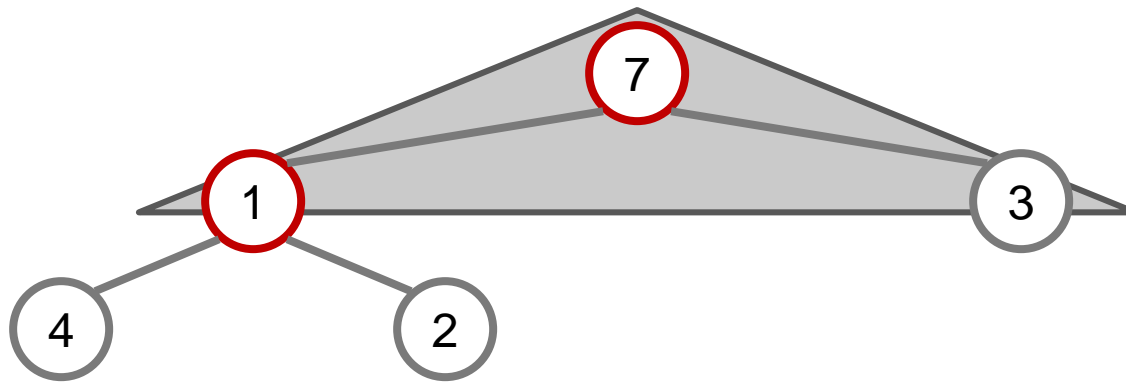
HEAPSORT EXAMPLE



A =

1	7	3	4	2	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

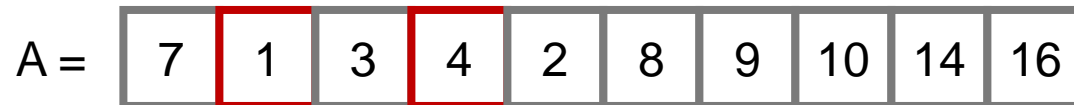
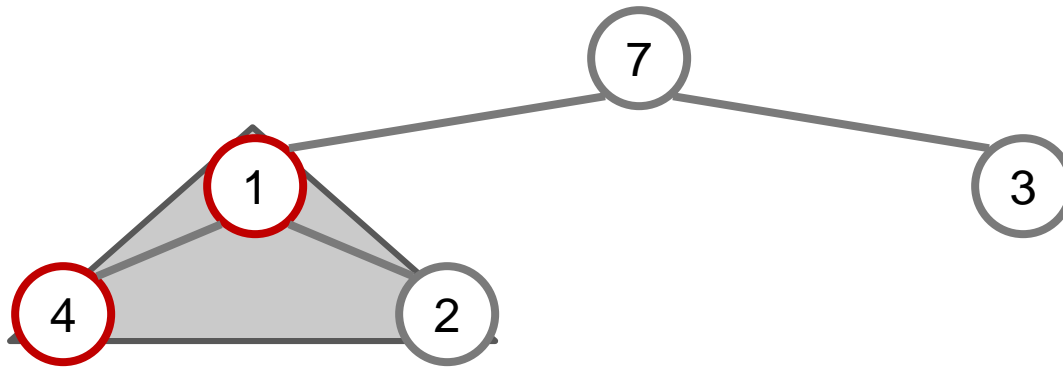
HEAPSORT EXAMPLE



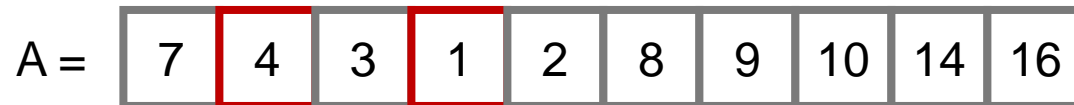
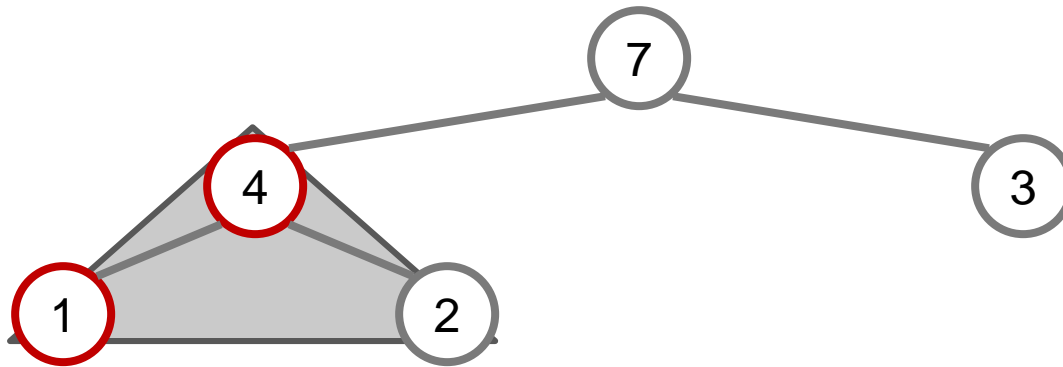
A =

7	1	3	4	2	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

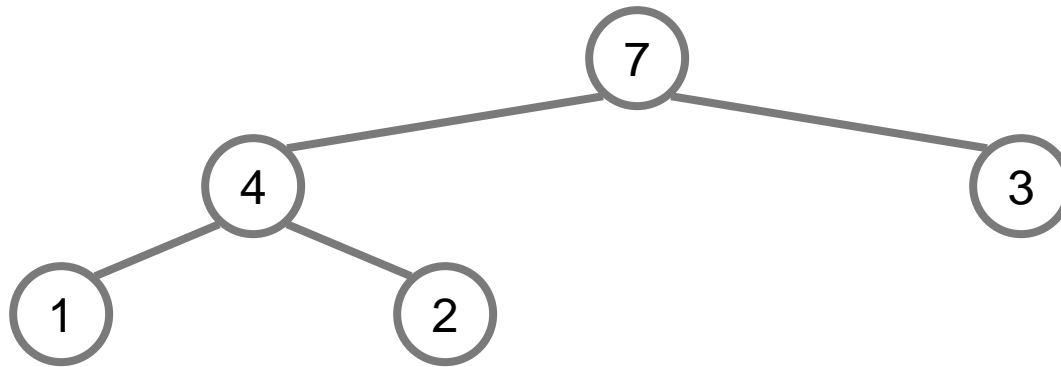
HEAPSORT EXAMPLE



HEAPSORT EXAMPLE



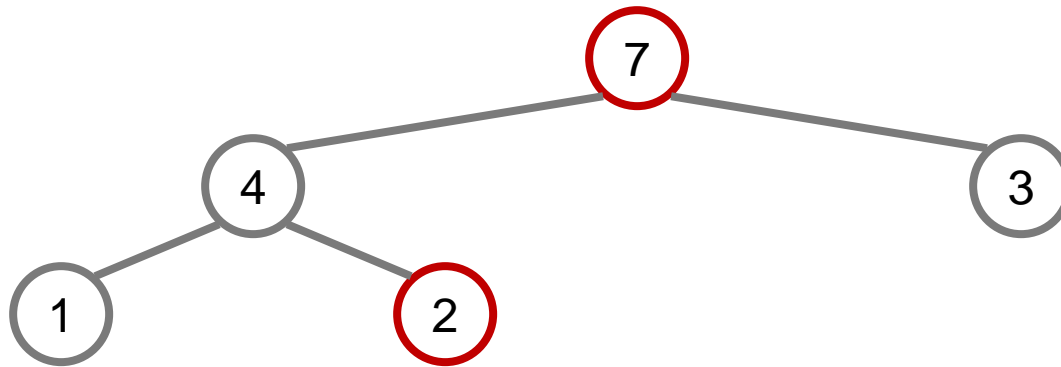
HEAPSORT EXAMPLE



A =

7	4	3	1	2	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

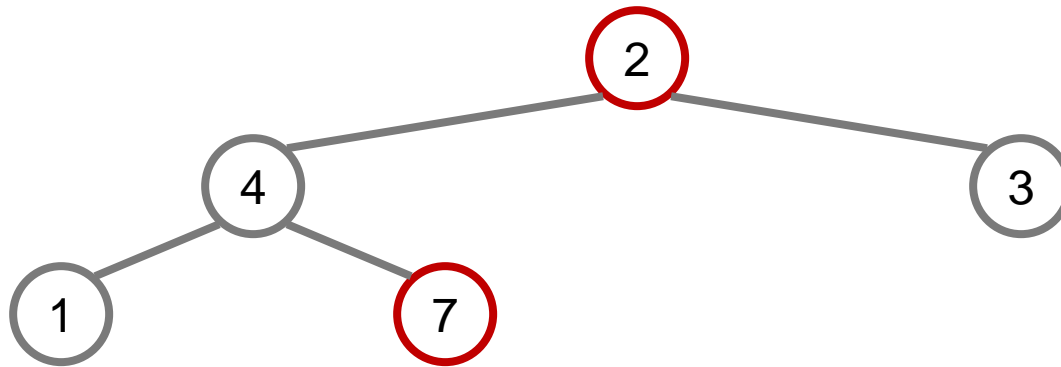
HEAPSORT EXAMPLE



A =

7	4	3	1	2	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

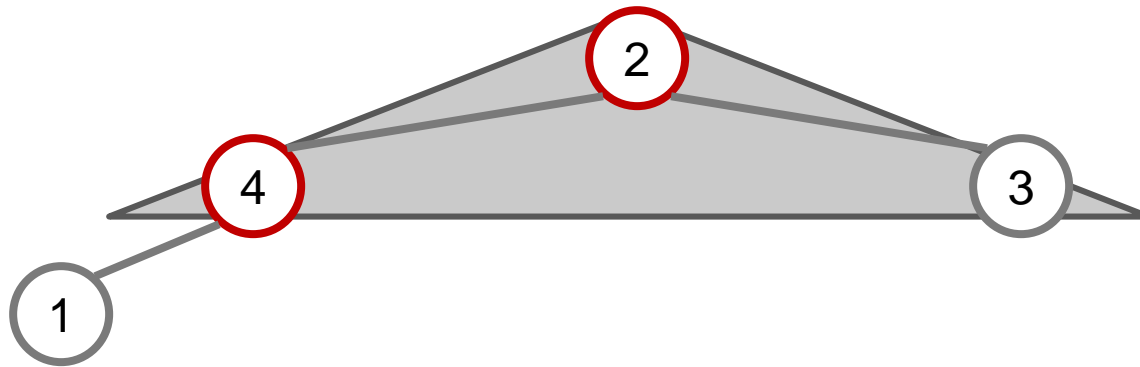
HEAPSORT EXAMPLE



A =

2	4	3	1	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

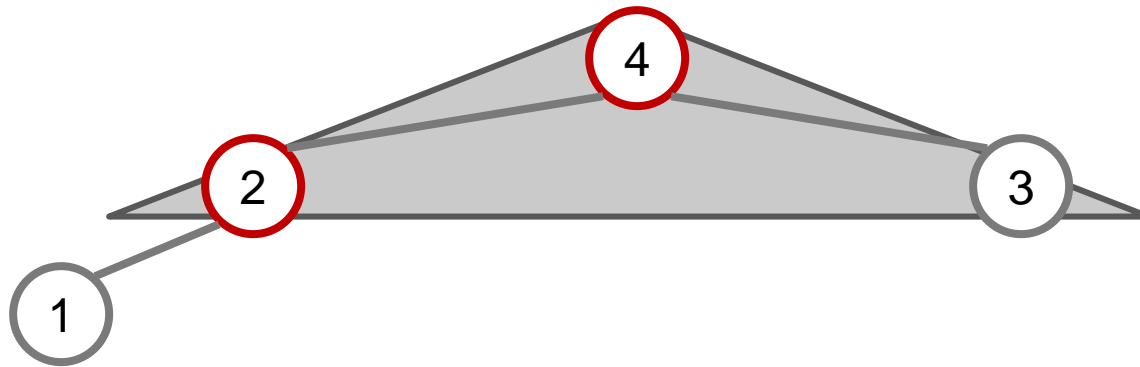
HEAPSORT EXAMPLE



A =

2	4	3	1	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

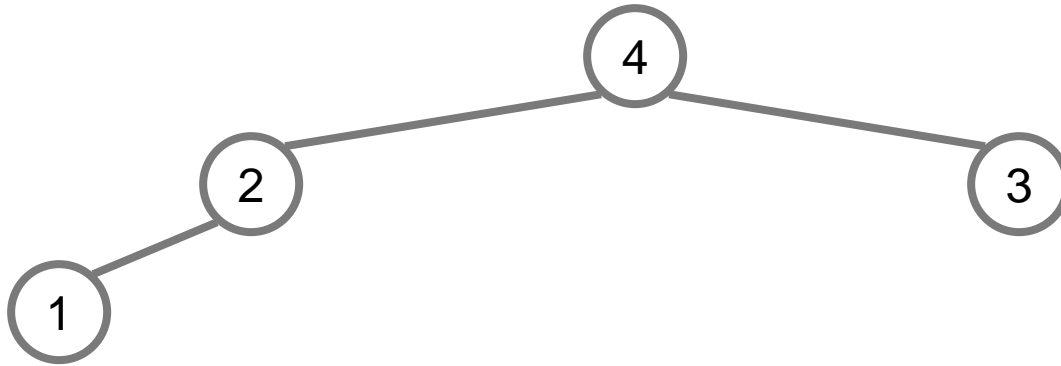
HEAPSORT EXAMPLE



A =

4	2	3	1	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

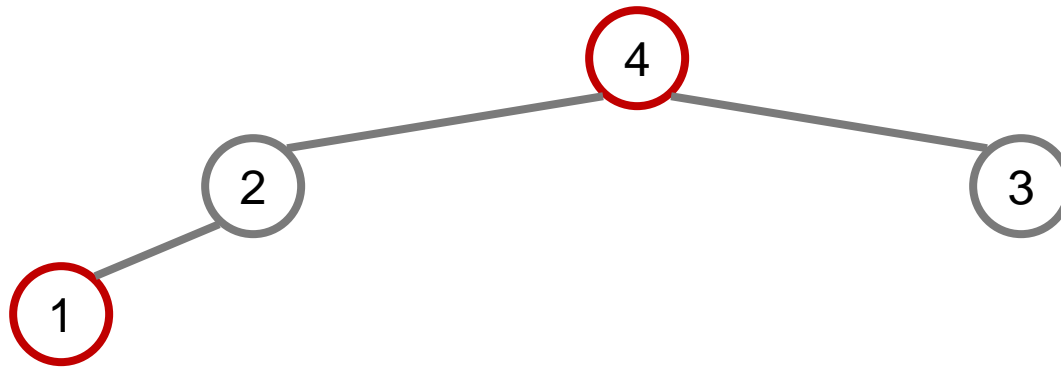
HEAPSORT EXAMPLE



A =

4	2	3	1	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

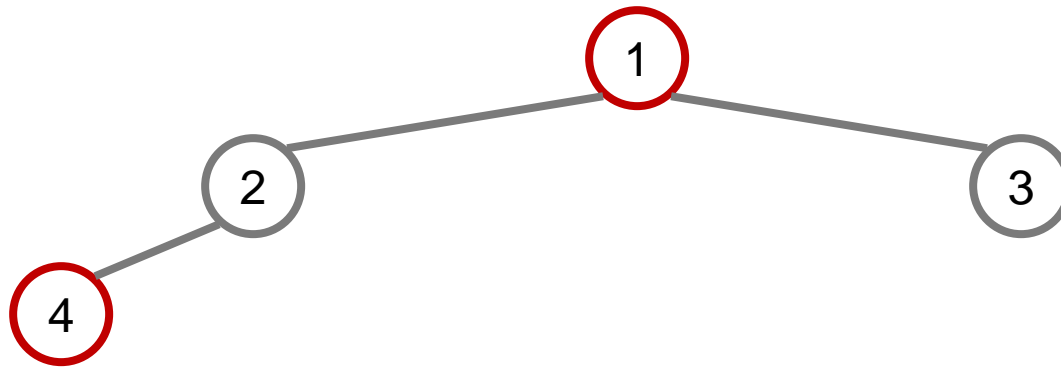
HEAPSORT EXAMPLE



A =

4	2	3	1	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

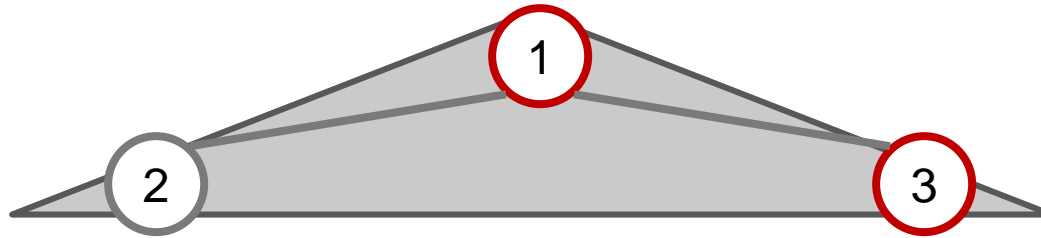
HEAPSORT EXAMPLE



A =

1	2	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

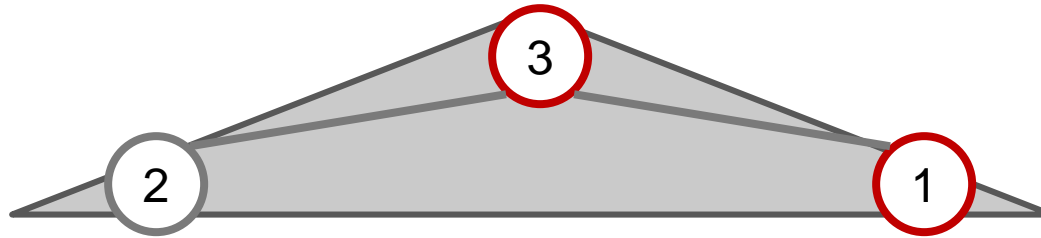
HEAPSORT EXAMPLE



A =

1	2	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

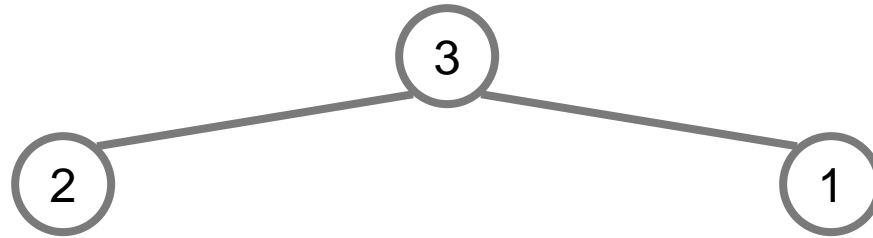
HEAPSORT EXAMPLE



A =

3	2	1	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

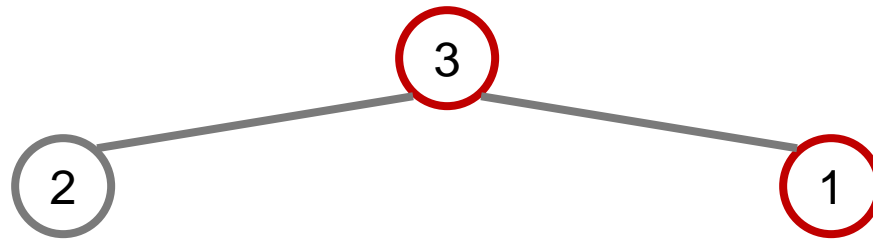
HEAPSORT EXAMPLE



A =

3	2	1	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

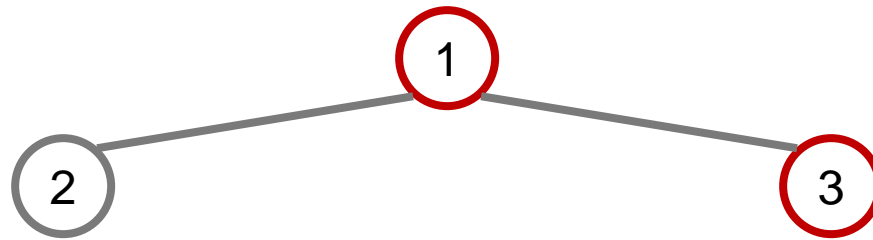
HEAPSORT EXAMPLE



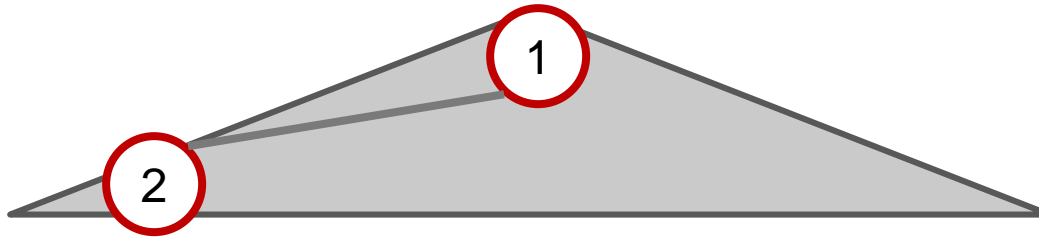
A =

3	2	1	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

HEAPSORT EXAMPLE



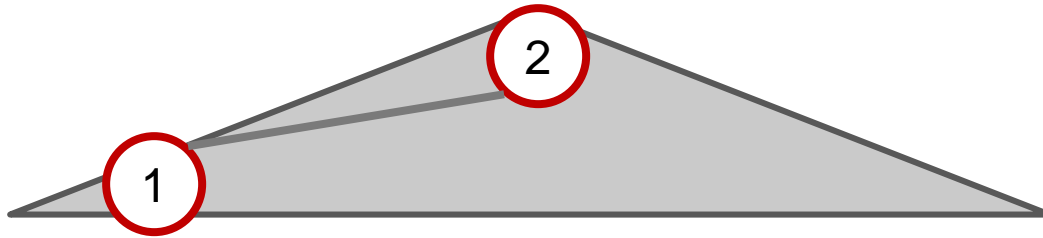
HEAPSORT EXAMPLE



A =

1	2	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

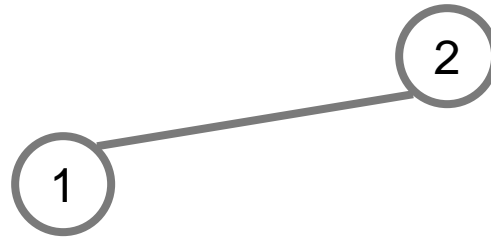
HEAPSORT EXAMPLE



A =

2	1	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

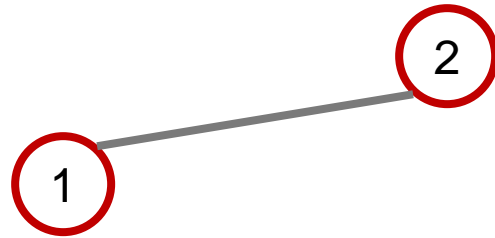
HEAPSORT EXAMPLE



A =

2	1	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

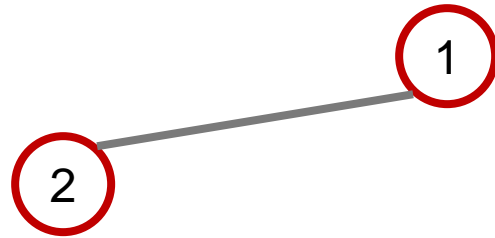
HEAPSORT EXAMPLE



A =

2	1	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

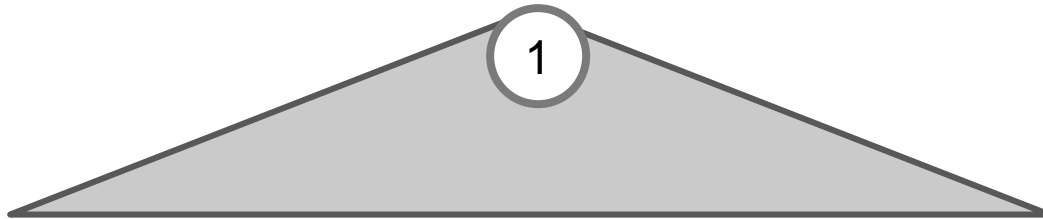
HEAPSORT EXAMPLE



A =

1	2	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

HEAPSORT EXAMPLE



A =

1	2	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

HEAPSORT EXAMPLE

A =

1	2	3	4	7	8	9	10	14	16
---	---	---	---	---	---	---	----	----	----

CONCLUSION

- Heapsort is a very neat and clean algorithm
- Quicksort is faster in practice
- Why deal with Heapsort?
 - Shows how to employ data structures to achieve more complicated functionality.
 - The **BUILDHEAP()** analysis is really important, since it does not result in the obvious guess!
 - Heaps are used in implementing **Priority Queues**
 - Which are used in **game engines** and **operating systems** for scheduling purposes. Read your book for more.

SORTING SO FAR

- **Insertion sort:**

- Easy to code
- Fast on small inputs (less than ~30 elements)
- In-place
- $O(n)$ best case (nearly-sorted inputs)
- $O(n^2)$ worst case (reverse-sorted inputs)
- $O(n^2)$ average case (assuming all inputs are equally-likely)

SORTING SO FAR

- **Merge sort:**
 - Divide-and-conquer:
 - Split array in half
 - Recursively sort sub-arrays
 - Linear-time merge step
 - $O(n \log n)$ worst case, best case, and average case
 - Not in-place

SORTING SO FAR

- **Heap sort:**

- Uses the very useful heap data structure
 - Nearly-complete binary tree
 - Heap property:
 - parent key \geq children's keys (max-heap)
 - parent key \leq children's keys (min-heap)
- $O(n \log n)$ worst case, best case, average case
- In-place
- Many swap operations

SORTING SO FAR

- **Quick sort:**

- Divide-and-conquer:
 - Partition array into two sub-arrays, recursively sort both
 - All of first sub-array \leq all of second subarray
 - No merge step needed!
- Fast in practice
- $O(n \log n)$ average case
- $O(n^2)$ worst case (on sorted or reverse-sorted input)

- **Randomized Quicksort:**

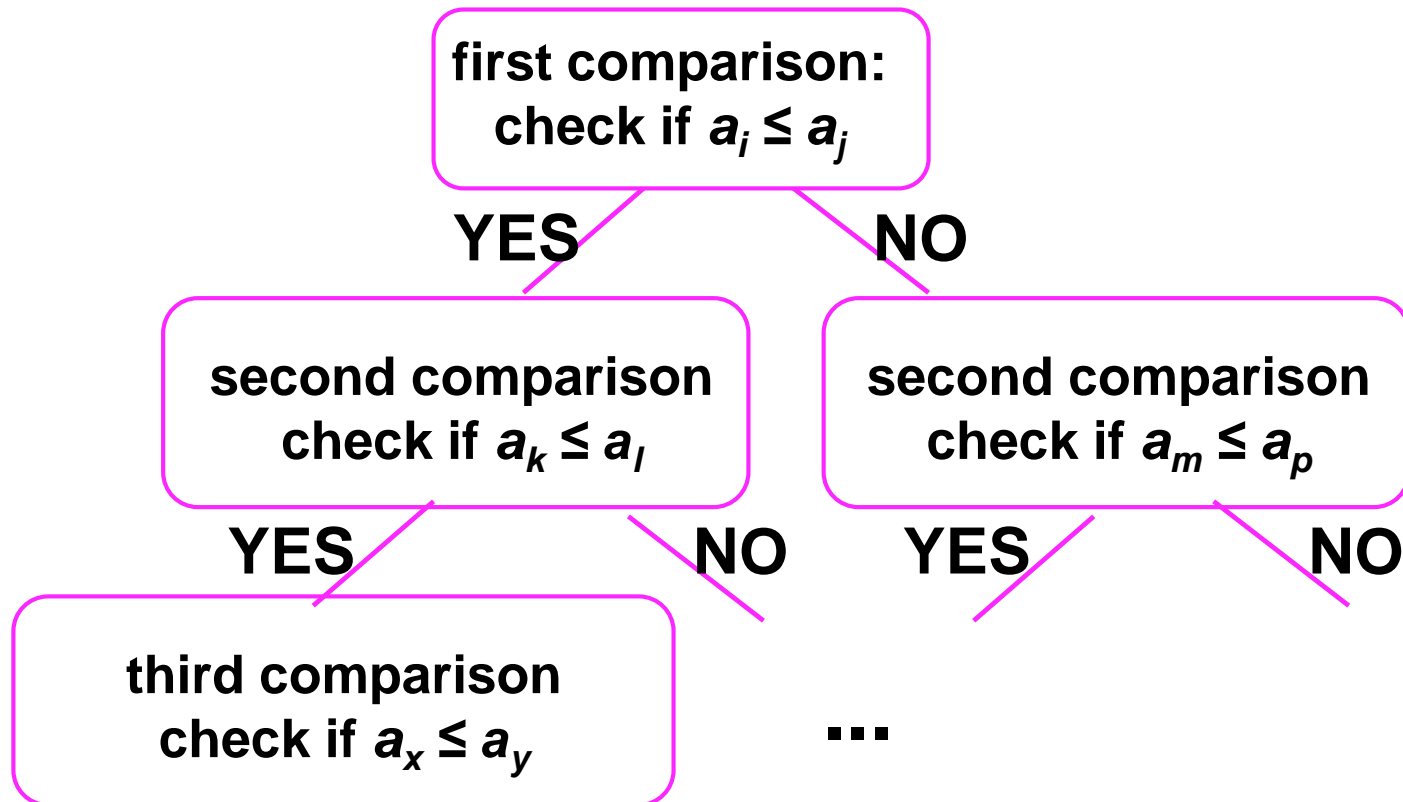
- $O(n^2)$ worst case (on no particular input)
- $O(n \log n)$ expected running time

HOW FAST CAN WE SORT?

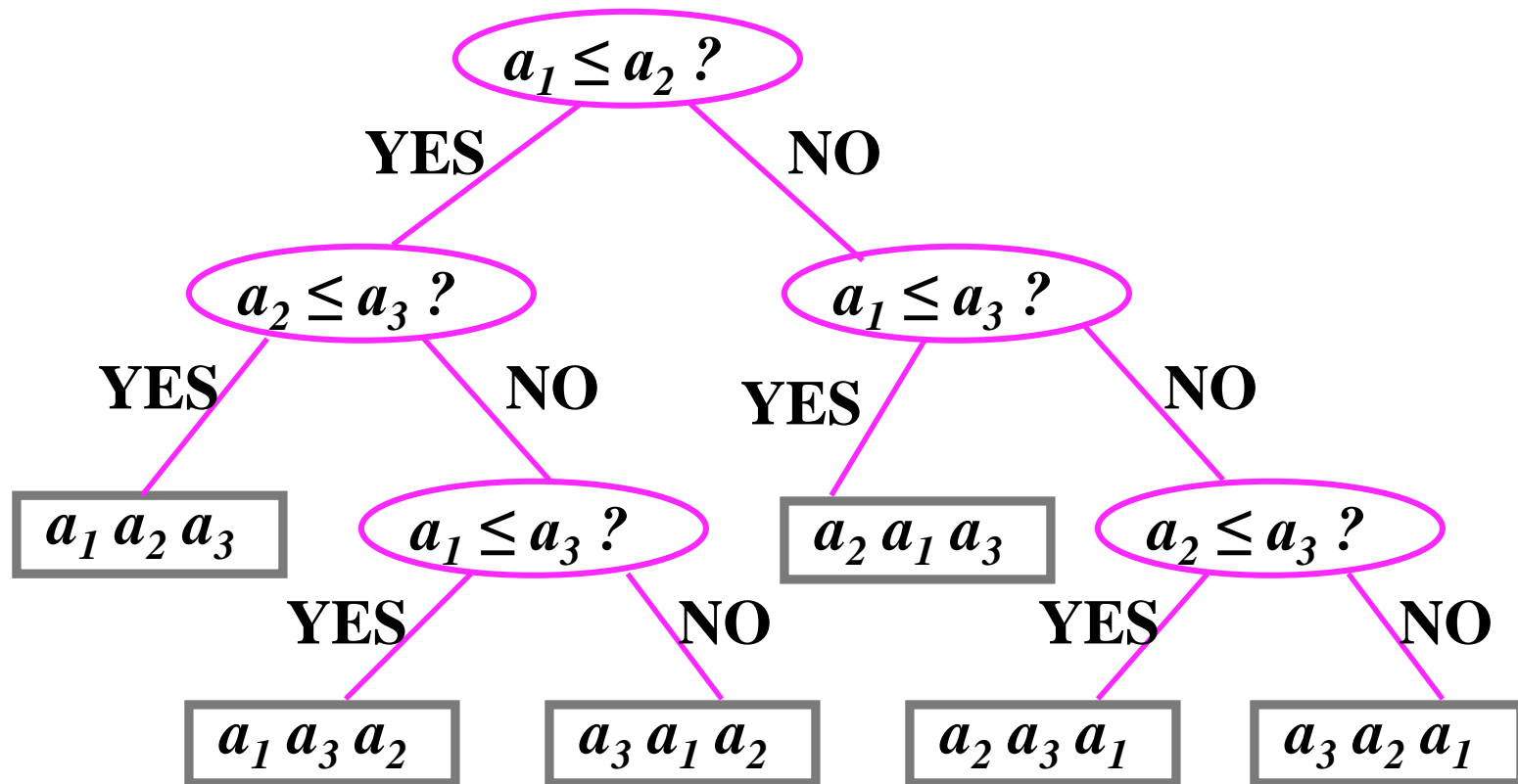
- We will provide a lower bound, then beat it
 - *How do you suppose we can beat impossibility?*
- **Observation:** All sorting algorithms so far are *comparison sorts*
 - The only operation used to gain ordering information about a sequence is the **pairwise comparison of two elements**
- **Theorem:** All comparison sorts are $\Omega(n \log n)$

DECISION TREE

- A **decision tree** represents the comparisons made by a comparison sort. Every thing else is ignored.



DECISION TREE FOR INSERTION SORT OF 3 ITEMS



What do the leaves represent?

How many leaves are there? Why?

DECISION TREE

- Decision trees can model comparison sorts.
- For a given algorithm (e.g., Insertion Sort):
 - One decision tree for each n
 - Tree paths are all possible execution traces
 - *What's the longest path in a decision tree for insertion sort? For merge sort?*
- *What is the asymptotic height of any decision tree for sorting n elements?*
 - Answer: $\Omega(n \log n)$ (let's prove it...)

DECISION TREE: HOW MANY LEAVES?

- Must be at least **one leaf for each permutation** of the input (Why?)
 - otherwise there would be a situation that was not correctly sorted
- Number of permutations of n keys is $n!$
- Decision trees are binary trees.
- *Minimum depth of a binary tree with $n!$ leaves?*
- *Maximum #leaves of a binary tree of height h ?*

COMPARISON SORTING LOWER BOUND

Theorem: Any decision tree that sorts n elements has height $\Omega(n \log n)$

Proof: Maximum number of leaves in a binary tree with height h is 2^h .

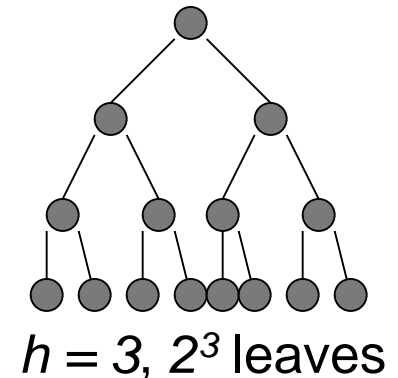
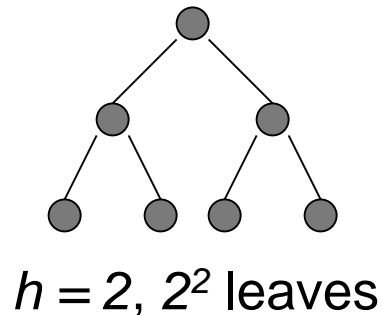
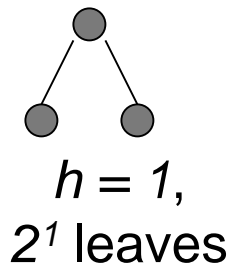
$$2^h \geq n!$$

$$h \geq \log(n!)$$

$$= \log(n(n-1)(n-1)\dots(2)(1))$$

$$\geq (n/2)\log(n/2) \quad (\text{WHY??})$$

$$= \Omega(n \log n)$$



COMPARISON SORTING LOWER BOUND

- Time to comparison sort n elements is $\Omega(n \log n)$
- **Corollary:** Heapsort and Mergesort are asymptotically optimal comparison sorts
 - Quicksort is not asymptotically-optimal. Yet, it is fast in practice.
- But the name of this lecture is “Linear-Time Sorting”!
 - *How can we do better than $\Omega(n \log n)$?*

LINEAR-TIME SORTING: COUNTING SORT

- No comparisons between elements!
- *But...* depends on assumption that the **numbers** being sorted are in the range **$1..k$**
 - where **k must be $O(n)$** for it to take linear time
- **Input:** $A[1..n]$, where $A[j] \in \{1, 2, 3, \dots, k\}$
- **Output:** sorted array $B[1..n]$ **(not in-place)**
- Uses an array $C[1..k]$ for auxiliary storage
 - *Space Complexity??*

LINEAR-TIME SORTING: COUNTING SORT

COUNTINGSORT (A, B, k)

```
for i=1 to k
```

```
    C[i] = 0;
```

```
for j=1 to n
```

```
    C[A[j]] += 1;
```

```
for i=2 to k
```

```
    C[i] = C[i] + C[i-1];
```

```
for j=n downto 1
```

```
    B[C[A[j]]] = A[j];
```

```
    C[A[j]] -= 1;
```

Takes time $O(k)$



Takes time $O(n)$

TOTAL TIME: $O(n+k)$

COUNTING SORT EXAMPLE: LOOP 1

Sort $A = \{4\ 1\ 3\ 4\ 3\}$ with $k = 4$

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	0	0	0	0

B :					
-----	--	--	--	--	--

for $i \leftarrow 1$ to k
do $C[i] \leftarrow 0$

COUNTING SORT EXAMPLE: LOOP 2

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	0	0	0	1

B :					
-----	--	--	--	--	--

for $i \leftarrow 1$ to n

do $C[A[j]] \leftarrow C[A[j]] + 1$



$C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 2

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	0	0	1

B :					
-----	--	--	--	--	--

for $i \leftarrow 1$ to n
do $C[A[j]] \leftarrow C[A[j]] + 1$ \triangleright $C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 2

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	0	1	1

B :					
-----	--	--	--	--	--

for $i \leftarrow 1$ to n
do $C[A[j]] \leftarrow C[A[j]] + 1$ $\triangleright C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 2

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	0	1	2

B :					
-----	--	--	--	--	--

for $i \leftarrow 1$ to n
do $C[A[j]] \leftarrow C[A[j]] + 1$ $\triangleright C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 2

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	0	2	2

B :					
-----	--	--	--	--	--

for $i \leftarrow 1$ to n
do $C[A[j]] \leftarrow C[A[j]] + 1$ $\triangleright C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 3

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	0	2	2

B :					
-----	--	--	--	--	--

for $i \leftarrow 2$ to k
do $C[i] \leftarrow C[i] + C[i - 1]$ \triangleright $C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 3

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	1	2	2

B :					
-----	--	--	--	--	--

for $i \leftarrow 2$ to k
do $C[i] \leftarrow C[i] + C[i - 1]$ \triangleright $C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 3

	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	1	3	2

B :					
-----	--	--	--	--	--

for $i \leftarrow 2$ to k
do $C[i] \leftarrow C[i] + C[i - 1]$ \triangleright $C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 3

A :

1	2	3	4	5
4	1	3	4	3

C :

1	2	3	4
1	1	3	5

B :

--	--	--	--	--

for $i \leftarrow 2$ to k
do $C[i] \leftarrow C[i] + C[i - 1]$ \triangleright $C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 3

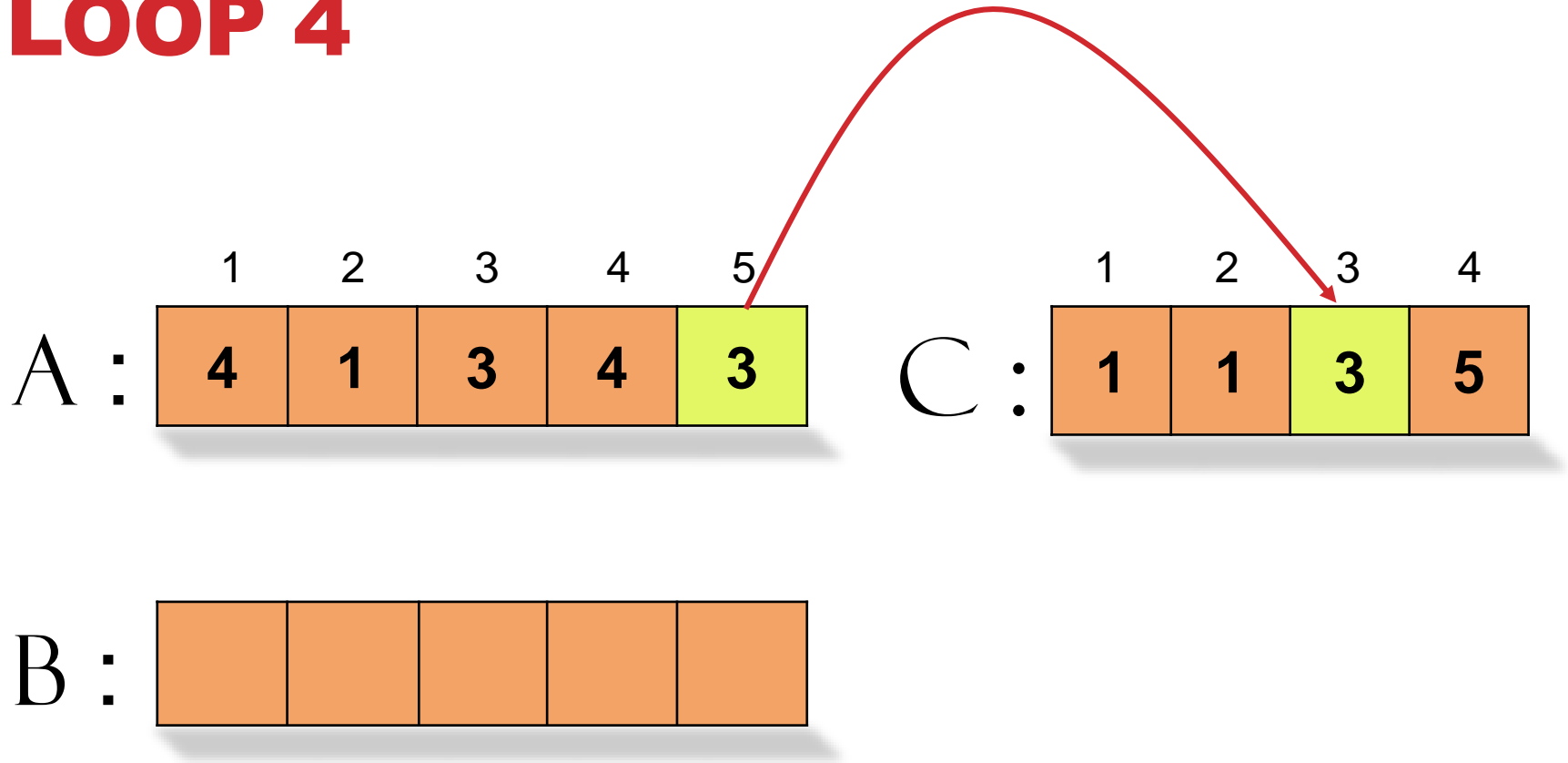
	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	1	3	5

B :					
-----	--	--	--	--	--

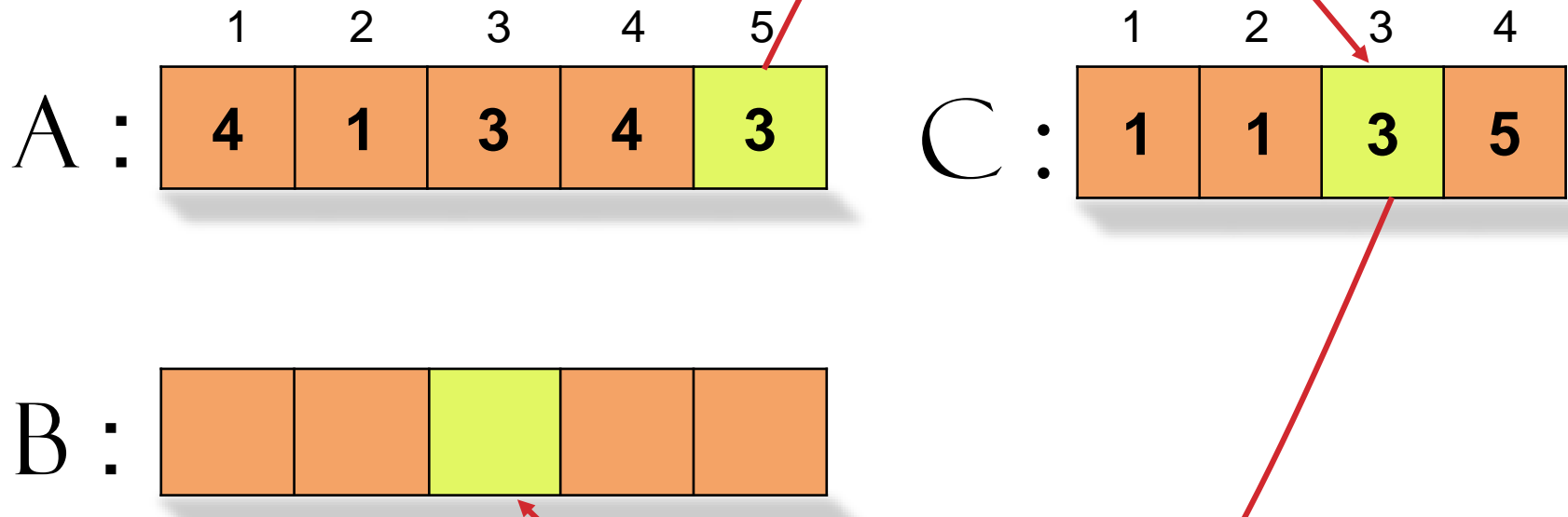
for $i \leftarrow 2$ to k
do $C[i] \leftarrow C[i] + C[i - 1]$ \triangleright $C[i] = |\{key \leq i\}|$

COUNTING SORT EXAMPLE: LOOP 4



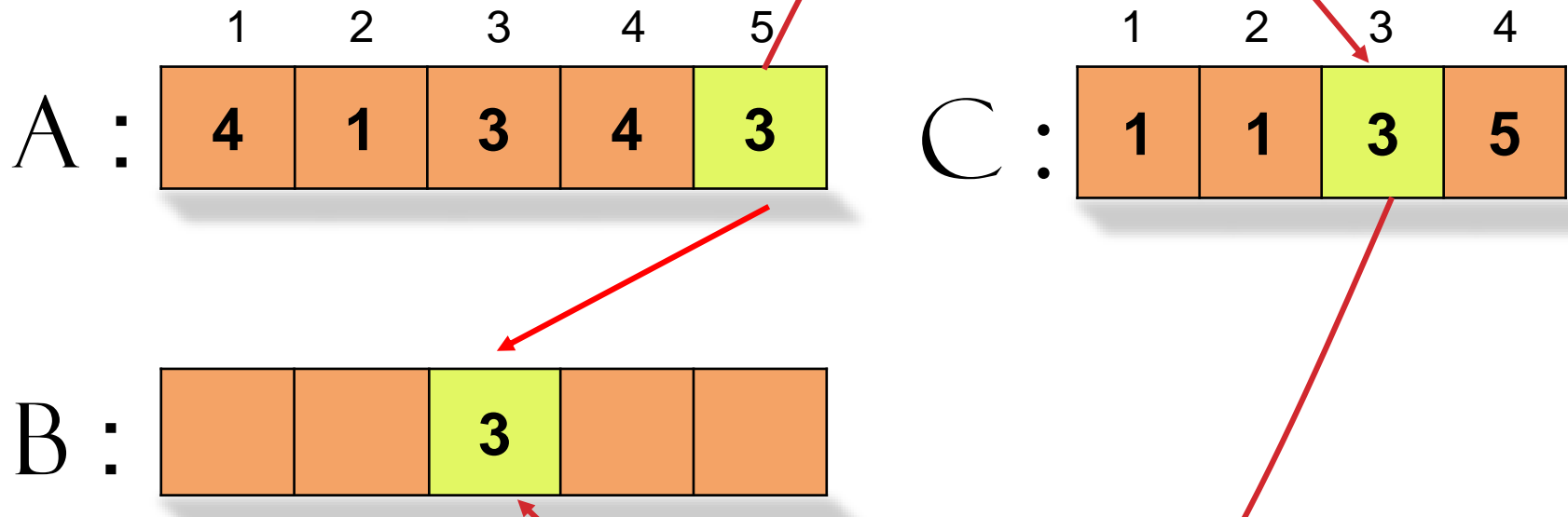
```
for  $j \leftarrow n$  downto 1  
     $B[C[A[j]]] \leftarrow A[j]$   
     $C[A[j]] \leftarrow C[A[j]] - 1$ 
```

COUNTING SORT EXAMPLE: LOOP 4



for $j \leftarrow n$ downto 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE: LOOP 4



for $j \leftarrow n$ *downto* 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE:

LOOP 4

A :

1	2	3	4	5
4	1	3	4	3

C :

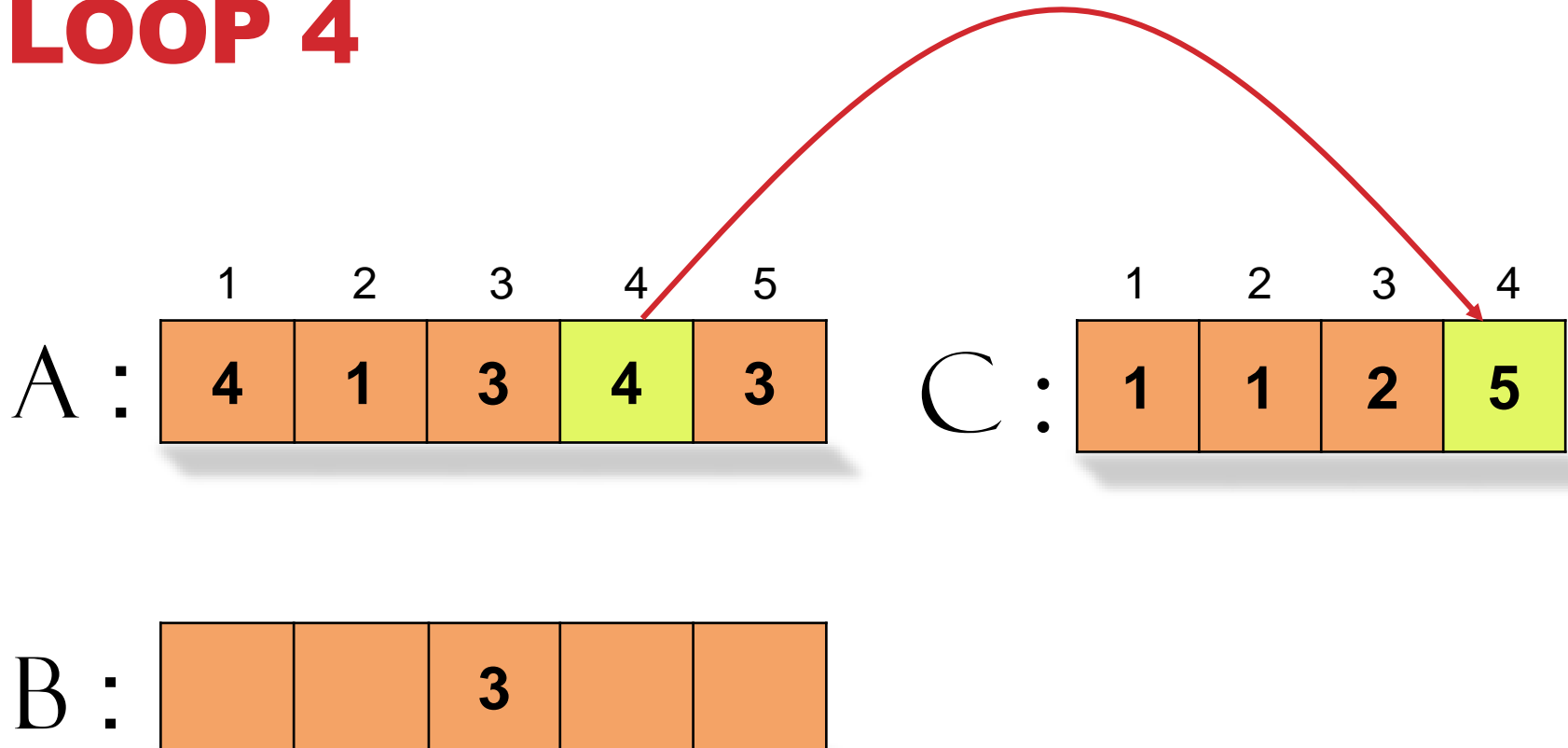
1	2	3	4
1	1	2	5

B :

		3		
--	--	---	--	--

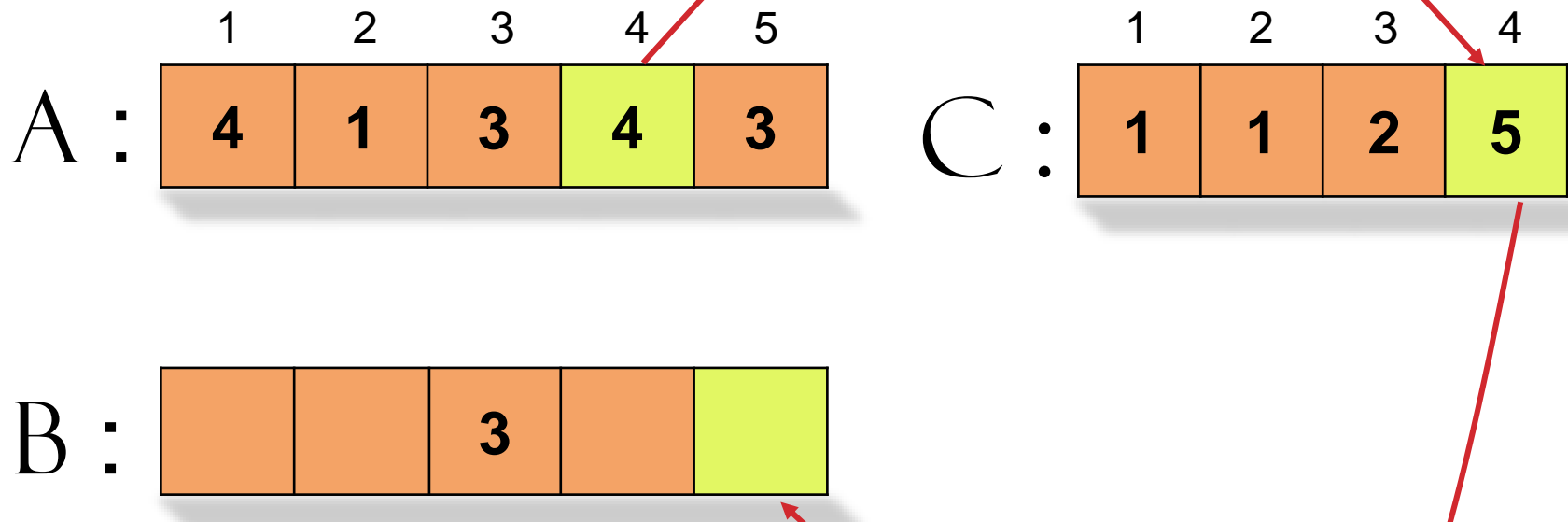
for $j \leftarrow n$ *downto* 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE: LOOP 4



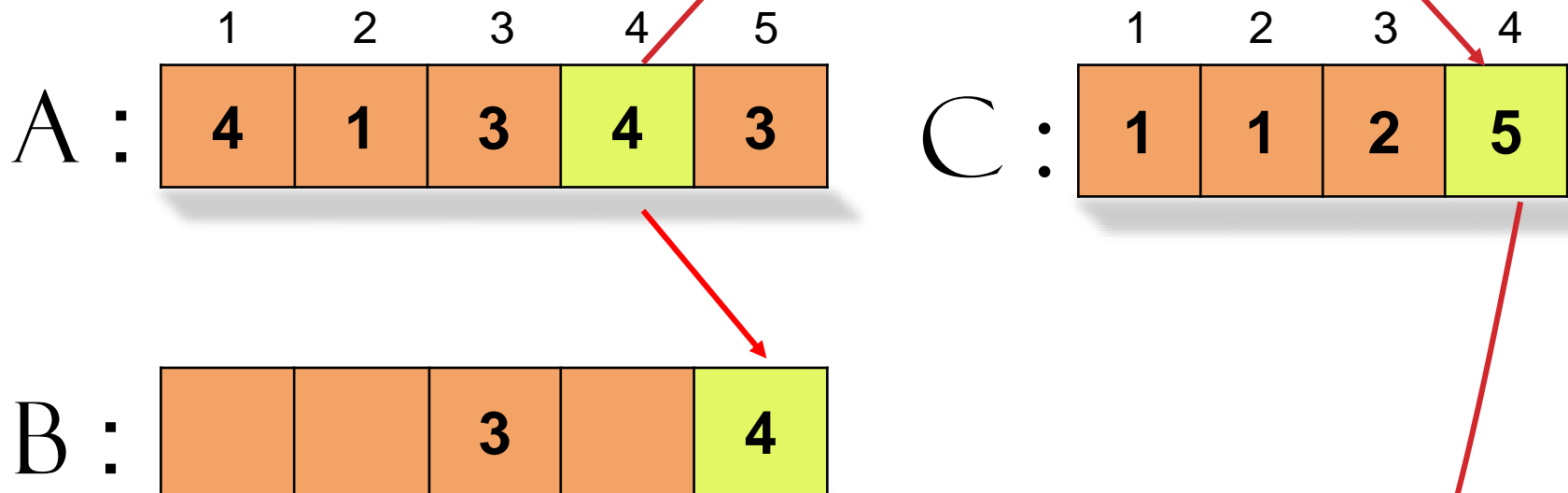
```
for  $j \leftarrow n$  downto 1  
     $B[C[A[j]]] \leftarrow A[j]$   
     $C[A[j]] \leftarrow C[A[j]] - 1$ 
```

COUNTING SORT EXAMPLE: LOOP 4



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COUNTING SORT EXAMPLE: LOOP 4



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```

COUNTING SORT EXAMPLE:

LOOP 4

A :

1	2	3	4	5
4	1	3	4	3

C :

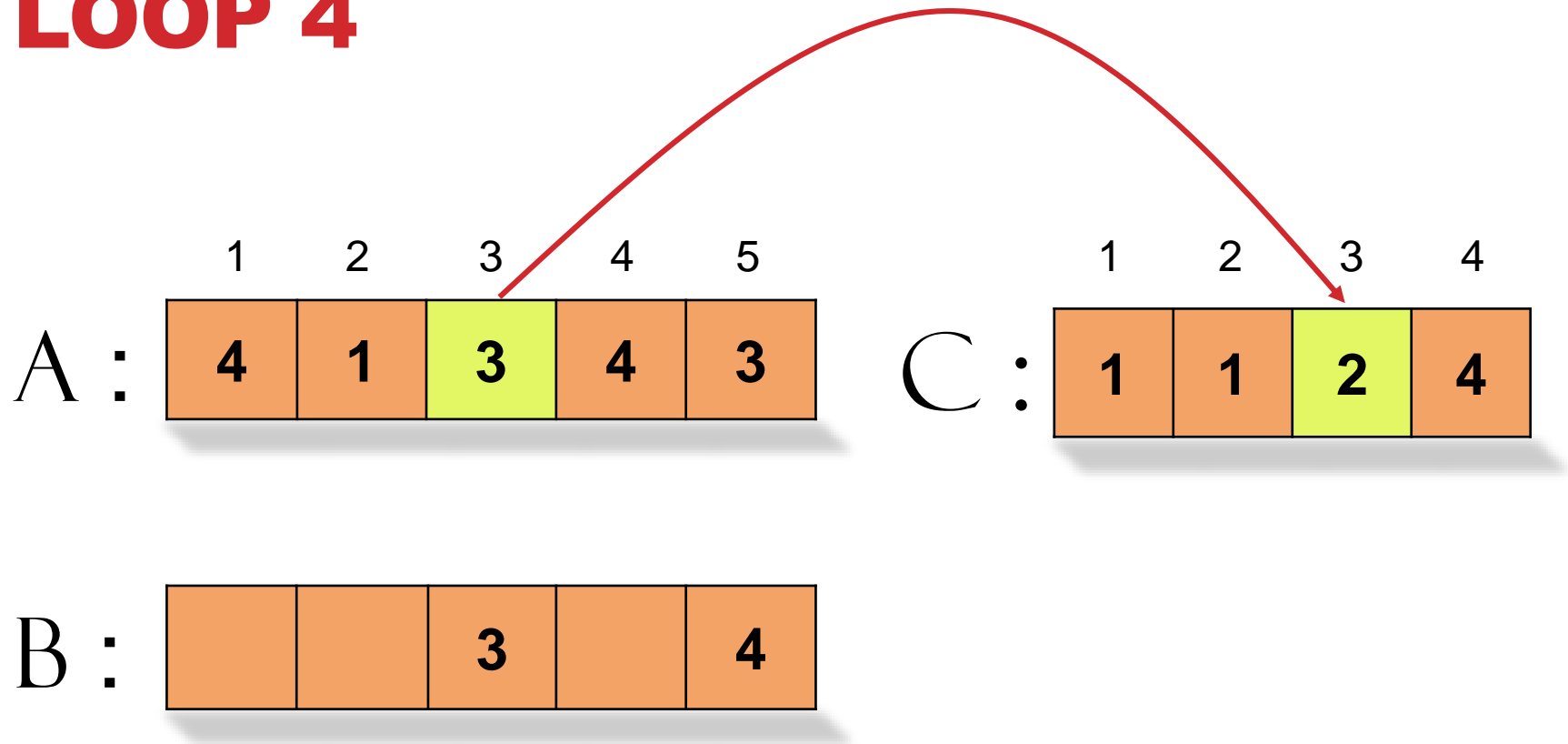
1	2	3	4
1	1	2	4

B :

		3		4
--	--	---	--	---

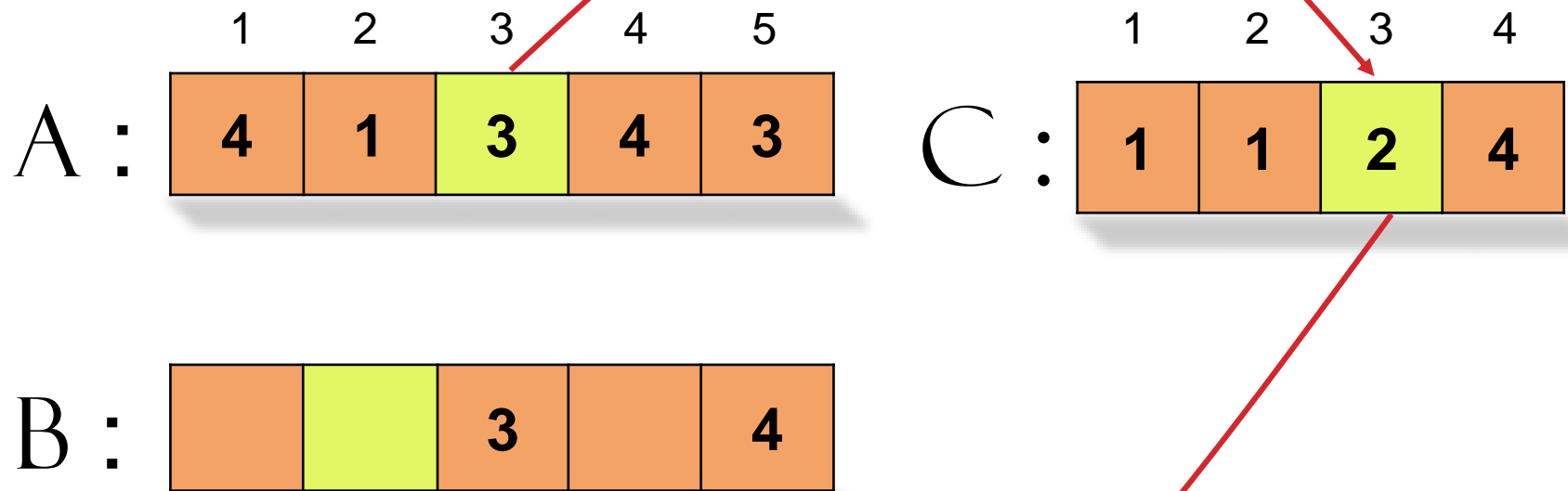
```
for  $j \leftarrow n$  downto 1  
     $B[C[A[j]]] \leftarrow A[j]$   
     $C[A[j]] \leftarrow C[A[j]] - 1$ 
```

COUNTING SORT EXAMPLE: LOOP 4



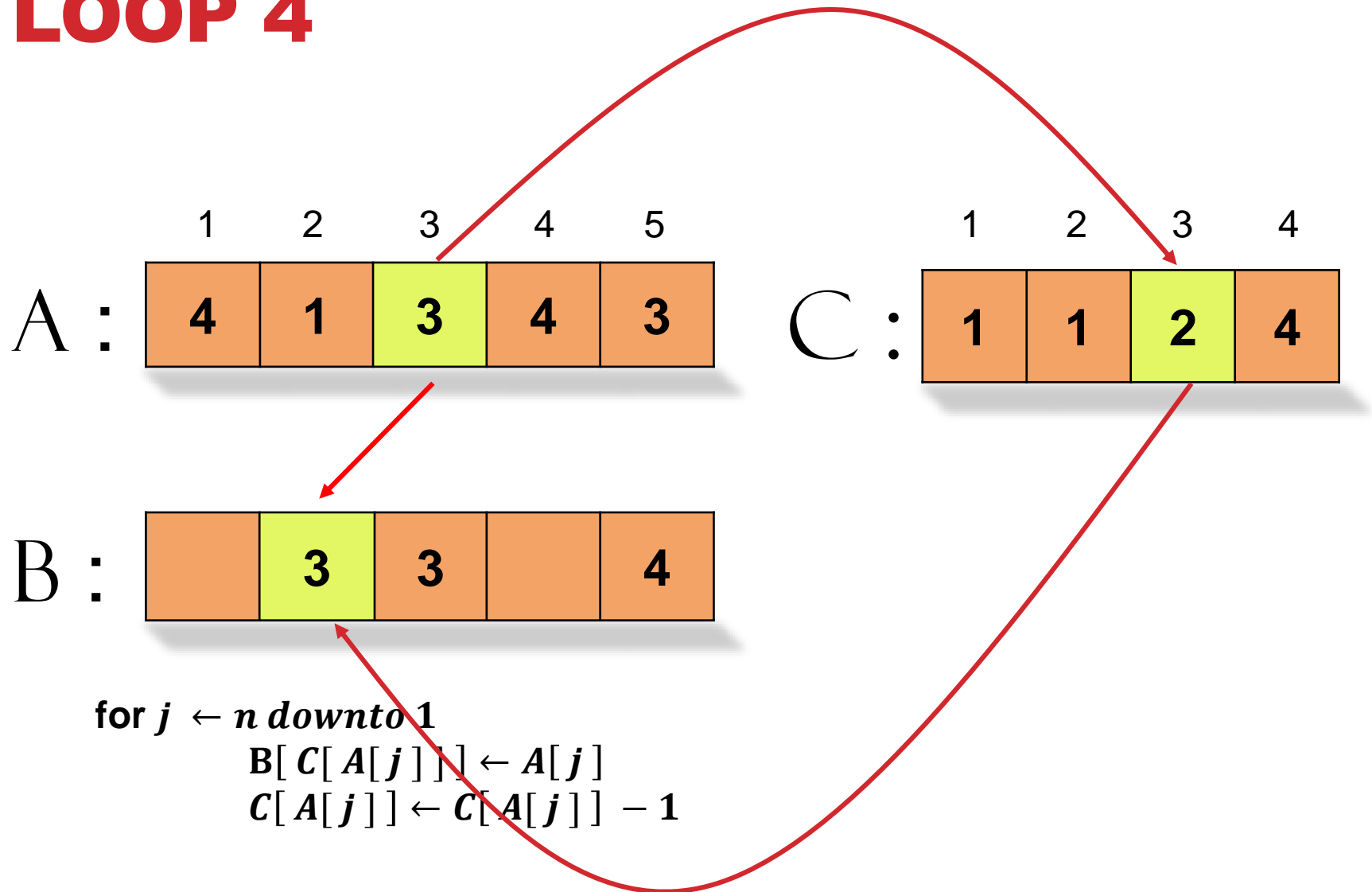
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for  $j \leftarrow n$  downto 1  
     $B[C[A[j]]] \leftarrow A[j]$   
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COUNTING SORT EXAMPLE: LOOP 4



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COUNTING SORT EXAMPLE: LOOP 4



COUNTING SORT EXAMPLE: LOOP 4

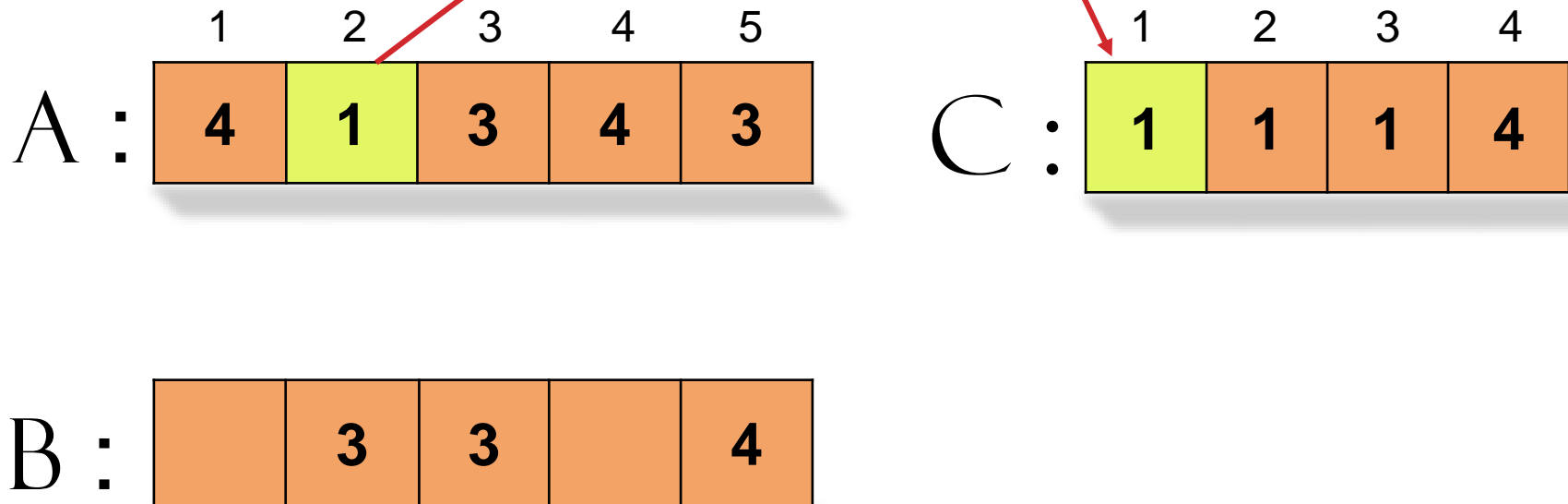
	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	1	1	1	4

B :		3	3		4
-----	--	---	---	--	---

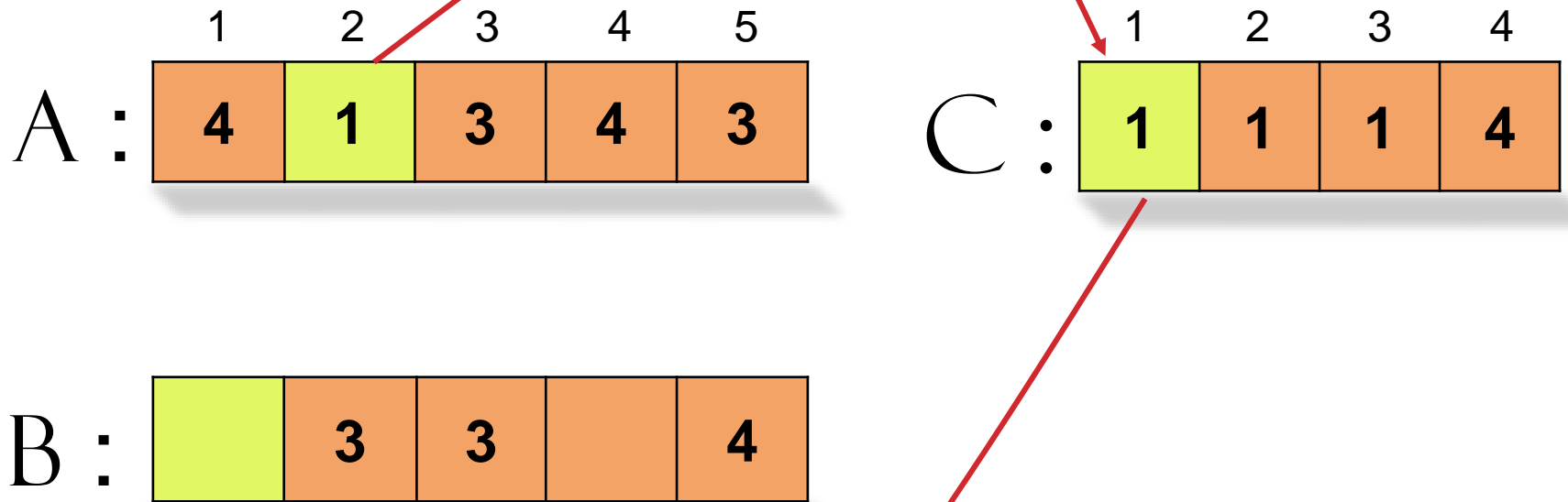
for $j \leftarrow n$ downto 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE: LOOP 4



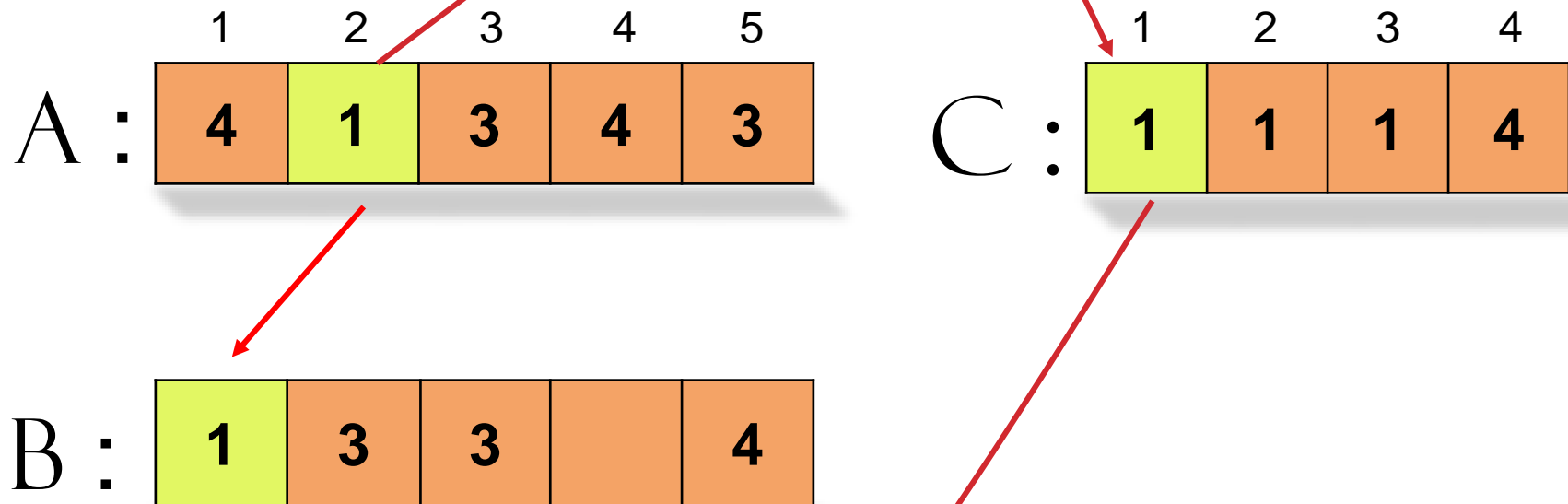
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COUNTING SORT EXAMPLE: LOOP 4



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COUNTING SORT EXAMPLE: LOOP 4

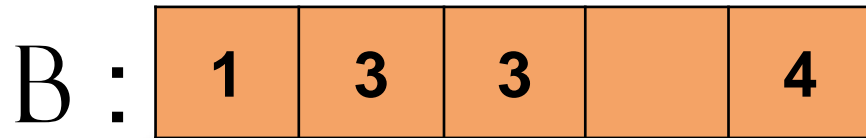
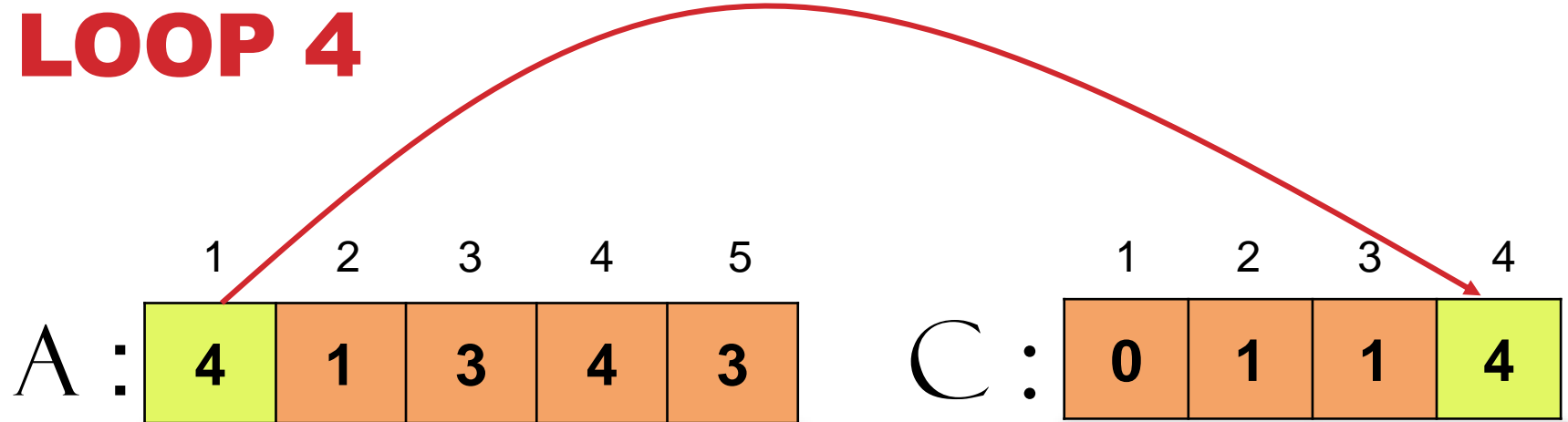
	1	2	3	4	5
A :	4	1	3	4	3

	1	2	3	4
C :	0	1	1	4

B :	1	3	3		4
-----	---	---	---	--	---

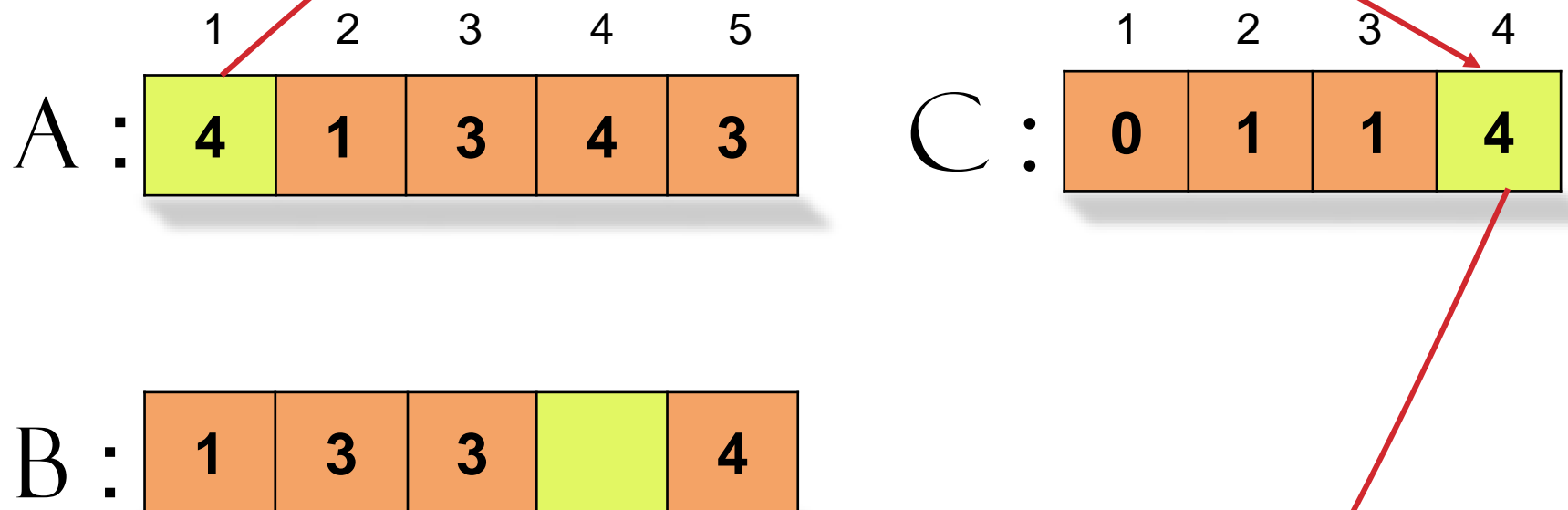
for $j \leftarrow n$ downto 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE: LOOP 4



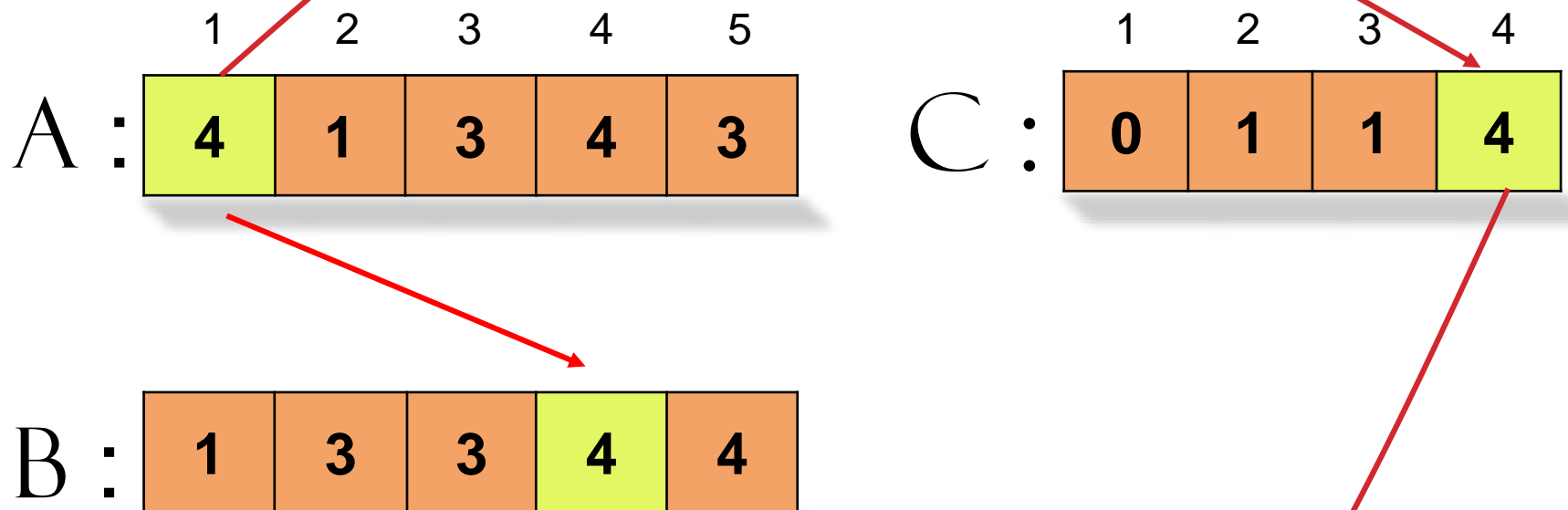
for $j \leftarrow n$ downto 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE: LOOP 4



for $j \leftarrow n$ **downto** 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE: LOOP 4



for $j \leftarrow n$ **downto** 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

COUNTING SORT EXAMPLE: LOOP 4

	1	2	3	4	5
A :	4	1	3	4	3

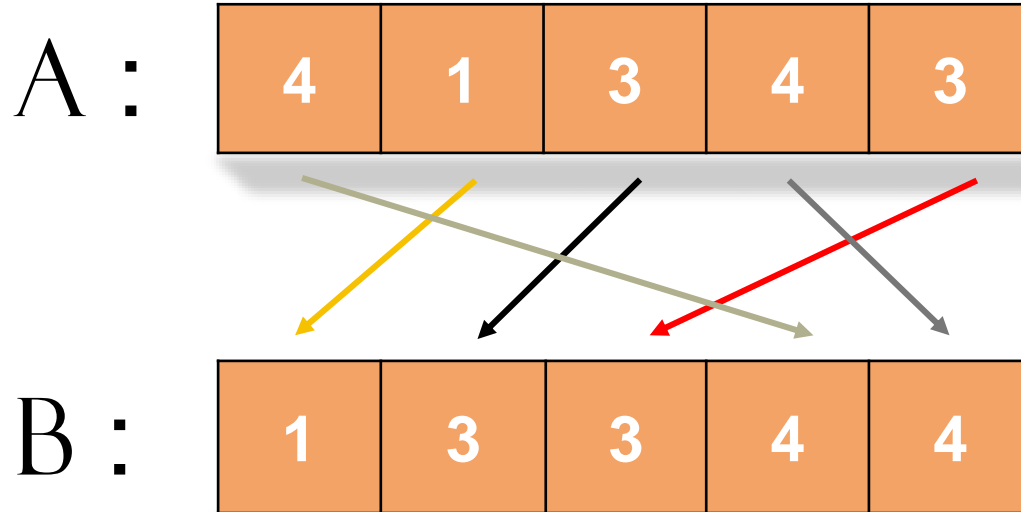
	1	2	3	4
C :	0	1	1	3

B :	1	3	3	4	4
-----	---	---	---	---	---

for $j \leftarrow n$ **downto** 1
 $B[C[A[j]]] \leftarrow A[j]$
 $C[A[j]] \leftarrow C[A[j]] - 1$

STABLE SORTING

Counting sort is a *stable* sort: preserves the input order among *equal* elements.



What other sorts have this property?

COUNTING SORT

- **Cool!** *Why don't we always use counting sort?*
 - Because it depends on range *k* of elements
- *Can we use counting sort to sort 32-bit integers? Why or why not?*
 - Answer: NO, *k* is too large ($2^{32} = 4,294,967,296$)
 - Affects both time and space complexity

RADIX SORT

- Sorting *d*-digit numbers:
 - Sort on the *most significant digit*
 - Then sort on the *second-most significant digit*, etc.
- **Problem:** lots of intermediate results to keep track of
- **Key idea of IBM:** sort the *least significant digit* first
 - Sort a *d*-digit number:

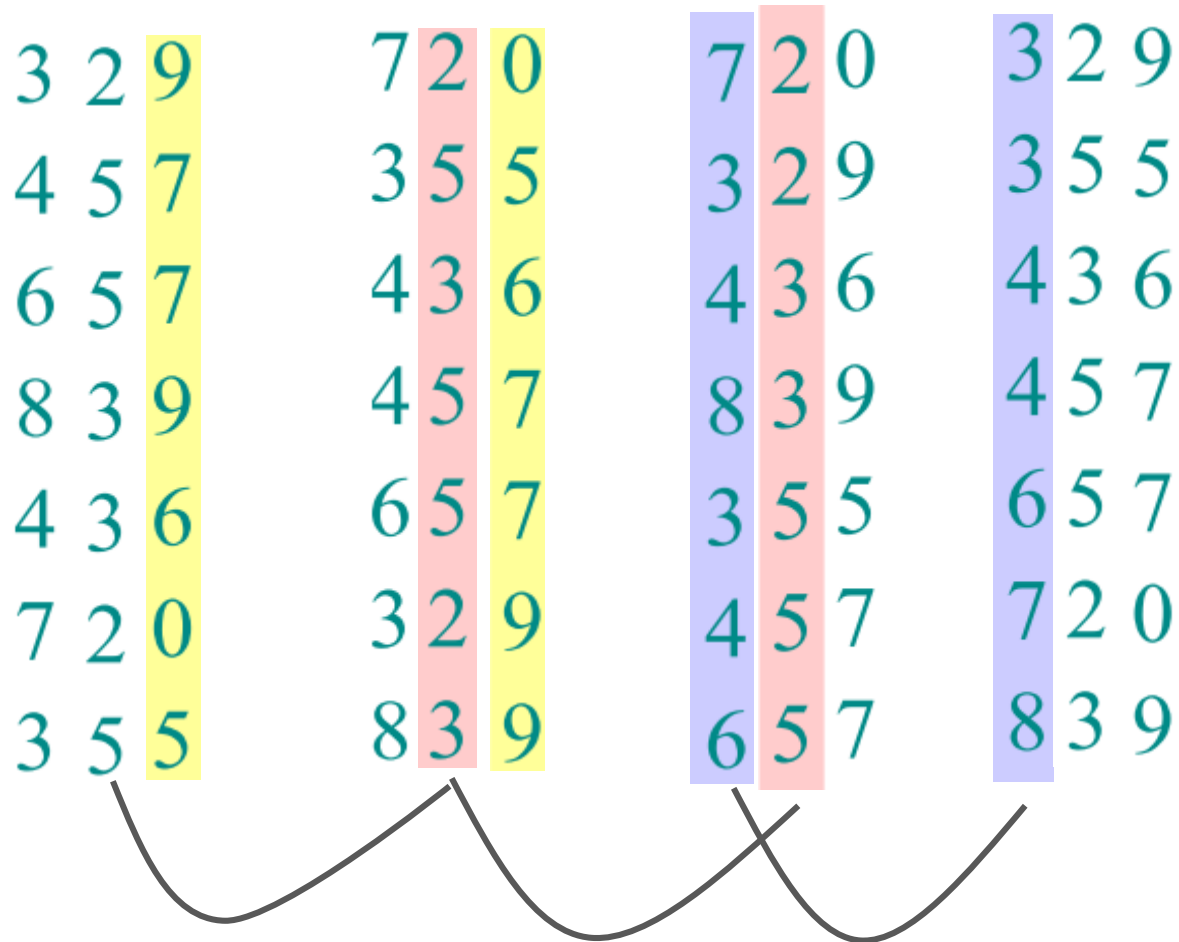
RADIXSORT (A, d)

for i=1 to d

STABLESORT (A) on digit i

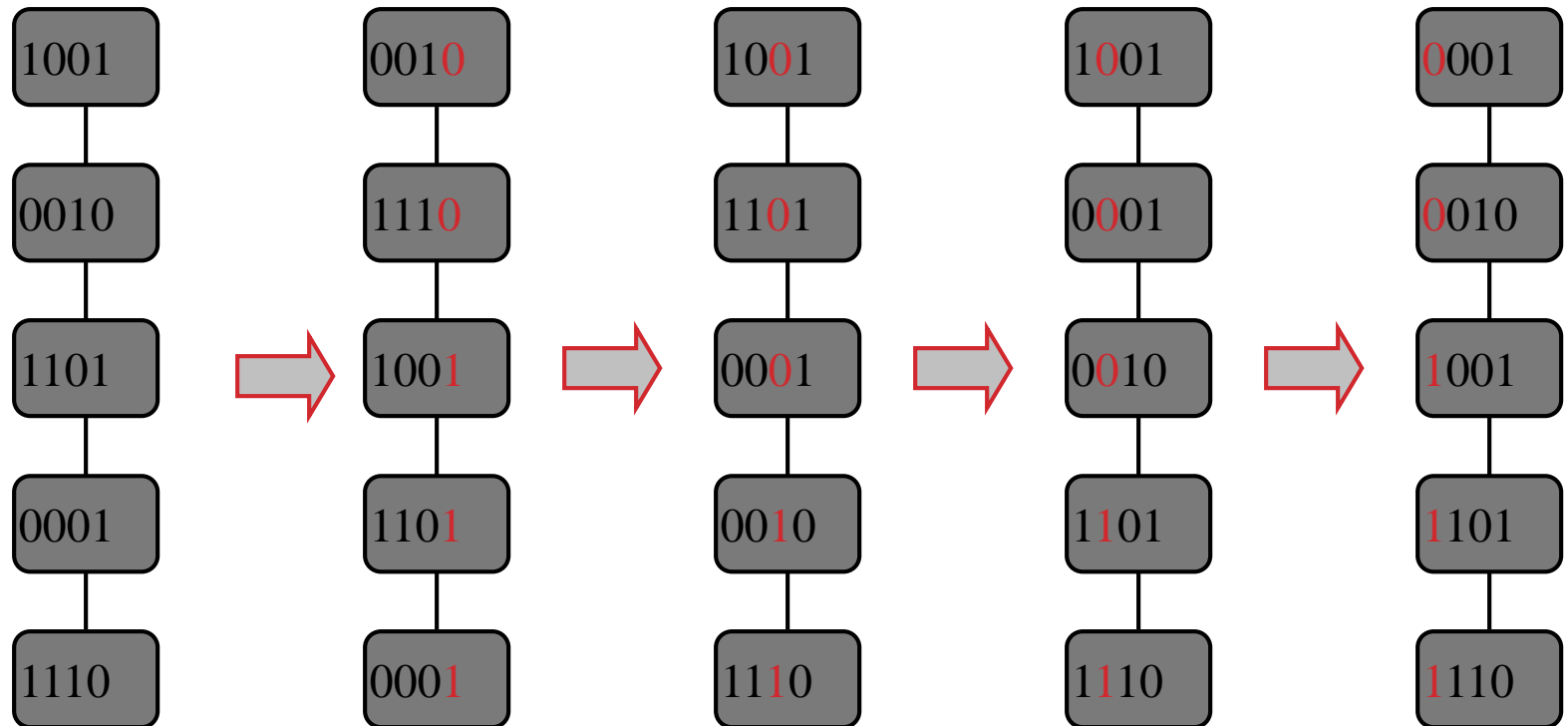
- *What sort will we use to sort on digits?*

RADIX SORT EXAMPLE: DECIMAL



RADIX SORT EXAMPLE: BINARY

- Sorting a sequence of 4-bit integers



<http://www.cs.usfca.edu/~galles/visualization/RadixSort.html>

RADIX SORT

- Each of the d passes over n numbers takes time $O(n+k)$, so total time $O(d(n+k))$
 - When d is constant (e.g., $d = 32$ for 32-bit integers) and $k=O(n)$, takes total $O(n)$ time
- In practice
 - Radix Sort is fast for large inputs.
 - Radix Sort is simple to code and maintain.
 - **Problem:** Radix Sort displays little locality of reference (same problem as Heap Sort)
 - A well-tuned quicksort is better, since it runs mostly on consecutive memory locations.

CONCLUSIONS

- All **theorems** rely on **assumptions** to be true:
 - Example: Sorting is $\Omega(n \log n)$
 - Assumes comparison-based sorting
- When you come up with an impossibility result, try to think outside of the box and find a completely different approach.
 - Example: **Assume** different distribution on input, or **impose** a different condition
 - Counting Sort assumes all items are less than $k = O(n)$
 - Randomized Quicksort makes sure average-case is like best-case
- **Quicksort is quick!**
 - Asymptotic complexity matters, but algorithmic details and computer architecture also matters!