



Contract Note No. : ISEC/2021239/21807238

TRADE DATE : 20-12-2021
SETTLEMENT NO. : 2021239
SETTLEMENT DATE : 22-12-2021



To,
SRIPAL SETHIA

2701 MURJAN 5 27 JUMEIRAH BEACH

DUBAI - 999999
DUBAI
State Code: 27
UAE
Mob No: 96791751
GST Identification No. of Client:

ICICI Securities Limited

Name of Clearing Corporation: NSE Clearing Limited.
(Cash Market Segment)
SEBI REGN. NO.: INZ000183631;
Clearing no.07730

Regd. Off: ICICI Venture House, Appasaheb Marathe Marg,
Prabhadevi, Mumbai - 400 025
Tel No: 022-6807 7100 Fax No: 022-6807 7803

Dealing office Add : Shree Sawan Knowledge Park,
Gr. Floor, Plot No. D-507, TTC Industrial Area, MIDC, Turbhe,
Navi Mumbai - 400 705, Maharastra India
Tel :91-22-4070 1000
Fax :91-22-4070 1022

Principal place of business:

Icici Securities Limited,, 163 Backbay Reclamation, H. T. Parikh Marg, Churchgate,
Mumbai - 400020, Maharashtra

Compliance Officer : Mr. Anoop Goyal, Tel No : 022 - 40701000

Email :-complianceofficer@icicisecurities.com
Email ID for Investor complaint : coo@icicidirect.com
Website : www.icicidirect.com

Corporate Identification Number (CIN) : L67120MH1995PLC086241

Trading Back Office Code : 6500015248
UCC of Client : 6500015248
PAN of Client : AAKPS4496E

Sir/Madam,
I/We have this day done by your order and on your account the following transactions:

Summary including statutory levies - AMBUJA CEMENTS LTD	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	50	17800.00	0.0000	0.3026	0.0178	0.0576	2.6700	17.8000	17820.8480
Sell	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	50	17800.00	0.0000	0.3026	0.0178	0.0576	2.6700	17.8000	17820.8480

Summary including statutory levies - BHARAT FORGE LTD	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	100	67090.50	0.0000	1.1405	0.0670	0.2174	10.0100	66.7410	67168.6759
Sell	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	100	67090.50	0.0000	1.1405	0.0670	0.2174	10.0100	66.7410	67168.6759

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks	Exchange
1000000009975448	20-12-2021 11:09:00	2213662	11:09	INE079A01024	AMBUJA CEMENTS LTD	Buy	50		356.00	0.0000	356.0000	17800.0000		NSE

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks	Exchange
1000000009916316	20-12-2021 11:08:00	2202461	11:08	INE465A01025	BHARAT FORGE LTD	Buy	19		670.90	0.0000	670.9000	12747.1000		NSE
1000000009916316	20-12-2021 11:08:00	2202462	11:08	INE465A01025	BHARAT FORGE LTD	Buy	4		670.90	0.0000	670.9000	2683.6000		NSE
1000000009916316	20-12-2021 11:08:00	2202463	11:08	INE465A01025	BHARAT FORGE LTD	Buy	40		670.90	0.0000	670.9000	26836.0000		NSE
1000000009916316	20-12-2021 11:08:00	2202464	11:08	INE465A01025	BHARAT FORGE LTD	Buy	10		670.90	0.0000	670.9000	6709.0000		NSE
1000000009916316	20-12-2021 11:08:00	2202465	11:08	INE465A01025	BHARAT FORGE LTD	Buy	17		670.90	0.0000	670.9000	11405.3000		NSE
1000000009916316	20-12-2021 11:08:00	2202466	11:08	INE465A01025	BHARAT FORGE LTD	Buy	3		670.95	0.0000	670.9500	2012.8500		NSE
1000000009916316	20-12-2021 11:08:00	2202467	11:08	INE465A01025	BHARAT FORGE LTD	Buy	2		670.95	0.0000	670.9500	1341.9000		NSE
1000000009916316	20-12-2021 11:08:00	2202468	11:08	INE465A01025	BHARAT FORGE LTD	Buy	5		670.95	0.0000	670.9500	3354.7500		NSE

Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange’s website. Please visit www.nseindia.com for NSE.

Pay in Obligation	₹	84890.5000
Taxable value of supply: ^		
a) Total brokerage	₹	0.0000
b) Exchange Transaction Charges	₹	1.4431
c) SEBI Turnover Fees	₹	0.0848
Total Taxable value of supply (a+b+c)		1.5279
	Brokerage (a)xGST	Charges (b+c)xGST
CGST* Rate(9.0000%)	0.0000	0.1375
SGST/UTGST* Rate (9.0000%)	0.0000	0.1375
IGST* Rate(0.0000%)	0.0000	0.0000
e) Securities Transaction Tax**	₹	84.5400

f) Stamp Duty**	₹	12.6800
Net amount payable by Client	₹	84989.5229
Net Amount to be Debited from Bank	₹	84989.52
# indicates the position is squared off by I-Sec Risk Management System (RMS) due to margin shortfall.		
* CGST - Central GST; SGST - State GST; IGST : Integrated GST; UTGST : Union Territory Tax		
^ Taxable value of supply is calculated in accordance with the provisions of the applicable GST Laws issued from time to time		
**ICICI Securities Limited is collecting the Stamp duty and Securities Transaction tax as a pure agent of the investor and hence the same is not considered in taxable value of supply for charging GST.		

Date : 20/12/2021
Place : Mumbai

Yours faithfully,
For ICICI Securities Limited.

Authorized Signatories:

Mr.Prasannan Keshavan, Mr.Pankaj Shah, Mr.Paulraj Muralidharan, Mr.Nilesh Naik, Ms.Deepali Thakkar, Mr.Ramakrishna Oruganti, Mr. Santosh Naphade



Authorized Signatory,
Member of National Stock Exchange of India Ltd.
PAN NO.: AAACI0996E
GSTIN NO : 27AAACI0996E1ZL
Description of service : Brokerage and related securities and commodities services including commodity exchange services
Accounting code of services : 997152

Annexure to Contract Note
Compliance with Fit and Proper requirement, applicable in case of trading in securities of listed Stock Exchanges

19.Eligibility for acquiring or holding shares.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognized stock exchange or recognized clearing corporation unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
- (3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
- (4) Any person holding more than two per cent of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
- (5) If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (6) Any person holding more than two per cent of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

20.Fit and proper criteria.

20. (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—
- (a) such person has a general reputation and record of fairness and integrity, including but not limited to—
- (i) financial integrity;
 - (ii) good reputation and character; and
 - (iii) honesty;
- (b) such person has not incurred any of the following disqualifications—
- (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
 - (ii) an order for winding up has been passed against the person;
 - (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
 - (iv) an order, restraining, prohibiting or debaring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
 - (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
 - (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
 - (vii) the person is financially not sound.
- (2) If any question arises as to whether a person is a fit and proper person, the Board’s decision on such question shall be final.

Eligibility for acquiring or holding shares in a depository

- 6B. (1) No person shall, directly or indirectly, acquire or hold equity shares or voting rights of a depository unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares such that his shareholding exceeds two percent. of the paid up equity share capital of a depository, shall seek approval of the Board within fifteen days of the acquisition.
- (3) Any person holding more than two per cent. Of the paid up equity share capital of the depository on the date of commencement of the Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2012, shall seek approval of the Board within a period of ninety days from the date of such commencement.
- (4) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (5) Any person holding more than two per cent. of the paid up equity share capital in a depository shall file a declaration within fifteen days from the end of every financial year to the depository that he complies with the fit and proper criteria.
- (6) Save as otherwise provided in these regulations, the shareholding or voting rights of any person in a depository shall not exceed the limits specified in these regulations at any point of time.
- (7) For determining the shareholding of any person in a depository as specified in these regulations, any instrument held, owned or controlled, directly or indirectly, by him that entitles him the voting rights or provides for entitlement to voting rights or equity shares or any other rights over equity shares at any future date, shall also be included.

Criteria for determining a 'fit and proper person'

For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person 'the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer [, the director, the promoter] and the key management persons by whatever name called –

- (a) Integrity, reputation and character;
- (b) Absence of convictions and restraint orders;
- (c) Competence including financial solvency and networkth
- (d) Absence of categorization as a wilful defaulter.

Rights Entitlement

In case Rights Entitlements (REs) has been purchased, please note that the purchase of REs only gives right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Issue closing date shall lapse and shall be extinguished after the Issue closing date.

Annexure			
Statement of Securities Transaction Tax			
Stock Exchange	National Stock Exchange of India Ltd	Stock Broker Name	ICICI Securities Ltd.
Stock Broker Code	07730(SEBI:INZ000183631)	Trading Client Name	SRIPAL SETHIA
Trading Client Code	6500015248	Trading Client MAPIN	NOT AVAILABLE
Trading Client PAN	AAKPS4496E	Contract Note Date	20/12/2021
Contract Note No.	ISEC/2021239/21807238		

Security	Transaction settled by delivery-Purchase				Transaction settled by delivery-Sale				Transaction settled other than by delivery				TotalSTT (₹)
	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	
BHARATFORG	100	670.9100	67091.0000	66.7400	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	66.7400
AMBUJACEM	50	356.0000	17800.0000	17.8000	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	17.8000

TOTAL (₹)		84.54
Securities Transaction Tax (round to the nearest rupee) (₹)		85.00

Place : Mumbai
Date : 20-12-2021

For ICICI Securities Limited.



Authorised Signatory