



# ICICI Securities Limited

Name of Clearing Corporation: NSE Clearing Limited.

(Cash Market Segment)

SEBI REGN. NO.: INZ000183631;  
Clearing no.07730

Regd. Off:  
ICICI Centre, H. T. Parekh Marg, Churchgate, Mumbai-400 020  
Tel : 022-22882460/70 Fax : 022-66377211

Dealing office Add : Shree Sawan Knowledge Park,  
Gr. Floor, Plot No. D-507, TTC Industrial Area, MIDC, Turbhe,  
Navi Mumbai - 400 705, Maharastra India  
Tel :91-22-4070 1000  
Fax :91-22-4070 1022

Principal place of business:

Icici Securities Limited,, 163 Backbay Reclamation, H. T. Parikh Marg,  
Churchgate, Mumbai - 400020, Maharashtra

Compliance Officer : Mr. Anoop Goyal, Tel No : 022 - 40701000

Email :-complianceofficer@icicisecurities.com  
Email ID for Investor complaint : coo@icicidirect.com  
Website : www.icicidirect.com

Corporate Identification Number (CIN) : L67120MH1995PLC086241

Trading Back Office Code : 6500015248  
UCC of Client : 6500015248  
PAN of Client : AAKPS4496E

Sir/Madam,  
I/We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks
1100000000087843	22-12-2020 11:06:00	30267356	14:50	INE285A01027	ELGI EQUIPMENTS LTD	Sell		100	153.00	0.0000	153.0000	15300.0000	
1100000000087843	22-12-2020 11:06:00	30267845	14:50	INE285A01027	ELGI EQUIPMENTS LTD	Sell		1	153.00	0.0000	153.0000	153.0000	
1100000000087843	22-12-2020 11:06:00	30269259	14:50	INE285A01027	ELGI EQUIPMENTS LTD	Sell		1	153.00	0.0000	153.0000	153.0000	
1100000000087843	22-12-2020 11:06:00	30269504	14:51	INE285A01027	ELGI EQUIPMENTS LTD	Sell		1	153.00	0.0000	153.0000	153.0000	
1100000000087843	22-12-2020 11:06:00	30273385	14:51	INE285A01027	ELGI EQUIPMENTS LTD	Sell		97	153.00	0.0000	153.0000	14841.0000	

Summary including statutory levies - ELGI EQUIPMENTS LTD	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Sell	200	30600.00	0.0000	1.0404	0.0154	0.1902	0.0000	31.0000	-30567.7540
Total Payable / Receivable	-200	-30600.00	0.0000	1.0404	0.0154	0.1902	0.0000	31.0000	-30567.7540

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks
1200000000072453	22-12-2020 09:02:00	52792699	12:38	INE214T01019	L&T INFOTECH LIMITED	Sell		180	3450.00	0.0000	3450.0000	621000.0000	
1200000000072470	22-12-2020 09:02:00	52855283	12:45	INE214T01019	L&T INFOTECH LIMITED	Sell		128	3500.00	0.0000	3500.0000	448000.0000	
1200000000072470	22-12-2020 09:02:00	52855284	12:45	INE214T01019	L&T INFOTECH LIMITED	Sell		10	3500.00	0.0000	3500.0000	35000.0000	
1200000000072470	22-12-2020 09:02:00	52855285	12:45	INE214T01019	L&T INFOTECH LIMITED	Sell		20	3500.00	0.0000	3500.0000	70000.0000	
1200000000072470	22-12-2020 09:02:00	52855286	12:45	INE214T01019	L&T INFOTECH LIMITED	Sell		2	3500.00	0.0000	3500.0000	7000.0000	
1200000000072470	22-12-2020 09:02:00	52855287	12:45	INE214T01019	L&T INFOTECH LIMITED	Sell		1	3500.00	0.0000	3500.0000	3500.0000	
1200000000072470	22-12-2020 09:02:00	52855288	12:45	INE214T01019	L&T INFOTECH LIMITED	Sell		19	3500.00	0.0000	3500.0000	66500.0000	

Summary including statutory levies - L&T INFOTECH LIMITED	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Sell	360	1251000.00	0.0000	42.5340	0.6256	7.7688	0.0000	1251.0000	-1249698.0716
Total Payable / Receivable	-360	-1251000.00	0.0000	42.5340	0.6256	7.7688	0.0000	1251.0000	-1249698.0716

Details of trade-wise levies shall be provided on request.

Pay out Obligation	₹	1281600.0000
Taxable value of supply: ^		
a) Total brokerage	₹	0.0000
b) Exchange Transaction Charges	₹	43.5744
c) SEBI Turnover Fees	₹	0.6410
Total Taxable value of supply (a+b+c)		44.2154

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit [www.nseindia.com](http://www.nseindia.com) for NSE.

	Brokerage (a)xGST	Charges (b+c)xGST		
CGST* Rate(9.0000%)	0.0000	3.9795	₹	3.9795
SGST/UTGST* Rate (9.0000%)\***	0.0000	3.9795	₹	3.9795
IGST* Rate(0.0000%)	0.0000	0.0000	₹	0.0000
e) Securities Transaction Tax**			₹	1282.0000
f) Stamp Duty**			₹	0.0000
Net amount receivable by Client			₹	1280265.8256

Net Amount to be Credited in Bank	₹	1234010.94
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# indicates the position is squared off by I-Sec Risk Management System (RMS) due to margin shortfall.  
\* CGST - Central GST; SGST - State GST; IGST : Integrated GST; UTGST : Union Territory Tax  
^ Taxable value of supply is charges on which GST is applicable.  
\*\*ICICI Securities Limited is collecting the Stamp duty and Securities Transaction tax as a pure agent of the investor and hence the same is not considered in taxable value of supply for charging GST.  
\*\*\*Applicable for customer based at Kerala: The SGST component also covers the disclosure of KFC at 1%, hence tax rate of SGST is shown as 10%.

Date : 22/12/2020  
Place : Mumbai

Authorized Signatories:

Mr.Prasannan Keshavan, Mr.Vishal Gulechha, Mr.Max Menezes, Mr.Ketan Karkhanis, Mr.Ashit Raja, Mr.Pankaj Shah, Mr.Paulraj Muralidharan, Mr.Srikanth Nagesh, Mr.Nilesh Naik, Ms.Deepali Thakkar, Mr.Ramakrishna Oruganti

Yours faithfully,  
For ICICI Securities Limited.



Authorized Signatory,  
Member of National Stock Exchange of India Ltd.  
PAN NO.: AAACI0996E  
GSTIN NO : 27AAACI0996E1ZL  
Description of service : Brokerage and related securities and commodities services including commodity exchange services  
Accounting code of services : 997152

Compliance with Fit and Proper requirement, applicable in case of trading in securities of listed Stock Exchanges

19.Eligibility for acquiring or holding shares.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognized stock exchange or recognized clearing corporation unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.

(3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or holdmore than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.

(4) Any person holding more than two per cent of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.

(5) If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(6) Any person holding more than two per cent of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

20.Fit and proper criteria.

20. (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—

(a) such person has a general reputation and record of fairness and integrity, including but not limited to—

(i) financial integrity;

(ii) good reputation and character; and

(iii) honesty;

(b) such person has not incurred any of the following disqualifications—

(i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;

(ii) an order for winding up has been passed against the person;

(iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;

(iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;

(v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;

(vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and

(vii)the person is financially not sound.

(2) If any question arises as to whether a person is a fit and proper person, the Board’s decision on such question shall be final.

Eligibility for acquiring or holding shares in a depository

6B. (1) No person shall, directly or indirectly, acquire or hold equity shares or voting rights of a depository unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares such that his shareholding exceeds two percent. of the paid up equity share capital of a depository, shall seek approval of the Board within fifteen days of the acquisition.

(3) Any person holding more than two per cent. Of the paid up equity share capital of the depository on the date of commencement of the Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2012, shall seek approval of the Board within a period of ninety days from the date of such commencement.

(4) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(5) Any person holding more than two per cent. of the paid up equity share capital in a depository shall file a declaration within fifteen days from the end of every financial year to the depository that he complies with the fit and proper criteria.

(6) Save as otherwise provided in these regulations, the shareholding or voting rights of any person in a depository shall not exceed the limits specified in these regulations at any point of time.

(7) For determining the shareholding of any person in a depository as specified in these regulations, any instrument held, owned or controlled, directly or indirectly, by him that entitles him the voting rights or provides for entitlement to voting rights or equity shares or any other rights over equity shares at any future date, shall also be included.

Criteria for determining a 'fit and proper person'

For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person 'the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer [ , the director, the promoter] and the key management persons by whatever name called –

(a) Integrity, reputation and character;

(b) Absence of convictions and restraint orders;

(c) Competence including financial solvency and network

(d) Absence of categorization as a wilful defaulter.


Annexure			
Statement of Securities Transaction Tax for equity share in a company or a unit of an equity oriented fund			
Stock Exchange	National Stock Exchange of India Ltd	Stock Broker Name	ICICI Securities Ltd.
Stock Broker Code	07730(SEBI:INZ000183631)	Trading Client Name	SRIPAL SETHIA
Trading Client Code	6500015248	Trading Client MAPIN	NOT AVAILABLE
Trading Client PAN	AAKPS4496E	Contract Note Date	22/12/2020
Contract Note No.	ISEC/2020246/15945006		

Security	Transaction settled by delivery-Purchase				Transaction settled by delivery-Sale				Transaction settled other than by delivery				TotalSTT (₹)
	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	
LTI	0	0.0000	0.0000	0.0000	360	3475.0000	1251000.0000	1251.0000	0	0.0000	0.0000	0.0000	1251.0000
ELGIEQUIP	0	0.0000	0.0000	0.0000	200	153.0000	30600.0000	31.0000	0	0.0000	0.0000	0.0000	31.0000
TOTAL (₹)													1282.00
Securities Transaction Tax (round to the nearest rupee) (₹)													1282.00

Place : Mumbai

Date : 22-12-2020

For ICICI Securities Limited.



Authorised Signatory