



ICICI Securities Limited

MEMBER OF NATIONAL STOCK EXCHANGE OF INDIA LTD.
(Cash Market Segment)
SEBI REGN. NO.: INB 230773037; **TRADING MEMBER CODE No.:-** 07730

Regd. Off:
ICICI Centre, H. T. Parekh Marg, Churchgate, Mumbai-400 020
Tel : 022-22882460/70 Fax : 022-66377211

Dealing office Add : Shree Sawan Knowledge Park,
Gr. Floor, Plot No. D-507, TTC Industrial Area, MIDC, Turbhe,
Navi Mumbai - 400 705, Maharastra India
Tel :91-22-4070 1000
Fax :91-22-4070 1022

Contract Note No. : ISEC/2017223/7730076

TRADE DATE : 23-11-2017
SETTLEMENT NO. 2017223
SETTLEMENT DATE : 27-11-2017

To, 
SRIPAL SETHIA

FLAT 51 BLDG 145 ROAD 1905 MANAMA AL HOORA 319

._MAN - 999999
MANAMA
State Code: 27
BAHRAIN
Mob No: 9840430069
GST Identification

Principal place of business:
Icici Securities Limited,, 163 Backbay Reclamation, H. T. Parikh Marg, Churchgate, Mumbai - 400020, Maharashtra

Compliance Officer : Ms.Mamta Shetty, Tel No : 022 - 40701000
Email :-complianceofficer@icicisecurities.com
Email ID for Investor complaint : coo@icicidirect.com
Website : www.icicidirect.com
Corporate Identification Number (CIN) : U67120MH1995PLC086241

Trading Back Office Code : 6500015248
UCC of Client : 6500015248
PAN of Client : AAKPS4496E

Sir/Madam,
I/We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks
1000000003548409	23-11-2017 12:50:00	1480111	12:50	INE208A01029	ASHOK LEYLAND LTD	Buy	100		114.00	1.4250	115.4250	11542.5000	

Summary including statutory levies - ASHOK LEYLAND LTD	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	100	11400.00	142.5000	0.3534	0.0171	25.7166	0.0000	11.4000	11579.9871
Sell	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	100	11400.00	142.5000	0.3534	0.0171	25.7166	0.0000	11.4000	11579.9871

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks
1100000004375655	23-11-2017 13:35:00	27124662	13:35	INE684F01012	FIRSTSOURCE SOLU. LTD.	Buy	100		41.95	0.5244	42.4744	4247.4400	
1100000004375655	23-11-2017 13:35:00	27124663	13:35	INE684F01012	FIRSTSOURCE SOLU. LTD.	Buy	2		41.95	0.5244	42.4744	84.9488	
1100000004375655	23-11-2017 13:35:00	27124664	13:35	INE684F01012	FIRSTSOURCE SOLU. LTD.	Buy	398		41.95	0.5244	42.4744	16904.8112	

Summary including statutory levies - FIRSTSOURCE SOLU. LTD.	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	500	20975.00	262.1876	0.6502	0.0314	47.3164	6.0000	21.2502	21312.4358
Sell	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	500	20975.00	262.1876	0.6502	0.0314	47.3164	6.0000	21.2502	21312.4358

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks
1200000003371810	23-11-2017 12:52:00	51466130	12:52	INE560K01014	PTC INDIA FIN SERV LTD	Buy	500		36.75	0.4594	37.2094	18604.7000	

Summary including statutory levies - PTC INDIA FIN SERV LTD	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	500	18375.00	229.6875	0.5696	0.0276	41.4512	0.0000	18.3750	18665.1109
Sell	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	500	18375.00	229.6875	0.5696	0.0276	41.4512	0.0000	18.3750	18665.1109

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks
1200000003366650	23-11-2017 12:52:00	51463110	12:52	INE020B01018	RURAL ELEC CORP. LTD.	Buy	49		162.75	2.0344	164.7844	8074.4356	

Summary including statutory levies - RURAL ELEC CORP. LTD.	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	49	7974.75	99.6844	0.2472	0.0120	17.9898	0.0000	7.9748	8100.6582
Sell	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	49	7974.75	99.6844	0.2472	0.0120	17.9898	0.0000	7.9748	8100.6582

Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note shall be governed and subject to the Rules, Bye-laws and Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centers (RAC). The client may approach its nearest centre, details of which are available on respective Exchanges’ website. Please visit www.nseindia.com for NSE.

Pay in Obligation			₹	58724.7500
Taxable value of supply: ^				
a) Total brokerage			₹	734.0595
b) Exchange Transaction Charges			₹	1.8204
c) SEBI Turnover Fees			₹	0.0881
Total Taxable value of supply (a+b+c)				735.9680
		Brokerage (a)xGST	Charges (b+c)xGST	
CGST* Rate(9.0000%)		66.0654	0.1716	₹ 66.2370
SGST/UTGST* Rate (9.0000%)		66.0654	0.1716	₹ 66.2370
IGST* Rate(0.0000%)		0.0000	0.0000	₹ 0.0000
e) Securities Transaction Tax**				₹ 59.0000
f) Stamp Duty**				₹ 6.0000
Net amount payable by Client			₹	59658.1920

Net Amount to be Debited from Bank	₹	59658.19
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indicates the position is squared off by I-Sec Risk Management System (RMS) due to margin shortfall.
* CGST - Central GST; SGST - State GST; IGST : Integrated GST; UTGST : Union Territory Tax
^ Taxable value of supply is charges on which GST is applicable.
**ICICI Securities Limited is collecting the Stamp duty and Securities Transaction tax as a pure agent of the investor and hence the same is not considered in taxable value of supply for charging GST.

Date : 23/11/2017
Place : Mumbai

Authorized Signatories:

Mr.Prasannan Keshavan, Mr.Vishal Gulechha, Mr.Max Menezes, Mr.Ketan Karkhanis, Mr.Ashit Raja, Mr.Pankaj Shah, Mr.Paulraj Muralidharan, Mr.Srikanth Nagesh, Mr.Nilesh Naik, Ms.Deepali Thakkar, Mr.Ramakrishna Oruganti

Yours faithfully,
For ICICI Securities Limited.



Authorized Signatory,
Member of National Stock Exchange of India Ltd.
PAN NO.: AAACI0996E
GSTIN NO : 27AAACI0996E1ZL
Description of service : Brokerage and related securities and commodities services including commodity exchange services
Accounting code of services : 997152

Compliance with Fit and Proper requirement, applicable in case of trading in securities of listed Stock Exchanges

19.Eligibility for acquiring or holding shares.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognized stock exchange or recognized clearing corporation unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.

(3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or holdmore than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.

(4) Any person holding more than two per cent of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.

(5) If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(6) Any person holding more than two per cent of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

20.Fit and proper criteria.

20. (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—

(a) such person has a general reputation and record of fairness and integrity, including but not limited to—

- (i) financial integrity;
- (ii) good reputation and character; and
- (iii) honesty;

(b) such person has not incurred any of the following disqualifications—

- (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
- (ii) an order for winding up has been passed against the person;
- (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
- (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
- (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
- (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
- (vii)the person is financially not sound.

(2) If any question arises as to whether a person is a fit and proper person, the Board’s decision on such question shall be final.

Eligibility for acquiring or holding shares in a depository

6B. (1) No person shall, directly or indirectly, acquire or hold equity shares or voting rights of a depository unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares such that his shareholding exceeds two percent. of the paid up equity share capital of a depository, shall seek approval of the Board within fifteen days of the acquisition.

(3) Any person holding more than two per cent. Of the paid up equity share capital of the depository on the date of commencement of the Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2012, shall seek approval of the Board within a period of ninety days from the date of such commencement.

(4) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(5) Any person holding more than two per cent. of the paid up equity share capital in a depository shall file a declaration within fifteen days from the end of every financial year to the depository that he complies with the fit and proper criteria.

(6) Save as otherwise provided in these regulations, the shareholding or voting rights of any person in a depository shall not exceed the limits specified in these regulations at any point of time.

(7) For determining the shareholding of any person in a depository as specified in these regulations, any instrument held, owned or controlled, directly or indirectly, by him that entitles him the voting rights or provides for entitlement to voting rights or equity shares or any other rights over equity shares at any future date, shall also be included.

Criteria for determining a 'fit and proper person'

For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person 'the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer [, the director, the promoter] and the key management persons by whatever name called –

- (a) Integrity, reputation and character;
- (b) Absence of convictions and restraint orders;
- (c) Competence including financial solvency and networth
- (d) Absence of categorization as a wilful defaulter.

Annexure

Statement of Securities Transaction Tax for equity share in a company or a unit of an equity oriented fund

Stock Exchange

National Stock Exchange of India Ltd

Stock Broker Code

07730(SEBI:INB 230773037)

Trading Client Code

6500015248

Trading Client PAN

AAKPS4496E

Contract Note No.

ISEC/2017223/7730076

Stock Broker Name

ICICI Securities Ltd.

Trading Client Name

SRIPAL SETHIA

Trading Client MAPIN

NOT AVAILABLE

Contract Note Date

23/11/2017

Security	Transaction settled by delivery-Purchase				Transaction settled by delivery-Sale				Transaction settled other than by delivery				TotalSTT (₹)
	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	
ASHOKLEY	100	114.0000	11400.0000	11.4000	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	11.4000
RECLTD	49	162.7500	7974.7500	7.9700	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	7.9700
PFS	500	36.7500	18375.0000	18.3800	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	18.3800
FSL	500	41.9500	20975.0000	21.2500	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	21.2500
TOTAL (₹)													59.00
Securities Transaction Tax (round to the nearest rupee) (₹)													59.00

For ICICI Securities Limited.

Place : Mumbai

Date : 23-11-2017



Authorised Signatory