# Formal Languages and Compilers Laboratory

# ACSE: Building simple compilers in Bison and Flex

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Material based on slides by Alessandro Barenghi and Michele Scandale

#### **Contents**

- Architectural design of compilers
- 2 The ACSE Toolchain
- 3 The ACSE intermediate representation
- 4 Basics of ACSE
- 5 Variables in ACSE
- 6 Homework
- Bonus: complete semantic actions of declarations

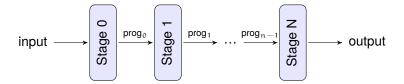
# What does a compiler do?

The purpose of a compiler is:

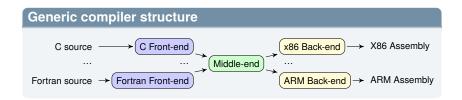
 it translates a program written in a language L<sub>0</sub> into a semantically equivalent program expressed in language L<sub>1</sub>.

A compiler is organized as a pipeline:

 each stage applies a transformation to the input program producing an output program



# **Compiler pipeline**

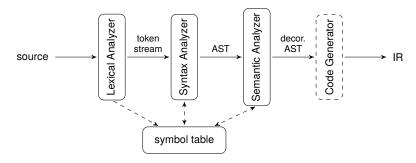


#### Each stage has its own purpose:

- front-end converts from source language into an intermediate form
- middle-end applies transformations and optimizations on the intermediate form
  - back-end convert from the intermediate form into target machine language

#### Front-end structure

The front-end translates a program from a source language to an intermediate form.



#### Main tasks:

- recognize language constructs
- verify syntactical correctness
- verify semantical correctness

# A real world example: LLVM

#### Many frontends:

- Various languages (C, C++, Objective C, Swift, Rust, Go)
- clang is the frontend for C-like languages
- Each frontend outputs a unified language called LLVM-IR

#### The intermediate representation is optimized in the middle-end

- Removes redundant or unused computations
- Rearranges loops to speed them up
- Tries to vectorize your code
- And more!

#### At last:

- Each intermediate instruction is mapped to real target CPU using a graph covering algorithm
- "Printers" emit assembly code or directly the binary

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# ACSE: Advanced Compiler System for Education

ACSE is a simple compiler:

- accepts a C-like source language (called LANCE)
- emits a RISC-like assembly language (called MACE)

It comes with two other helper tools forming an entire toolchain:

asm Assembler (from assembly to machine code)

mace Simulator of the fictional MACE processor

In this course we will see only the ACSE compiler:

- the source is located in acse directory
- the code is simple and well documented
- there are a lot of helper functions to perform common operations

# LANCE: LANguage for Compiler Education

#### LANCE is the source language recognized by ACSE:

- very small subset of C99
- standard set of arithmetic/logic/comparison operators
- reduced set of control flow statements (while, do-while, if)
- only one scalar type (int)
- only one aggregate type (array of ints)
- no functions

#### Very limited support for I/O operations:

- read(var) stores an integer read from standard input into var
- write(var) writes var to standard output

#### LANCE: Syntax

A LANCE source file is composed by two sections:

- variable declarations
- program body as a list of statements

```
int x, y, z = 42;
int arr[10];
int i;

read(x);
read(y);

i=0;
while (i < 10) {
    arr[i] = (y - x) * z;
    i = i + 1;
}
z = arr[9];
write(z);</pre>
```

# **Compilation Process**

How does ACSE compile a LANCE file to MACE assembly?

#### Front-end:

- 1 The source code is **tokenized** by a **flex-generated scanner**
- 2 The stream of tokens is parsed by a bison-generated parser
- The code is translated to a temporary intermediate representation by the semantic actions in the parser

#### Back-end:

- The intermediate representation is normalized to account for physical limitations of the MACE processor
- Each instruction is printed out producing the assembly file

There is no **middle-end** because no **optimizations** are made

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# What's an Intermediate Representation (IR)?

The IR is the data representation used in a compiler to represent the program.

In ACSE it is composed of two main parts:

- The instruction list
- The variable table

The instructions in the instruction list are assembly-like

- Abstracts away all the syntactic details
- Makes later analysis of the program simpler

Let's focus on this special assembly language

# **Intermediate Representation**

ACSE uses a RISC-like intermediate assembly language which is almost identical to the final output (the MACE assembly language)

 Modern compilers use IRs completely different than the target assembly language

#### Kinds of instructions:

- arithmetic and logic (e.g. ADD, SUB)
- memory access instructions (e.g. LOAD, STORE)
- conditional and unconditional branches (e.g. BEQ, BT)
- special I/O instructions (e.g. READ, WRITE)

#### Data storage:

- unbounded registers
- unbounded memory locations

#### Registers, immediates, labels

#### **Registers** are the **variables** of intermediate language

- All registers have the same type: 32-bit signed integer
- It's the instruction that decides if the contents of a register must be interpreted as a pointer

Immediates are constant values encoded directly in the instruction

Labels are constant pointers to a given location in memory

- Used both for conditional jumps and for pointing to the location of a statically-allocated array
- The actual address is decided by the assembler

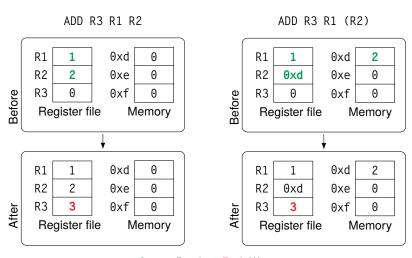
#### **Instruction Formats**

Туре	Operands	Example
Ternary	1 destination and 2 source registers	ADD R3 R1 R2
Binary	1 destination and 1 source register, and 1 immediate operand	ADDI R3 R1 #4
Unary	1 destination and 1 address operand	LOAD R1 L0
Jump	1 address operand	BEQ L0

# **Operands & Addressing modes**

Operand type	Syntax	Notes
Register direct	$R\langle \pmb{n}\rangle$	The <i>n</i> -th register
Register indirect	$(R\langle n\rangle)$	Data at the address contained in the <i>n</i> -th register
Symbolic address	$\lfloor \langle n  angle \ \langle label \ id  angle$	The address identified by the label
Immediate	<i>‡</i> ⟨ <i>n</i> ⟩	The scalar integer constant n

# Addressing modes example



Green: Read Red: Write

# **Intermediate Representation**

#### Register notes

There are two special registers:

```
zero R0 contains the constant value 0: writes are ignored status word or PSW: implicitly read/written by arithmetic instructions
```

The *zero* register is useful to perform **constant value materialization** and copying values across registers:

```
ADDI R1 R0 \#10 // put in R1 the constant 10 ADD R2 R0 R3 // put in R2 the value in R3
```

The PSW register contains four single-bit **flags**, that are exploited mainly by conditional jump instructions:

N negative V overflow Z zero C carry

# **Conditional jump instructions**

There are 15 conditional jump instructions, some of them:

- **BT** Unconditional branch
- BEQ Branch if last result was zero
- BNE Branch if last result was not zero

#### How do they work:

- Every arithmetic instruction modifies the PSW depending on the result of the computation:
  - N set to 1 if the result was negative (otherwise set to 0)
  - **Z** set to 1 if the result was zero (otherwise set to 0)
  - V set to 1 if an overflow occurred (otherwise set to 0)
  - c set to 1 if there was a carry\* (otherwise set to 0)
- When we arrive at a branch instruction the PSW is checked to decide whether to branch or not

<sup>\*</sup>For italian speakers: "carry" è il riporto dell'addizione in colonna

# Carry vs. Overflow

Carry and overflow are almost the same thing:

- Carry is overflow for unsigned numbers
- Overflow refers to signed numbers

Example with 8-bit numbers (ACSE has 32-bit registers but the concept is the same):

# Carry 11111111 255 1 + 1 + -----000000000 0

The result (256) does not fit in 8 bits no matter what!

Overnow	
01111111 1 +	127 1 +
1000000	120
10000000	-128

The result would fit in 8 bits if it was unsigned. But since it is signed, it interferes with the sign bit and it "wraps around".

# **Conditional jump instructions**

Some branch instructions jump depending on a quite obvious condition:

Instruction	Branch condition	Logical test
ВТ	Always branch	1
BF	Never branch*	0
BPL	Branch if positive	$\neg N$
BMI	Branch if negative	N
BNE	Branch if not zero	$\neg Z$
BEQ	Branch if zero	Z
BVC	Branch if overflow clear	$\neg V$
BVS	Branch if overflow set	V
BCC	Branch if carry clear	$\negC$
BCS	Branch if carry set	С

<sup>\*</sup>Yes, nobody needs this

# **Conditional jump instructions**

Some conditions are designed to allow numerical comparisons:

Inst.	Branch condition	Logical test
BNE	Br. if not equal $(\neq)$	¬Z
BEQ	Br. if equal (=)	Z
BGE	Br. if greater or eq. $(\geq)$	$(N \wedge V) \vee (\neg N \wedge \neg V)$
BLT	Br. if less than $(<)$	$(\neg N \wedge V) \vee (N \wedge \neg V)$
BGT	Br. if greater than (>)	$(N \wedge V \wedge \neg Z) \vee (\neg N \wedge \neg V \wedge \neg Z)$
BLE	Br. if less or equal ( $\leq$ )	$Z \vee (N \wedge \neg V) \vee (\neg N \wedge V)$

For these instructions to work as advertised, the last instruction before the branch **must** be a **subtraction**:

Another use for R0: discarding the result of a computation (the PSW is updated anyway)

#### The variable table

The variable table contains the list of variables declared in the program

A variable can be either a **scalar** or an **array**:

- Scalars have a register where they are stored and an initial value
- Arrays have a size and a label to a memory location where they will be stored

Additionally each variable has a unique **identifier** (the name of the variable).

Let's look at an example of a compiled program, and try to read the intermediate language translation...

#### **Input Program**

```
int x, y, z = 42;
int arr[10]:
int i:
read(x);
read(v):
i=0:
while (i < 10) {
                     1.5
  arr[i] = (y - x) * z;
  i = i + 1:
z = arr[9]:
                        L6
write(z):
```

#### IR Code

ADDI R1 R0 #0

```
ADDI R2 R0 #0
ADDI R3 R0 #42
ADDI R4 R0 #0
READ R1 0
READ R2 0
ADDI R4 R0 #0
SUBT R5 R4 #10
BGE L6
SUB R6 R2 R1
MUL R7 R6 R3
MOVA R8 _arr
ADD R8 R8 R4
ADD (R8) R0 R7
ADDI R4 R4 #1
BT L5
MOVA R10 arr
ADDI R10 R10 #9
ADD R3 R0 (R10)
WRITE R3 0
HALT
```

#### Var. Table

Name	Reg.	Size	Lab.
х	R1	_	_
У	R2	_	_
Z	R3	_	_
arr	_	10	_arr
i	R4	-	-

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# Orienting inside ACSE

The core elements of ACSE compiler are:

```
scanner flex source in Acse.lex
parser bison source in Acse.y
codegen instruction generation functions: axe_gencode.h
```

#### ACSE is a syntax directed translator:

- Produces the list of instructions while parsing
- The order of the compiled instructions depends on the syntax!
- Real compilers first build a syntactic tree (AST), then they transform it to a list of instructions

#### Acse.y is the most important file in ACSE:

- Contains the (Bison-syntax) grammar of LANCE
- The semantic actions are responsible for the actual translation from LANCE to assembly

# **Basics of the LANCE grammar**

In a LANCE source file is split into two sections:

- 1 Variable declarations; root non-terminal: var declarations
- 2 List of statements; root non-terminal: statements

The basic grammar rules (expressed in BNF):

*program* : var\_declarations statements ⊢

var\_declarations : var\_declarations var\_declaration

8

statements: statements statement

statement

code\_block: statement

LBRACE statements RBRACE

var\_declaration: ...

statement: ..

#### What is a statement?

A **statement** is a syntactic unit of an imperative programming language that expresses some action to be carried out.

Wikipedia

Statements can be classified as:

Simple Indivisible element of computation

Assignments, read, write, ...

**Compound** Statements which contain multiple simple statements

• if, while, do-while

#### The most basic statement

Let's start with an example, by looking at the most obvious statement of them all:

- return statement: exits the program
- Translation to ASM: a single instruction, HALT

#### The Bison code responsible:

# The code generation functions

The translation is done by the semantic action of *return\_statement* 

- The bison-generated parser executes it every time it encounters a return statement in a program
- The only thing it does: call the gen\_halt\_instruction() function

gen\_halt\_instruction() is a normal C function

- Declaration in axe\_gencode.h
- Implementation in axe\_gencode.c
- It generates a HALT instruction

What does it mean to generate an instruction?

# **Code generation**

Remember the simple infix expression compiler?

- It produced a C program that computed a given expression
- The generation of the program was performed by calling the printf function

Conceptually, generating an instruction is just like a call to printf!

# Not so simple

However, ACSE cannot make do simply with printfs alone...

- The machinery inside ACSE needs to adjust the instructions after-the-fact for various reasons
- The most important reason is that the "physical" MACE machine does not have infinite registers

To allow these adjustements, instead of performing the printf immediately, ACSE allocates an instruction structure and adds it to a linked list

The linked list is inside the global program structure

```
return_statement: RETURN
{
    t_axe_instruction *inst = malloc(sizeof(t_axe_instruction));
    inst->opcode = HALT;
    program->instructions = addLast(program->instructions, inst);
}
:
```

# Still fairly simple

Once the entire program is parsed, and the registers have been fixed up, a separate function **prints all the instructions**\*:

```
void writeAssembly(t program infos *program. char *file)
  FILE *fp = fopen(file. "w"):
  t list *cur = program->instructions;
  while (cur) {
    t axe instruction *cur inst = cur->data;
    switch (cur_inst->opcode) {
      /* ... */
      case HALT:
        printf("HALT\n");
        break:
    cur = cur->next:
  fclose(fp):
```

<sup>\*</sup>The code has been intentionally simplified, the real function is a bit more complex

# Back to the codegen functions

So, in summary, gen\_halt\_instruction() allocates the instruction structure and adds it to the list of instructions:

```
void gen_halt_instruction(t_program_infos *program)
{
   t_axe_instruction *inst = malloc(sizeof(t_axe_instruction));
   inst->opcode = HALT;
   program->instructions = addLast(program->instructions, inst);
}
```

Similar functions exist for all the instructions in the intermediate representation

# The program instance

We have seen that the instruction list is contained in a **global** structure called **program** 

- Declaration in Acse.y, at the very top
- It contains the intermediate representation of the program being compiled
- It also contains other contextual information

```
typedef struct t_program_infos {
    t_list *variables;
    t_list *instructions;

/* ...Other members not of
    * interest here... */
    int current_register;
} t program infos;
```

Almost every function in ACSE takes program as an argument

## Recap

- ACSE is a compiler for a language called LANCE
- Reading LANCE files is taken care of through a bison/flex generated parser
- Ouring the parsing process, the semantic actions rewrite each statement encountered to semantically equivalent instructions in an assembly-like intermediate representation or language
  - This process is called syntactic-directed translation
- The intermediate representation consists of linked lists (variable and instructions) contained in the program structure
- 6 At the end of the compilation, the lists in the program structure are traversed and each instruction is printed to produce the final assembly program

## Where to go from here

From now on we will examine how each language feature in LANCE is implemented in the ACSE codebase

- Variable declaration, access and assignment
- Arithmetic expressions and constant folding
- Control statements (if, while, do-while)

In the process we will see further "building blocks" in the IR:

- The variable list
- Register identifiers
- Labels

Final goal: know ACSE well enough to be able to add features to it

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# Syntax of variable declarations

The syntax of variable declarations is similar to C:

var\_declaration : TYPE declaration\_list SEMI

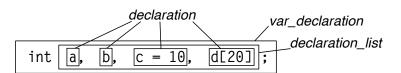
declaration\_list: declaration\_list COMMA declaration

declaration

declaration: IDENTIFIER ASSIGN NUMBER

IDENTIFIER LSQUARE NUMBER RSQUARE

IDENTIFIER



## Tokens for variable identifiers

The token for variable identifiers is (fittingly) called IDENTIFIER:

- Semantic value: a C string with the name of the variable
- The string is dynamically allocated by the lexer
  - That means we need to free it in the semantic actions!

# %union { ... char \*svalue;

**%token** <svalue> IDENTIFIER

```
For those who don't know, strdup() is implemented like this \rightarrow
```

```
char *strdup(char *s)
{
  char *new = malloc(strlen(s)+1);
  strcpy(new, s);
  return new;
}
```

# **Tokens for constant integers**

Another token appears, NUMBER, which corresponds to **constant integers**:

Semantic value: the integer value that appeared in the LANCE source code

```
%union {
   int intval
   ...
}
%token <intval> NUMBER
```

Of course, atoi() (short for Ascii TO Integer) "converts" strings to integers

# Creating a variable

Each variable declared must be added to the variable list

- All scalar variables are associated with a register
- All arrays are associated with a memory location
- The variable list lets us associate the register/memory location of the variable/array from the identifier

The function for adding a variable to the list is this:

Important! This function already frees the identifier for you

## Creating a scalar variable

The semantic action for variable declarations without initializer is super easy:

```
declaration : IDENTIFIER
             createVariable(
               program,
               $1.
                             /* char *id
                                                 */
               INTEGER_TYPE, /* int type
                             /* int isArray
                                                 */
               0,
                             /* int arraySize
                                                 */
                              /* int init_val
                                                 */
             /* ... other expansions ... */
```

## Creating a scalar variable

#### Now with an initializer:

```
declaration: IDENTIFIER ASSIGN NUMBER
             createVariable(
               program,
                              /* char *id
               $1.
               INTEGER_TYPE, /* int type
                              /* int isArray */
               0.
                             /* int arraySize */
                              /* int init_val
               $3.
                                                 */
             );
             /* ... other expansions ... */
```

## **Creating an array**

The NUMBER in arrays is the size of the array:

```
declaration: IDENTIFIER LSQUARE NUMBER RSQUARE
             createVariable(
               program,
               $1,
                               /* char *id
               INTEGER_TYPE, /* int type
                                                  */
                              /* int isArray
                                                  */
               1,
                              /* int arraySize */
               $3,
               0.
                               /* int init val
                                                  */
             /* ... other expansions ... */
```

# One more complication

In the semantic actions we have seen so far the **type** was assumed to always be **int**.

This is true in ACSE today, but tomorrow it might not be.

This is the reason why, instead of the **simplified** semantic actions we have just seen, ACSE implements declarations in a slightly different way...

- A list is built with all the declarations in a single line
- In the semantic action of var\_declaration the list is enumerated and all the variables are created (by calling createVariable()) with the specified type

We won't discuss this into detail now. If you are curious, look at the end of these slides (or at the source code).

# **Accessing variables**

#### A clarification

Creating a variable only tells ACSE that it exists:

- No space for its value is reserved in the memory of the compiler
- However, the compilers knows in which register or memory location its value will be at runtime
- Compilation is a form of planning

# Accessing variables

#### A clarification

Analogy: International Space Station Mission Control

Mission control	ACSE Compiler
Plans in advance what the astronauts will do when they go into orbit	Plans in advance what the CPU will do when the program is executed
Is constrained by human and operational limitations (sleep time, equipment repairs,)	Is constrained by the limits of the CPU architecture (instruction set,)
The plan must achieve a certain set of objectives	The compiled program must perform the same computation specified in the source code
Does not go into orbit to do the job of the astronauts!	Does not execute any of the statements in the program!

# **Accessing variables**

Knowing where each variable is stored allows us to generate the code for accessing it

Primitive kinds of accesses:

- Read a value from a scalar variable
- Write (assign) a new value to a scalar variable
- Read a value from a given array element
- Write (assign) a new value to a given array element

For now we will focus on scalar variables, we will see arrays later

# Retrieving the register of a variable

In ACSE every **scalar**\* variable is stored in a register:

- Read a variable = Use the register
- Assign a variable = Define the register

#### Some technical compiler-world terms...

Use: accessing a register

**Define**: assign (store) a value in a register

Function for retrieving this register:

It returns an **integer**: the **register identifier**It doesn't free the ID string for you!

<sup>\*</sup>This is not true for arrays, but we will see this later

# Register identifiers

The **register identifier** is an integer value that represents **a given register in the bank of infinite registers** 

The value of the register identifier is the number of the register

- Register R0 has the register identifier 0
- Register R10 has the register identifier 10
- Register  $R\langle n\rangle$  has the register identifier n

Be careful not to mix up normal integer variables in the compiler with register identifiers!

50% of students make this mistake at the exam!

**Infallible secret trick** that evil professors don't tell:

 Doing any kind of arithmetic or comparison operation on register identifiers is wrong

# Generating an assignment to a variable

- 1 Fetch the register ID associated to the variable
- ② Generate an instruction to assign that register

#### Example: assign the constant value 42 to the variable "a"

```
int r_var = get_symbol_location(program, "a", 0);
gen_addi_instruction(program, r_var, REG_0, 42);
```

#### Notice:

- The gen\_xxxi\_instruction() family of functions allows to generate instructions with an immediate parameter
- Arguments: 2 register identifiers, 1 integer (the immediate)

# **Another example**

Let's write the code to generate the assembly implementing this statement:

```
a = b + c:
```

#### Compiler code that generates the corresponding IR

```
int r1 = get_symbol_location(program, "a", 0);
int r2 = get_symbol_location(program, "b", 0);
int r3 = get_symbol_location(program, "c", 0);
gen_add_instruction(program, r1, r2, r3, CG_DIRECT_ALL);
```

#### Notice:

- The gen\_xxx\_instruction() family of functions allows to generate ternary instructions
- Arguments: 3 register identifiers, 1 flags parameter
- The last argument specifies whose registers are indirectly addressed

### The read statement

The **read** statement assigns a value to a variable

- At runtime, the value is read from the terminal by the special READ instruction
- Notice that the variable identifier is freed

```
statement
                      | read_write_statement SEMI
| /* ... */
read_write_statement : read_statement
                      : READ LPAR IDENTIFIER RPAR
read statement
                          int location:
                          location = get_symbol_location(program, $3, 0);
                          gen_read_instruction(program, location);
                          free($3):
```

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## **Homework**

#### Modify ACSE to add a new statement called fma:

- The statement must compute the expression a × b + c (where a, b, c are the three arguments)
- The result of the computation goes back into α

#### **Example of the syntax**

```
int res, v1, v2;
/* ... */
fma(res, v1, v2);
```

#### Steps to follow to get you started\*:

- Add new tokens to the Acse.lex file
- 2 Add token declarations and grammar rules to Acse.y
- Write the semantic actions
- Occupile the modified ACSE by running make
- 5 Test the modified ACSE with a small program (look at the Readme file for detailed instructions...)

<sup>\*</sup>The solution is in the zip file associated to these slides

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- 1 Architectural design of compilers
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#### Acse.lex

```
/* ... */
"int" { yylval.intval = INTEGER_TYPE; return TYPE; }
/* ... */
```

#### Acse.y

```
%union {
    /* ... */
    t_axe_declaration *decl;
    t_list *list;
    /* ... */
}

%type <decl> declaration
%type <list> declaration_list
%token <intval> TYPE
```

```
var declaration : TYPE declaration list SEMI
                 { set new variables(program. $1. $2); }
declaration list: declaration list COMMA declaration
                 \{ \$\$ = addElement(\$1, \$3, -1); \}
                 | declaration
                 \{ \$\$ = addElement(NULL, \$1, -1); \}
declaration
                   IDENTIFIER ASSIGN NUMBER
                   $ = alloc declaration($1. 0. 0. $3):
                   if ($$ == NULL) notifyError(AXE OUT OF MEMORY);
                   IDENTIFIER LSQUARE NUMBER RSQUARE
                   $ = alloc declaration($1. 1. $3. 0):
                   if ($$ == NULL) notifvError(AXE OUT OF MEMORY);
                   IDENTIFIER
                   $$ = alloc declaration($1, 0, 0, 0);
                   if ($$ == NULL) notifyError(AXE_OUT_OF_MEMORY);
```

#### axe\_struct.h

#### axe struct.c

#### axe\_utils.c

```
void set new variables(
      t program infos *program, int varType, t list *variables)
   t list *current element = variables;
   t axe declaration *current decl;
   while (current element != NULL) {
      current_decl = (t_axe_declaration *)LDATA(current element);
      createVariable(program.
            current dec1->ID.
            varType.
            current_decl->isArray.
            current decl->arraySize,
            current decl->init val):
      current element = LNEXT(current element);
   }
   /* free the linked list */
   current element = variables:
   while (current element != NULL) {
      current decl = (t axe declaration *)LDATA(current element);
      /* ... */
      free(current decl);
      current element = LNEXT(current element);
   freeList(variables);
```