


# Purely Syntactic Translations

*Prof. A. Morzenti*

Translation from a *source* string to a *target* (image) string

The difference between the two strings may be significant, ex.:

	$\tau$	
if x > 0 goto L		
		load      r1 x comp      r1 = 0 jmpgrt    r1 label

? how to specify the translation in order to design the translator in a systematic way?

Central idea: structure-based translation guided by the source language syntax

- **Purely syntactic translation:**

exploits the notions of automata, regular expressions, and grammars

- **syntax directed translation (SDT)**

adds to the grammar certain functions “encoded” in a SW specification language

SDT computes the value of certain variables necessary for the translation (semantic attributes)

translator model known as **attribute grammars**

## Outline for purely syntactic translations

1. Abstract definition of translation (as a mathematical relation or function), ambiguity
2. Syntactic translation schemes (translation grammars, i.e., pairs  $\langle \text{source-grammar}, \text{target-grammar} \rangle$  )
3. Pushdown translator automaton:
  1.  $LL(1)$
  2.  $LR(1)$
4. Special cases: finite transducers, regular translation expressions

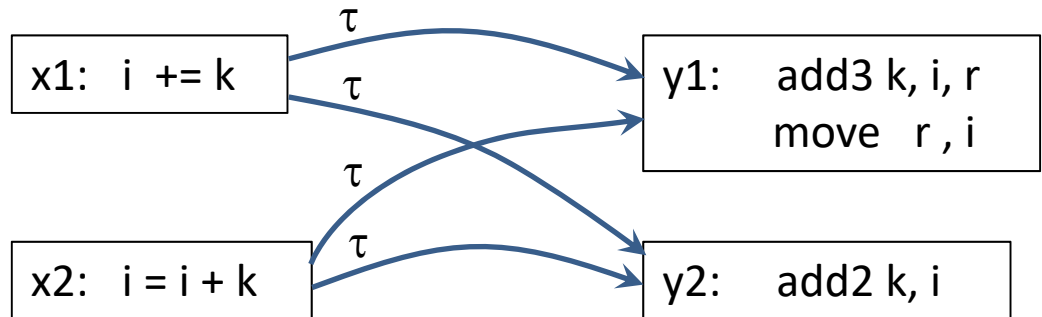
Translation in an abstract setting: a map Source Language  $\Leftrightarrow$  Target Language

Ex.: transl. from C to Assembler

it is a **relation**

$\tau = \{(x1, y1), (x1, y2), (x2, y1), (x2, y2)\}$

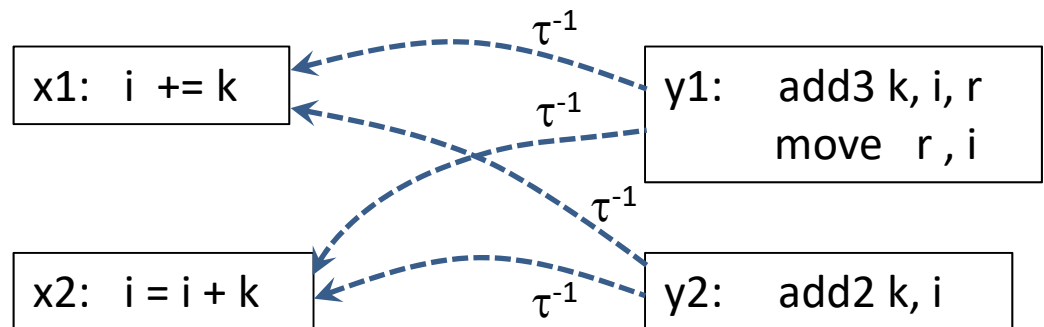
The image of  $x1$  is  $\tau(x1) = \{y1, y2\}$



The translation is *many-valued*, or *not single valued*, or *ambiguous*

The inverse translation,  $\tau^{-1}$ , is not single valued, that is,  $\tau$  is not injective

Example:  $x1 \in \tau^{-1}(y1) = \{x1, x2\}$



## Abstract Translation: other properties

- **surjective** translation: every sentence of the target language is the image of some sentence of the source language;
  - but a specific program in a machine language might not have any corresponding program in the source language:
    - ex.: certain unstructured loops cannot be written in Pascal
- **functional**, or **single-valued** for a specific compiler (e.g. GCC for IntelX86), every source string has 1 and only 1 image, and the translation computed by the compiler is therefore unique
- **bijective** (one-to-one) translation: if both  $\tau$  and  $\tau^{-1}$  are single valued: ex., in cryptography, encryption and decryption of a text

# Syntax translation schemes: introductory example

Image string obtained through

simple modifications of the syntax tree of the source string  
that do not change its structure

(i.e., the nonleaf/nonterminal nodes and the arcs among them)

$$L_1 = \{ a^n b^m \mid n \geq m > 0 \} \quad \tau(a^n b^m) = c^{n-m} d$$

Source grammar  $G_1$

$$S \rightarrow a S$$

$$S \rightarrow A$$

$$A \rightarrow a A b$$

$$A \rightarrow ab$$

Target grammar  $G_2$

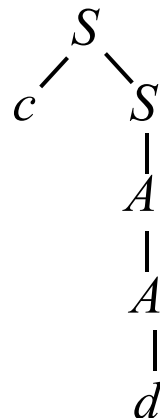
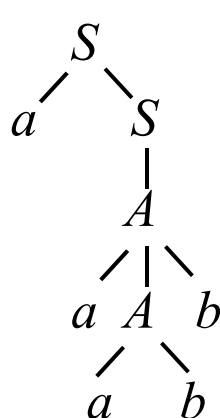
$$S \rightarrow c S$$

$$S \rightarrow A$$

$$A \rightarrow A$$

$$A \rightarrow d$$

Translation: source tree  $\Rightarrow$  target tree



$$\tau(a^3 b^2) = cd$$

is  $\tau^{-1}$  single valued?

# Translation grammar and scheme

Syntactic translation scheme:

1. 1-1 map between rules of  $G_1$  and  $G_2$  (hence same number of rules)
2. matching rules differ only **in the terminal symbols**
3. in matching rules the **nonterminals** are the **same** number and in the **same order**

Consequences of 1. 2. 3. : one can

- combine the scheme into a unique **translation grammar  $G_t$**
- compute the translation by means of a push down automaton (explained later on),
  - possibly (NB!) *the same automaton used for syntax analysis*

**source gramm.  $G_1$**

$A \rightarrow aBcBD$

$A \rightarrow aBcBD$

$A \rightarrow aBcBD$

**target gramm.  $G_2$**

$A \rightarrow xBByDy$

$A \rightarrow xBBy$

$A \rightarrow xBDBy$

**OK?**

YES

**No:  $D$  is missing**

**No: order of NT changed**

## Translation grammar and scheme: example

The source and target grammars combined into the **translation grammar**  
the terminal part uses “fractions”: num.=source, denom.=target

**transl.gramm.  $G_t$**

$$S \rightarrow \frac{a}{c} S$$

$$S \rightarrow A$$

$$A \rightarrow \frac{a}{\varepsilon} A \frac{b}{\varepsilon}$$

$$A \rightarrow \frac{ab}{d}$$

**source gramm.  $G_1$**

$$S \rightarrow aS$$

$$S \rightarrow A$$

$$A \rightarrow aAb$$

$$A \rightarrow ab$$

**target gramm.  $G_2$**

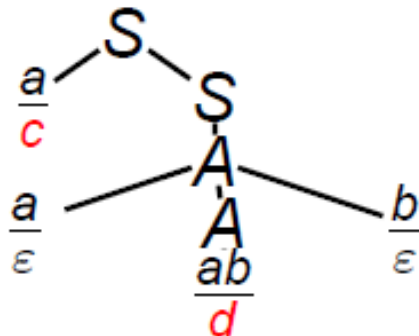
$$S \rightarrow cS$$

$$S \rightarrow A$$

$$A \rightarrow A$$

$$A \rightarrow d$$

Also the source and target syntax trees combined into a unique one  
it uses the same «fractions» for the source and target terminal parts





## Application: traslation of expressions

Expressions (arithm., logical, ... ) with operators (add, sub, . . . )

There exist many representations of expressions

**parenthesized functional:**  $add(i1, mult(i2, i3))$

**infix:**  $i1 + (i2 \times i3)$

**polish:**                      **prefix:**                       $add\ i1, mult\ i2, i3$

**postfix:**                       $i1, i2, i3\ mult\ add$

Polish expressions are concise

value of postfix polish expr. can be computed immediately using a stack

for these reasons they are widely used, e.g. in the Java bytecode

# Application: infix $\rightarrow$ postfix conversion

transl. gramm.  $G_t$

$$E \rightarrow E \frac{+}{\varepsilon} E \frac{\varepsilon}{add}$$

$$E \rightarrow E \frac{-}{\varepsilon} E \frac{\varepsilon}{sub}$$

$$E \rightarrow \frac{(}{\varepsilon} E \frac{)}{\varepsilon}$$

$$E \rightarrow \frac{i}{i}$$

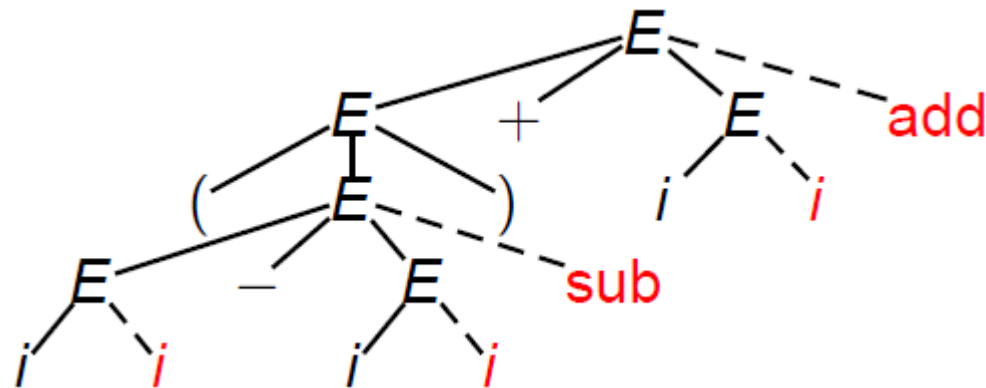
another (equivalent alternative) representation

$$E \rightarrow E + E \{ add \}$$

$$E \rightarrow E - E \{ sub \}$$

$$E \rightarrow ( E )$$

$$E \rightarrow i \{ i \}$$



# Adjusting the grammar to support the translation

Sometimes it is necessary to modify the source grammar  
to obtain a scheme that describes the intended translation

Example:  $L = \{ a^n \mid n \geq 1 \}$

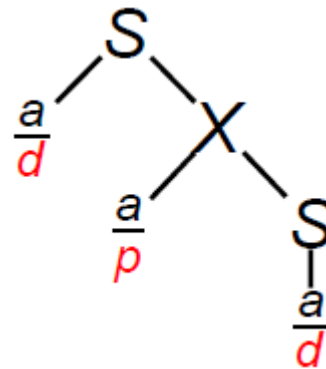
$$\tau(a^n) = \begin{cases} (dp)^{n/2} & \text{if } n \text{ is even} \\ (dp)^{(n-1)/2} d & \text{if } n \text{ is odd} \end{cases}$$

The natural grammar for  $L$ :  $S \rightarrow aS \mid a$  is unfit; no distinction of even and odd  $a$

Modify  $G$ :  $S \rightarrow aX \mid a$ ,  $X \rightarrow aS \mid a$

From this  $G$  the scheme  $G_t$  that defines  $\tau$ :

$$S \rightarrow \frac{a}{\textcolor{red}{d}} X \mid \frac{a}{\textcolor{red}{d}}, \quad X \rightarrow \frac{a}{\textcolor{red}{p}} S \mid \frac{a}{\textcolor{red}{p}}$$



## Ambiguous (i.e., many-valued) Translation

A translation defined by a scheme  $\langle G_1, G_2 \rangle$  is ambiguous *only if*  $G_1$  is so

Example: infix to postfix translation with  $G_1$  having bilateral recursion

$G_1$	$G_2$
$E \rightarrow E + E$	$E \rightarrow EE \text{ add}$
$E \rightarrow E - E$	$E \rightarrow EE \text{ sub}$
$E \rightarrow i$	$E \rightarrow i$

$$i + i - i \xrightarrow{\tau} \left\{ \begin{array}{l} iii \text{ sub add} \\ ii \text{ add } i \text{ sub} \end{array} \right.$$

$$\begin{array}{c} E \\ \underbrace{\hspace{1.5cm}} \\ i + \underbrace{\hspace{1cm}} \\ \underbrace{\hspace{1.5cm}} \\ E \\ \underbrace{\hspace{1.5cm}} \\ i + i - i \end{array}$$

$$\begin{array}{c} E \\ \underbrace{\hspace{1.5cm}} \\ \underbrace{\hspace{1.5cm}} \\ E \\ \underbrace{\hspace{1.5cm}} \\ i + i - i \end{array}$$

## Ambiguous Translation: another example

When  $G_1$  has duplicated rules

$$\begin{array}{c|c} G_1 & G_2 \\ \hline S \rightarrow aS & S \rightarrow bS \\ S \rightarrow aS & S \rightarrow cS \\ S \rightarrow a & S \rightarrow d \end{array}$$

$$aa \xrightarrow{\tau} \{bd, cd\}$$

NB: the translation grammar  $G_t$  is **not** ambiguous:

$$G_t : \quad S \rightarrow \frac{a}{b}S \mid \frac{a}{c}S \mid \frac{a}{d}$$

# Computing the translation

Analogy with syntax analysis

grammar  $\Leftrightarrow$  push down automaton / parser

translation scheme/grammar  $\Leftrightarrow$  push down automaton / parser  
with *writing actions*

We consider the following cases :

1. top-down ( $LL(1)$ ) parser with writing actions
2. bottom-up ( $LR(1)$ ) parser with writing actions
3. finite state transducer

# From translation grammar to *ELL*(1) parser with write actions

It extends the top-down recursive descent parsing technique

Necessary condition:  $G_1$  be *ELL*(1) (or *ELL*( $k$ ))

Trivial example :  $L = (a \mid b)^*$        $\tau(u) = u^R$

$G_1$ :  
 $S \rightarrow a S$   
 $S \rightarrow b S$   
 $S \rightarrow \varepsilon$

$G_2$ :  
 $S \rightarrow S a$   
 $S \rightarrow S b$   
 $S \rightarrow \varepsilon$

$G_t$ :  $S \rightarrow \frac{a}{\varepsilon} S \frac{\varepsilon}{a} \mid \frac{b}{\varepsilon} S \frac{\varepsilon}{b} \mid \varepsilon$

```
procedure S
{
  if  $cc = a$  then  $cc := next$ ; call S;
  elseif  $cc = b$  then  $cc := next$ ; call S;
  elseif  $cc = \neg$  then return
  else error
}
```

```
procedure  $S\_withTranslation$ 
{
  if  $cc = a$  then  $cc := next$ ; call S; write( $a$ )
  elseif  $cc = b$  then  $cc := next$ ; call S; write( $b$ )
  elseif  $cc = \neg$  then return
  else error
}
```

Side remark: Similarly, in the Syntax Directed Translation (SDT) method (with attribute grammars) the parser is enriched with actions that compute semantic attributes

## From translation grammars to *ELR*(1) parser with write actions

Difference w.r.t. the *ELL*(1) case:

the same idea (adding write actions to the parser) may not work

The writing actions after terminal shifts but before reduction might be *premature* ...  
...because before the reduction nondeterminism has not been resolved yet

Therefore it is appropriate and safe to execute *write actions only at reduction time*

To ensure that, the transl. grammar  $G_t$  must be normalized, in the *postfix normal form*



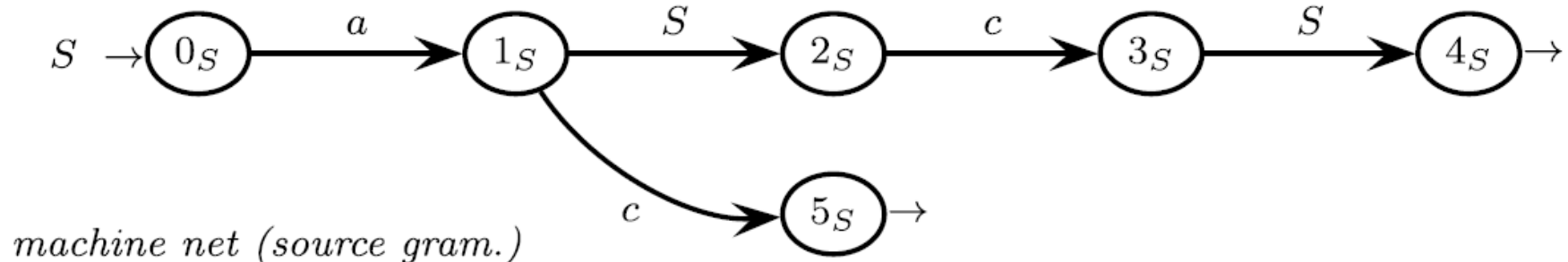
# Negative example and conversion to the postfix normal form

Translation of a language similar to Dyck

$a$  and  $c$  become  $b$  and  $e$ , but not the pairs of  $a, c$  that do not enclose other ones:

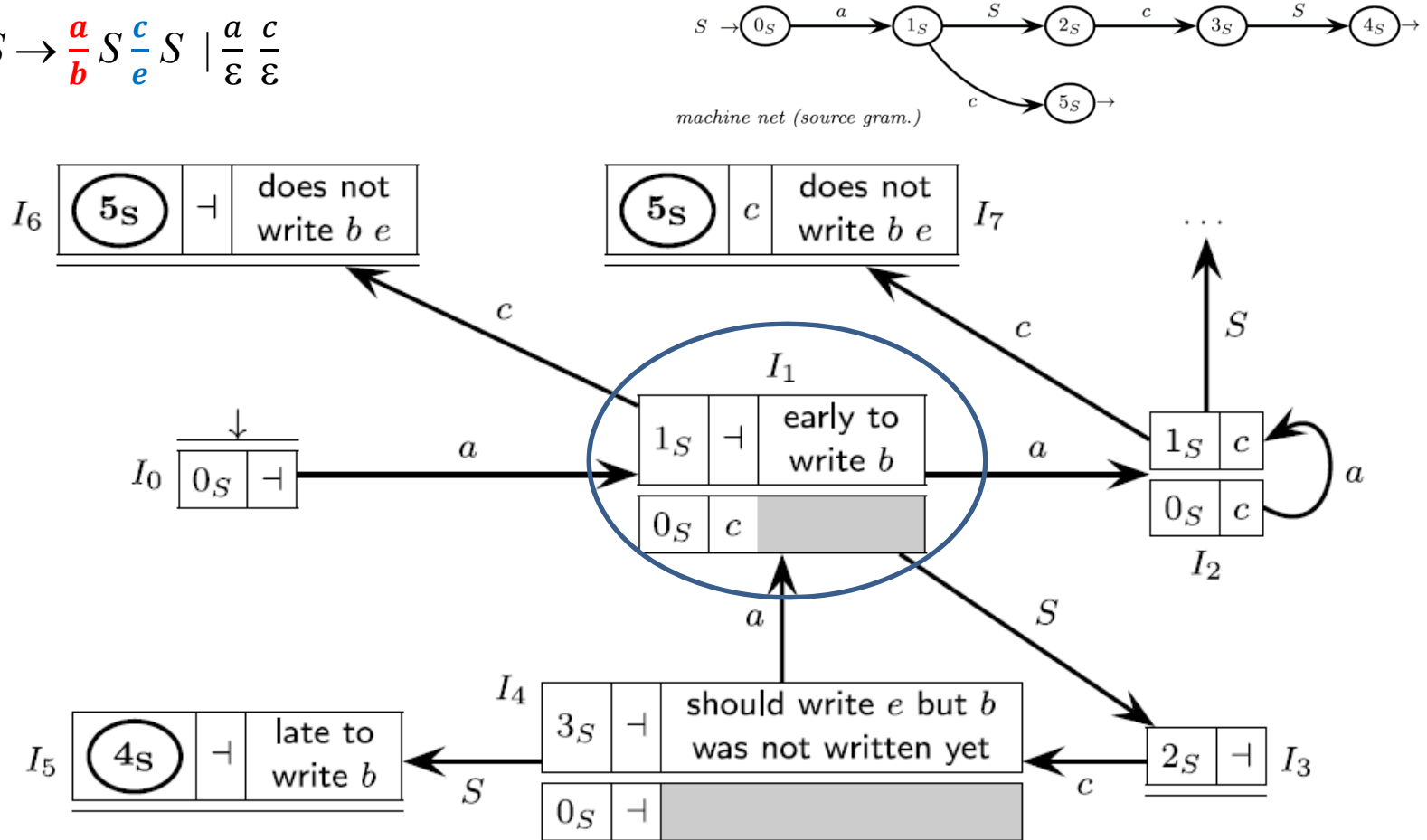
$$G_t: S \rightarrow \frac{a}{b} S \frac{c}{e} S \mid \frac{a}{\varepsilon} \frac{c}{\varepsilon} \quad \tau(ac) = \varepsilon \quad \tau(a ac c ac) = b e$$

Machine for the source grammar, which is  $ELR(1)$



Pilot with writing actions: a first try that does not work

$$G_t: S \rightarrow \frac{a}{b} S \frac{c}{e} S \mid \frac{a}{\varepsilon} \frac{c}{\varepsilon}$$



$$\tau(ac) = \varepsilon \quad \text{while} \quad \tau(\textcolor{red}{a} ac \textcolor{blue}{c} ac) = \textcolor{red}{b} \textcolor{blue}{e}$$

if in  $I_1$  it does not write  $b$  then  $\tau(ac) = \varepsilon$  (correct), but  $\tau(\textcolor{red}{a} ac \textcolor{blue}{c} ac) = \textcolor{blue}{e}$  (incorrect)

if in  $I_1$  it does write  $b$  then  $\tau(\textcolor{red}{a} ac \textcolor{blue}{c} ac) = \textcolor{red}{b} \textcolor{blue}{e}$  (correct), but  $\tau(ac) = \textcolor{red}{b}$  (incorrect)

## Postfix form of the translation grammar $G_t = (G_1, G_2)$

Every rule of the target grammar  $G_2$  has the form ( $\Delta$  is the target terminal alphabet):

$$A \rightarrow \underbrace{\gamma}_{\in V^*} \underbrace{W}_{\in \Delta^*}$$

that is: first the nonterminals, then the terminals

The gramm. of previous example  $G_t$ :  $S \rightarrow \frac{a}{b} S \frac{c}{e} S \mid \frac{a}{\varepsilon} \frac{c}{\varepsilon}$  is **not** in the postfix form

grammar normalization (very easy, but ... there still may be problems ...):

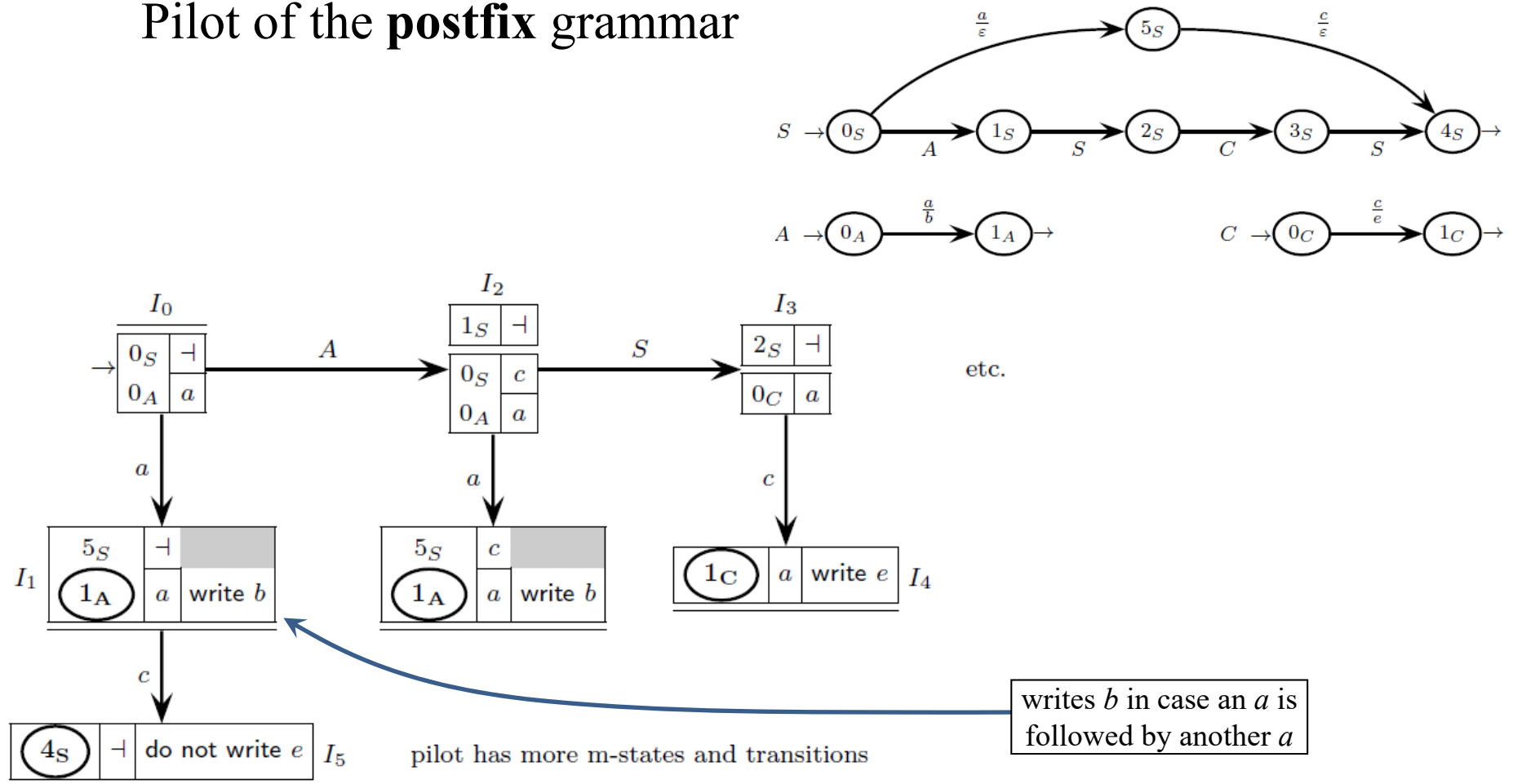
introduce additional nonterminals in place of terminal parts that are not suffix

$$G_\tau: \quad S \rightarrow ASCS \mid ac \quad A \rightarrow \frac{a}{b} \quad C \rightarrow \frac{c}{e}$$

$$G_{2\text{postfix}}: \quad S \rightarrow ASCS \mid \varepsilon \quad A \rightarrow b \quad C \rightarrow e$$

The new pilot emits the translation only at reduction times

# Pilot of the **postfix** grammar



## Drawbacks of the transformation into the postfix form

- it makes the grammar more complex and less readable
- in some cases, it can cause the loss of the  $ELR(1)$  property in  $G_1$  (see example on the textbook): it's a «short blanket» ...

## Special cases of syntactic translations : finite state and regular

- Just as free grammars include as special cases ...
  - right-linear grammars (or left-linear grammars), equivalent to
    - regular expressions
    - finite state automata
- ... similarly translation grammars include as special cases the *regular translations*, defined by:
  - regular translation expressions
  - finite transducers or 2I-automata

## Right-linear translation grammar

translation grammar  $G_t$  (NB: right linear)

translation

$$\left\{ \begin{array}{ll} a^{2n} \xrightarrow{\tau} b^{2n} & : \quad n \geq 0 \\ a^{2n+1} \xrightarrow{\tau} c^{2n+1} & : \quad n \geq 0 \end{array} \right.$$

$$\left\{ \begin{array}{ll} A_0 & \rightarrow \frac{a}{c}A_1 \mid \frac{a}{c} \mid \frac{a}{b}A_3 \mid \varepsilon \\ A_1 & \rightarrow \frac{a}{c}A_2 \mid \varepsilon \\ A_2 & \rightarrow \frac{a}{c}A_1 \\ A_3 & \rightarrow \frac{a}{b}A_4 \\ A_4 & \rightarrow \frac{a}{b}A_3 \mid \varepsilon \end{array} \right.$$

derivations of type  $A_0 \Rightarrow \dots A_1 \Rightarrow \dots A_2 \Rightarrow \dots A_1 \dots$  generate **odd** length strings

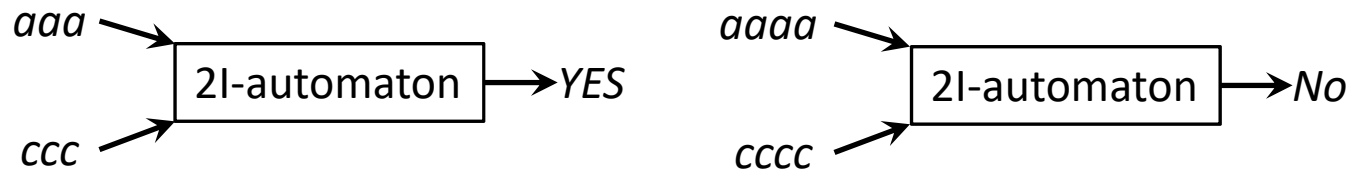
derivations of type  $A_0 \Rightarrow \dots A_3 \Rightarrow \dots A_4 \dots$  generate **even** length strings

the finite state automaton  $A_t$  that accepts  $L(G_t)$  can be viewed in two ways

- machine with two input tapes: 2I-automaton (AKA Rabin & Scott machine)
  - it “recognizes” or “accepts” or “defines” the translation
- machine with one input tape and one output tape: finite transducer or IO-automaton
  - it “computes” the translation

## Two-input Machine

It *accepts* the translation relation  $\tau$ , i.e., a set of pairs of strings  $\in \Sigma^* \times \Delta^*$  ( $\Delta$  is the target alph.)



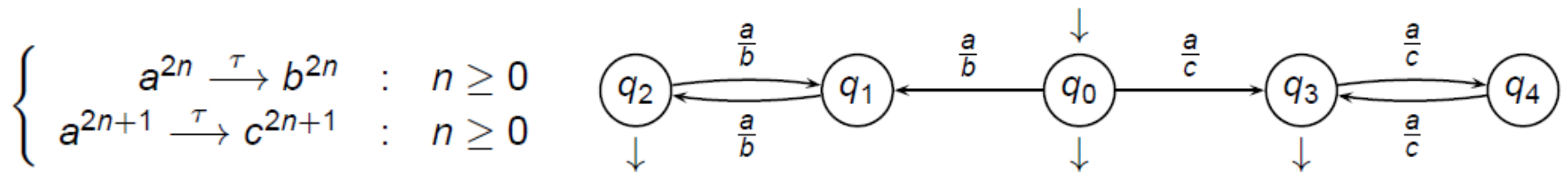
Transition labels are pairs  $s$  written as

$$\frac{a}{b}, \text{ where } a \in \Sigma \cup \varepsilon, b \in \Delta \cup \varepsilon$$

Reading  $\frac{a}{b}$  advances both heads on their tape

to accept, both tapes must be completely scanned :  $\frac{aaa}{cc} \notin \tau$

## 2I-automaton or Rabin & Scott machine



NB: the automaton is deterministic: two transitions exit from  $q_0$ , but their labels are distinct



## Regular Translation Expression

A regular expression containing “fractions”: the previous translation is defined by

$$E_t = \left( \frac{a^2}{b^2} \right)^* \cup \frac{a}{c} \left( \frac{a^2}{c^2} \right)^*$$

Here is the string of fractions  $\frac{a}{c} \cdot \frac{a^2}{c^2} \cdot \frac{a^2}{c^2} = \frac{a^5}{c^5} \in E_t$

it corresponds to the source-target pair  $(a^5, c^5) \in \tau$

# Non regular translation of regular languages!

Even if **both** languages  $L_1$  and  $L_2$  are regular, the *translation* is not necessarily regular

Ex.:  $L_1 = (a \mid b)^*$        $\tau(x) = x^R$        $L_2 = (a \mid b)^*$

A 2I finite state automaton cannot check if the 2nd tape contains the reflection of the first one

An unbounded stack memory is necessary

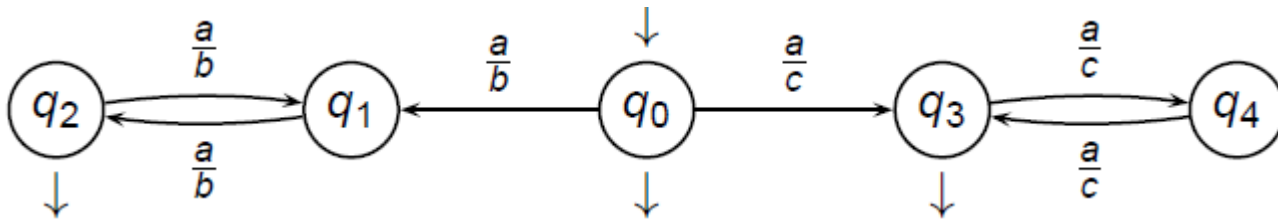
# Another model for finite-state translation

## Finite transducer or IO-automaton

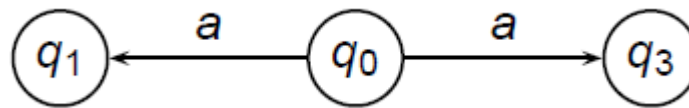
- the second tape is viewed as an output
- the machine *computes* the translation as a function of the source string:  $y = \tau(x)$
- Several applications:
  - lexeme (token) recognition in the lexical analysis (see lessons on Flex)
  - transformation of simple texts, or signal sequences (ex. genome computing)
  - natural language processing: conjugation of verbs, declination of names
- Determinism: an IO-automaton is deterministic if so is the **subjacent** automaton (obtained by canceling the output)

## nondeterministic IO-automaton

A deterministic 2I-automaton, viewed as an IO-automaton, can be nondeterministic!



The subjacent automaton is nondeterministic in  $q_0$



- There does not exist any deterministic IO-automaton for this translation
- Unlike finite state automata, IO-automata cannot always be made deterministic

Last translation model, a finite state translator used in applications:

*sequential transducer*

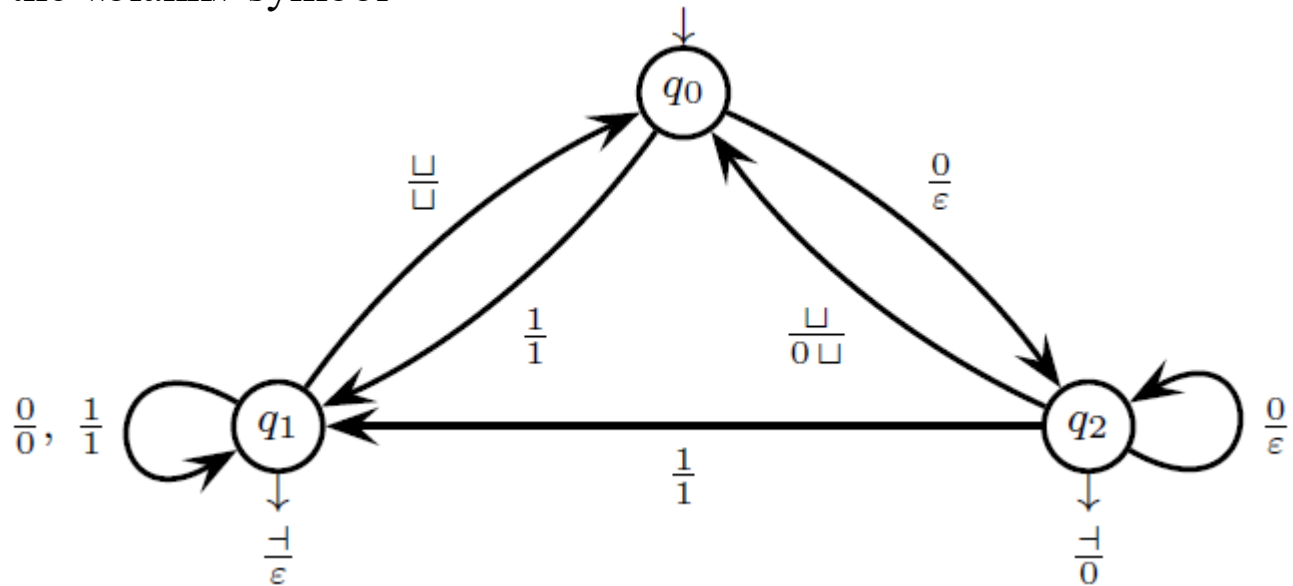
It is a variation of the deterministic IO-automaton model :

- the *transition function* computes the next state
- while executing the transition, the *output function* emits a string
- when the computation terminates in a certain final state, the *final function* appends a string  $s$  to the output
  - This is represented by a label «  $\dashv/s$  » on the dart exiting the final states

## Example of sequential transducer

Given a series of binary numbers separated by spaces (blanks), eliminate the insignificant leading zeroes

□ represents the «blank» symbol



When terminating in  $q_2$  (a number composed only of 0's) it emits a 0,  
but when it terminates in  $q_1$  it does not emit anything  
(the last number included some 1's, therefore a non empty string was just written)