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HW 5

$$\begin{aligned}(1) \text{ a. } (XY+1)^3 &= (XY)^3 + 3(XY)^2 + 3XY + 1 = \left[(X_1Y_1 + X_2Y_2)^3 + 3(X_1Y_1 + X_2Y_2)^2 + 3(X_1Y_1 + X_2Y_2) + 1 \right] = \\&= (X_1Y_1)^3 + 3(X_1Y_1)^2 \cdot (X_2Y_2) + 3(X_1Y_1)(X_2Y_2)^2 + (X_2Y_2)^3 + 3[(X_1Y_1)^2 + 2(X_1Y_1X_2Y_2) + (X_2Y_2)^2] + 3X_1Y_1 + 3X_2Y_2 + 1 = \\&= (X_1Y_1)^3 + 3(X_1Y_1)^2 \cdot (X_2Y_2) + 3(X_1Y_1)(X_2Y_2)^2 + (X_2Y_2)^3 + 3(X_1Y_1)^2 + 6(X_1Y_1X_2Y_2) + 3(X_2Y_2)^2 + 3X_1Y_1 + 3X_2Y_2 + 1 \\ \varphi(x) &= \left\{ X_1^2, X_2^2, \sqrt{3}X_1^2X_2, \sqrt{3}X_1X_2^2, \sqrt{3}X_1^2, \sqrt{3}X_2^2, \sqrt{6}X_1X_2, \sqrt{3}X_1, \sqrt{3}X_2, 1 \right\}\end{aligned}$$

b. The full rational variety of order 3

c. There are 10 multiplications using $\varphi(x) \cdot \varphi(y)$ and 4 multiplications using $K(x, y)$, hence we save 6 multiplications.

(2) $f(x, y) = 2x - y$, find minimum and maximum points for f under the constraints

$$g(x, y) = \frac{x^2}{4} + y^2 = 1 \Rightarrow L(x, y) = 2x - y + \lambda \left(\frac{x^2}{4} + y^2 - 1 \right)$$

$$(i) \frac{\partial}{\partial x} L(x, y) = 2 + \frac{\lambda x}{2} = 0 \Rightarrow 2 = -\frac{\lambda x}{2} \Rightarrow \lambda x = -4 \Rightarrow \lambda = -\frac{4}{x}$$

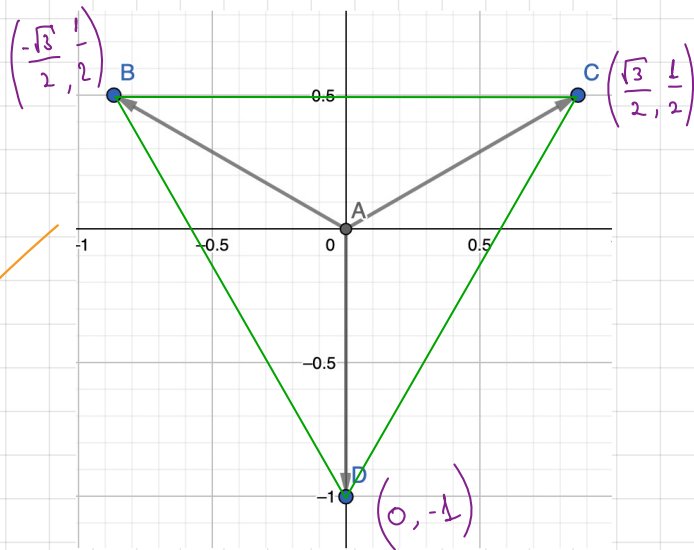
$$(ii) \frac{\partial}{\partial y} L(x, y) = -1 + 2\lambda y = 0 \Rightarrow 2\lambda y = 1 \stackrel{(i)}{\Rightarrow} 2 \cdot \left(-\frac{4}{x}\right) \cdot y = 1 \Rightarrow -\frac{8y}{x} = 1 \Rightarrow x = -8y \Rightarrow x^2 = 64y^2$$

$$(iii) \frac{\partial}{\partial \lambda} L(x, y) = \frac{x^2}{4} + y^2 = 1 \stackrel{(ii)}{\Rightarrow} \frac{64y^2}{4} + y^2 = 1 \Rightarrow 16y^2 + y^2 = 1 \Rightarrow 17y^2 = 1 \Rightarrow y = \pm \sqrt{\frac{1}{17}}$$

Therefore we get $\rightarrow y = -\frac{1}{\sqrt{17}}, x = \frac{8}{\sqrt{17}} \cup y = \frac{1}{\sqrt{17}}, x = -\frac{8}{\sqrt{17}}$, now check for the min & max:

$$\left. \begin{aligned} \bullet f\left(\frac{8}{\sqrt{17}}, -\frac{1}{\sqrt{17}}\right) &= 2 \cdot \frac{8}{\sqrt{17}} - \frac{1}{\sqrt{17}} = \frac{17}{\sqrt{17}} = \sqrt{17} \\ \bullet f\left(-\frac{8}{\sqrt{17}}, \frac{1}{\sqrt{17}}\right) &= 2 \cdot \left(-\frac{8}{\sqrt{17}}\right) - \frac{1}{\sqrt{17}} = -\frac{17}{\sqrt{17}} = -\sqrt{17} \end{aligned} \right\} \begin{aligned} &\text{Therefore minimum point is } \left(-\frac{8}{\sqrt{17}}, \frac{1}{\sqrt{17}}\right) \text{ and maximum} \\ &\text{Point is } \left(\frac{8}{\sqrt{17}}, -\frac{1}{\sqrt{17}}\right) \end{aligned}$$

(3) Example of one origin-centered upright equilateral triangle:



$$\vec{DC} = \left(\frac{\sqrt{3}}{2}, -\frac{1}{2} \right) - \left(0, 1 \right) = \left(\frac{\sqrt{3}}{2}, -\frac{3}{2} \right)$$

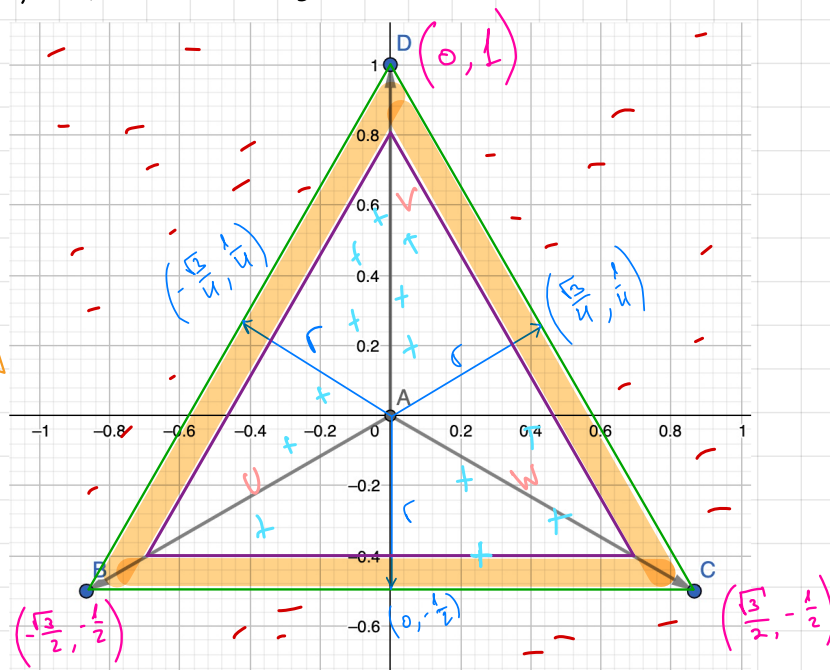
$$\vec{r} \perp \vec{DC} \Rightarrow (r_1, r_2) \cdot \left(\frac{\sqrt{3}}{2}, -\frac{3}{2} \right) = 0 \Rightarrow \frac{\sqrt{3}}{2}r_1 - \frac{3}{2}r_2 = 0 \Rightarrow \frac{\sqrt{3}}{2}r_1 = \frac{3}{2}r_2 \Rightarrow r_1 = \sqrt{3}r_2$$

$$\vec{r} = (\sqrt{3}r_2, r_2) \Rightarrow y = \frac{1}{\sqrt{3}}x \Rightarrow DC \text{ is perpendicular to } r, \text{ therefore the slope is}$$

$$-\sqrt{3} \text{ and the line equation DC is } y = -\sqrt{3}x + 1$$

$$\text{the intersection of } \vec{r} \text{ and DC is } \frac{1}{\sqrt{3}}x = -\sqrt{3}x + 1 \Rightarrow x = \frac{\sqrt{3}}{4}, y = \frac{1}{4}$$

$$\text{So } \vec{r} = \left(\frac{\sqrt{3}}{4}, \frac{1}{4} \right) \text{ and therefore } \|\vec{r}\| = \sqrt{\frac{3}{16} + \frac{1}{16}} = \frac{1}{2}$$



Legend
$L(0)=h$
consept
+ positive class
- negative class

upright

So the consistent learner L should be defined as follows:

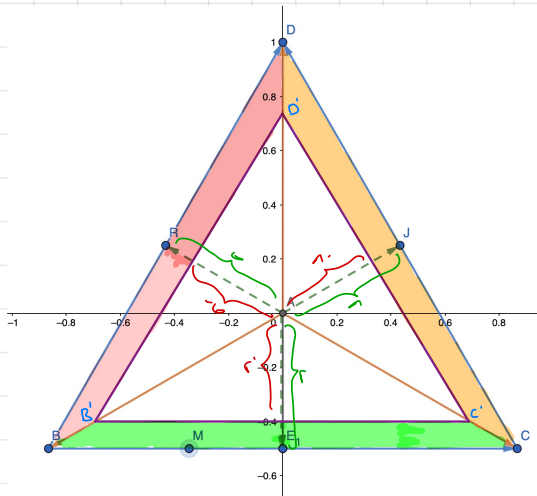
$$h = L(D) = \max \left\{ \|x_{1i}, x_{2i}\| \left\{ \begin{array}{l} (x_1, x_2) \cdot U \leq r \\ (x_1, x_2) \cdot V \leq r \\ (x_1, x_2) \cdot W \leq r \end{array} \right\} \mid i \in m \right\} \text{ such that } \forall x \in X \quad h(x) = 1 \Rightarrow C(x) = 1$$

once we get the positive point with the maximal distance from $(0,0)$, we will draw an equilateral triangle such that this point determines the value of r^h .

therefore we get a boundary for all positive data points in the training data (the purple triangle in the example above).

Time complexity \rightarrow for each sample calculate 3 points, therefore $O(3m) = O(m)$

Now, we will divide the space between the concept and the hypothesis to 3 parts.



$$B_1 = B_2 = B_3 = \frac{(\|\vec{DC}\| \|\vec{BC}\|) \cdot (\|\vec{r}\| - \|\vec{r}'\|)}{2}$$

Sample complexity:

consider training data $D \in X^m$, the probability of the data D to be in either $B_1 \cup B_2 \cup B_3$

is $P_{B_1} = P_{B_2} = P_{B_3} = \frac{\epsilon}{3}$. Assuming D visits each of the 3 B 's, we can evaluate the error $E(h, c)$ as follows:

$$\text{Err}(L(D), \text{concept}) = \text{Err}(h, \text{concept}) = \epsilon$$

for given ϵ, δ the required number of samples is:

$$P(\{D \in X^m : \text{Err}(h = L(D), \text{concept}) > \epsilon\}) \leq \delta \Rightarrow \sum_{i=1}^3 [P(x \in B_i)]^m \leq 3 \cdot \left[1 - \frac{\epsilon}{3}\right]^m \leq 3 \cdot e^{-\frac{m\epsilon}{3}} \leq \delta \Rightarrow$$

$$\Rightarrow \ln(3) - \frac{m\epsilon}{3} \leq \ln(\delta) \Rightarrow \ln\left(\frac{3}{\delta}\right) \leq \frac{m\epsilon}{3} \Rightarrow \frac{3}{\epsilon} \ln\left(\frac{3}{\delta}\right) \leq m$$

\Rightarrow Therefore the sample complexity is polynomial.

(4) With 95% confidence, the true error they can expect is up to 22.52%

(5) SVM:

