

IN GOD WE TRUST  
DEEP LEARNING COURSE  
2021-2022 FALL SEMESTER

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**HW02**  
CNN

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December 29, 2021

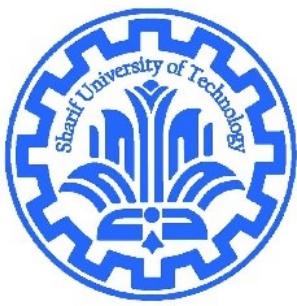


**Sharif  
University  
of  
Technology**



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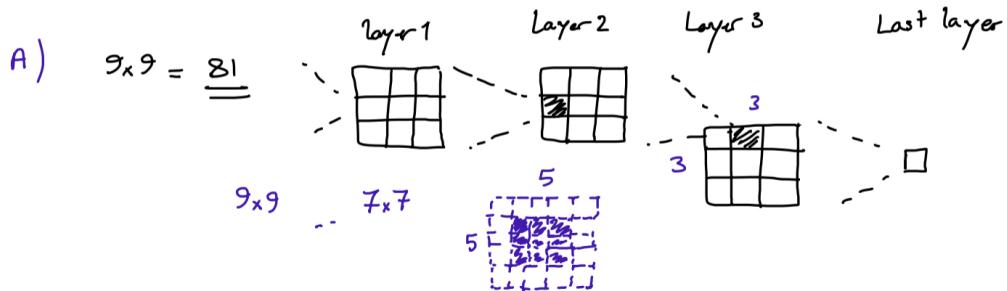
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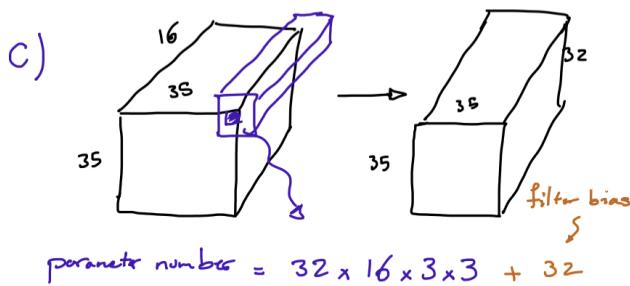
# 1 Theoretical Problems

## 1.1 Network Design

Problem ① : Network Design



B) By adding pooling layer, we are somehow summarizing the previous layer. So that it helps us to reduce the network parameters & calculation load. On the other hand we are selecting the most important features & pooling will increase the network robustness against input movement.



D)  $[227 \times 227 \times 3] \xrightarrow{\text{Conv} 1 = (256, 5, 5)} [227 \times 227 \times 256] \xrightarrow{\text{Conv} 2 = (128, 5, 5)} [227 \times 227 \times 128]$

 $P = 256 \times 3 \times 5 \times 5 + 256$ 
 $P = 128 \times 5 \times 5 \times 256 + 128$

Max Pooling (5, 5, stride 2, 2)  $\xrightarrow{[113 \times 113 \times 128]} 512 \xrightarrow{FC} 512 \xrightarrow{FC} 100$

 $P = 113 \times 113 \times 128, 512 + 512$ 
 $P = 512 \times 100 + 10$ 
 $P = .$



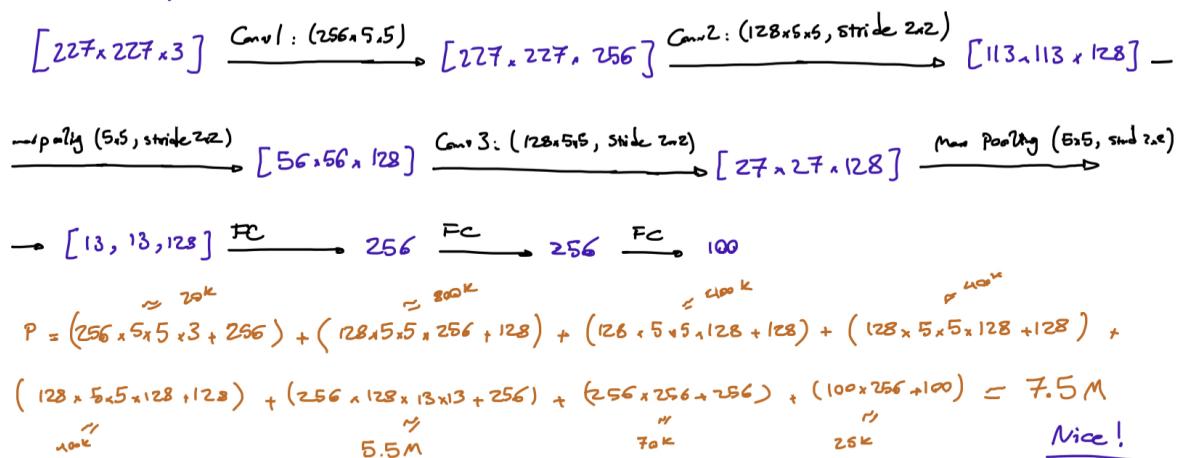
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$$\text{Sum-of-parameters} = 19,456 + 819,328 + 836,829,696 + 51,210 \approx 840M !$$

The main problem of this network is its large parameter number. As you can see, the network layer sizes are:  $227 \times 227 \rightarrow 227 \times 227 \rightarrow 113 \times 113 \rightarrow$  flatten !

This design is not good & we should design a network like a pyramid that has reduction in size step by step by having strides in C layers & max pooling.

My design:



E) The general function approximation just say that we can do this by two layers, but it does not talk about how many neurons we need at each layer for doing so. As we have seen in the course resources, increasing the layers of the network, make it more efficient than just increasing number of neurons in a single layer.

F) In EPB algorithm, for gradient calculation we are multiplying lots of gradients. As the network becomes deeper, if these gradients are less than 1 then result converges to zero that is gradient vanishing. If these gradients are more than 1 then the result at deep layers converges to infinity. We call this state gradient exploding.



## 1.2 Transposed Convolution

Problem ② : Transposed Convolution

A) Padding :

Unit stride

$$A = \begin{bmatrix} & w_{11} & & & & & & \\ & w_{10} & w_{11} & & & & & \\ & & w_{10} & w_{11} & & & & \\ & & & w_{10} & w_{11} & & & \\ & & & & w_{10} & w_{11} & & \\ & & & & & w_{10} & w_{11} & \\ & & & & & & w_{10} & w_{11} \\ & & & & & & & w_{10} \\ & & & & & & & & \ddots \end{bmatrix}$$

⋮

Zero padding :

$$A = \begin{bmatrix} w_{00} & w_{01} & w_{10} & w_{11} & 0 & 0 & 0 & 0 \\ 0 & w_{11} & w_{01} & w_{10} & w_{11} & 0 & 0 & 0 \\ 0 & 0 & w_{00} & w_{01} & w_{10} & w_{11} & 0 & 0 \\ 0 & 0 & 0 & w_{00} & w_{01} & w_{10} & w_{11} & 0 \end{bmatrix}$$



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B) Masked Convolution : This kind of convolution is just masks some especially pixels of the input . So it can help us to do something like dropout & on the other hand we are reducing the network parameters . So it can help us to improve the network training speed and preventing overfitting .

C) Separable convolution : In spatial separable convolution we separate the kernel to small kernels so that we are making our model more powerful and also decreasing the parameter numbers . for example :

$$5 \times 5 \text{ (25 params)} \rightarrow 3 \times 3 + 3 \times 3 \text{ (18 params)}$$

$$3 \times 3 \text{ (9 params)} \rightarrow 1 \times 3 + 3 \times 1 \text{ (6 params)}$$

Also in depthwise separable convolution we separate the 3D kernel in different dimensions



### 1.3 Object Detection (+)

Problem ③ Object Detection :

A)  $\left\{ \begin{array}{l} \text{Yolo-V1 : } B=2, C=300 \Rightarrow 2 \times 5 + 300 = \underline{310} \\ \text{Yolo-V3 : For this network we have 3 (multi-resolution) outputs} \\ \text{But in each resolution at the last layer we have 3 Anchor Boxes.} \end{array} \right.$

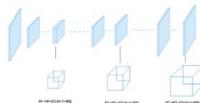
$$\text{So : The last layer depth} = 3 \times (5 + 300) = \underline{915}$$

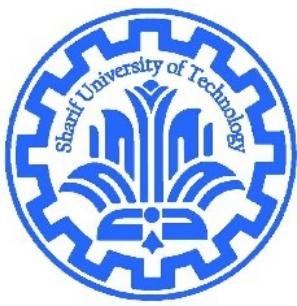
B) The problem of Yolo-V1 is that it can not detect small objects or objects with overlap. This problem comes from that for each cell in Yolo-V1 we assign a single object to it and we work with centers of the objects.



But in Yolo-V2 instead of just one confidence & probability calculation we have team of Anchor Boxes for each cell of the grid and it solve the problem of object detection with overlapping.

C) In Yolo-V3 as said in part A, we have multi-resolution analysis in sizes  $13 \times 13, 26 \times 26, 52 \times 52$ . We have also 3 anchor boxes so that we have  $3 \times 3 = 9$  totally that can be another reason of improvement.





## 2 MLP,CNN

### 2.1 Batch size

Model: "sequential"		
Layer (type)	Output Shape	Param #
flatten (Flatten)	(None, 3072)	0
gaussian_noise (GaussianNoise)	(None, 3072)	0
dense (Dense)	(None, 1024)	3146752
gaussian_dropout (GaussianDropout)	(None, 1024)	0
dense_1 (Dense)	(None, 256)	262400
dropout (Dropout)	(None, 256)	0
dense_2 (Dense)	(None, 10)	2570
<hr/>		
Total params: 3,411,722		
Trainable params: 3,411,722		
Non-trainable params: 0		

Figure 1: Network Summary

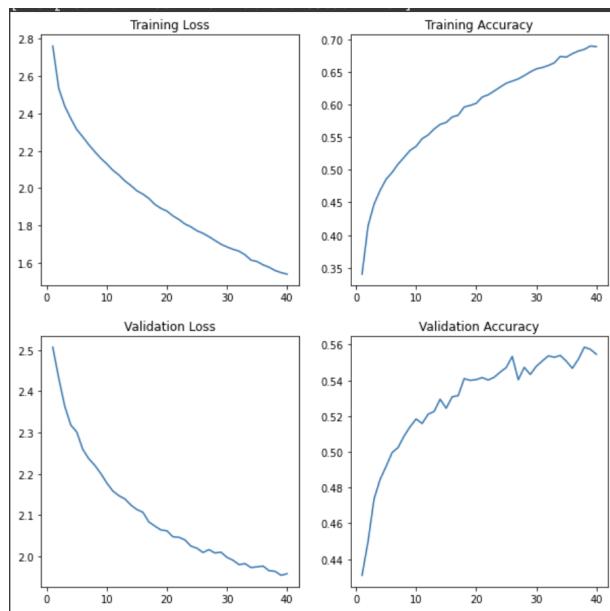


Figure 2: Batch size = 32, Run time per each epoch = 9s



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Test Loss = 1.9310388565063477 Test Accuracy = 0.5612999796867371 <matplotlib.axes._subplots.AxesSubplot at 0x7f05ca6fb3d0>										
	airplane	automobile	bird	cat	deer	dog	frog	horse	ship	truck
airplane	0.56	0.038	0.082	0.025	0.041	0.017	0.025	0.026	0.14	0.045
automobile	0.033	0.7	0.012	0.02	0.015	0.007	0.016	0.02	0.078	0.1
bird	0.059	0.015	0.43	0.061	0.16	0.069	0.11	0.061	0.021	0.016
cat	0.016	0.015	0.093	0.35	0.075	0.21	0.14	0.053	0.024	0.027
deer	0.028	0.009	0.12	0.043	0.52	0.044	0.11	0.081	0.026	0.019
dog	0.004	0.012	0.073	0.19	0.09	0.46	0.07	0.063	0.026	0.014
frog	0.012	0.016	0.067	0.054	0.097	0.045	0.67	0.015	0.013	0.007
horse	0.024	0.011	0.05	0.053	0.089	0.073	0.028	0.63	0.012	0.027
ship	0.077	0.055	0.019	0.027	0.026	0.012	0.01	0.009	0.73	0.039
truck	0.032	0.17	0.019	0.031	0.016	0.023	0.034	0.038	0.064	0.57

Figure 3: Batch size = 32

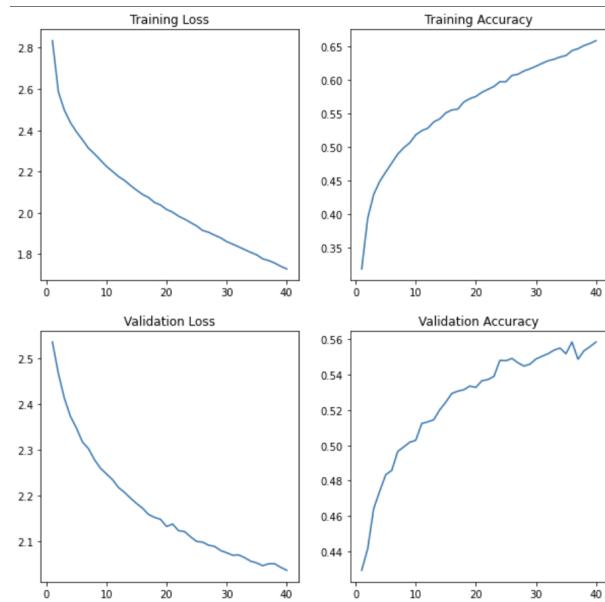


Figure 4: Batch size = 64, Run time per each epoch = 5s



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Test Loss = 1.9310388565063477 Test Accuracy = 0.5612999796867371 <matplotlib.axes._subplots.AxesSubplot at 0x7f05ca6fb3d0>										
	airplane	automobile	bird	cat	deer	dog	frog	horse	ship	truck
airplane	0.56	0.038	0.082	0.025	0.041	0.017	0.025	0.026	0.14	0.045
automobile	0.033	0.7	0.012	0.02	0.015	0.007	0.016	0.02	0.078	0.1
bird	0.059	0.015	0.43	0.061	0.16	0.069	0.11	0.061	0.021	0.016
cat	0.016	0.015	0.093	0.35	0.075	0.21	0.14	0.053	0.024	0.027
deer	0.028	0.009	0.12	0.043	0.52	0.044	0.11	0.081	0.026	0.019
dog	0.004	0.012	0.073	0.19	0.09	0.46	0.07	0.063	0.026	0.014
frog	0.012	0.016	0.067	0.054	0.097	0.045	0.67	0.015	0.013	0.007
horse	0.024	0.011	0.05	0.053	0.089	0.073	0.028	0.63	0.012	0.027
ship	0.077	0.055	0.019	0.027	0.026	0.012	0.01	0.009	0.73	0.039
truck	0.032	0.17	0.019	0.031	0.016	0.023	0.034	0.038	0.064	0.57

Figure 5: Batch size = 64

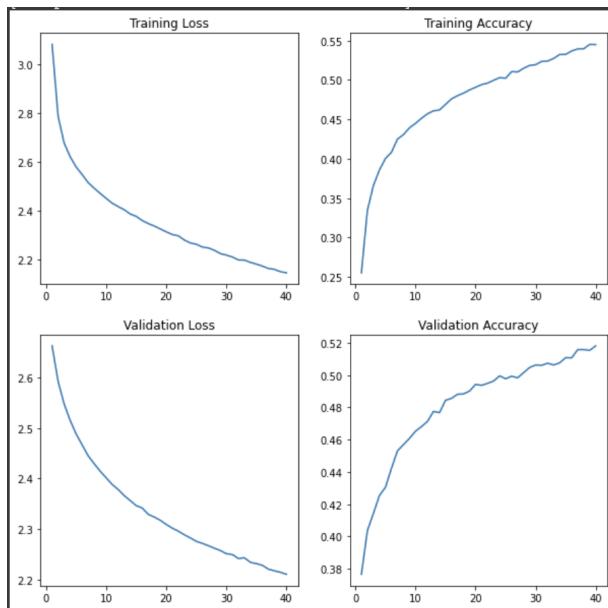
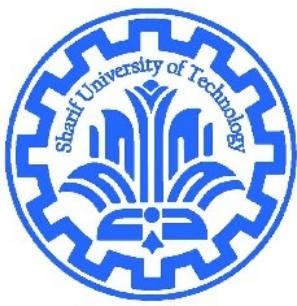


Figure 6: Batch size = 256, Run time per each epoch = 2s



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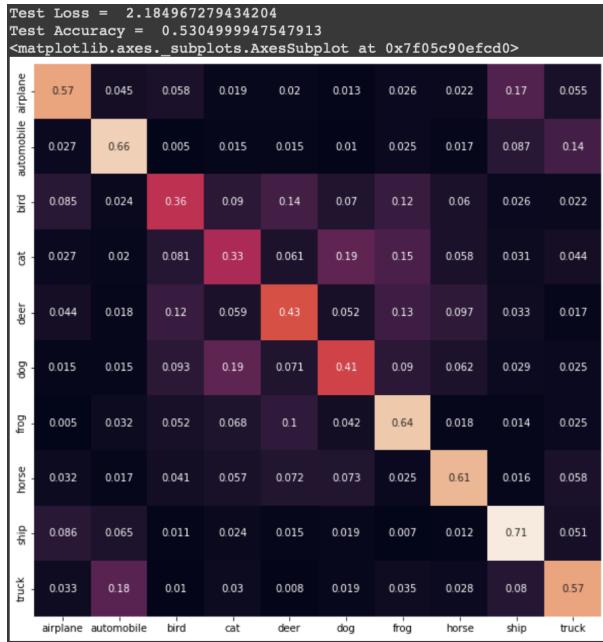
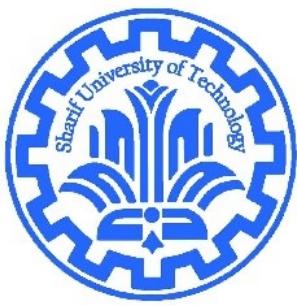


Figure 7: Batch size = 256

As you can see in the results, increasing batch size reduce the accuracy and run time.



## 2.2 Activation Function

TanH function is gradient smooth, bounded, and zero at origin, but it causes vanishing gradient. ReLU activation function is non-linear, zero at origin, and computationally efficient, but it has no gradient at origin and its completely flat and zero for negative numbers. The results show that ReLU works better than TanH in this problem.

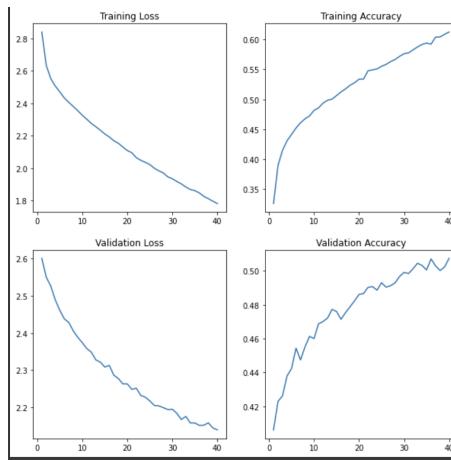


Figure 8: Activation Function TanH

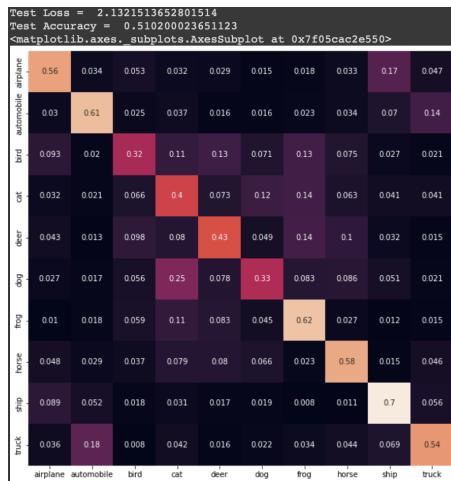


Figure 9: Activation Function TanH



## 2.3 Error Function

For this multi classification problem we should use cross entropy. As you can see below, MSE not work good for our case and it has more error.

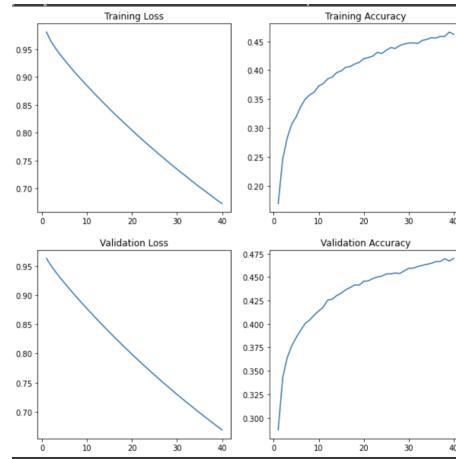


Figure 10: Loss Function MSE

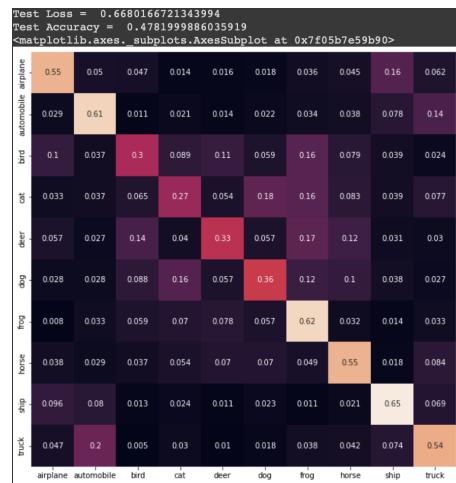


Figure 11: Loss Function MSE



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## 2.4 Adam optimizer

The results show that SGD works better than Adam. Although SGD may go to its local minimum but it is more generalized and works better here.

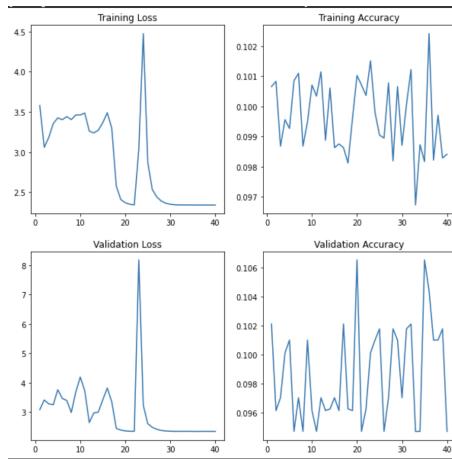


Figure 12: Adam optimizer

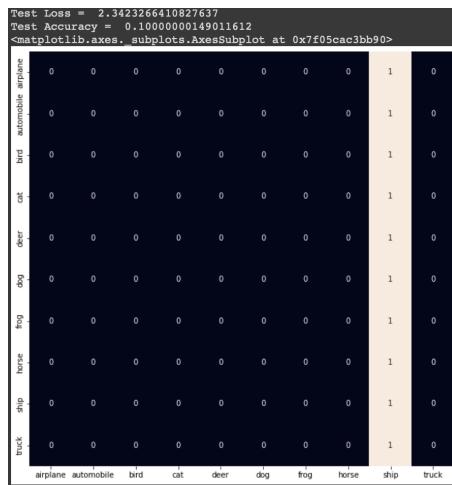


Figure 13: Adam optimizer

As you can see, Adam does not converge in our problem.



## 2.5 Best parameters



Figure 14: F-Score, Recall, and Precision



## **2.6 Unbalanced data set**

When the data set is unbalanced, we have prediction problem in that categories. We saw this problem in previous assignment in confusion matrix.

For solving this problem we should use data augmentation for categories that suffer from lack of data to make a balanced data set for all categories images.

## **2.7 Test unbalanced data set**

In this part we are removing half of airplanes and birds data. For solving this problem we know that we can flip images horizontally and still that image category remains.

So for solving this problem I have removed half of the images in the airplane and bird data set and added flip form of them to the data set. The network result for new data set is shown below :



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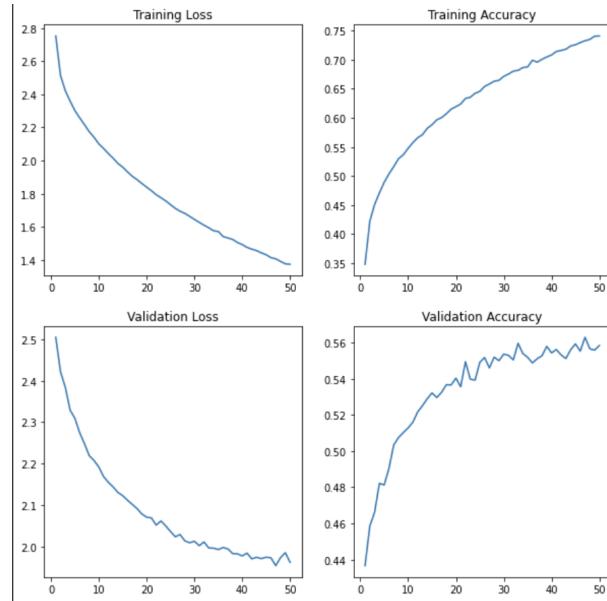


Figure 15: Network result after augmentation of unbalanced data set

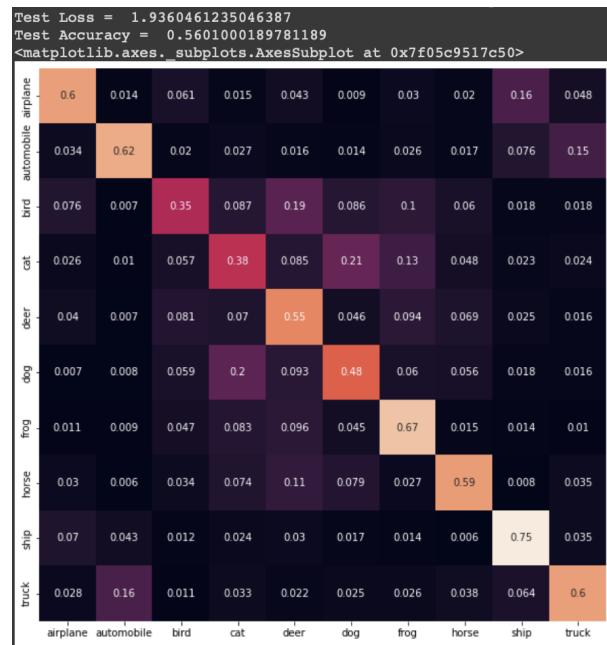


Figure 16: Network result after augmentation of unbalanced data set

The result is good and near original data set after flipping unbalanced categories to make the data set balanced.



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### 2.8 Add CNN

Model: "sequential_14"		
Layer (type)	Output Shape	Param #
conv2d_6 (Conv2D)	(None, 28, 28, 128)	9728
conv2d_7 (Conv2D)	(None, 24, 24, 64)	204864
conv2d_8 (Conv2D)	(None, 20, 20, 64)	102464
flatten_11 (Flatten)	(None, 25600)	0
gaussian_noise_11 (Gaussian Noise)	(None, 25600)	0
dense_33 (Dense)	(None, 1024)	26215424
gaussian_dropout_11 (GaussianDropout)	(None, 1024)	0
dense_34 (Dense)	(None, 256)	262400
dropout_11 (Dropout)	(None, 256)	0
dense_35 (Dense)	(None, 10)	2570
<hr/>		
Total params: 26,797,450		
Trainable params: 26,797,450		
Non-trainable params: 0		
<hr/>		

Figure 17: CNN network design

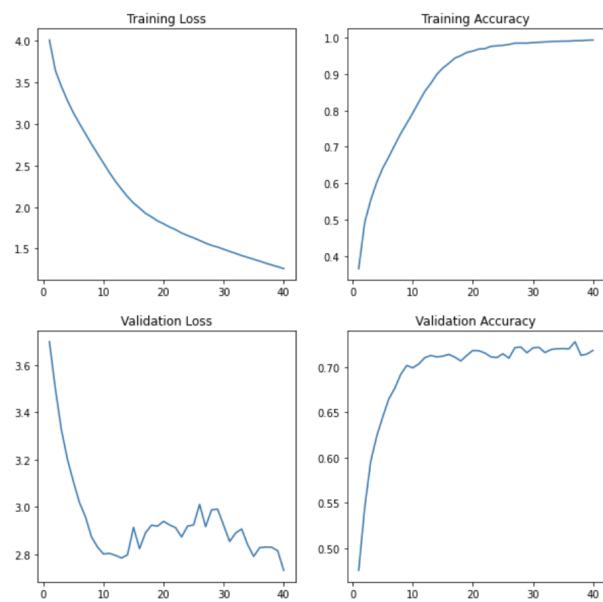


Figure 18: CNN result

We can see improvement in network performance.



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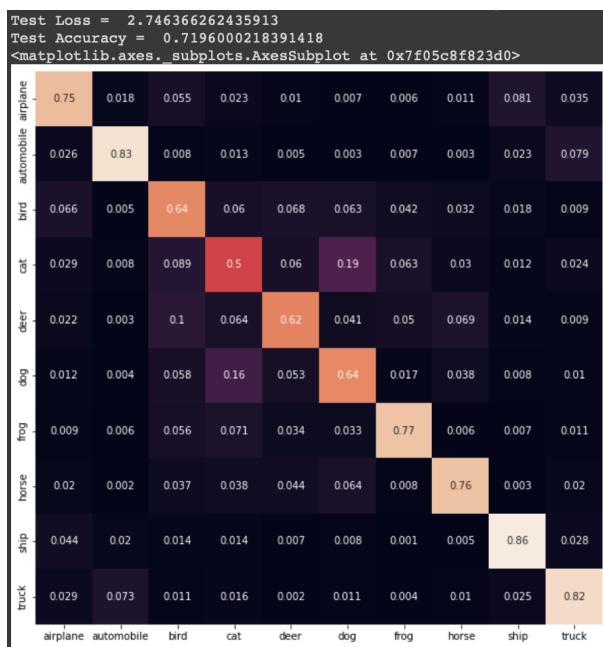


Figure 19: CNN result



## 2.9 Add Pooling and Batch normalization

Batch normalization helps each layer parameters to again normalize over a normal distribution so it helps to bound and normalize each layer output and standardize the learning process during EBP.

Pooling layers help us to reduce parameters of the network. We are selecting the most important features and reducing computational cost of calculation. We also improve network robustness against input movement.

Model: "sequential_18"		
Layer (type)	Output Shape	Param #
conv2d_12 (Conv2D)	(None, 28, 28, 128)	9728
batch_normalization_3 (Batch Normalization)	(None, 28, 28, 128)	512
conv2d_13 (Conv2D)	(None, 24, 24, 64)	204864
batch_normalization_4 (Batch Normalization)	(None, 24, 24, 64)	256
max_pooling2d_2 (MaxPooling 2D)	(None, 12, 12, 64)	0
conv2d_14 (Conv2D)	(None, 8, 8, 64)	102464
batch_normalization_5 (Batch Normalization)	(None, 8, 8, 64)	256
max_pooling2d_3 (MaxPooling 2D)	(None, 4, 4, 64)	0
flatten_13 (Flatten)	(None, 1024)	0
gaussian_noise_13 (Gaussian Noise)	(None, 1024)	0
dense_39 (Dense)	(None, 1024)	1049600
gaussian_dropout_14 (GaussianDropout)	(None, 1024)	0
dense_40 (Dense)	(None, 256)	262400
dropout_15 (Dropout)	(None, 256)	0
dense_41 (Dense)	(None, 10)	2570
<hr/>		
Total params: 1,632,650		
Trainable params: 1,632,138		
Non-trainable params: 512		

Figure 20: CNN with BN and Pooling network design



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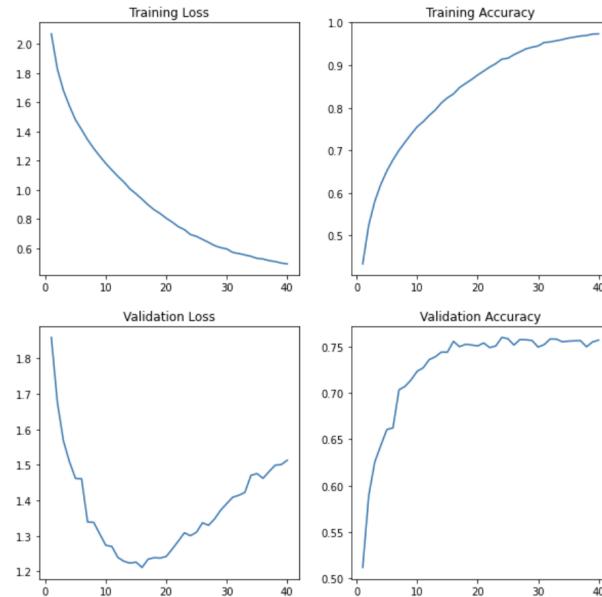


Figure 21: CNN with BN and Pooling result



Figure 22: CNN with BN and Pooling result



## 2.10 Add Dropout

Dropout helps us to prevent network from overfitting. It randomly remove some connection by a specified rate for that layer and then train the network so the network do not just rely on specific connection. Our result exactly show it. Same validation accuracy as before and less train accuracy that shows preventing from over fitting.

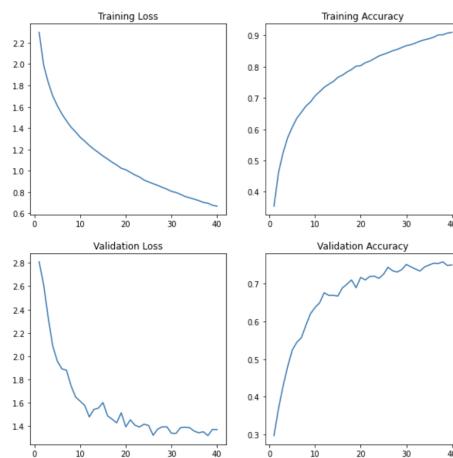


Figure 23: CNN with BN, Dropout, and Pooling result



Figure 24: CNN with BN, Dropout, and Pooling result



### 3 Data Augmentation

#### 3.1 What is data augmentation?

Data augmentation is a scenario in which we face lack of data in whole data set or specific category of data set so we make new data by rotation, scaling, flipping, or any other methods. The main point here is that by doing these transformations, the image label is same as before.

#### 3.2 Augment sample image

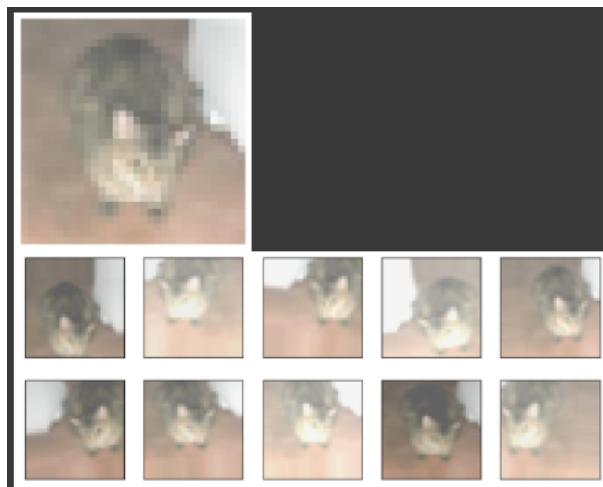


Figure 25: Augment sample image



### 3.3 Unbalanced data set

As we can see, network has almost random performance in dog and cat categories.

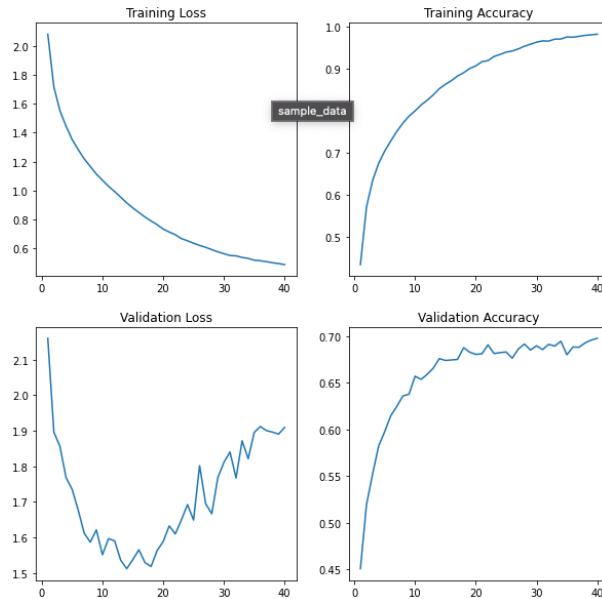
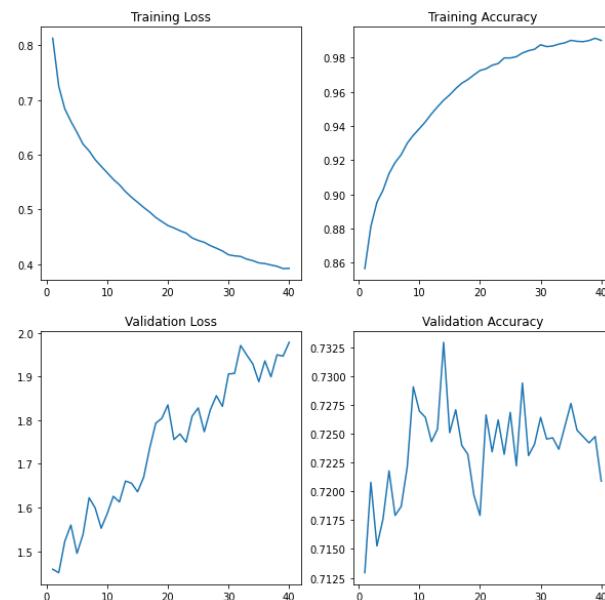


Figure 26: CNN with BN and Pooling result

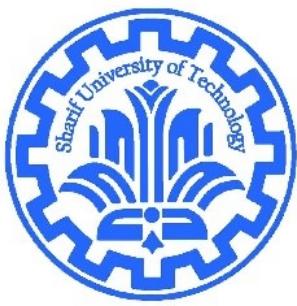


### 3.4 Augment unbalanced data set

For solving this problem, I augmented each dog or cat image to make 9 new images for that categories. You can see that we have better performance in cat and dog categories but not as best as original data set!



Test Loss = 1.9331910610198975 Test Accuracy = 0.7246999740600586 <matplotlib.axes._subplots.AxesSubplot at 0x7f9a44a6f410>										
airplane	0.76	0.023	0.057	0.015	0.011	0.005	0.009	0.019	0.075	0.03
automobile	0.011	0.86	0.007	0.003	0.007	0.002	0.011	0.006	0.022	0.069
bird	0.057	0.004	0.68	0.039	0.081	0.034	0.045	0.044	0.011	0.01
cat	0.03	0.015	0.095	0.35	0.11	0.14	0.13	0.071	0.027	0.036
deer	0.018	0.002	0.054	0.027	0.74	0.021	0.04	0.082	0.011	0.007
dog	0.017	0.006	0.07	0.14	0.06	0.49	0.053	0.13	0.012	0.021
frog	0.008	0.002	0.05	0.024	0.052	0.008	0.83	0.01	0.007	0.009
horse	0.01	0.003	0.037	0.011	0.051	0.033	0.011	0.83	0.004	0.011
ship	0.048	0.021	0.01	0.005	0.005	0.007	0.004	0.005	0.87	0.022
truck	0.024	0.061	0.01	0.002	0.008	0.001	0.006	0.017	0.028	0.84



## 4 Transfer learning (+)

### 4.1 VGG19 and SqueezeNet Architecture

VGG created by Visual Geometry Group at Oxford

Transfer Learning

- Architecture :

$2 \times \text{Conv} 3 \times 3 (64) \rightarrow \text{MaxPool} \rightarrow 2 \times \text{Conv} 3 \times 3 (128) \rightarrow \text{MaxPool} \rightarrow 4 \times \text{Conv} 3 \times 3 (256) \rightarrow \dots$   
 $\dots \rightarrow \text{MaxPool} \rightarrow 4 \times \text{Conv} 3 \times 3 (512) \rightarrow \text{MaxPool} \rightarrow 4 \times \text{Conv} 3 \times 3 (512) \rightarrow \text{MaxPool} \rightarrow \dots$   
 $\dots \rightarrow \text{Fully Connected} (4096) \rightarrow \text{Fully Connected} (4096) \rightarrow \text{Fully Connected} (1000) \rightarrow \text{Soft Max}$

- Types : The architecture I explained above is model E.

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input ( $224 \times 224$ RGB image)					
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64
LRN					
conv3-64	conv3-64	conv3-64	conv3-64	conv3-64	conv3-64
maxpool					
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128
conv3-128					
conv3-128	conv3-128	conv3-128	conv3-128	conv3-128	conv3-128
maxpool					
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
conv3-256					
conv3-256	conv3-256	conv3-256	conv3-256	conv3-256	conv3-256
maxpool					
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
conv3-512					
conv3-512	conv3-512	conv3-512	conv3-512	conv3-512	conv3-512
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					



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- Pros & Cons :

- good classification architecture for many datasets
- can be used for facial recognition
- weights are easily available and can be transferred and reused
- it has so many weight parameters so the model is very heavy 550 MB
- long inference time

- Input & Output :

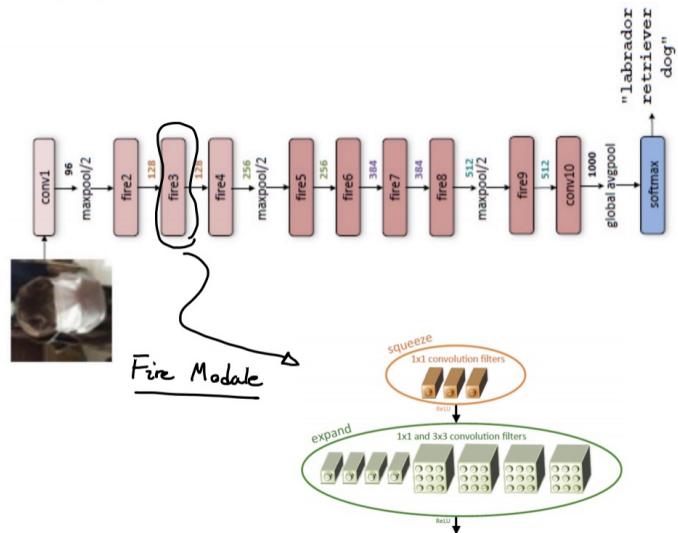
- Input Image : fixed size ( $224^{\text{px}} \times 224^{\text{px}}$ ) RGB image
- Preprocessing : subtract the mean RGB value from each pixel over the whole training set
- Output : 1000 channels classification



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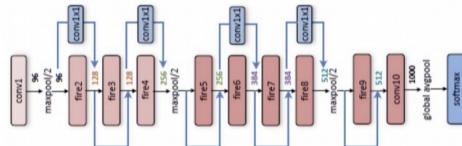
SqueezeNet  $\longrightarrow$  50 times less parameters than AlexNet but still maintains AlexNet level accuracy

- Architecture :

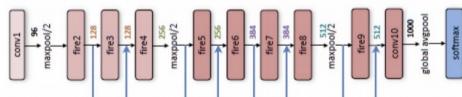


- Types : We can also add simple or complex bypass to the original SqueezeNet architecture.

Complex bypass :



Simple bypass :





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SqueezeNet has also 8 bit & 6 bit types :

CNN architecture	Compression Approach	Data Type	Original → Compressed Model Size	Reduction in Model Size vs. AlexNet	Top-1 ImageNet Accuracy	Top-5 ImageNet Accuracy
AlexNet	None (baseline)	32 bit	240MB	1x	57.2%	80.3%
AlexNet	SVD (Denton et al., 2014)	32 bit	240MB → 48MB	5x	56.0%	79.4%
AlexNet	Network Pruning (Han et al., 2015b)	32 bit	240MB → 27MB	9x	57.2%	80.3%
AlexNet	Deep Compression (Han et al., 2015a)	5-8 bit	240MB → 6.9MB	35x	57.2%	80.3%
SqueezeNet (ours)	None	32 bit	4.8MB	50x	57.5%	80.3%
SqueezeNet (ours)	Deep Compression	8 bit	4.8MB → 0.66MB	363x	57.5%	80.3%
SqueezeNet (ours)	Deep Compression	6 bit	4.8MB → 0.47MB	510x	57.5%	80.3%

- Pros & Cons :

- 50 times less parameter than AlexNet
- AlexNet accuracy on ImageNet dataset
- Using deep compression, we can fit this model on devices such as FPGAs



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Model: "vgg19"		
Layer (type)	Output Shape	Param #
input_1 (InputLayer)	(None, 224, 224, 3)	0
block1_conv1 (Conv2D)	(None, 224, 224, 64)	1792
block1_conv2 (Conv2D)	(None, 224, 224, 64)	36928
block1_pool (MaxPooling2D)	(None, 112, 112, 64)	0
block2_conv1 (Conv2D)	(None, 112, 112, 128)	73856
block2_conv2 (Conv2D)	(None, 112, 112, 128)	147584
block2_pool (MaxPooling2D)	(None, 56, 56, 128)	0
block3_conv1 (Conv2D)	(None, 56, 56, 256)	295168
block3_conv2 (Conv2D)	(None, 56, 56, 256)	590080
block3_conv3 (Conv2D)	(None, 56, 56, 256)	590080
block3_conv4 (Conv2D)	(None, 56, 56, 256)	590080
block3_pool (MaxPooling2D)	(None, 28, 28, 256)	0
block4_conv1 (Conv2D)	(None, 28, 28, 512)	1180160
block4_conv2 (Conv2D)	(None, 28, 28, 512)	2359808
block4_conv3 (Conv2D)	(None, 28, 28, 512)	2359808
block4_conv4 (Conv2D)	(None, 28, 28, 512)	2359808
block4_pool (MaxPooling2D)	(None, 14, 14, 512)	0
block5_conv1 (Conv2D)	(None, 14, 14, 512)	2359808
block5_conv2 (Conv2D)	(None, 14, 14, 512)	2359808
block5_conv3 (Conv2D)	(None, 14, 14, 512)	2359808
block5_conv4 (Conv2D)	(None, 14, 14, 512)	2359808
block5_pool (MaxPooling2D)	(None, 7, 7, 512)	0
flatten (Flatten)	(None, 25088)	0
fc1 (Dense)	(None, 4096)	102764544
fc2 (Dense)	(None, 4096)	16781312
predictions (Dense)	(None, 1000)	4097000
=====		
Total params: 143,667,240		

Figure 27: VGG19 network



## 4.2 Test VGG19 on sample image

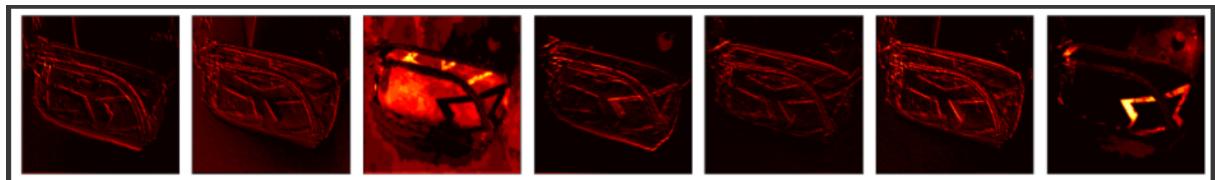
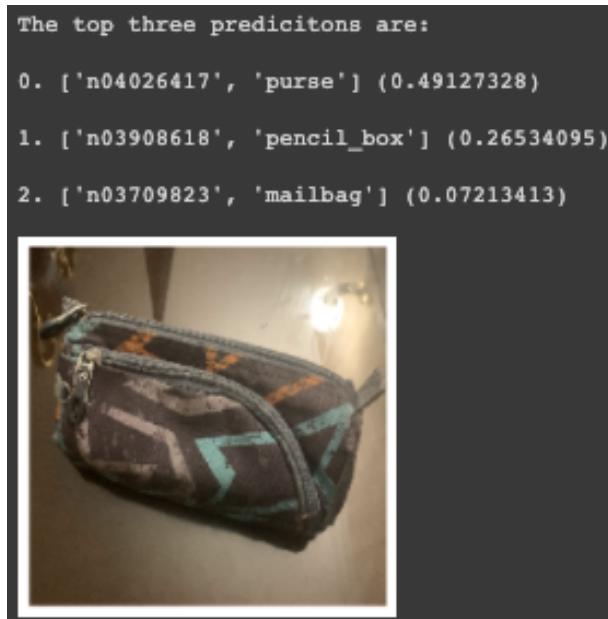


Figure 28: First Layer Feature Map

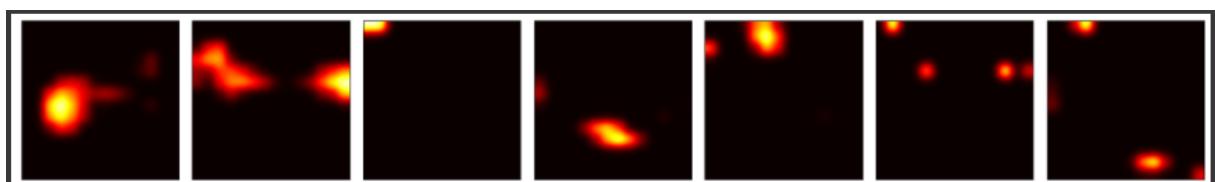


Figure 29: Last Layer Feature Map



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### 4.3 What can we do with images out of the data set categories?

I described this part in the VGG19 notebook in this github repository with some sample images.

### 4.4 Retrain VGG19 on two category dataset

I did this part in the VGG19 notebook in this github repository.



## 5 Pose Estimation (+)

I did this part in the pose-estimation notebook in this github reposiroty. The data set contains only 2000 samples and is really small even if you do data augmentation. As I read, other codes have more complex networks and using extended data sets.

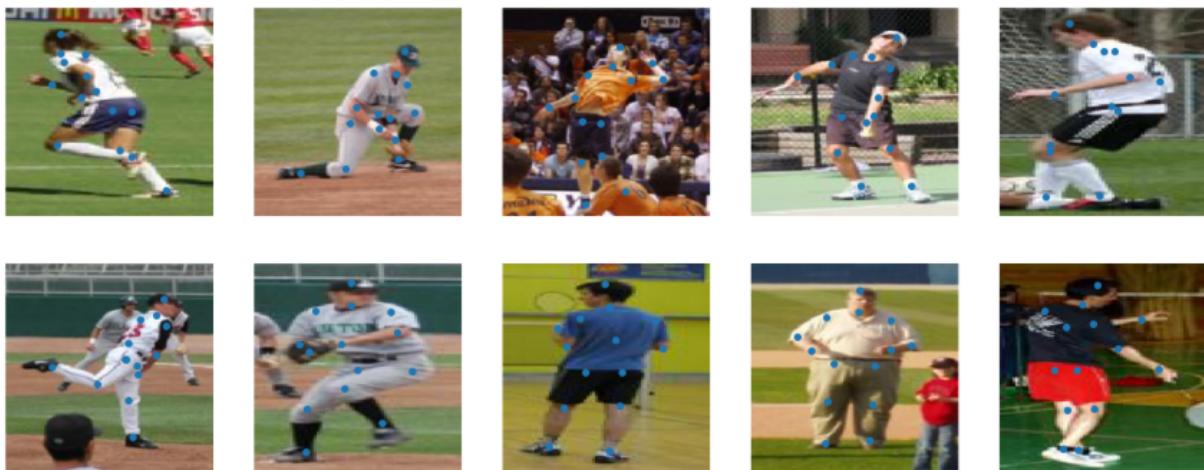


Figure 30: Joints data



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Model: "sequential"		
Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 55, 55, 96)	34944
lambda (Lambda)	(None, 55, 55, 96)	0
max_pooling2d (MaxPooling2D )	(None, 27, 27, 96)	0
conv2d_1 (Conv2D)	(None, 27, 27, 256)	614656
lambda_1 (Lambda)	(None, 27, 27, 256)	0
max_pooling2d_1 (MaxPooling 2D)	(None, 13, 13, 256)	0
conv2d_2 (Conv2D)	(None, 13, 13, 384)	885120
conv2d_3 (Conv2D)	(None, 13, 13, 384)	1327488
conv2d_4 (Conv2D)	(None, 13, 13, 256)	884992
max_pooling2d_2 (MaxPooling 2D)	(None, 6, 6, 256)	0
flatten (Flatten)	(None, 9216)	0
dense (Dense)	(None, 4096)	37752832
dropout (Dropout)	(None, 4096)	0
dense_1 (Dense)	(None, 4096)	16781312
dropout_1 (Dropout)	(None, 4096)	0
dense_2 (Dense)	(None, 28)	114716
<hr/>		
Total params: 58,396,060		
Trainable params: 58,396,060		
Non-trainable params: 0		

Figure 31: Implementation of the network described in the paper