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## Question A

Mark for each of the following statements if they are true or false. In each case prove your answer. You can use the following known inequality without proving it:  $\log n < n$  for  $n > 1$ .

1.  $n^2 + 2n \log n + 5 \in O(n^3)$
2.  $8n^3 + 2n + 20 \log(n^{12}) \in \Theta(n^3)$
3.  $2^n \in \Omega(9^{\frac{n}{3}})$

1.  $n^2 + 2n \log n + 5 \in O(n^3)$  **True**

We know that for any  $n \geq 1$ ,  $\log n < n$ . Therefore, we get  $n^2 + 2n \log n + 5 < n^2 + 2n^2 + 5$ .

We'll prove by induction over  $n \in \mathbb{N}$  that for every  $c=1, n_0 \geq 4$  we get

$$n^2 + 2n^2 + 5 = 3n^2 + 5 \leq n^3.$$

- **Base case ( $n=4$ ):** We get  $3 \cdot 4^2 + 5 = 3 \cdot 16 + 5 = 53 \leq 4^3 = 64$ . Thus, the claim holds.
- **Induction hypothesis:** Assume the claim holds for  $k \geq 4, k \in \mathbb{N}, n=k$ . That is,

$$3k^2 + 5 \leq k^3.$$

- **Induction step:** We'll prove the claim hold for  $k+1$ .

$3(k+1)^2 + 5 = 3k^2 + 6k + 3 + 5$ . By the induction hypothesis we get that  $3k^2 + 5 \leq k^3$ . Thus,  $3k^2 + 6k + 3 + 5 \leq k^3 + 6k + 3$ .

When looking on the other side of the equation we get

$$(k+1)^3 = k^3 + 3k^2 + 3k + 1. \text{ Since } k \geq 4, \text{ we get that}$$

$$k^3 + 6k + 3 \leq k^3 + 3k^2 + 3k + 1. \text{ Thus we get } 3(k+1)^2 + 5 \leq (k+1)^3.$$

That is, the claim holds for  $k+1$ .

Therefore, by the induction, we deduce that for every  $n \geq 4, c=1$  it holds that  $3n^2 + 5 \leq n^3$ . Thus  $n^2 + 2n \log n + 5 \leq n^3$ .

That is,  $n^2 + 2n \log n + 5 \in O(n^3)$ .

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2.  $8n^3 + 2n + 20\log(n^{12}) \in \Theta(n^3)$

True

By logarithmic identities we get that

$$8n^3 + 2n + 20\log(n^{12}) = 8n^3 + 2n + 240\log n.$$

First, we'll prove that  $8n^3 + 2n + 20\log(n^{12}) \in \Omega(n^3)$ .

We'll prove by induction that for every  $n \geq 8$ ,  $c=1$

$$8n^3 + 2n + 240\log n \geq n^3.$$

Base case ( $n=8$ ):  $8 \cdot 8^3 + 2 \cdot 8 + 240\log 8 = 4096 + 16 + 240 \cdot 3 = 4328 \geq 512$ .

Induction hypothesis: Assume that for  $k \geq 8$ ,  $k \in \mathbb{N}$ ,  $k=n$  the claim holds. That is  $8 \cdot k^3 + 2k + 240\log k \geq k^3$

Induction step: We'll prove the claim hold for  $k+1$ .

$$\begin{aligned} 8 \cdot (k+1)^3 + 2(k+1) + 240\log(k+1) &= 8(k^3 + 3k^2 + 3k + 1) + 2k + 2 + 240\log(k+1) = \\ &= 8k^3 + 24k^2 + 24k + 8 + 2k + 2 + 240\log(k+1) \end{aligned}$$

By the Assumption  $8k^3 + 2k + 240\log k \geq k^3$ . Therefore, since  $\log$  is decreasing function, we deduce that

$$8k^3 + 2k + \log(k+1) \geq k^3. \text{ Thus } k^3 + 24k^2 + 24k + 10 > k^3$$

when looking on the other side of the equation we get

$$(k+1)^3 = k^3 + 3k^2 + 3k + 1. \text{ Thus since } k \geq 8 \text{ we get that}$$

$$k^3 + 24k^2 + 24k + 10 > k^3 + 3k^2 + 3k + 1. \text{ That is,}$$

$$8 \cdot (k+1)^3 + 2(k+1) + 240\log(k+1) > (k+1)^3. \text{ Hence, the claim holds for } k+1.$$

Therefore, by induction we get that  $8n^3 + 2n + 240\log n \geq n^3$

for any  $n \geq 8$ ,  $c=1$ .

That is  $8n^3 + 2n + 20\log(n^{12}) \in \Omega(n^3)$ .

Secondly, we'll show that  $8n^3 + 2n + 20\log(n^{12}) \in O(n^3)$ .

Since for any  $n > 1$   $n > \log n$ , we get that

$$8n^3 + 2n + 240n > 8n^3 + 2n + 240\log n.$$

Thus, we'll prove be induction over  $n$  that for any  $n \geq 8$ ,  $c=12$ .

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$$8n^3 + 2n + 240n \leq 12n^3.$$

Base case ( $n=8$ ):  $8 \cdot 8^3 + 2 \cdot 8 + 240 \cdot 8 = 6032 \leq 12 \cdot 8^3 = 6144$

**Induction hypothesis:** Assume that for  $k \geq 8, k \in \mathbb{N}$ ,  $k=n$  the claim holds. That is  $8k^3 + 2k + 240k \leq 12k^3$ .

**Induction step:** We'll prove the claim hold for  $k+1$ .

$8(k+1)^3 + 2(k+1) + 240(k+1) = 8k^3 + 24k^2 + 24k + 8 + 2 + 240k + 240$ . By the assumption  $12k^3 \geq 8k^3 + 2k + 240k$ , thus we get that

$$8k^3 + 24k^2 + 24k + 8 + 2 + 240k + 240 \leq 12k^3 + 24k^2 + 24k + 8 + 2 + 240.$$

When looking on the other side of the equation we get  
 $12(k+1)^3 = 12k^3 + 36k^2 + 36k + 12$ . Thus since  $k \geq 8$  we get  
 $12k^3 + 24k^2 + 24k + 250 \leq 12k^3 + 36k^2 + 36k + 12$ . Hence, the claim holds for  $k+1$ .

That is, by the induction we get that  $8n^3 + 2n + 240n \leq 12n^3$ .

Therefore,  $8n^3 + 2n + 20\log(n^{12}) \leq 12n^3$  for any  $n \geq 8, c=12$ . That is  $8n^3 + 2n + 20\log(n^{12}) \in O(n^3)$ .

Thus, we get  $8n^3 + 2n + 20\log(n^{12}) \in \Theta(n^3)$ .

3.  $2^n \in \Omega(9^{\frac{n}{3}})$ **False**

Assume toward contradiction that  $2^n \in \Omega(9^{\frac{n}{3}})$ . That is, There exist  $n_0, c > 0$  s.t for any  $n \geq n_0$   $2^n \geq c \cdot 9^{\frac{n}{3}}$ .

$$2^n \geq c \cdot 9^{\frac{n}{3}} \Rightarrow 2^{3n} \geq c^3 \cdot 9^n \Rightarrow \frac{2^{3n}}{9^n} \geq c^3 \Rightarrow \left(\frac{2}{9}\right)^{2n} \geq c^3 \Rightarrow \log_{\frac{2}{9}} c^3 \geq 2n \Rightarrow$$

$\frac{\log_{\frac{2}{9}} c^3}{2} \geq n$ . We get contradiction, since  $n$  is bounded by

$$\frac{\log_{\frac{2}{9}} c^3}{2}$$
. Therefore  $2^n \notin \Omega(9^{\frac{n}{3}})$ .

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### Question B

For each of the following proofs state whether it is correct and explain where the proof fails.

1. **Claim:**  $8n^2 \notin O(n^2)$ .

**Proof:** For every  $n \geq 1$  it holds that  $8n^2 > n^2$ . Hence using  $n_0 = 1, c = 1$  we conclude that  $8n^2 \notin O(n^2)$ .

2. **Claim:**  $n \in \Omega(n^3)$ .

**Proof:** We will prove by induction on  $n$ , that there exists a constant  $c > 0$  such that for every  $n \geq 2$  it holds that  $n \geq c \cdot n^3$ . This is enough in order to show  $n \in \Omega(n^3)$ .

Base: for  $n = 2$ , for  $c = 1/4$  it holds that  $2 = \frac{1}{4} \cdot 8 = c \cdot 2^3$ .

Assumption: Assume that the claim is correct for  $n - 1$ , meaning there exists  $c_1 > 0$  such that  $(n - 1) \geq c_1(n - 1)^3$ .

Step: We will show the proof is correct for  $n$ :

$$n = n - 1 + 1 \geq_{(1)} c_1(n - 1)^3 + 1 \geq c_1 \cdot (n - 1)^3 \geq_{(2)} c_1 \cdot (n - n/2)^3 = c_1(n/2)^3 = \frac{c_1}{8} \cdot n^3,$$

where (1) is due to the induction assumption, and (2) is since for any  $n \geq 2$ :  $n - 1 \geq n - n/2$ . Hence, taking  $c = c_1/8$  we have that  $n \geq c \cdot n^3$ .

3. **Claim:**  $n \in O(1)$ .

**Proof:** We will prove by induction on  $n$  that for every  $n \geq 1$  it holds that  $n \in O(1)$ .

Base: For  $n = 1$  clearly  $1 \in O(1)$ .

Assumption: assume the claim holds for  $n - 1$ , meaning that  $n - 1 \in O(1)$ .

Step: We next show correctness for  $n$ :

$$n = n - 1 + 1 =_{(1)} O(1) + 1 = O(1) + O(1) = O(1),$$

where (1) is due to the induction assumption.

1. **Claim:**  $8n^2 \notin O(n^2)$ .

**Proof:** For every  $n \geq 1$  it holds that  $8n^2 > n^2$ . Hence using  $n_0 = 1, c = 1$  we conclude that  $8n^2 \notin O(n^2)$ .

**The proof is incorrect**

In order to prove the claim, we need to prove that there is no exist  $n_0, c > 0$  s.t for any  $n \geq n_0$   $8n^2 \leq c \cdot n^2$ . And in this case they show only for  $c=1$ .

2. **Claim:**  $n \in \Omega(n^3)$ .

**Proof:** We will prove by induction on  $n$ , that there exists a constant  $c > 0$  such that for every  $n \geq 2$  it holds that  $n \geq c \cdot n^3$ . This is enough in order to show  $n \in \Omega(n^3)$ .

Base: for  $n = 2$ , for  $c = 1/4$  it holds that  $2 = \frac{1}{4} \cdot 8 = c \cdot 2^3$ .

Assumption: Assume that the claim is correct for  $n - 1$ , meaning there exists  $c_1 > 0$  such that  $(n - 1) \geq c_1(n - 1)^3$ .

Step: We will show the proof is correct for  $n$ :

$$n = n - 1 + 1 \geq_{(1)} c_1(n - 1)^3 + 1 \geq c_1 \cdot (n - 1)^3 \geq_{(2)} c_1 \cdot (n - n/2)^3 = c_1(n/2)^3 = \frac{c_1}{8} \cdot n^3,$$

where (1) is due to the induction assumption, and (2) is since for any  $n \geq 2$ :  $n - 1 \geq n - n/2$ . Hence, taking  $c = c_1/8$  we have that  $n \geq c \cdot n^3$ .

**The proof is incorrect**

In order to prove that  $n \in \Omega(n^3)$  we need to show that there exist  $n_0, c > 0$  s.t for any  $n > n_0$   $n \geq c \cdot n^3$ . In this case they prove that for any  $n$  there exist  $c_1$  which is may be different for each  $n$ .

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3. Claim:  $n \in O(1)$ .Proof: We will prove by induction on  $n$  that for every  $n \geq 1$  it holds that  $n \in O(1)$ .Base: For  $n = 1$  clearly  $1 \in O(1)$ .Assumption: assume the claim holds for  $n - 1$ , meaning that  $n - 1 \in O(1)$ .Step: We next show correctness for  $n$ :

$$n = n - 1 + 1 =_{(1)} O(1) + 1 = O(1) + O(1) = O(1),$$

where (1) is due to the induction assumption.

**The proof is incorrect**

In order to prove that  $n \in O(1)$  we need to show that there exist  $n_0, c > 0$  s.t for any  $n \geq n_0$  we get  $n \leq c \cdot 1$ .

Since there will be always  $n$  s.t  $n > c$ . Therefore it does not meet the requirement.

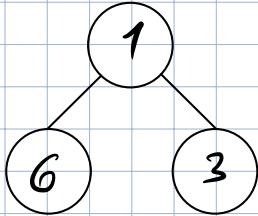
### Question C

Mark True / False and justify your answer.

1. When implementing a min-heap using an array, the array representing the heap is sorted.
2. The smallest element in a max-heap with distinct elements must be a leaf.
3. In a min-heap with  $n \geq 8$  elements, the right child of the root can be larger than  $n/4$  of the elements in the heap.

1. When implementing a min-heap using an array, the array representing the heap is sorted.

Let  $a = [1, 6, 3]$  be an array representing the following heap



We can see that the heap is min-heap but the array isn't sorted.

2. The smallest element in a max-heap with distinct elements must be a leaf.

**False**

By definition, each element in max-heap is smaller than its children. A leaf is an element with no children.

Assume toward contradiction that the smallest element is not a leaf. Thus it has a child, by definition of max-heap the child is bigger than the element. Therefore it's not the smallest element. That is, the smallest element in max-heap is a leaf.

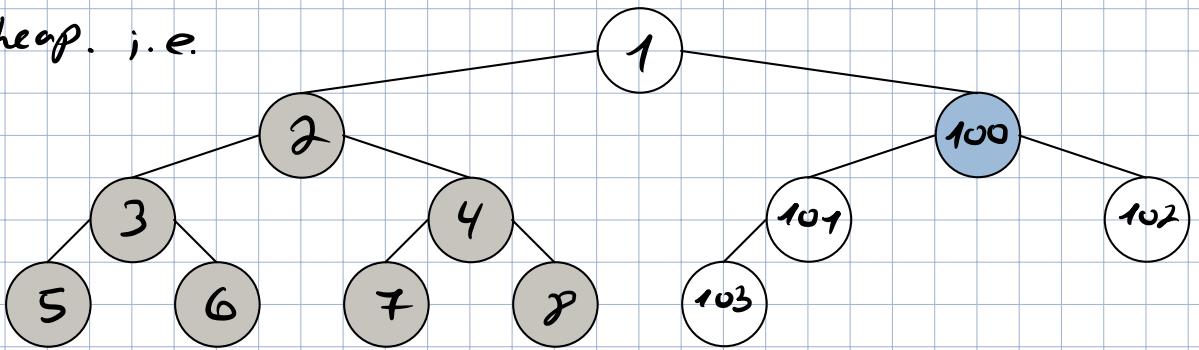
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3. In a min-heap with  $n \geq 8$  elements, the right child of the root can be larger than  $n/4$  of the elements in the heap.

True

By definition of min-heap each child is larger than its parent.

Since the subtree formed by the left child of the root contains at least  $\frac{n}{2} - 1$  elements, we can define an min-heap where the right child of the root is larger than any node at the left sub-tree and still maintain the properties of the min heap. Thus, since  $\frac{n}{2} - 1 \geq \frac{n}{4}$  where  $n \geq 8$ , we get that the right child of the root is larger than  $\frac{n}{4}$  of the elements in the heap. i.e.



#### Question D

Prove or disprove:

- Given that the best case complexity of the algorithm  $A$  is  $O(f(n))$  and the worst case complexity of  $A$  is  $\Omega(g(n))$ . It follows that  $f(n) \in \Omega(g(n))$ .
- Given that the worst case complexity of the algorithm  $A$  is  $O(f(n))$  and the best case complexity of  $A$  is  $\Omega(g(n))$ . It follows that  $f(n) \in \Omega(g(n))$ .
- Given that the average case complexity of the algorithm  $A$  is  $\Theta(f(n))$  and the worst case complexity of  $A$  is  $O(g(n))$ . It follows that  $f(n) \in O(g(n))$ .

- Given that the best case complexity of the algorithm  $A$  is  $O(f(n))$  and the worst case complexity of  $A$  is  $\Omega(g(n))$ . It follows that  $f(n) \in \Omega(g(n))$ .

False

Let  $A_B$  be the best case and  $A_w$  be the worst case.

Let  $f(n) = n$  and  $g(n) = n^2$ .

Assume  $A_B \in O(f(n))$  and  $A_w \in \Omega(g(n))$ . Therefore:

For any  $c_1, n \geq n_1$  it holds that  $A_B \leq c_1 \cdot f(n) = c_1 \cdot n$

For any  $c_2, n \geq n_2$  it holds that  $A_w \geq c_2 \cdot g(n) = c_2 \cdot n^2$ .

Assume toward contradiction that  $f(n) \in \Omega(g(n))$ . That is, there exist  $c, n_0$  s.t for any  $n > n_0$   $f(n) \geq c \cdot g(n)$ , meaning  $n \geq c \cdot n^2$ . Since  $c, n > 0$  we get that the inequality holds only for  $n=c=1$ . That is  $n$  is bounded and the case

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in the question does not hold.

2. Given that the worst case complexity of the algorithm  $A$  is  $O(f(n))$  and the best case complexity of  $A$  is  $\Omega(g(n))$ . It follows that  $f(n) \in \Omega(g(n))$ .

True

Let  $A_B$  be the best case and  $A_w$  be the worst case.

Assume  $A_w \in O(f(n))$  and  $A_B \in \Omega(g(n))$ , Therefore

For any  $c_1, n \geq n_1$  it holds that  $A_w \leq c_1 \cdot f(n)$ .

For any  $c_2, n \geq n_2$  it holds that  $A_B \geq c_2 \cdot g(n)$ .

Since  $A_w \geq A_B$  we'll choose  $n_0 = \max\{n_1, n_2\}$ . Thus both of the condition of  $O(f(n))$  and  $\Omega(g(n))$  are met. Therefore,

$c_1 \cdot f(n) \geq A_w \geq A_B \geq c_2 \cdot g(n)$ . That is  $c_1 \cdot f(n) \geq c_2 \cdot g(n)$ . Meaning  $f(n) \geq \frac{c_2}{c_1} \cdot g(n)$ . That is for  $c = \frac{c_2}{c_1}$  we get  $f(n) \geq c \cdot g(n)$  for any  $n \geq n_0$ . Therefor  $f(n) \in \Omega(g(n))$ .

3. Given that the average case complexity of the algorithm  $A$  is  $\Theta(f(n))$  and the worst case complexity of  $A$  is  $O(g(n))$ . It follows that  $f(n) \in O(g(n))$ .

True

Let  $A_v$  be the average case and  $A_w$  be the worst case.

Assume  $A_w \in O(g(n))$  and  $A_v \in \Theta(f(n))$ , Therefore

For any  $c_1, n \geq n_1$  it holds that  $A_v \leq c_1 \cdot f(n)$

For any  $c_2, n \geq n_2$  it holds that  $A_v \geq c_2 \cdot f(n)$

For any  $c_3, n \geq n_3$  it holds that  $A_w \leq c_3 \cdot g(n)$

Since  $A_w \geq A_v$  we'll choose  $n_0 = \max\{n_1, n_3\}$ . Thus both of the condition of  $O(g(n))$  and  $\Theta(f(n))$  are met. Therefore,

$c_3 \cdot g(n) \geq A_w \geq A_v \geq c_1 \cdot f(n)$ . That is  $c_3 \cdot g(n) \geq c_1 \cdot f(n)$ . Meaning  $g(n) \geq \frac{c_1}{c_3} \cdot f(n)$ . That is for  $c = \frac{c_2}{c_1}$  we get  $g(n) \geq c \cdot f(n)$  for any  $n \geq n_0$ . Therefor  $f(n) \in O(g(n))$ .

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## Question E

Define the following sequence

$$T(n) = \begin{cases} 3 & \text{if } n = 1, \\ T(n-1) + 3^n & \text{otherwise.} \end{cases}$$

Find a closed-form for  $T(n)$  (no sigmas) and prove its correctness by induction.

$$T(n) = \frac{3 \cdot (3^n - 1)}{2}$$

we'll prove by induction that for every  $n \geq 0$ .

$$T(n) = \frac{3 \cdot (3^n - 1)}{2}.$$

**Base case ( $n=1$ ):**  $T(1) = \frac{3 \cdot 3^1 - 1}{2} = \frac{3 \cdot (3-1)}{2} = \frac{3 \cdot 2}{2} = 3$ . As in definition of  $T$ . Thus, the claim holds for  $n=1$ .

**Induction hypothesis:** Assume that for any  $k \geq 1, k \in \mathbb{N}$ ,  $n=k$ . That is,

$$T(k) = \frac{3 \cdot (3^k - 1)}{2}.$$

**Induction step:** We'll prove the claim holds for  $n=k+1$ .

$$T(k+1) = T(k) + 3^{k+1} \quad \text{By the induction assumption we get}$$

$$T(k) + 3^{k+1} = \frac{3 \cdot (3^k - 1)}{2} + 3^{k+1} = \frac{3^{k+1} - 3 + 3^{k+1}}{2} = \frac{3^{k+1} - 3 + 2 \cdot 3^{k+1}}{2} =$$

$$\frac{3 \cdot 3^{k+1} - 3}{2} = \frac{3 \cdot (3^{k+1} - 1)}{2}$$

Therefore the claim holds for  $n=k+1$ .That is, by induction the claim holds for any  $n \geq 0$ .