

# NLP - HW2

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## 1

### 1.1

Assuming  $j$  is the entry where  $y_j = 1$ :

$$\frac{\partial CE(y, \hat{y})}{\partial \theta_l} = \frac{\partial (-\log(\text{softmax}(\theta)_j))}{\partial \theta_l} = \frac{\partial (-\theta_j + \log(\sum_k e^{\theta_k}))}{\partial \theta_l}$$

For  $l = j$ :

$$= -1 + \text{softmax}(\theta_l)$$

For  $l \neq j$ :

$$= \text{softmax}(\theta_l)$$

Or in vector notation:

$$\hat{y} - y$$

### 1.2

Note  $\theta = hW_2 + b_2$ :

$$\frac{\partial CE(y, \hat{y})}{\partial x} = \frac{\partial CE(y, \hat{y})}{\partial \theta} \cdot \frac{\partial \theta}{\partial x} \quad (1)$$

Now:

$$\frac{\partial \theta}{\partial x} = \frac{\partial (hW_2 + b_2)}{\partial x} = \frac{\partial \theta}{\partial h} \cdot \frac{\partial h}{\partial x} = \left[ \frac{\partial \theta}{\partial h} = W_2^T \right] = W_2^T \cdot \frac{\partial h}{\partial x} \quad (2)$$

Note  $\theta^* = xW_1 + b_1$ :

$$\frac{\partial h}{\partial x} = \frac{\partial h}{\partial \theta^*} \cdot \frac{\partial \theta^*}{\partial x} = \text{diag}(\sigma(xW_1 + b_1) \circ (1 - \sigma(xW_1 + b_1))) \cdot W_1^T \quad (3)$$

Plugging this into equation (2):

$$\frac{\partial \theta}{\partial x} = W_2^T \cdot \text{diag}(\sigma(xW_1 + b_1) \circ (1 - \sigma(xW_1 + b_1))) \cdot W_1^T \quad (4)$$

And together with subsection (a):

With the same notation as the previous section, for  $l = j$ :

$$\frac{\partial CE(y, \hat{y})}{\partial x} = (\hat{y} - y) \cdot W_2^T \cdot \text{diag}(\sigma(xW_1 + b_1) \circ (1 - \sigma(xW_1 + b_1))) \cdot W_1^T \quad (5)$$

### 1.3

CODE

### 1.4

Our perplexity is: "dev perplexity : 115.34486812512428".

## 2

### 2.1

Let's note  $\theta_1 = h^{(t)}U + b_2$ ,  $\theta_2 = h^{(t-1)}H + e^{(t)}I + b_1$  and  $\delta = \frac{\partial CE(y, \hat{y})}{\partial \theta_1} \cdot \frac{\partial \theta_1}{\partial h^{(t)}} \cdot \frac{\partial h^{(t)}}{\partial \theta_2}$ .  
Now:

$$\frac{\partial J^{(t)}}{\partial b_2} = \frac{\partial CE(y, \hat{y})}{\partial \theta_1} \cdot \frac{\partial \theta_1}{\partial b_2} = (\hat{y} - y) \quad (6)$$

$$\frac{\partial J^{(t)}}{\partial U} = \frac{\partial CE(y, \hat{y})}{\partial \theta_1} \cdot \frac{\partial \theta_1}{\partial U} = (h^{(t)})^T \cdot (\hat{y} - y) \quad (7)$$

$$\frac{\partial J^{(t)}}{\partial b_1} \Big|_{(t)} = \delta \cdot \frac{\partial \theta_2}{\partial b_1} = (\hat{y} - y) \cdot U^T \cdot \text{diag}(h^{(t)} \circ (1 - h^{(t)})) \quad (8)$$

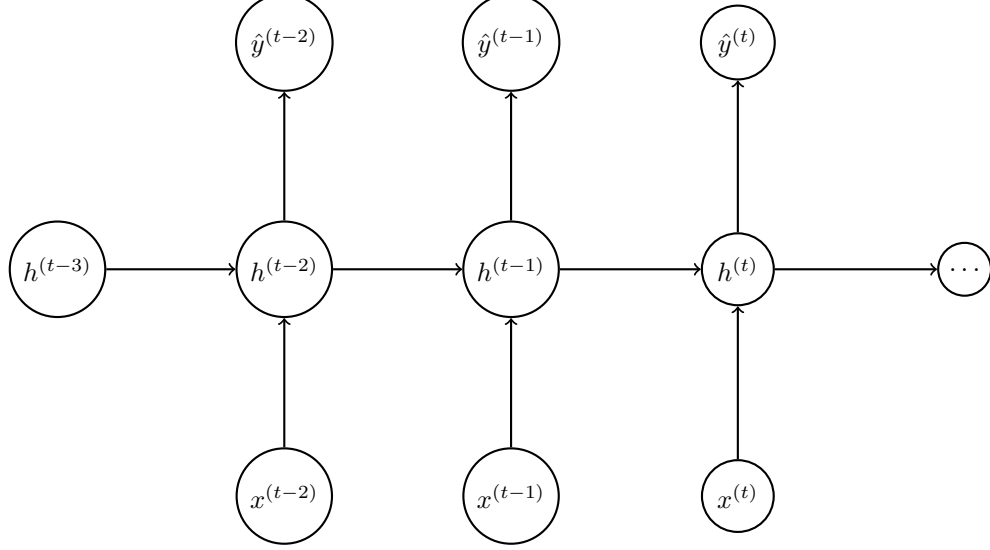
$$\frac{\partial J^{(t)}}{\partial H} \Big|_{(t)} = \delta \cdot \frac{\partial \theta_2}{\partial H} = (h^{(t-1)})^T \cdot \frac{\partial J^{(t)}}{\partial b_1} \quad (9)$$

$$\frac{\partial J^{(t)}}{\partial I} \Big|_{(t)} = \delta \cdot \frac{\partial \theta_2}{\partial I} = (e^{(t)})^T \cdot \frac{\partial J^{(t)}}{\partial b_1} \quad (10)$$

$$\frac{\partial J^{(t)}}{\partial L_{x^{(t)}}} = \delta \cdot \frac{\partial \theta_2}{\partial e^{(t)}} \cdot \frac{\partial e^{(t)}}{\partial L_{x^{(t)}}} = \frac{\partial J^{(t)}}{\partial b_1} \cdot I^T \quad (11)$$

$$\frac{\partial J^{(t)}}{\partial h^{(t-1)}} = \delta \cdot \frac{\partial \theta_2}{\partial h^{(t-1)}} = \frac{\partial J^{(t)}}{\partial b_1} \cdot H \quad (12)$$

## 2.2



In this subsection our notation is adjusted to the current time step, meaning:  
 $\theta_2 = h^{(t-2)}H + e^{(t-1)}I + b_1$

$$\frac{\partial J^{(t)}}{\partial b_1} \Big|_{(t-1)} = \frac{\partial J^{(t)}}{\partial h^{(t-1)}} \cdot \frac{\partial h^{(t-1)}}{\partial \theta_2} \cdot \frac{\partial \theta_2}{\partial b_1} = \frac{\partial J^{(t)}}{\partial h^{(t-1)}} \cdot \text{diag}(h^{(t-1)} \circ (1 - h^{(t-1)})) \quad (13)$$

$$\frac{\partial J^{(t)}}{\partial I} \Big|_{(t-1)} = \frac{\partial J^{(t)}}{\partial h^{(t-1)}} \cdot \frac{\partial h^{(t-1)}}{\partial \theta_2} \cdot \frac{\partial \theta_2}{\partial I} = (e^{(t-1)})^T \cdot \frac{\partial J^{(t)}}{\partial b_1} \Big|_{(t-1)} \quad (14)$$

$$\frac{\partial J^{(t)}}{\partial H} \Big|_{(t-1)} = \frac{\partial J^{(t)}}{\partial h^{(t-1)}} \cdot \frac{\partial h^{(t-1)}}{\partial \theta_2} \cdot \frac{\partial \theta_2}{\partial H} = (h^{(t-1)})^T \cdot \frac{\partial J^{(t)}}{\partial b_1} \Big|_{(t-1)} \quad (15)$$

$$\frac{\partial J^{(t)}}{\partial L_{x^{(t-1)}}} = \frac{\partial J^{(t)}}{\partial h^{(t-1)}} \cdot \frac{\partial h^{(t-1)}}{\partial \theta_2} \cdot \frac{\partial \theta_2}{\partial e^{(t-1)}} \cdot \frac{\partial e^{(t-1)}}{\partial L_{x^{(t-1)}}} = \frac{\partial J^{(t)}}{\partial b_1} \Big|_{(t-1)} \cdot I^T \quad (16)$$

## 3

### 3.1

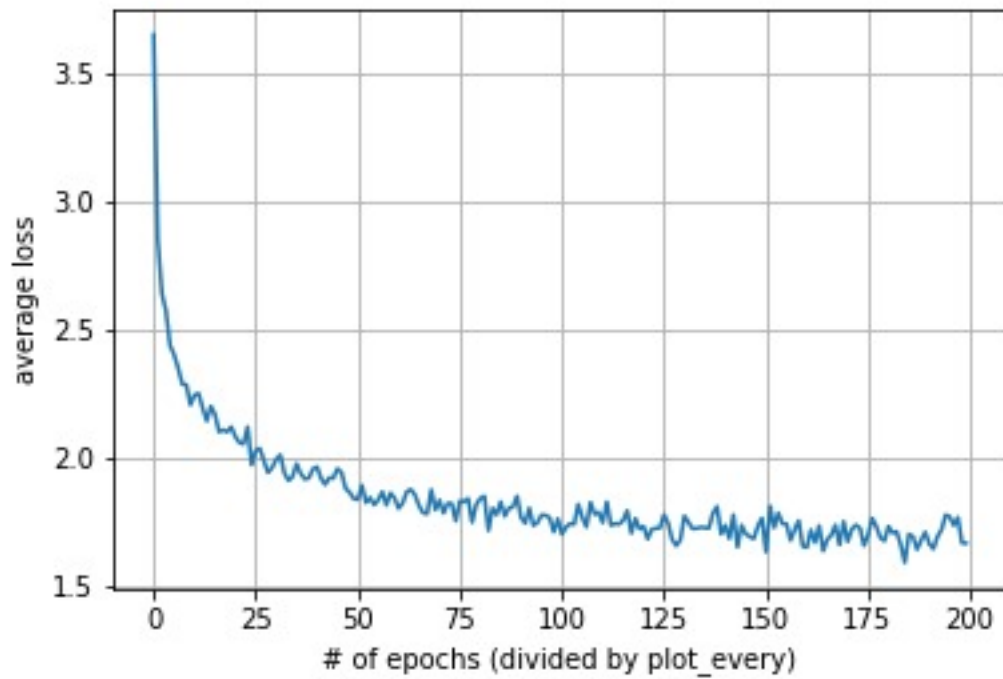
One obvious advantage of a character model is that the size of the vocabulary is much smaller compare to a word model (which reduces the complexity).

Another advantage is that a character-based model can learn temporal and grammar structures (for example) of the language, so the model can generate (theoretically) any word in the English language (for our case), while a word-based model is limited to the chosen vocabulary (for example, the model can learn to generalize how to change a verb to its past-tense, while the actual past-tense verb might not be in the seen data).

On the other hand, a big advantage of a word-based model is that every word

it outputs is a valid word, while a character-based model can output "Gibrish". Also, a word-based model has much more context comparing to a character-based model, so it can produce much more coherent sentences with much shorter dependencies.

### 3.2



## 4

From logarithms' rules we know:  $\log_2(x) = \log_2(e) \cdot \ln(x)$ , therefore:

$$2^{-\frac{1}{M} \sum_{i=1}^M \log_2 p(s_i | s_1, \dots, s_{i-1})} = 2^{-\frac{1}{M} \sum_{i=1}^M \ln(p(s_i | s_1, \dots, s_{i-1})) \cdot \log_2(e)} = 2^{-\log_2(e) \frac{1}{M} \sum_{i=1}^M \ln(p(s_i | s_1, \dots, s_{i-1}))} = e^{-\frac{1}{M} \sum_{i=1}^M \ln(p(s_i | s_1, \dots, s_{i-1}))}$$