



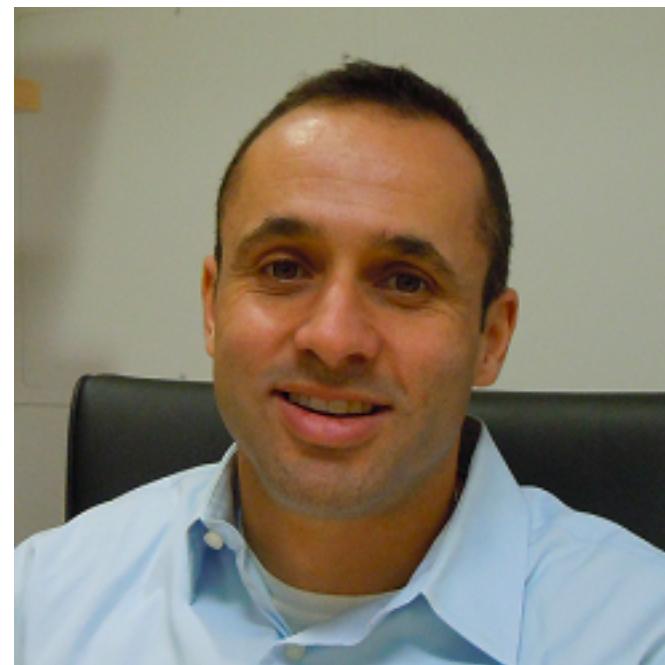
# Replay of cortical spiking sequences during human memory retrieval

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Presented by YM Zhu  
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- MIT, BS, 1995
- UPenn, MD/PhD, 2003; Residency in Neurological Surgery & Postdoc, 2010
- NINDS, Staff Clinician, 2010; Investigator, 2013
- PhD: developing silicon models of visual processing in the mammalian retina, Dr. Kwabena Boahen @ Stanford
- Postdoc: neural correlates of human memory encoding, decision, and reward, w/ Dr. Michael Kahana @ UPenn
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- Research interest: neural mechanisms underlying human cognitive function
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## Human Substantia Nigra Neurons Encode Unexpected Financial Rewards

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The brain's sensitivity to unexpected outcomes plays a fundamental role in an organism's ability to adapt and learn new behaviors. Emerging research suggests that midbrain dopaminergic neurons encode these unexpected outcomes. We used microelectrode recordings during deep brain stimulation surgery to study neuronal activity in the human substantia nigra (SN) while patients with Parkinson's disease engaged in a probabilistic learning task motivated by virtual financial rewards. Based on a model of the participants' expected reward, we divided trial outcomes into expected and unexpected gains and losses. SN neurons exhibited significantly higher firing rates after unexpected gains than unexpected losses. No such differences were observed after expected gains and losses. This result provides critical support for the hypothesized role of the SN in human reinforcement learning.

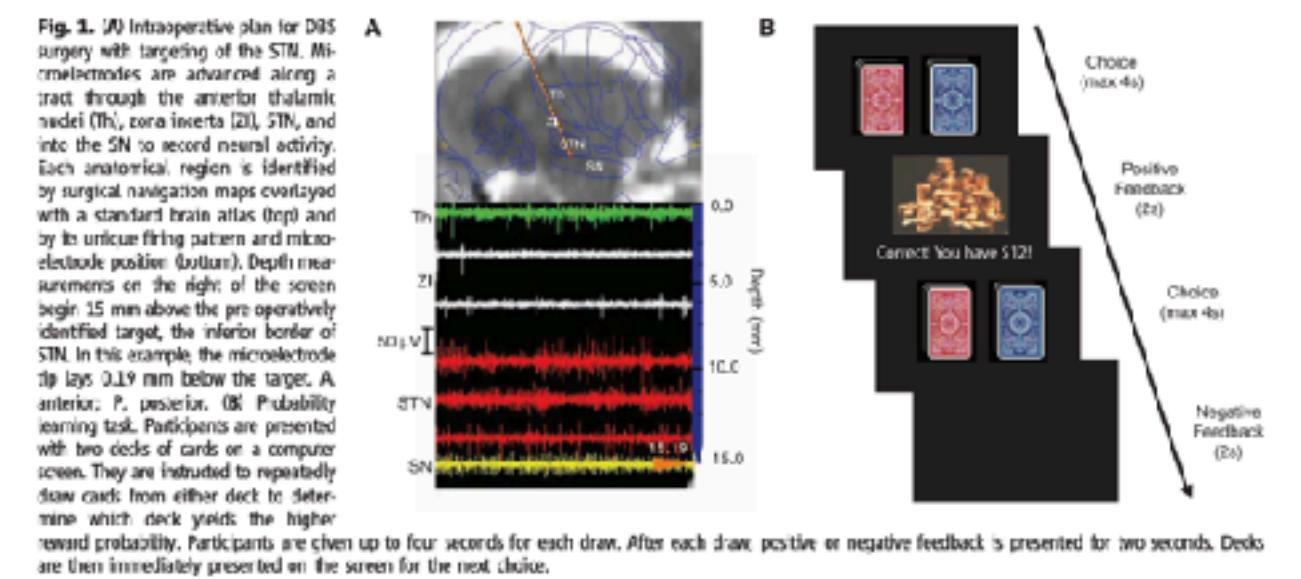
Theories of conditioning and reinforcement learning postulate that unexpected rewards play an important role in allowing an organism to adapt and learn new behaviors (1, 2). Research in nonhuman primates suggests that midbrain dopaminergic neurons projecting from the ventral tegmental area and the pars compacta region of the SN encode unexpected reward signals that drive learning (3–6). These dopaminergic neurons are physiologically activated in response to unexpected rewards and depressed after the unexpected omission of reward (7–9), and they are major inputs to a large basal ganglia circuit that has been implicated in reinforcement learning, across species (10–15).

The response of these neurons to rewards has not been directly measured in humans. We recorded neuronal activity in human SN while patients undergoing deep brain stimulation (DBS) surgery for Parkinson's disease performed a probability learning task. Patients with Parkinson's disease show impaired learning from positive and negative feedback in cognitive tasks (16–18), possibly because of the degenerative nature of their disease and the decreased number of dopamine neurons capable of mounting phasic changes in activity in response to reward signals (17–18). We sought to capture remaining viable dopaminergic SN cells in our patients and determine whether they exhibit responses modulated by reward expectation.

We used microelectrode recordings to measure intracortical activity of SN in 10 Parkinson's patients (6 men, 4 women; mean age of 61 years) undergoing DBS surgery of the subthalamic nucleus (STN) while they engaged in a probabilistic learning task. We rewarded participants in the task with virtual financial gains to motivate learning. We identified SN by anatomic location and its unique firing pattern (Fig. 1A) (20). The learning task involved choosing between a red and a blue deck of cards presented on a computer screen (Fig. 1B). We informed partici-

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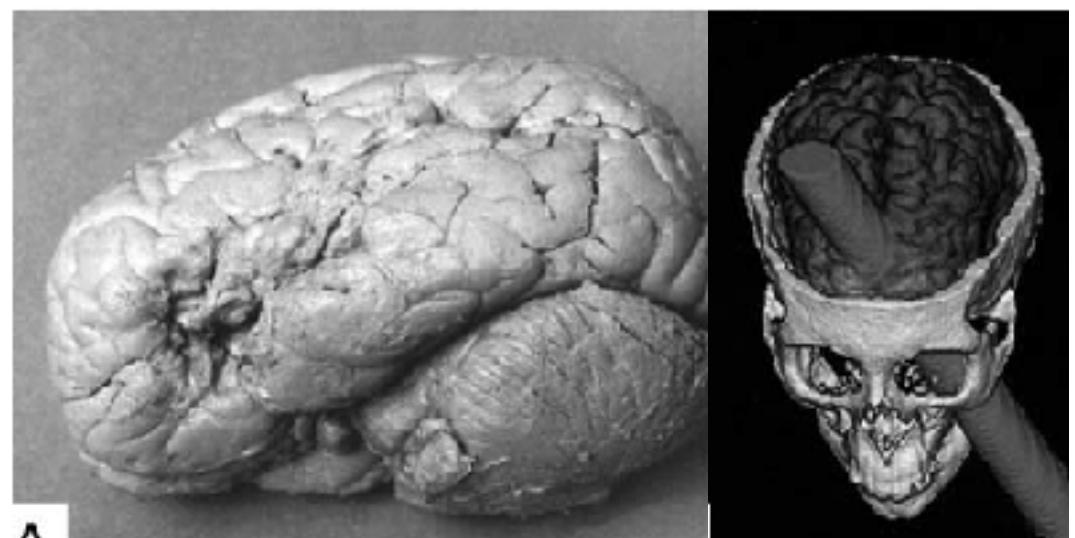
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# Methods studying human brain function

Lesion



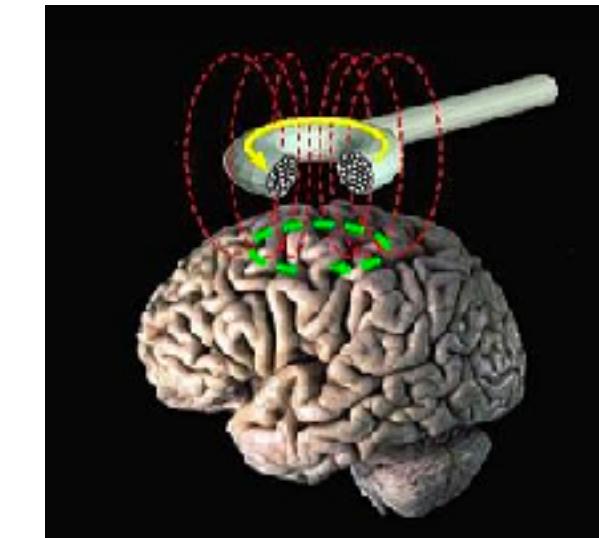
Electroencephalography (EEG)



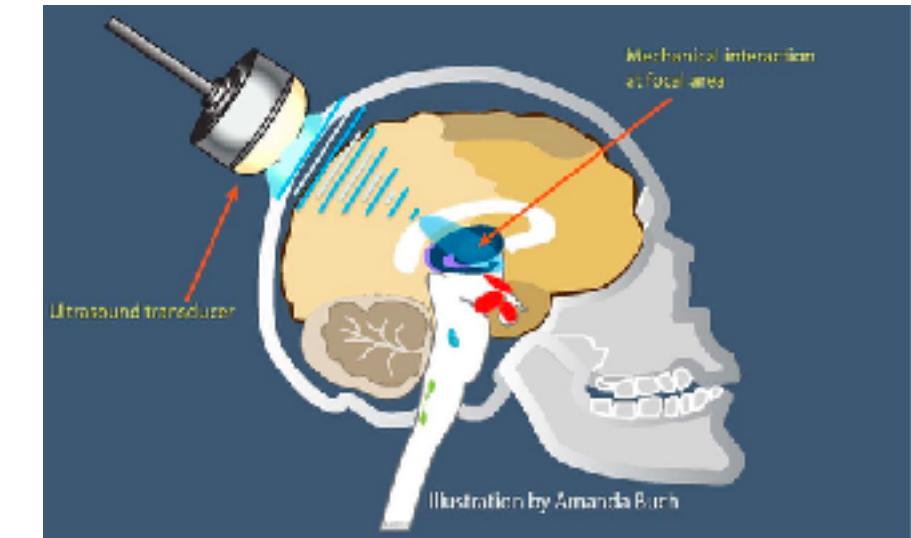
Magnetoencephalography (MEG)



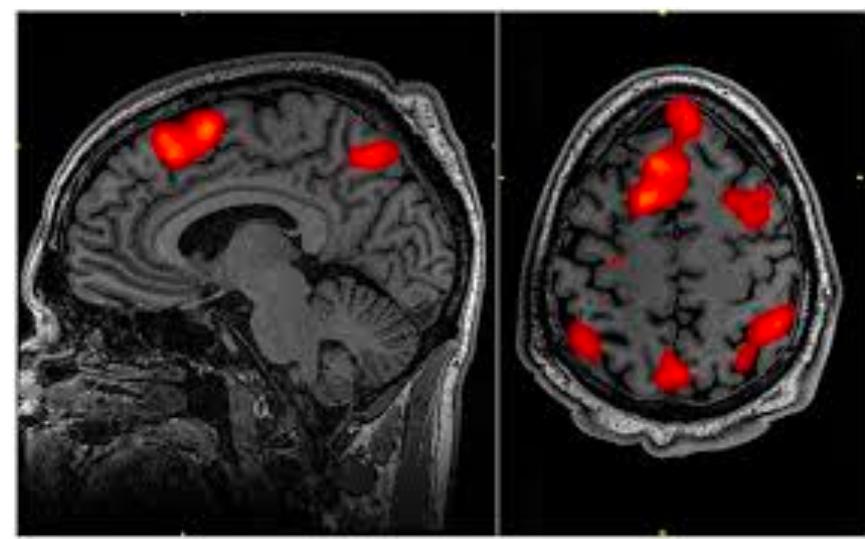
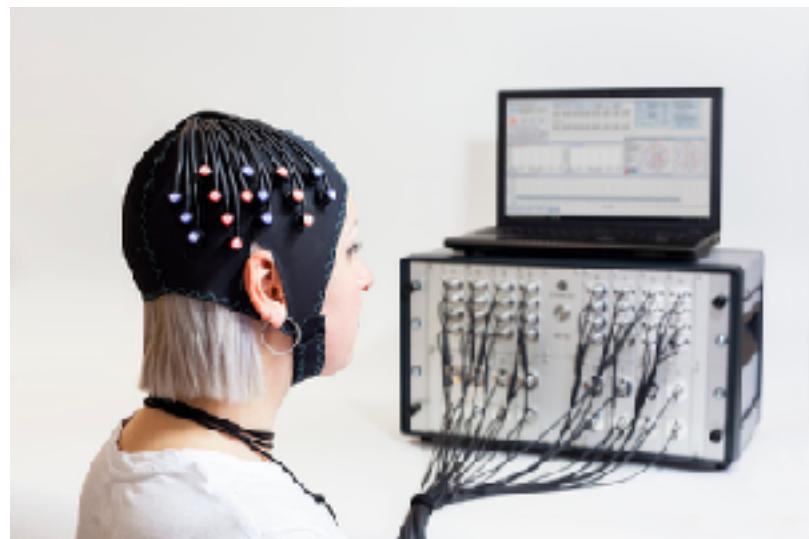
Transcranial magnetic stimulation (TMS)



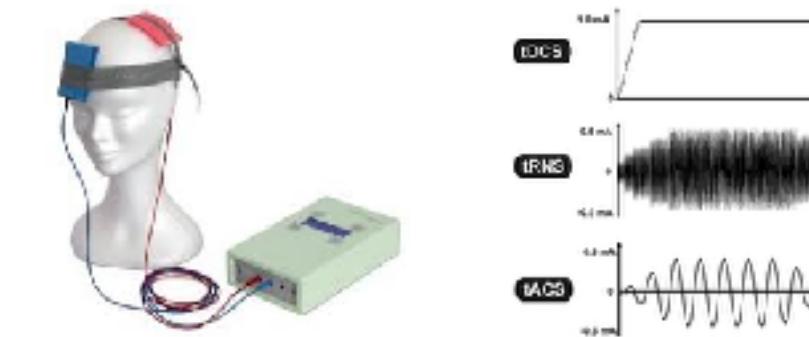
Transcranial focused ultrasound (tFUS)



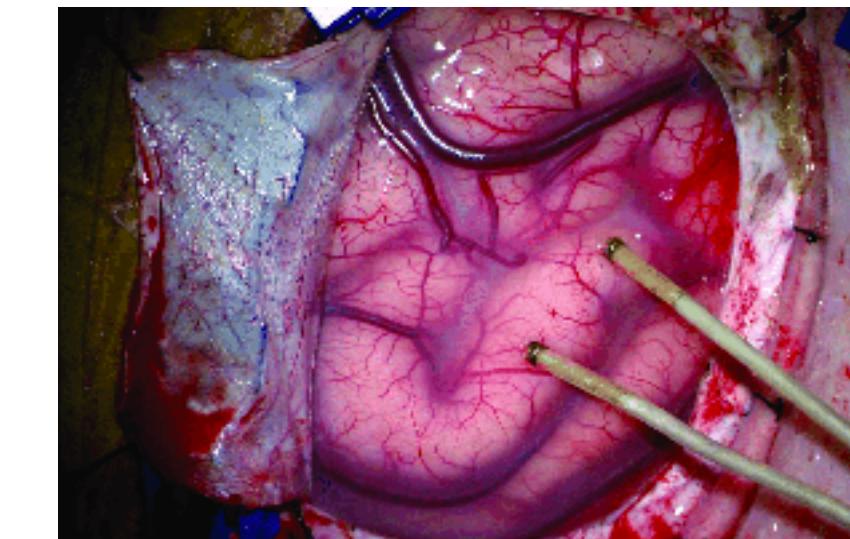
Functional near-infrared spectroscopy (fNIRS)   Functional magnetic resonance imaging (fMRI)



Transcranial alternating current stimulation (tACS)  
Transcranial direct-current stimulation (tDCS)  
Transcranial random noise stimulation (tRNS)  
Transcranial pulsed current stimulation (tPCS)



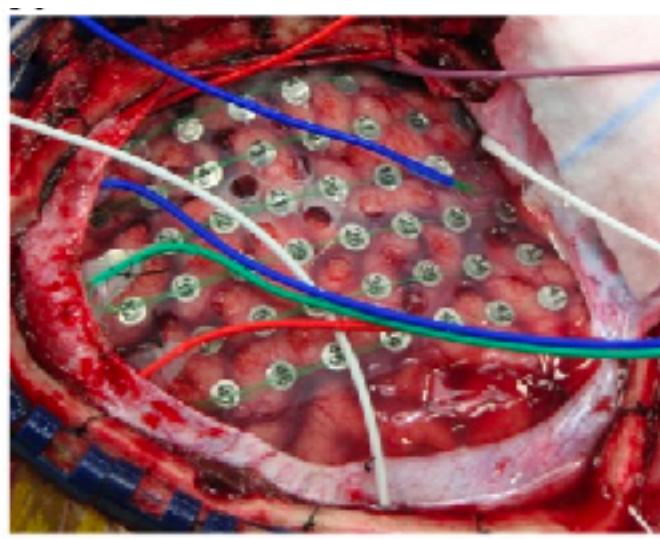
Direct cortical stimulation (DCS)



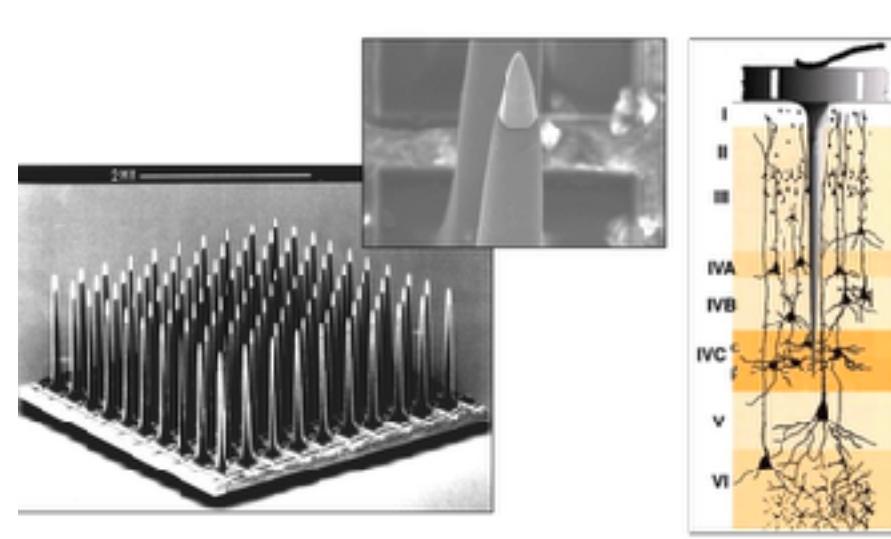
Stereo-EEG



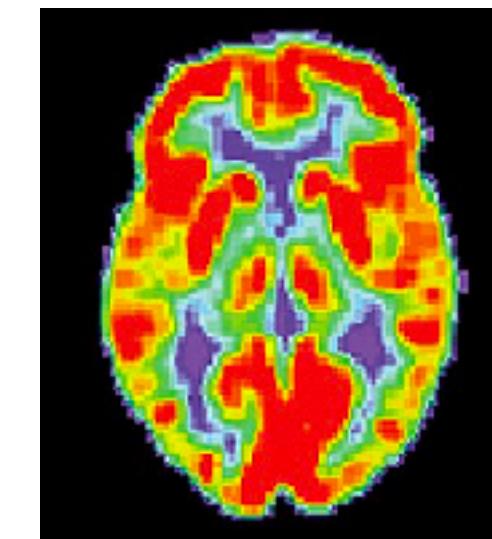
Electrocorticography (ECOG)



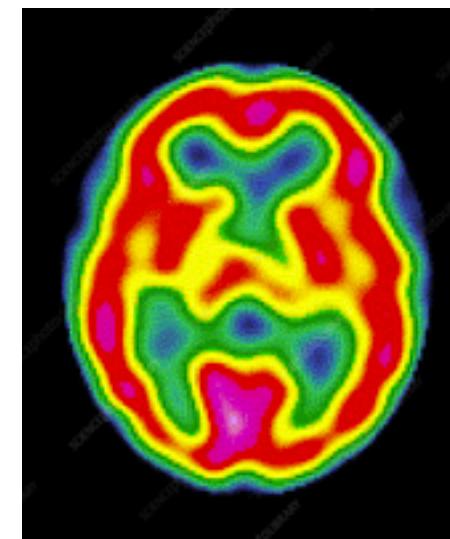
Utah array



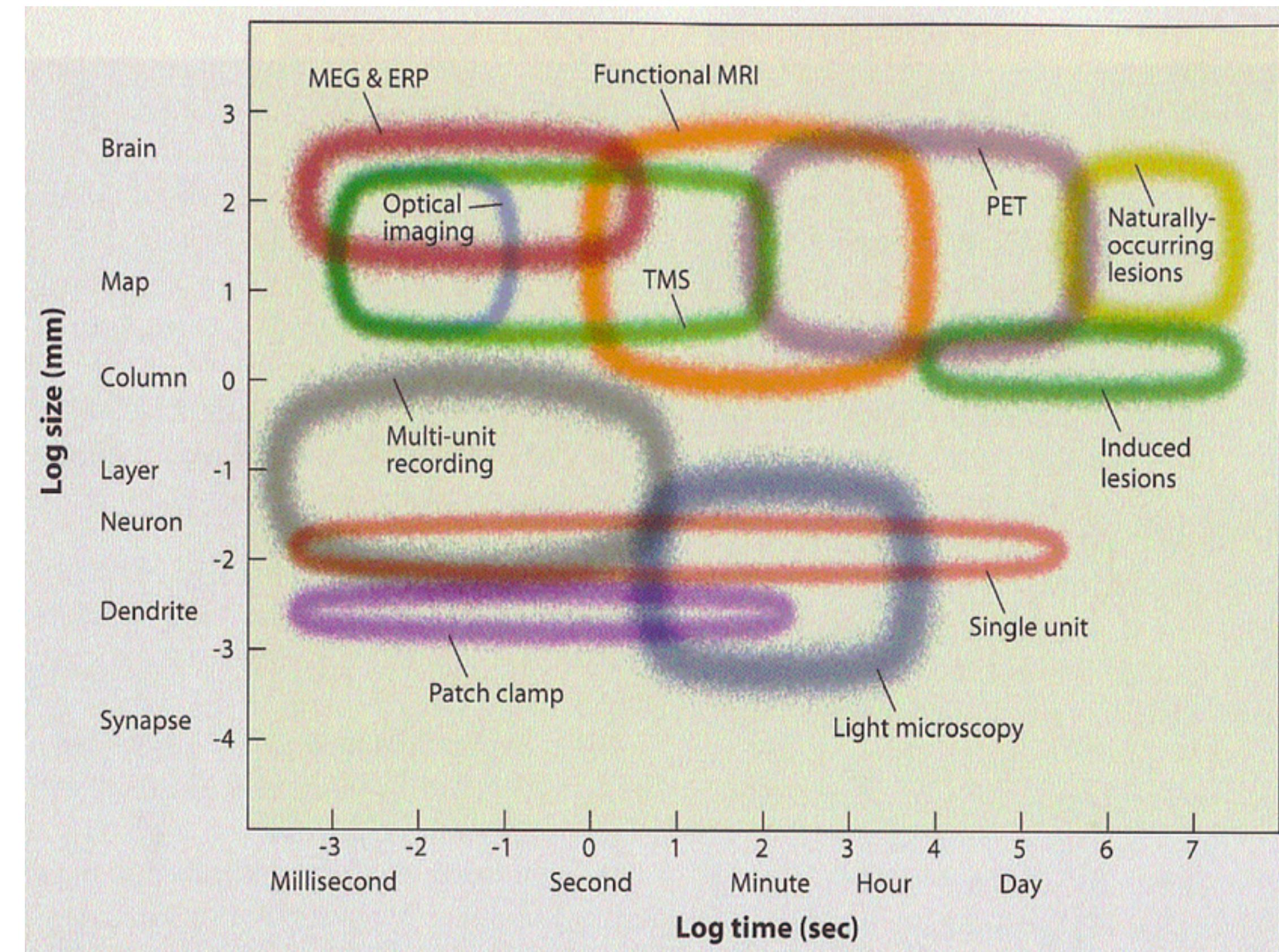
Positron emission tomography (PET)



Single-photon emission computed tomography (SPECT)



# Comparison between methods



# Background - ripple

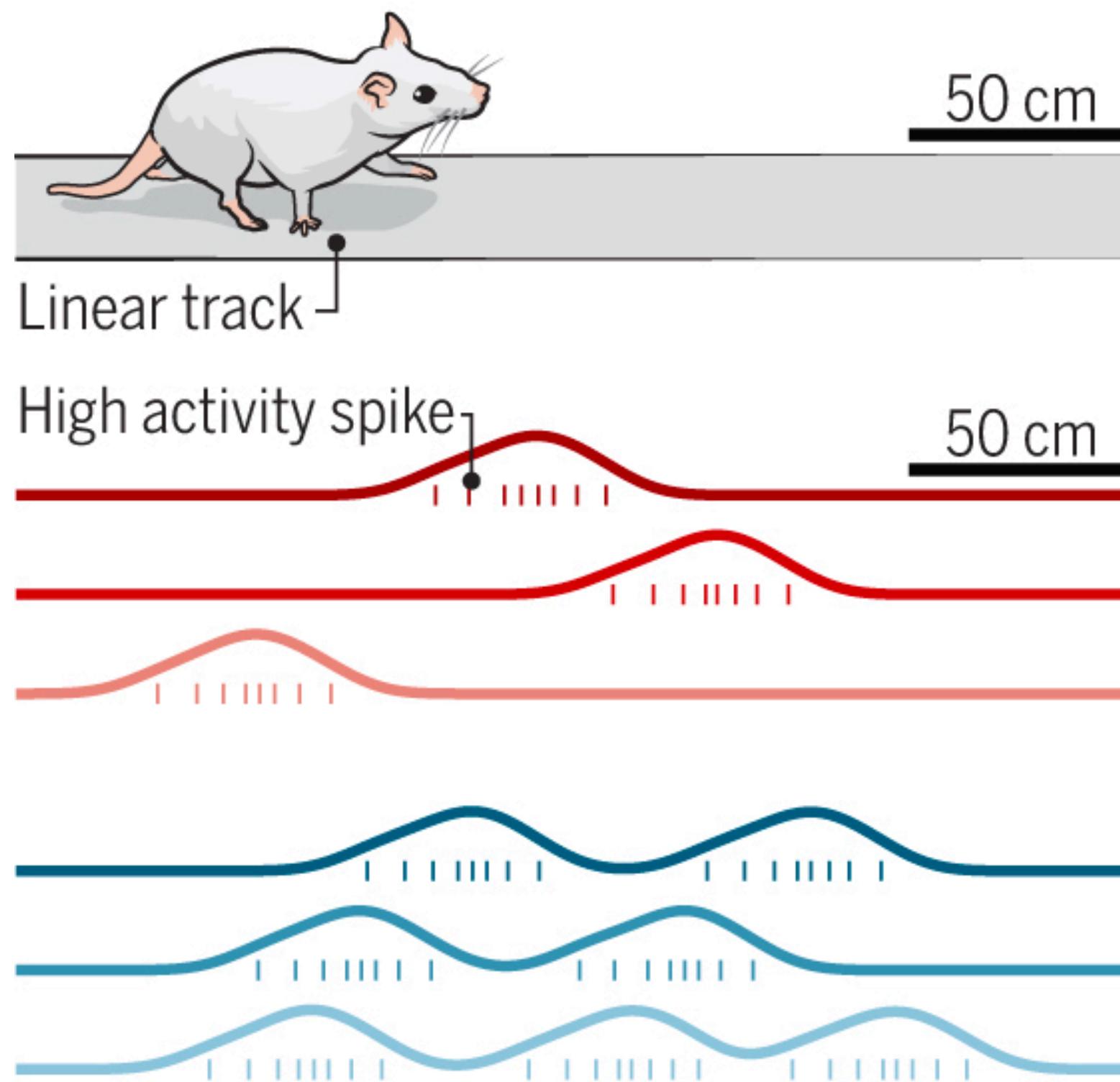
- First found in hippocampus
- Large amplitude sharp waves in local field potential and associated fast field oscillations
- Involved in memory consolidation and the replay of wakefulness-acquired memory



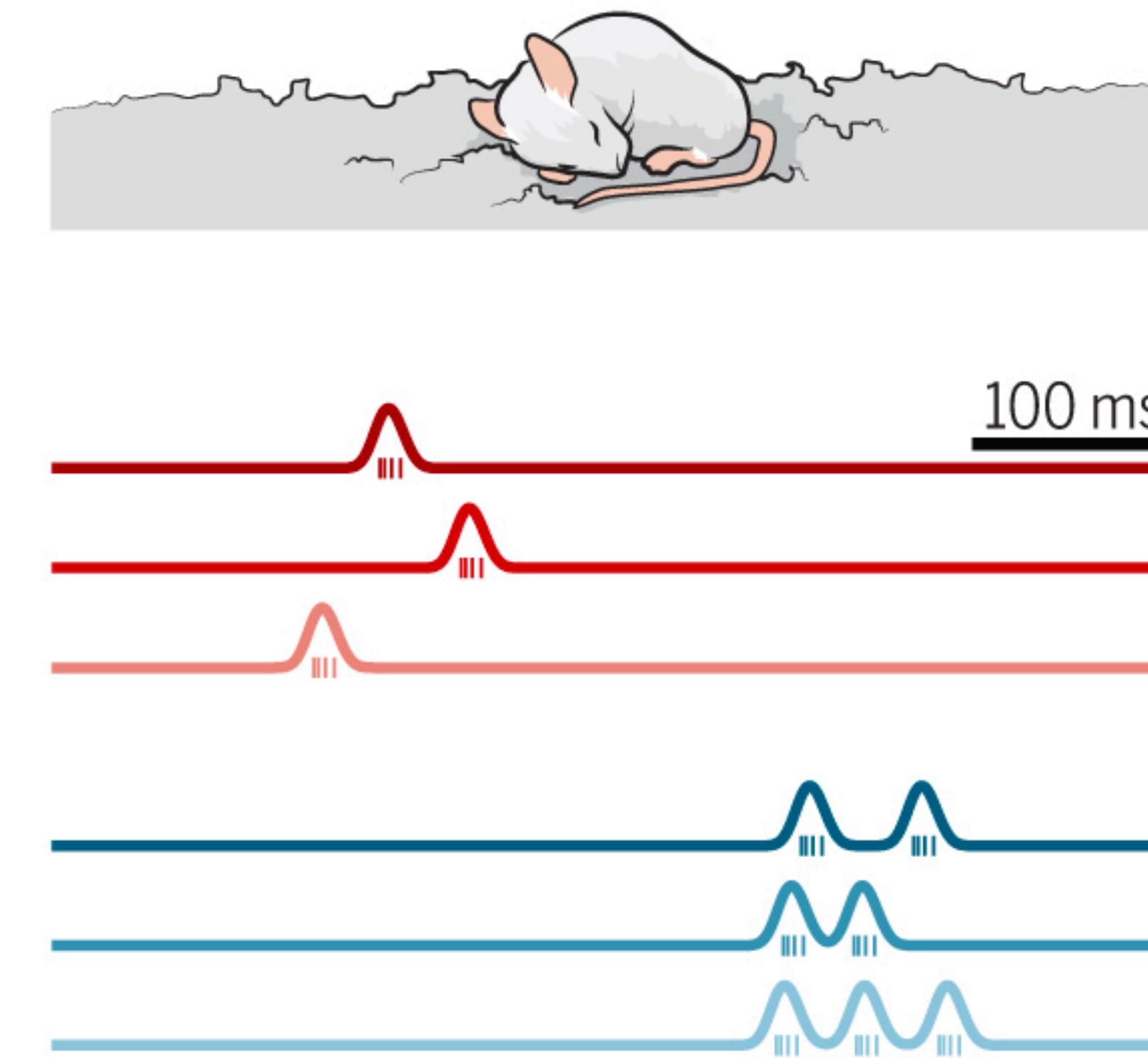
# Background - rodents spatial memory

- Place cell [Hippocampus (HC)]
- Grid cell [Medial entorhinal cortex (MEC)]

**Brain activity during awake behavior**

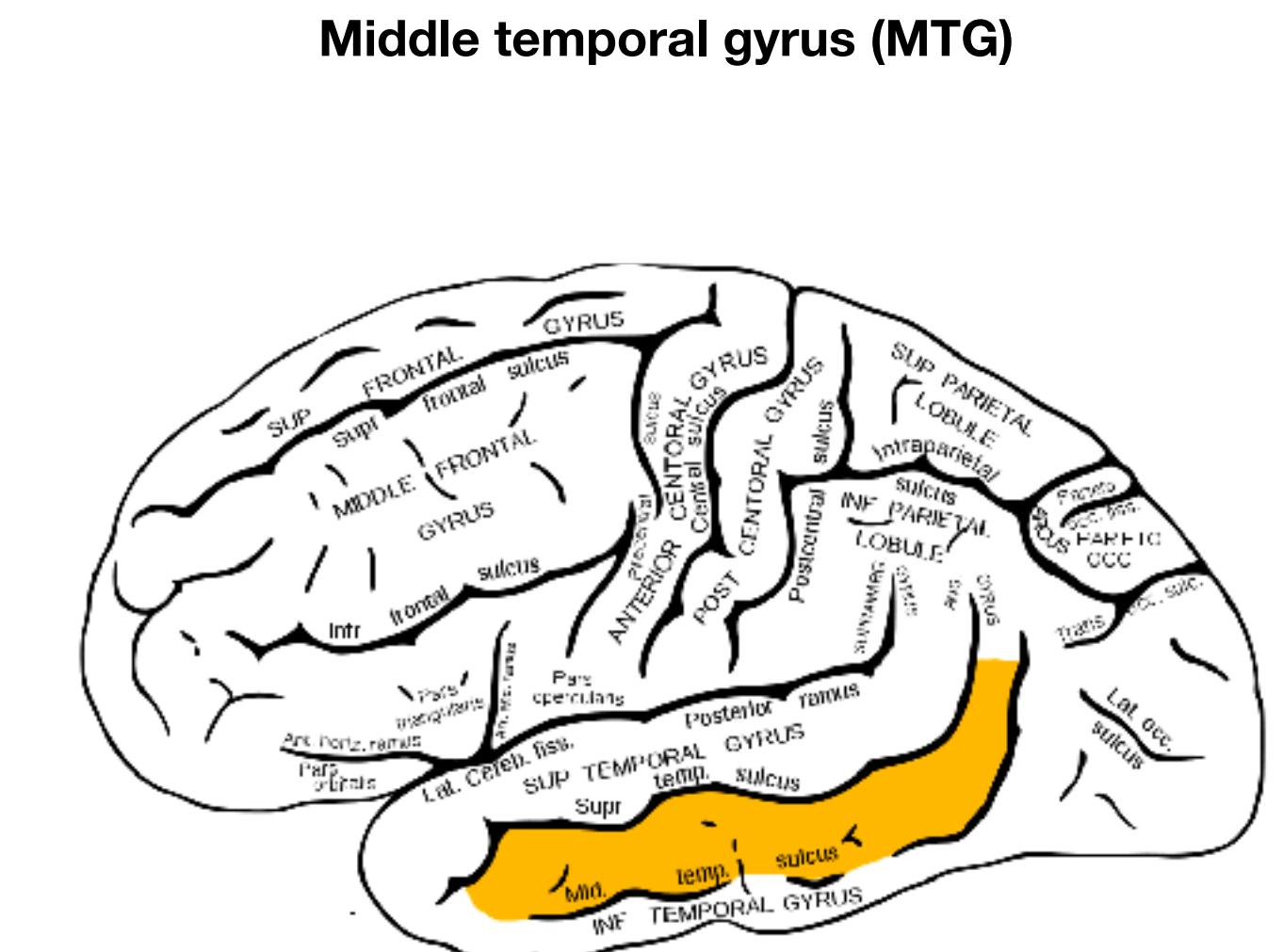
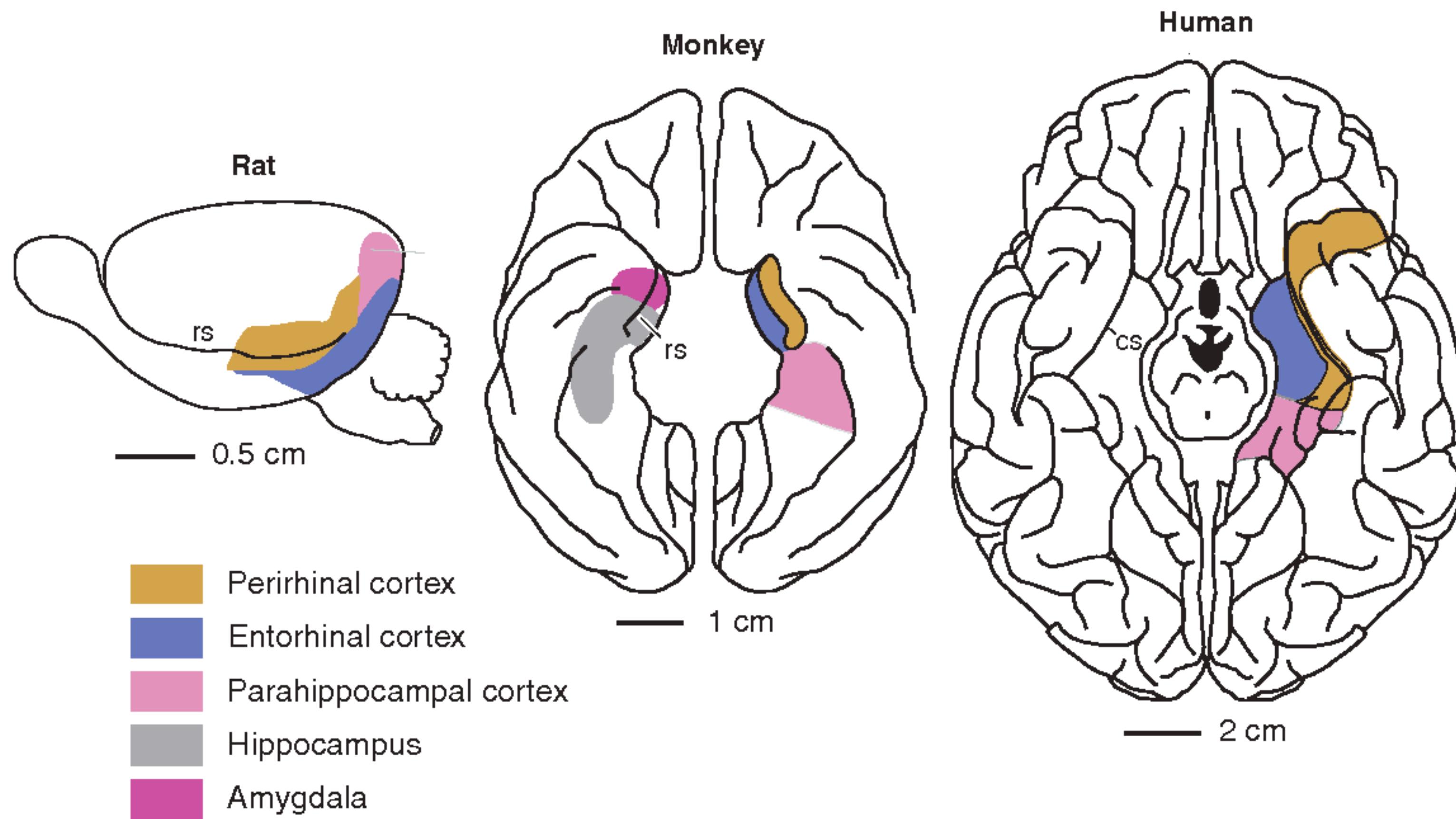


**Brain activity during rest**

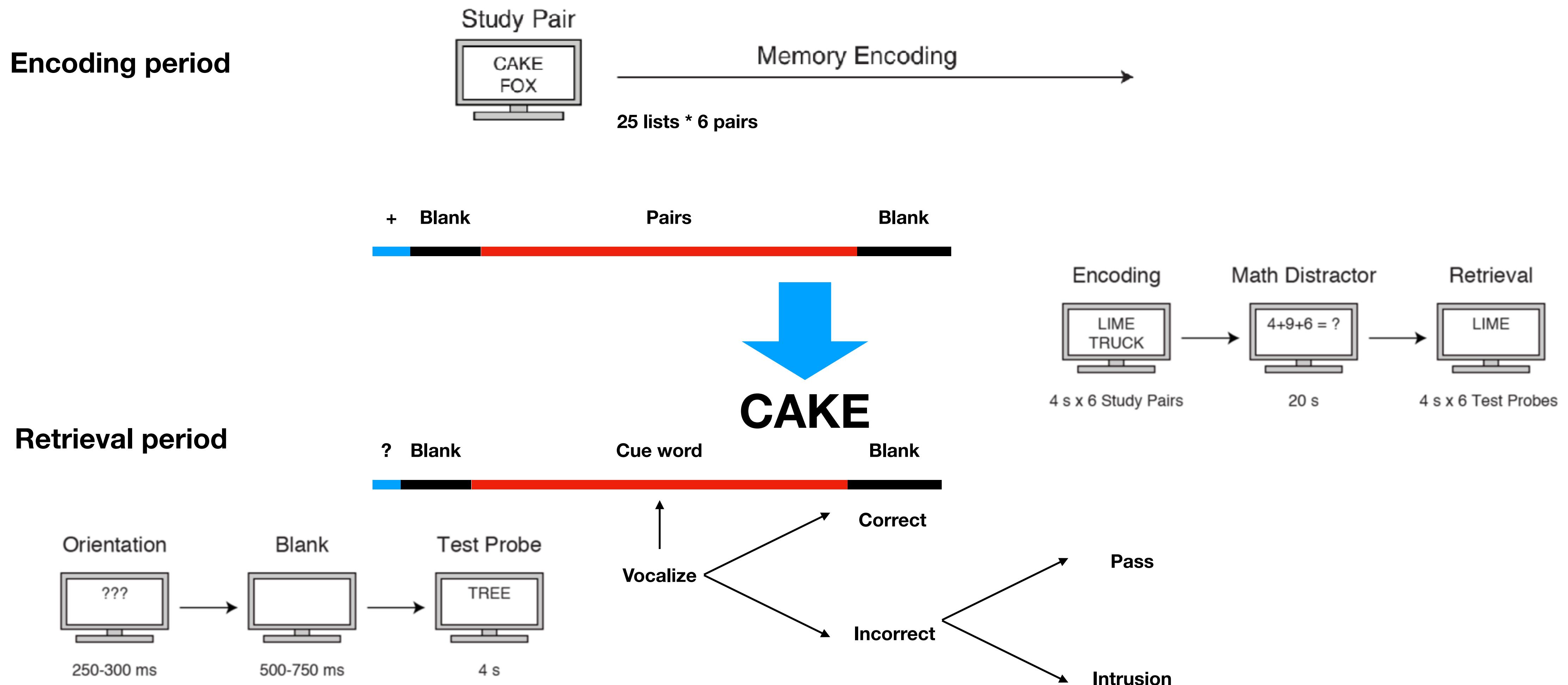


# Background - anatomy

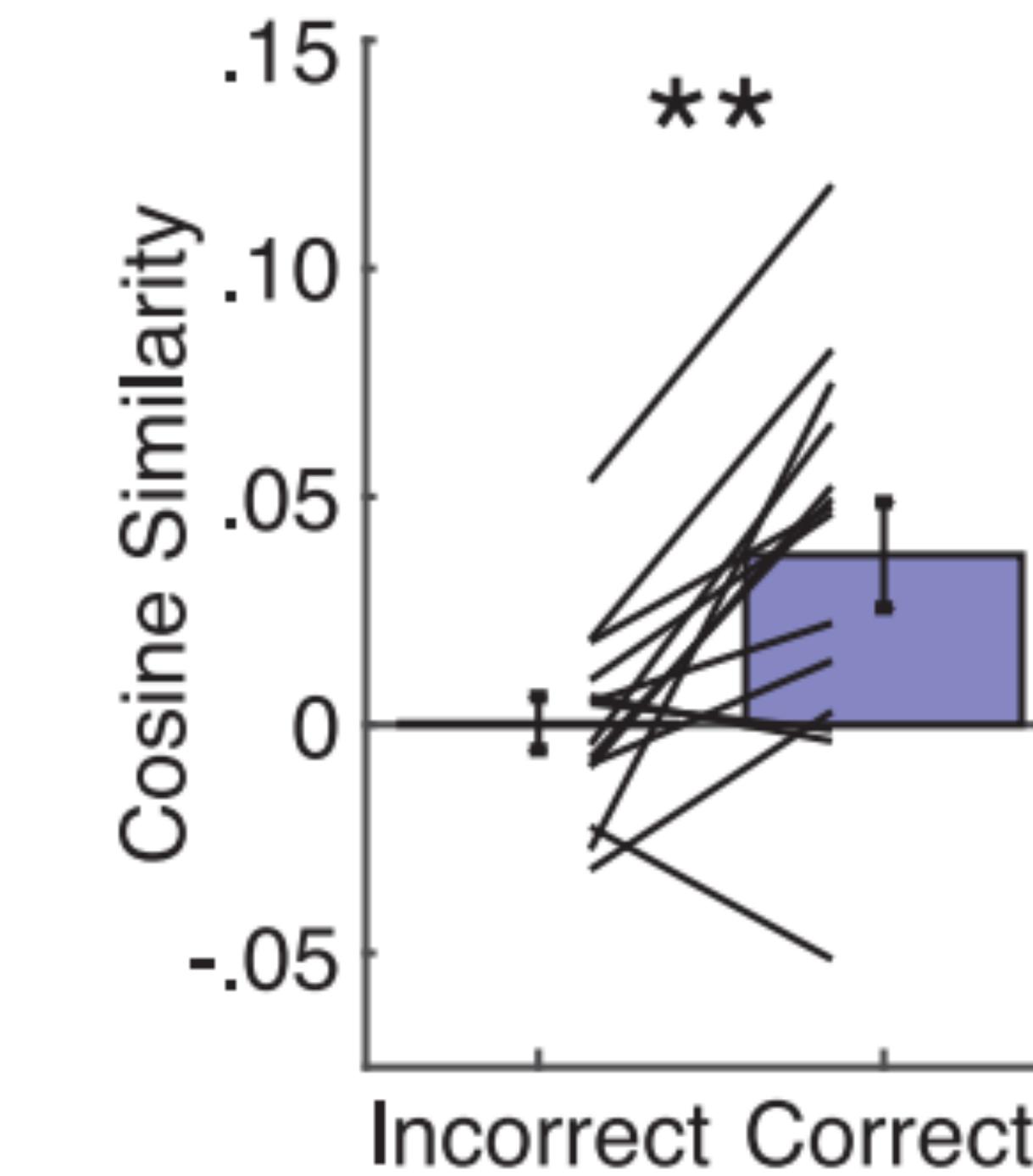
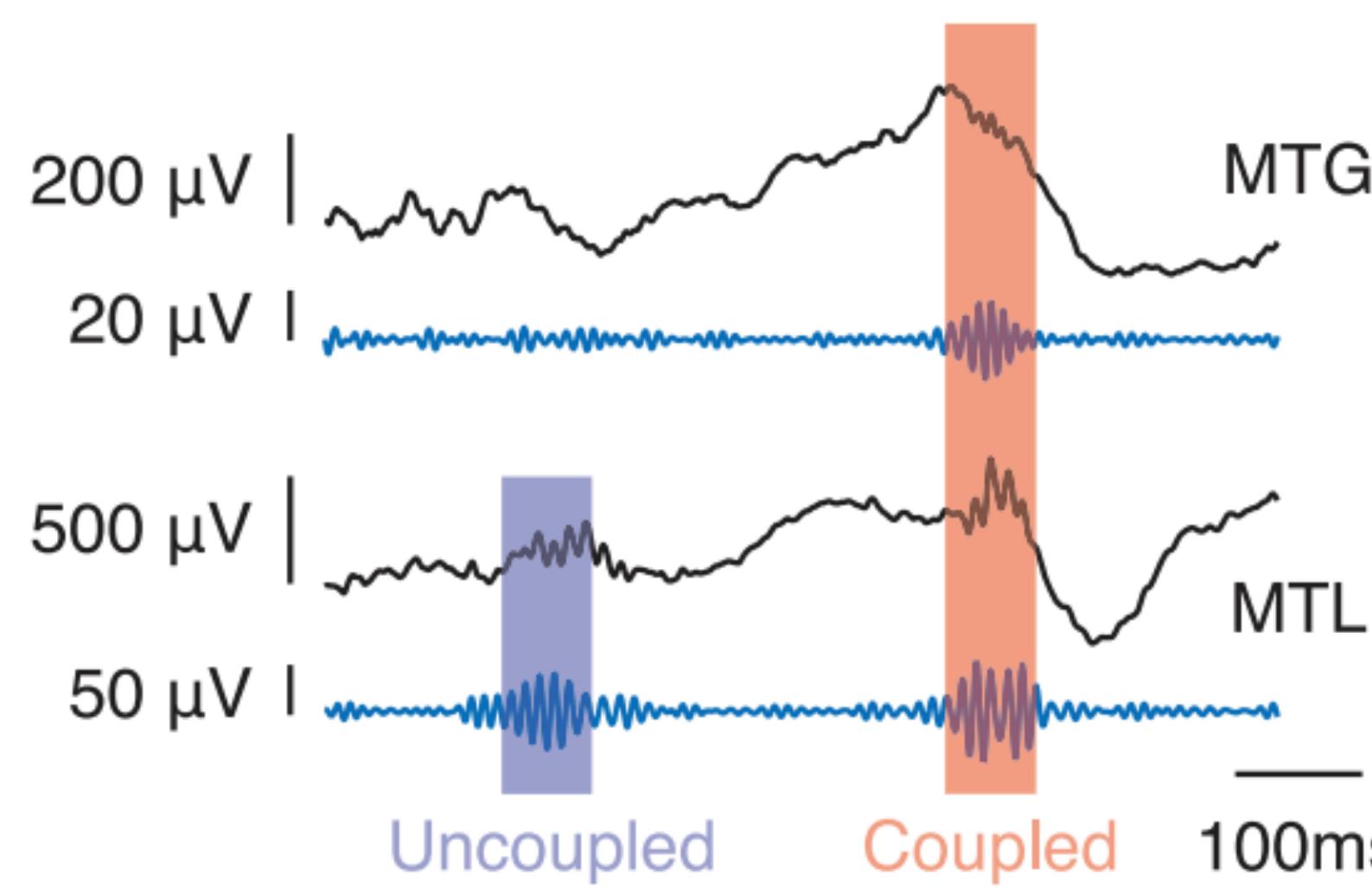
**Medial temporal lobe (MTL)**  
the hippocampus, along with the surrounding hippocampal region consisting of the perirhinal, parahippocampal, and entorhinal neocortical regions



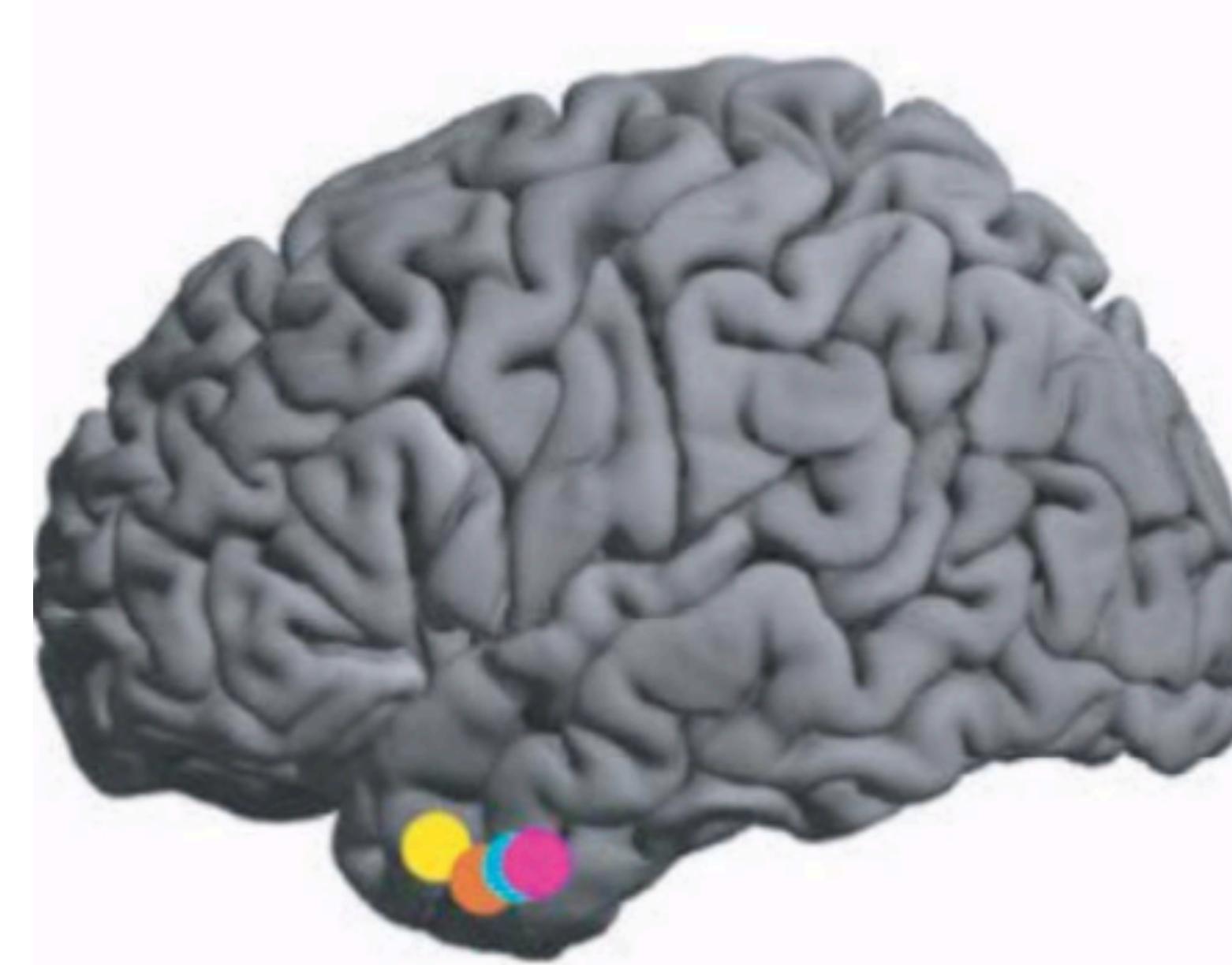
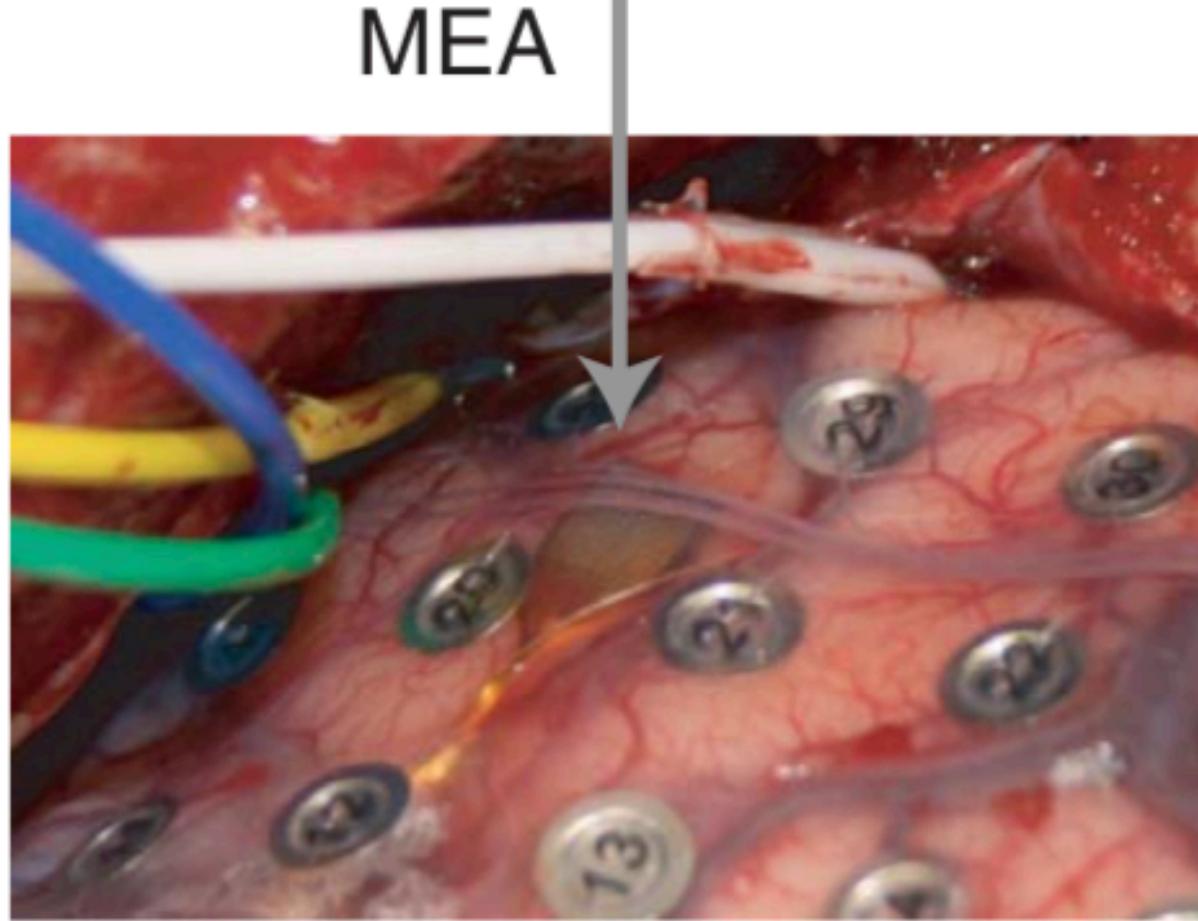
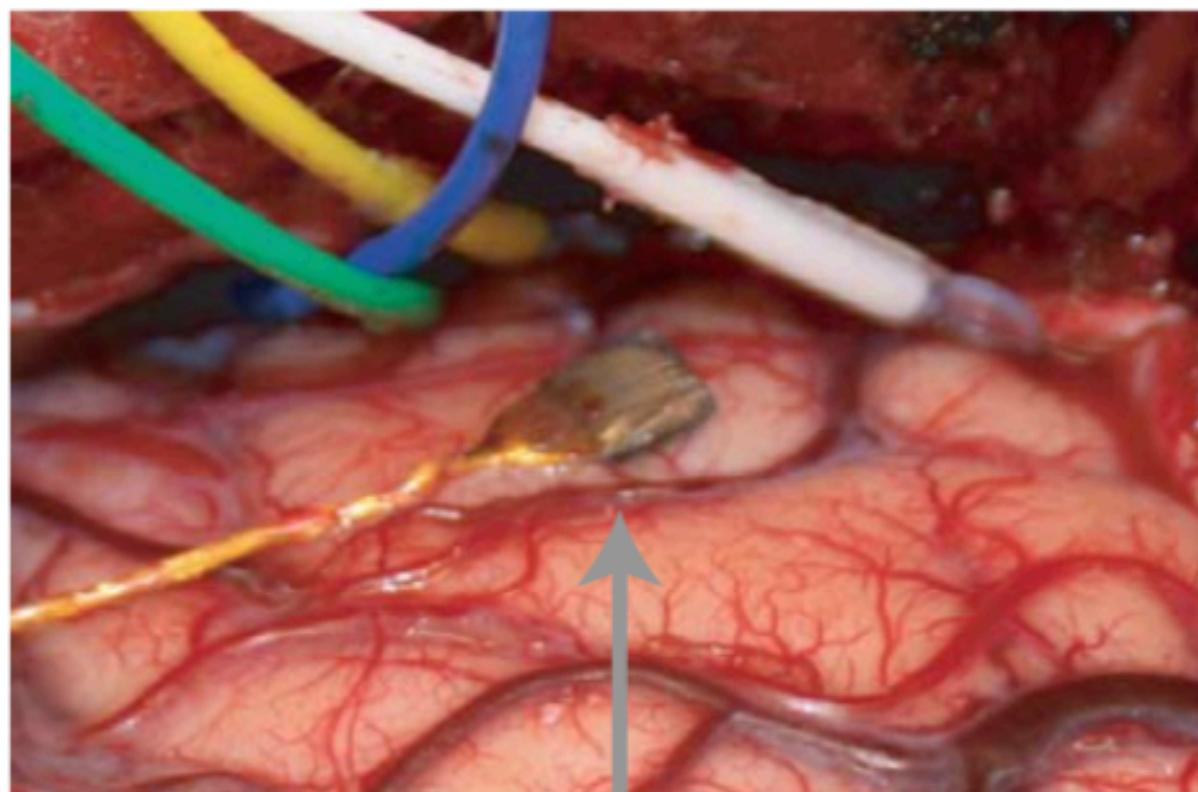
# Paired associated memory task



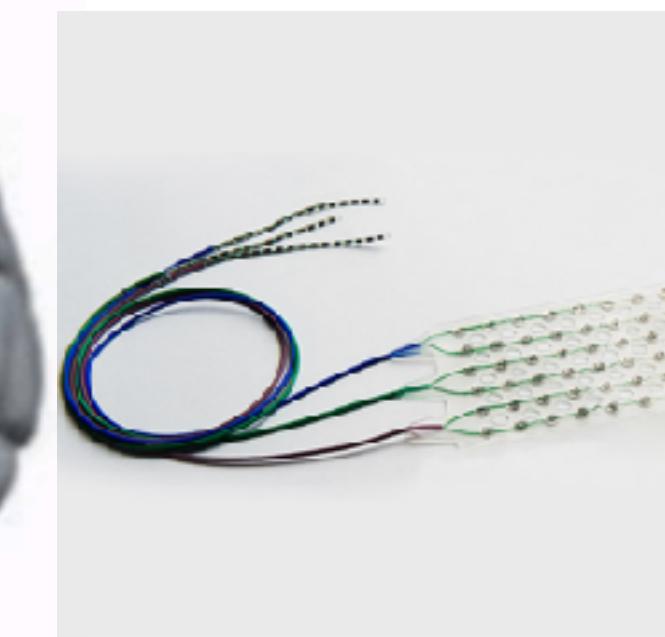
# Previously - coupled ripple oscillations



# Intracranial recordings

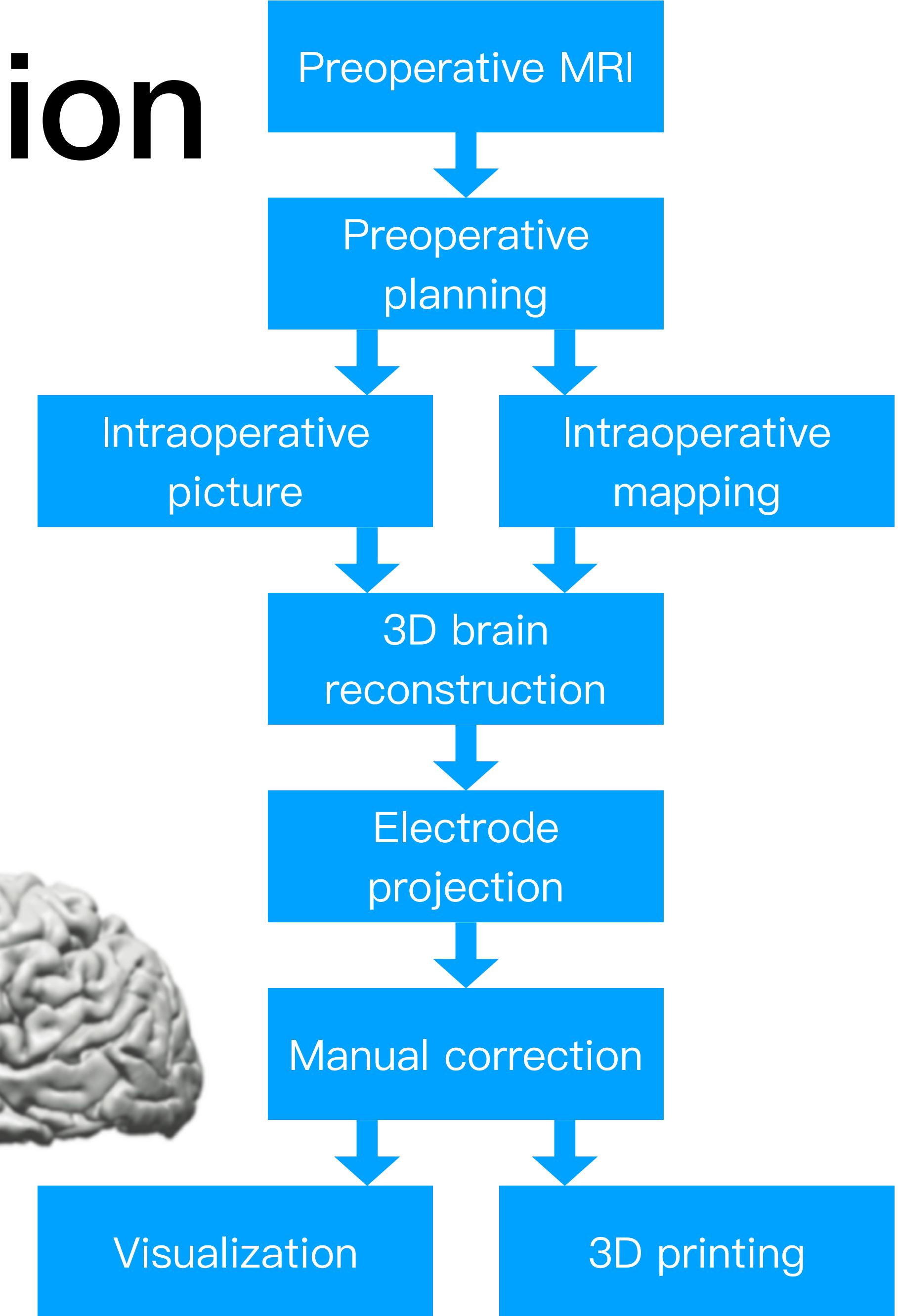
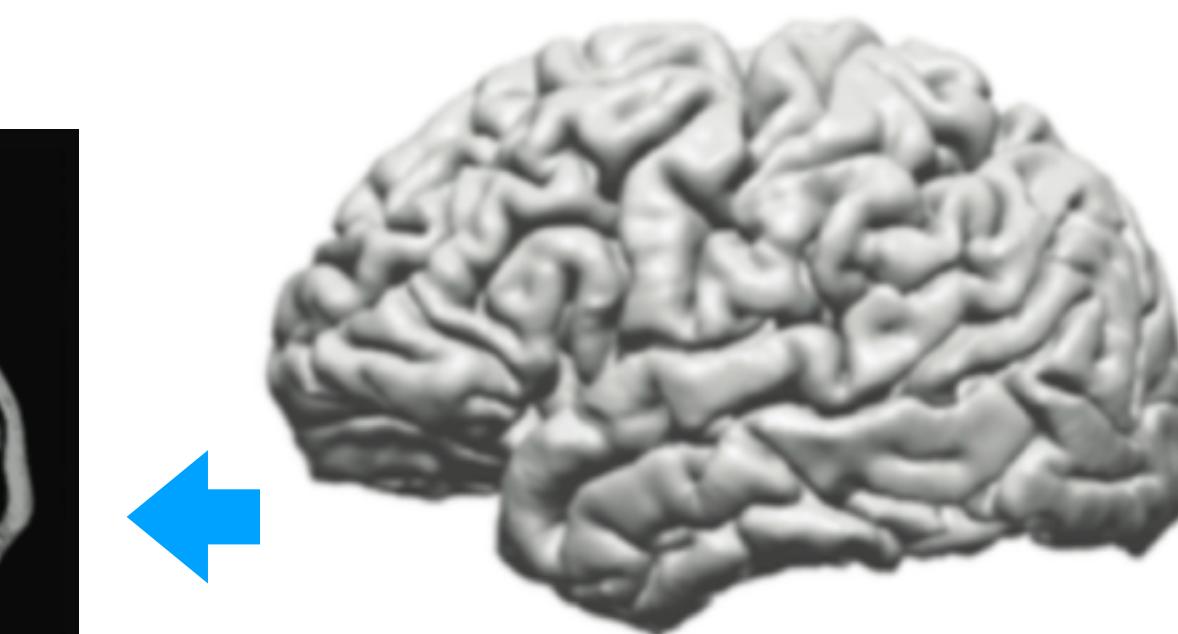
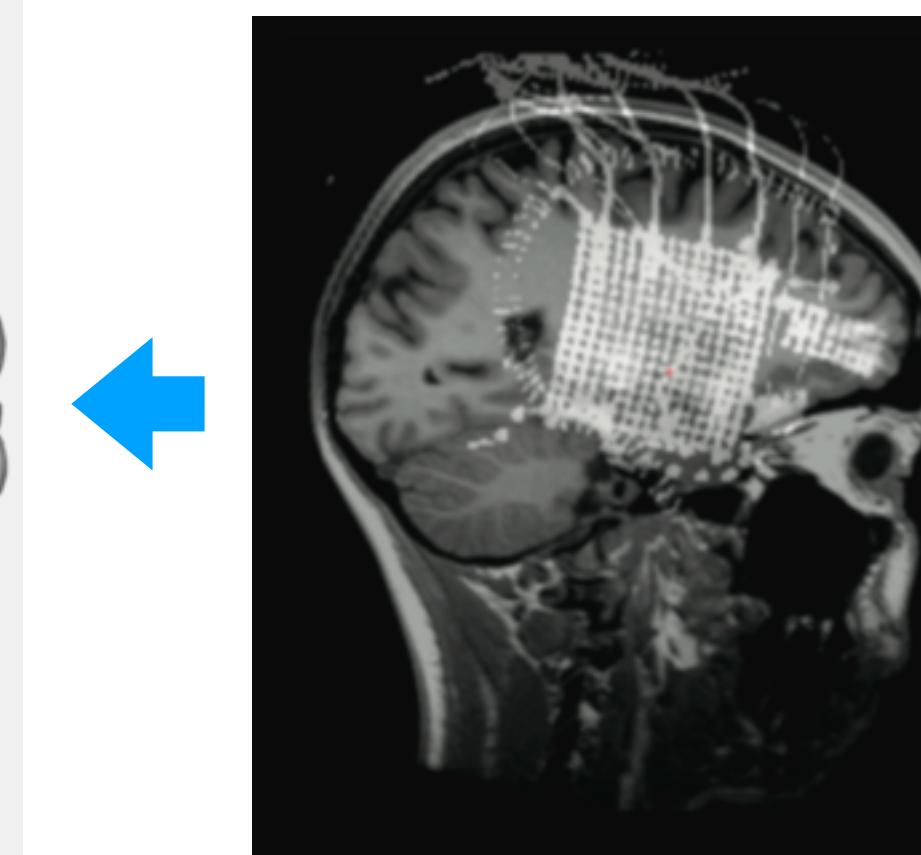
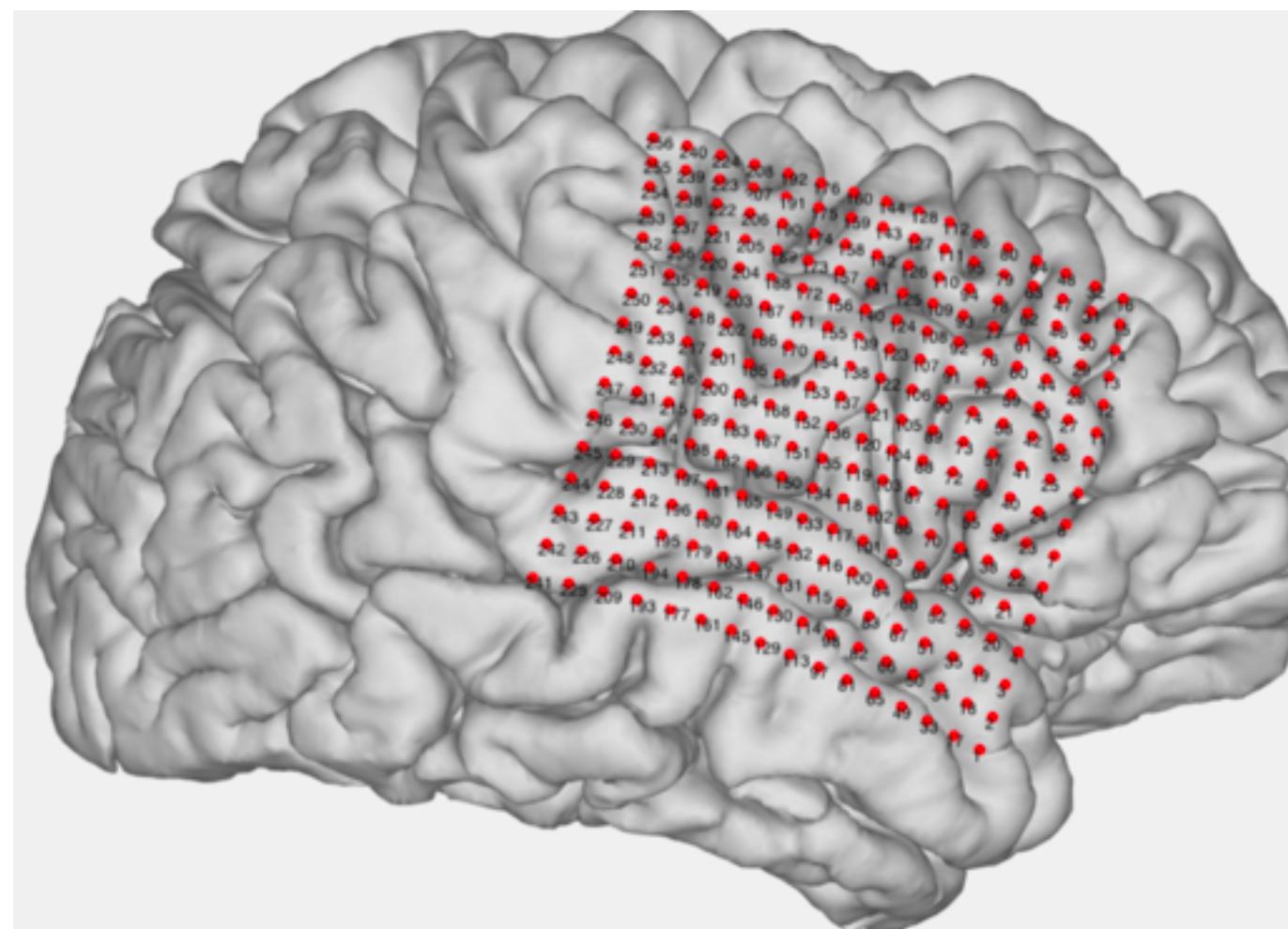
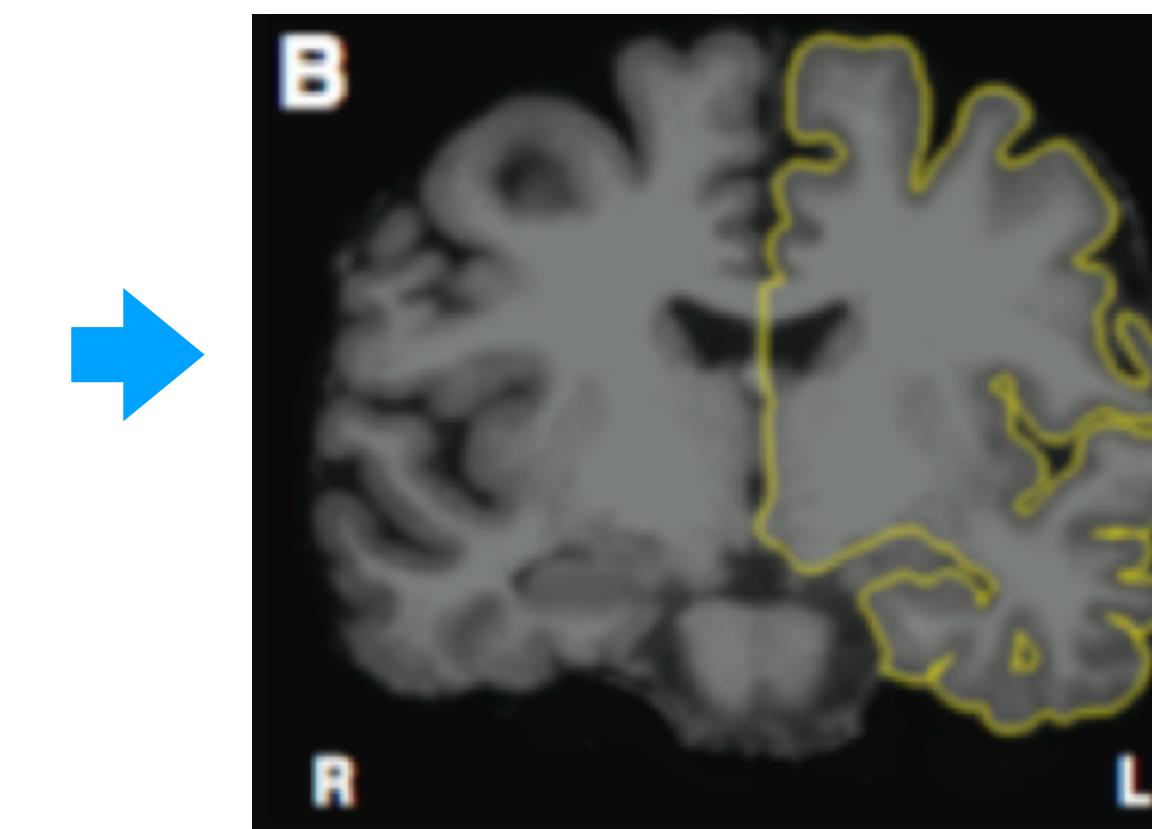
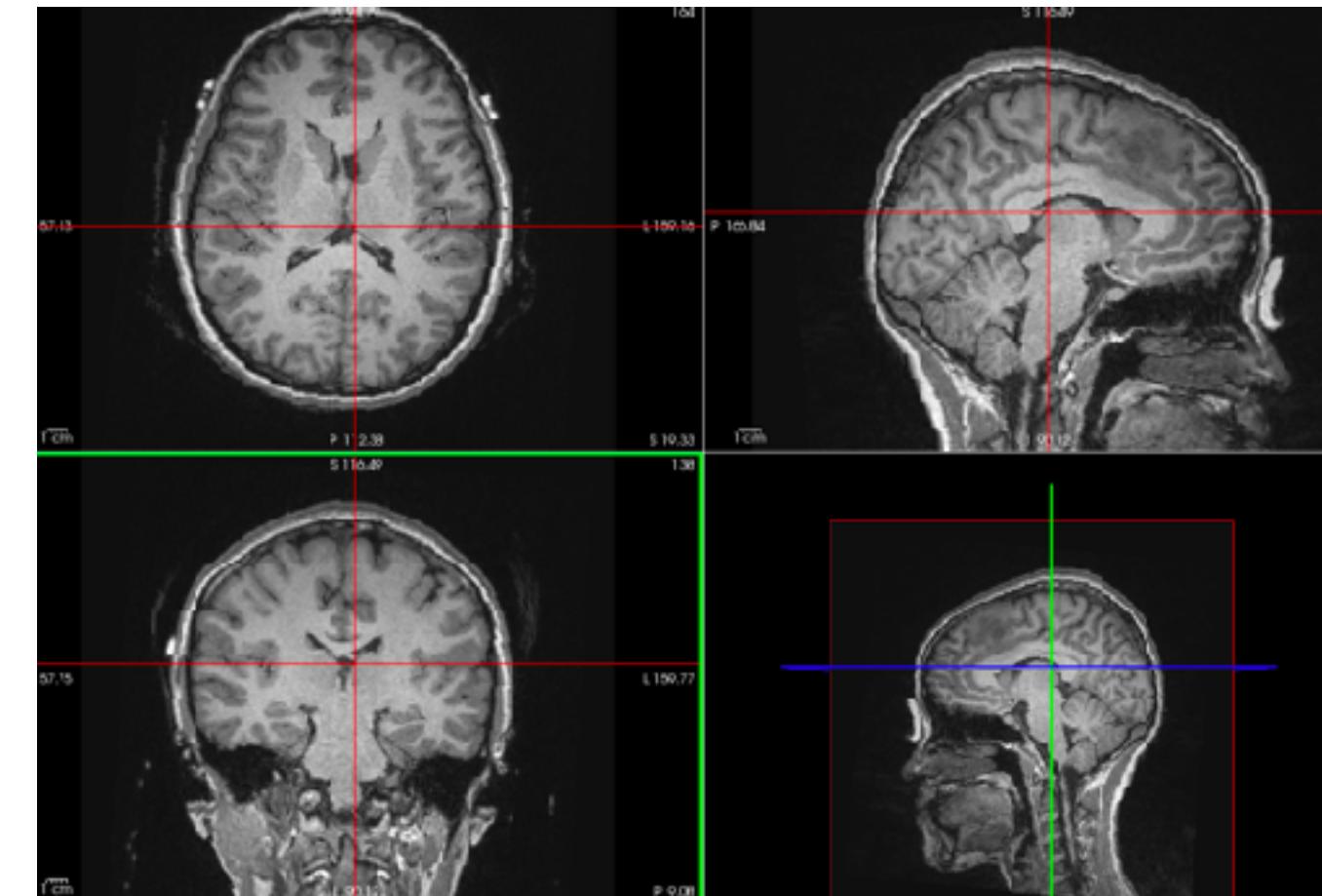


716 subdural and depth recording contacts  
 $119 \pm 16.0$  per participant

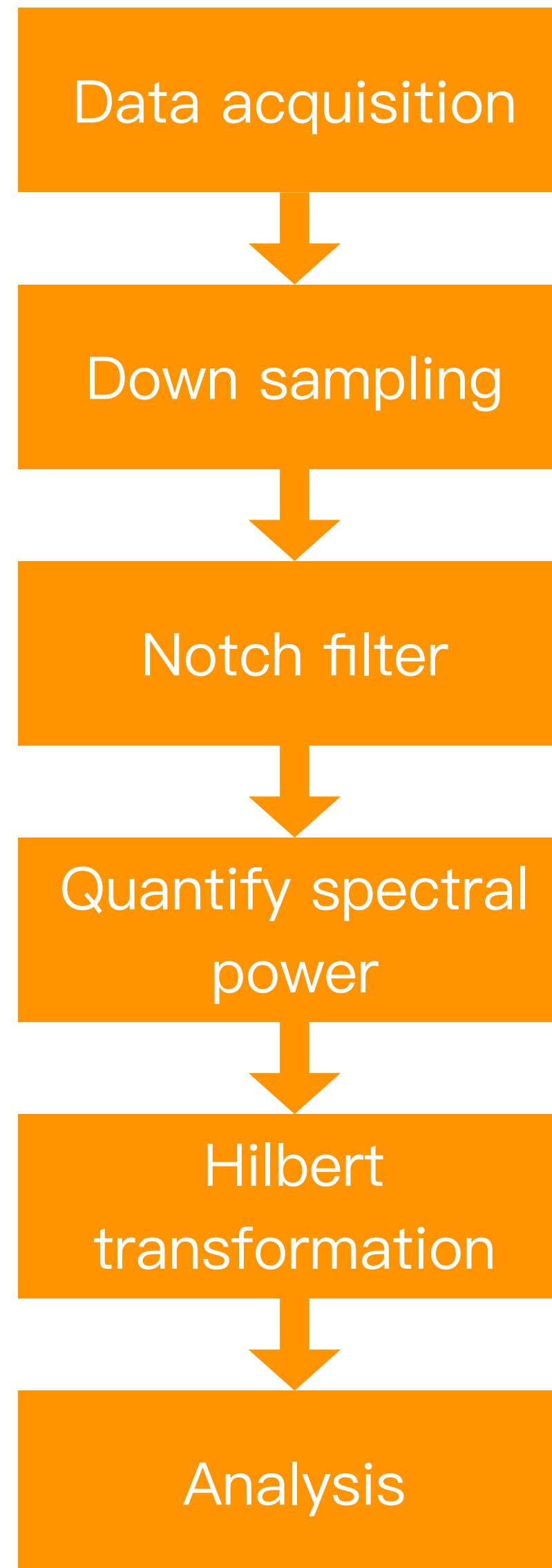


48 subdural contacts  
 $8.0 \pm 0.5$  per participant

# Grid localization



# Data extraction - Macro iEEG



Recording @ 1000Hz or 2000Hz

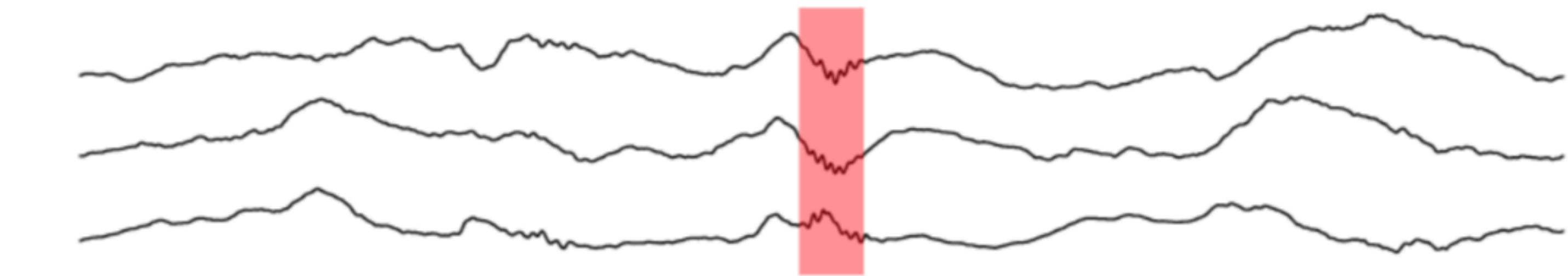
Downsample to 1000Hz

60Hz

Morlet wavelets

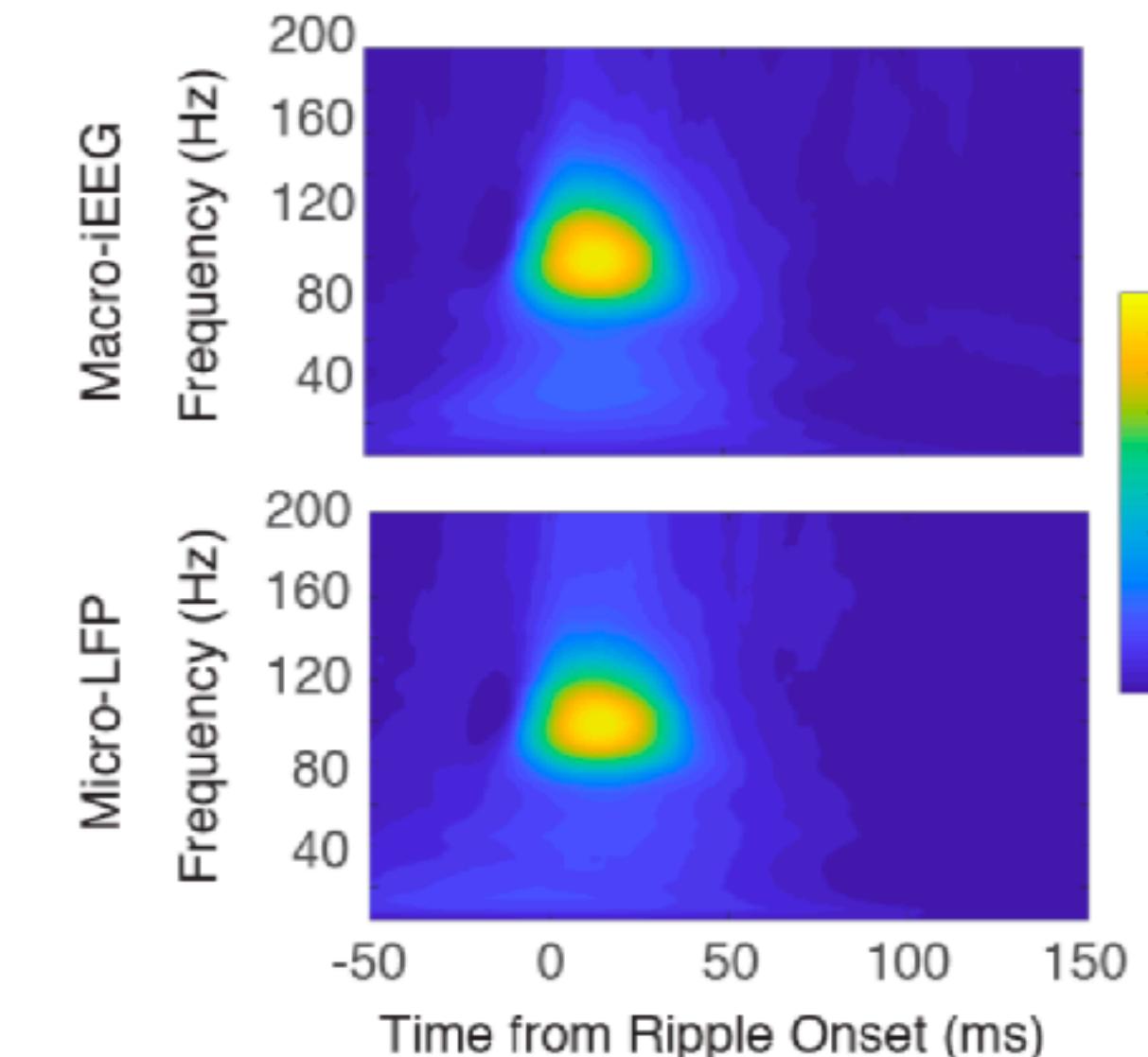
5 and 200 Hz

80Hz - 120Hz  
z-score

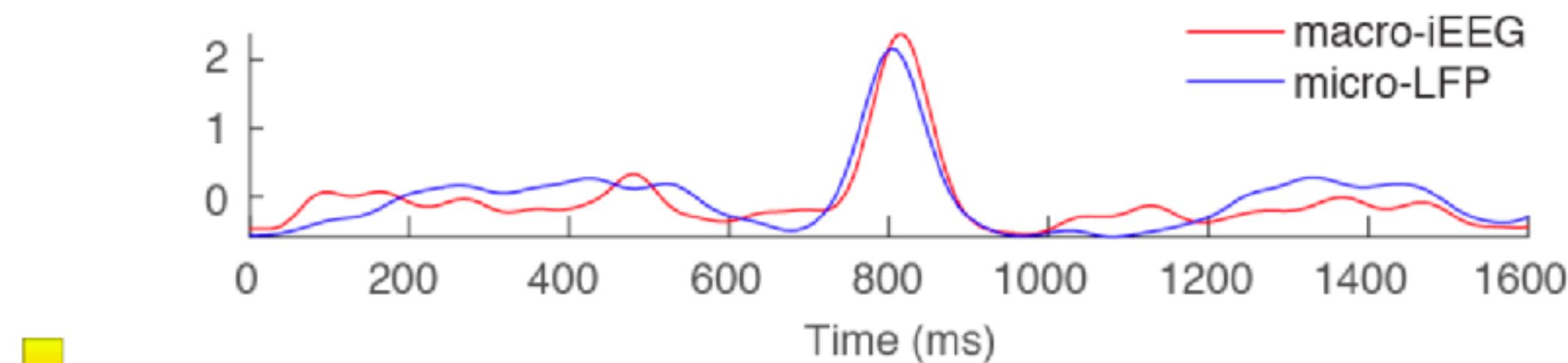
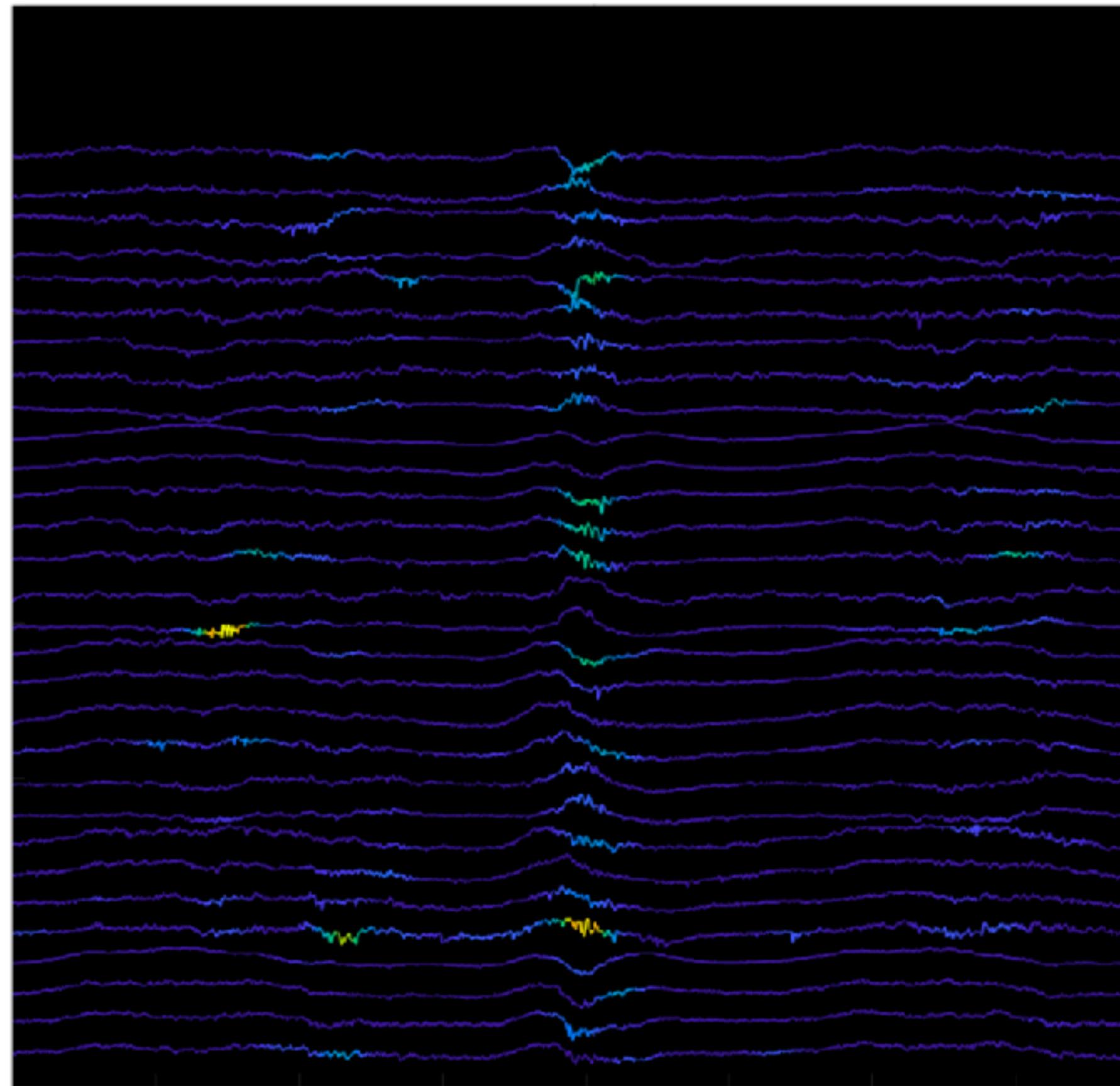


at least 25 ms in duration and had a maximum amplitude greater than 3 standard deviations

Removal of epileptiform activity & other noise

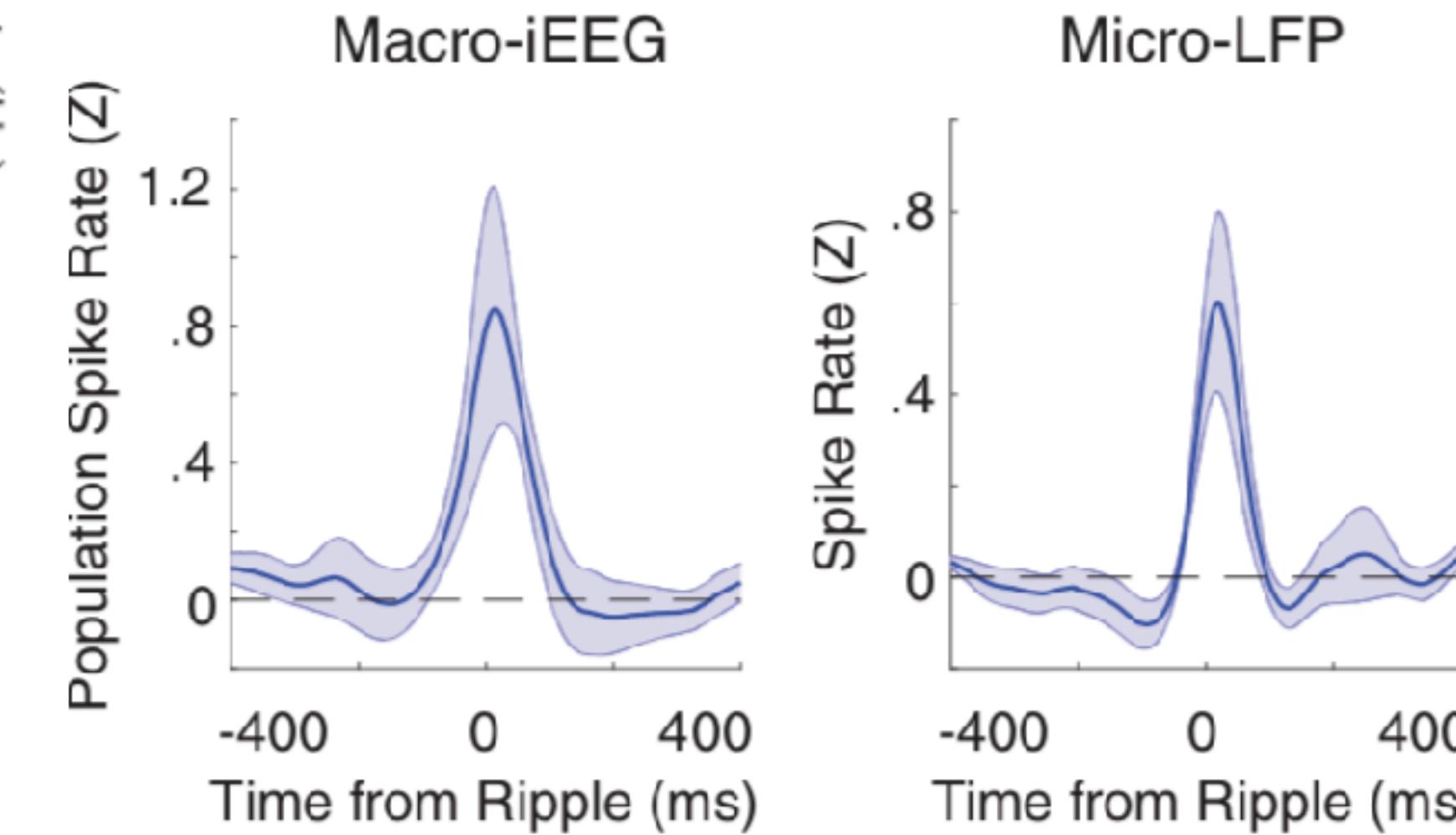


# Data extraction - Micro LFP



**Recording @ 30kHz**

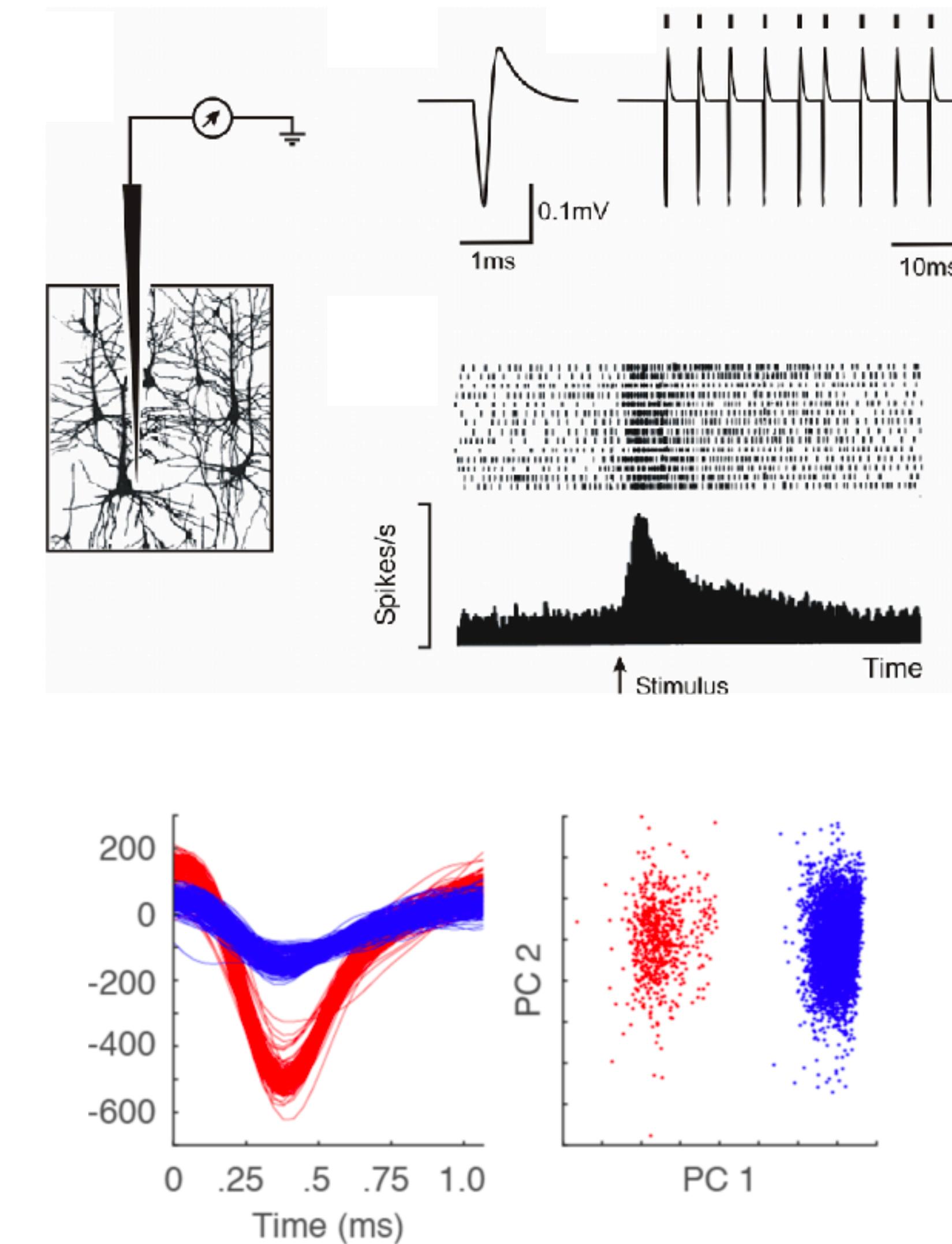
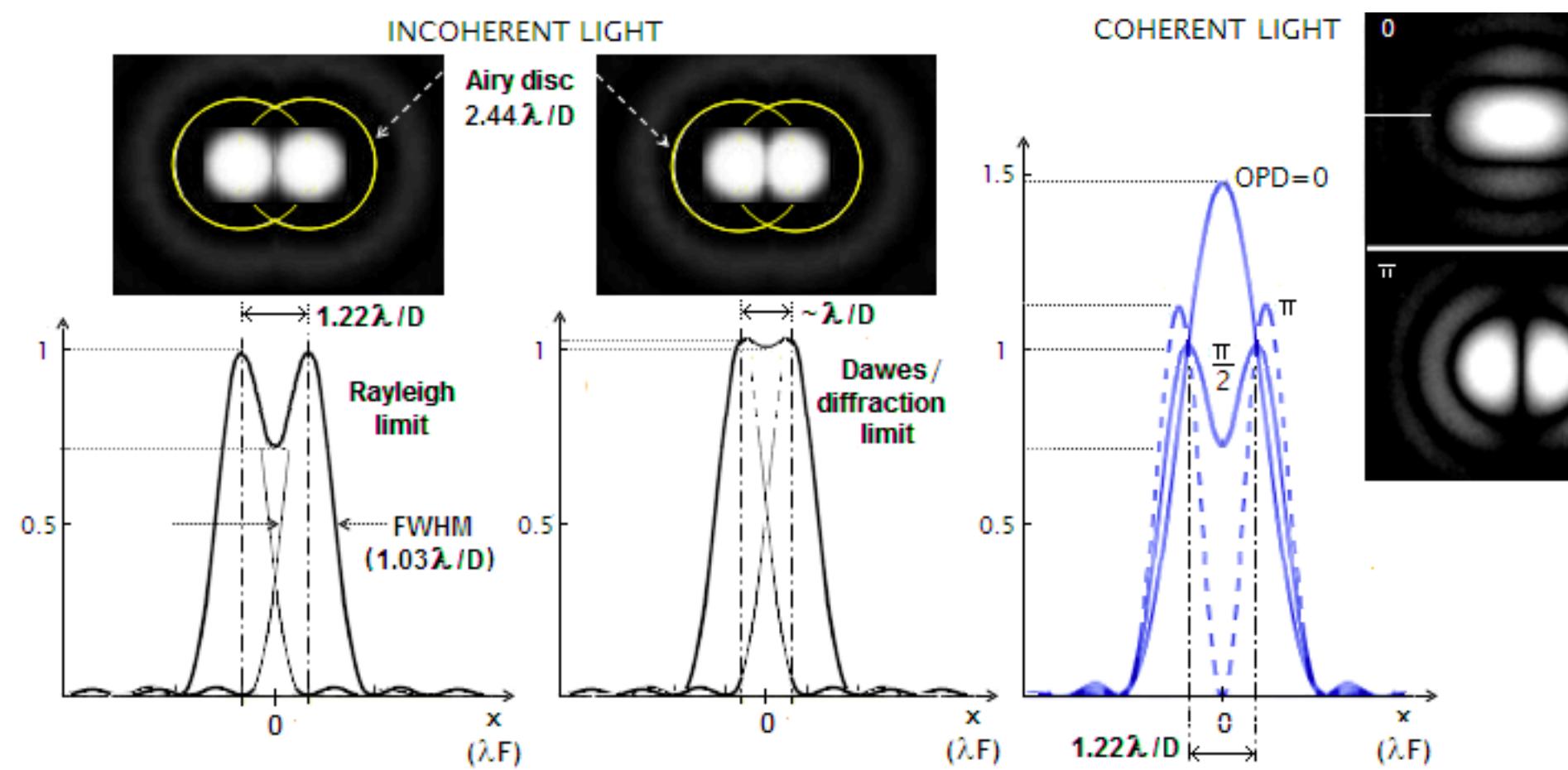
**500 Hz low pass filter**



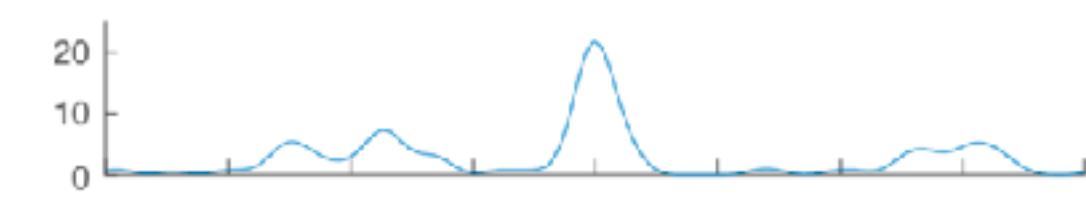
# Data extraction - Single unit

Recording @ 30kHz

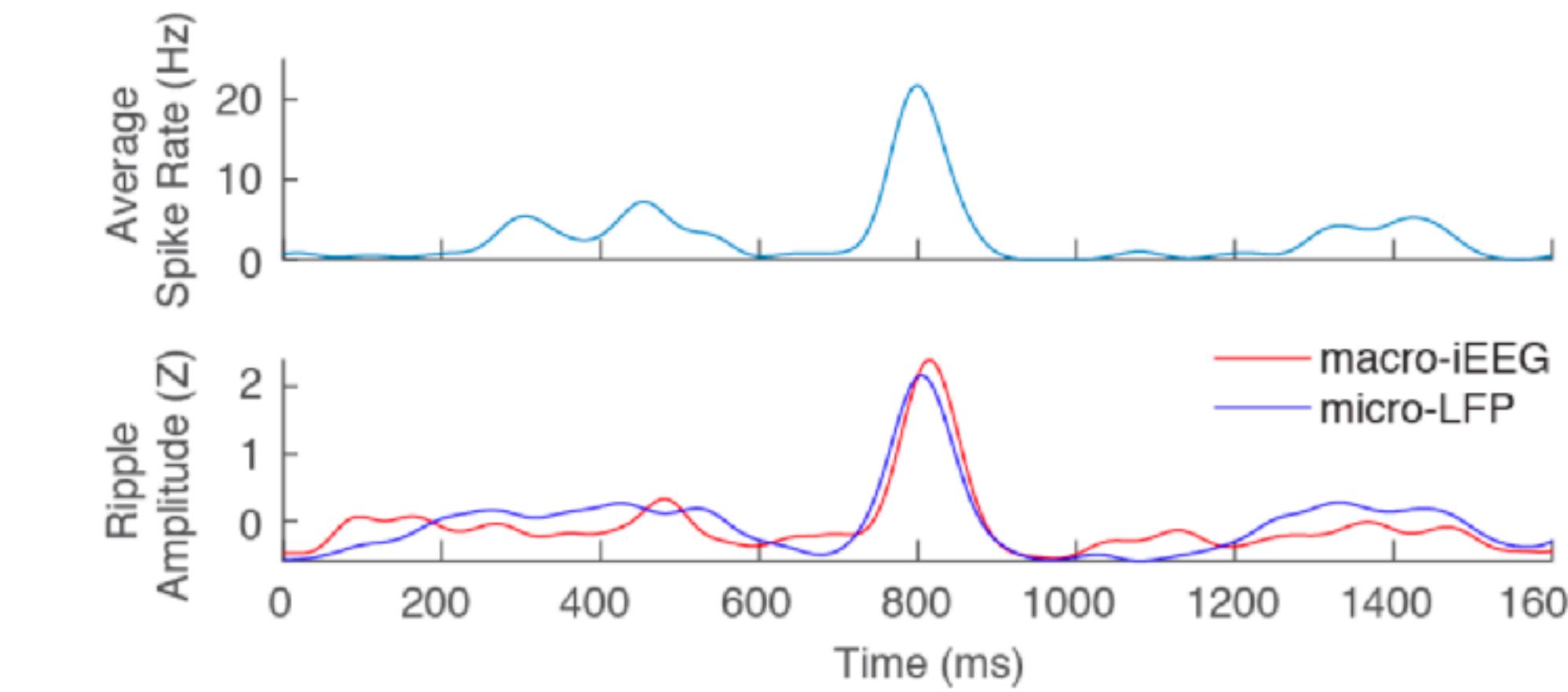
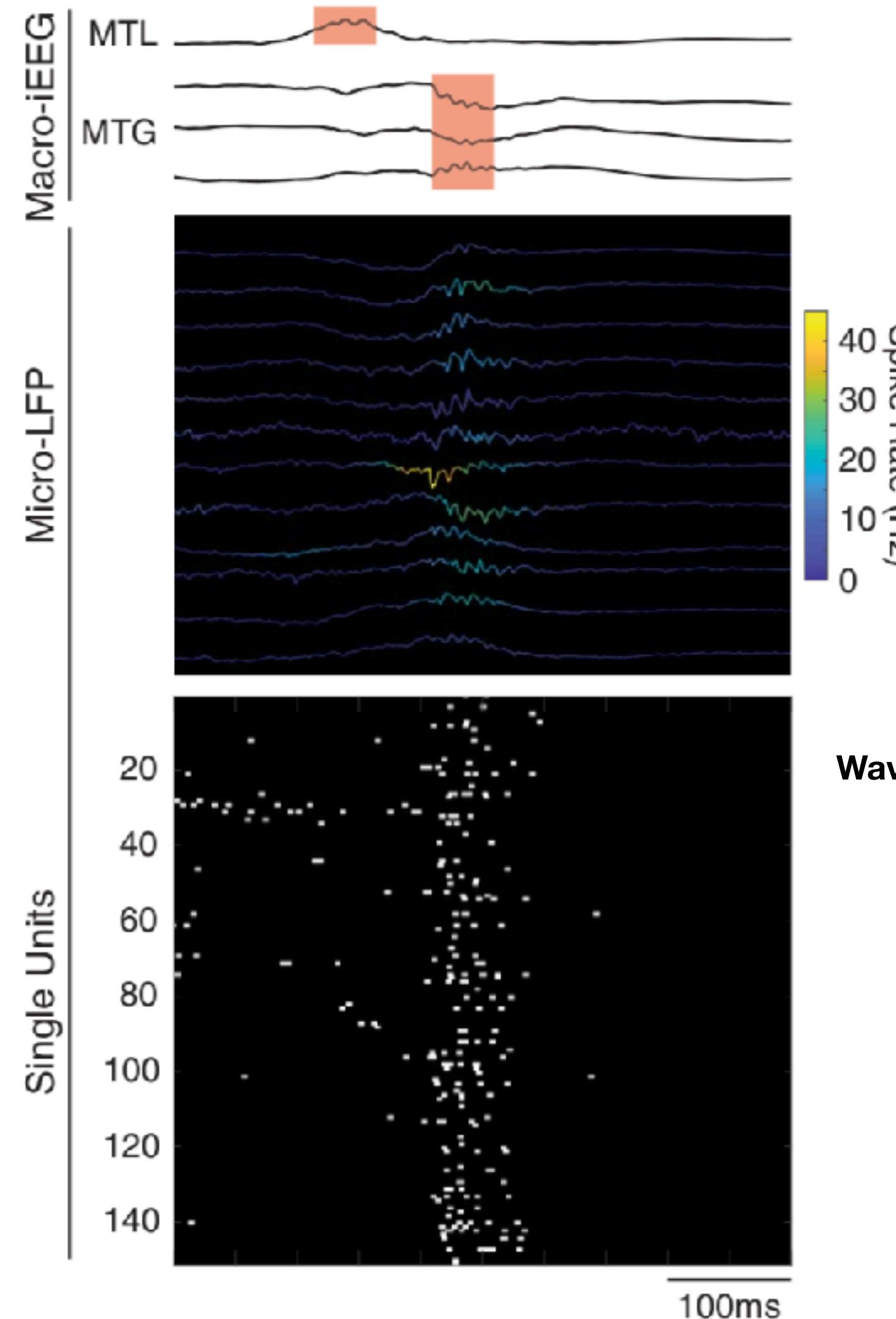
Downsample to 3000Hz



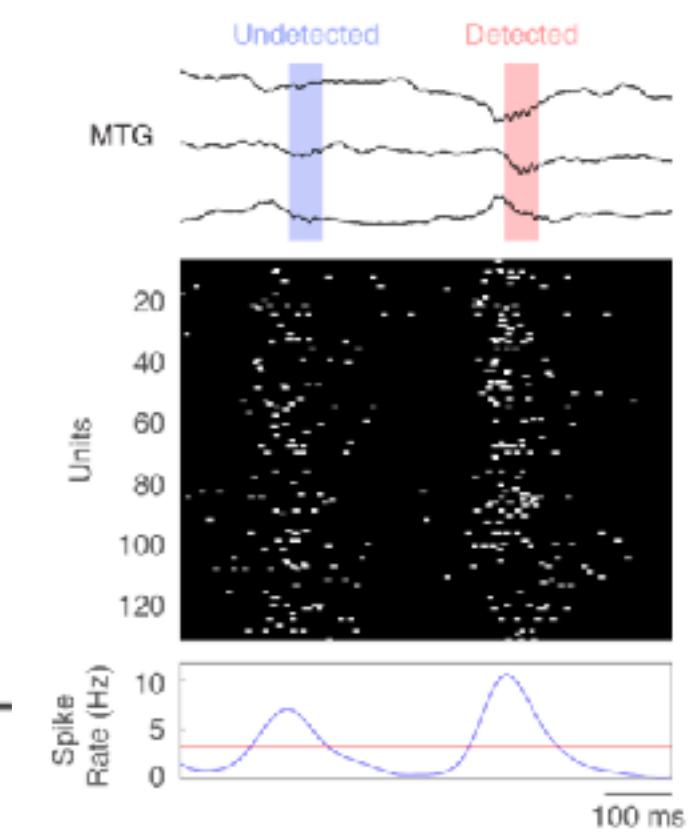
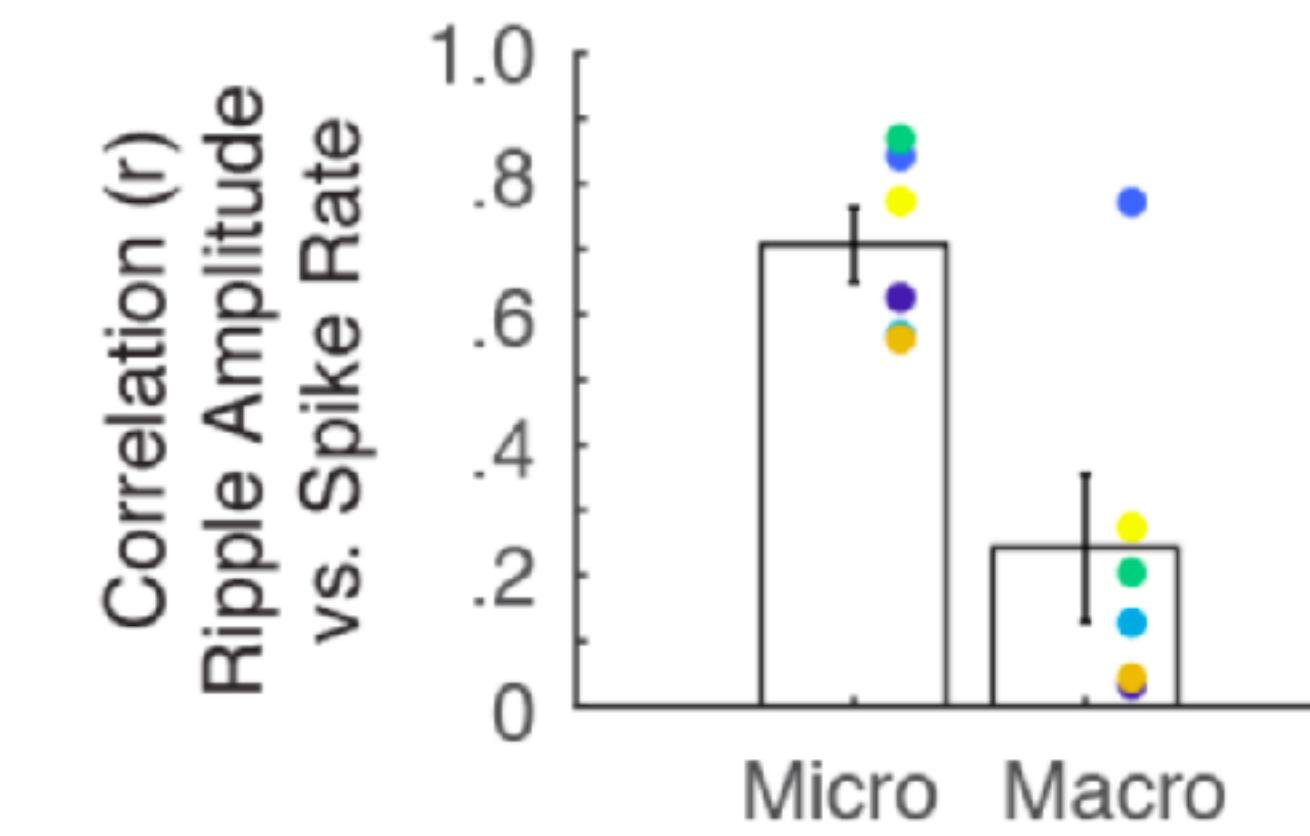
exceeding three standard deviations  
above the mean for at least 25 ms



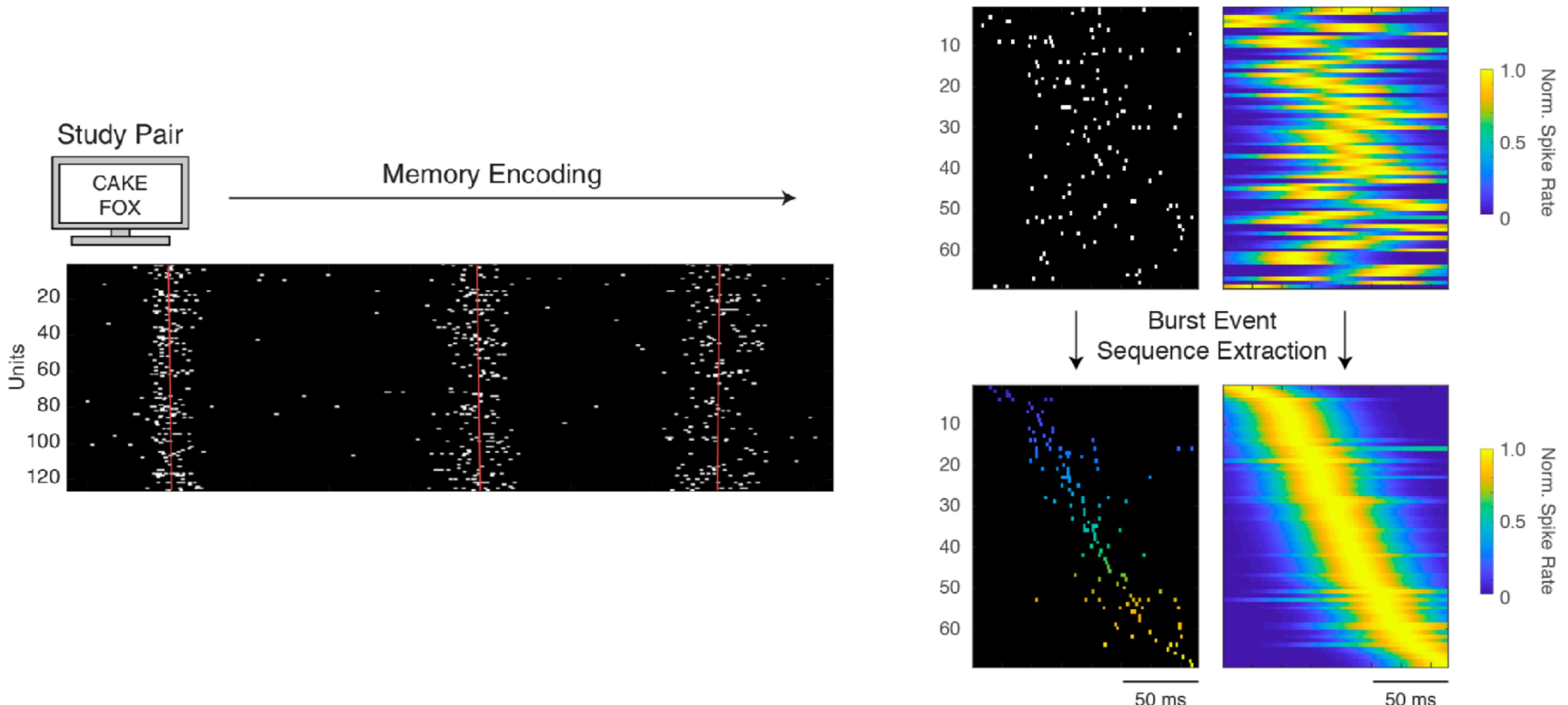
# Correlation among macro iEEG, micro LFP and Single unit



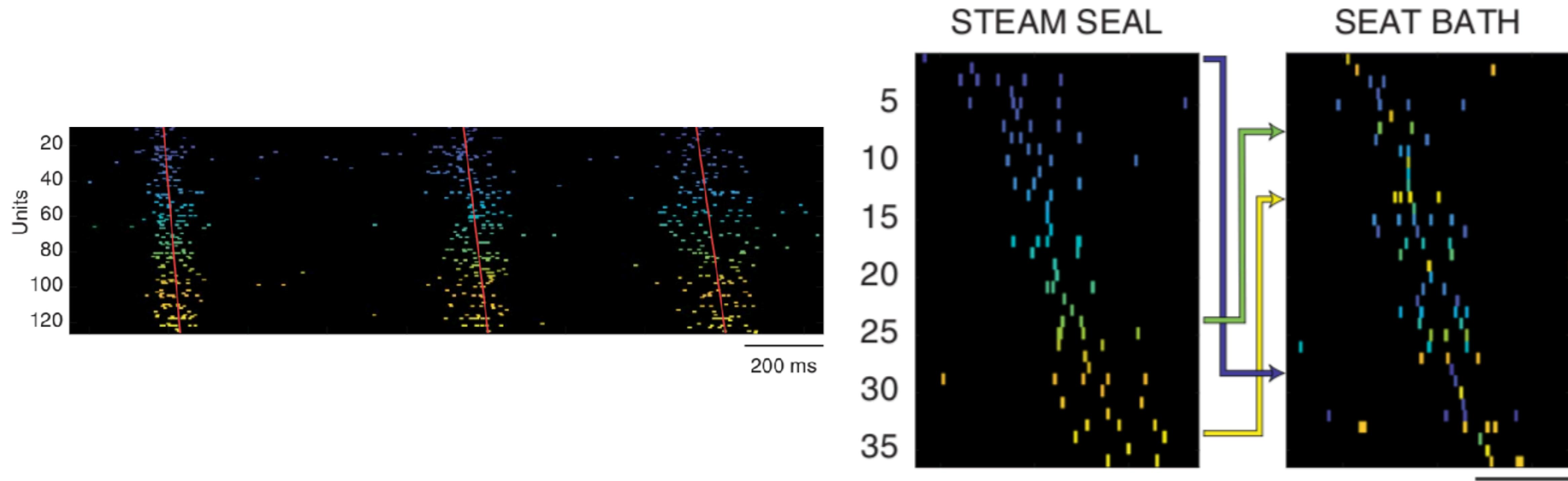
Wave-particle duality



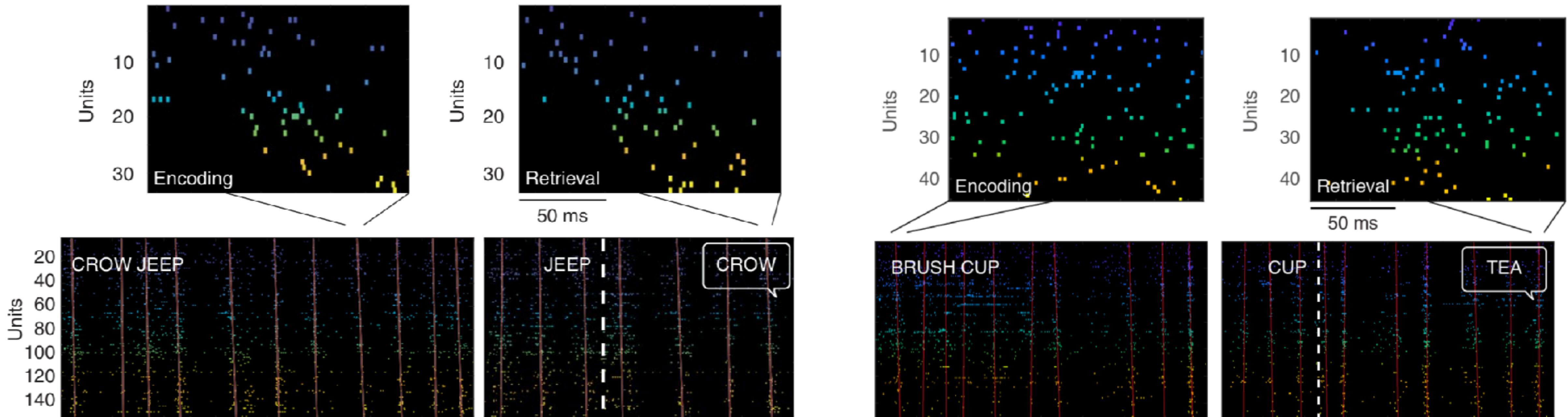
# Burst events are organized into trial-specific sequences during successful memory formation



# Burst events are organized into trial-specific sequences during successful memory formation

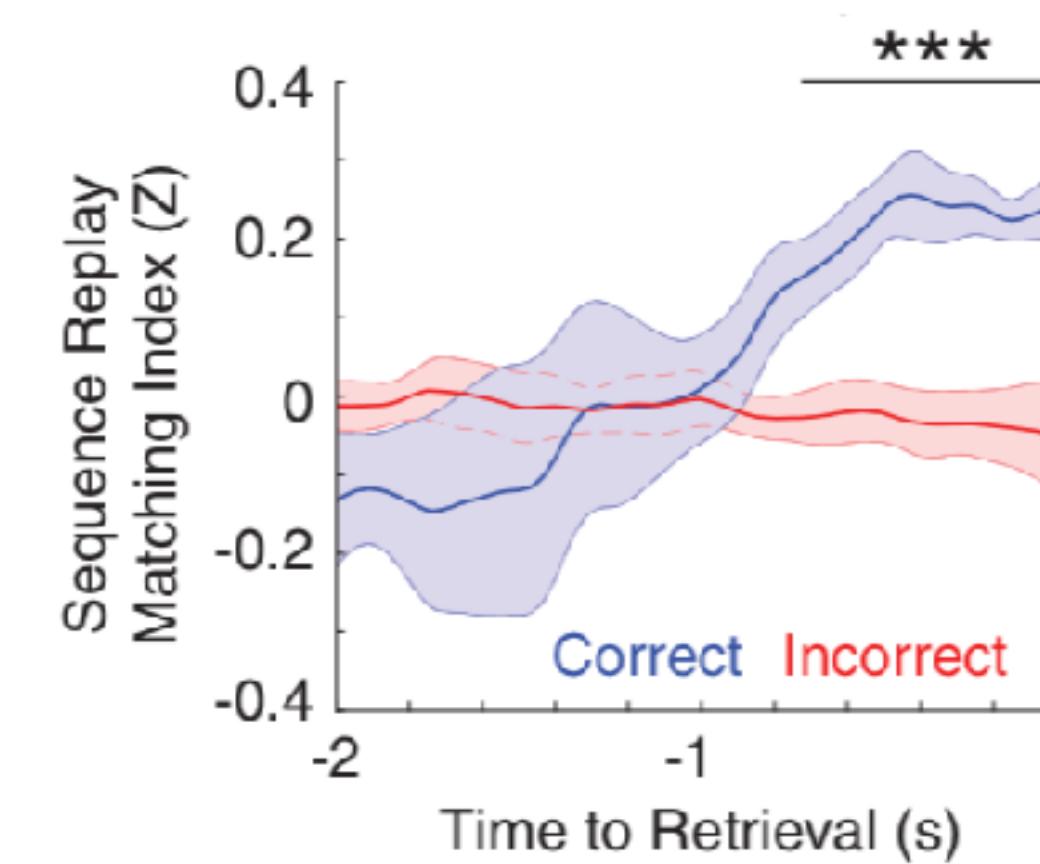


# Memory-specific sequence replay occurs during successful memory retrieval



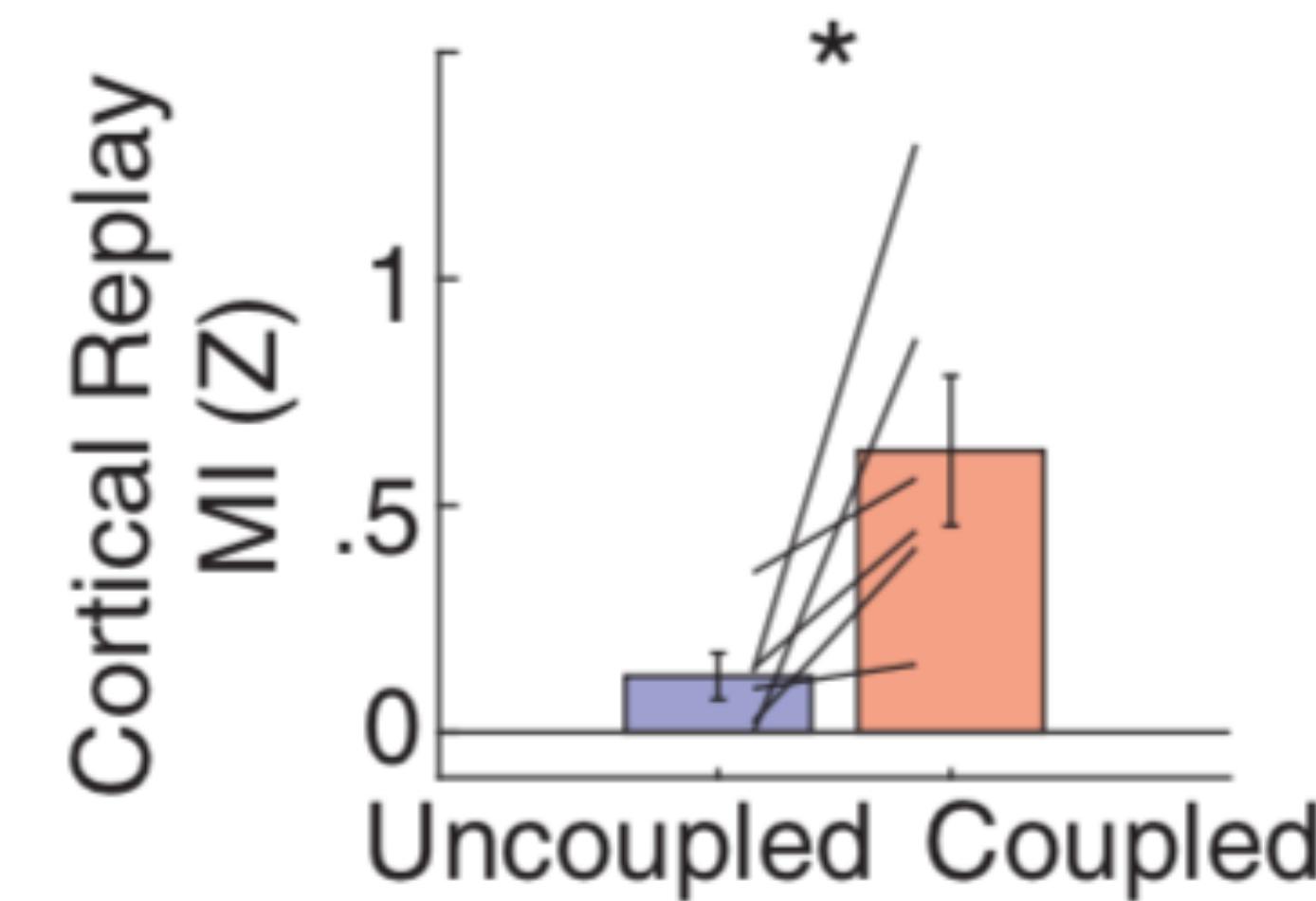
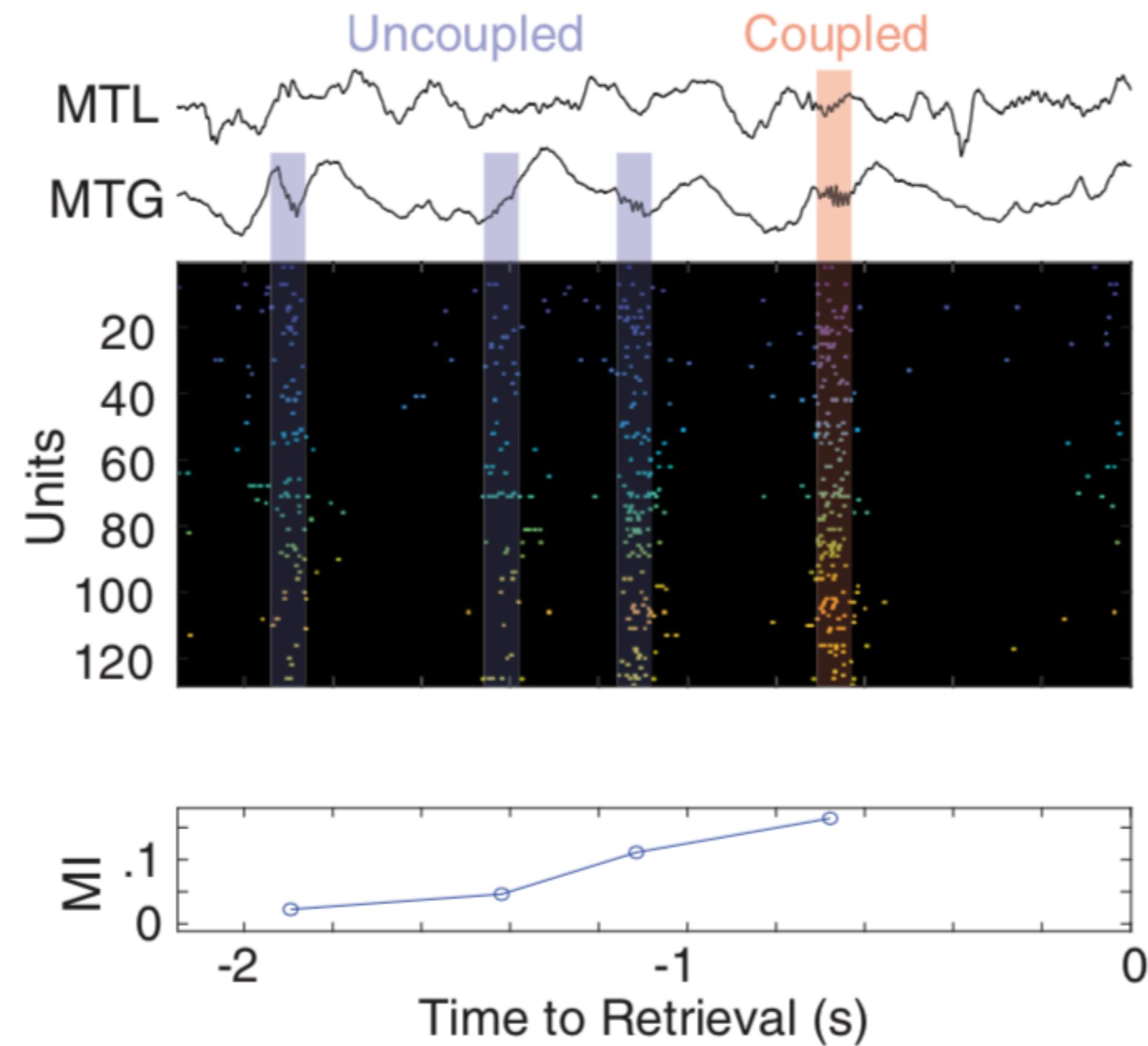
**Correct**

$$MI = (m - n) / (m + n)$$



**Incorrect**

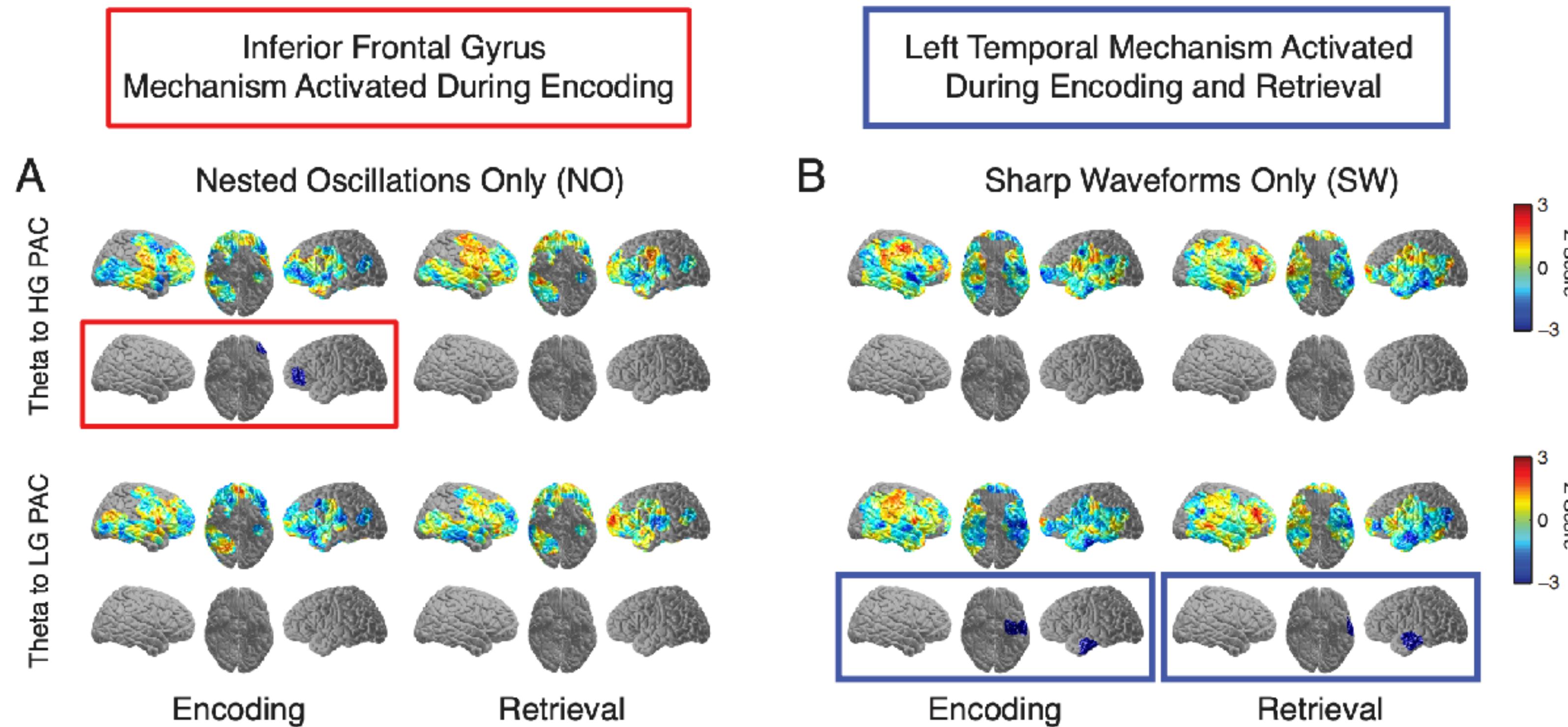
# MTL ripples precede cortical sequence replay



# Summary

- Ripple strongly associated with burst activity
- Temporal pattern during encoding
- Replay of the temporal pattern during retrieval
- MTL ripples is more important for correct retrieval

# What's next?



- Long term memory
- Interfering the burst/spiking
- Sleeping
- Association or concept

**Slides will be uploaded on <https://lingweizhang.github.io/JCN-FDU/>**

