

PART 1 : PERFORMANCE ASSESSMENT AGAINST OBJECTIVES

Section 1.1 Objective-setting (to be completed by the appraisee)

Please outline the Key Result Areas (KRAs) and Objectives during the appraisal period in order of importance, including any relating to acting appointments. The KRAs and objectives should be agreed with the appraising officer and cleared with the countersigning officer at the beginning of the year, with any necessary modification made at the half-year review.

	Key Result Areas	Weighting (%)	Objectives	Tracking Sources
1.	TRMIS, TRBS and TRINFO Application Enhancement, Production planning and Support	50	<ul style="list-style-type: none"> ➤ To enhance, support and maintain various TR applications by handling change requests/ service requests from users ➤ To resolve technical issues. ➤ To conduct system testing ➤ To provide technical support for User Acceptance Test (UAT). 	<ul style="list-style-type: none"> ➤ Functional Specification ➤ System Investigation Request / Change Request ➤ User sign-off of User Acceptance Test ➤ Whether the system is implemented according to schedule ➤ User feedback from user divisions
2	Trade Repository Release UAT support	20	<ul style="list-style-type: none"> ➤ To assist in TR 2020 Q4 release UAT, including prepare user acceptance test plans and develop comprehensive test cases based on functional specifications, and provide support to users in various user acceptance test phases 	<ul style="list-style-type: none"> ➤ Functional Specification ➤ User Service level ➤ Feedback from user divisions

3	CMU Bond Price Bulletin (CMUBPB) support	10	<ul style="list-style-type: none"> ➤ To support and maintain CMUBPB by handling service requests from users ➤ To conduct system testing ➤ To provide technical support for User Acceptance Test (UAT). 	<ul style="list-style-type: none"> ➤ Functional Specification ➤ System Investigation Request / Change Request ➤ User sign-off of User Acceptance Test ➤ User feedback from user divisions
4.	Server Hardware and software configuration support	10	<ul style="list-style-type: none"> ➤ To assist in hardware and software configuration and installation on various new servers ➤ To support annual vulnerability assessment on various servers 	<ul style="list-style-type: none"> ➤ Whether the system is implemented according to schedule
5	User administration and technical support to application systems	10	<ul style="list-style-type: none"> ➤ To provide user administration and technical support including prepare documentation and forms for operations such as account maintenance forms, system change control forms ➤ To assist in CFETS connection testing with Shanghai Clearing House 	<ul style="list-style-type: none"> ➤ Whether the system is implemented according to schedule ➤ User feedback from user divisions
6.				
7.				

	Name	Post	Signature	Date
Appraisee	Steven Ho	A(SYS)(IT)(HKFMI)7		
Appraising Officer	Matthew Lee	SO(IT)(HKFMI)1		