Path Planning for Grasping Operations Using an Adaptive PCA-based Sampling Method

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Abstract—The planning of collision-free paths for a handarm robotic system is a difficult issue due to the large number of degrees of freedom involved and the cluttered environment usually encountered near the grasping configurations. To cope with this problem, this paper presents a novel importance sampling method based on the use of Principal Component Analysis to enlarge the probability of finding collision-free samples in these difficult regions of the Configuration Space with low clearance. By using collision-free samples near the goal, PCA is periodically applied and used to obtain a sampling volume near the goal that better covers the free space, improving the efficiency of sampling-based path planning methods. The approach has been tested with success on a hand-arm robotic system composed of a four-finger anthropomorphic mechanical hand (17 joints with 13 independent degrees of freedom) and an industrial robot (6 independent degrees of freedom).

Index Terms—Importance sampling, Principal Component Analysis, Anthropomorphic hands, Motion planning.

I. INTRODUCTION

Robotics is continually broadening its field of application, mainly towards service robotics, following advances in all of its disciplines. The improvement of manipulation capabilities is decisively contributing to this tendency. To this end hand-arm robotic systems are being developed not solely within the scope of humanoid robotics but also for mobile manipulators. There are anthropomorphic mechanical hands with a number of DOF ranging from 12 (four fingers with 3 independent DOF each one) to 25 (five fingers with 4 independent DOF each one plus some DOF in the palm) [1], [2]. Therefore, hand-arm robotic systems are complex mechanisms with many degrees of freedom, and the automatic determination of their movements is difficult due to the high dimensionality of the corresponding Configuration Space (Cspace).

To cope with high-dimensional path planning problems, sampling-based approaches have been proposed. These methods avoid the explicit characterization of the \mathcal{C} space, requiring only the collision evaluation of a discrete set of sample configurations and their interconnection with simple collision-free paths [3]. Despite its simplicity, these methods have successfully solved many difficult problems involving a large number of degrees of freedom, being its efficiency tied to the capability of sampling those regions of the \mathcal{C} space relevant to the query to be solved, i.e. the

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sampling procedure is a key factor of this kind of planners. Different importance sampling strategies have been proposed towards this end [4], [5], like those that over-sample the Cspace but quickly filter any not-promising configuration (e.g. [6], [7]), or those that bias the sampling using the information gathered during the construction of the roadmap or tree (e.g. [8], [9]). In order to improve the performance of sampling-based planners, dimension-reduction techniques have also been proposed, e.g. by using information provided by the user or by the constraints of the task [10], [11], or by capturing the coupling that there may exist between the degrees of freedom of the mechanism using Principal Component Analysis (PCA) [12], [13].

PCA has also been used to bias sampling, as first proposed in [14] to accelerate the diffusion of a Rapidly-exploring Random Tree (RRT) within a narrow passage. That approach modified the traditional extension step of the RRT algorithm by applying the PCA to a set of neighbors of the node to be extended and by changing the extending direction according to the PCA-obtained directions in which the variance of the growing tree is high. The approach does not solve the problem of finding the entrance of a narrow passage, but only accelerate the diffusion within the passage. Another work [15] proposed the use of PCA, within the scope of a Probabilistic RoadMap planner (PRM), to determine a region that tightly bounds the free space of a difficult area of the Cspace (e.g. a narrow passage), that is used as a sampling region. The approach requires the specification of the region where the narrow passage might approximately be. As a difference with [14], the approach in [15] does not require a PCA computation per sample. Based on the approach of [15], the present paper proposes a PCA-based PRM for a handarm robotic systems focused on the grasping configurations, where the environment is cluttered and the solution paths have low clearances. Besides the use of PCA to bias samples, the planner proposed here also uses the PCA as a dimensionreduction technique to obtain human-like motions [16].

The paper is structured as follows. Sections II and III deal, respectively, with the Principal Component Analysis method as a dimension-reduction technique and as a an importance sampling method, and Section IV proposes a planner that incorporates both approaches for the planning of a handarm robotic system composed by an industrial robot and an anthropomorphic mechanical hand. Finally, Section V presents the conclusions of the work.

II. PRINCIPAL COMPONENT ANALYSIS

Principal Component Analysis (PCA) is a statistical technique used to process a set of vectorial samples in order to look for a new orthogonal base of the vectorial space whose axis indicate, in a decreasing order, the directions of the space with more information to discriminate the samples, i.e. the dispersion of the samples is maximal along the first direction of the new base and decreases along the remaining ones. PCA is a common preprocessing step used to simplify the problem in pattern recognition and classification applications as well as in compression schemes and, in the field of motion and path planning, it is frequently used to reduce the dimension of the searching space and therefore decrease the running time of the planning procedures.

There are different ways of performing the PCA [17]. Basically, it can be done by computing the eigenvalue decomposition of a data covariance matrix or the singular value decomposition of a data matrix, usually after mean centering the data for each attribute. The larger the eigenvalues or the singular values the larger the dispersion of the data along the corresponding eigenvector direction; the eigenvectors are directly used to define the directions of the new base.

A. Dimension reduction using PCA

PCA is frequently used to reduce the dimension n of the initial working space, using instead a subspace of dimension m < n defined by the first m directions of the new base obtained with the PCA and neglecting the others. Figure 1 shows a simple illustrative example of the use of PCA to reduce the dimension of the working space C. The grey dots represent samples \vec{x} in a 2-dimensional space defined by the original variables x_1 and x_2 (which may represent two real features of the problem). O' represents the mean of the set of samples, so the samples are first modified as $\vec{x}' = \vec{x} - O'$. Then, using PCA, a new base defined by x'_1 and x_2' (which could be considered 2 virtual features) determines a new reference system with the origin at O'. Now, since the dispersion of the samples is larger along x'_1 , the component x_2' is neglected, which is equivalent to consider the subspace $\mathcal{SC} \subset \mathcal{C}$ defined only by x'_1 as the working space instead of \mathcal{C} , so the dimension of the working space was reduced from 2 to 1. Finally, the actual workspace for the generation of new samples is constrained to a portion V_S of \mathcal{SC} defined by the range $[-\lambda_1, \lambda_1]$, such that it includes a desired percentage of the original samples.

B. Principal Motion Directions

The directions determined by the axis x_i' of the base obtained with the PCA were also used to define a set of corresponding motion directions in the workspace $\mathcal C$ that were called Principal Motion Directions (PMD) [13]. PMDs are ordered following the same criterion that the axis x_i' of the new base, therefore a motion along the first PMDs has a larger range of motion in V_S than along the second one, and so on until the last one. Then, starting from any sample in $\mathcal C$ allowing movements along the first m PMDs will likely allow to cover a significant portion of the valid workspace.

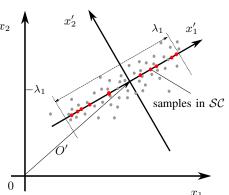


Fig. 1: Illustration of the use of PCA to reduce the dimension of the workspace. The original 2-dimensional space $\mathcal C$ is defined by x_1 and x_2 , the mean of the set of samples is O', the direction with maximal dispersion of samples is given by x_1' , and the new base is defined by x_1' and x_2' . Then, the new working subspace is defined by x_1' , and the valid portion V_S is constrained to the range $[-\lambda_1, \lambda_1]$.

C. Path planning using PMDs

The PMDs were used in the problem of path planning applied to a hand-arm system [13]. The main steps of this approach are the following:

- A human operator wears a sensorized glove (Cyberglove with 22 sensors) that allows the identification
 of the hand configurations. Then, while making free
 natural movements of the hand in a free space a set
 of samples are captured, which represent the natural
 workspace of the operator's hand. Each sample is a
 vector with 22 components.
- 2) The obtained samples are mapped to the configuration space of a mechanical hand so as to make the mechanical hand postures look as much as possible as those of the operator hand (the Schunk Anthropomorphic Hand SAH is used, it has 17 joints but only 13 degrees of freedom because the distal and medium joints of each finger are coupled).
- 3) Once the samples are mapped into the mechanical hand configuration space (with dimension 13) a Principal component Analysis is performed and a new base of the space is obtained defining the corresponding PMDs.
- 4) Applying the idea of dimensionality reduction, only a reduced number of PMDs is used (3 PMDs covers almost 85% of the total variance of the analyzed dataset) to generate samples in the mechanical hand joint space in order to look for a solution path using a PRM, which also considers the 6 degrees of freedom of the robot arm. Since the initial and goal configurations may be outside the reduced workspace of the hand these are specifically connected to the PRM. The consideration of a variable (increasing) number of PMDs in the planning phase was also explored [16], [18].

The approach proposed in this work follow this line, but besides the reduction of the search space, it proposes a new

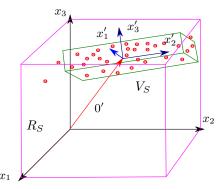


Fig. 2: Region R_S and sampling volume V_S obtained using PCA for a three dimensional Cspace.

original use of the PCA to improve the sampling phase for the application of the PRM.

III. IMPORTANCE SAMPLING BASED ON ADAPTIVE PRINCIPAL COMPONENT ANALYSIS

A. The key idea

The key idea of the use of PCA as an importance sampling method for a PRM is twofold: a) the use of PCA to define a new basis for the sampling space able to generate with a greater probability collision-free configurations in difficult areas of the Cspace (the whole new base is considered, i.e. no reduction of dimensionality is pursued); and b) the periodic recomputation of this basis as new collision-free configurations are obtained, in order to make the process adaptive and obtain a continuous improvement of the sampling performance. The sampling procedure proposed is conceived as a local method, i.e. it is to be applied to a region of the Cspace where the area of interest is known to be located (e.g. a narrow passage).

Let R_S and V_S be two regions defined as follows (Fig. 2):

- R_S : Region of the Cspace where importance sampling is required.
- V_S : Hyper-box that results from applying PCA to the collision-free samples of R_S .

Given a set of collision-free samples of R_S , the Principal Component Analysis is used to obtain V_S . Then, the sampling procedure samples configurations from both regions R_S and V_S and stores them to update V_S in the next call to the algorithm (the reason behind the sampling in R_S is the obtention of collision-free configurations not included in V_S that allow the recomputation of V_S to better cover the area of interest).

B. Procedure

Algorithm 1 describes a PCA-based sampling procedure. This is a variation of the algorithm proposed in [15] that is simpler and guarantees the obtaining of collision-free configurations from both V_S and R_S . It uses the following functions:

 SAMPLE-FROM(B, n): Returns n collision-free configurations sampled from region B.

Algorithm 1 PCA-based Sampling

Require:

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R_S: region of Cspace S: set of at least d collision-free samples from R_S k: even number of collision-free configurations to sample Ensure:
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S enlarged with up to k new collision-free samples

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\begin{array}{l} V_S = \text{PCA}(S) \\ S_R = \text{ SAMPLE-FROM}(R_S, \, k/2) \\ S = S \, \cup \, S_R \\ \text{for } i = 1 \text{ to } k/2 \text{ do} \\ s = \text{ SAMPLE-FROM}(V_S, \, 1) \\ \text{if } s \in R_S \text{ then} \\ S = S \cup s \\ \text{end if} \\ \text{end for} \\ \text{return } S \end{array}
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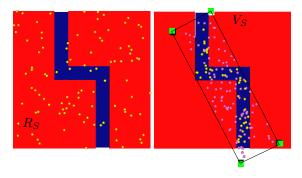


Fig. 3: Examples of samples obtained from regions R_S and V_S for an S-shaped narrow passage.

 PCA(S): Performs the Principal Component Analysis over the samples of the set S and returns an hyper-box aligned with the resulting new base, centered at the mean value of S, and with the length of each side equal to three times the standard deviation of the data along the corresponding axis.

Given an initial set S of at least d collision-free configurations (being d the dimension of the Cspace) and given a region R_S , the sampling procedure computes V_S using the Principal Component Analysis and then samples k collision-free configuration from both R_S and V_S . The algorithm returns the set S enlarged with the new collision-free configurations that belong to R_S (take into account that V_S may not be completely inside R_S and therefore some configurations sampled from V_S may not pertain to R_S). Fig. 3 shows two snapshots to illustrate the sampling procedure.

IV. APPLICATION TO THE PATH PLANNING OF A HAND-ARM SYSTEM

This section proposes a PRM planner that uses the PCA-based sampling method to solve path planning problems of a hand-arm robotic system. The proposed PRM is conceived as a single-query problem, i.e. it is not constructed to capture the connectivity of the whole free space of the Cspace, but solely the part that is relevant to connect two

given configurations, c_{ini} and c_{goal} , being the later a grasp configuration. In the following subsections, the \mathcal{C} space of the problem and the regions where samples are to be obtained are defined; then the PRM is described and evaluated.

A. The Configuration Space of the problem

Let C be the Cspace of a hand-arm robotic system:

$$C = C^{a} \times C^{h} \tag{1}$$

where \mathcal{C}^a and \mathcal{C}^h are, respectively, the \mathcal{C} spaces of the arm and of the mechanical hand. Using the Principal Motion Directions described in Section II-B, the path planning will be done in a subspace $\mathcal{S}\mathcal{C}$ defined as:

$$\mathcal{SC} = \mathcal{C}^{a} \times \mathcal{SC}^{h} \tag{2}$$

where SC^h is the H-dimensional subspace of C^h defined by the first H PMDs. Then, if A is the dimension of C^a , the planning will be done in a d-dimensional space with:

$$d = A + H$$
.

Therefore, a configuration $c \in \mathcal{SC}$ will be a d-dimensional vector whose first A components correspond to the joints of the arm and whose last H components correspond to the H PMDs considered, and that determine the joints of the hand.

B. Sampling regions

This subsection defines the subregions of \mathcal{SC} where to obtain samples for the proposed PRM. Let:

- pos(c) be the function that returns the position coordinates of the arm when the hand-arm system is located at configuration c,
- $\operatorname{dist}(p_1, p_2)$ be the function that computes the Euclidean distance between two points $p_1, p_2 \in \mathbb{R}^3$, and
- B be a region of \mathcal{SC} defined as:

$$B(p, \delta) = \{ c \in \mathcal{SC} \mid \operatorname{dist}(\operatorname{pos}(c), p) \le \delta \}, \quad (3)$$

with $p \in \mathbb{R}^3$ and δ a given distance threshold.

Then, the following regions are defined:

• Region R_S : Usually, the paths of a hand-arm system to grasp an object have low clearances near the goal grasp configuration. Therefore, it is near the goal configuration where the PCA-based sampling proposal better contributes to improve sampling-based planners. For this reason, R_S is defined as the region of SC satisfying that the position of the arm (i.e. the x, y and z coordinates of the robot wrist) is at most at a given distance δ_R from the position of the arm when it is located at the goal grasp configuration c_{qoal} :

$$R_S(\delta_R) = B(pos(c_{qoal}), \delta_R) \tag{4}$$

The value of δ_R is approximately chosen equal to the finger lengths, and therefore it is set to 15 mm for the SAH hand.

The Principal Component Analysis uses the collision-free configurations in R_S to recompute the sampling region V_S .

• Region I_S : In order to compute V_S for the first time, a set of at least d samples are required (i.e. a number equal to the dimension of the \mathcal{C} space). These are obtained by sampling around c_{goal} , i.e. by obtaining samples from the region I_S defined as:

$$I_S(\delta_I) = B(pos(c_{qoal}), \delta_I), \tag{5}$$

with δ_I being a threshold smaller than δ_R (set to 5 mm for the SAH hand).

Sampling from I_S is done as follows:

- Arm configuration: The arm position is obtained by sampling a cube of side δ_I centered at $pos(c_{goal})$; the arm orientation is obtained by sampling around the goal orientation (the orientation is parameterized with three parameters using quaternions [19], and these parameters are varied an small amount around the values corresponding to c_{goal}). Afterwards the arm configuration $(\theta_1, \ldots, \theta_A) \in \mathcal{C}^a$ is obtained using the inverse kinematics (the same inverse kinematics configuration as c_{goal} is chosen).
- Hand configuration: The hand configuration $(\theta_{A+1},\ldots,\theta_{A+H})\in\mathcal{SC}^{\mathsf{h}}$ is obtained by sampling each PMD around the values corresponding to c_{goal} .
- Region V_S : It is the region resulting from the Principal Component Analysis applied to all collision-free handarm configurations within R_S . It is a hyper-box of dimension d. Sampling within V_S results in hand-arm configurations $(\theta_1, \ldots, \theta_A, \ldots, \theta_{A+H}) \in \mathcal{SC}$.
- Region M_S : Samples further away from c_{goal} are needed to construct the whole roadmap to solve the query to connect c_{ini} and c_{goal} . Also, collision-free samples outside V_S are needed to recompute V_S to improve the coverage of the area of interest. For these purposes, a region M_S is defined in a similar way as R_S and I_S but with a variable distance threshold that ranges from δ_I to the distance between the initial and the goal arm positions, i.e.:

$$M_S(\delta_M) = B(pos(c_{qoal}), \delta_M) \tag{6}$$

with $\delta_I \leq \delta_M \leq \operatorname{dist}(\operatorname{pos}(c_{ini}), \operatorname{pos}(c_{goal}))$. Sampling from M_S is done as follows:

- Arm configuration: The arm position is obtained as done in I_S but using δ_M instead of δ_I .
- Hand configuration: The hand configuration is obtained by sampling each PMD within its whole range.

C. PCA-based PRM

Algorithm 2 describes a Probabilistic RoadMap that uses the PCA-based sampling method to solve problems for a real hand-arm robotic system; it is called PCA-based PRM. It uses the functions of Section III-B plus the following:

 PRM-SOLVE(s): Adds the collision-free configuration s to the roadmap and returns the path connecting the initial to the goal configuration, if it exists, or the empty-set otherwise.

Algorithm 2 PCA-based PRM

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Require:
   c_{ini}: Initial configuration
   c_{goal}: Goal configuration
   d: Dimension of the Cspace
   \delta_I, \delta_R: Distance thresholds
   N: Number of samples per iteration (an even value)
Ensure:
   P: path connecting c_{ini} and c_{qoal}
   S_{PCA} = \text{SAMPLE-FROM}(I_S, d)
   PRM-ADD(S_{PCA})
   \delta_M = \delta_I
   trials = 0
   while trials < MaxTrials do
      trials = trials + N
      V_S = PCA(S_{PCA})
      for i=1 to N/2 do
         s = SAMPLE-FROM(V_S, 1)
         P = PRM - SOLVE(s)
         if P \neq \emptyset then
            return P
         end if
         if s \in R_S then
             S_{PCA} = S_{PCA} \cup s
         end if
      end for
      for i=1 to N/2 do
         s = SAMPLE-FROM(M_S(\delta_M), 1)
         P=PRM-SOLVE(s)
         if P \neq \emptyset then
             return P
         end if
         if s \in R_S then
             S_{PCA} = S_{PCA} \cup s
         end if
      end for
      \delta_M = \delta_M + \delta_I
      if \delta_M > \operatorname{dist}(\operatorname{pos}(c_{ini}), \operatorname{pos}(c_{goal})) then
         \delta_M = \delta_I
      end if
   end while
   return Ø
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The algorithm first obtains collision-free configurations around the goal configuration by sampling within I_S , then it loops executing the following three steps until the solution is found or a maximum number of samples have been generated:

- 1) (Re)compute V_S using those samples of the roadmap within region R_S .
- 2) Sample from V_S , add the samples to the roadmap and search for a solution.
- 3) Sample from M_S , add the samples to the roadmap and search for a solution.

The size of M_S is not fixed but it is defined with a variable value of δ_M : the initial value of δ_M equals δ_I , and it is incremented also by δ_I at each iteration, restarting at δ_I whenever δ_M becomes greater than $\mathrm{dist}(\mathrm{pos}(c_{ini}),\mathrm{pos}(c_{goal}))$.

The distance thresholds δ_R and δ_I depend on the mechanical hand used. Therefore, the planner has only one extra parameter to be defined by the user (the number of samples

per iteration, N), besides the parameters of the basic PRM as the number maximum of neighbors per node and the neighbor distance threshold. The value of N is not critical and good results are obtained with N ranging from 20 to 100 (lower values of N require more calls to the PCA and result in a faster adaptation, although the first guess might fit worse the passage).

D. Examples

The proposed approach has been implemented as a specialized PRM planner inside the home-developed path planning framework. This tool, called *Kautham*, was developed with the open-source and cross-platform directives in mind [20], and it uses libraries such as Qt [21] for the user interface, Coin3D [22] for the graphical rendering and PQP [23] for the collision detection. This application provides the developer with direct and inverse kinematic models of the robots, and with samplers, metrics and other tools needed for the development of planners.

With respect to the PCA introduced in the paper, there are several alternatives for its implementation. One possibility is to use Octave [24] or R [25] together with a package like the RCPP [26] to connect them to the application. However, since our application requires to perform PCA within the sampling loop, the performance criteria is considered a key factor, and for this reason the Armadillo C++ Linear Algebra Library has been used [27]. This library is also open-source and has a good performance in response time for large volumes of data, as reported in [15].

The following two examples illustrate the ability of the proposed approach to find the path for a hand-arm robotic system towards a low-clearance grasp configuration. The hand-arm robotic system is composed of a TX90 Stäubli robot and the Schunk Anthropomorphic Hand, SAH. In the first example the system grasps a T-shaped object (Fig. 4), in the second it grasps a can (Fig. 5). The proposed planner successfully solved the examples (see the accompanying video), although both of them are difficult due to the narrow corridor in Cspace generated by the fingers and the object to be grasped.

The the number of samples per iteration has been set to N=30. As mentioned in previous sections, the distance thresholds for the SAH hand are set to $\delta_R=150$ mm and $\delta_I=50$ mm. Then, setting the maximum number of samples to 4000, the success rate obtained for each example has been 72% and 70%, respectively, the number of nodes 58 ± 12 and 49 ± 15 , and the number of collision-checked configurations 1840 ± 314 and 950 ± 246 . In comparison, no solutions were found using the Gaussian sampler [6] with the same maximum number of samples.

V. CONCLUSIONS

Principal Component Analysis has previously been used in the scope of path planning to reduce the dimensionality of the planning space. In this paper it is also used as an importance sampling method (i.e. as a way to enlarge the probability to obtain samples from those difficult regions of

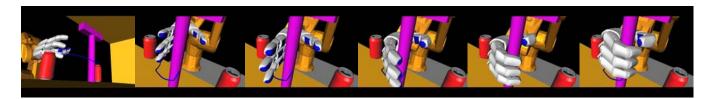


Fig. 4: Planning the grasp of the T shape.



Fig. 5: Planning the grasp of the yellow can.

the Configuration Space relevant to solve a query) within the scope of hand-arm robotic systems. The search of a collision-free path to reach an object to be grasped is a difficult issue due to the low clearances that there exist near the goal grasp configuration, and the large number of degrees of freedom. A probabilistic roadmap path planner that uses the PCA-based sampling method near the goal grasp configuration has been proposed and tested with success on a hand-arm robotic system composed of a four-finger anthropomorphic mechanical hand (17 joints with 13 independent degrees of freedom) and an industrial robot (6 independent degrees of freedom). As a future work we are planning to study the automatic determination of the regions where to apply the proposed sampling method, besides the region around the goal configuration as done in the present paper.

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