# Path Planning for Grasping Operations Using an Adaptive PCA-based Sampling Method

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Abstract The planning of collision-free paths for a hand-arm robotic system is a difficult issue due to the large number of degrees of freedom involved and the cluttered environment usually encountered near grasping configurations. To cope with this problem, this paper presents a novel importance sampling method based on the use of Principal Component Analysis (PCA) to enlarge the probability of finding collision-free samples in these difficult regions of the Configuration Space with low clearance. By using collision-free samples near the goal, PCA is periodically applied in order to obtain a sampling volume near the goal that better covers the free space, improving the efficiency of sampling-based path planning methods. The approach has been tested with success on a hand-arm robotic system composed of a four-finger anthropomorphic mechanical hand (17 joints with 13 independent degrees of freedom) and an industrial robot (6 independent degrees of freedom).

**Keywords** Importance sampling, Principal Component Analysis, Anthropomorphic hands, Motion planning, Grasping

#### 1 Introduction

Robotics is continually broadening its field of application, mainly towards service robotics, following advances in all of its disciplines. The improvement of manipulation capabilities is decisively contributing to this

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tendency. To this end hand-arm robotic systems are being developed not solely within the scope of humanoid robotics but also for mobile manipulators. There are anthropomorphic mechanical hands with a number of degrees of freedom (dof) ranging from 12 (four fingers with 3 independent dof each one) to 25 (five fingers with 4 independent dof each one plus some dof in the palm) (Bicchi, 2000; Biagiotti et al, 2004). Therefore, handarm robotic systems are complex mechanisms with many degrees of freedom, and the automatic determination of their movements is difficult due to the high dimensionality of the corresponding Configuration Space ( $\mathcal{C}$ space). For this reason, several approaches were proposed to reduce the dimensionality of the problem, in particular determining correlations between the movements of the hand joints, to look for grasping configurations (Santello et al, 1998; Ciocarlie and Allen, 2009), for the path planning stage (topic discussed in detail below), as well as in relation with their influence in the grasping forces (Gabiccini et al, 2011). Moreover, robustness in front of uncertainty and sensory-motor coordination issues is a topic that has also been considered for the execution in real environments (Hsiao et al, 2011; Laschi et al. 2008).

To cope with high-dimensional path planning problems, sampling-based approaches have been proposed. These methods avoid the explicit characterization of the  $\mathcal{C}$ space, requiring only the collision evaluation of a discrete set of sample configurations and their interconnection with simple collision-free paths (Choset et al, 2005). Despite its simplicity, these methods have successfully solved many difficult problems involving a large number of degrees of freedom, being its efficiency tied to the capability of sampling those regions of the  $\mathcal{C}$ space relevant to the query to be solved, i.e. the sampling procedure is a key factor of this kind

of planners. Different importance sampling strategies have been proposed towards this end (Geraerts and Overmars, 2004; Hsu et al, 2006), like those that oversample the Cspace but quickly filter any non-promising configuration, e.g. (Boor et al, 1999; Hsu et al, 2003), or those that bias the sampling using the information gathered during the construction of the roadmap or tree, e.g. (Kavraki et al, 1996; Hsu et al, 2005). In order to improve the performance of sampling-based planners, dimension-reduction techniques have also been proposed, e.g. by using information provided by the user or by the constraints of the task (Berenson et al, 2009; Stilman, 2010), or by capturing the coupling that there may exist between the degrees of freedom of the mechanism using Principal Component Analysis (Safonova et al, 2004; Rosell et al, 2009).

Principal Component Analysis (PCA) has also been used to bias sampling, as first proposed by Dalibard and Laumond (2008) to accelerate the diffusion of a Rapidly-exploring Random Tree (RRT) within a narrow passage. The approach modifies the traditional extension step of the RRT algorithm by applying the PCA to a set of neighbors of the node to be extended, in this way the direction of maximum variance of the growing tree is obtained and it is then used to change the traditional isotropic sampling into a ellipsoidal sampling that emphasizes the directions of maximum growing of the tree. The approach locally estimates the direction of passages in order accelerate the diffusion within them. These authors also proposed the use of PCA for the expansion step of a Probabilistic RoadMap planner (PRM) (Dalibard and Laumond, 2011), i.e. they selected nodes in difficult areas as proposed by Kavraki et al (1996) and applied the PCA to its neighbor nodes to expand it as done with the extension step of the RRT. The use of PCA within a PRM was simultaneously proposed by Rosell et al (2011a), to determine a sampling region that attempts to tightly bound the free space of a difficult area of the Cspace, like a narrow passage. This approach does not require a PCA computation per new sample, although it requires the specification of the region where the narrow passage might approximately lie.

Based on the approach of Rosell et al (2011a), the present paper proposes a PCA-based PRM to plan the motions of a hand-arm robotic system, in particular the motions close to the final grasping configurations, where the environment is usually cluttered and the solution paths have low clearances. Besides this use of PCA to bias samples, the planner proposed here also uses PCA as a dimension-reduction technique to obtain human-like motions (Suárez et al, 2009).

The paper is structured as follows. Sections 2 and 3 deal, respectively, with the PCA method as a dimension-reduction technique and as an importance sampling method, and Section 4 proposes a planner that incorporates both approaches for the planning of a hand-arm robotic system composed of an industrial robot and an anthropomorphic mechanical hand. Finally, Section 5 presents the conclusions of the work.

#### 2 Principal Component Analysis

PCA is a statistical technique used to process a set of vectorial samples in order to look for a new orthogonal base of the vectorial space whose axis indicate, in a decreasing order, the directions of the space with more information to discriminate the samples, i.e. the dispersion of the samples is maximal along the first direction of the new base and decreases along the remaining ones. PCA is a common preprocessing step used to simplify the problem in pattern recognition and classification applications as well as in compression schemes and, in the field of motion and path planning, it is frequently used to reduce the dimension of the searching space and therefore to decrease the running time of the planning procedures.

There are different ways of performing the PCA (Jolliffe, 2002). Basically, it can be done by computing the eigenvalue decomposition of a data covariance matrix or the singular value decomposition of a data matrix, usually after mean centering the data for each attribute. The larger the eigenvalues or the singular values the larger the dispersion of the data along the corresponding eigenvector direction; the eigenvectors are directly used to define the directions of the new base.

#### 2.1 Dimension reduction using PCA

PCA is used to reduce the dimension n of the initial space of samples, using instead a subspace of dimension m < n defined by the first m directions of the new base obtained with the PCA and neglecting the others. Fig. 1 shows a simple illustrative example of the use of PCA to reduce the dimension of the space of samples  $\mathcal{C}$ . The grey dots represent samples in a 2-dimensional space defined by the original variables  $x_1$  and  $x_2$  (which may represent two real features of the problem). O' represents the mean of the set of samples, so the samples are first modified as  $\mathbf{x}' = \mathbf{x} - O'$ . Then, using PCA, a new base defined by  $x_1'$  and  $x_2'$  (which could be considered two virtual features) determines a new reference system with the origin at O'. Now, since the dispersion of the samples is larger along  $x_1'$ , the component  $x_2'$  is

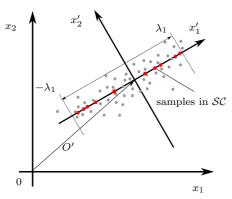


Fig. 1: Illustration of the use of PCA as a dimension reduction technique. The original 2-dimensional space  $\mathcal{C}$  is defined by  $x_1$  and  $x_2$ , the mean of the set of samples is O', the direction with maximal dispersion of samples is given by  $x_1'$ , and the new base is defined by  $x_1'$  and  $x_2'$ . Then, the new working subspace is defined by  $x_1'$ , and the valid portion is constrained to the range  $[-\lambda_1, \lambda_1]$ .

neglected, which is equivalent to consider the subspace  $\mathcal{SC} \subset \mathcal{C}$  defined only by  $x_1'$  as the working space instead of  $\mathcal{C}$ , so the dimension was reduced from 2 to 1. Finally, a portion of  $\mathcal{SC}$  defined by the range  $[-\lambda_1, \lambda_1]$ , such that it includes a desired percentage of the original samples, is used for the generation of new samples.

## 2.2 Principal Motion Directions based on PCA and its application in planning

The directions determined by the axis  $x'_i$  of the base obtained with the PCA were called Principal Motion Directions (PMDs) by Rosell et al (2009). Ordering the PMDs according to the dispersion along the axis  $x_i'$ , the range of motion along the first PMD is the largest, and successively decreases for the rest. Therefore, allowing movements along the first  $m \ll n$  PMDs will likely cover a significant portion of the valid Cspace. Based on this, the PMDs were used in path planning for a hand-arm systems as follows. First, the natural workspace of a human operator's hand is sampled using a sensorized glove, and these samples are mapped to the configuration space of a mechanical hand making the resulting postures as similar as possible to those of the operator hand. Then, a PCA is performed in the mechanical hand configuration space in order to obtain the corresponding PMDs. Finally, for a given task, a solution path is planned using a PRM in a Cspace with reduced dimensionality obtained considering only a reduced number of PMDs of the hand together with the six degrees of freedom of the arm (since the initial and goal configurations may be outside the reduced workspace they are specifically connected to the PRM).

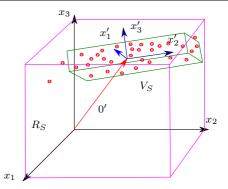


Fig. 2: Region  $R_S$  and sampling volume  $V_S$  obtained using PCA for a three dimensional Cspace.

A variable number (increasing, starting from one) of PMDs in the planning phase has been also considered (Suárez et al, 2009; Rosell et al, 2011b).

The approach proposed in this work follows this line, but, besides the dimension reduction, it proposes a new original use of the PCA to improve the sampling phase for the application of the PRM.

#### 3 Importance Sampling based on Adaptive Principal Component Analysis

#### 3.1 The key idea

The key idea of using PCA in an importance sampling method for a PRM is twofold: a) define a new basis for the sampling space able to generate with a greater probability collision-free configurations in difficult areas of the Cspace (the whole new base is considered, i.e. no reduction of dimensionality is pursued); and b) periodically recompute this basis as new collision-free configurations are obtained, making the process adaptive and obtaining a continuous improvement of the sampling performance. The sampling procedure proposed is conceived as a local method, i.e. it is applied to a region of the Cspace where the area of interest is known to be located (e.g. a region containing a narrow passage).

Let  $R_S$  and  $V_S$  be two regions defined as follows (Fig. 2):

- $R_S$ : Region of the Cspace where importance sampling is required.
- $V_S$ : Hyper-box aligned with the eigenvectors resulting from applying PCA to the collision-free samples within  $R_S$ , and with the size of each side proportional to the corresponding eigenvalue.

Given a set of collision-free samples from  $R_S$ , the Principal Component Analysis is used to obtain  $V_S$ . Then, the sampling procedure samples configurations

#### Algorithm 1 PCA-based Sampling

#### Require:

 $R_S$ : region of  $\mathcal{C}$ space S: set of at least d collision-free samples from  $R_S$   $k_{R_S}$ : number of free configurations to sample from  $R_S$   $k_{V_S}$ : number of free configurations to sample from  $V_S$  **Ensure**:

S enlarged with up to  $k_{R_S} + k_{V_S}$  new collision-free samples

```
\begin{array}{l} V_S \!=\! \mathsf{PCA}(S) \\ S_R = \mathsf{SAMPLE\text{-}FROM}(R_S,\,k_{R_S}) \\ S = S \,\cup\, S_R \\ \mathbf{for} \,\, i = 1 \,\, \mathrm{to} \,\, k_{V_S} \,\, \mathbf{do} \\ s = \mathsf{SAMPLE\text{-}FROM}(V_S,\,1) \\ \mathbf{if} \,\, s \in R_S \,\, \mathbf{then} \\ S = S \cup s \\ \mathbf{end} \,\, \mathbf{if} \\ \mathbf{end} \,\, \mathbf{for} \\ \mathbf{return} \,\, S \end{array}
```

from both regions  $R_S$  and  $V_S$  and stores them to update  $V_S$  in the next call to the algorithm. The reason behind keeping the sampling in  $R_S$  is the obtention of collision-free configurations not included in  $V_S$  that allow the recomputation of  $V_S$  to better cover the area of interest.

#### 3.2 Procedure

Algorithm 1 describes the PCA-based sampling procedure. This is a variation of the algorithm proposed by Rosell et al (2011a) that is simpler and guarantees the obtention of collision-free configurations from both  $V_S$  and  $R_S$ . It uses the following functions:

- Sample-from (B, n): Returns n collision-free configurations sampled from region B.
- PCA(S): Performs the Principal Component Analysis over the samples of the set S and returns an hyperbox aligned with the resulting new base, centered at the mean value of S, and with the length of each side proportional to the standard deviation of the data along the corresponding axis.

Given an initial set S of at least d collision-free configurations (d being the dimension of the Cspace) and given a region  $R_S$ , the sampling procedure computes  $V_S$  using PCA and then samples  $k_{R_S}$  collision-free configuration from  $R_S$  and  $k_{V_S}$  collision-free configuration from  $V_S$ . The algorithm returns the set S enlarged with the new collision-free configurations that belong to  $R_S$  (note that  $V_S$  may not be completely inside  $R_S$  and therefore some configurations sampled from  $V_S$  may not pertain to  $R_S$ ). Fig. 3 illustrates the sampling regions  $R_S$  and  $V_S$ .

Region  $V_S$  fits the difficult area of the Cspace better than  $R_S$ , like oriented bounding boxes (OBBs) fit object volumes better than axis-aligned bounding boxes

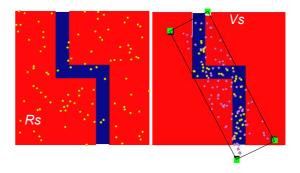


Fig. 3: Examples of samples obtained from regions  $R_S$  and  $V_S$  for a region with a narrow passage.

(AABBs) (Gottschalk et al, 1996). Therefore sampling in  $V_S$  may enlarge the probability of finding collision-free samples in low-clearance difficult regions of  $\mathcal{C}$  space. It must be noted, however, that the approach may become useless if the region  $V_S$  results (approximately) equal to the region  $R_S$ , but this situation only happens if the collision-free region has a (approximated) symmetric distribution inside  $R_S$  and its bounding box is similar to  $R_S$ . In grasping tasks, like in many others, this is a very improbable case, and, if for some particular application results  $V_S \equiv R_S$  then the approach will run as a traditional regular PRM without any problem.

### 4 Application to the path planning of a hand-arm system

This section proposes a PRM planner that uses the PCA-based sampling method to solve path planning problems for a hand-arm robotic system. The proposed PRM is not conceived to capture the connectivity of the whole free space of the C-space, but solely the connectivity of the part that is relevant to connect two given configurations,  $c_{ini}$  and  $c_{goal}$ , being the later a grasp configuration. In the following subsections, the C-space of the problem and the regions where samples are to be obtained are defined; then the PRM is described and evaluated with simulated and real experiments.

#### 4.1 The Configuration Space of the problem

Let  $\mathcal{C}$  be the  $\mathcal{C}$ space of a hand-arm robotic system:

$$C = C^{a} \times C^{h} \tag{1}$$

where  $\mathcal{C}^a$  and  $\mathcal{C}^h$  are, respectively, the  $\mathcal{C}$ spaces of the arm and of the mechanical hand. Using the Principal Motion Directions described in Section 2.2, the path planning will be done in a subspace  $\mathcal{SC}$  defined as:

$$\mathcal{SC} = \mathcal{C}^{\mathbf{a}} \times \mathcal{SC}^{\mathbf{h}} \tag{2}$$

where  $\mathcal{SC}^h$  is the H-dimensional subspace of  $\mathcal{C}^h$  defined by the first H PMDs. Then, if A is the dimension of  $\mathcal{C}^a$ , the planning will be done in a d-dimensional space with:

$$d = A + H$$
.

Therefore, a configuration  $c \in \mathcal{SC}$  will be a d-dimensional vector whose first A components correspond to the joints of the arm and whose last H components correspond to the H PMDs used to determine the values of the hand joints.

#### 4.2 Sampling regions

This subsection defines the subregions of SC where to obtain samples for the proposed PRM. Let:

- pos(c) be the function that returns the position coordinates of the arm wrist when the hand-arm system is located at configuration c,
- dist $(p_1, p_2)$  be the function that computes the Euclidean distance between two points  $p_1, p_2 \in \mathbb{R}^3$ ,
- B be a region of  $\mathcal{SC}$  defined as:

$$B(p,\delta) = \{ c \in \mathcal{SC} \mid \operatorname{dist}(\operatorname{pos}(c), p) \le \delta \}, \tag{3}$$

with  $p \in \mathbb{R}^3$  and  $\delta$  being a given distance threshold, – ConnComp $(c_{goal})$  be the set of configurations pertaining to the connected component of the PRM that contains  $c_{goal}$ .

Then, the following regions are defined:

- Region  $R_S$ . Usually, the paths of a hand-arm system to grasp an object have low clearances near the goal grasp configuration. Therefore, it is near the goal configuration where the PCA-based sampling proposal better contributes to improve sampling-based planners. For this reason,  $R_S$  is defined as the region of  $\mathcal{SC}$  containing the positions of the arm (i.e. the x, y and z coordinates of the robot wrist) whose distance from the position of the arm when it is located at the goal grasp configuration  $c_{goal}$  is below a given threshold  $\delta_R$ , i.e.:

$$R_S(\delta_R) = B(pos(c_{goal}), \delta_R)$$
(4)

A practical value of  $\delta_R$  is chosen approximately equal to the finger lengths.

- Region  $V_S$ . It is the region resulting from the PCA applied to the set S of all collision-free hand-arm configurations within  $R_S$  that belong to the same connected component than  $c_{goal}$ .  $V_S$  is a hyper-box of dimension d, aligned with the resulting new base, centered at the mean value of S, and with the length

of each side equal to three times the standard deviation of the data along the corresponding axis. Sampling within  $V_S$  results in hand-arm configurations  $(\theta_1, \ldots, \theta_A, \ldots, \theta_{A+H}) \in \mathcal{SC}$ .

- Region  $I_S$ . In order to compute  $V_S$  for the first time, a set of at least d samples are required (i.e. a number equal to the dimension of the Cspace). These are obtained by sampling a region  $I_S$  defined around  $c_{qoal}$  as:

$$I_S(\delta_I) = B(pos(c_{qoal}), \delta_I), \tag{5}$$

with  $\delta_I$  being a threshold smaller than  $\delta_R$  (a practical value  $\delta_I$  is around a third of  $\delta_R$ ). Sampling from  $I_S$  is done as follows:

- Arm configuration: The arm position is obtained by sampling a sphere of radius  $\delta_I$  centered at  $pos(c_{goal})$ ; the arm orientation is obtained by sampling around the goal orientation (the orientation is parameterized with three parameters using quaternions (Kuffner, 2004), and these parameters are varied a small amount around the values corresponding to  $c_{goal}$ ). Afterwards, the arm configuration  $(\theta_1, \ldots, \theta_A) \in \mathcal{C}^a$  is obtained using the inverse kinematics (if there are multiple solutions the same arm configuration as in  $c_{goal}$  is chosen).
- Hand configuration: The hand configuration  $(\theta_{A+1}, \dots, \theta_{A+H}) \in \mathcal{SC}^h$  is obtained by sampling each PMD in a predefined range around  $c_{qoal}$ .
- Region  $M_S$ . Samples further away from  $c_{goal}$  than those of  $I_S$  and  $V_S$  are needed to construct the whole roadmap to solve the query to connect  $c_{ini}$  and  $c_{goal}$ . Also, collision-free samples outside  $V_S$  are needed to recompute  $V_S$  to improve the coverage of the area of interest. For these purposes, a region  $M_S$  is defined in a similar way as  $R_S$  and  $I_S$ , but centered at any configuration of the connected component of  $c_{goal}$  and with a variable distance threshold that ranges from  $\delta_I$  to the distance between the initial and the goal arm positions, i.e.:

$$M_S(\delta_M) = B(pos(c_{cq}), \delta_M) \tag{6}$$

with  $\delta_I \leq \delta_M \leq \operatorname{dist}(\operatorname{pos}(c_{ini}), \operatorname{pos}(c_{goal}))$  and  $c_{cg} \in \operatorname{ConnComp}(c_{goal})$ . Sampling from  $M_S$  is done as follows:

- Arm configuration: The arm configuration is obtained as done from  $I_S$ , but slightly changing the procedure for the arm position, which is obtained by, first, randomly selecting a configuration  $c_{cg}$  of the connected component of  $c_{goal}$ , and then sampling a sphere of radius  $\delta_M$  centered at  $pos(c_{cg})$ .

 Hand configuration: The hand configuration is obtained by sampling each PMD within its whole range.

#### 4.3 PCA-based PRM

Algorithm 2 describes the proposed PRM that uses the PCA-based sampling method to solve problems for a real hand-arm robotic system; it is called PCA-based PRM. The algorithm first obtains collision-free configurations around the goal configuration by sampling within  $I_S$ , then it iteratively executes the following three steps until the solution is found or a maximum number of samples have been generated:

- 1. (Re)computes  $V_S$  using the samples of the roadmap within  $R_S$ .
- 2. Samples from  $V_S$ , adds the samples to the roadmap, searches for a solution and exits if it is found.
- 3. Samples from  $M_S$ , adds the samples to the roadmap, searches for a solution and exits if it is found.

Steps 2 and 3 are done by Algorithm 3, which use the following functions:

- Sample-From(B, n): Returns n collision-free configurations sampled from region B, following the steps described in the previous subsection for regions  $I_S$ ,  $V_S$  and  $M_S$ .
- PCA(s): Is the same function introduced in Section 3.2, with the length of each side of the returned hyperbox equal to three times the standard deviation of the data along the corresponding axis.
- PRM-ADD(S): Builds a roadmap with the set of samples S.
- PRM-SOLVE(s): Adds the collision-free configuration s to the roadmap and returns the path connecting the initial to the goal configuration, if it exists, or the empty-set otherwise.
- CONN-COMP(s,c): Returns true if s and c pertain to the same connected component of the roadmap.

In order to explore the part of the Cspace relevant to the query to be solved and to make emphasis around the cluttered zone around  $c_{goal}$ , the parameter  $\delta_M$  defining the size of  $M_S$  is not fixed, but it is initially set as  $\delta_M = \delta_I$  and then it is incremented at each iteration in steps  $\delta_I$  (i.e.  $\delta_M = \delta_M + \delta_I$ ), restarting at  $\delta_M = \delta_I$  whenever  $\delta_M$  becomes greater than dist(pos( $c_{ini}$ ), pos( $c_{goal}$ )).

The distance thresholds  $\delta_R$  and  $\delta_I$  depend on the mechanical hand used. Therefore, the planner has only two extra parameters to be defined by the user (the number of samples  $k_{M_S}$  and  $k_{V_S}$ ), besides the parameters of a basic PRM like the maximum number of neighbors per node and the neighbor distance threshold. The best values of  $k_{M_S}$  and  $k_{V_S}$  are discussed in Section 4.5.

#### Algorithm 2 PCA-based PRM

```
Require: c_{ini}: Initial configuration
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c_{goal}: Goal configuration
  \vec{d}: Dimension of the Cspace
  \delta_I, \delta_R: Distance thresholds
  k_{M_S}: number of free configurations to sample from M_S
  k_{V_S}: number of free configurations to sample from V_S
Ensure:
  P: path connecting c_{ini} and c_{goal}
  S_{PCA} = SAMPLE-FROM(I_S, d)
  \mathsf{PRM}	ext{-}\mathsf{ADD}(S_{PCA})
  \delta_M = \delta_I
  trials = 0
  while trials < MaxTrials do
      trials = trials + k_{M_S} + k_{V_S}
      V_S = PCA(S_{PCA})
      [P, S_{PCA}] = Sample-and-Solve(c_{ini}, c_{goal}, V_S, k_{V_S}, S_{PCA})
      if P \neq \emptyset then
          return P
      end if
      [P, S_{PCA}] = Sample-and-Solve(c_{ini}, c_{qoal}, M_S(\delta_M), k_{M_S}, S_{PCA})
      if P \neq \emptyset then
          return P
      end if
      \delta_M = \delta_M + \delta_I
      if \delta_M > \operatorname{dist}(\operatorname{pos}(c_{ini}), \operatorname{pos}(c_{goal})) then
          \delta_M = \delta_I
      end if
  end while
  return \emptyset
```

#### **Algorithm 3** Sample-and-Solve

 $c_{qoal}$ : Goal configuration

### Require: $c_{ini}$ : Initial configuration

```
Q: Sampling region k_Q: Number of samples S_{PCA}: Set of samples nsure: P: Path connecting c_{ini} and c_{goal} S_{PCA}: enlarged set of samples for i=1 to k_Q do s=\mathsf{SAMPLE}\text{-FROM}(Q,1) P=\mathsf{PRM}\text{-SOLVE}(s) if P\neq\emptyset then return [P,S_{PCA}] end if if s\in R_S and CONN-COMP(s,c_{goal}) then S_{PCA}=S_{PCA}\cup s end if end for return [\emptyset,S_{PCA}]
```

#### 4.4 Implementation

The proposed approach has been implemented as a specialized PRM planner inside the home-developed path planning framework called *The Kautham Project* (Pérez and Rosell, 2010). This tool was developed with the

open-source and cross-platform directives in mind (Pérez and Rosell, 2009), and it uses libraries such as Qt (Blanchette and Summerfield, 2006) for the user interface, Coin3D (Kongsberg Oil & Gas Technologies, 2010) for the graphical rendering and PQP (Larsen et al, 2000) for the collision detection. This application provides the developer with direct and inverse kinematic models of the robots, and with samplers, metrics and other tools needed for the development of planners.

With respect to the PCA-based PRM introduced in the paper, there are several alternatives for its implementation. One possibility is to use Octave (Eaton, 2002) or R (Foundation, 2011) together with a package like the RCPP (Eddelbuettel, 2011) to connect them to the application. However, since our application requires to perform PCA within the sampling loop, the performance criteria is considered a key factor, and for this reason the Armadillo C++ Linear Algebra Library has been used (Sanderson, 2010). This library is also opensource and has a good performance in response time for large volumes of data (Rosell et al, 2011a).

#### 4.5 Application Examples

Some application examples are given here to illustrate the ability of the proposed approach to find a solution path for a hand-arm robotic system moved towards a low-clearance grasp configuration. The hand-arm robotic system is composed of a TX90 Stäubli robot (6 dof) and the Schunk Anthropomorphic Hand, SAH (from which the first five PMDs have been selected). Therefore the dimension of the planning subspace  $\mathcal{SC}$  is d=11. In the first example the hand must grasp a thin T-shaped object and in the second one the hand must grasp a given (yellow) can in a cluttered environment. Fig. 4 and Fig. 5 show, respectively, an instance of the solution for each these two tasks.

Fig. 6 show the success rate for these two examples as a function of the values  $k_{M_s}$  and  $k_{V_s}$ , running 29 instances for each combination of values and using a maximum number of samples of 2,000 in the first example and 10,000 in the second one. It can be observed that the best rates occur for a large ratio  $k_{V_s}/k_{M_s}$ , showing the goodness of the proposal. In all the executions the distance thresholds for the SAH hand have been set to  $\delta_R=150$  mm and  $\delta_I=50$  mm.

The proposal has been compared with other importance sampling strategies:

- The Gaussian sampling strategy, that obtains configurations near the obstacles (Boor et al, 1999).
- The Bridge-test sampling strategy, that obtains configuration in narrow passages (Hsu et al, 2003).

Example	Approach	Samples	Success	Time
			Rate	(s)
T-object	PCA	1,240	100%	43.1
	Gaussian	15,740	76%	1558.3
	Bridge-test	15,586	79%	1627.8
Yellow can	PCA	12,307	100%	269.9
	Gaussian	20,778	85%	1264.0
	Bridge-test	21,595	76%	1245.5

Table 1: Performance evaluation comparison. Average values for 72 executions of the tasks shown in Fig. 4 and 5 using different approaches (*Samples* refers to the mean number of configurations that have been collision-checked; *Time* is the mean time of the successful trials).

These methods have been chosen because they are a reference in many evaluations, e.g. (Hsu et al, 2005; Denny and Amato, 2013), and comparative studies, e.g. (Geraerts and Overmars, 2004; Hsu et al, 2006). In order to make a fair comparison between these methods, the Gaussian and the Bridge-test sampling methods have been implemented within the main procedure given in Algorithm 2, just substituting the sampling in  $V_S$  by the sampling in a region  $G_S$  defined as:

$$G_S(\delta_I) = B(pos(c_{cg}), \delta_I) \text{ with } c_{cg} \in ConnComp(c_{goal}).$$
(7)

The sampling in  $G_S$  is implemented as follows:

- a) For the Gaussian sampling:
- Instantiate  $G_S$  by randomly choosing a configuration  $c_{cq} \in \text{ConnComp}(c_{qoal})$ .
- Obtain a sample  $s_1$  from  $G_S$  as done from  $M_S$ .
- Generate a random sample  $s_2$  at a distance from  $s_1$  chosen according to a normal distribution.
- Between  $s_1$  and  $s_2$  return the one that is not in collision (if both are free or in collision then discard them).

#### b) For the Bridge-test sampling:

- Instantiate  $G_S$  by randomly choosing a configuration  $c_{cg} \in \text{ConnComp}(c_{goal})$ .
- Obtain two samples from  $G_S$  as done from  $M_S$ .
- If both are in collision then return the mid point if it is a free configuration, otherwise discard all of them.

The average performance results obtained are shown in Table 1, using a maximum number of samples of 40,000 in both examples. The proposed method run with  $k_{V_s}=10$  and  $k_{M_s}=1$  (i.e. a ratio 10:1), resulting a success rate of 100%, outperforming those of the Gaussian and of the Bridge-test methods. Besides, the number of samples required and the computational cost were also significantly smaller.

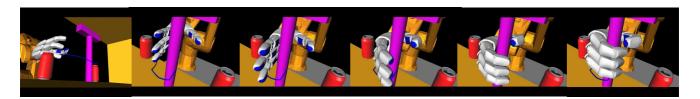


Fig. 4: Simulation of the plan to grasp the T-shape object.

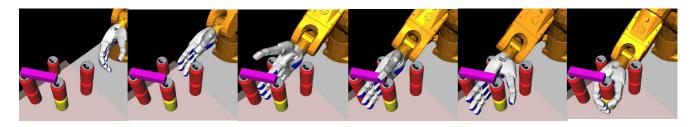
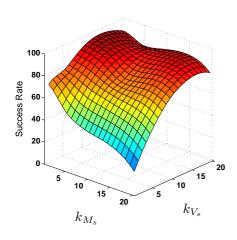


Fig. 5: Simulation of the plan to reach the yellow can in a cluttered environment.



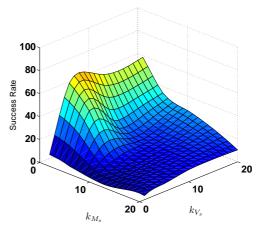


Fig. 6: Success rate as a function of  $k_{M_S}$  and  $k_{V_S}$  for the T-object example (left) and for the yellow can example (right). 29 instances of the problem were run for each combination of  $k_{M_S}$  and  $k_{V_S}$  in the range [1, 20].

Fig. 7 illustrates, both in simulation and in the real environment, the solution path for a third example in which the goal is also to grasp the yellow can in a cluttered environment. The accompanying video shows the simulation and real execution of this task.

#### 5 Conclusions

Principal Component Analysis has been previously used in the scope of path planning to reduce the dimensionality of the planning space. Within the scope of hand-arm robotic systems, PCA is also used in this paper as an importance sampling method, i.e. as a way to enlarge the probability to obtain samples from those difficult regions of the Configuration Space relevant to solve a

query. The search of a collision-free path to reach an object to be grasped is a difficult issue due to the low clearances that there exist near the goal grasp configuration and the large number of degrees of freedom involved. A probabilistic roadmap path planner that uses the PCA-based sampling method near the goal grasp configuration has been proposed and tested with success on a hand-arm robotic system composed of a four-finger anthropomorphic mechanical hand and an industrial robot. As a future work we are planning to extend the approach to consider uncertainty on the pose of the object to be grasped, which may affect the free path of the hand during the final movements for the grasping operation.

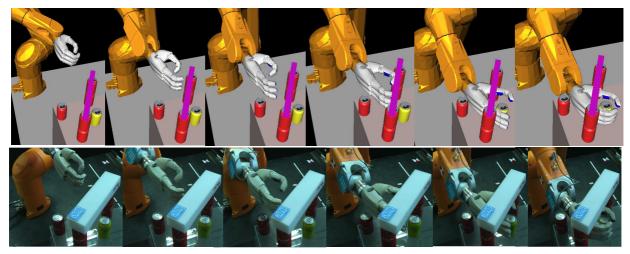


Fig. 7: Simulation and real execution of the plan to grasp the yellow can in a cluttered environment.

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