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**Open Family Policy Program**

**Methodology Report**

EU-SILC CS

ver.0.0

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1. Open Family Policy Program and Platform

The Open Family Policy Program translates family policy legislation into machine-readable and actionable code. The Program can be used with various social survey data to estimate eligibility to family policies, duration of benefit payment and the amount of benefits for each respondent in that survey. This methodology report, however, only refers to the part of the Program that has been developed to be used with the cross-sectional survey data of the European Union Statistics on Income and Living Conditions (EU-SILC). This version of the Program contains coding of maternity, paternity, and parental leave policies for years 2018 and 2019 in 28 European countries[[1]](#footnote-1). The Open Family Policy Program is available for free and can be used, distributed, and modified in line with the license conditions (section 2.).

The Open Family Policy Platform was created as a space for researchers to interact with the Open Family Policy Program. The Program is stored on GitHub where it can be downloaded for free without registration. Users registered at GitHub can also provide comments on the code, suggest or make changes themselves. All changes are checked and approved before they can be integrated into the program. GitHub stores all versions of the program and each version is retrievable. The Open Family Policy Platform site on GitHub also allows registered users to open and contribute to a discussion about various aspects of the Program in its ‘Issues’ and ‘Discussion’ sections. The Open Family Policy Platform website provides further information on how to interact in the GitHub interface.

Although the Program in ver.0.0 was specifically written for use in combination with the EU-SILC cross-sectional data, its structure allows users to apply it on any other survey data. This action will require some adjustments to the source code. Some indications of where the changes may be necessary can be found in sections 5-7. The annotation to the policy coding contains further information on the policy characteristics that have not been coded due to data limitations. Users may use this information to adjust their code if they have access to more detailed survey data. However, this information is not exhaustive, and we recommend the users to always check either the original legislative data sources that we used for writing the Program (see section 9) or the legislation for more detail.

1. License and terms of use

The source code of the Open Family Policy Program is licensed under the GNU General Public License v3. This is a copyleft license that guarantees freedom to share and change the source code as long as attribution is given, and the code remains open for all its users. For more detail on the licence see the document ‘LICENSE’ in the [GitHub repository](https://github.com/Open-Family-Policy-Platform/EU-SILC-CS) ‘EU-SILC CS’. Please, make sure you familiarised yourself with the terms of the license before you publish with the original or modified version of the Program.

1. Data management

The Open Family Policy Program is written in Stata language and is organised in do-files. All do-files are annotated to summarize the policy rules and coding decisions. There are three main types of do-files: (1) main file, (2) standardization files, and (3) family policy coding files.

1. Main file: “MAIN\_eusilc\_cs.do”

It contains a code that runs the program. It requires users to add their data and code directories.

1. Standardization files: “SD” prefix
   1. “SD\_merge\_eusilc\_cs.do” – merges the raw EU-SILC CS files
   2. “SD\_ML\_vars.do”, “SD\_PT\_vars.do”, “SD\_PL\_vars.do” – create maternity, paternity and parental leave variables
   3. “SD\_standard\_eusilc.do” – prepares the EU-SILC variables for the analysis. After some adjustments, this file can be used with other survey data (e.g. names and categories of the original variables). For more information see section 7.
   4. “SD\_uid\_eusilc.do” – creates unique household and personal identifiers for respondents
   5. “SD\_partners\_eusilc\_cs.do” – identifies partners among respondents
   6. “SD\_nchild\_eusilc\_cs.do” – identifies the number of children (<18 years old) in each household
   7. “SD\_sample\_eusilc\_cs.do” – this file determines the sample on which the Program will run
2. Family policy coding files:

The family policy coding files have prefixes according to the family policy they code; ‘ML’ for maternity leave, ‘PT’ for paternity leave, and ‘PL’ for parental leave. The names of the files follow certain standards; *policy acronym*\_*year*\_*ISO 3166-1 alpha-2 country code*\_*survey data*. For example, ML\_2018\_DK\_eusilc\_cs is a file containing code for maternity leave (ML) for the year 2018 in Denmark (DK), which can be run on EU-SILC (eusilc) cross-sectional data (cs). These files contain details on coding decisions of specific policies in each country.

The data files are stored in a [GitHub repository](https://github.com/Open-Family-Policy-Platform/EU-SILC-CS) where they are freely accessible. The repository is also stored at Zenodo and should be cited as:

CITATION

1. Legislative data

The family policy coding is based on two main sources of legislative data. The primary source is the Comparative Tables produced by the Mutual Information System on Social Protection (MISSOC, ver. 2019-07-01 and 2018-07-01). The data on maternity and paternity leave was collected from table IV. Maternity/Paternity. The data on parental leave was collected from table IX. Family benefits (Parental leave and benefit). MISSOC is also the main source of data on social protection for the self-employed.

The secondary source of legislative data is the Annual Reviews produced by the International Network on Leave Policies & Research (LP&R). The full list of data references can be found at the end of this Methodology Report (section 8.). In some cases, the original bill was used to code certain policies (Czechia, Slovakia). References to the specific legislation can be found in the do-files.

1. Core Assumptions

The Open Family Policy Program runs on several core assumptions. One set of assumptions related to the population that the program selects for the calculation of family policy entitlements. The second set of assumptions is related to the assumption about the policies and their uptake.

* 1. Population assumptions

The Program is designed to estimate family policy entitlements among *hypothetical parents*. The definition of hypothetical parents differs by gender and cohabitation status. Hypothetical parents are respondents of *childbearing age* who are understood as being at risk of having a biological child. We defined *childbearing age* by women’s biological ability to have children (16-45 years old). The Program, therefore, selects female respondents who are between the age of 16 and 45. Although men can conceive a child throughout their life, their childbearing age was limited by the same age range as for women (i.e. 16-45). However, this condition only applies to single men. The selection of male respondents who cohabit with their partner was conditioned on the age of their female partner. Therefore, the Program selects cohabiting men of any age if their cohabiting female partner is between the age of 16 and 45. EU-SILC is a household survey, all respondents that fulfil the conditions are recognised as hypothetical parents. One household can have two and more hypothetical parents. The Program does not include same-sex couples.

The do-file ‘SD\_sample\_eusilc\_cs’ contains the code for the sample selection based on these population assumptions. Users can use this do-file to adjust the population assumptions to better fit their research questions.

* 1. Policy assumptions

Leave benefits are often conditioned on *social insurance contributions*. These can be either compulsory or voluntary. Survey data often do not contain information on social insurance contributions. Instead, the Program uses economic activity as a proxy. If social insurance contributions are voluntary for any of the categories of economic activity, these respondents are coded as not eligible for the family policy.

Some countries require parents to pay their social insurance contributions or work for a certain amount of time before they become eligible for some family policies. We refer to this period as the *qualifying period* and it can vary in duration and *reference period*. We understand the reference period as the time frame within which the qualifying period can be fulfilled. For example, parents may need to work for 6 months (qualifying period) during 1 year before the expected due date (reference period). The Program does not distinguish the *reference period* as defined by the family policy legislations but instead uses the *income reference period* defined by the EU-SILC cross-sectional data. This refers to the 12 calendar months of the year preceding the year of the survey. For example, if EU-SILC data was collected in March 2018, the *income reference period* refers to January 2017 - December 2017. The *income reference period* is not the same for all countries in the EU-SILC sample. The United Kingdom uses the year of the survey as the *income reference period* and Ireland uses the 12 months before the data collection[[2]](#footnote-2).

Some countries provide *extensions* to leave duration in case of multiple births, health complications during pregnancy or childbirth, and in case of a child’s health issues or disability. The Program assumes healthy pregnancies, childbirths without complications, single pregnancies, and healthy newborn children. It, therefore, does not include any of the variation in leave duration due to these events. Parents in some countries have some *flexibility* in the way they use their leave entitlements. For example, mothers can choose the start of their maternity leave, or parents can choose when and how they will draw their parental leave entitlements (e.g. full-time or part-time, right after maternity leave or when the child is older). The Program does not account for this flexibility. In the case of prenatal maternity leave, it only includes those weeks that are compulsory for the mother. The program also assumes that the leave entitlements are used on a full-time basis and consecutively.

*Transferability* of rights from one parent to another is a typical characteristic of leave policies. Some countries allow mothers to transfer (part) of their maternity leave to fathers under certain conditions. The situations that allow the transferability of maternity leave to fathers vary across countries. The most common reasons are mothers’ health conditions, death, abandonment of the child or mothers’ preference. The Program recognises only one reason for the transferability of maternity leave rights to the father and that is when the mother abandons her child. Where legislation allows fathers to use maternity leave in such conditions, these entitlements were assigned to single men.

Parental leaves are based either on individual non-transferable rights, individual transferable rights, or family rights. Some countries combine different types of rights to time off work within the parental leave scheme and for cash benefits. For instance, in Czechia the time off work guaranteed by parental leave is an individual non-transferable right, however, the right to cash benefits for time spent on caring for a child is based on the family right. In case of individual non-transferable and transferable rights, the Program assigns all the entitlements to the appropriate parent. For family entitlement, the Program assigns all the entitlements to the mother.

These are the main policy assumptions the Program operates with. More details about the policy coding can be found in the annotation to the code in each policy coding do-file. The users can adjust most of these assumptions in the policy coding do-files (i.e. do-files with policy prefixes such as ML, PT, PL).

1. Time units and conversion standards

Across countries, the duration of leave policies, the duration of benefit payment and the qualifying periods are defined in various time units (e.g. days, weeks, months, years). The variation in the use of different time units is not limited to the cross-country level but is common also within policies and countries. We created our standards for time conversions to ensure comparability across countries. Users should follow these standards when recalculating the time values into different units to ensure consistency and comparability. Any diversion from these standards should be reported.

* 1. Week

Only a small number of countries define ‘week’. Some countries specify *calendar week* or *working week*. However, many countries only refer to a “week”. In such cases, the Program assumes “week” refers to the working week.

When the number of days in a week are specified, the Program operates with this specific number. The Program recognised *calendar week* as a *working week*. Similarly, *calendar days* and *days* are understood as *working days*.

* 1. Month

When months are converted into weeks, we use the average 4.3 weeks as a conversion measure (52 weeks/12 months = 4.33 weeks). When days are converted to months and vice versa, we use the average 21.7 working days in a month (5 working days \* [52 weeks/12 months] = 21.67 working days in a month).

* 1. Year

For conversions involving years, we follow the standard of 12 months, 52 weeks and 365 days in one year.

|  |  |
| --- | --- |
| **Legislative sources** | **Conversion standards** |
| Day | 8 hours |
| Week (also working week) → hours | 40 hours |
| Week (also working week) → days | 5 days |
| Calendar week → days | 7 days |
| Month → weeks | 4.3 weeks |
| Month → days | 21.7 working days |
| Calendar month → days | 30 days |
| Year → months | 12 months |
| Year → weeks | 52 weeks |
| Year → days | 365 days |

1. Standardization of the EU-SILC variables

The Program uses variable names that are meant to be universal. This allows the Program to be easily adjustable to different social surveys. One of the conditions of this process is to rename and recode the original survey variables so the survey can interact with the Program. This section lists all the variables that are renamed or recoded for the Program.

|  |  |
| --- | --- |
| **OFPP variables** | **EU-SILC CS variables** |
| **gender** “gender”  1 female  2 male | **rb090** “Sex”  1 male  2 female |
| **econ\_status** “economic status”  1 employed (pl031 = 1, 2)  2 self-employed (pl031 = 3, 4)  3 unemployed (pl031 = 5)  4 inactive (pl031 = 6-11) | **pl031** “Self-defined current economic status”  1 employee working full-time  2 employee working part-time  3 self-employed working full-time (including family worker)  4 self-employed working part-time (including family worker)  5 unemployed  6 pupil, student, further training, unpaid work experience  7 in retirement or in early retirement or has given up business  8 permanently disabled or/and unfit to work  9 in compulsory military or community service  10 fulfilling domestic tasks and care responsibilities  11 other inactive person |
| **duremp** “duration of employment”  Calculated as a total number of months in category “employed” over the 12 months. | **pl211a-l** “Main activity in January – December”  categories identical with pl031 |
| **dursemp** “duration of self-employment”  Calculated as a total number of months in category “self-employed” over the 12 months. | **pl211a-l** “Main activity in January – December”  categories identical with pl031 |
| **duredu** “duration of education”  Calculated as a total number of months in category “pupil, student, further training, unpaid work experience” over the 12 months. | **pl211a-l** “Main activity in January – December”  categories identical with pl031 |
| **unemp\_dur** “duration of unemployment”  Calculated as a total number of months in category “unemployed” over the 12 months. | **pl211a-l** “Main activity in January – December”  categories identical with pl031 |
| **earning** “monthly gross earning”  Total earning from employment and self-employment divided by the total number of months spent in employment and self-employment in the 12 months. | **py010g** “Employee cash or near cash income”  **py050g** “Cash profits or losses from self-employment” |
| **age** “age at the time of the interview” | **rx010** “Age at the time of interview” |
| **region** “region” | **db040** “Region” |
| **parstat** “partnership status”  1 single (pb200 = 3)  2 married/cohabiting (pb200 = 1, 2) | **pb200** “Consensual union”  1 Yes, on a legal basis  2 Yes, without a legal basis  3 No |
| **whours** “working hours” | **pl060** “Number of hours usually worked per week in main job” |

1. Policy variables

The Open Family Policy Program creates variables indicating eligibility for leave policy (eli), duration of leave (dur), and the amount of benefits (ben). Each variable has a prefix that corresponds with the policy acronym.

* 1. Maternity leave

**ml\_eli** Eligibility for maternity leave

1. not eligible
2. eligible

*Note*: Single men are coded as eligible in case the legislation allows to transfer (part) of the maternity leave to the father if the mother abandons the child.

**ml\_dur1** Duration of compulsory prenatal maternity leave

0-999 weeks

*Note*: Only compulsory prenatal leave is included. Prenatal leave that can be taken after childbirth is included in ml\_dur2.

**ml\_dur2** Duration of postnatal maternity leave

0-999 weeks

**ml\_ben1** Average maternity leave benefit per month

0-9999 Euros

*Note*: The benefits are calculated from gross earnings. The amount of benefits may change over the course of maternity leave. This variable provides the average amount of benefit across the whole maternity leave. The values are in Euros. In countries with national currencies, the values of benefits are primarily sourced from the MISSOC database. In rare cases where the value was not included in the MISSOC database, values published in the LP&R Annual Reviews were used instead.

**ml\_ben2** Maternity leave benefit (first month)

0-9999 Euros

*Note*: The benefits are calculated from gross earnings. This variable provides the amount of benefit in the first month of maternity leave. The values are in Euros.

* 1. Paternity leave

**pt\_eli** Eligibility for paternity leave

1. eligible
2. not eligible

**pt\_dur** Duration of paternity leave

0-999 weeks

**pt\_ben1** Average paternity leave benefit (monthly)

0-9999 Euros

*Note*: The benefits are calculated from gross earnings. The amount of benefits may change over the course of paternity leave. This variable provides the average amount of benefit across the whole paternity leave. The values are in Euros.

The duration of paternity leave is typically shorter than one month. The calculation of paternity leave benefits takes this into account. The paternity leave benefit is a composite indicator that is a sum of paternity leave benefit share and the earning share. For example, if paternity leave is 2 weeks long and compensated for 80% of earnings, the paternity leave benefit is calculated as follows: [(0.8\*earning) \* 2)] + [earning\*2.3]. If monthly earning is € 1,000, the value of paternity leave benefit will be [(0.8\*1000)/2] + [1000/2.3] = 400 + 434,8 = 834,8.

**pt\_ben2** Paternity leave benefit (first month)

0-9999 Euros

*Note*: The benefits are calculated from gross earnings. The duration of paternity leave is typically shorter than one month. The calculation of paternity leave benefits takes this into account. The paternity leave benefit is a composite indicator that is a sum of paternity leave benefit share and the earning share. For example, if paternity leave is 2 weeks long and compensated for 80% of earnings, the paternity leave benefit is calculated as follows: [(0.8\*earning) \* 2)] + [earning\*2.3]. If monthly earning is € 1,000, the value of paternity leave benefit will be [(0.8\*1000)/2] + [1000/2.3] = 400 + 434,8 = 834,8.

* 1. Parental leave

**pl\_eli** Eligibility for parental leave

1. eligible
2. not eligible

**pl\_dur** Duration of parental leave

0-999 weeks

**pl\_ben1** Average parental leave benefit (monthly)

0-9999 Euros

*Note*: The benefits are calculated from gross earnings. The amount of benefit may

change over the course of paternity leave. This variable provides the average amount of benefit across the whole paternity leave. The values are in Euros.

**pl\_ben2** Parental leave benefit (first month)

0-9999 Euros

*Note*: The benefits are calculated from gross earnings.

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|  |
| --- |
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1. Austria, Belgium, Bulgaria, Croatia, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Iceland, Italy, Latvia, Lithuania, Luxembourg, the Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, the United Kingdom. [↑](#footnote-ref-1)
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