

Building and evaluation of a PBPK model for Midazolam in healthy adults

Version	1.1-OSP12.0
based on <i>Model Snapshot and Evaluation Plan</i>	https://github.com/Open-Systems-Pharmacology/Midazolam-Model/releases/tag/v1.1
OSP Version	12.0
Qualification Framework Version	3.3

This evaluation report and the corresponding PK-Sim project file are filed at:

<https://github.com/Open-Systems-Pharmacology/OSP-PBPK-Model-Library/>

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1 Introduction

Midazolam is a widely-used sedative, approved as premedication before surgical interventions. It is almost exclusively metabolized by CYP3A4 making it a sensitive probe and victim drug for the investigation of CYP3A4 activity *in vivo*. Midazolam shows substantial first pass metabolism resulting in a bioavailability of under 50%. Less than 1% of a midazolam dose is excreted unchanged in urine.

The herein presented model represents an update of the midazolam model published by Hanke et al. ([Hanke 2018](#)). The model has been developed using in particular published pharmacokinetic clinical data by Hohmann et al. ([Hohmann 2015](#)), Hyland et al. 2009 ([Hyland 2009](#)) and Thummel et al. 1996 ([Thummel 1996](#)). It has then been evaluated by comparing observed data to simulations of a large number of clinical studies covering a dose range of 0.05 mg/kg to 20 mg after intravenous and oral administrations. Furthermore, it has been evaluated within a CYP3A4 DDI modeling network as a victim drug.

Model features include:

- metabolism by CYP3A4
- (direct) metabolism by UGT1A4
- excretion into urine via glomerular filtration
- a decrease in the permeability between the intracellular and interstitial space (model parameters `P (intracellular->interstitial)` and `P (interstitial->intracellular)`) in intestinal mucosa to optimize quantitatively the extent of gut wall metabolism
- and binding to a hypothetical binding partner in the brain to optimize a late redistribution phase in midazolam plasma concentrations.

2 Methods

2.1 Modeling Strategy

The general concept of building a PBPK model has previously been described by Kuepfer et al. ([Kuepfer 2016](#)). Relevant information on anthropometric (height, weight) and physiological parameters (e.g. blood flows, organ volumes, binding protein concentrations, hematocrit, cardiac output) in adults was gathered from the literature and has been previously published ([Willmann 2007](#)). The information was incorporated into PK-Sim® and was used as default values for the simulations in adults.

The applied activity and variability of plasma proteins and active processes that are integrated into PK-Sim® are described in the publicly available PK-Sim® Ontogeny Database Version 7.3 ([PK-Sim Ontogeny Database Version 7.3](#)) or otherwise referenced for the specific process.

First, a mean model was built using clinical data from single dose studies with intravenous and oral administration of midazolam by Hohmann et al. ([Hohmann 2015](#)) (reporting plasma concentrations), Hyland et al. 2009 ([Hyland 2009](#)) (reporting the dose fraction metabolized via UGT1A4), and Thummel et al. 1996 ([Thummel 1996](#)) (reporting the dose fraction excreted into urine of unchanged drug). The mean PBPK model was developed using a typical European individual. The relative tissue-specific expressions of enzymes predominantly being involved in the metabolism of midazolam (CYP3A4 and UGT1A4) were considered ([Meyer 2012](#)).

A specific set of parameters (see below) was optimized using the Parameter Identification module provided in PK-Sim®. Structural model selection was mainly guided by visual inspection of the resulting description of data and biological plausibility.

Once the appropriate structural model was identified, additional parameters for tablet formulations were identified.

The model was then verified by simulating further clinical studies reporting pharmacokinetic concentration-time profiles of midazolam.

Details about input data (physicochemical, *in vitro* and clinical) can be found in [Section 2.2](#).

Details about the structural model and its parameters can be found in [Section 2.3](#).

2.2 Data

2.2.1 In vitro and physicochemical data

A literature search was performed to collect available information on physicochemical properties of midazolam. The obtained information from literature is summarized in the table below, and is used for model building.

Parameter	Unit	Value	Source	Description
MW	g/mol	325.78	DrugBank DB00683	Molecular weight
pK _{a1}		10.95	Wang 2019	acid dissociation constant of conjugate acid; compound type: ampholyte
pK _{a2}		6.2	Wang 2019	acid dissociation constant of conjugate acid; compound type: ampholyte
Solubility (pH)	mg/mL	0.13 (5)	Heikkinen 2012	Aqueous Solubility
		0.049 (6.5)	Heikkinen 2012	FaSSIF (fasted state simulated intestinal fluid) solubility
		0.09 (5)	Heikkinen 2012	FeSSIF (fed state simulated intestinal fluid) solubility
logP		3.53	Wang 2019	Partition coefficient between octanol and water
		3.0	Dagenais 2009	Partition coefficient between octanol and water
		3.37	Bolger 2006	Partition coefficient between octanol and water
		3.1	Rodgers 2006	Partition coefficient between octanol and water
fu	%	3.1	Gertz 2010	Fraction unbound in plasma
	%	3.2	Wang 2019	Fraction unbound in plasma
	%	2.2	Lown 1995	Fraction unbound in plasma
	%	3.1	Björkman 2001	Fraction unbound in plasma in men
	%	3.1	Björkman 2001	Fraction unbound in plasma in women
V _{max} , K _m CYP3A4	pmol/min/pmol, μmol/L	1.96 2.69	Galentin 2004	CYP3A4 supersomes Michaelis-Menten kinetics (alpha-hydroxylation)
V _{max} , K _m CYP3A4	pmol/min/mg, μmol/L	850 4	Bolger 2006	CYP3A liver mircosomes Michaelis-Menten kinetics
V _{max} , K _m CYP3A4	nmol/min/mg, μmol/L	4.41 3.8	Ito 2003	CYP3A liver mircosomes Michaelis-Menten kinetics (alpha-hydroxylation)
V _{max} , K _m CYP3A4	nmol/min/mg, μmol/L	0.18 3.9	Patki 2003	CYP3A liver mircosomes Michaelis-Menten kinetics (alpha-hydroxylation)
V _{max} , K _m CYP3A4	pmol/min/pmol, μmol/L	5.23 2.16	Wang 2019	CYP3A4 supersomes Michaelis-Menten kinetics (alpha-hydroxylation)
V _{max} , K _m UGT1A4	pmol/min/mg, μmol/L	276 37.8	Klieber 2008	UGT1A4 liver mircosomes Michaelis-Menten kinetics
K _D GABRG2	nmol/L	1.8	Buhr 1997	Binding affinity to GABRG2 (Gamma-Aminobutyric Acid Type A Receptor Subunit Gamma2)

2.2.2 Clinical data

A literature search was performed to collect available clinical data on midazolam in adults.

The following publications were found in adults for model building:

Publication	Arm / Treatment / Information used for model building
Hohmann 2015	Plasma PK profiles in healthy subjects after single dose administrations of midazolam solutions: - intravenous 0.001 mg - intravenous 1 mg - oral 0.003 mg - oral 3 mg
Hyland 2009	Quantification of direct UGT1A4-formed midazolam- <i>N</i> -glucuronide (in urine) after administration of a 3 mg oral and 1 mg intravenous dose of midazolam. See table below for summary of data.
Thummel 1996	Quantification of unchanged midazolam in urine after administration of a 2 mg oral and 1 mg intravenous dose of midazolam. See table below for summary of data.
Ahonen 1995	Plasma PK profiles in healthy subjects with single dose administrations of a midazolam 7.5 mg tablet (in the absence of itraconazole)
Olkola 1994	Plasma PK profiles in healthy subjects with single dose administrations of a midazolam 7.5 mg tablet (in the absence of itraconazole)
Olkola 1996	Plasma PK profiles in healthy subjects with single dose administrations of a midazolam 7.5 mg tablet (in the absence of itraconazole)
Saari 2006	Plasma PK profiles in healthy subjects with single dose administrations of a midazolam 7.5 mg tablet (in the absence of voriconazole)
Link 2008	Plasma PK profiles in healthy subjects with single dose administrations of a midazolam 7.5 mg tablet (in the absence of rifampicin)

The following table shows the data from the excretion studies ([Thummel 1996](#), [Hyland 2009](#)) used for model building:

Observer	Value
Fraction excreted to urine of unchanged midazolam after iv administration (female)	0.27%
Fraction excreted to urine of unchanged midazolam after iv administration (male)	0.28%
Fraction excreted to urine of unchanged midazolam after po administration (female)	0.31%
Fraction excreted to urine of unchanged midazolam after po administration (male)	0.47%
Fraction metabolized UGT1A4 (to midazolam- <i>N</i> -glucuronide) after iv administration	2.16%
Fraction metabolized UGT1A4 (to midazolam- <i>N</i> -glucuronide) after po administration	1.29%

The following dosing scenarios were simulated and compared to respective data for model verification:

Scenario	Data reference
iv 0.05 mg/kg (2 min)	Olkkola 1993
iv 0.05 mg/kg (30 min)	Gorski 1998
	Gorski 2003
	Quinney 2008
iv 0.05 mg/kg (bolus)	Szalat 2007
iv 0.075 mg/kg (1 min)	Allonen 1981
	Swart 2002
iv 0.15 mg/kg (bolus)	Heizmann 1983
iv 1 mg (bolus)	Kharasch 1997
	Kharasch 2004
	Kharasch 2011
	Phimmasone 2001
	Shin 2013
	Shin 2016
iv 1 mg (2 min) Corean CYP3A5*3/*3 only, CYP3A4 reference concentration adjusted	Yu 2004
iv 2 mg (bolus)	Darwish 2008
iv 5 mg (30 sec)	Schwagmeier 1998
iv 5 mg (bolus)	Smith 1981
po 0.01 mg (solution)	Prueksaritanont 2017
po 0.075 mg (solution)	Eap 2004
po 0.075 mg/kg (syrup)	Chung 2006
po 1 mg (solution)	van Dyk 2018
	Wiesinger 2020
	Chattopadhyay 2018
po 10 mg (solution)	Lam 2003
	Smith 1981
po 10 mg (tablet)	Heizmann 1983
	Smith 1981
po 15 mg (tablet)	Allonen 1981
	Backman 1994
	Backman 1996
	Backman 1998
	Bornemann 1986
	Olkkola 1993

Scenario	Data reference
	Yeates 1996
	Zimmermann 1996
po 15 mg (tablet) - with 1h after high-fat breakfast	Bornemann 1986
po 2 mg (solution)	Templeton 2010
	Lutz 2018
po 20 mg (tablet)	Heizmann 1983
po 3 mg (solution)	Katzenmaier 2010
	Kharasch 2004
	Kharasch 2011
	Markert 2013
po 3.5 mg (solution)	Quinney 2008
po 4 mg (solution)	Gorski 1998
	Gorski 2003
po 40 mg (tablet)	Heizmann 1983
po 5 mg (solution)	Darwish 2008
	Okudaira 2007
	Tham 2006
po 6 mg (solution)	Greenblat 2003
po 7.5 mg (solution)	Eap 2004
po 8 mg (solution)	Gurley 2006
	Gurley 2008a
Mikus 2017 (4 mg po solution, followed by 2 mg iv administration 6 hours later)	Mikus 2017

2.3 Model Parameters and Assumptions

2.3.1 Absorption

The model parameter `specific intestinal permeability` was optimized to best match clinical data (see [Section 2.3.4](#)). The default solubility was assumed to be the measured value in FaSSIF (fasted state simulated intestinal fluid, see [Section 2.2.1](#))

The dissolution of tablets were implemented via an empirical Weibull dissolution tablet. However, dissolution does not seem to be relevant in terms of *rate-limiting* kinetics; see results of optimization in [Section 2.3.4](#).

2.3.2 Distribution

Midazolam is moderately to highly protein bound (approx. 97 %) in plasma (see [Section 2.2.1](#)). A value of 3.1% was used in this PBPK model for `Fraction unbound (plasma, reference value)`. It was assumed that the major binding partner is albumin.

An important parameter influencing the resulting volume of distribution is lipophilicity. The reported experimental logP values are in the range of 3 (see [Section 2.2.1](#)) which served as a starting value. Finally, the model parameters `Lipophilicity` was optimized to match best clinical data (see also [Section 2.3.4](#)).

After testing the available organ-plasma partition coefficient and cell permeability calculation methods built in PK-Sim, observed clinical data was best described by choosing the partition coefficient calculation by `Rodgers and Rowland` and cellular permeability calculation by `PK-Sim Standard`.

Initial model building showed that the late disposition (approx. 24 hours after administration) could not be well described. This effect was assumed to be (re-)distribution-related. Finally, binding to a hypothetical binding partner in the brain was assumed (motivated by the target of midazolam: GABA receptor). After implementation of *in vitro* binding affinity to GABRG2 (Gamma-Aminobutyric Acid Type A Receptor Subunit Gamma 2) (see [Section 2.2.1](#)), the `Reference concentration` of GABRG2 was optimized to match best clinical data (see also [Section 2.3.4](#)). Note that the respective `koff` value was assumed to be 1 min^{-1} .

2.3.3 Metabolism and Elimination

Two metabolic pathways were implement into the model via Michaelis-Menten kinetics

- CYP3A4
- UGT1A4

The CYP3A4 expression profiles is based on high-sensitive real-time RT-PCR ([Nishimura 2013](#)). UGT1A4 was assumed to be exclusively expressed in the liver. Absolute tissue-specific expressions were obtained by considering the respective absolute concentration in the liver. The PK-Sim database provides a default value for CYP3A4 (compare [Rodrigues 1999](#) and assume 40 mg protein per gram liver). A reference concentration of 2.32 $\mu\text{mol/L}$ in the liver for UGT1A4 was derived from a quantification reported by Achour *et al.* ([Achour 2014](#)) with 58.0 pmol/mg in Human Liver Microsomes (assuming 40 mg protein per gram liver).

Additionally, a renal clearance (assumed to be mainly driven by glomerular filtration) was implemented.

The first model simulations showed that gut wall metabolism was underrepresented in the PBPK model. In order to increase gut wall metabolism, the “mucosa permeability on basolateral side” (jointly the model parameters in the mucosa: `P (interstitial->intracellular)` and `P (intracellular->interstitial)`) was estimated. A decrease in this permeability may lead to higher gut wall concentrations and, in turn, to a higher gut wall elimination. This parameter was preferred over other parameters such as relative CYP3A4 expression or fraction unbound (fu) in the gut wall as it is technically not limited to a maximum value of 100%.

2.3.4 Automated Parameter Identification

This is the result of the final parameter identification for the base model:

Model Parameter	Optimized Value	Unit
Lipophilicity	2.897	Log Units
Specific intestinal permeability	1.555E-4	cm/min
Basolateral mucosa permeability ($P_{\text{(interstitial} \rightarrow \text{intracellular)}}$, $P_{\text{(intracellular} \rightarrow \text{interstitial)}}$)	1.924E-3	cm/min
K_m (CYP3A4)	4 FIXED (see Section 2.2.1)	$\mu\text{mol/L}$
k_{cat} (CYP3A4)	8.761	1/min
K_m (UGT1A4)	37.8 FIXED (see Section 2.2.1)	$\mu\text{mol/L}$
k_{cat} (UGT1A4)	3.591	1/min
GFR fraction	0.6401	
Reference concentration (GABRG2)	1.088 [*]	$\mu\text{mol/L}$

* The value in the model was updated to 1.041 with the release of PK-Sim 10 to account for the updated calculation method of interstitial concentrations (please refer to the respective [release notes of version 10](#)).

This is the result of the final parameter identification for the dissolution parameters of a midazolam tablet:

Model Parameter	Optimized Value	Unit
Dissolution time (50% dissolved)	0.0107	min
Dissolution shape	4.3803	

3 Results and Discussion

The PBPK model for midazolam was developed and verified with clinical pharmacokinetic data.

The model was built and evaluated covering data from studies including in particular

- intravenous (bolus and infusions) and oral administrations (solution and tablets).
- a dose range of 0.001 to 40 mg.

The model quantifies metabolism via CYP3A4 and UGT1A4.

The next sections show:

1. the final model input parameters for the building blocks: [Section 3.1](#).
2. the overall goodness of fit: [Section 3.2](#).
3. simulated vs. observed concentration-time profiles for the clinical studies used for model building and for model verification: [Section 3.3](#).

3.1 Final input parameters

The compound parameter values of the final PBPK model are illustrated below.

Compound: Midazolam

Parameters

Name	Value	Value Origin	Alternative	Default
Solubility at reference pH	0.13 mg/ml	Publication-In Vitro-Heikkinen 2012	Aqueous solubility	False
Reference pH	5	Publication-In Vitro-Heikkinen 2012	Aqueous solubility	False
Solubility at reference pH	0.049 mg/ml	Publication-In Vitro-Heikkinen 2012	FaSSIF	True
Reference pH	6.5	Publication-In Vitro-Heikkinen 2012	FaSSIF	True
Solubility at reference pH	0.09 mg/ml	Publication-In Vitro-Heikkinen 2012	FeSSIF	False
Reference pH	5	Publication-In Vitro-Heikkinen 2012	FeSSIF	False
Lipophilicity	2.8972038771 Log Units	Parameter Identification-Parameter Identification-Value updated from 'PI Hohmann iv+po, Hyland feUr MDZG, Thummel feUr unchanged - Pint' on 2019-04-09 16:10	Optimized	True
Fraction unbound (plasma, reference value)	0.031	Parameter Identification-Parameter Identification-Value updated from 'PI Hohmann iv+po, Hyland feUr MDZG, Thummel feUr unchanged - Pint' on 2019-04-09 16:10	Gertz et al. 2010	True

Name	Value	Value Origin	Alternative	Default
Specific intestinal permeability (transcellular)	0.00015549970673 cm/min	Parameter Identification-Parameter Identification-Value updated from 'PI Hohmann iv+po, Hyland feUr MDZG, Thummel feUr unchanged - Pint' on 2019-04-09 16:10	Optimized	True
Cl	1			
F	1			
Is small molecule	Yes			
Molecular weight	325.78 g/mol			
Plasma protein binding partner	Albumin			

Calculation methods

Name	Value
Partition coefficients	Rodgers and Rowland
Cellular permeabilities	PK-Sim Standard

Processes

Specific Binding: GABRG2-Buhr 1997

Molecule: GABRG2

Parameters

Name	Value	Value Origin
koff	1 1/min	Parameter Identification-Parameter Identification-Value updated from 'PI Hohmann iv+po, Hyland feUr MDZG, Thummel feUr unchanged - Pint' on 2019-04-09 16:10
Kd	1.8 nmol/l	

Systemic Process: Glomerular Filtration-Optimized

Species: Human

Parameters

Name	Value	Value Origin
GFR fraction	0.6401025724	Parameter Identification-Parameter Identification-Value updated from 'PI Hohmann iv+po, Hyland feUr MDZG, Thummel feUr unchanged - Pint' on 2019-04-09 16:10

Metabolizing Enzyme: CYP3A4-Optimized

Molecule: CYP3A4

Parameters

Name	Value	Value Origin
In vitro Vmax for liver microsomes	850 pmol/min/mg mic. protein	
Km	4 µmol/l	Other-In Vitro-aggregated from literature
kcat	8.7607941215 1/min	Parameter Identification-Parameter Identification-Value updated from 'PI Hohmann iv+po, Hyland feUr MDZG, Thummel feUr unchanged - Pint' on 2019-04-09 16:10

Metabolizing Enzyme: UGT1A4-Optimized

Molecule: UGT1A4

Parameters

Name	Value	Value Origin
In vitro Vmax for liver microsomes	276 pmol/min/mg mic. protein	Publication-Klieber 2008
Content of CYP proteins in liver microsomes	58 pmol/mg mic. protein	Publication-Achour 2014
Km	37.8 µmol/l	Publication-Klieber 2008
kcat	3.5911771641 1/min	Parameter Identification-Parameter Identification-Value updated from 'PI Hohmann iv+po, Hyland feUr MDZG, Thummel feUr unchanged - Pint' on 2019-04-09 16:10

Formulation: Tablet (Dormicum)

Type: Weibull

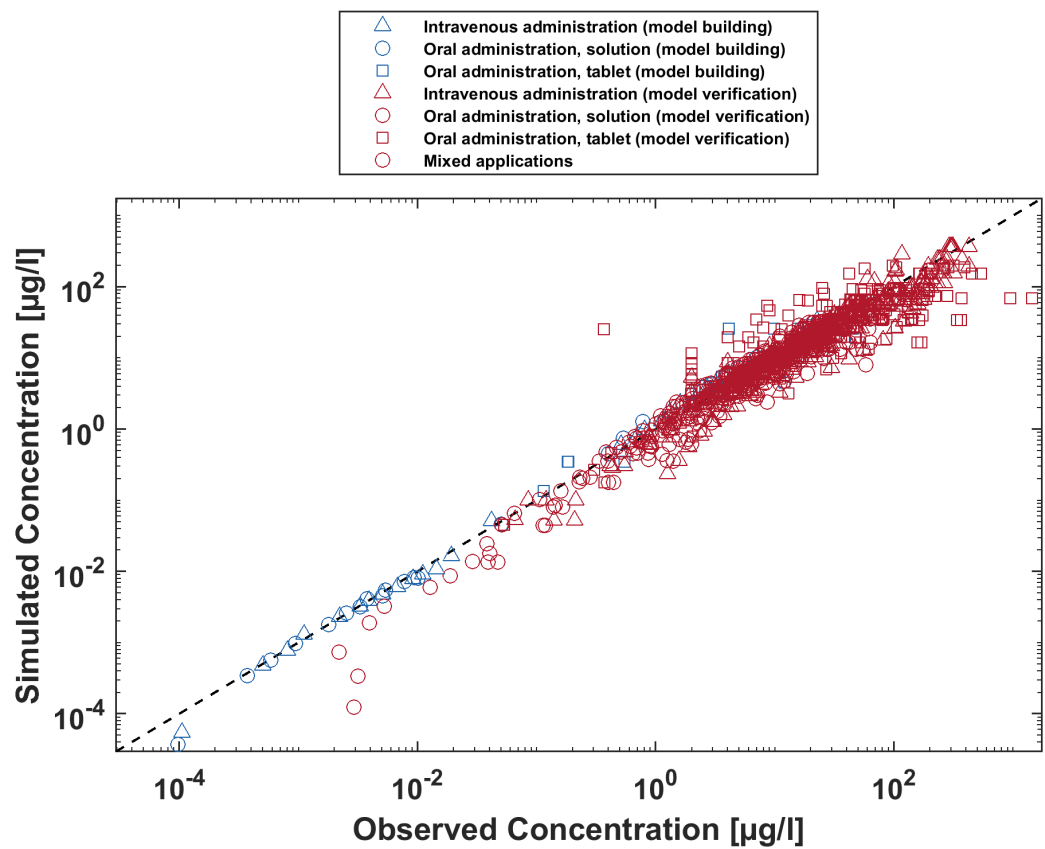
Parameters

Name	Value	Value Origin
Dissolution time (50% dissolved)	0.0107481462 min	Parameter Identification-Parameter Identification-Value updated from 'PI Tablet 7.5 mg' on 2019-04-09 16:30
Lag time	0 min	
Dissolution shape	4.3802943225	Parameter Identification-Parameter Identification-Value updated from 'PI Tablet 7.5 mg' on 2019-04-09 16:30
Use as suspension	Yes	

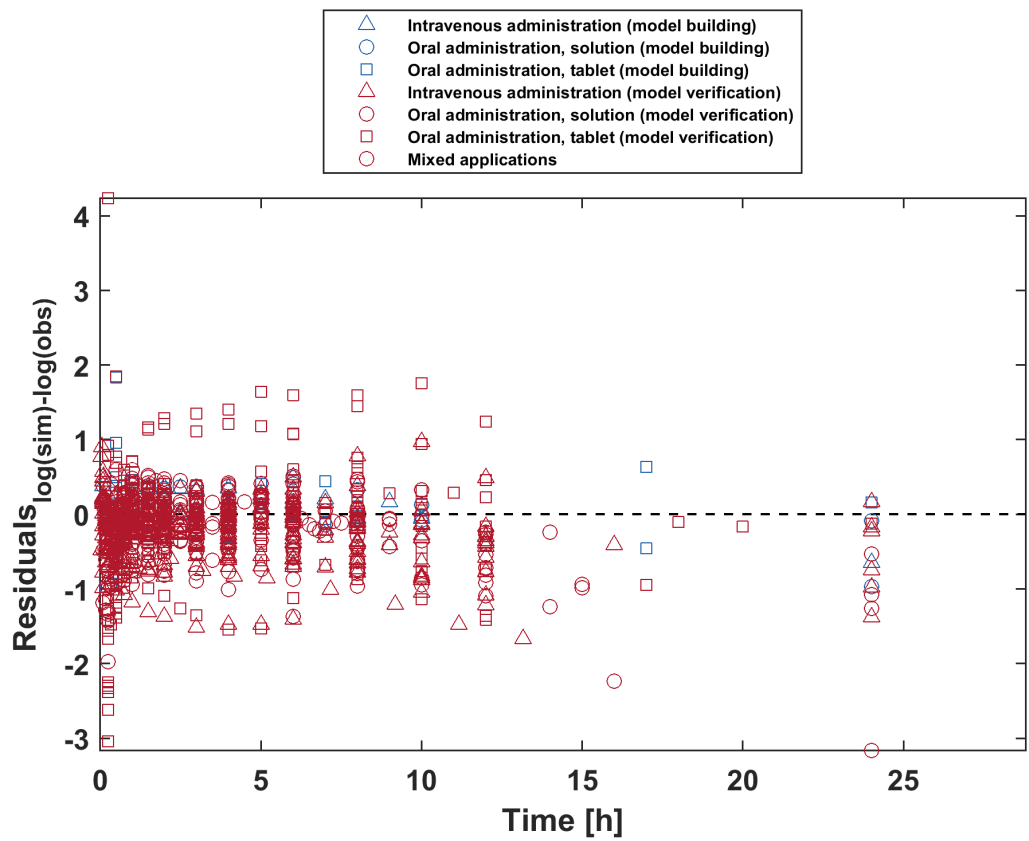
3.2 Diagnostics Plots

Below you find the goodness-of-fit visual diagnostic plots for the PBPK model performance of all data used presented in [Section 2.2.2](#).

The first plot shows observed versus simulated plasma concentration, the second weighted residuals versus time.



Midazolam concentration in plasma/blood



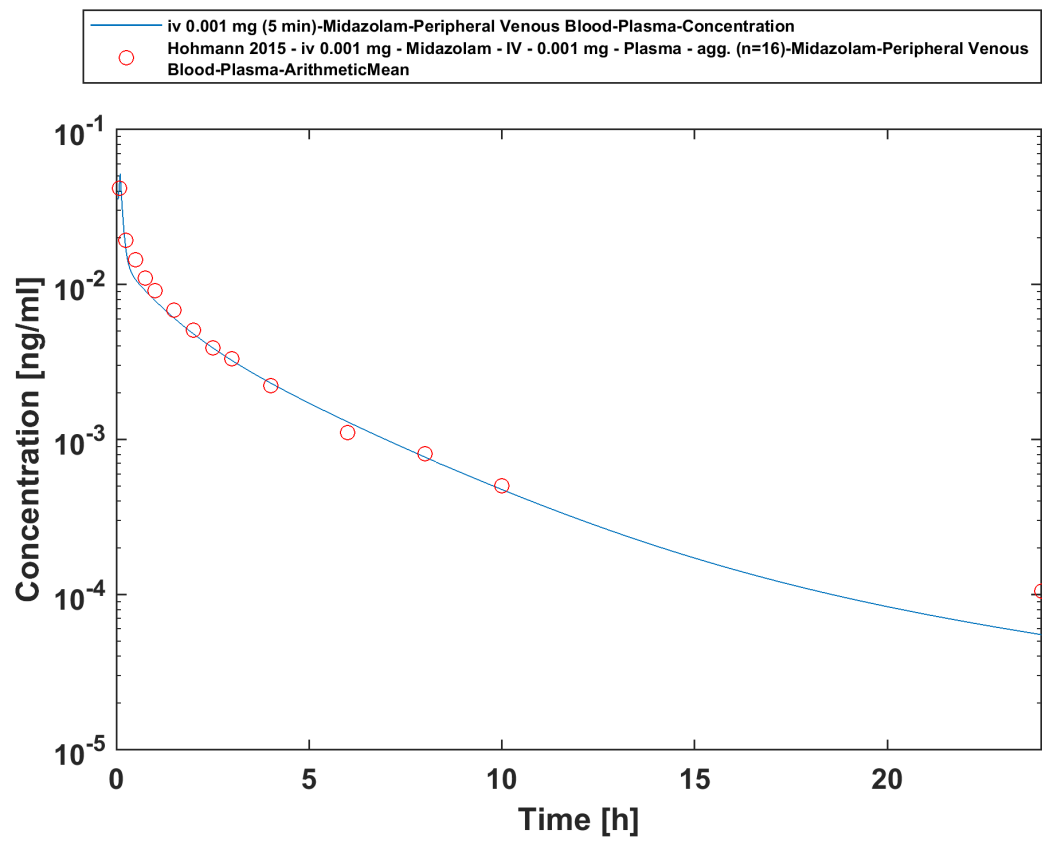
Midazolam concentration in plasma/blood

GMFE = 1.453528

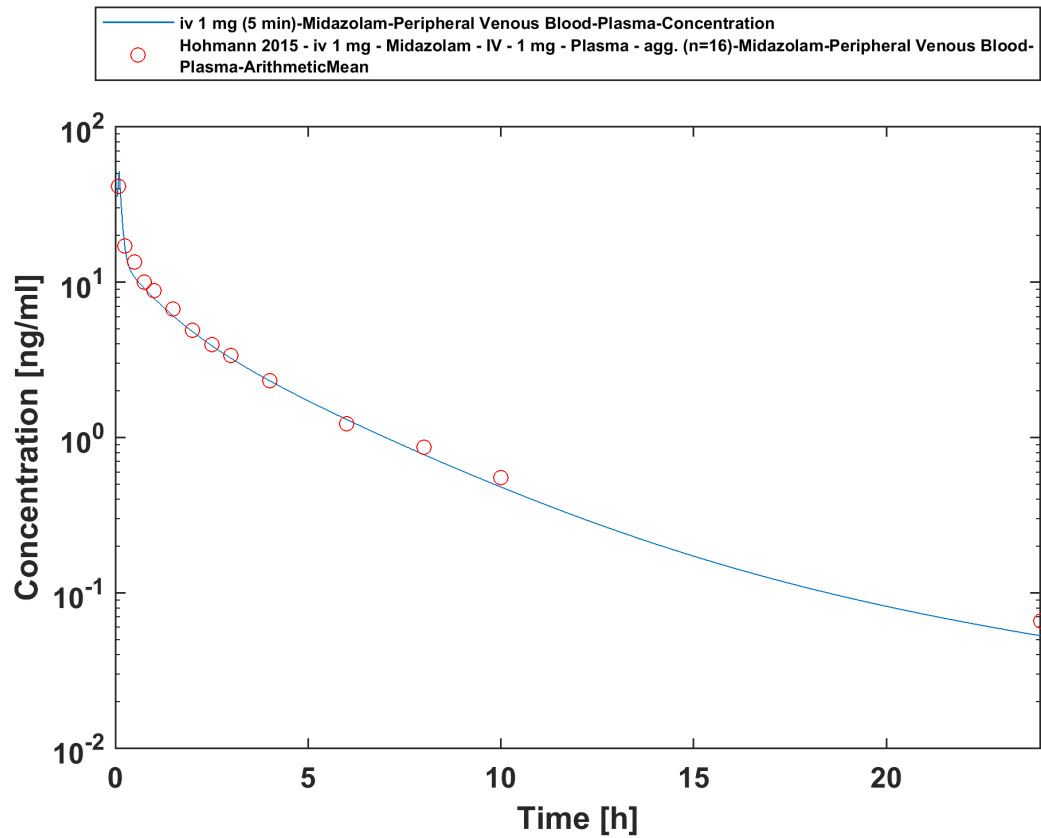
3.3 Concentration-Time Profiles

Simulated versus observed concentration-time profiles of all data listed in [Section 2.2.2](#) are presented below.

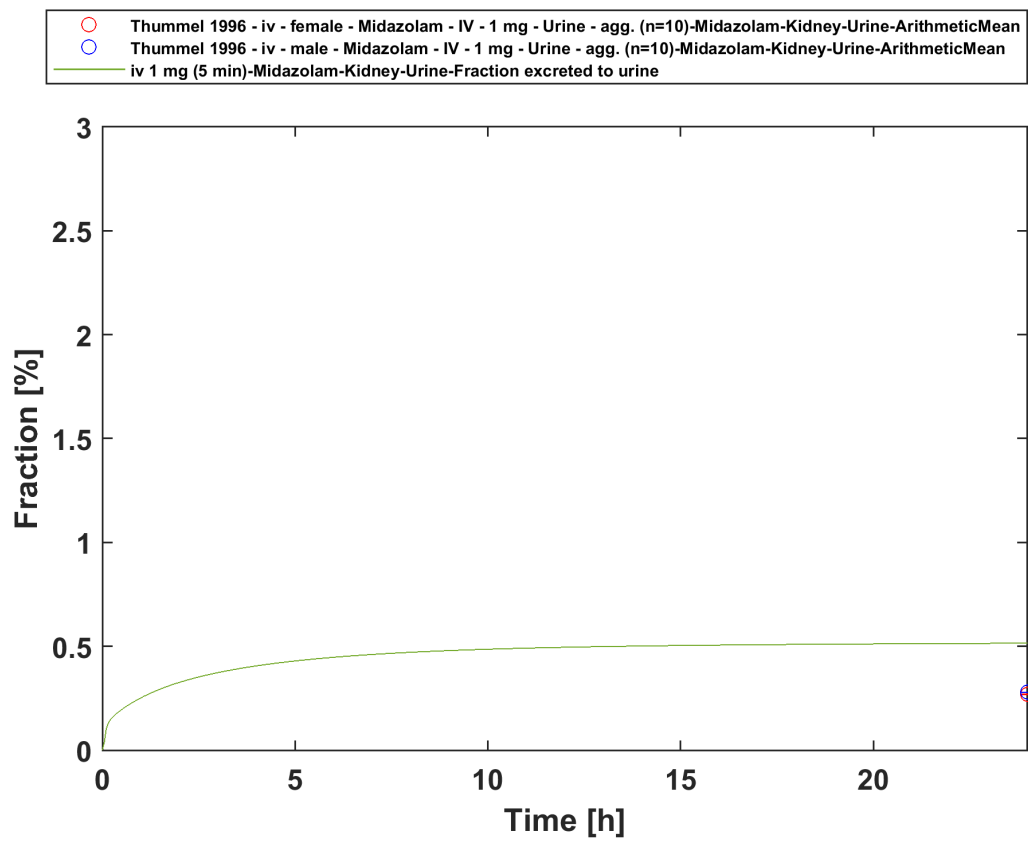
3.3.1 Model Building



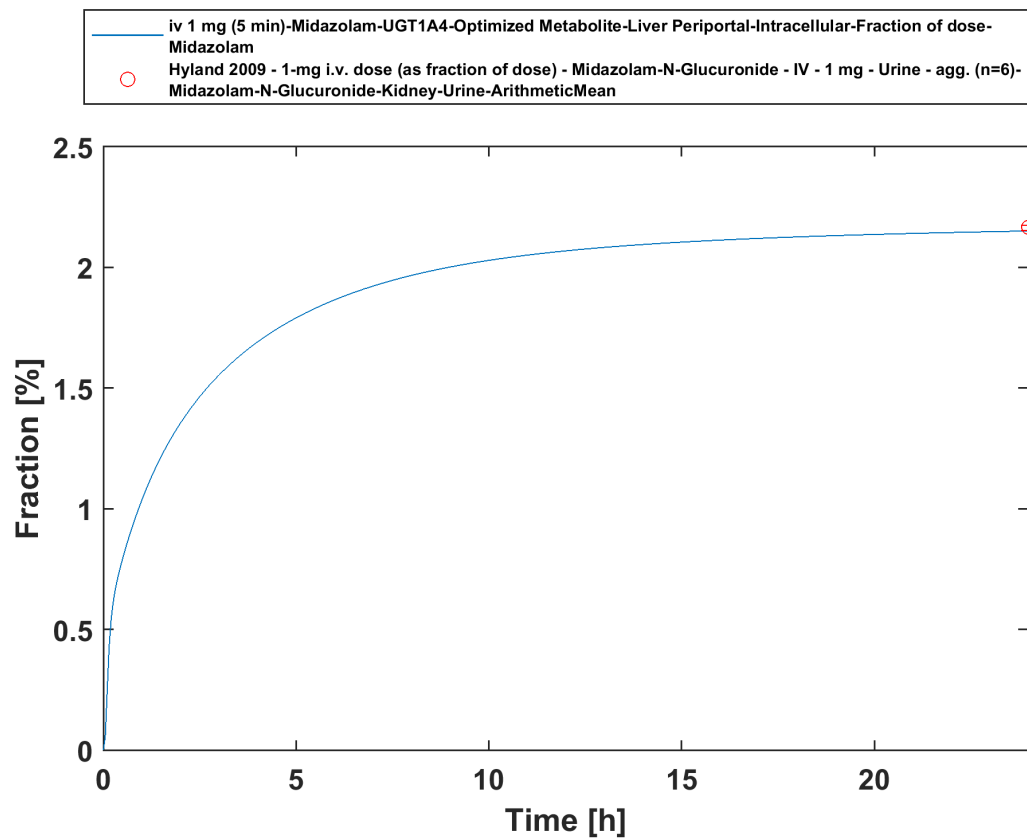
iv 0.001 mg (5 min) - Plasma



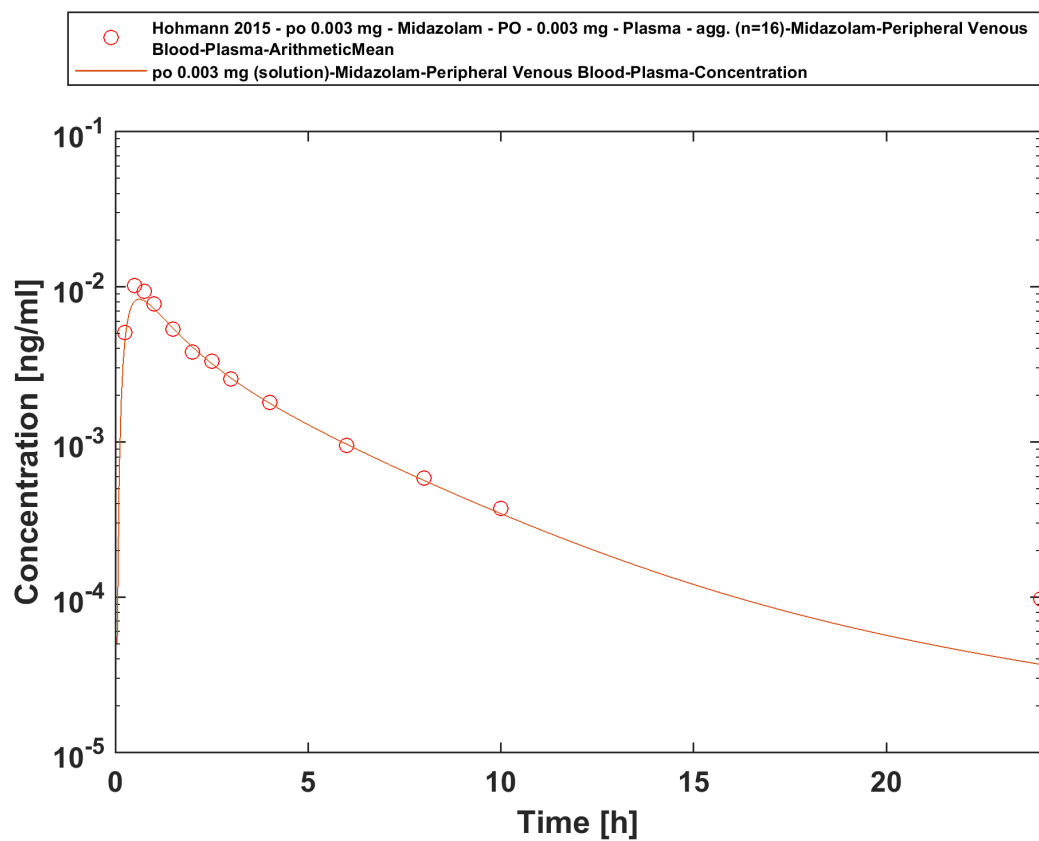
iv 1 mg (5 min) - Plasma



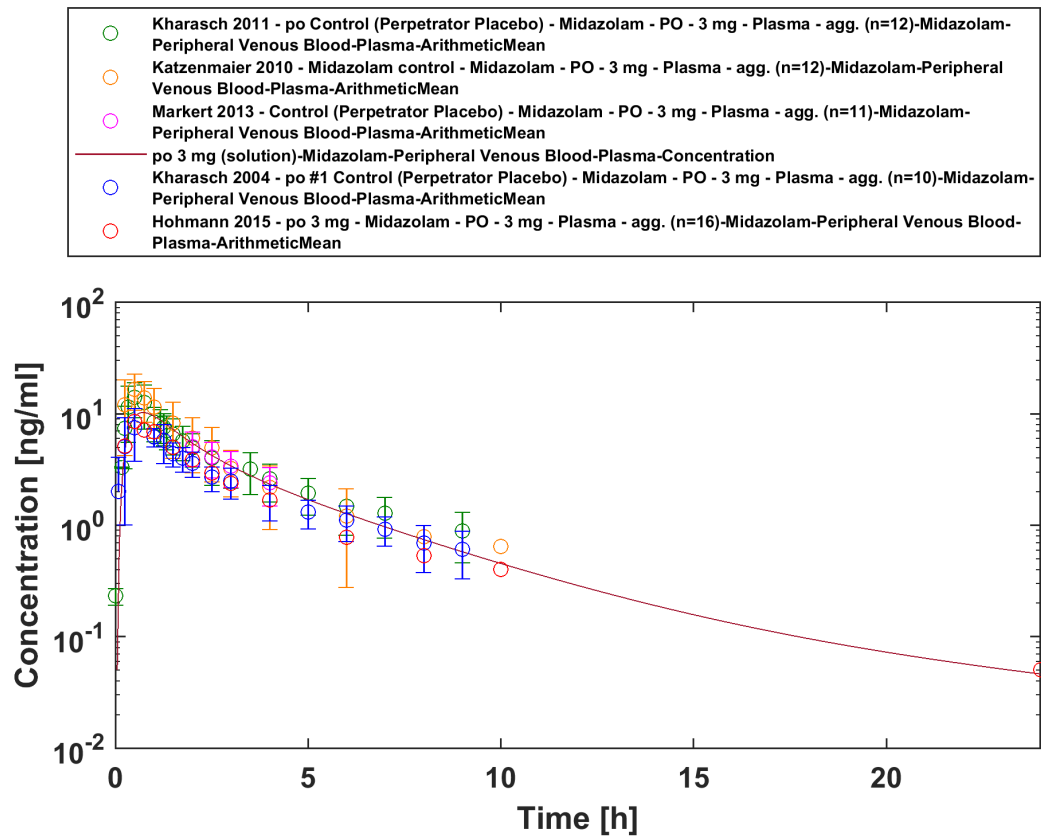
iv 1 mg (5 min) - Urine



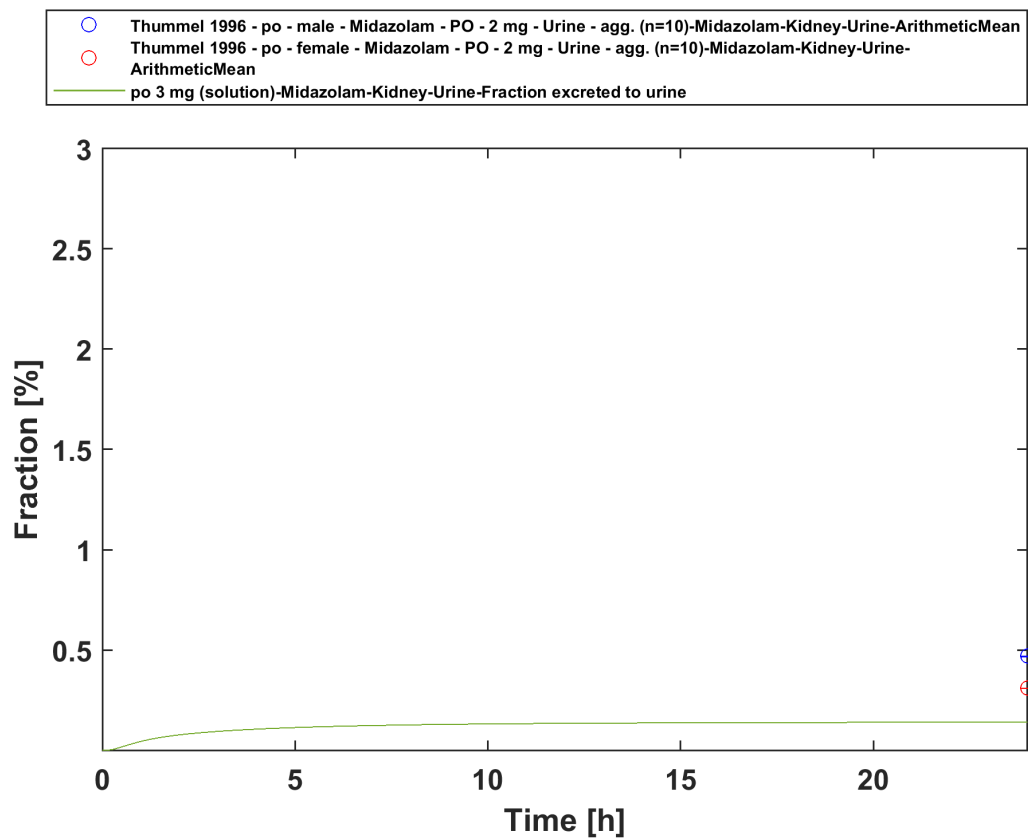
iv 1 mg (5 min) - fm UGT1A4



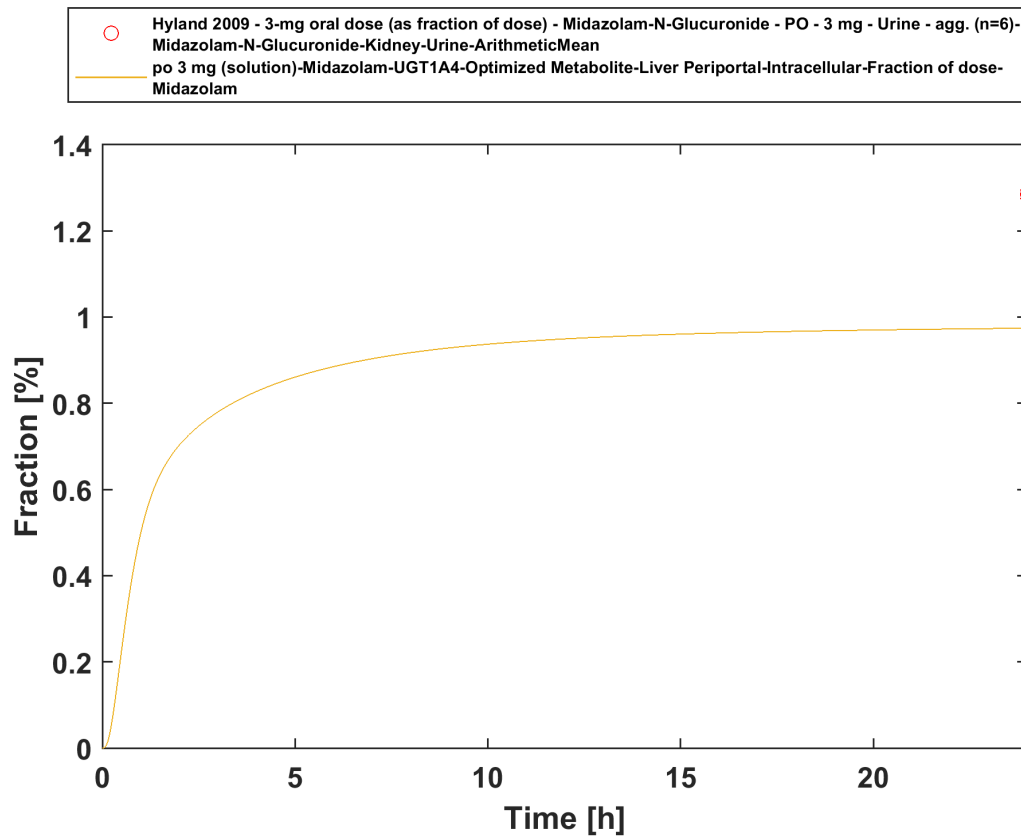
po 0.003 mg (solution) - Plasma



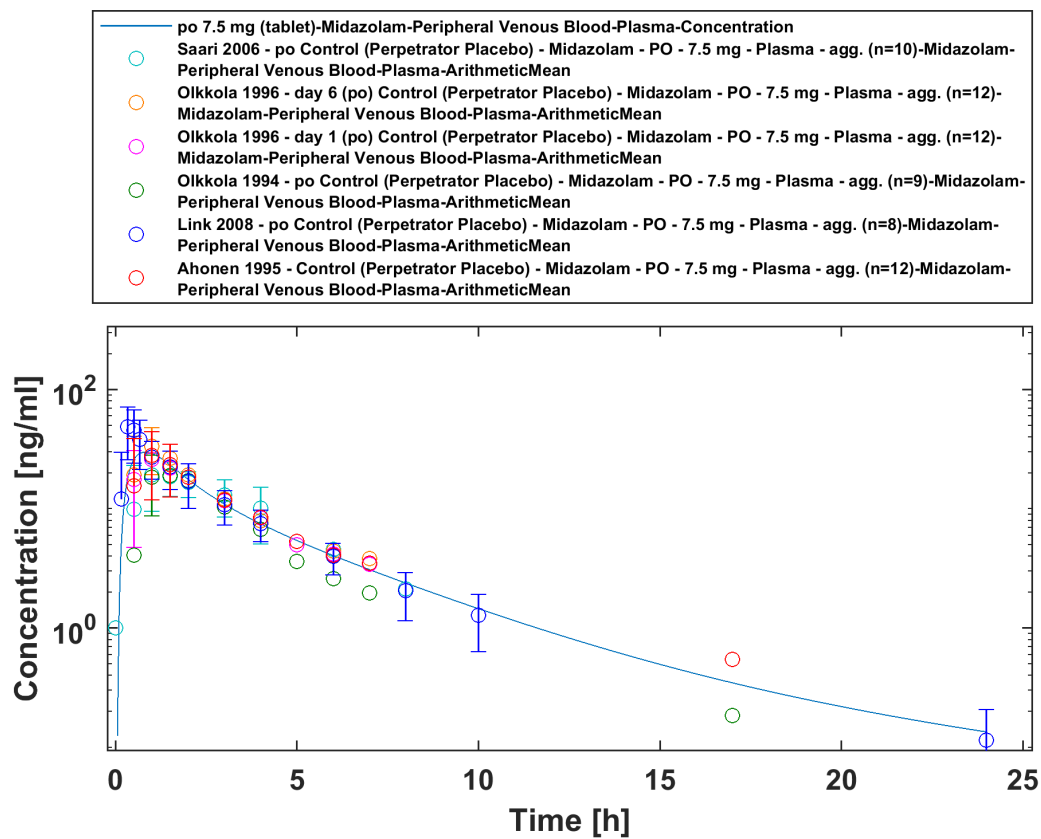
po 3 mg (solution) - Plasma



po 3 mg (solution) - Urine

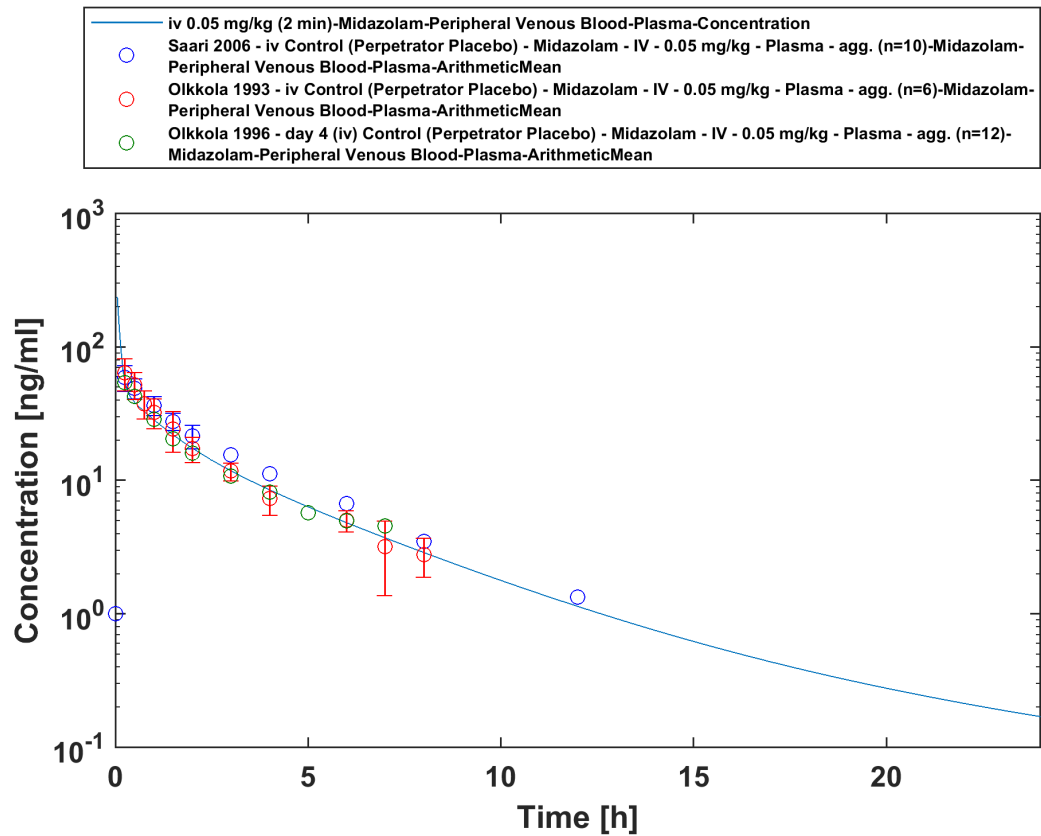


po 3 mg (solution) - fm UGT1A4

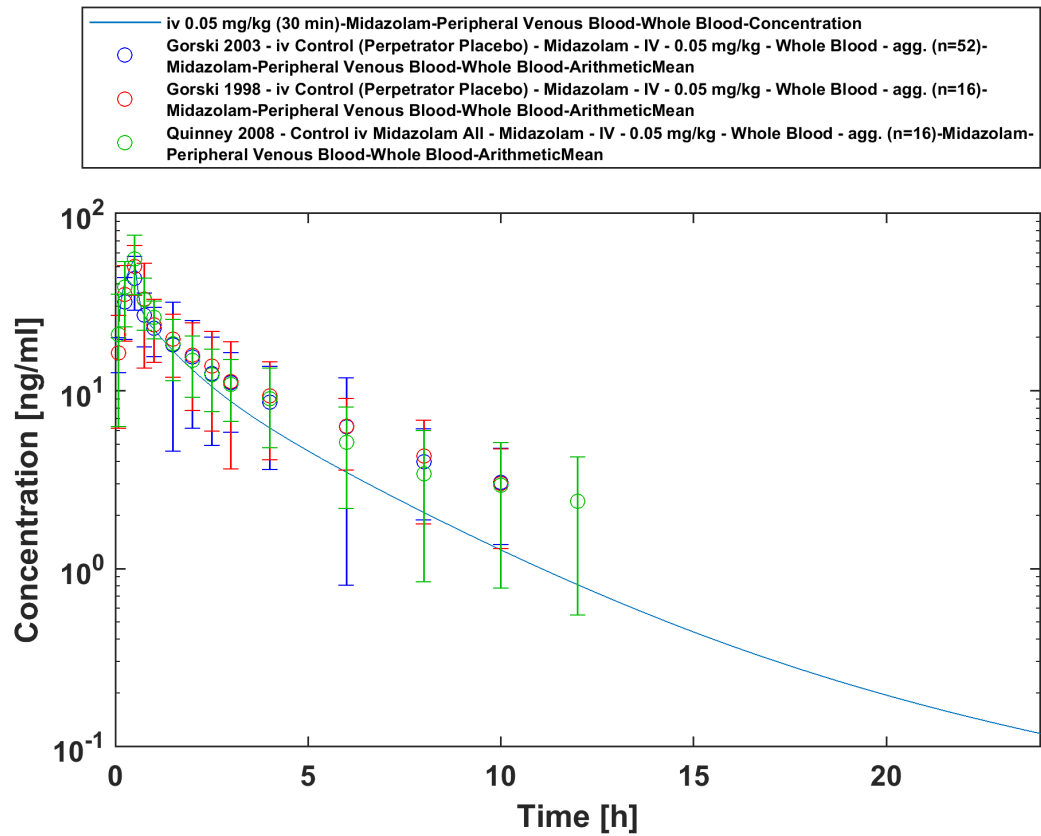


po 7.5 mg (tablet) - Plasma

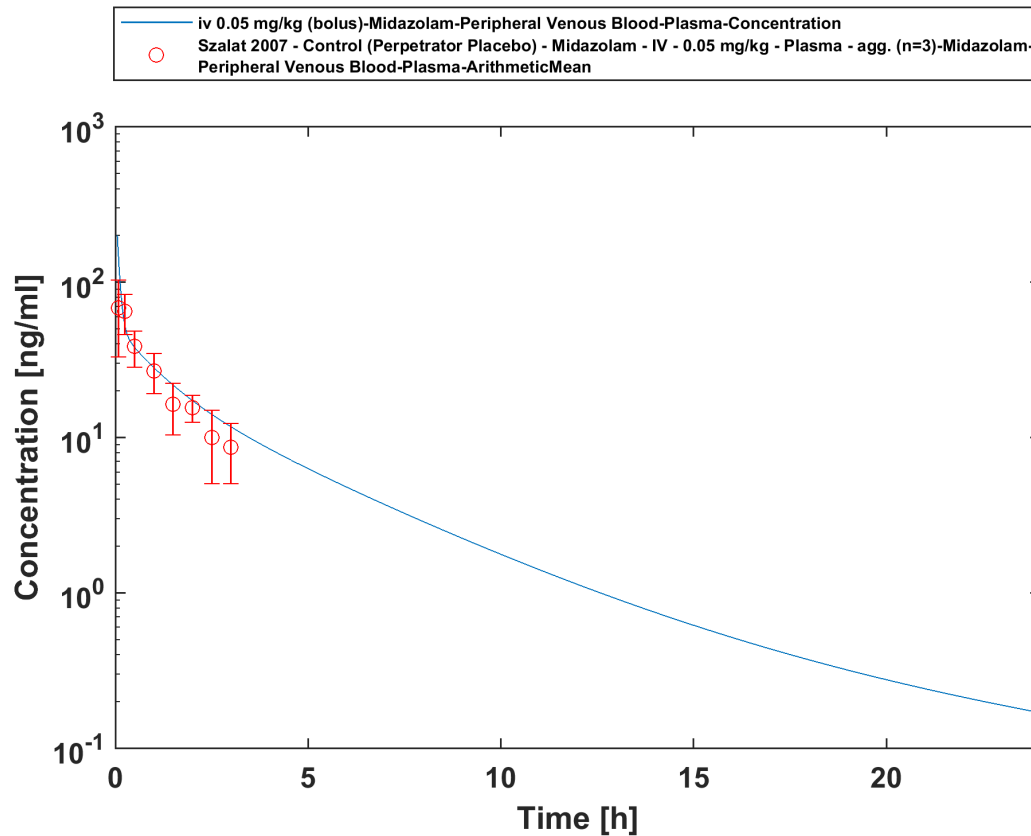
3.3.2 Model Verification



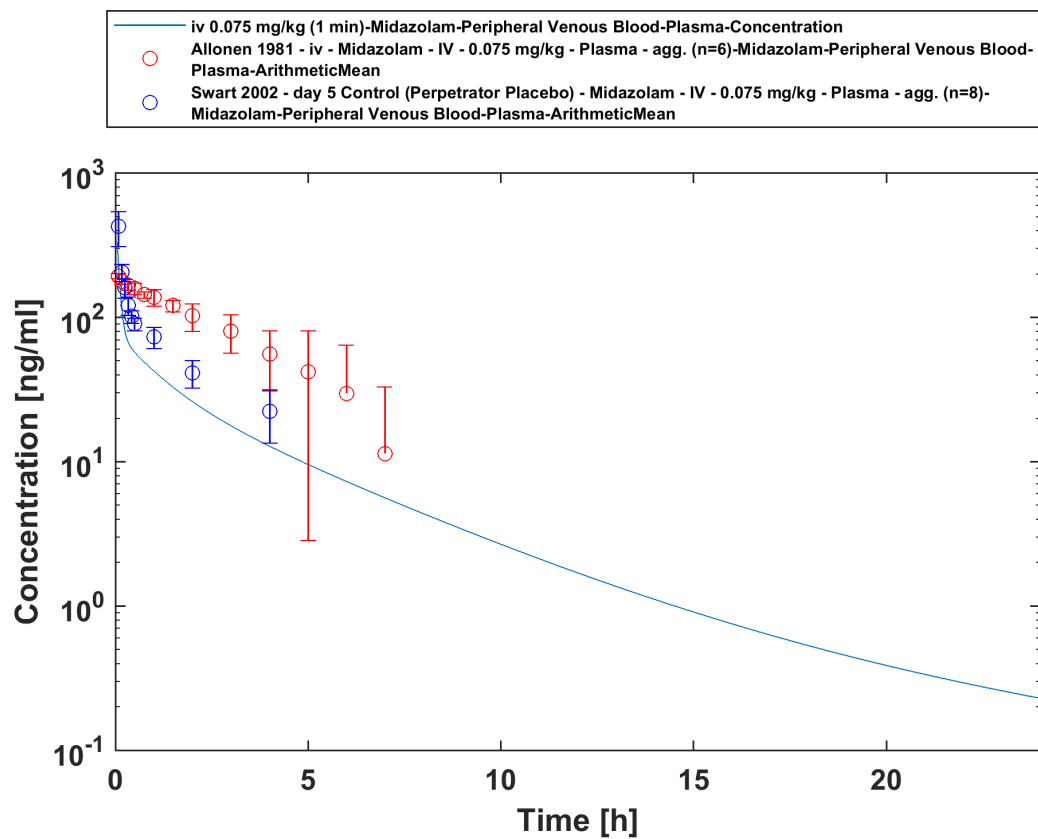
iv 0.05 mg/kg (2 min) - Plasma



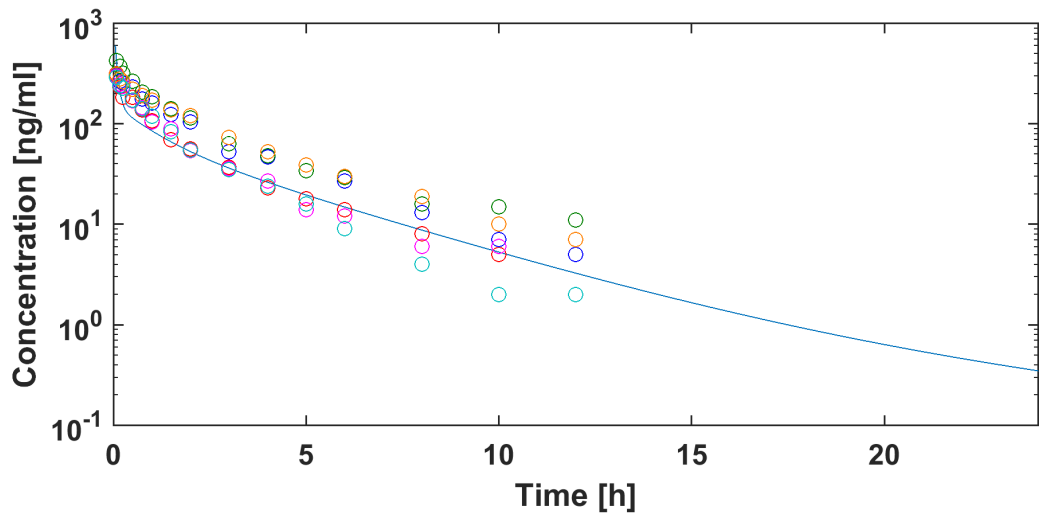
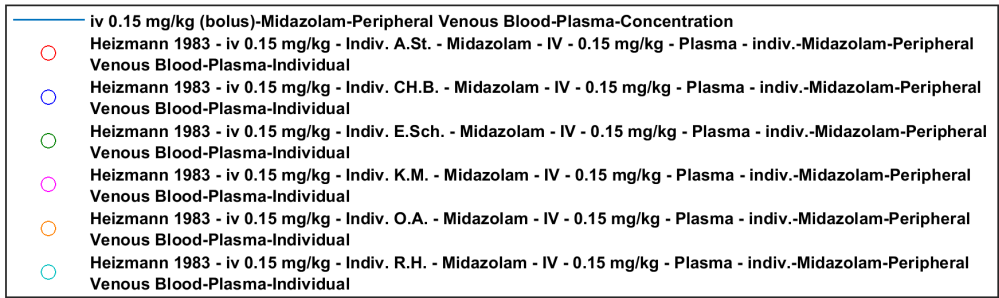
iv 0.05 mg/kg (30 min) - Whole blood



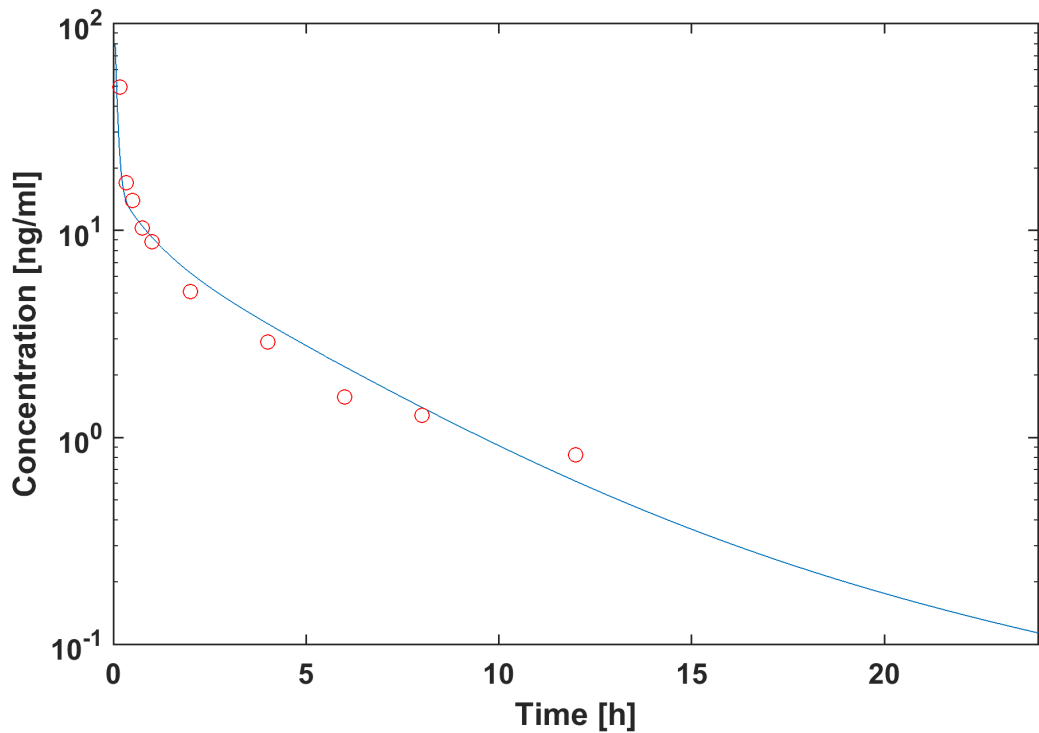
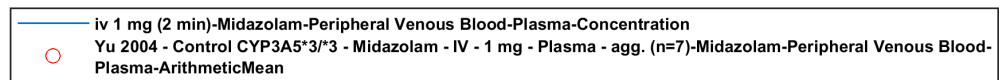
iv 0.05 mg/kg (bolus) - Plasma



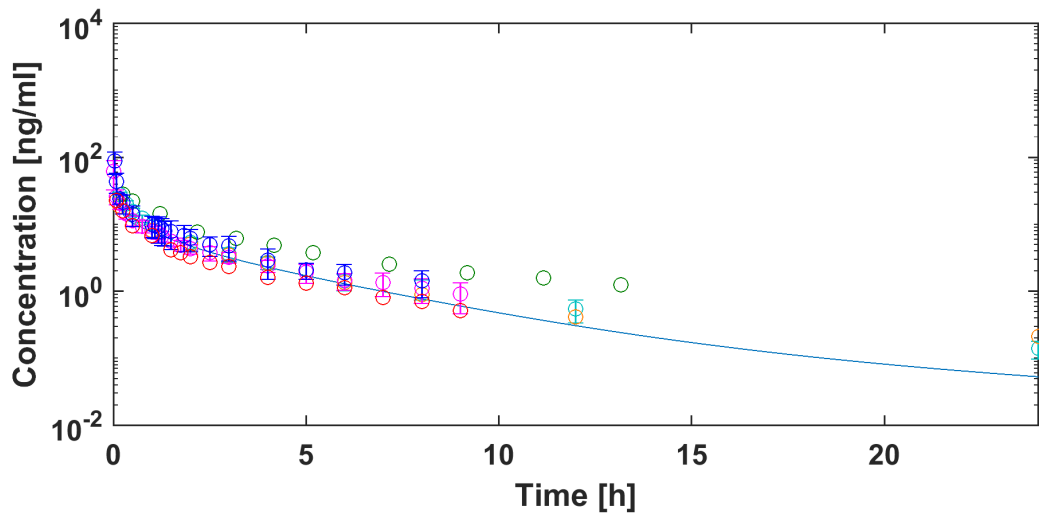
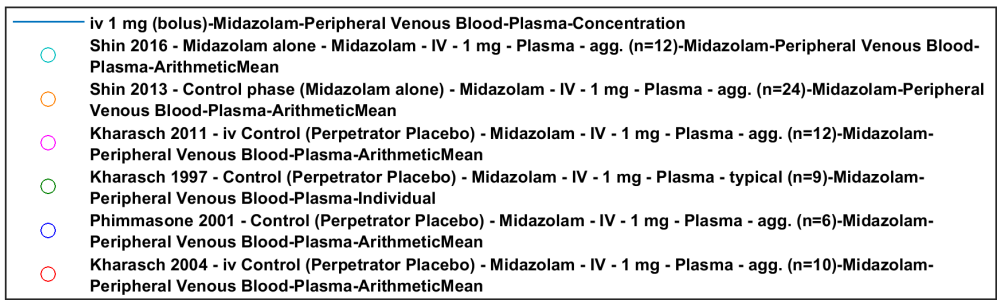
iv 0.075 mg/kg (1 min) - Plasma



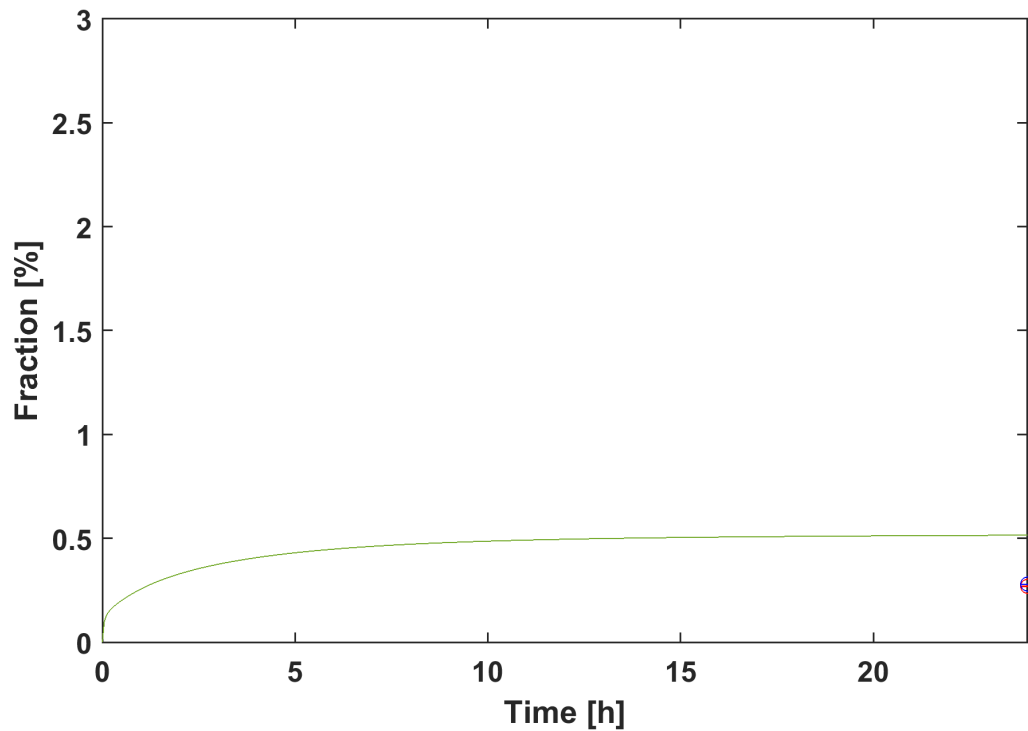
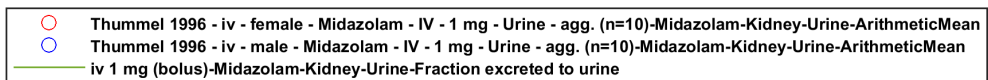
iv 0.15 mg/kg (bolus) - Plasma



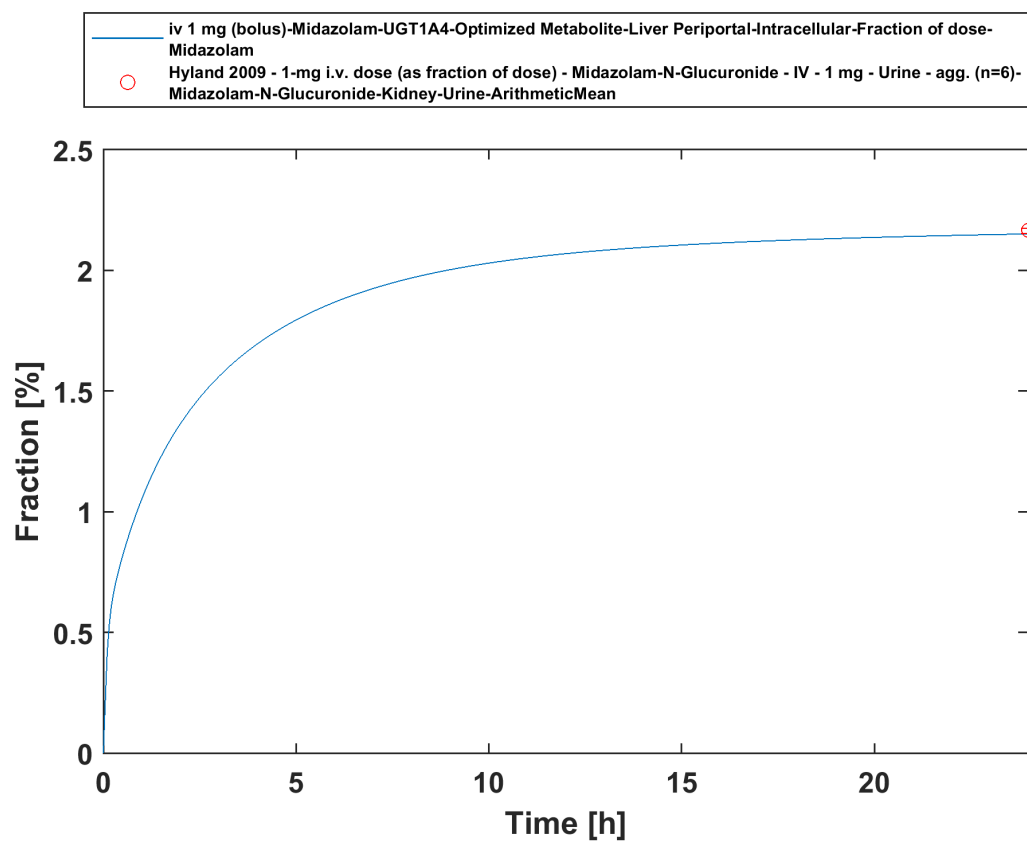
iv 1 mg (2 min) [Korean] - Plasma



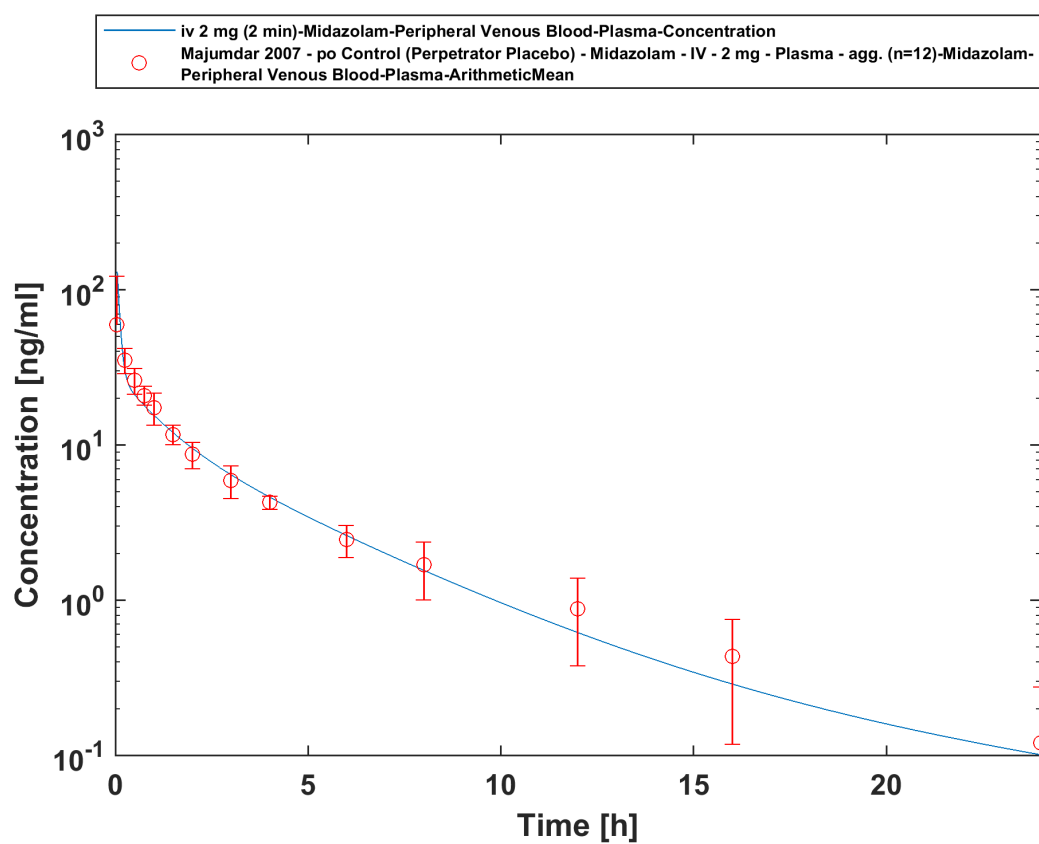
iv 1 mg (bolus) - Plasma



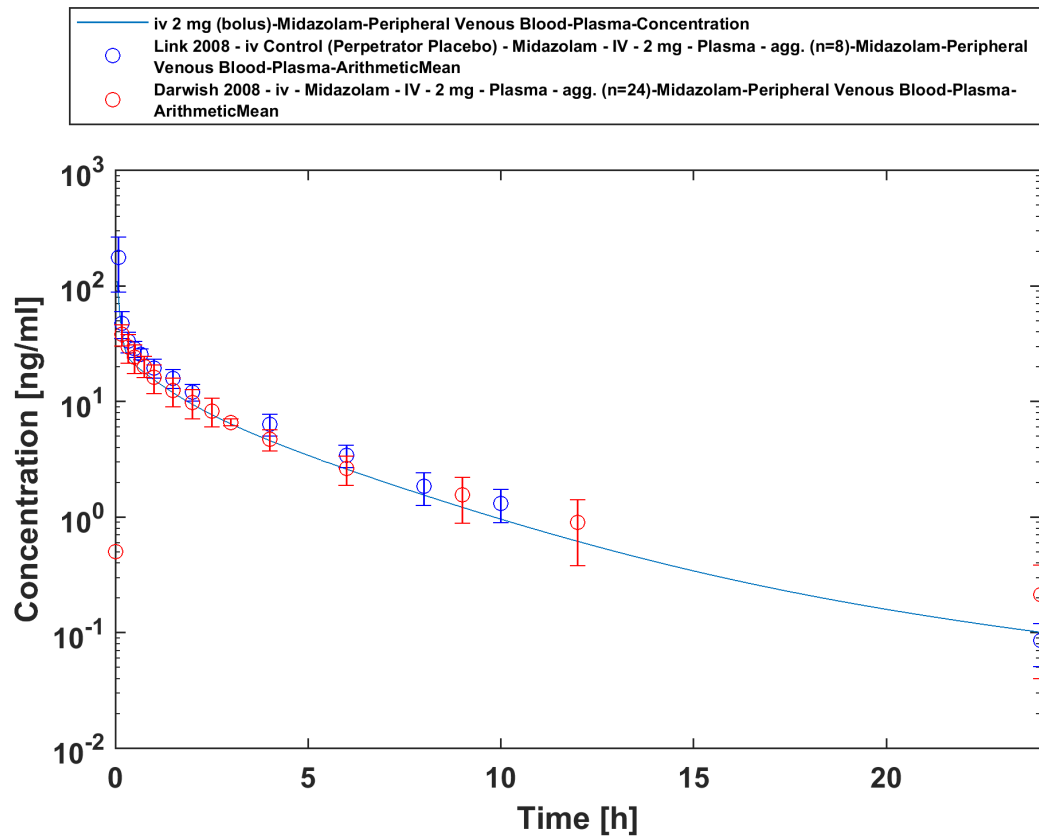
iv 1 mg (bolus) - Urine



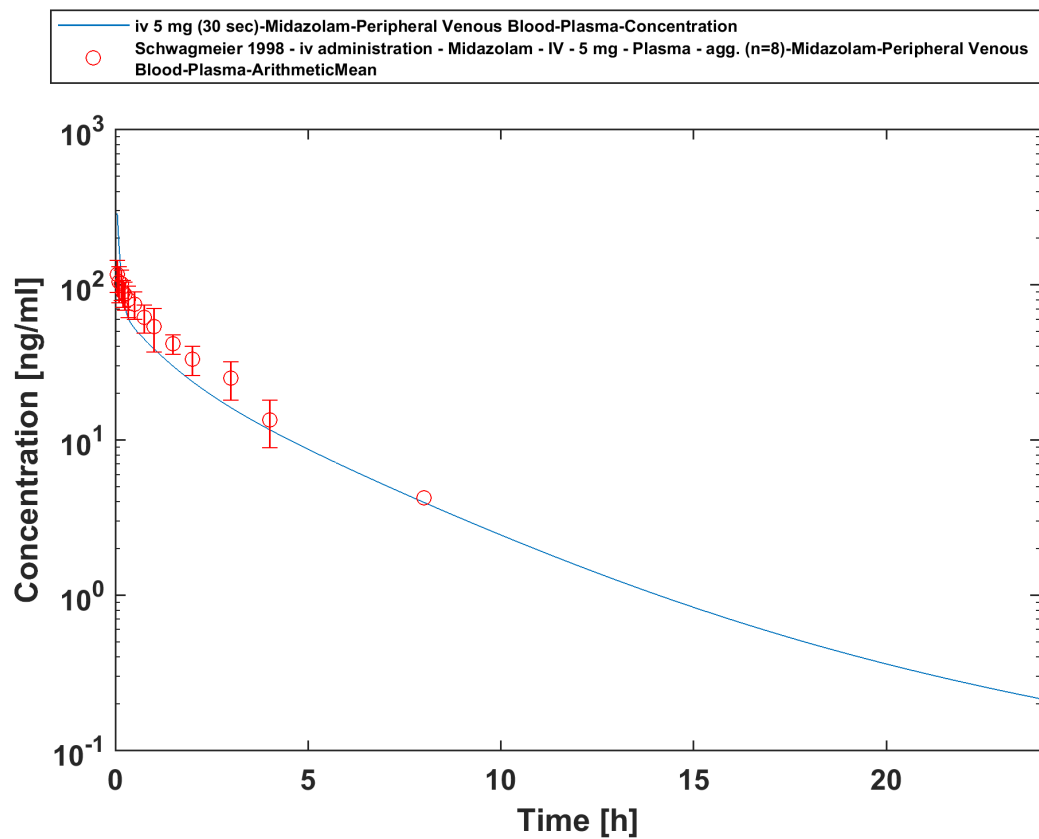
iv 1 mg (bolus) - fm UGT1A4



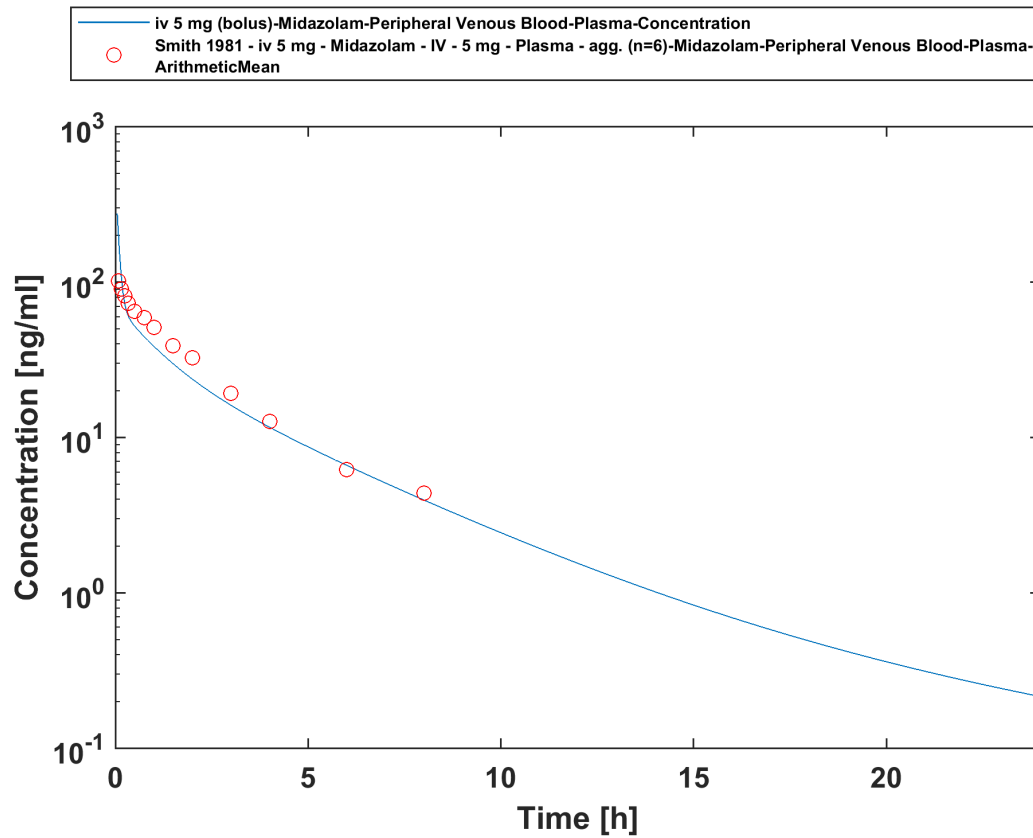
iv 2 mg (2 min) - Plasma



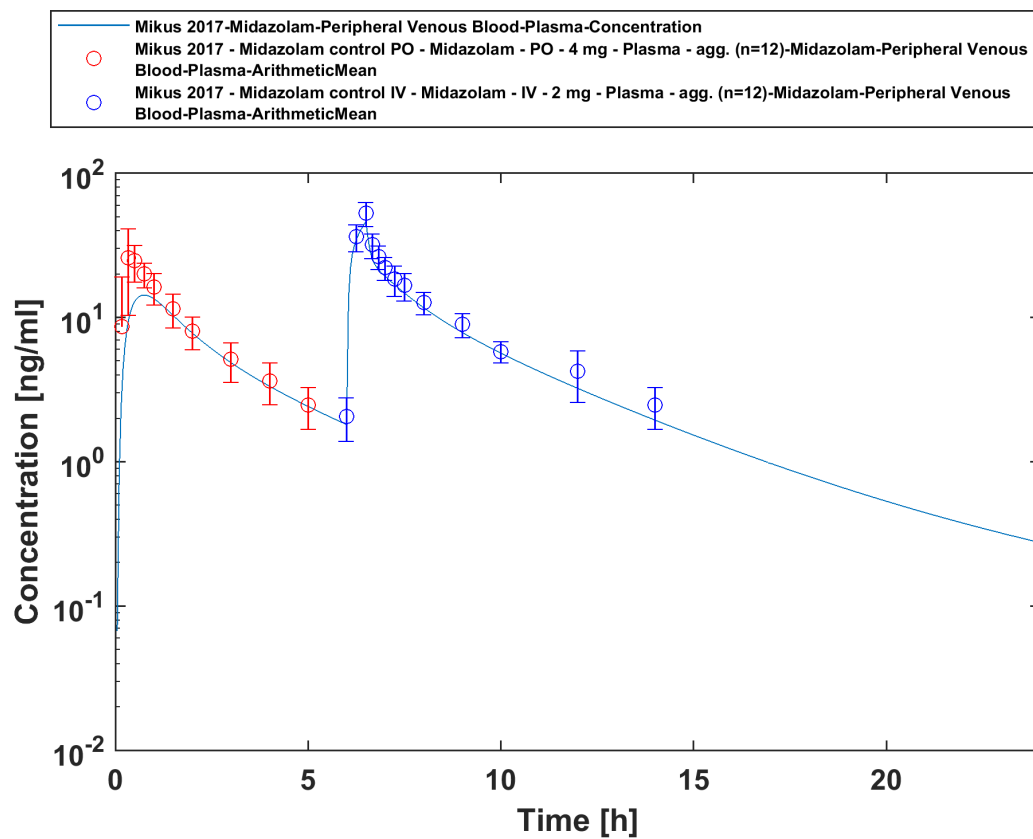
iv 2 mg (bolus) - Plasma



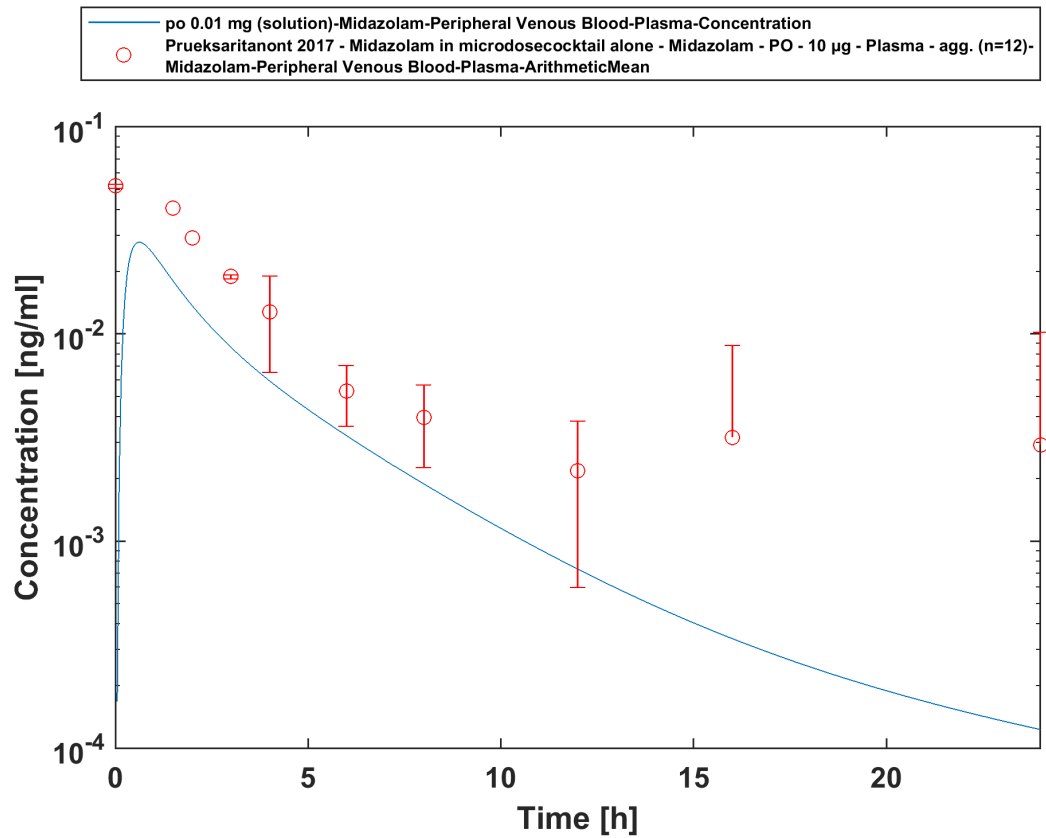
iv 5 mg (30 sec) - Plasma



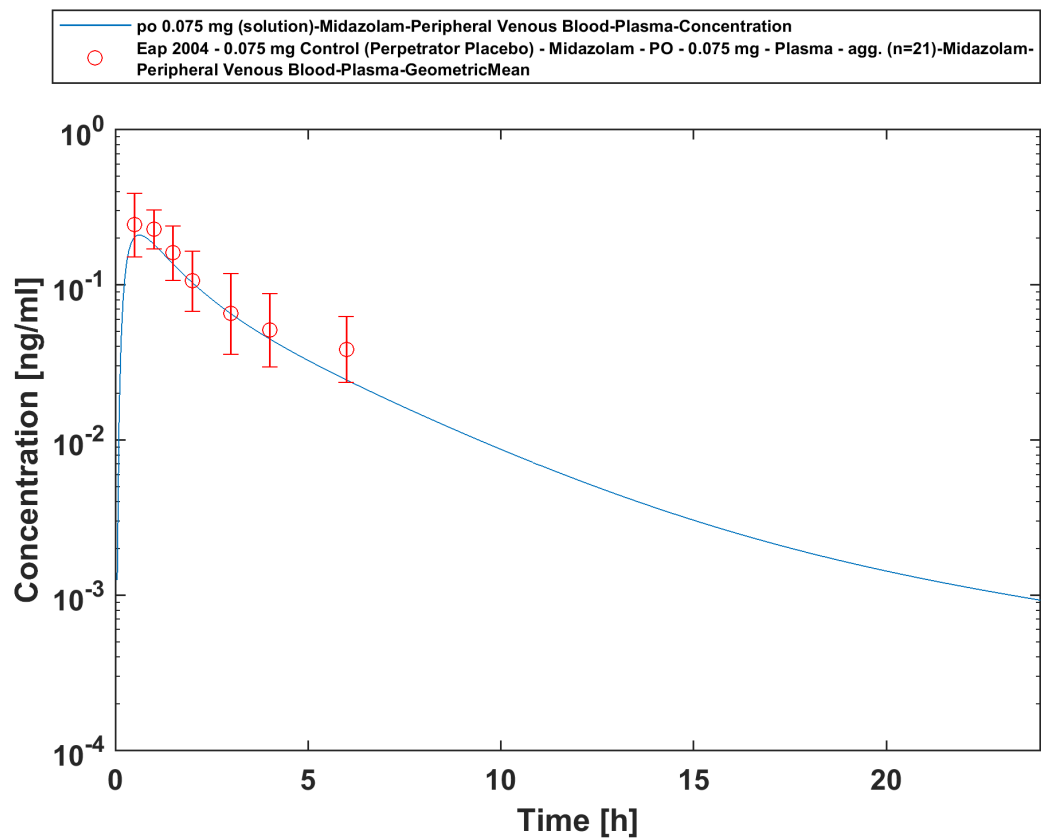
iv 5 mg (bolus) - Plasma



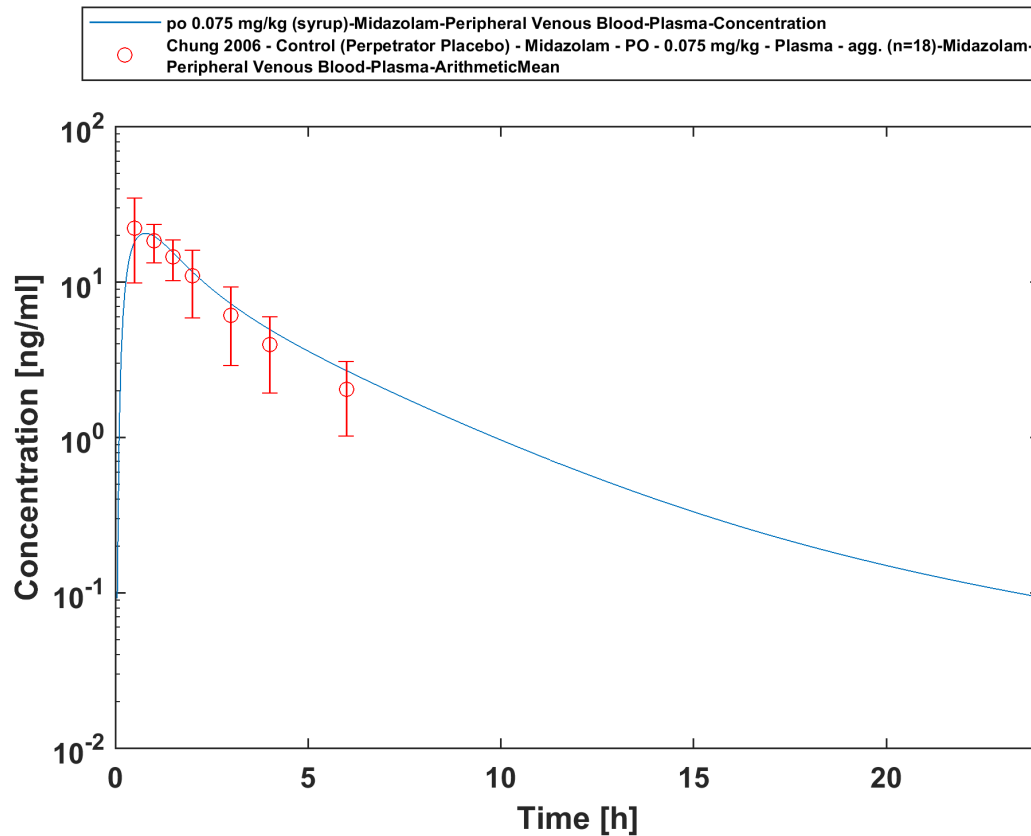
Mikus 2017 (4 mg po followed by 2 mg iv)



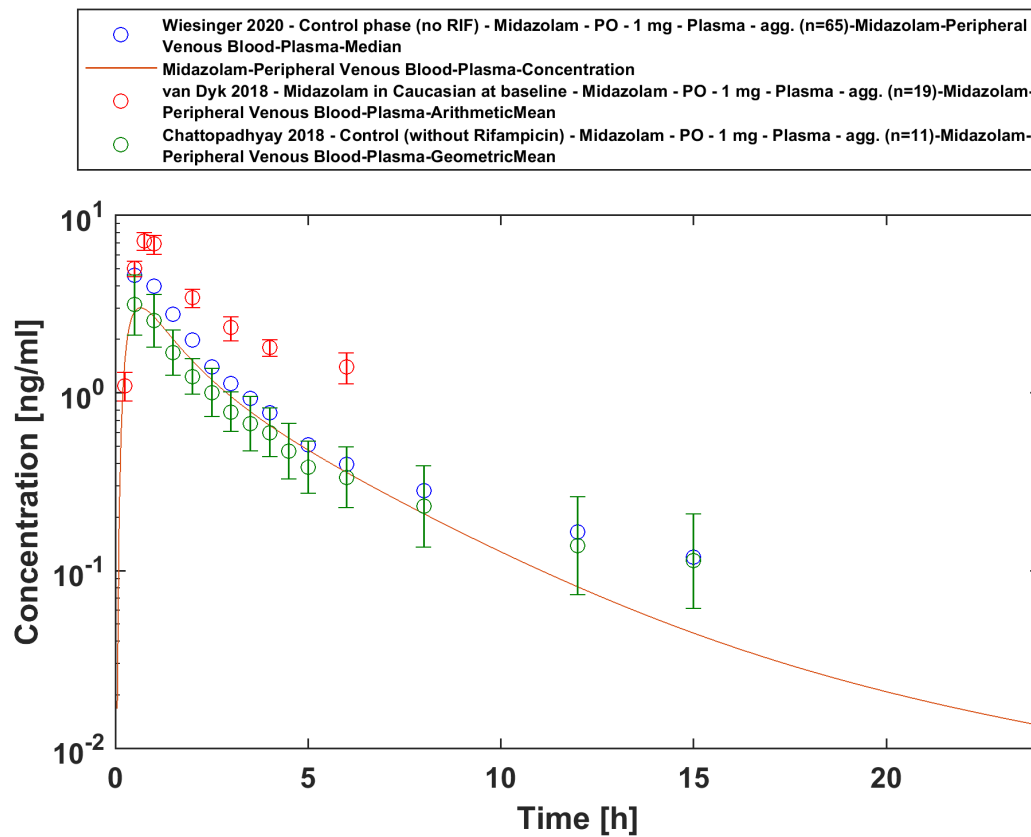
po 0.01 mg (solution) - Plasma



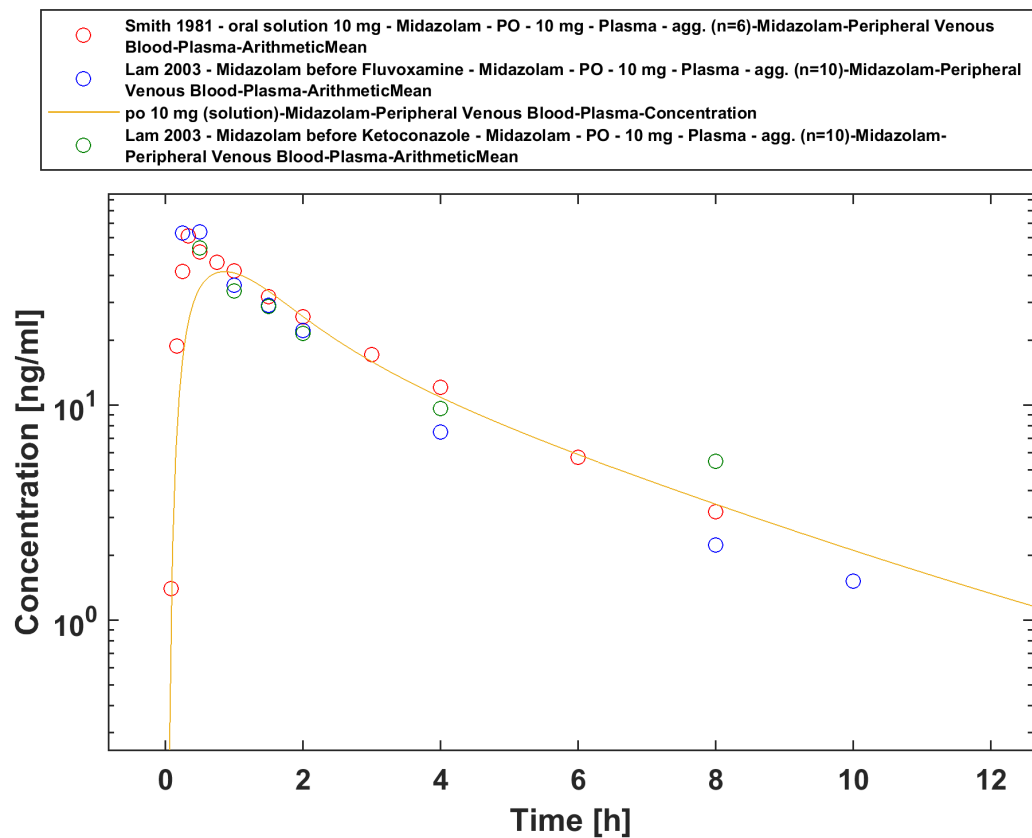
po 0.075 mg (solution) - Plasma



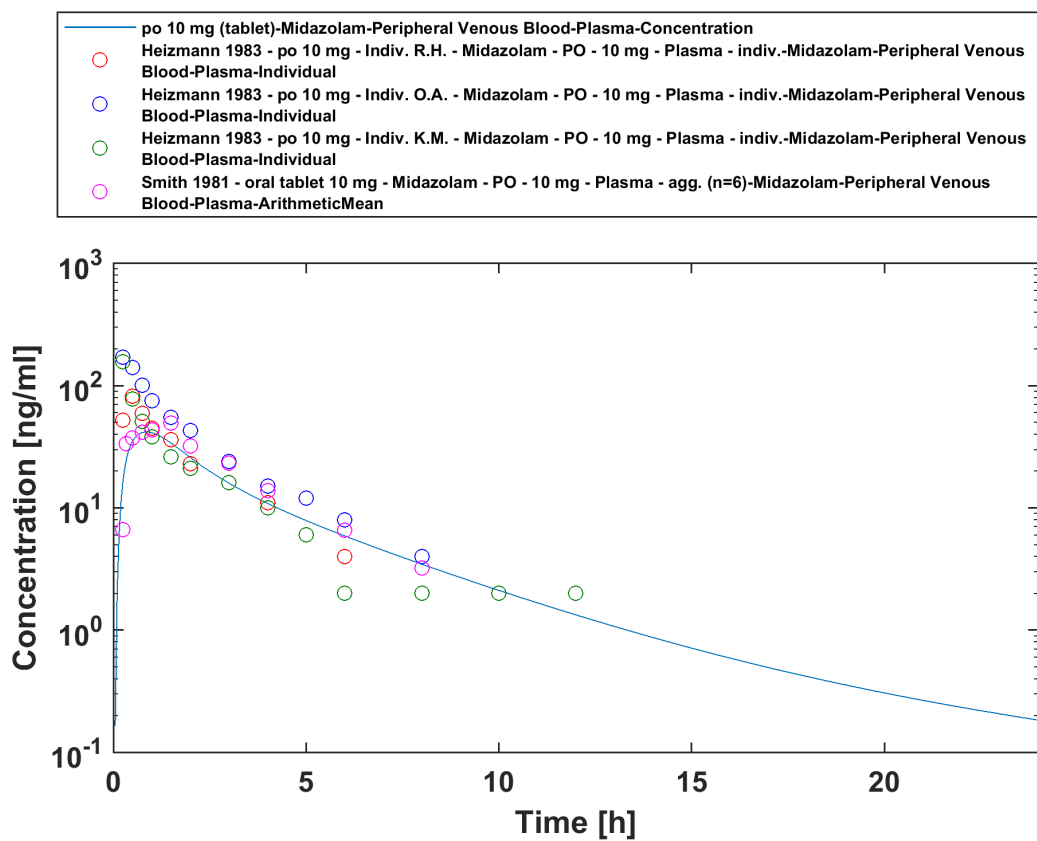
po 0.075 mg/kg (syrup) - Plasma



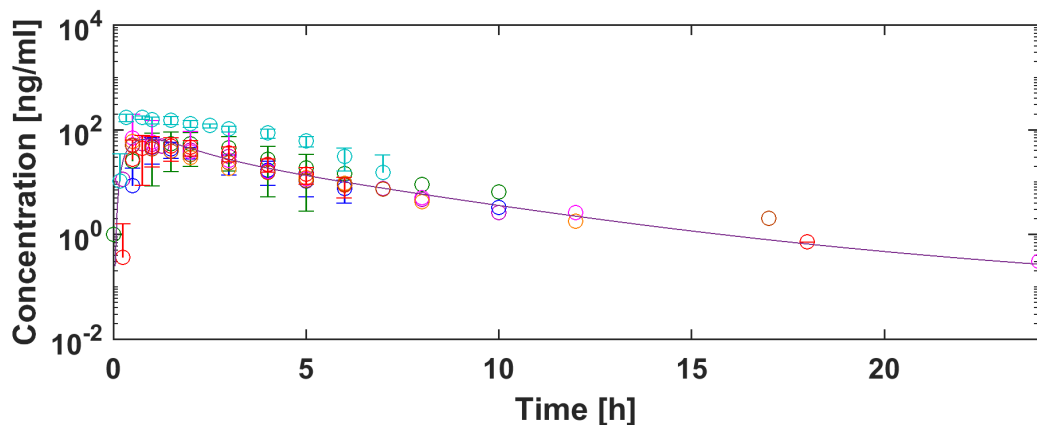
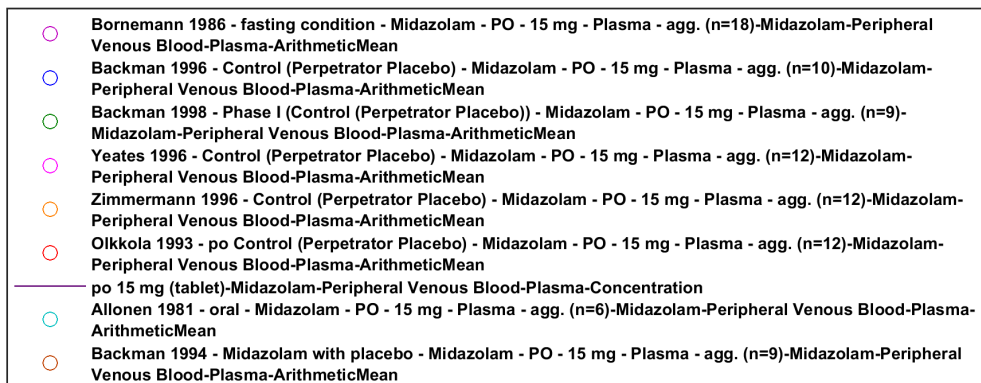
po 1 mg (solution) - Plasma



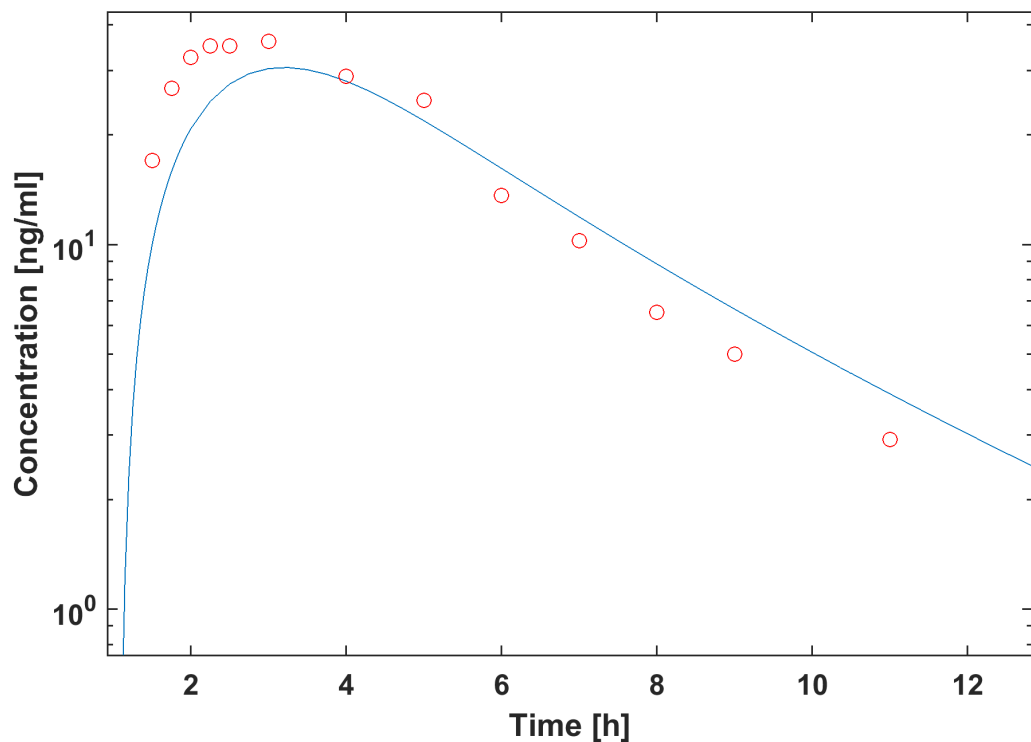
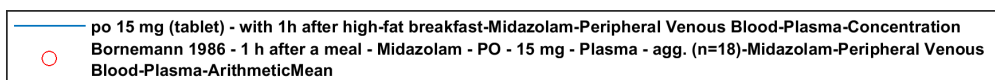
po 10 mg (solution) - Plasma



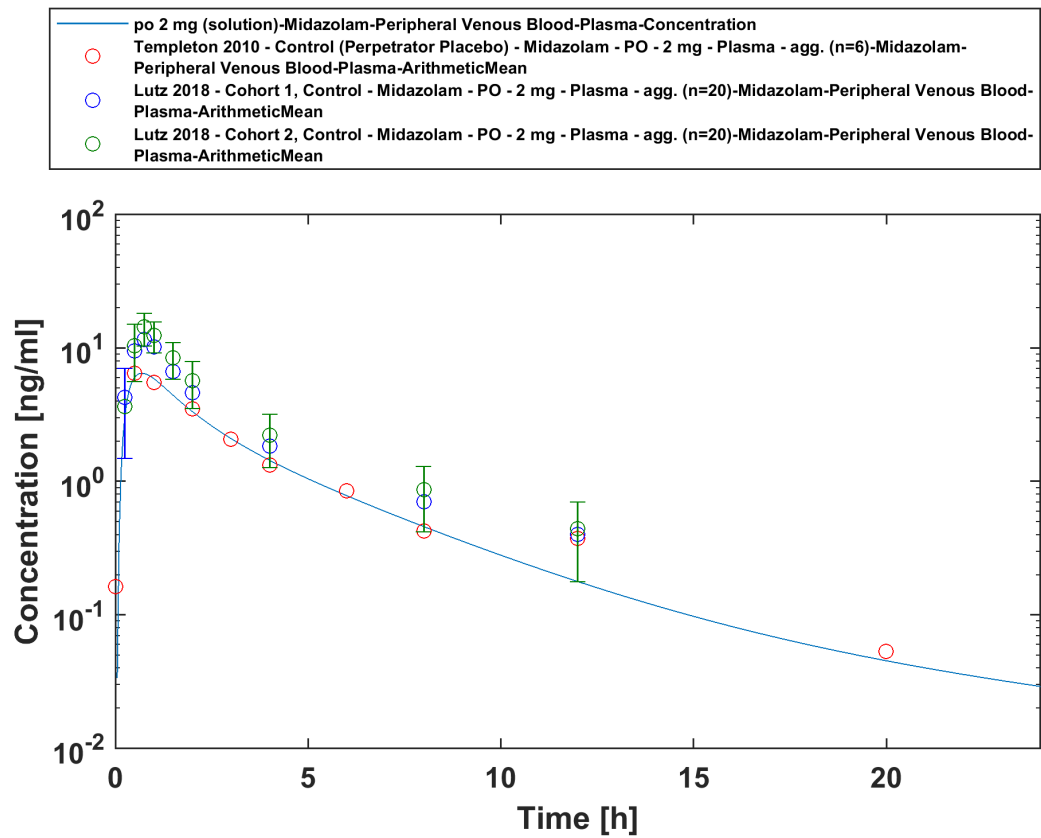
po 10 mg (tablet) - Plasma



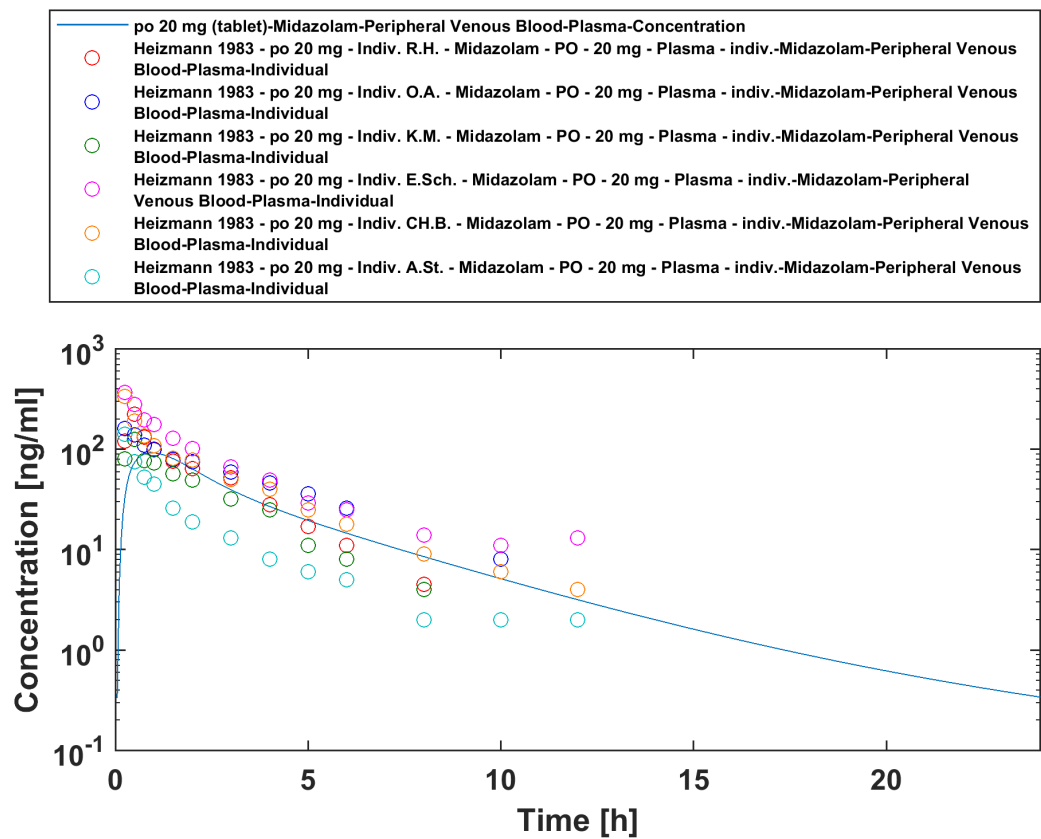
po 15 mg (tablet) - Plasma



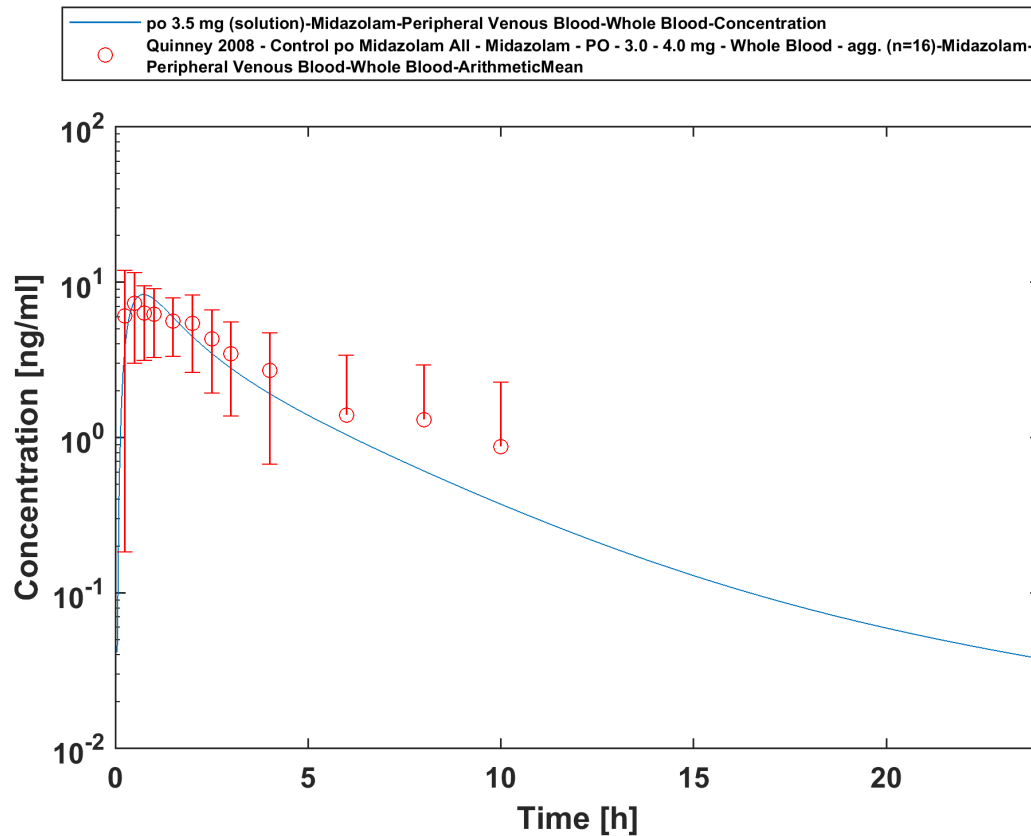
po 15 mg (tablet) - with 1h after high-fat breakfast - Plasma



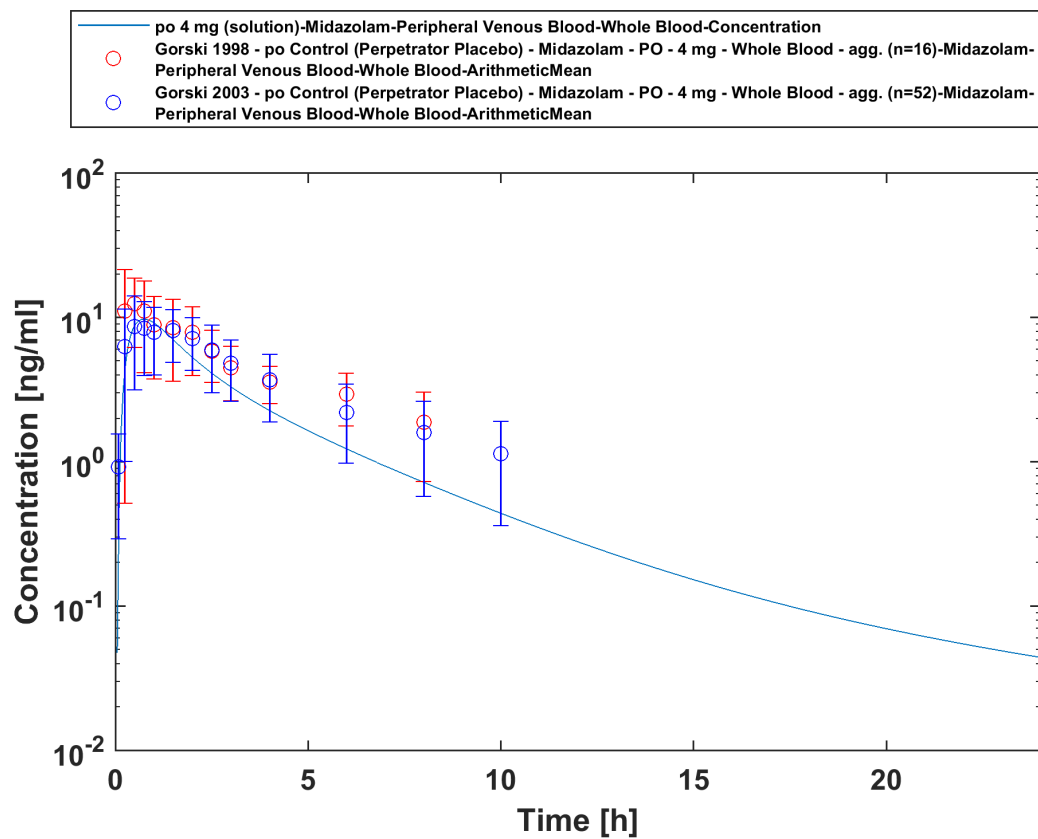
po 2 mg (solution) - Plasma



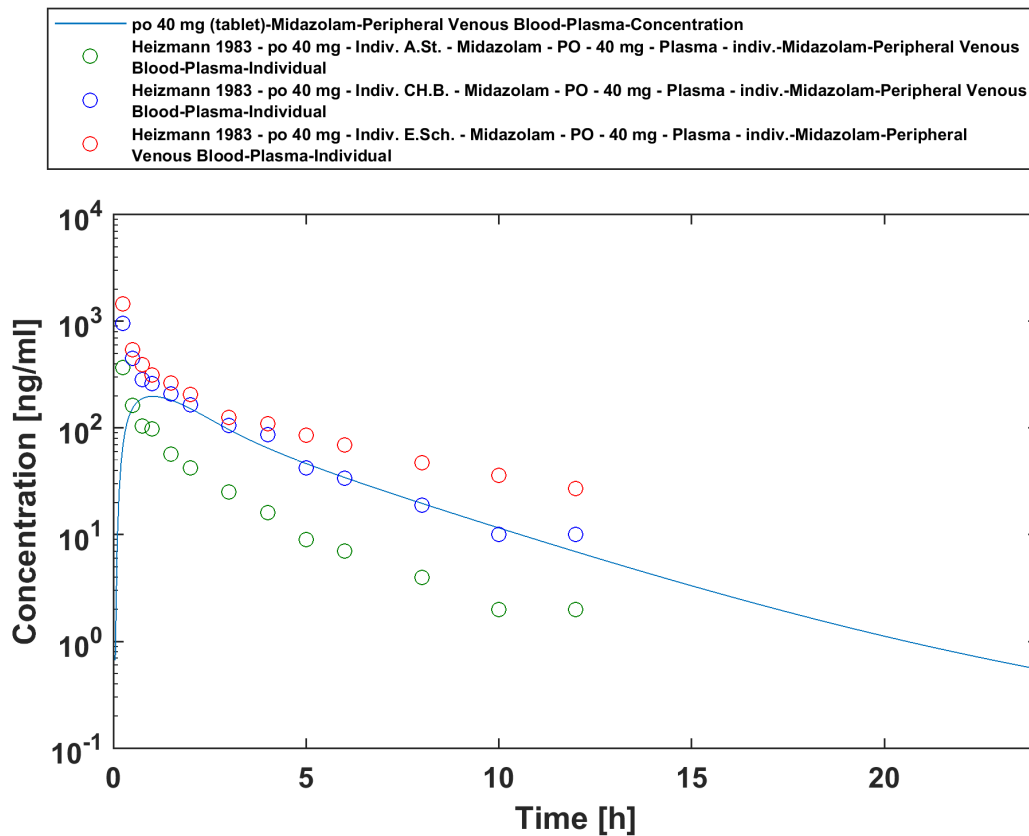
po 20 mg (tablet) - Plasma



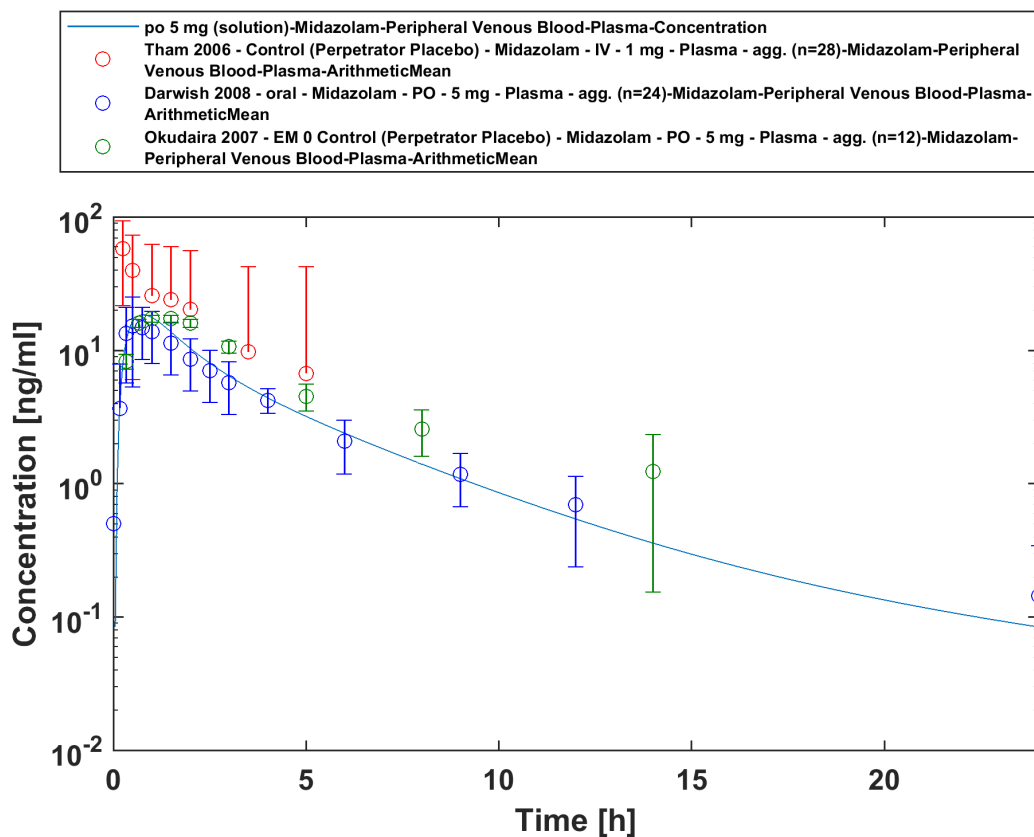
po 3.5 mg (solution) - Whole blood



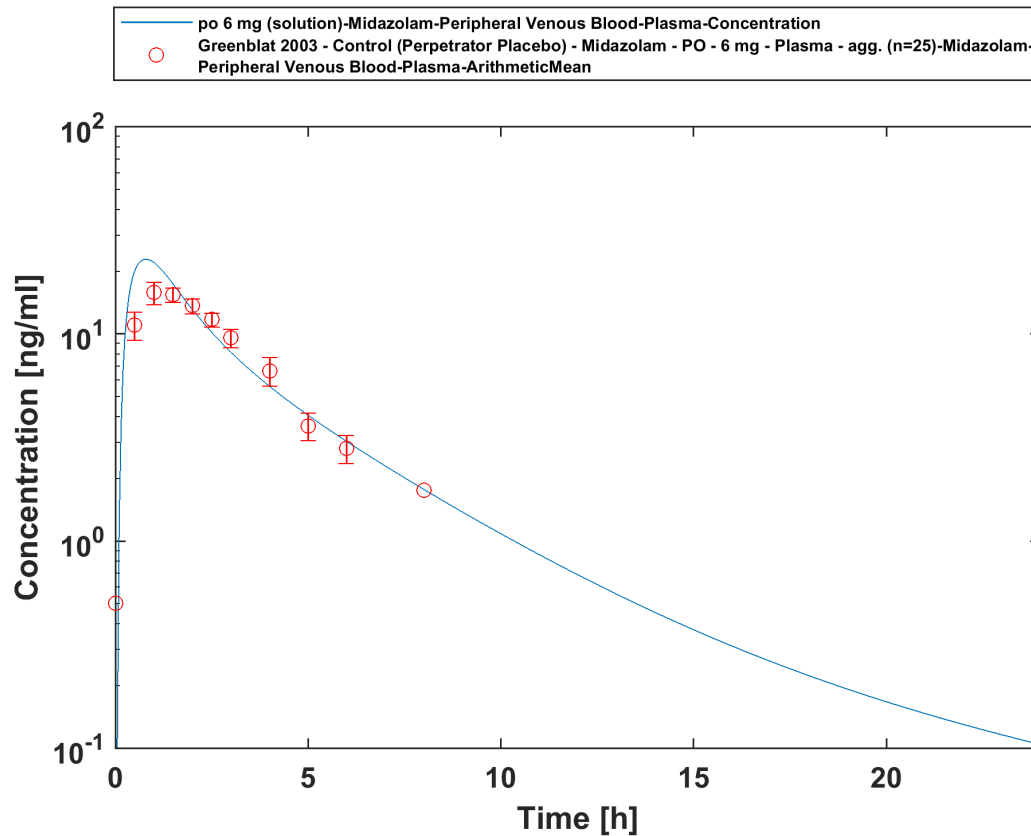
po 4 mg (solution) - Whole blood



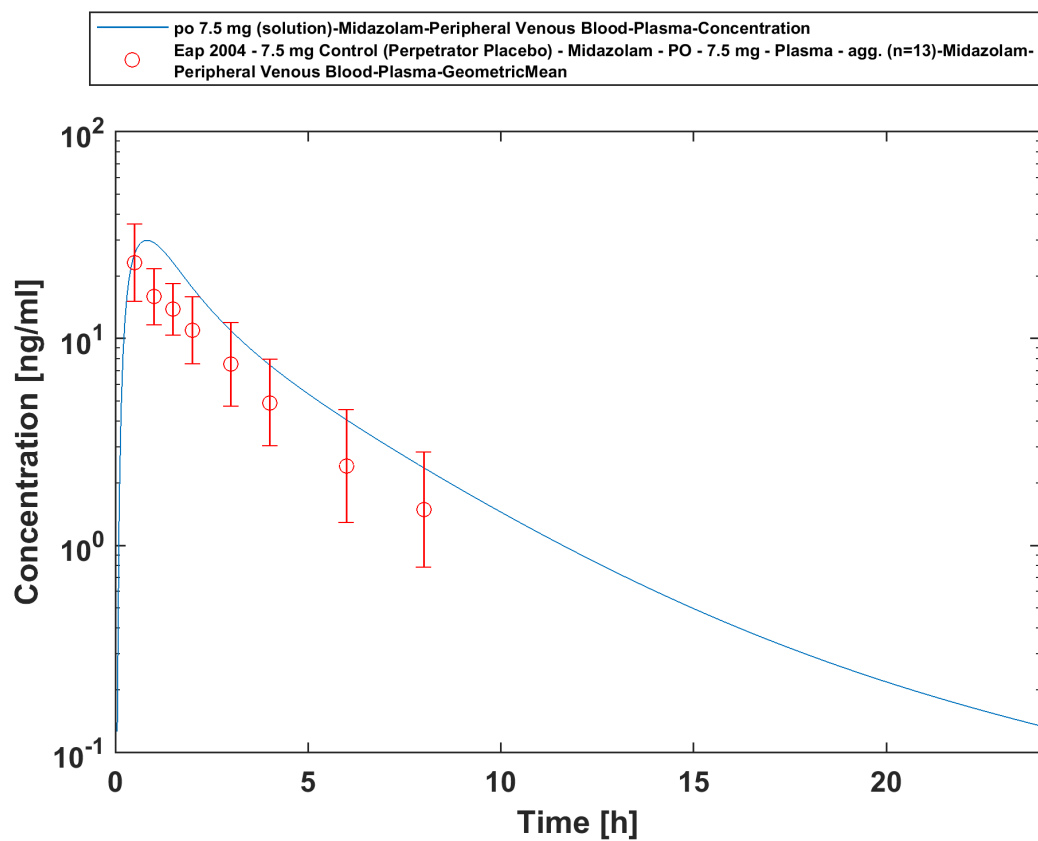
po 40 mg (tablet) - Plasma



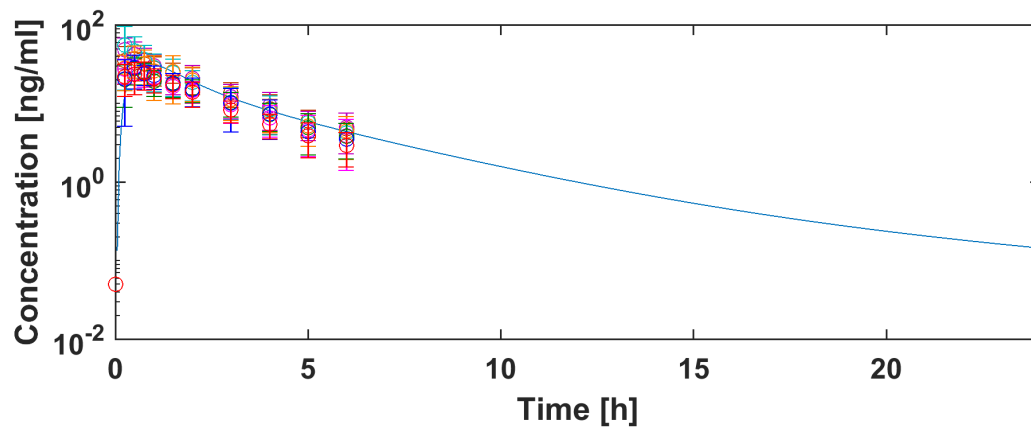
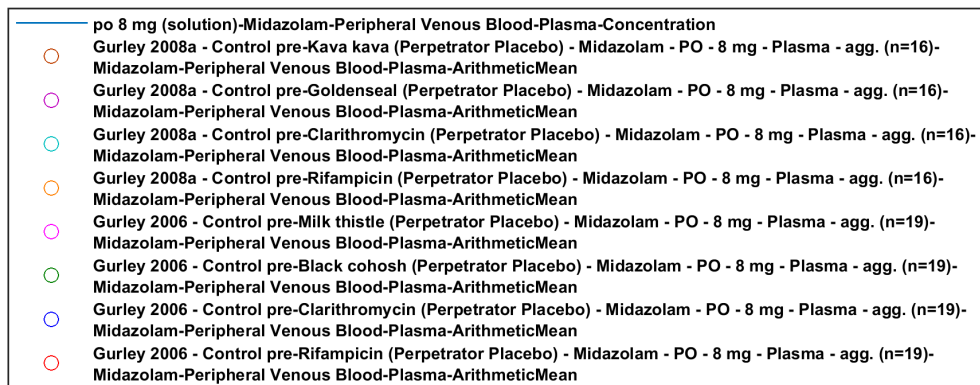
po 5 mg (solution) - Plasma



po 6 mg (solution) - Plasma



po 7.5 mg (solution) - Plasma



po 8 mg (solution) - Plasma

4 Conclusion

The herein presented PBPK model adequately describes the pharmacokinetics of midazolam in adults.

In particular, it applies quantitative metabolism by CYP3A4. Thus, the model is fit for purpose to be applied for the investigation of drug-drug interactions with regard to its CYP3A4 metabolism.

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