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| **Annual Derived PIV Credential Issuer (DPCI) Testing Application Form**  **VERSION 1.1** |  |

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| **FIPS 201 Evaluation Program** |

**September 18, 2017**

Office of Government-wide Policy  
Office of Technology Strategy  
Identity Management Division   
Washington, DC 20405

# Overview

On May 7, 2015, the Federal Public Key Infrastructure Policy Authority (FPKIPA) updated the Federal Common Policy Certificate Policy to specify two new policy object identifiers (OIDs) specifically for *Derived PIV* (D-PIV) credentials:

* id-fpki-common-derived-pivAuth
* id-fpki-common-derived-pivAuth-hardware

As with the PIV credential, the D-PIV must undergo annual testing. Annual testing ensures that the D-PIV credentials meet all applicable standards. This helps to ensure that the Derived PIV Credential Issuer (DPCI) remains certified within the FPKI.

A D-PIV issued by each DPCI configuration will be tested. A DPCI configuration is a unique combination of four elements:

1. The organization to whom the D-PIV will be issued,
2. The specific Certificate Management System (CMS) configuration (where applicable),
3. The specific Certification Authority (CA) configuration, and
4. The specific mobile platform being used. (Section 3.4 of this form further discusses D-PIV configuration. Only production D-PIV credentials will be tested.)

D-PIV testing is limited to examining the D-PIV certificate for conformance to the certificate profile and the ability to correctly perform path discovery and validation of that certificate relying on the applicable publicly available repositories. If additional certificates are loaded on the same mobile device or form-factor as the D-PIV certificate, an entire set should be submitted for testing.

Annual testing is performed under the authority of the FPKIPA and General Services Administration, Office of Government-wide Policy.

# Purpose

This form allows a DPCI to formally request annual testing of D-PIV issued per DPCI configuration. Annual D-PIV Testing is performed under the terms and conditions specified in Section 4. Please submit your completed and signed request to [icam@gsa.gov](mailto:icam@gsa.gov).

The Program will contact you after your request has been reviewed or if additional information is required.

# DPCI Information

## Agency Information:

|  |  |
| --- | --- |
| Agency/Organization Name |  |
| Address |  |
| City |  |
| State |  |
| Zip Code |  |
| Website |  |

## Primary Contact Information:

|  |  |
| --- | --- |
| First Name |  |
| Last Name |  |
| Title |  |
| Phone Number |  |
| Email Address |  |

## Secondary Contact Information

|  |  |
| --- | --- |
| First Name |  |
| Last Name |  |
| Title |  |
| Phone Number |  |
| Email Address |  |

## Issuing Certification Authority (CA) Contact Information:

|  |  |
| --- | --- |
| Company/Organization Name |  |
| Website |  |
| Primary Point of Contact | |
| First Name |  |
| Last Name |  |
| Title |  |
| Phone Number |  |
| Email Address |  |

## Other Stakeholder Information (if Applicable):

## Shared Service Provider (SSP) Contact Information:

|  |  |
| --- | --- |
| First Name |  |
| Last Name |  |
| Phone Number |  |
| Email Address |  |

## Certificate Management System (CMS) Operator Contact Information:

|  |  |
| --- | --- |
| First Name |  |
| Last Name |  |
| Phone Number |  |
| Email Address |  |

## Registration Authority (RA) Operator Contact Information:

|  |  |
| --- | --- |
| First Name |  |
| Last Name |  |
| Phone Number |  |
| Email Address |  |

## DPCI Configuration Information

A D-PIV configuration is a unique combination of the following:

* Agency, Department, or Organization to which D-PIV credentials are being issued;
* Certificate Management System (CMS) configuration (if applicable);
* Certification Authority (CA) configuration; and
* Mobile platform being used.

Please Note:

1. A different CMS configuration is a different combination of factors, such as software product/version or profile, firmware version, and hardware product/version.
2. A different CA configuration is a different combination of factors, such as software product/version, firmware version, and hardware product/version or profile/template. Where multiple CAs are used, if each is exactly the same, it is considered to be one CA configuration.

For each D-PIV configuration you have, provide complete details in the table below. In addition:

* For CMS and CA, specify all information relevant to the configuration as noted in item #2 above.

| **Name of Agency, Department, or Organization Specified in the D-PIV credential**  **(If a common PIV profile, specify the list of Agencies, Departments, or Organizations covered)** | **CMS Configuration** | **CA Configuration** | **Mobile Platform Used** | **D-PIV Holder Contact Information** |
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# Annual DPCI Testing Terms and Conditions

In preparation of testing, [DPCI Name] agrees to the following Annual D-PIV Testing terms and conditions:

1. The Program defines all D-PIV configurations whose D-PIV Credentials must be tested annually;
2. The Agency attests they have completed the annual requirements from 800-79-2 in regards to issuance of Derived PIV authentication certificates;
3. For each D-PIV configuration, one populated, representative D-PIV credential must be submitted for annual testing;
4. The DPCI must submit a complete list of D-PIV configurations;
5. To prevent the same D-PIV credential from being tested year after year, each D-PIV credential to be tested must have been issued since the last annual test or within the last 12 months, whichever is less.

# Acceptance of Annual DPCI Testing Terms and Conditions

[DPCI Name] asserts that it has provided the Program a complete and accurate list of all its DPCI configurations as listed in Section 3.4. In addition, [DPCI Name] agrees to the terms and conditions listed in Section 4.

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Authorized Company/Organization Official's Name and Title Date