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| **Supply Chain  Self-Attestation Form**  **VERSION 1.3.3** |  |
| **FIPS 201 EVALUATION PROGRAM** |

**March 3, 2020**

DRAFT

Office of Government-wide Policy  
Office of Technology Strategy  
Identity Management Division   
Washington, DC 20405

# Introduction

This form is for you, the Applicant, to assert and represent that the offering being submitted for Federal Identity Credential and Access Management (FICAM) conformance evaluation complies with applicable laws and regulations, to include the Federal Acquisition Regulation (FAR) provisions and clauses stated in Section 3.

Please provide all the information requested below, including the final assembly locations of each part listed on the Applicant Product Equipment List. Additionally, the sourcing of each major component within the product must be listed. If a major component is common among multiple parts, it can be listed once and associated with the parts in the tables below. If a solution includes parts from multiple vendors, each participating vendor must provide the relevant information for their components in this document.

# Applicant Information

## Applicant Company Information:

|  |  |
| --- | --- |
| Company Name |  |
| Address |  |
| City |  |
| State |  |
| Zip Code |  |
| Company Website |  |

## Applicant Primary Contact Information:

|  |  |
| --- | --- |
| First Name |  |
| Last Name |  |
| Title |  |
| Address |  |
| City |  |
| State |  |
| Zip Code |  |
| Phone Number |  |
| Email Address |  |

## Applicant Secondary Contact Information:

|  |  |
| --- | --- |
| First Name |  |
| Last Name |  |
| Title |  |
| Address |  |
| City |  |
| State |  |
| Zip Code |  |
| Phone Number |  |
| Email Address |  |

# Certifications

Applicant shall comply and provide the representations and certifications in the following Federal Acquisition Regulation (FAR) provisions and clauses. This is to ensure that products on the FIPS 201 Evaluation Program’s Approved Products List are in compliance with the applicable FAR clauses and streamlines the acquisition process.

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| **FAR Clauses** |
| 52.204–23 Prohibition on Contracting for Hardware, Software, and Services Developed or Provided by Kaspersky Lab and Other Covered Entities. |
| 52.204–24 Representation Regarding Certain Telecommunications and Video Surveillance Services or Equipment. |
| 52.204–25 Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment. |
| 52.204–26 Covered Telecommunications Equipment or Services—Representation. |
| 52.225–1 Buy American—Supplies. |
| 52.225–2 Buy American Certificate. |
| 52.225–3 Buy American—Free Trade Agreements—Israeli Trade Act. |
| 52.225–4 Buy American—Free Trade Agreement—Israeli Trade Act Certificate. |
| 52.225–5 Trade Agreements. |
| 52.225–6 Trade Agreements Certificate. |

# Product/Service Information

Complete the tables below in Section 4.1 or 4.2 depending on whether your solution conforms to Topology 13.01 or 13.02.

## Topology 13.01

***Table 1 - PACS Infrastructure (repeat table as needed)***

|  |  |
| --- | --- |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware Version |  |
| Software Version |  |
| Firmware Version |  |
| APL # |  |

***Table2 - Validation System (repeat table as needed)***

|  |  |
| --- | --- |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware Version |  |
| Software Version |  |
| Firmware Version |  |
| APL # |  |

***Table 3 - PIV Reader (repeat table as needed)***

|  |  |
| --- | --- |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware Version |  |
| Software Version |  |
| Firmware Version |  |
| APL # |  |

## Topology 13.02

***Table 4 - PACS and Validation Infrastructure (repeat table as needed)***

|  |  |
| --- | --- |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware Version |  |
| Software Version |  |
| Firmware Version |  |
| APL # |  |

***Table 5 - PIV Reader (repeat table as needed)***

|  |  |
| --- | --- |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware Version |  |
| Software Version |  |
| Firmware Version |  |
| APL # |  |

# Supply Chain Information

The following tables are provided for the applicant to supply information on each system part and major component. The following definitions will assist in identifying each category:

* **Part**: Each part should be included in the Applicant Product Equipment List document as part of the applicant submission package. Examples include but are not limited to:
  + Physical Access Controller,
  + Validation Hardware,
  + Card Reader
* **Components**: individual elements of each part. Examples include but are not limited to:
  + Processors,
  + Networking components,
  + Logic chips

|  |  |
| --- | --- |
| Part | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware/Software/ or Firmware Version |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Component | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Component type |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Component | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Component type |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Part | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware/Software/ or Firmware Version |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Component | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Component type |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Component | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Component type |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Part | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Hardware/Software/ or Firmware Version |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Component | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Component type |  |
| Final Assembly City/State/Country |  |

|  |  |
| --- | --- |
| Component | |
| Manufacturer Name |  |
| Product Name |  |
| Part Number |  |
| Component type |  |
| Final Assembly City/State/Country |  |

# Attestation and Signature

I hereby claim that I am authorized to sign this form on behalf of my organization. By signing this form, I attest that:

* My organization is aware of the requirements of FIPS 201 and its related publications and my organization confirms, to the best of our knowledge, that our Product or Service complies with such requirements.
* My organization will notify the FIPS 201 Evaluation Program of any manufacturing or product change (form, fit, function, assembly, or major component source) that our Product or Service may undergo from the date it is placed on the Approved Products List (APL) until it is removed and placed on the Removed Products List (RPL).
* The Supply Chain Information contained in this document has been fully and accurately disclosed. My organization understands that if the FIPS 201 Evaluation Program later determines that the information provided was inaccurate or false, it may result in immediate removal of our Product or Service from the APL, in addition to any other penalties as enumerated within the FAR.

|  |  |  |  |
| --- | --- | --- | --- |
| Signature |  | Date |  |
| Applicant Organization |  | | |
| Name |  | | |
| Title |  | | |