

1 Draft
2 OCCI-WG
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Gregory Katsaros, Intel
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5 **Open Cloud Computing Interface - Service Level Agreements**

6 Status of this Document

7 This document is a draft providing information to the community regarding the specification of the Open
8 Cloud Computing Interface.

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13 Abstract

14 This document, part of a document series, produced by the OCCI working group within the Open Grid Forum
15 (OGF), provides a high-level definition of a Protocol and API in relation with the Service Level Agreements
16 extension of the OCCI Core Model. The document is based upon previously gathered requirements and focuses
17 on the scope of important capabilities required to support modern service offerings.

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33 1 Introduction

34 The Open Cloud Computing Interface (OCCI) is a RESTful Protocol and API for all kinds of management
 35 tasks. OCCI was originally initiated to create a remote management API for IaaS¹ model-based services,
 36 allowing for the development of interoperable tools for common tasks including deployment, autonomic scaling
 37 and monitoring. It has since evolved into a flexible API with a strong focus on interoperability while still offering
 38 a high degree of extensibility. The current release of the Open Cloud Computing Interface is suitable to serve
 39 many other models in addition to IaaS, including PaaS and SaaS.

40 In order to be modular and extensible the current OCCI specification is released as a suite of complimentary
 41 documents, which together form the complete specification. The documents are divided into three categories
 42 consisting of the OCCI Core, the OCCI Renderings and the OCCI Extensions.

- 43 • The OCCI Core specification consists of a single document defining the OCCI Core Model. The
 44 OCCI Core Model can be interacted through *renderings* (including associated behaviours) and expanded
 45 through *extensions*.
- 46 • The OCCI Rendering specifications consist of multiple documents each describing a particular rendering
 47 of the OCCI Core Model. Multiple renderings can interact with the same instance of the OCCI Core
 48 Model and will automatically support any additions to the model which follow the extension rules defined
 49 in OCCI Core.
- 50 • The OCCI Extension specifications consist of multiple documents each describing a particular extension
 51 of the OCCI Core Model. The extension documents describe additions to the OCCI Core Model defined
 52 within the OCCI specification suite.

53 TODO: replace with 1.2, note backwards compatibility. define new set of docs for 1.2 below...

54 2 Notational Conventions

55 All these parts and the information within are mandatory for implementors (unless otherwise specified). The
 56 key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
 57 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described
 58 in RFC 2119 [1].

59 3 Service Level Agreement

60 The OCCI Service Level Agreements (OCCI SLAs) document describes how the OCCI Core Model [2] can
 61 be extended and used to implement a Service Level Agreement management API. This API allows for the
 62 creation and management of resources related with the realization of agreements between an OCCI-enabled
 63 cloud service provider and potential consumers of the provider's resources. The introduced types and Mixins
 64 defined in this OCCI SLAs document are the following:

65 **Agreement** This resource represents the Service Level Agreement between the provider and the consumer.
 66 It includes the basic information for this contract and with the appropriate extensions (Mixins) it can
 67 be populated with further information. To this end, we introduce the AgreementTemplate and the
 68 AgreementTerms Mixins which complement the SLAs with template tagging and terms specification
 69 respectively.

70 **AgreementLink** This is a link entity that associates an Agreement instance with any other Resource instance.

71 These infrastructure types inherit the OCCI Core Model Resource base type and all their attributes. The HTTP
 72 Rendering document [3] defines how to serialise and interact with these types using RESTful communication.

¹Infrastructure as a Service

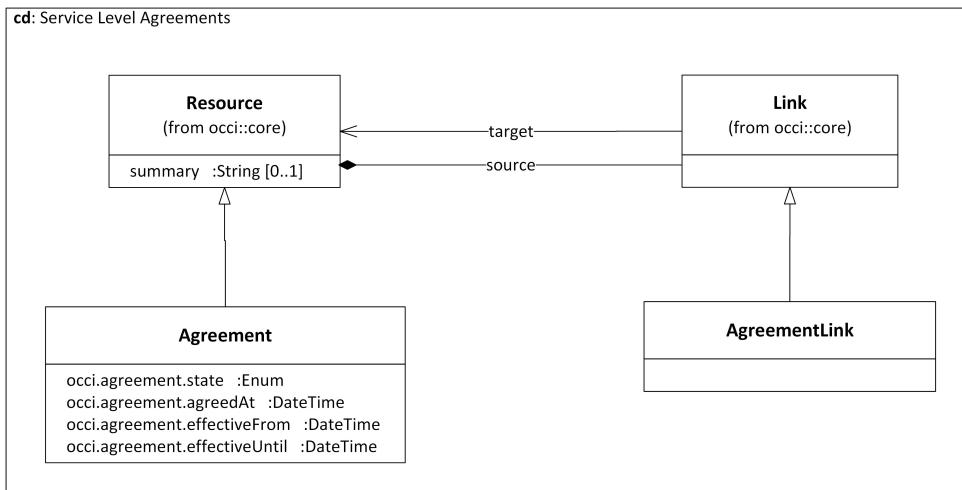


Figure 1. Overview diagram of OCCI Service Level Agreements types.

- 73 Implementers are free to choose what Resource and Link sub-types to implement. Those that are supported
 74 by an implementation will be discoverable through the OCCI Query Interface.
- 75 It is REQUIRED by the OCCI Core Model specification that every type instantiated which is a sub-type of
 76 a Resource or a Link (i.e. Agreement and AgreementLink) MUST be assigned a Kind that identifies the
 77 instantiated type. To this end, each Kind instance MUST be related to the Resource or Link base type's
 78 Kind. That assigned Kind MUST be immutable to any client.
- 79 In the following table (Table 1) the Kind instances for the OCCI SLAs Resource, Link sub-types as well as the
 80 Mixins are introduced. For information on how to extend these types, please refer to the OCCI Core Model
 81 specification [2]. We also present related examples at the end of this document.

Table 1. The Kind instances defined for the SLAs sub-types of Resource, Link and related Mixins. The base URL <http://schemas.ogf.org/occi> has been replaced with <schema> in this table for a better readability experience.

Term	Scheme	Title	Related Kind
agreement	<schema>/sla#	A Service Level Agreement	<schema>/core#resource
agreement_link	<schema>/sla#	Link between a SLA and its associated resources	<schema>/core#link
agreement_tpl	<schema>/sla#	Mixin defining a SLA template collection	-
agreement_term	<schema>/sla#	Mixin defining a Term collection for an agreement	-

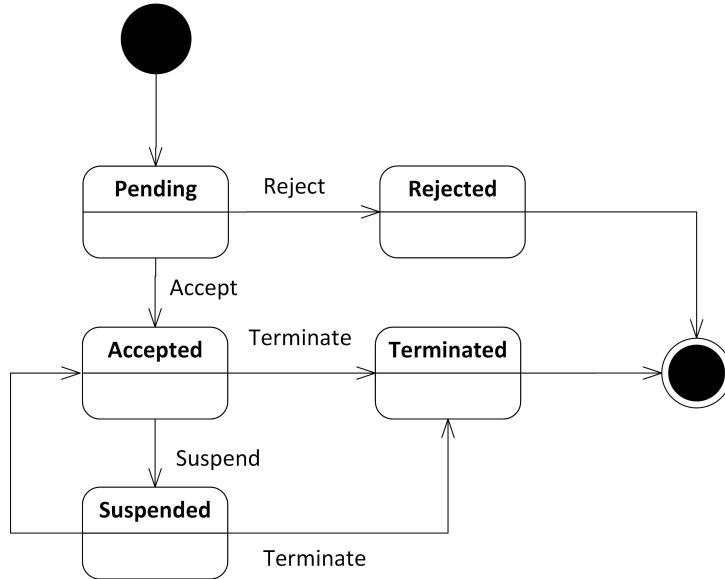
- 82 The following sections describe the Agreement and AgreementLink types, with details about their attributes,
 83 states and actions. The AgreementTemplate and AgreementTerm Mixins are also defined and presented. In
 84 the end, examples of OCCI SLAs instantiations are shown. These present several phases of the Service Level
 85 Agreement lifecycle, as well as specific instances of terms and service qualities.

86 3.1 Agreement

- 87 The Agreement type represents a generic contract resource which holds the information related to a SLA
 88 between a cloud service consumer and a provider for the provisioned resources (e.g. compute, storage,
 89 network etc.). The Agreement type inherits the Resource base-type defined in the OCCI Core Model [2]. The
 90 Kind instance assigned to the Agreement type is <http://schemas.ogf.org/occi/sla#agreement>. An Agreement
 91 instance MUST relate and expose this Kind.
- 92 Table 2 describes the attributes defined by the Agreement type through its Kind instance. These attributes
 93 MUST be exposed by an instance of the Agreement type. In Figure 2 the allowed states of an Agreement
 94 instance are presented. Those specific states MUST be assigned to an Agreement instance by a cloud service
 95 provider SHOULD the implements the OCCI SLAs specification.

Table 2. Attributes defined for the Agreement type.

Attribute	Type	Multiplicity	Mutability	Description
occi.agreement.state	Enum {Pending, Accepted, Rejected, Suspended, Terminated}	1	Immutable	Current state of the instance.
occi.agreement.agreedAt	Datetime (ISO8601)	0...1	Immutable	The point in time when the agreement was made.
occi.agreement.effectiveFrom	Datetime (ISO8601)	0...1	Mutable	The point in time when the agreement's effectiveness begins.
occi.agreement.effectiveUntil	Datetime (ISO8601)	0...1	Mutable	The point in time when the agreement's effectiveness ends.

**Figure 2.** State diagram for Agreement instance, inspired by WS-Agreement states [4].

96 The actions that are applicable to Agreement instances are presented in Table 3. The Actions are defined
 97 by the Kind instance <http://schemas.ogf.org/occi/sla#agreement>. Every Action in the table is identified by
 98 a Category instance using the <http://schemas.ogf.org/occi/sla#> categorization scheme. The "Action Term"
 99 below refers to the term of the Action's Category identifier.

Table 3. Actions applicable to instances of the Agreement type.

Action Term	Target state	Attributes
accept	Accepted	—
reject	Rejected	—
suspend	Suspended	—
unsuspend	Accepted	—
terminate	Terminated	—

100 These actions MUST be exposed by an instance of Agreement type of an OCCI SLAs implementation. The
 101 implementation of the Agreement type is REQUIRED if a cloud service provider adopts the OCCI SLAs
 102 specification.

103 **3.1.1 AgreementTemplate Mixin**

104 In order to allow the classification of agreements and the provisioning of Service Level Agreement templates, an
 105 OCCI Mixin is introduced. The AgreementTemplate Mixin is assigned the "scheme" <http://schemas.ogf.org/occi/sla#>
 106 and the term agreement_tpl. An AgreementTemplate mixin MUST support these values. The use and instanti-
 107 ation of this Mixin is OPTIONAL but RECOMMENDED for improved classification and management of the
 108 agreements. There are no specific attributes defined for the AgreementTemplate Mixin, thus every provider
 109 that implements the OCCI SLAs specification MAY introduce provider specific attributes using the Attributes
 110 Set inherited from the Category type.

111 As can be seen in the example diagram below, the AgreementTemplate mixin can be used either for simple
 112 agreement tagging (e.g. gold, silver etc.) of a Collection but also for introducing specific attributes and
 113 features for each tag.

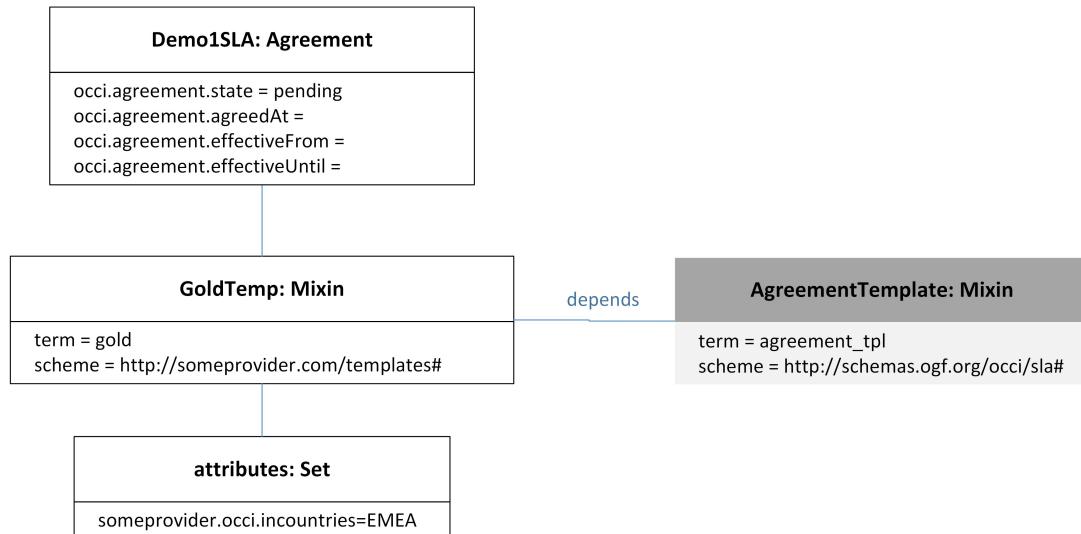


Figure 3. Object diagram of an Agreement instance and its associated AgreementTemplate mixin.

114 **3.1.2 AgreementTerm Mixin**

115 A necessary part of an agreement offer, as well as the consequent agreement, is the section of the agreement
 116 term. To this end, the OCCI SLAs suggests the introduction of the agreement terms through the Mixin
 117 mechanism. The AgreementTerm Mixin is assigned the "scheme" <http://schemas.ogf.org/occi/sla#> and the
 118 term agreement_term. An AgreementTerm mixin MUST support these values. OCCI SLAs implementa-
 119 tions SHOULD support this in order to provide a classification and definition mechanism for the various terms
 120 and conditions of the agreements. Therefore, the implementation of this functionality is OPTIONAL but
 121 RECOMMENDED.

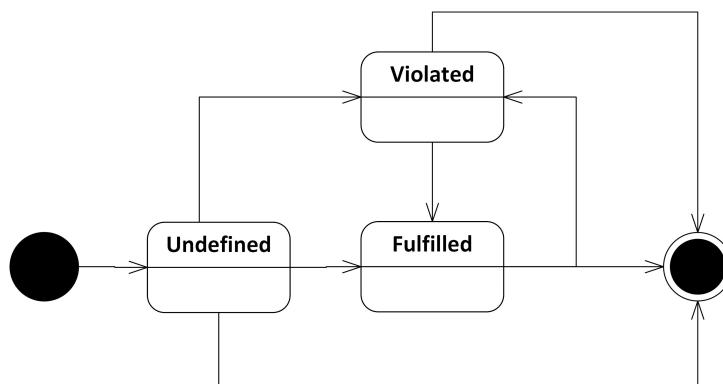
122 Table 4 shows the defined attributes for the AgreementTerm Mixin. Following the rationale presented in the
 123 WS-Agreement specification [4] , OCCI SLAs defines two types of agreement terms: service terms and service
 124 level objectives (SLOs). The first includes information related with the service description and definition. The
 125 second refers to the guarantee terms that specify the service level which the two parties are agreeing to. A
 126 cloud service provider MAY introduce domain specific attributes to the AgreementTerm mixin instances that
 127 he constructs, through the attributes set inherited from the Category type. Mixin relationships MAY be used in
 128 order to enforce classification of capabilities but also to allow resource specific instantiation of AgreementTerm.
 129 For example, an availability Mixin could be defined, which is depended on the AgreementTerm Mixin type.
 130 The provider, then, MAY choose to instantiate different availability mixins for compute or storage resources
 131 (or any other offered resource) based on his own definition of availability for those resources.

132 The AgreementTerm state can be either *undefined*, *fulfilled* or *violated* (Figure 4). The undefined state is
 133 the initial state of the term until an assessment is made. During runtime and while the service and SLA is

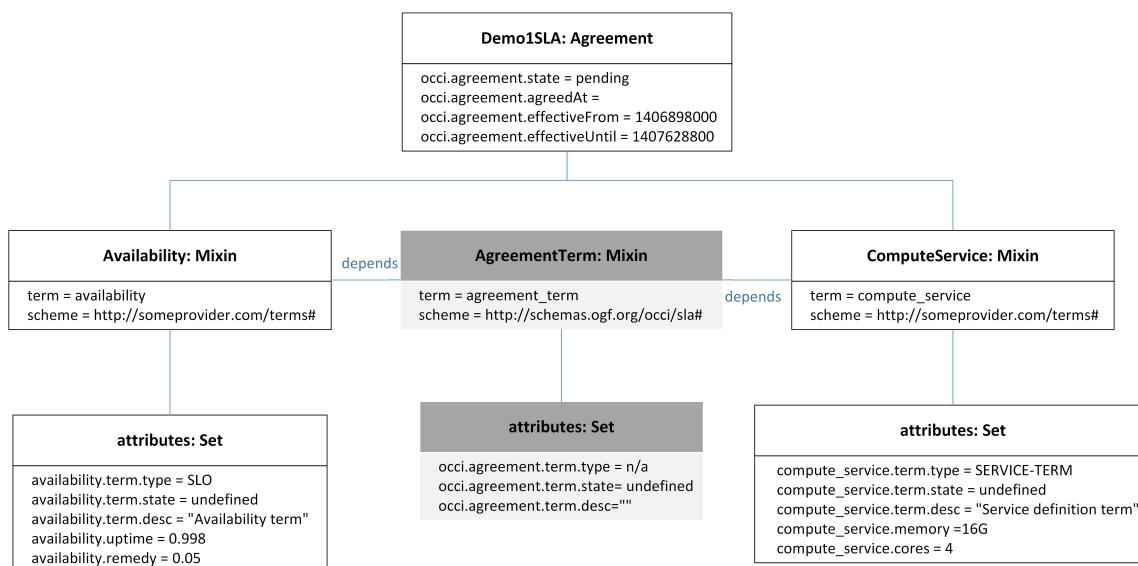
Table 4. Attributes for the AgreementTerm Mixin.

Attribute	Type	Multiplicity	Mutability	Description
occi.agreement.term.type	Enum {SERVICE-TERM,SLO, n/a}	1	Mutable	The type of the term that is being defined.
occi.agreement.term.state	Enum {Undefined,Fulfilled, Violated}	1	Immutable	The state of fulfillment of the specific term.
occi.agreement.term.desc	String	0...1	Mutable	The description of the agreement term defined with this mixin.

¹³⁴ being monitored the state MUST be fulfilled or violated. When multiple terms exist (e.g. provider specific
¹³⁵ terms) then if at least one term in an agreement has state violated, then the agreement is considered violated
¹³⁶ (*occi.agreement.term.state=violated*).

**Figure 4.** AgreementTerm state diagram.

¹³⁷ In Figure 5 an example of using the AgreementTerm Mixin is shown. In the specific implementation an
¹³⁸ agreement offer (state: pending) is defined which describes a SLA for a compute service (memory: 16GB,
¹³⁹ cores: 4). The Availability Service Level Objective (SLO) is introduced through provider specific attributes
¹⁴⁰ in the respective mixin.

**Figure 5.** Object diagram of an Agreement instance populated with AgreementTerm mixin.

141 3.2 AgreementLink

142 In order to associate signed Service Level Agreements with existing OCCI resource instances, the AgreementLink is introduced. This is a sub-type of the OCCI Core Model Link base type. Thus, the instantiation
 143 of an AgreementLink resource allows the linkage of resources of the previous defined Agreement sub-type
 144 with any OCCI Core Model Resource sub-type (e.g. Infrastructure sub-types). The implementation of the
 145 AgreementLink type is REQUIRED if a cloud service provider adopts the OCCI SLAs specification.

146 The AgreementLink type is assigned the Kind instance http://schemas.ogf.org/occi/sla#agreement_link. An
 147 AgreementLink instance MUST use and expose this Kind. The Kind instance assigned to the AgreementLink
 148 type MUST be related to the <http://schemas.ogf.org/occi/core#link> Kind.

149 Because of the multiple possibilities in terms of design and implementation of an OCCI compatible system,
 150 domain specific AgreementLink sub-types MAY be defined by cloud service providers. Thus, additional,
 151 provider specific attributes in such agreement link sub-types MAY be defined in by its Kinds instances.

153 3.3 OCCI Service Level Agreement example

154 In this section, an example instantiation of an Agreement type along with provider defined mixins is presented.
 155 It is to be noted that the implementation of an OCCI SLA framework is a responsibility of the cloud service
 156 provider. Thus, the instantiation of the proposed types and mixins are subject to the requirements and
 157 objectives of the provider. The presented instantiation of an OCCI SLA is only an example. Different
 158 approaches, mixins and attributes definitions could be followed.

159 The creation and provisioning of SLAs includes several phases. The process of reaching such agreement could
 160 be described by the following steps :

- 161 • Negotiation phase - The cloud service consumer retrieves the SLA templates, completes the REQUIRED
 162 values and submits an offer to the cloud service provider. (agreement-state: pending)
- 163 • Agreement phase - The cloud service provider can decide whether to accept the filled out template (the
 164 offer) or not. It is also possible to provide a counter-offer to the customer. (agreement-state: accepted,
 165 rejected, pending)
- 166 • Execution phase - When the agreement has been accepted the Agreement is in place and the (newly)
 167 created resource can be linked and associated with the reached agreement. (agreement-state: accepted)

168 The object diagram in Figure 6 represents an Agreement in the execution phase. In the presented example the
 169 Demo1SLA agreement is being populated with the SilverTemp mixin which is related to the AgreementTem-
 170 plate Mixin type. This is used to tag and classify the agreement as well as to define some generic constraints
 171 such as the region in which the resources (under that SLA template) SHOULD be allocated. In addition to
 172 the template mixin several AgreementTerm mixins are defined either to define and describe the service offered
 173 or to introduce Service Level Objectives (SLOs) for the agreement.

174 To this end, through the *ComputeServiceTerm* mixin, the cloud service provider introduces a set of ser-
 175 vice terms which characterize the service being offered with this SLA. In this case it is a compute resource
 176 with technical specifications defined through provider-specific attributes (e.g. *compute_service.cores*, *com-*
177 pute_service.cpu etc.). The *Availability*, *ServicePerformance* and *ServiceCapacity* are all Service Level Ob-
 178 jective terms that set certain thresholds to metrics which determine the Quality of Service (QoS) of the
 179 respective offering. Every SLO term also defines the remedy value which is the compensation to the costumer
 180 in the event that the cloud service provider fails to meet the specified SLO. The value is usually a percentage
 181 of the agreed rate for the offered cloud service. The attributes defined in the mixins can be either mutable
 182 or immutable to the costumer depending on how the negotiation phase is being realized by the cloud service
 183 provider. What is more, every term has a current state value. Depending on the current assessment the terms
 184 are fulfilled or violated. Each violation will trigger the respective remedy value.

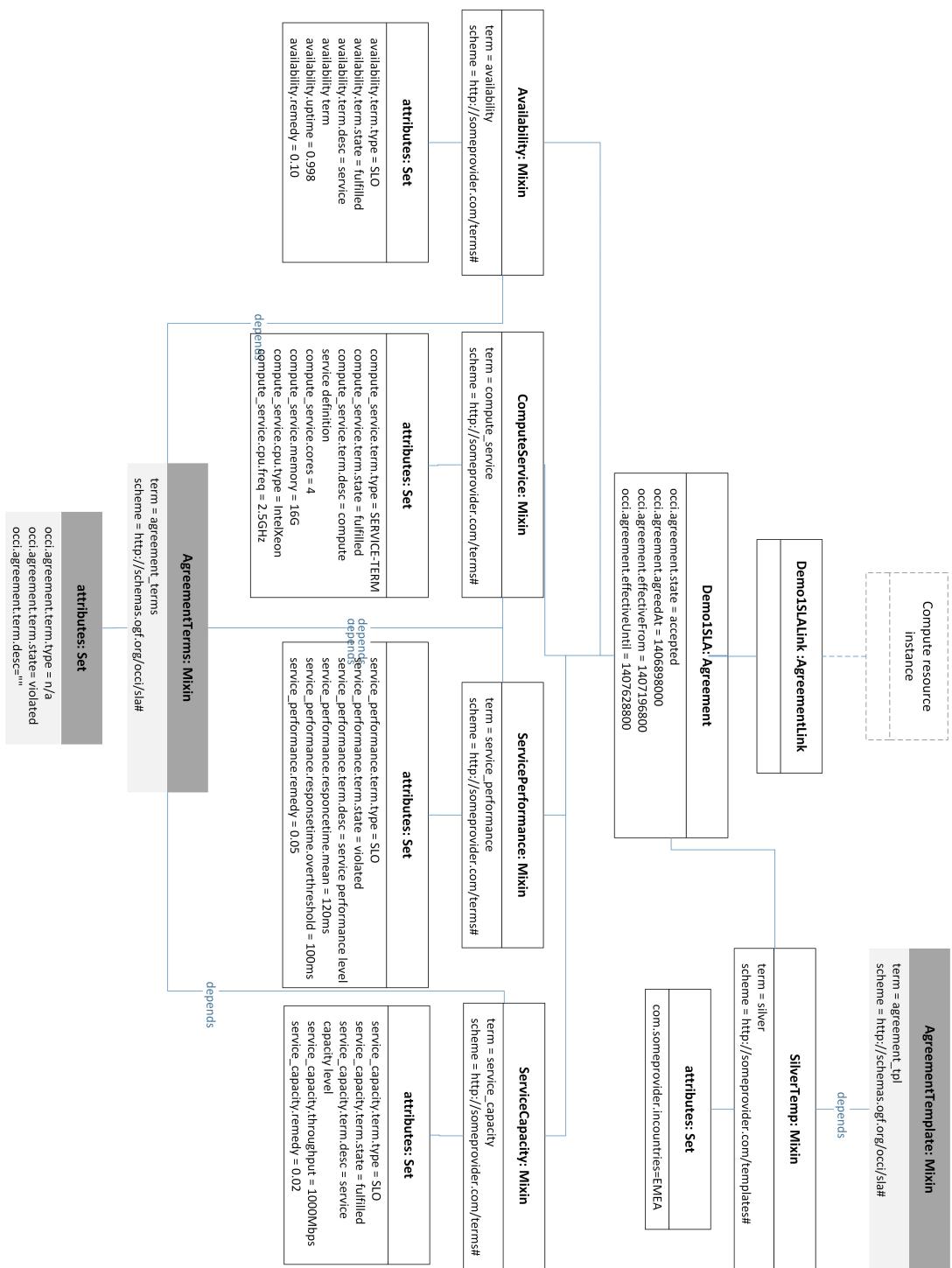


Figure 6. OCCI SLA instantiation example.

185 4 Security Considerations

- ¹⁸⁶ The OCCI Infrastructure specification is an extension to the OCCI Core and Model specification [2]; thus the same security considerations as for the OCCI Core and Model specification apply here.

¹⁸⁸ 5 Glossary

Term	Description
Action	An OCCI base type. Represents an invocable operation on a Entity sub-type instance or collection thereof.
Attribute	A type in the OCCI Core Model. Describes the name and properties of attributes found in Entity types.
Category	A type in the OCCI Core Model and the basis of the OCCI type identification mechanism. The parent type of Kind.
capabilities	In the context of Entity sub-types capabilities refer to the OCCI Attributes and OCCI Actions exposed by an entity instance .
Client	An OCCI client.
Collection	A set of Entity sub-type instances all associated to a particular Kind or Mixin instance.
Entity	An OCCI base type. The parent type of Resource and Link.
entity instance	An instance of a sub-type of Entity but not an instance of the Entity type itself. The OCCI model defines two sub-types of Entity, the Resource type and the Link type. However, the term <i>entity instance</i> is defined to include any instance of a sub-type of Resource or Link as well.
Kind	A type in the OCCI Core Model. A core component of the OCCI classification system.
Link	An OCCI base type. A Link instance associates one Resource instance with another.
Mixin	A type in the OCCI Core Model. A core component of the OCCI classification system.
mix-in	An instance of the Mixin type associated with an <i>entity instance</i> . The “mix-in” concept as used by OCCI <i>only</i> applies to instances, never to Entity types.
model attribute	An internal attribute of a the Core Model which is <i>not</i> client discoverable.
OCCI	Open Cloud Computing Interface.
OCCI base type	One of Entity, Resource, Link or Action.
OCCI Action	see Action.
OCCI Attribute	A client discoverable attribute identified by an instance of the Attribute type. Examples are occi.core.title and occi.core.summary.
OCCI Category	see Category.
OCCI Entity	see Entity.
OCCI Kind	see Kind.
OCCI Link	see Link.
OCCI Mixin	see Mixin.
OGF	Open Grid Forum.
Resource	An OCCI base type. The parent type for all domain-specific Resource sub-types.
resource instance	See <i>entity instance</i> . This term is considered obsolete.
tag	A Mixin instance with no attributes or actions defined.
template	A Mixin instance which if associated at instance creation-time pre-populate certain attributes.
type	One of the types defined by the OCCI Core Model. The Core Model types are Category, Attribute, Kind, Mixin, Action, Entity, Resource and Link.
concrete type/sub-type	A concrete type/sub-type is a type that can be instantiated.
Cloud service provider	The entity who offers a resource/service.
Cloud service consumer	The party which is in business relationship with the cloud service provider for using a cloud service/resource.
SLA	Service Level Agreement: the contract or agreement that the two parties (provider, consumer) need to “sign”. It includes all the information about the services and the terms they both agree upon.
SLO	Service Level Objective: the quality of service aspect of the agreement. Specifies a non-functional guarantee in the SLA.
SLA Template	It is a resource that classifies set of terms and qualities for a provisioned service.

190 **6 Contributors**

191 We would like to thank the following people who contributed to this document:

Name	Affiliation	Contact
Gregory Katsaros	Intel	gregory.katsaros at intel.com
Thijs Metsch	Intel	thijs.metsch at intel.com
John Kennedy	Intel	john.m.kennedy at intel.com

193 Next to these individual contributions we value the contributions from the OCCI working group.

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