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# Data Format Description Language (DFDL) v1.0

**Experience Document 1**

**Errata for DFDL v1.0 Specification GFD.207**

Status of This Document

Grid Working Document (GWD)

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Abstract

This document provides experience information to the OGF community on the Data Format Description Language (DFDL) 1.0 specification (GFD-P-R.207).

It lists and describes the non-editorial errata identified in the DFDL 1.0 specification since its publication in September 2014.

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# Introduction

This document has been created to list issues encountered by implementers of the DFDL 1.0 specification GFD.207 [DFDL], and users of implementations of the DFDL 1.0 specification. Specifically, it records all those issues requiring a non-editorial change to the DFDL 1.0 specification, in the form of errata.

The OGF GFD process [GFD] recognises three different kinds of error that may be found in OGF specifications:

*Editorial fixes*. Updates to a document which are not widely announced or publicized.

This category might include headers/footers, spelling, formatting, or simple wording

changes for clarity.

*Minor technical fixes.* Updates to a document which are not simply editorial. For example,

an update to an XML schema or addition to a protocol, to bring the document into

agreement with current practice.

*Major technical fixes.* Such fixes will often require additional technical review and result

in an updated or replaced document.

The following sections of this document list the errata that fall into the last two categories.

All errata are tracked by Redmine Issue trackers [ISSUES].

# Minor Technical Fixes

The following minor technical fixes have been identified. Note that they are numbered **5.x** to follow-on from errata numbering in [DFDLX1].

**5.1**. *Section 13.2.1.* [*https://redmine.ogf.org/issues/230*](https://redmine.ogf.org/issues/230)

Consider a dfdl:escapeScheme annotation with the following properties:

* dfdl:escapeBlockStart="start"
* dfdl:escapeBlockEnd="end"
* dfdl:escapeEscapeCharacter="#"

If this is used to serialize a DFDL Infoset element of type xs:string with value “A hash is a #”, then the value is wrapped with the dfdl:escapeBlockStart and dfdl:escapeBlockEnd, giving simple content "startA hash is a #end".  If this data was parsed, the "#end" will be treated as an escaped escape block end and the parse will fail, reporting that there is no escape block end in the data.   
  
In this scenario, the data is not compliant with the escape scheme, and the DFDL serializer must issue a processing error.

***5.2****. Section 23.* [*https://redmine.ogf.org/issues/231*](https://redmine.ogf.org/issues/231)

XPath 2.0 specification [XPATH2] allows implementation-dependent evaluation of expressions thereby allowing either lazy (sequential) evaluation or full (parallel) evaluation of expressions with OR and AND clauses. This flexibility is not desirable in DFDL 1.0 implementations so the specification is changed to prescribe lazy (sequential) evaluation left-to-right.

**5.3**. *Section 13.2.1.* [*https://redmine.ogf.org/issues/237*](https://redmine.ogf.org/issues/237)

Examples of formats exist where an escape character is active when in front of an in-scope delimiter, but not when in front of another character. Currently DFDL 1.0 will remove all instances of escape characters when parsing regardless of what follows. In order to handle these formats, a new property is added to dfdl:escapeScheme.

|  |  |
| --- | --- |
| **Property Name** | **Description** |
| escapeCharacterPolicy | Enum  Valid values are ‘all’, ‘delimiters’.  Controls when escape characters are removed during parsing, and output during unparsing, when dfdl:escapeKind is 'escapeCharacter'.  When 'all':  During unparsing the following are escaped as described in dfdl:escapeKind when they are in the data.   * Any in-scope terminating delimiter by escaping its first character. * dfdl:escapeCharacter (escaped by dfdl:escapeEscapeCharacter) * any dfdl:extraEscapedCharacters   During parsing, occurrences of dfdl:escapeCharacter and dfdl:escapeEscapeCharacter are interpreted and removed from the data as described in dfdl:escapeKind.  When 'delimiters':  During unparsing the following are escaped as described in dfdl:escapeKind when they are in the data.   * Any in-scope terminating delimiter by escaping its first character. * dfdl:escapeCharacter (escaped by dfdl:escapeEscapeCharacter)   During parsing, occurrences of dfdl:escapeCharacter and dfdl:escapeEscapeCharacter are interpreted and removed from the data as described in dfdl:escapeKind, except that dfdl:escapeCharacter is only removed when it immediately precedes an in-scope terminating delimiter.  Annotation: dfdl:escapeScheme |

Consequential updates to description of dfdl:escapeKind :

“When 'escapeCharacter': On unparsing a single character of the data is escaped by adding a dfdl:escapeCharacter or dfdl:escapeEscapeCharacter immediately before it. The characters to escape are determined by property dfdl:escapeCharacterPolicy.

On parsing any in-scope terminating delimiter encountered in the data is not interpreted as such when it is immediately preceded by the dfdl:escapeCharacter (when not itself preceded by the dfdl:escapeEscapeCharacter). Occurrences of the dfdl:escapeCharacter and dfdl:escapeEscapeCharacter are removed from the data as determined by property dfdl:escapeCharacterPolicy unless the dfdl:escapeCharacter is preceded by the dfdl:escapeEscapeCharacter, or the dfdl:escapeEscapeCharacter does not precede the dfdl:escapeCharacter, respectively.”

**5.4**. *Section 23.5.2.5*. [*https://redmine.ogf.org/issues/239*](https://redmine.ogf.org/issues/239)

The description of fn:exactly-one() does not match the XPath 2.0 specification [XPATH2]. It should state : “Returns the input sequence if it contains exactly one item. Raises an error otherwise.”

**5.5**. *Section 23*. [*https://redmine.ogf.org/issues/240*](https://redmine.ogf.org/issues/240)

XPath 2.0 specification [XPATH2] defines its functions to be in namespace <http://www.w3.org/2005/xpath-functions>. The DFDL specification must bind namespace prefix “fn:” to this namespace.

**5.6**. *Section 5.1*. [*https://redmine.ogf.org/issues/241*](https://redmine.ogf.org/issues/241)

The list of XSDL 1.0 constructs supported by DFDL 1.0 includes the attributes “elementFormDefault” and “form”. These should be explicitly listed.

**5.7**. *Section 13.6.* [*https://redmine.ogf.org/issues/238*](https://redmine.ogf.org/issues/238)

The paragraph in the description of the textNumberPadCharacter property that describes what happens when the pad character is ‘0’ does not cover edge cases such as a sign being present. It is revised as follows:

"When parsing, if the pad character is '0' and dfdl:textTrimKind is 'padChar' then the SimpleContent region is trimmed of the '0' characters as defined by the trimming rules. If at least one '0' character is removed and the trimmed text causes a processing error when parsed, a single '0' character is re-instated and the text is parsed again. This is to handle the case when '0' characters are trimmed away leaving no digits. This rule also applies when the pad character is a DFDL character entity equivalent to '0'. This rule does not apply when the pad character is any other character nor when a pad byte is specified."

**5.8.** *Sections 14.2, 16.* [*https://redmine.ogf.org/issues/243*](https://redmine.ogf.org/issues/243)

Following changes needed to the specification to clarify the behaviour of separator suppression. If it is applicable, the separatorSuppressionPolicy should apply to the whole sequence regardless of the occursCountKind of child elements.

*14.2* - updates to paragraphs that describe positional and non-positional sequences

***“Positional sequence*** - Each occurrence in the sequence can be identified by its position in the data. Typically the components of such a sequence do not have an initiator. In some such sequences, the separators for optional zero-length occurrences may or must be omitted when at the end of the group. In DFDL, a sequence is considered positional if it contains only required elements and/or optional and array elements that have dfdl:occursCountKind 'implicit', 'fixed' or 'expression', and it has dfdl:separatorSuppressionPolicy 'never', 'trailingEmptyStrict'  or 'trailingEmpty'.”

***“Non-positional sequence*** - Occurrences in the sequence cannot be identified by their position in the data alone. Often the components of such a sequence have an initiator. Such sequences sometimes allow the separator to be omitted for optional zero-length occurrences anywhere in the sequence. Speculative parsing might need to be employed by to identify each occurrence. In DFDL, a sequence is non-positional if it contains any optional or array elements that have dfdl:occursCountKind 'parsed' or 'stopValue', and/or it has dfdl:separatorSuppressionPolicy 'anyEmpty'.”

*14.2.2* - updates to the last sentences of the 'When dfdl:occursCountKind is ...' paragraphs to match the table and notes.

“When an element is required and is not an array then one occurrence is always expected along with its separator. The dfdl:separatorSuppressionPolicy of the sequence has no effect (nothing is eligible for suppression). Otherwise the behaviour is dependent on dfdl:occursCountKind.  
When dfdl:occursCountKind is 'fixed' then XSDL minOccurs must equal maxOccurs and that many occurrences are always expected along with their separators. The dfdl:separatorSuppressionPolicy of the sequence has no effect (nothing is eligible for suppression).  
When dfdl:occursCountKind is 'expression' the number of occurrences is given by dfdl:occursCount and exactly that many occurrences are always expected along with their separators. The dfdl:separatorSuppressionPolicy of the sequence has no effect (nothing is eligible for suppression).  
When dfdl:occursCountKind is 'parsed' any number of occurrences and their separators are expected. The dfdl:separatorSuppressionPolicy of the sequence must be 'anyEmpty' and it is a schema definition error otherwise.  
When dfdl:occursCountKind is 'stopValue', any number of occurrences and their separators are expected followed by the stop value and its separator. The dfdl:separatorSuppressionPolicy of the sequence has no effect.   
When dfdl:occursCountKind is 'implicit', between XSDL minOccurs and maxOccurs (inclusive) occurrences and their separators are expected, according to the dfdl:separatorSuppressionPolicy of the sequence.”

*14.2.3* - updates to the last sentences of the 'When dfdlk:occursCountKind is ...' paragraphs to match the table and notes.

When an element is required and is not an array then one occurrence is always output along with its separator. The dfdl:separatorSuppressionPolicy of the sequence has no effect (nothing is eligible for suppression).  
Otherwise the behaviour is dependent on dfdl:occursCountKind.  
When dfdl:occursCountKind is 'fixed' or 'expression' the occurrences in the augmented Infoset are always output along with their separators. The dfdl:separatorSuppressionPolicy of the sequence has no effect (nothing is eligible for suppression).  
When dfdl:occursCountKind is 'parsed' non zero-length occurrences in the augmented Infoset are output along with their separators. The dfdl:separatorSuppressionPolicy of the sequence must be 'anyEmpty' and it is a schema definition error otherwise.  
When dfdl:occursCountKind is 'stopValue' the occurrences in the augmented Infoset are output along with their separators followed by the stop value and its separator, according to the dfdl:separatorSuppressionPolicy of the sequence.  
When dfdl:occursCountKind is 'implicit' the occurrences in the augmented Infoset are output along with their separators, according to the dfdl:separatorSuppressionPolicy of the sequence.

*16* - update to occursStopValue property description. The property is a list of logical values, so need to add: "The dfdl:stopValue property must not be empty string."

**5.9**. *Section 16.1.* [*https://redmine.ogf.org/issues/244*](https://redmine.ogf.org/issues/244)

New clauses added to sections 16.1.1 through 16.1.6 where needed to describe the behaviour when maxOccurs or occursCount is zero.

*16.1.1* (fixed):  
Parsing: When maxOccurs is 0, no occurrences looked for in the data   
Unparsing: When maxOccurs is 0, no occurrences looked for in the infoset or written

*16.1.2* (implicit):  
Parsing: When maxOccurs is 0, no occurrences looked for in the data   
Unparsing: When maxOccurs is 0, no occurrences looked for in the infoset or written

*16.1.4* (expression):  
Parsing: When dfdl:occursCount is 0, no occurrences looked for in the data

**5.10**. *Sections various.* [*https://redmine.ogf.org/issues/245*](https://redmine.ogf.org/issues/245)

The specification is changed to say that a 'fixed length' element with a non-zero length can never have an empty representation. The emptyValueDelimiterPolicy does not therefore apply and all occurrences of the element will have the fixed length. This is to prevent a catch 22 situation when initiators are present. Spec changes:

Add a definition of 'fixed length element' to the glossary in section 3, being an element of specified length where lengthKind is 'explicit' but length is not an expression, or lengthKind is 'implicit' (of simple type only). (Note that the spec also uses 'fixed length' for choice branches where choiceLengthKind is 'explicit')

Add a paragraph to section 9.2.2 which states that empty representation is not possible for fixed length elements with a non-zero length.

Add a clause in 9.4.2.2 and 9.4.2.3 so that the statement about optional occurrences says "If dfdl:emptyValueDelimiterPolicy **is applicable and** is not 'none'...", as the words today do not cover cases when it is ignored (no initiator or terminator).

Add a new paragraph to the property description of emptyValueDelimiterPolicy in section 12.2 "Ignored if the element is fixed length and length is not zero (no empty representation is possible)."

Update existing paragraph of the property description of emptyValueDelimiterPolicy in section 12.2 "It is a schema definition error if dfdl:emptyValueDelimiterPolicy **is applicable and** is set to 'none' or 'terminator' when the parent xs:sequence has dfdl:initiatedContent 'yes'."

Update existing paragraph of the property description of nilValueDelimiterPolicy in section 13.15 "It is a schema definition error if dfdl:nilValueDelimiterPolicy **is applicable and** is set to 'none' or 'terminator' when the parent xs:sequence has dfdl:initiatedContent 'yes'."

Update existing paragraph of the property description of nilKind in section 13.15 to remove the definition of fixed length.

**5.11**. *Section 23.4.* [*https://redmine.ogf.org/issues/246*](https://redmine.ogf.org/issues/246)

Clarify the meaning of an unqualified path step in a DFDL expression. Add new note after table 57 with accompanying reference.

5. NameTest - These QNames are path steps that refer to elements in the DFDL infoset. If such an element is in a namespace, then the NameTest QName must have a prefix which is bound to the namespace. Specifically, any default namespace is not used to implicitly qualify these NameTest QNames. This behavior is consistent with XPath expression usage in XML Schema [x] such as in the path property of the xs:selector and xs:field elements within xs:key and xs:unique constraints, and in related XML standards such as XSLT. Note however, that this behavior is different from the way QNames are used in other places in XML and DFDL Schemas such as the ref property of an element reference, or the dfdl:ref property of a DFDL format annotation. There a QName with no prefix must always be referring to a global declaration or definition, and so is augmented with the default namespace when needed.

[x] Definitive XML Schema (Walmsley, ISBN 0-13-065567-8) page 390, Section 17.8, Table 17-6 says "A child element-type name which must be prefixed if it is in a namespace".

**5.12**. *Section 12.3.7.2.1.* [*https://redmine.ogf.org/issues/247*](https://redmine.ogf.org/issues/247)

Correct the xs:decimal row in table 22 so that the minimum number of bits is 8. This is because lengthUnits 'bits' is not allowed for xs:decimal. A footnote is added to the table stating this restriction.

**5.13***. Section 13.7.1.1.* [*https://redmine.ogf.org/issues/248*](https://redmine.ogf.org/issues/248)

The paragraphs in section 13.7.1.1 are incorrect and should read as follows:

“For both parsing and unparsing, the bit string that represents the content region for a base-2 binary number is converted to/from an Infoset value by a calculation that involves the length and the dfdl:byteOrder and dfdl:bitOrder properties.

When parsing, DFDL specifies how an unsigned integer of unbounded magnitude is computed from a bit string based on its length, and the dfdl:byteOrder and dfdl:bitOrder properties. For signed types, this unbounded integer is converted into a signed value by way of the well-known twos-complement scheme, and for the xs:decimal type, the dfdl:binaryDecimalVirtualPoint property can be used to convert this integer into a decimal value with an integer and a fractional component

A DFDL implementation can use any conversion technique consistent with this description.”

**5.14**. *Section 13.7.* [*https://redmine.ogf.org/issues/249*](https://redmine.ogf.org/issues/249)

In the description of binaryDecimalVirtualPoint, clarify that when unparsing, if the property value is not sufficient to remove the decimal point from the infoset value, it is a processing error. This is true even if the resultant number can be converted into an integer (that is, all digits after the decimal point are zero) because it is an example of excess precision.

**5.15***. Section 12.3.* [*https://redmine.ogf.org/issues/253*](https://redmine.ogf.org/issues/253)

Correct the description of lengthUnits to state it can have the value ‘bits’ for packed calendars.

“- 'bits' may only be used for xs:boolean, xs:byte, xs:short, xs:int, xs:long, xs:unsignedByte, xs:unsignedShort, xs:unsignedInt and xs:unsignedLong simple types with binary representation, and for calendar simple types with binary packed representation. ”

**5.16***. Section 12.3.3, 12.3.4.* [*https://redmine.ogf.org/issues/254*](https://redmine.ogf.org/issues/254)

Correct table 18 and table 20 to reflect that binarySeconds and binaryMilliseconds are not allowable representations for types xs:date and xs:time.

**5.17***. Section 13.11.* [*https://redmine.ogf.org/issues/257*](https://redmine.ogf.org/issues/257)

The regular expression for calendarTimeZone has one extra closing bracket on the far right-hand end. The bracket is removed.

**5.18***. Section 3.11.* [*https://redmine.ogf.org/issues/258*](https://redmine.ogf.org/issues/258)

Erratum 2.100 in [DFDLX1] states:

*“State that when unparsing an element with lengthKind ‘explicit’ and where length is an expression, then the data in the Infoset is treated as variable length and not fixed length. The behaviour is the same as lengthKind ‘prefixed’.”*

The implication is that the length expression is ignored when unparsing. However IBM DFDL has an established behaviour where the length expression is evaluated when unparsing and the resultant length used.

Due to this, erratum 2.100 is reversed. The specification will revert to describing lengthKind 'explicit' as fixed length for both literal and expression lengths, and the length expression is evaluated when unparsing to provide the length to use.

The original variable length behaviour scenario that motivated erratum 2.100 can still be achieved using outputValueCalc with dfdl:valueLength() function.

**5.19**. *Section 16.1.1, 16.1.2.* [*https://redmine.ogf.org/issues/259*](https://redmine.ogf.org/issues/259)

Change the unparsing behaviour for occursCountKind ‘fixed’ and ‘implicit’ to state that the unparser stops looking for occurrences in the Infoset once maxOccurs has been reached. The spec currently states that it is a processing error if more than maxOccurs are found, which is not symmetric with parsing behaviour.

**5.20**. *Section 23.1 and others.* [*https://redmine.ogf.org/issues/260*](https://redmine.ogf.org/issues/260)

An outputValueCalc property expression may reference an element that follows the element that carries the property. Add that how far forward the expression can reference is implementation-defined.

The following properties or annotations need ‘no forward reference’ clauses adding to their descriptions: calendarLanguage, choiceDispatchKey, setVariable.

**5.21**. *Section 15.* [*https://redmine.ogf.org/issues/263*](https://redmine.ogf.org/issues/263)

Erratum 3.15 in [DFDLX1] introduced direct dispatch choice. The comparison between choiceDispatchKey and choiceBranchKey was originally case-insensitive, but this was changed by public comment to be case-sensitive, on performance grounds. The public comment update was not applied to the property description for choiceBranchKey. It is updated to say case-sensitive.

**5.22**. *Section 13.2.1.* [*https://redmine.ogf.org/issues/264*](https://redmine.ogf.org/issues/264)

Clarify that when a block escape scheme is in force for an element when parsing, it is a processing error if the end of the data for the element is reached and the escapeBlockEnd is not found in the data.

**5.23** *Section 13.16* [*https://redmine.ogf/org/issues/283*](https://redmine.ogf/org/issues/283)

nilKind, nilValue, and nilValueDelimiterPolicy properties apply to nillable complex type elements as well as simple types.

Change the last line in the description of each property to remove the “(simpleType)” qualifier so that it reads “Annotation: dfdl:element”.

**5.24** *Sections 13.11.1, 12.3.7.2.6.* [*https://redmine.ogf.org/issues/297*](https://redmine.ogf.org/issues/297)

Section 13.11.1 to be updated as follows:

1. Update the 'S' row in the table to strike "(see note 1)" and change '24' to '23'.
2. Move this paragraph to immediately below the table:  
   "The count of pattern letters determines the format as indicated in the table."
3. Add paragraph:  
   "When numeric fields abut one another directly, with no intervening delimiter characters, they constitute a run of abutting numeric fields. Such runs are parsed specially as described at [ICUDateTime]."
4. Make sentence into separate paragraph:  
   "Unlike other fields, fractional seconds "S" are padded on the right with zero."
5. Revise existing first paragraph below Table 40:  
   "The maximum number of "S" symbols that may appear in the pattern is implementation-defined, but must be at least three. The stored accuracy for fractional seconds is also implementation-defined, but must be at least millisecond accuracy. When the number of "S" symbols in a pattern exceeds the supported accuracy, excess fractional seconds are truncated from the right (not rounded) when parsing, and zeros are added to the right when unparsing. For example, a DFDL processor allows up to six "S" symbols and has millisecond accuracy; for pattern "ss.SSSSSS", data "12.345678" would be parsed into infoset xs:time "00:00:12:345", which would be unparsed into data "12.345000".
6. The fractional second bullet in the description of the I symbol should change

from “The number of fractional second digits supported is implementation-defined but must be at least millisecond accuracy.” to “The number of fractional second digits supported is the same as for the “S” fractional seconds specifier described above”.

Section 12.3.7.2.6 – Change “implementation-dependent” to “implementation-defined”.

**5.25** *Section 17.* [*https://redmine.ogf.org/issues/300*](https://redmine.ogf.org/issues/300)

In the description of the inputValueCalc property, remove the sentence: “This property is not allowed to appear on a local element or element reference that is the root of a choice branch.”

**5.26** *Section 2.* [*https://redmine.ogf.org/issues/304*](https://redmine.ogf.org/issues/304)

The sentence:

The key words must, must not, required, shall, shall not, should, should not, recommended, may, may not and optional in this document are to be interpreted as described in [[RFC2119](https://opensource.ncsa.illinois.edu/projects/artifacts/DFDL/latest/documentation/Manual/#a_RFC2119)].

is modified to:

The key words must, must not, shall, shall not, should, should not, recommended, may, and may not in this document are to be interpreted as described in [[RFC2119](https://opensource.ncsa.illinois.edu/projects/artifacts/DFDL/latest/documentation/Manual/#a_RFC2119)]. The terms *required* and *optional* in this document are used as described in Section 3 (Glossary).

**5.27** *Section 11.* [*https://redmine.org.org/issues/306*](https://redmine.org.org/issues/306)

Description of the bitOrder property says: "The bit order can only change on byte boundaries, and alignment of up to 7 bits will be inserted to ensure byte-alignment whenever the bit order changes." Should say: "The bit order can only change on byte boundaries, and alignment of up to 7 bits will be skipped (parsing) or inserted (unparsing) to ensure byte-alignment whenever the bit order changes."

**5.28** *Section 13.6.* [*https://redmine.ogf.org/issues/313*](https://redmine.ogf.org/issues/313)

The descriptions of properties textStandardNaNRep and textStandardInfinityRep should state empty string not allowed. It is a schema definition error if empty string found as the property value.

**5.29** *Sections 23.4, 23.5.3.* [*https://redmine.ogf.org/issues/314*](https://redmine.ogf.org/issues/314)

For testing a value is in a set, add to Section 23.4:

Add XPath 2.0 'intersect' and "except" operators to the list of supported operators.   
MultiplicativeExpr ::= IntersectExceptExpr ( ("\*" | "div" | "idiv" | "mod") IntersectExceptExpr )\*   
IntersectExceptExpr ::= UnaryExpr ( ("intersect" | "except") UnaryExpr )\*

For testing a value is in a range, add to Section 23.5.3 two DFDL functions:  
dfdl:checkRangeInclusive($node, $val1, $val2)  
dfdl:checkRangeExclusive($node, $val1, $val2)  
Returns boolean true if the specified node value is in the range given by $val1 and $val2.   
The type of $val1 and $val2 must be compatible with the type of $node, and must be a derivative of xs:decimal, xs:float or xs:double. It is a schema definition error if the $node argument is a complex element.

**5.30** ***Section 12.3.2.2.***[*https://redmine.ogf.org/issues/315*](https://redmine.ogf.org/issues/315)

Add the sentence:

Implementation Note: Scanning for delimiters when data is binary, or when using raw byte entities in delimiters, means that a simple character based delimiter scanner is not sufficient, as the delimiter may not be representable as characters.

**5.31** *Section 9.5.* [*https://redmine.ogf.org/issues/322*](https://redmine.ogf.org/issues/322)

Update “For elements and element refs”:

* replace “refs” with “references”.
* Item 2 update to “2. dfdl:element following property scoping rules and evaluating any property expressions (corresponds to SimpleElement grammar region)

Update “For sequences, choices, and group refs”

* replace “refs” with “references”.
* Item 4 update to: “4. dfdl:sequence or dfdl:choice or dfdl:group following property scoping rules evaluating any property expressions (corresponds to ComplexContent grammar region)

**5.32** *Section 9.3.2.1* [*https://redmine.ogf.org/issues/309*](https://redmine.ogf.org/issues/309)

Update bullet 1 which says “1. nil representation (if %ES; is a literal nil value).” to say “1. nil representation (if %ES; or %WSP\*; on its own is a literal nil value).”

Also Section 9.2.5 the phrase

“… can be a zero-length representation if dfdl:nilValue is "%ES;",...”

should be replaced with

"... can be a zero-length representation if %ES; or %WSP\*; on its own is a literal nil value, and ...."

**5.33** *Section 13.9 https://redmine.ogf.org/issues/312*

For property textBooleanTrueRep, the sentence “It is a schema definition error if the string literal contains any of the disallowed constructs.” is extended to say “It is a schema definition error if the string literal is the empty string, or contains any of the disallowed constructs.”

**5.34** *Section 13.2 https://redmine.ogf.org/issues/320*

In the description of property textPadKind, the sentence "The padding characters populate the LeftPadding and/or RightPadding regions depending on dfdl:textStringJustification, dfdl:textNumberJustification, or dfdl:textCalendarJustification, depending on the type of the element." omits the dfdl:textBooleanJustification case.

The proper phrasing to match the wording of the prior sentence is

"The padding characters populate the LeftPadding and/or RightPadding regions depending on dfdl:textStringJustification, dfdl:textNumberJustification, dfdl:textBooleanJustification, or dfdl:textCalendarJustification, depending on the type of the element."

**5.35** *Section 5.2 https://redmine.ogf.org/issues/321*

In the bullet item that says

* pattern (for type xs:string and all types descending from xs:string in Figure 3 DFDL simple types)

The wording in parenthesis is dropped.

**5.36** *Section 15 https://redmine.ogf.org/issues/326*

The definition of the choiceBranchKey property is changed from “DFDL String Literal” to “List of DFDL String Literals”.

The phrase “When the dfdl:choiceDispatchKey expression evaluates to a string matching this property’s value…” is changed to say “When the dfdl:choiceDispatchKey expression evaluates to a string matching one of this property’s values….”

In the definition of the choiceDispatchKey property, the phrase “The resultant string must match the dfdl:choiceBranchKey property value of one of the ….” Becomes “The resultant string must match one of the dfdl:choiceBranchKey property values of one of the …”. Also the phrase “… does not match one of the dfdl:choiceBranchKey properties for the branches.” becomes “… does not match any of the dfdl:choiceBranchKey property values for the branches.”

Corresponding changes must be made in other sections that describe choiceBranchKey matching, which are:

* Section 9.3.1.1 “… matches the dfdl:choiceBranchKey property of the child.” Becomes “… matches one of the dfdl:choiceBranchKey values of the child.”
* Section 9.3.1.3 “… does not match the dfdl:choiceBranchKey property of the child.” Becomes “…” does not match any of the dfdl:choiceBranchKey values of the child.”
* Section 9.3.3 “ … to the value of the dfdl:choiceBranchKey property…” becomes “… to the value of one of the dfdl:choiceBranchKey property values …”
* Section 15.1.2 “…the string matching (case sensitive) the dfdl:choiceBranchKey property of one of the choice branches.” Becomes “… the string matching (case sensitive) one of the dfdl:choiceBranchKey values for one of the choice branches.” Also the phrase “It must be unique across all branches…” becomes “All values of dfdl:choiceBranchKey properties must be unique across all branches…”

**5.37** *Section 15 https://redmine.ogf.org/issues/328*

In the description of the choiceDispatchKey property, a sentence is added saying “It is a schema definition error if the expression contains forward references to elements which have not yet been processed.”

**5.38** *Section 4.1.2 https://redmine.ogf.org/issues/330*

An additional member is added to the list of members that follow the phrase “An element information item has the following members:” The new member is added after the [nilled] member. The description is:

**[array]** Boolean. True if the item is an array, meaning that it corresponds to an element having maxOccurs value greater than 1, or ‘unbounded’.

In addition, Figure 1, “DFDL Infoset Object Model” is modified to list the new member on the Element class. (This class is also missing the [nilled] Boolean, [valid] Boolean, and [unionMemberSchema] string members. The Document class is missing the [unicodeByteOrderMark] and [schema] members. All the missing members should be added along with the new ‘array’ member.)

**5.**[**3**](https://redmine.ogf.org/issues/196)**9** Section 15.1.1 Choice branches that are zero-occurrences arrays. (https://redmine.ogf.org/issues/299)

Section 15.1.1 is updated to add a numbered item 5.

5. If the branch is an element declaration having dfdl:occursCountKind='expression' or dfdl:occursCountKind='parsed', then zero instances are possible. If the branch parses successfully without a discriminator but produces no element occurrences, then the branch is considered missing, and the parser looks for the next branch.

**5.40**. Section 14.1 Empty Sequence & Hidden Group References (<https://redmine.ogf.org/issues/282>)

Section 14.1 is updated with this additional paragraph

A hidden group reference is indicated in DFDL using an empty sequence such as <xs:sequence dfdl:hiddenGroupRef="QName"/>. To XML Schema this is an empty sequence group; hence it is an SDE if this appears as the model group of a complex type. Otherwise this is not considered an empty sequence, but a group reference.

**5.41** Section 2.6 Revised use of RFC 2119 words must, should, etc. https://redmine.ogf.org/issues/304

Section 2.6: "...then a Dynamic Type Error should cause a Schema Definition Error" change the term "should" to "must".

***(Additional entries expected here. This erratum is not complete yet. )***

**5.42** Section 21: Optional Features – add dfdl:utf16Width property. (https://redmine.ogf.org/issues/337)

The table entry should appear below “Extended Encodings” in the table for relevance to text encodings.

**5.43** Remove bidirectional text properties, except dfdl:textBidi=”no”. (<https://redmine.ogf.org/issues/357>)

These will be proposed as experimental feature for a future version of the DFDL spec.

Sections affected are: 6.3.1, 21 (search for “bi-directional” note that there is one usage of this term that is not with reference to bidi text), 4.1.2 [data-value], 6.3.1.1, 13.3, 22.1.1, 22.2.1(search for “bidi”)

Section 13.3 content is modified. The second paragraph of the section, just before table 28 is dropped.

The table 28 entries other than for textBidi are dropped. The description for textBidi is changed to specify that the only valid value is “no”.

The sentence “Indicates the text content of the element is bidirectional”, is changed to “This property exists in anticipation of future DFDL features that will enable bidirectionl text processing.”

**5.44** Add ComplexContent production to Data Syntax Grammar (<https://redmine.ogf.org/issues/316>)

This was Action 242 Part 1.

Section 9.2, The grammar is changed as follows:

ComplexNormalRep = LeftFraming PrefixLength ComplexContent RightFraming

ComplexContent = ComplexValue ElementUnused

ComplexValue = Sequence | Choice

Other Sections affected:

* 12.3.3 “For complex elements, 'implicit' means the length is determined by the combined lengths of the contained children, that is the ComplexContent region. However, note that alignment regions inside the ComplexContent region may be of different lengths depending on the ComplexContent's starting position alignment.” Changed to: “For complex elements, 'implicit' means the length is determined by the combined lengths of the contained children, that is the ComplexValue region, and the ElementUnused region is of size 0. However, note that alignment regions inside the contained children within the ComplexValue region may be of different lengths depending on the ComplexValue's starting position alignment.”
* 23.5.3 for function dfdl:valueLength, the descriptive text use of ComplexContent changes to ComplexValue.

**5.45** Clarifications of grammar and SimpleContent vs. SimpleValue contents. (<https://redmine.ogf.org/issues/317>)

This was Action 242 part 2.

Section 9.2 Data Syntax Grammar is modified as follows:

Rename: SimpleValue to SimpleNormalValue

New rule: SimpleLogicalValue = SimpleNormalValue | NilLogicalValue

Change production SimpleContent = ***LeftPadding*** [ SimpleLogicalValue ] RightPadOrFill

Section 13.2.1

* escapeCharacter – the sentence “Escape characters contribute to the content length of the field” is changed to “Escape characters contribute to the simple value region (SimpleLogicalValue or NilLiteralValue) of the field.”
* escapeBlockStart, escapeBlockEnd – similarly change their mention of “content length” as for escapeCharacter
* escapeEscapeCharacter – add sentence: “Escape-escape characters contribute to the simple value region (SimpleLogicalValue or NilLiteralValue) of the field.”
* extraEscapedCharacters – add sentence “Extra escaped characters contribute to the simple value region (SimpleLogicalValue or NilLiteralValue) of the field.”

Section 23.5.3

* for dfdl:valueLength change “SimpleValue or NilLogicalValue” to “SimpleLogicalValue”.

**5.46** Circular Deadlocks (https://redmine.ogf.org/issues/318)

Add new Section

23.6 Unparsing and Circular Expression Deadlock Errors

It is possible for expressions and lengths of elements in a DFDL schema to interact badly, resulting in circular deadlocks where an expression is unable to evaluate because it depends in some way on the length of something that depends on the expression itself.

Expression deadlocks are always schema definition errors.

One scenario where such a deadlock can arise is due to what is called the i*nterior-alignment problem*. If a dfdl:outputValueCalc expression depends on the valueLength of a following complex element which due to interior alignments, has a length that depends on its starting position, then we have a circular deadlock.

**5.47** Detection of Encoding/Decoding Errors (<https://redmine.ogf.org/issues/319>)

Section 11.2.1.1 additional paragraphs are added:

Detection of character set decoding errors is often implementation-dependent because

DFDL Implementations are free to optimize processing speed by skipping character decoding or encoding whenever possible. For example: When character set encodings are fixed-width, it is possible to determine lengths in bytes or bits from the length in characters by multiplying the length value by the character width, without having to decode any characters.

When parsing, character decoding errors MUST be detected when

* 1. the decoding results in a character being placed into the DFDL Infoset
  2. the decoding is necessary to identify a delimiter
  3. the decoding is necessary to determine a match or non-match of a regular expression in a dfdl:assert or dfdl:discriminator with testKind=’pattern’.

When unparsing, character encoding errors MUST be detected when

1. an unmapped character appears in the infoset value of an element.

In all other cases, character set decoding and encoding errors MAY NOT be detected.

**5.48** fn:error() function (<https://redmine.ogf.org/issues/324>)

New Section 23.5.5 Miscelaneous Functions

|  |  |
| --- | --- |
| **Function** | **Meaning** |
| fn:error()  fn:error($id as xs:string)  fn:error($id as xs:string,  $desc as xs:string,  $obj?) | Causes a processing error.  This function does not return a value. A processing error ends the evaluation of the expression.  The $id argument is an error code identifier string that distinguishes this error from others. The string should have the structure of an XSD QName; the namespace URI conventionally identifies the component, subsystem, or authority responsible for defining the meaning of the error code, while the local part identifies the specific error condition. This information is incorporated into any diagnostic messages created by the DFDL implementation in response to the processing error in an implementation-dependent manner. If the $id argument string does not have the form of an XSD QName, or the QName cannot be interpreted as a meaningful namespace prefix and local identifier, then the processing error still occurs but the diagnostic message is created in an implementation-dependent manner.  The $desc is a natural-language description of the error condition. This string will appear in any diagnostic messages created by the DFDL implementation in response to the processing error.  The $obj? argument is an arbitrary value used to convey additional information about the error and it is used to construct the diagnostic message in an implementation-dependent manner.  If any argument is not supplied the processing error occurs but the diagnostic message created is implementation-dependent. |

**5.49** Allow import of other annotation language schemas (<https://redmine.ogf.org/issues/334>)

New Section 5.3 Compatibility with Other Annotation Language Schemas

A DFDL Schema only applies annotations on a subset of the XML Schema constructs. However, a DFDL schema may be annotated not only by DFDL annotations, but by other XML annotation languages.

The XML schemas of those other annotation languages MAY use any constructs of XML Schema, including those prohibited by DFDL (such as attribute declarations.)

A DFDL implementation MUST ignore any schema file included or imported by a DFDL schema if the top level xs:schema element of that schema does not have an XML namespace binding for the DFDL namespace.

**5.50** Remove BOM Processing (<https://redmine.ogf.org/issues/342>)

Section changes:

* 4.1.1. Remove [unicodeByteOrderMark] enum from the infoset
* 9.2. Remove unicodeByteOrderMark from the grammar.
* 11. Remove forward reference to 11.1 from the 'Encoding' property description.
* 11.1. Section retained to prevent renumbering throughout the spec. Contents changed to specify that Unicode Byte-Order Marks do not get special treatment and are treated as ZWNBS characters.

**5.51** %ES: allowed alone in terminator when not delimited format (<https://redmine.ogf.org/issues/343>)

Section 12.2 The language "ES must not appear as the only DFDL string literal in the property. It can only appear as a member of a list." should be dropped. This phrase appears in the description of the initiator property and the terminator property and for consistency should be dropped from both places.

**5.52** choiceDispatchKey evaluating to empty string is SDE (<https://redmine.ogf.org/issues/352>)

Section 15 choiceDispatchKey property change “The expression must evaluate to an xs:string which must not be the empty string.” to "The expression must evaluate to an xs:string. It is a Schema Definition Error if the expression returns an empty string."

**5.53** Property nilValueDelimiterPolicy clarifications (<https://redmine.ogf.org/issues/346>)

Was part of Action 305

Section 13.16. Before the phrase "It is a schema definition error if...", insert this sentence: "The value of dfdl:nilValueDelimiterPolicy should only be checked if there is a dfdl:initiator or dfdl:terminator in scope. If so, and dfdl:nilValueDelimiterPolicy is not set, it is a schema definition error. If dfdl:initiator is not "" and dfdl:terminator is "" and dfdl:nilValueDelimiterPolicy is 'terminator' it is a schema definition error. If dfdl:terminator is not "" and dfdl:initiator is "” and dfdl:nilValueDelimiterPolicy is 'initiator' it is a schema definition error. It is not an SDE if dfdl:nilValueDelimiterPolicy is 'both' and one or both of dfdl:initiator and dfdl:terminator is "". This is to accommodate the common use of setting 'both' as a schema-wide setting."

**5.54** Clarifications on emptyValueDelimiterPolicy (<https://redmine.ogf.org/issues/347>)

Was part of Action 305.

Section 12.2 Before the phrase "It is a schema definition error if...", insert this sentence: "The value of dfdl:emptyValueDelimiterPolicy should only be checked if there is a dfdl:initiator or dfdl:terminator in scope. If so, and dfdl:emptyValueDelimiterPolicy is not set, it is a schema definition error. If dfdl:initiator is not "" and dfdl:terminator is "" and dfdl:emptyValueDelimiterPolicy is 'terminator' it is a schema definition error. If dfdl:terminator is not "" and dfdl:initiator is "” and dfdl:emptyValueDelimiterPolicy is 'initiator' it is a schema definition error. It is not an SDE if dfdl:emptyValueDelimiterPolicy is 'both' and one or both of dfdl:initiator and dfdl:terminator is "". This is to accommodate the common use of setting 'both' as a schema-wide setting."

**5.55** Clarifications on Establishing Representation (<https://redmine.ogf.org/issues/348>)

Was part of Action 305.

Section 9.3.2: The phrase "The first step is to see if the content is trivially of length zero." Change to: "The first step is to see if the SimpleContent or ComplexContnet region is of length zero as a first approximation."

The bullet "delimited => length is zero (delimiter is immediately encountered)" Insert "in scope" after the open parenthesis.

**5.56** Clarifications on Element Defaults (<https://redmine.ogf.org/issues/349>)

Was part of Action 405.

Section 9.5

Item 2 under "For elements and element refs:" Change to: "dfdl:element following property scoping rules, which includes establishing representation as described in Section 9.3.2 and conversion to the element type for simple types."

Section 9.4.2

Before the final phrase "There are three main cases to consider:" Insert this sentence: "The sections below indicate when an item is added to the infoset, and whether it has a default or other value. If there is no processing error then regardless of whether an item is added to the infoset or not, any side-effects due to dfdl:discriminator statements evaluating to true, or dfdl:setVariable statements, are retained."

Sections 9.4.2.2 and 9.4.2.3

The phrase "Optional occurrence: If dfdl:emptyValueDelimiterPolicy is not 'none'[12]," Change to "Optional occurrence: if dfdl:emptyValueDelimiterPolicy is applicable and is not 'none',...." (retaining the footnote)

Note that this change is to wording also changed in Erratum 5.10. Erratum 5.10 is to be amended to use the “is applicable” wording, vs. “in effect”, which is less clear.

Section 9.4.2.3. We agreed that the paragraphs beginning with "For both required and optional..." need to be better tied to the material above.

Replace the sentence “For both required and optional…”.

“A complex element can parse, by recursive descent, and construct a complex element containing a single child element. This can occur: “ Followed by the numbered items 1 and 2.

**5.57** Clarifications on Empty Representation (<https://redmine.ogf.org/issues/350>)

Was part of Action 305. For rationale, see the tracker.

Section 9.2.2: The phrase "the occurrence's content in the data..." replace with "the occurrence's SimpleContent or ComplexContent region in the data..."

The sentence: "The empty representation is special in DFDL, because when parsing it is this condition that can trigger the creation of a default value for an element occurrence." replace with: "The empty representation is special in DFDL because when parsing it is used to determine when default values are created in the Infoset. The empty representation can require initiators or terminators be present so as to enable data formats to explicitly distinguish occurrences with empty string/hexBinary values from occurrences that are missing or are absent."

**5.58** Clarifications on Separators and Suppression (<https://redmine.ogf.org/issues/351>)

Was part of Action 305.

Section 14.2: For property dfdl:separator. The sentence: "Separators occur in the data either before, between or after all occurrences of the elements or groups that are the children of the sequence." replaced with "Separators occur in the data either before, between or after all occurrences of the elements or groups that are the children of the sequence, in accordance with dfdl:separatorPosition and dfdl:separatorSuppressionPolicy. Elements with dfdl:inputValueCalc have no representation in the data stream, and so never have an associated separator."

**5.59** Clarifications on Potentially Trailing Groups and Elements (<https://redmine.ogf.org/issues/338>)

Section 14.2.1 The language is updated to clarify that children of sequences are not always elements, but can also be model groups. The first sentence, and bullets are replaced by this language. The wording changes are highlighted:

“When parsing a sequence group that specifies a separator, the number of occurrences and separators that are expected in the data stream for a child (element or group) depends on several factors:

* Whether element occurrences are optional or required
* Whether the occurrences (element or group) have a zero-length representation
* Whether occurrences (element or group) are trailing
* Whether the sequence is positional
* The dfdl:occursCountKind of the element”

Section 14.2.2 Insert an initial sentence: “Parsing child elements is described first. Parsing for child groups is described in Section 14.2.2.3.”

Add new Section 14.2.2.3 Parsing Child Groups within Separated Sequences

“When a child of a sequence is a group then a separator is expected/tolerated depending on dfdl:separatorSuppressionPolicy and other factors:

* ‘never’ - the child group’s associated separator is expected
* ‘trailingEmpty’ – if the child group is potentially trailing, has zero-length and it is actually trailing, its separator MAY appear or not. Additional separators are not expected.
* ‘trailingEmptyStrict’ – if the child group is potentially trailing, has zero-length and it is actually trailing, its separator MAY NOT appear.
* ‘anyEmpty’ – if the child group has zero-length its separator MAY NOT appear.

Section 14.2.3 Insert an initial sentence: “Unparsing child elements is described first. Unparsing for child groups is described in Section 14.2.3.2.”

Add new Section 14.2.3.2 Unparsing Child Groups within Separated Sequences

“When a child of a sequence is a group then a separator is output depending on dfdl:separatorSuppressionPolicy and other factors:

* ‘never’ - the child group’s associated separator is output
* ‘trailingEmpty’ or ‘trailingEmptyStrict’ – if the child group is potentially trailing, has zero-length and it is actually trailing, its separator is not output.
* ‘anyEmpty’ – if the child group has zero-length its separator is not output.

**5.60** Clarifications of Choice Branch Selection when Defaulting (Action 279)

Section 15.1.3 Insert at end of 1st paragraph.

"If the next element to unparse does not identify any branch of the choice, or there is no next element to unparse, then there must be a choice branch with no required elements and the first such branch would be selected for unparsing. A choice branch could consist only of a nest of model groups with no actual element content or only optional element content."

Section 9.4.3.2 Insert at end of final paragraph.

"If no choice branch is selected, then there must be a choice branch with no required elements, and the first such branch would be selected."

**5.61** Clarifications on Choices in Hidden Groups (Action 293)

Insert section 15.1.3.1 Unparsing Choices in Hidden Groups

"When a choice appears inside a hidden group, there will be no corresponding infoset elements as there are none for hidden groups. The first branch of the choice is unparsed. All elements contained in the branch must have default values, or must have dfdl:outputValueCalc properties to compute their values, and it is a Schema Definition Error otherwise."

Note that the language in Section 14.5 Hidden Groups does not need to change.

**5.62** Clarifications on documentFinalTerminatorCanBeMissing (Action 314)

In Section 12.2 Modify description of property documentFinalTerminatorCanBeMissing for value 'yes'.

At end of paragraph which ends with "... if the terminator is not found."

Change to "...if the terminator is not found, and the terminator is considered to be logically present for the purposes of establishing representation, per Section 9.3.2."

**5.63** New property emptyElementParsePolicy (Action 306)

The following property is added in Section 12.2

|  |  |
| --- | --- |
| Property Name | Description |
| emptyElementParsePolicy | Enum  Valid values are "treatAsAbsent" or "treatAsEmpty"  This property describes the behavior of the DFDL processor for occurrences of elements of any type that have the empty representation.  When 'treatAsEmpty' if an occurrence of an element has the empty representation when parsed, the behaviour is as stated in section 9 for an occurrence with empty representation. Consequently, default values or empty strings may be added to the infoset.  When 'treatAsAbsent' if an occurrence of an element has the empty representation when parsed, the behaviour is as stated in section 9 for an absent occurrence. Consequently, default values or empty strings are never added to the infoset.  Annotation: dfdl:element, dfdl:simpleType |

The following row is added to Section 21 after the row for Defaults.

|  |  |
| --- | --- |
| Defaulting to Empty String/HexBinary values in the Infoset | dfdl:emptyElementParsePolicy=”treatAsEmpty” |

1. Security Considerations

Security considerations are dealt with in the corresponding sections of the DFDL 1.0 specification [DFDL].

No additional security issues have been raised.

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1. References

[DFDL] OGF DFDL 1.0 specification

<http://www.ogf.org/documents/GFD.207.pdf/>

[GFD] OGF Document Process and Requirements <http://www.ogf.org/documents/GFD.152.pdf/>

[XPATH2] XPath 2.0

http://www.w3.org/TR/xpath20/

[ISSUES] OGF Redmine Issue Trackers

<http://redmine.ogf.org/projects/dfdl-wg/issues>

[DFDLX1] DFDL Experience Document 1(OBSOLETE)

<https://www.ogf.org/documents/GFD.214.pdf>