GWD-R Category: Recommendations Manuel Pereira – IBM Almaden Research Center Osamu Tatebe - Grid Technology Research Center Leo Luan, IBM Almaden Research Center Ted Anderson, IBM Almaden Research Center Jane Xu, IBM Systems and Technology Group

GFS-WG May 2004

File System Directory Service Specification

Status of This Memo

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Abstract

This document describes the specification a file system directory service, which will be one of the essential services for Grid file systems or virtual file systems in the Grid environment. It manages the namespace of federated and virtualized data from file system resources, access control mechanisms, and meta-data management. This document proposes a set of operations needed to be supported by file system directory services. For scalable, large-scale and distributed file system directory management, this document also proposes two types of federation of file system directory services.

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o.tatebe@aist.go.jp

1. Introduction

Data in the Grid can be of any format and be stored in any type of storage system. There can be many hundreds of petabytes of data in grids, among which a very large percentage is stored in files. A standard mechanism to describe and organize file-based data is essential for facilitating access to this large amount of data. The Grid File System Working Group (GFS-WG) was established in GGF data area to standardize a mechanism to address this need by providing a Grid File System (GFS) or virtual file system in the Grid environment.

Two major deliverables of the WG are (1) architecture of Grid File System Services and (2) specification of File System Directory Services. File system directory services will manage the namespace of federated and virtualized data from file system resources, access control mechanisms, and meta-data management [1]. It will provide features such as (a) virtualized hierarchical namespaces for files or potentially other types of data (such as live data feeds), (b) efficient and transparent file sharing, and (c) ability to describe and manage file-system and application-specific metadata.

This document intends to describe the design of the file system directory service. It proposes a set of operations needed to be supported by file system directory services. For scalable, large-scale and distributed file system directory management, it also proposes two types of federation of the file system directory services.

The overall architecture of the Grid File System will be specified later in GFS-WG, which provides infrastructure of virtual file systems facilitating federation and sharing of virtualized data from file systems in the Grid environment by using file system directory services.

2. File System Directory Services

The Virtual Filesystem Directory Service, which will henceforth be referred to as VFDS, enables construction of a uniform, global, hierarchical namespace.[1] This directory service enables federation of individual files as well as filesystem trees that are exported by a variety of distributed file systems. It is comprised of two fundamental namespace components: *virtual directories* and *junctions*. These two essential namespace components, also referred to as *directory entries*, are employed to federate existing files and filesystem trees and construct a uniform hierarchy.

A virtual directory is a VFDS entry that is represented as a filesystem directory, however does not have any corresponding position in any physical filesystem; hence it is *virtual*. A virtual directory, therefore, is purely a namespace entity that functions in much the same way as a conventional filesystem directory by maintaining a list of subentries, which thereby demonstrate a hierarchical relationship.

A junction is a VFDS entry that interconnects an existing file or filesystem tree into the global namespace. It functions in much the same way as traditional distributed file system mount points, with the unique property of maintaining uniform namespace representation while facilitating logical as well as physical referencing to external resources. Junctions are therefore categorized into three basic types: *physical junctions*, *logical junctions*, and *referrals*. A physical junction maintains a URL pointer to the physical location of a filesystem tree or single file; an example URL might look something like: gsiftp://gridftp.abc.com/filesetX. In contrast, a logical junction contains a URL that points to a secondary service, like a Replica Location Service (RLS), for logical-to-physical resolution given a logical name; an example URL might look something like:

rls://rls.abc.com/logical_name_xyz. Referrals are junctions that link to other VFDS instances, thereby facilitating such features as federation of independent domains of control, scalability of a single domain of control, availability of redundant service instances that may or may not be geographically distributed, etc; an example referral URL might look something like: vfds://vfds.abc.com/. In all cases, junctions are capable of maintaining a list of references (URL pointers) per entry, that is a single junction my render several available URLs, each of which represent replicas or copies of the same data or service instance.

The following sections explore the objects and interface definitions that exemplify the operations of VFDS. This material is not comprehensive, is subject to change, and does not examine the internal procedures of the interface. Error and status codes are not specified at this time.

2.1 Virtual Filesystem Directory Service Objects

VFDS employs an object oriented service interface that consists of two chief objects: *Reply* and *Entry*. The service port type corresponds to the principal service class, that is the *VFDS* class, which defines the interface methods or port type definition in WSDL. These methods package all reply messages in a *Reply* objects. Therefore, all interface methods return a *Reply* object to the requester in response to both mutator and accessor operations. The *Reply* object encapsulates the following basic members: *status/error code*, *time-to-live expiration*, *array of Entry objects* (if applicable), and *textual server description*. The *Reply* object therefore not only discloses information consequent from the request made, but also delivers all *Entry* objects corresponding to the response if the request was made to an accessor method. The *Entry* object simply represents the VFDS entry maintained by the directory service.

び Reply VFDS **©** Entry getEntryCount() aetDirList() getChildCount() qetEntries() aetObjAttr() getLastModified() getExpirationDate(delete() getName() getServerDesc() create() getType() getStatusCode() move() **©** Junction 🍯 VirtualDirectory getTargetURL び Referral PhysicalJunction C Logical Junction isMutableSource() getLogicalName() isReadOnly() getTimestamp() getVersion()

Following is an object diagram of the VFDS objects represented in this document:

As illustrated here, and previously mentioned, an *Entry* object can be represented as either a virtual directory or a junction. This is shown in the second row of objects in the object diagram as a subclass relationship. Notice that the *Junction* class, however, is an abstract class and is further extended by its subclasses, which represent the various types of junctions previously mentioned. Finally, notice that both the physical and logical classes are abstract and also extended to be represented by concrete classes that denote file or filesystem junctions, which are physical or logical respectively. Incidentally, these classes are as follows: PFN=Physical File Name, PFSN=Physical File System Name, LFSN=Logical File System Name, and LFN=Logical File Name.

🚭 LFN

C LFSN

2.2 Operations of the Virtual Filesystem Directory Service

PFSN

The basic operations of VFDS are:

- Lookup operation, governed by access control permissions, to convert a globally unique path name to the corresponding VFDS entry.
- 2) Creation, removal, and rename operations for all entry types.

PFN

3) Operations for managing attributes or status of an entry.

As revealed by the VFDS object diagram, the VFDS service definition specifically contains the following operations:

Operation: create

Description:

Creates a new VFDS entry in the directory service database that corresponds to the entry object provided and positions the new entry at the absolute path specified.

This method is designed to process all defined types of entry objects, so the entry parameter should reflect the appropriate runtime cast of the abstract Entry class.

(similar to a mkdir and mount in a Unix shell).

Parameters:

path: Absolute path for the object to create.

entry: A valid Entry object populated with all of the member values necessary to represent the entry to create.

Returns:

Reply object that contains the status of the requested operation

Signature:

public Reply create(String path, Entry entry) throws

Operation: delete

Description:

Delete the VFDS entry specified by path. If path is null an exception is thrown.

If path represents a virtual directory, all sub-directories must be deleted before it can be deleted.

(similar to a rm in a Unix shell).

Parameters:

path: Absolute path for the object to delete.

Returns:

Reply object that contains the status of the requested operation

Operation: list

Description:

Returns a Reply object that represents the directory listing of the specified path; if path is null then an exception is thrown.

If path represents a virtual directory, its contents will be returned; otherwise the attributes of the junction will be returned.

```
(similar to a ls -al in a Unix shell).
```

Parameters:

path: The full path to the entry (directory in this case) to be listed.

Returns:

Reply object containing directory listing (array of Entry objects).

Signature:

Operation: lookup

</operation>

Description:

Returns a Reply object that contains an Entry object, which represents the VFDS entry and associated attributes of the specified path; if path is null then an exception is thrown.

If the path denotes a virtual directory, then only the Entry object that represents that VFDS virtual directory is returned; not its sub-entries. (see list() for a listing of sub-entries)

```
(similar to a ls file or stat()).
```

Parameters:

path: The full path to the entry whose attributes are desired.

Returns:

Reply object containing the Entry object requested.

Operation: move

Description:

Moves or renames the VFDS entry denoted by src to dest.

Both parameters are required.

(similar to a my in a Unix shell)

Parameters:

src: Path to source or original VFDS entry.dest: Destination path for the VFDS entry denoted by src.

Returns:

Reply object that contains the status of the requested operation

Signature:

2.3 Description of WSDL Complex Types

The objects used as components of the communication interface defined by WSDL, also referred to as *complex types*, are the two chief service interface objects previously itemized: *Reply* and *Entry*. These two objects serve as integral components in the task of communicating and representing the VFDS service.

2.3.1 Reply Object

</operation>

The following describes the interface definition for the VFDS Reply object:

Method: getEntryCount

Description:

Returns the number of entries contained within this Reply object.

Returns:

The number of entries contained within this Reply object

Signature:

Method: getEntries

Description:

Returns an array of Entry objects representing the result of the call made. If only a single Entry object is expected to be returned, as in the lookup() operation, then the array returned by this method will simply contain one element. This method will return null if there were no Entry objects returned by the remote call made.

Returns:

The array of Entry objects contained within this Reply object

Signature:

Method: getExpirationDate

Description:

Returns the expiration timestamp of this Reply, that is the time at which the Reply should be considered stale. This property is analogous to time-to-live (TTL).

Returns:

The expiration date of this Reply

```
</xsd:sequence>
</xsd:complexType>
```

Method: getStatusCode

Description:

Returns the status or error code; otherwise referred to as a reply code. Every reply from the VFDS service will contain some status or error code and is readily available via this method. A value of zero '0' indicates success, while a value other than zero may represent an error.

Returns:

Status/error code

```
Signature:
```

Method: getServerDesc

Description:

Returns a simple character string that represents the text that VFDS server implementations use to identify themselves to VFDS service users for usage tracking and other purposes.

Returns:

VFDS server implementation description

Signature:

2.3.2 Entry Object

All valid VFDS *Entry* objects are characterized by the following abstract class definition, which is to say that the base *Entry* class is abstract and serves as a structural definition for its various subclass heirs.

The following describes the interface definition for the VFDS *Entry* object:

Method: getChildCount

Description:

Returns the number of immediate subentries related to this Entry in the directory hierarchy.

Returns:

Number of immediate subentries related to this Entry

Signature:

Method: getLastModified

Description:

Returns the last modified timestamp of the VFDS entry represented by this object. This timestamp reflects the last time the corresponding VFDS entry was modified within the namespace repository and does not reflect the last modified timestamp from any physical target.

Returns:

Modification timestamp of the VFDS entry this object represents

Signature:

Method: getName

Description:

Returns the name of the VFDS entry this object represents.

Returns:

Name of the represented VFDS entry

```
public String getName();

WSDL:
    <xsd:complexType name="Entry">
```

2.3.2.1 Entry Subclass: Junction

The VFDS *Junction* class is an abstract class that is a direct subclass of *Entry*. It appends one method to the structural definition of *Entry*:

Method: getTargetURLs

Description:

Returns at least one URL that identifies the target resource for the VFDS junction represented by this object. The URL syntax must comply with RFC 1738.

Returns

An array of URLs that refer to target resources denoted by this junction

Signature:

2.3.2.1.1 Junction Subclass: Logical Junction

The VFDS *LogicalJunction* class is an abstract class that is a direct subclass of *Junction*. It appends one method to the structural definition of *Junction*:

Method: getLogicalName

Description:

Returns the logical name or unique resource identifier (URI) or universally unique identifier (UUID) that uniquely identifies the logical name or handle to be used by an independent logical-to-physical name resolution service. The logical name therefore abstractly represents a logical id that is associated with the ultimate physical target resource via a layer of indirection.

Returns:

Globally unique logical name, URI, or UUID of target resource

```
public String getLogicalName();
```

WSDL:

2.3.2.1.2 Junction Subclass: Physical Junction

The VFDS *PhysicalJunction* class is an abstract class that is a direct subclass of *Junction*. It appends four methods to the structural definition of *Junction*:

Method: isMutableSource

Description:

Identifies whether or not the file or filesystem source targeted by this VFDS entry can change.

Returns:

True if the data source is mutable; false if it is not.

Signature:

Method: isReadOnly

Description:

Identifies whether or not a local copy of the data targeted by this VFDS entry should be locally read-only.

Returns

True if the local copy of the data should be read-only; false if the local copy allows writes.

Method: getTimestamp

Description:

This represents a replica or fileset's point-in-time timestamp corresponding to the time at which the source snapshot was made.

Returns:

The point-in-time timestamp of the targeted data

Signature:

Method: getVersion

Description:

This represents a version number of the targeted data if available.

Returns

Version number of the targeted data if available

Signature:

2.4 Operation Sequence of VFDS

This section will briefly outline the operational sequence of communicating and interacting with VFDS.

2.4.1 List entries of a virtual directory

To retrieve a list of directory entries for a given virtual directory in the global namespace hierarchy, the *list* operation is utilized. The client making such a request would simply perform a *list* service operation against the VFDS service, whose returning messages will be constructed into a *Reply* object. The requesting client then retrieves the list of all subentries, via the *getEntries* method, immediately related to the virtual directory specified by the path used in the *list* operation. At this point the requesting client can process any number (or all) of the returned *Entry* objects. If an unexpected exception occurs, the status code available via the *Reply* object can be checked.

In summary, the following operations are necessary to retrieve a directory listing in VFDS:

- 1) Invoke the *list* operation of the VFDS service, specifying the globally unique path of the virtual directory to list.
- 2) Retrieve all *Entry* objects from the *Reply* object by calling the *getEntries* method.
- 3) Enumerate the *Entry* objects delivered via the *Reply* object.

2.4.2 Create a virtual directory

To create a virtual directory in the global namespace hierarchy, the *create* operation is utilized. The client placing such a request would simply perform a *create* service operation against the VFDS service, specifying the global path and providing an instantiated *VirtualDirectory* object as the *Entry* parameter. Once the service completes this operation, its returning messages will be constructed into a *Reply* object. The requesting client then evaluates the status code available via the *getStatusCode* method of the *Reply* object. If an unexpected exception occurs, the status code available via the *Reply* object can be checked.

In summary, the following operations are necessary to retrieve a directory listing in VFDS:

- 1) Construct a *VirtualDirectory* object with the appropriate property values assigned (name, modification time, etc.).
- 2) Invoke the *create* operation of the VFDS service, specifying the globally unique path of the virtual directory to create and providing the previously instantiated *VirtualDirectory* object from step 1 as the *Entry* parameter.
- 3) Evaluate the status code returned by the service via the *getStatusCode* method of the *Reply* object.

3. Federation of File System Directory Services

A global namespace service directly implies the employment of a multitude of namespace servers by virtue of geographical distribution, segregated domains of ownership and control, scalability, and redundancy/availability. A principal goal of a global namespace service is to provide a location independent view of consistent access paths to data. Since these access paths are represented by hierarchal path names, symbolizing a globally unique identifier of data, it is a natural extension of the design to postulate an architecture that federates multiple namespace servers in a hierarchical fashion. Similar to the well established DNS model, VFDS servers can be interlinked by referrals whilst providing a seamless and transparent view of the namespace. Once several instances of the namespace service are interlinked, the most obvious challenge is related to path name resolution when dealing with paths that cross referral boundaries. There are two fundamental approaches to resolving path names that span multiple namespace domains or service instances: service referrals and delegated resolution.

3.1 Service Referrals

The most straightforward and arguably the most secure and truly scalable approach to resolving path names that span multiple domains or service instances is to place the onus of handling VFDS referrals on the VFDS client. In this approach, the namespace server would simply return a VFDS referral to the VFDS client when a junction to another namespace server is encountered. The client implementing the VFDS API is then responsible for continuing the task of resolving the original path name by connecting to the namespace server indicated by the VFDS referral and querying the newly connected server for further (relative) path name resolution.

One clear advantage of this approach is the direct management of namespace service connections, which implies authentication and authorization control per connection, rather than accessing a referred namespace server via proxied security. Additionally, this approach promotes distributed work load balancing; instead of requiring VFDS servers to handle namespace requests for both locally managed namespace and remotely managed namespace via proxy.

3.2 Delegated Resolution

Another possible approach to resolving path names that span multiple domains or service instances is to empower the VFDS server to delegate queries to other VFDS servers for complete resolution of any given path. Although this approach is demonstrated in DNS, it should be noted that the security requirements are quire different. Since DNS generally operates in a public read-only manner without authentication and authorization per DNS server, it is not too unreasonable to endorse such an approach. VFDS, however, facilitates the possibility of requiring authentication per service instance and enforcing access control per entry. Nevertheless, an approach that allows for the possibility of delegated resolution should be considered as at least an optional mode of operation; incidentally DNS is capable of both approaches.

4. Considerations

There are several issues to consider, with respect to VFDS, that have not been explored in this document.

- Security The topic of security as a whole is not discussed in this specification document.
 Security is recognized as a substantial are of interest and will require further investigation.
- Replication of VFDS databases To enhance fault tolerance and reliability, replication of namespace service data is indispensable. The consistency model required by VFDS needs to be investigated.
- Backup Backup of VFDS data may be required.
- Discussion of access control lists (ACLs) within VFDS, their purpose, scope, representation, and enforcement. If access permissions defined by physical filesystems are to be represented within VFDS then significant consideration must be taken with respect to consistency problems between access permissions of a virtual file and the corresponding file data.
- Removal or modification of a file data without notification to the file system directory services.
- Consistency problems between file data replicas.
- Interoperability issue with NFSv4 and CIFS.

5. Summary and conclusion

This document intended to describe the specification of the Virtual Filesystem Directory Service, which will be one of the essential services for the realization of a Grid File System. It manages the namespace of federated and virtualized data from file system resources, access control mechanisms, and meta-data management.

This document proposed a set of operations needed to be supported by VFDS. Additionally, it proposed two approaches to federation of VFDS service instances for scalable, large-scale and distributed namespace management.

Further detailed discussion for specification and evaluation by implementing file system directory services are needed with respect to security, performance, consistency, scalability, and reliability. The evaluation needs to consider functionality of a client library, especially, with and without client attribute cache.

6. Appendix: Sample WSDL for VFDS

The following is a sample Web Services Description Language (WSDL) file intended to describe VFDS in terms of a web service using a standard XML format.

```
<service name='VFDS'>
        <port name='VFDS' binding='tns:VFDS'>
            <soap:address location='http://vfds.almaden.ibm.com:6060/VFDS/'/>
        </port>
    <portType name='VFDS'>
        <operation name='create' parameterOrder='path entry'>
            <input message='tns:VFDS_create__Request_Soap'/>
            <output message='tns:VFDS_create_Response_Soap'/>
            <fault name='ProtocolException1' message='tns:ProtocolException1'/>
        </operation>
        <operation name='delete' parameterOrder='path'>
            <input message='tns:VFDS_delete__Request_Soap'/>
            <output message='tns:VFDS_delete_Response_Soap'/>
            <fault name='ProtocolException' message='tns:ProtocolException'/>
        </operation>
        <operation name='list' parameterOrder='path'>
            <input message='tns:VFDS_list__Request_Soap'/>
            <output message='tns:VFDS_list_Response_Soap'/>
            <fault name='ProtocolException3' message='tns:ProtocolException3'/>
        </operation>
        <operation name='lookup' parameterOrder='path'>
            <input message='tns:VFDS_lookup__Request_Soap'/>
            <output message='tns:VFDS_lookup_Response_Soap'/>
            <fault name='ProtocolException4' message='tns:ProtocolException4'/>
        </orperation>
        <operation name='move' parameterOrder='src dest'>
            <input message='tns:VFDS_move__Request_Soap'/>
            <output message='tns:VFDS_move_Response_Soap'/>
            <fault name='ProtocolException2' message='tns:ProtocolException2'/>
        </operation>
    </portType>
    <binding name='VFDS' type='tns:VFDS'>
        <soap:binding transport='http://schemas.xmlsoap.org/soap/http' style='document'/>
        <operation name='create'>
            <map:java-operation name='create' signature='xxx'>
                <map:fault name='ProtocolException1' java-</pre>
type='com.ibm.vfds.ProtocolException'/>
            </map:java-operation>
            <soap:operation</pre>
soapAction='http://systinet.com/wsdl/com/ibm/vfds/VFDS#create?xxx' style='document'/>
            <input>
                <soap:body parts='path entry' use='literal'/>
            </input>
            <output>
                <soap:body parts='response' use='literal'/>
            </output>
            <fault name='ProtocolException1'>
```

```
<soap:fault name='ProtocolException1' use='literal'/>
            </fault>
        </operation>
        <operation name='delete'>
            <map:java-operation name='delete' signature='xxx'>
                <map:fault name='ProtocolException' java-</pre>
type='com.ibm.vfds.ProtocolException'/>
            </map:java-operation>
            <soap:operation</pre>
soapAction='http://vfds.almaden.ibm.com/wsdl/com/ibm/vfds/VFDS#delete?xxx'
style='document'/>
            <input>
                <soap:body parts='path' use='literal'/>
            </input>
            <output>
                <soap:body parts='response' use='literal'/>
            <fault name='ProtocolException'>
                <soap:fault name='ProtocolException' use='literal'/>
            </fault>
        </operation>
        <operation name='list'>
            <map:java-operation name='list' signature='xxx'>
                <map:fault name='ProtocolException3' java-</pre>
type='com.ibm.vfds.ProtocolException'/>
            </map:java-operation>
            <soap:operation</pre>
soapAction='http://vfds.almaden.ibm.com/wsdl/com/ibm/vfds/VFDS#list?xxx' style='document'/>
            <input>
                 <soap:body parts='path' use='literal'/>
            <output>
                <soap:body parts='response' use='literal'/>
            </output>
            <fault name='ProtocolException3'>
                <soap:fault name='ProtocolException3' use='literal'/>
            </fault>
        </operation>
        <operation name='lookup'>
            <map:java-operation name='lookup' signature='xxx'>
                <map:fault name='ProtocolException4' java-</pre>
type='com.ibm.vfds.ProtocolException'/>
            </map:java-operation>
            <soap:operation</pre>
soapAction='http://vfds.almaden.ibm.com/wsdl/com/ibm/vfds/VFDS#lookup?xxx'
style='document'/>
                <soap:body parts='path' use='literal'/>
            </input>
            <output>
                <soap:body parts='response' use='literal'/>
            <fault name='ProtocolException4'>
                <soap:fault name='ProtocolException4' use='literal'/>
            </fault>
        </operation>
        <operation name='move'>
            <map:java-operation name='move' signature='xxx'>
                 <map:fault name='ProtocolException2' java-</pre>
type='com.ibm.vfds.ProtocolException'/>
            </map:java-operation>
            <soap:operation</pre>
soapAction='http://vfds.almaden.ibm.com/wsdl/com/ibm/vfds/VFDS#move?xxx' style='document'/>
            <input>
                 <soap:body parts='src dest' use='literal'/>
            </input>
            <output>
```

Author Information

Osamu Tatebe Grid Technology Research Center, AIST 1-1-1 Umezono, Tsukuba Ibaraki 3058568 Japan o.tatebe@aist.go.jp

Manuel Pereira, Leo Luan, Ted Anderson IBM Almaden Research Center 650 Harry Road San Jose, CA 95120, USA mpereira@us.ibm.com leoluan@us.ibm.com ota@us.ibm.com

Jane Xu
IBM Systems and Technology Group
5600 Cottle Road
San Jose, CA 95193, USA
jxu@us.ibm.com

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