## DFDL WG Call Minutes

This OPEN document will not be filed. It is being kept active.

## Meeting about MeetingsIOGF

Project DFDL 1.0<br>Meeting Date 07-Aug-18 (Tues)<br>Meeting Time 15:00-16:00

Created by Steve Hanson on 09-Mar-11
Last Modified by Steve Hanson on 15-Aug-18

## OGF DFDL Working Group Call, 7th August 2018

## Agenda

Prepare for your meeting by describing the objectives (both immediate and long-term, if appropriate) of the meeting; and planning details.

## 1. Daffodil Open Source Project

Status update

## 2. Minor corrections to DFDL specification

Daffodil team have identified some small corrections - see emails to WG mailing list.

## 3. Daffodil questions while implementing text features

The Daffodil team have asked several questions in the WG mailing list specifically around some of the more advanced text features of DFDL. The questions have been on the following areas of text parsing, mainly covered by section 9 of the spec:

- zero-length strings, optionality, emptyValueDelimiterPolicy
- empty sequences
- separation policies
- stop value arrays
- assertion failures when establishing representation
- empty complex types

IBM DFDL has included most of these features for several years and have responded with corrections and clarifications, but there are still several open issues from Daffodil that Mike has summarised in an email titled "Clarification discussion points for next call(s) - DFDL Spec issues around nil, empty, normal, absent, dei separator suppression ".

## 4. AOB

## Minutes

## Meeting Minutes

Reflect on your meeting as you record all topics and issues discussed, and any tabled conversations. What went well, or what would you do differently next time? Document those so others can take advantage of your
learning.

## Attendees

Steve Hanson
Mike Beckerle

## Apologies

## Minutes

## 1. Daffodil Open Source Project

Not discussed

## 2. Minor corrections to DFDL specification

Daffodil team have identified some small corrections - see emails to WG mailing list. No new tracker issues needed, Mike will add these to existing trackers.

## 3. Daffodil questions while implementing text features

The Daffodil team have asked several questions in the WG mailing list specifically around some of the more advanced text features of DFDL. These were either discussed on the call, or resolved prior to the call via WG email. Note that these have resulted in several clarifications, but no actual bugs (as yet).

Discussed on the call:
Section 9.3.1.1
Delete phrase "...this of course implies that....". Note that there is already a correction to create numbered bullets of 3 sentences. The sentence containing this phrase will be bullet \#3 of that list.

## Section 9.4

Item 2 under "For elements and element refs:" Change to: "dfdl:element following property scoping rules, which includes establishing representation as described in Section 9.3.2 and conversion to element type for simple types."

Section 9.3.2
The phrase "The first step is to see if the content is trivially of length zero." Change to: "The first step is to see if the SimpleContent or ComplexContent region is of length zero as a first approximation."

## Section 9.3.2

The bullet "delimited => length is zero (delimiter is immediately encountered)". Insert "in scope" after the open parenthesis.

Resolved prior to call via email:
Sections 9.4.2.2 and 9.4.2.3
The phrase "Optional occurrence: If dfdl:emptyValueDelimiterPolicy is not 'none',...".
Change to "Optional occurrence: if dfdl:emptyValueDelimiterPolicy is applicable and is not
'none',...."

## Section 9.4.2

Before the final phrase "There are three main cases to consider:".
Insert this sentence: "The sections below indicate when an item is added to the infoset, and whether
it has a default or other value. If there is no processing error then regardless of whether an item is
added to the infoset or not, any side-effects due to dfdl:discriminator statements evaluating to true, or dfdl:setVariable statements, are retained."

## Section 12.2

For property emptyValueDelimiterPolicy, before the phrase "It is a schema definition error if...". Insert this sentence: "The value of dfdl:emptyValueDelimiterPolicy should only be checked if there is a dfdl:initiator or dfdl:terminator in scope. If so, and dfdl:emptyValueDelimiterPolicy is not set, it is a schema definition error. If dfdl:initiator is not " and dfdl:terminator is " and
dfdl:emptyValueDelimiterPolicy is 'terminator' it is a schema definition error. If dfdl:terminator is not " and dfdl:initiator is " and dfdl:emptyValueDelimiterPolicy is 'initiator' it is a schema definition error."

## Section 13.16

For property nilValueDelimiterPolicy, before the phrase "It is a schema definition error if...". Insert this sentence: "The value of dfdl:nilValueDelimiterPolicy should only be checked if there is a dfdl:initiator or dfdl:terminator in scope. If so, and dfdl:nilValueDelimiterPolicy is not set, it is a schema definition error. If dfdl:initiator is not " and dfdl:terminator is " and dfdl:nilValueDelimiterPolicy is 'terminator' it is a schema definition error. If dfdl:terminator is not " and dfdl:initiator is " and dfdl:nilValueDelimiterPolicy is 'initiator' it is a schema definition error."

## Section 9.2.2

The phrase "the occurrence's content in the data..." should be replaced with "the occurrence's SimpleContent or ComplexContent region in the data...".

## Section 9.2.2

The sentence: "The empty representation is special in DFDL, because when parsing it is this condition that can trigger the creation of a default value for an element occurrence."
Replace with: "The empty representation is special in DFDL because when parsing it is used to determine when default values are created in the Infoset. The empty representation can require initiators or terminators be present so as to enable data formats to explicitly distinguish occurrences
with empty string/hexBinary values from occurrences that are missing or are absent."

## Section 14.2

For property dfdl:separator. The sentence: "Separators occur in the data either before, between or after all occurrences of the elements or groups that are the children of the sequence."
Replace with "Separators occur in the data either before, between or after all occurrences of represented elements (that is, elements without the dfdl:inputValueCalc property) or model groups that are the children of the sequence. Elements with dfdl:inputValueCalc have no representation in the data stream, and so never have separators. Children of a sequence that are model groups are always separated, even if they are empty (meaning have no children of their own - which is allowed
for sequence groups), or both the model group child and its contained children occupy zero-length in
the data stream."
New action 305 to create the necessary tracker issue(s).
Not resolved on call:

## Section 9.4.2.3.

Agreed that the paragraphs beginning with "For both required and optional..." need to be better tied to the material above. Wording TBD - pending Steve doing some tests on IBM DFDL.

New action 306.

## IPR Statement

"I acknowledge that participation in this meeting is subject to the OGF Intellectual Property Policy."

## Meeting closed

16:15 UK
Next regular call
21st Aug 2018 @ 15:00 UK

## Create Action Items

Record the to-do's and individuals assigned by entering the appropriate information in the form below. Press the "Create Action Items" button to create specific to do's that can be tracked in the assignee's Work for Me views. " All Action Items will be tracked in the Action Items and Other Meeting Documents tab.

Action Items and Other Meeting Documents
Subject Document Type Created Mod

Next action: 307

## Actions raised at this meeting

| No | Action |
| :---: | :--- |
| $\mathbf{3 0 5}$ | Create tracker issues in Redmine for spec clarifications from 7th August <br> (Mike) <br> 7/8: Several clarifications to text in section 9 and property descriptions in sections <br> 12, 13, 14. |
| $\mathbf{3 0 6}$ | Confirm IBM DFDL behaviour when parsing empty strings (Steve) <br> 7/8: IBM DFDL has not fully implemented the behaviour changes arising from <br> action 140 with respect to empty string elements. Daffodil is about to do so. IBM <br> DFDL users have complained about lack of defaults when parsing but other than <br> that appear happy. Are the rules in the spec for empty strings over complicated? |

## Current Actions:

| No | Action |
| :---: | :---: |
| 228 | Review set of tutorial lessons (All) <br> 17/9/13: Lesson 1 proposes a set of lessons, needs reviewing as over 2 years old. <br> 22/10: No progress <br> 31/10: Becoming a focus for Tresys. Steve to send his 'Modeling Data Formats using DFDL' powerpoint. <br> 19/11: No further progress <br> 26/11: Possibility of help from MITRE high-school student, and from Marisa at IBM. <br> 11/3/14: No further progress <br> 25/3: MITRE have produced a couple of new tutorials under the guidance of James Gariss. Jonathan to forward for review. <br> Mike observed that an html tutorial could be generated from a tdml file using XSLT. <br> 11/4: Not discussed <br> 15/4: Jonathan will send 4 new mini-tutorials. Need to figure out best way to incorporate into the tutorial structure. <br> 29/4: Tutorials received. Mark has taken a quick read. Mark \& Steve to review and report back. <br> 6/5: Still with Mark and Steve <br> 20/5: Mark has reviewed. Will ask IBM information development to recommend a way to portray the existing and new lessons, preferably web-based. Find somewhere to host them. OGF? GitHub? developerWorks? NCSA? <br> 3/6: Steve has also reviewed. <br> 17/6: No further progress on tutorials. Tim is looking into the creation of some <br> DFDL how-to videos using the IBM Integration Studio. <br> 31/3/15: No further progress <br> 14/4: Agreed that the need for better tutorials has become pressing for Daffodil users who aren't using IBM's tools and material. Discussed creating tutorials based on a tdml file with comments that is processed to produce html. Mike to investigate. <br> 28/4: Mike has sent an example tdml file which embeds instances of a new 'tutorial' element in various places. These elements contain html which can be extracted and formatted in a browser. Suggest future DFDL tutorials are created using this technology. <br> 12/5: Not discussed <br> 22/9: No further progress <br> 3/11: Daffodil team has someone working on the new 'tutorial' element in tdml files. In time this should result in some new tutorials and re-working of existing tutorials. <br> 5/1/16: Mike has started a bitOrder tutorial using the tdml file approach (uses stylesheets to render html). <br> 16/2: The bitOrder tutorial is available on the web @ <br> https://opensource.ncsa.illinois.edu/bamboo/artifact/DFDL-MASTER21/JOB1/buil |


|  | d-132/Tutorials/bitorder.tutorial.tdml.xml <br> 1/3: Awaiting review. <br> 17/4/18: No further progress <br> 15/5: Daffodil sponsor has requested some progress on tutorials as it will soon become the limiting factor on uptake. <br> 7/8: No further progress |
| :---: | :---: |
| 242 | Standardise on a single tdml format for DFDL tests (All) <br> 5/2/14: Steve has requested permission for IBM to view / use the Daffodil tdml files, as a precursor to trying to standardise on a common tdml format. Was formerly part of action 066. <br> 18/2: No further progress <br> 11/3: Mike and Steve discussing the best way to share and cooperate on tdml format. <br> 25/3: Discussed the creation of an OGF document that will own and define a standardised tdml format. <br> 11/4: Proposal is for the OGF document to define a tdml format without Tresys or IBM copyright statement. <br> 15/4: Draft document on Redmine <br> 6/5: No further progress <br> 20/5: Mark has read through the document. Particularly concerned with how namespaces are handled in the infoset. <br> 17/6: No further progress <br> 25/6: Mike has added bit order capability as per action 233. <br> $\cdots$ <br> 9/12: No further progress <br> 6/1/15: Mike to resurrect this as Tresys would like to run their tdml suite against both Daffodil and IBM DFDL. <br> 10/2: No further progress <br> 24/2: Mike updating the Daffodil TDML test runner to handle unparser (ie, serializer) tests <br> 14/4: No further progress <br> 28/4: Tresys have enhanced their tdml runner to allow unparser tests and round-trip tests (parser->unparser->parser) as well as the new tutorial tag (see action 228) <br> 12/5: Not discussed <br> 3/11: No progress <br> 5/1/16: No progress. Needs more interoperability between implementations to be really useful. <br> 25/7/17: No further progress <br> 3/10: No further progress although forthcoming work to add packed/zoned numbers may force progress <br> 11/12: Expected to look at this in the next month or so <br> 7/8/18: No further progress |
| 250 | Public comment: dfdl:valueLength and dfdl:contentLength descriptions (Mike) <br> 19/11/14: http://redmine.ogf.org/boards/15/topics/63. Agreed that the function |

names were ok as per errata 3.18 , and that the spec is clear that they refer to the grammar regions. However the grammar regions mentioned do not fully include literal nil values. Discussed what happens when parsing - remember the length or re-parse? What about lengthUnits 'characters' when the data is binary? Also the 'Notes' that follow the table need to be reworked.
26/11: Needs wording to handle all the issues found, assigned to Mike.
11/3/15: Still with Mike
25/3: Mike has sent out revised wording, reviewed by Mark and Steve. Noted that the words need to explain the concept of building a complex element from the bottom up, and these words are equally applicable to several places in section 12.3. Mike to revise accordingly.
11/4: More revised wording sent by Mike. Started to review but realised it needed some off-line preparation and thought. Review for next call.
15/4: Review comments from Steve and Tim. The functions need to be clear that they work off the infoset value. The detailed wording is needed but should be removed to a new sub-section of 12.3, probably at end. Most sub-sections of 12.3, and the functions in 23.5 .3 will refer to this new sub-section. 23.5 .3 should limit itself to behaviour specific to the functions, such as not potentially represented, the effect of the \$lengthUnits argument. Also discussed what happens if \$path argument returns a nodeset $>1$; should be a processing error, can always use a predicate to select one node of an array.
29/4: See various email discussions. Several things noted by Mike, and he recommends a rewrite of some of section 12.3. Then the description of the two functions becomes much simpler. Deferring for now, and will resurrect after current spec revision is finalised.
6/5: Mike is working on a mind map for the length section. Deferring until needed.
23/9: Rewrite should be postponed to future 1.1. Still need to answer the original questions about the functions though...

25/4/2016: Undeferring action as some of these issues are now impacting Daffodil team as they write their unparser. Steve has sent the email threads on this action to Mike. Mike will combine with his issues and distill into a single thread.
7/6: Thread to include use of a variable with dfdl:outputValueCalc. May be undefined at point of evaluation.
5/7: Mike has been looking at this. Two main points:

1) Computing the content length of a complex element with internal alignment.
2) Computing length in chars of a complex element which is not $100 \%$ text

Mike will send out a discussion via email. Noted that rules should also apply to prefixed length calculation.
(Aside: IBM DFDL unparser does not support a prefixed length complex element with length units chars and variable width encoding).
2/8: Mike has sent out several emails.
\#1: Proposes that term ComplexValue is added to the grammar to better handle ElementUnused. Knock-on effect on the wording of dfdl:valueLength(). Agreed on the change. Issue https://redmine.ogf.org/issues/316 created.
Spec says escapeCharacter, escapeBlockStart, escapeBlockEnd,
escapeEscapeCharacter contribute to the content length of an element. This is not correct, they are part of the value length. Issue
https://redmine.ogf.org/issues/317 created.
\#2: Gives some options for computing DFDL length functions when target complex element has interior alignment. Agreed that the DFDL processor should detect this and give runtime SDE. This is an example of expression forward reference deadlock. Need new paragraph in section 23 of spec to cover this. Issue
https://redmine.ogf.org/issues/318 created.

|  | \#3: Argues that DFDL should only encode/decode when it needs to when computing DFDL length functions, to allow for performance. Agreed that this behaviour was ok. Issue https://redmine.ogf.org/issues/319 created. 13/9: Review issues created. Need to come up with the revised descriptions for dfdl:valueLength and dfdl:contentLength functions before action can be closed. <br> 7/8/18: No further progress |
| :---: | :---: |
| 279 | Improve defaulting description to explicitly cover local groups (Mike) <br> 28/4/15: Only talks about elements, should mention local sequence and choice. <br> 12/5: Not discussed <br> 23/6: Section 15.1.3 needs to say what happens when a choice branch does not contain any elements; such a choice branch is selected (but see action 280 below as minOccurs ' 0 ' might change this). Section 9.4 also needs updating to say what happens when local groups are found within a complex type. <br> 11/8: Steve did some tests with IBM DFDL. Just need some words as above. <br> Action assigned to Mike. <br> 25/8: In progress <br> 5/1/16: No progress <br> 7/8/18: No further progress |
| 287 | Find a way to handle a variable path step in DFDL expression (AII) <br> $1 / 3 / 16$ : DFDL4S currently using a hack that embeds a regex in a path step. <br> 10/5: No progress <br> 24/5: Need example from DFDL4S <br> 5/7: Need to ask DFDL4S for example. <br> 2/8: DFDL4S sent example. They use dfdl:contentLength() with a path that has a step that contains a regex as a wildcard. Mike has requested the wider set of schemas to be sent, in order to see if there is a viable alternative. <br> 13/9: Response received from DFDL4S, not yet analysed. <br> 10/1/17: No further progress <br> 7/2: Mike has analysed the schemas and sent a comprehensive reply to DFDL4S. He believes that the variable path step is effectively a way of parameterizing the expression, and has described how this can be done using DFDL variables. <br> DFDL4S have responded and will talk to the contractor that authored the implementation. <br> 21/2: No response so far from DFDL4S. <br> 4/4: Mike has seen a further example of this. Still no response from DFDL4S. <br> 25/7: No further progress <br> 3/10: Mike has seen a further example where an expression needed to look back inside an earlier choice, where there was a common element. Discussed whether XPath 2.0 wildcards could be used (currently not supported in DFDL 1.0). This looks to be a good fit, and would involve only a minimal change to the supported syntax. Steve will email DFDL4S. <br> 11/12: ESA will look into this as part of the next round of changes to DFDL4S. <br> 17/4/18: No update <br> 15/5: Steve has emailed DFDL4S asking for a progress update. Also, Mike will put together a concrete proposal. <br> 7/8: No further progress |
| 289 | Unparsing: expression refers backwards to outputValueCalc which refers beyond it. |


|  | 2/8/16: Need to decide if this is allowed and if so if there are any restrictions. 13/9: Motivating scenario is where a variable is being set to a length element using dfdl:setVariable, which on unparse is set using dfdl:outputValueCalc. So although the variable is referring backwards to the length element, it is effectively forward referencing so must block. Mike believes this is unavoidable. <br> 11/10: Daffodil has implemented this, Mike to provide scenario. <br> 8/11: Mike couldn't find example, will continue to look <br> 10/1/17: Mike has realised that all the examples were reworked to avoid using variables, hence why can't be found. <br> 7/2: Daffodil will soon be implementing dfdl:newVariableInstance which will bring this up again. <br> 17/4/18: Waiting for Daffodil to implement dfdl:newVariableInstance <br> 15/5: Daffodil team have supplied an example of this from the PCAP schema. <br> Likely to require a flag on newVariableInstance (or maybe variable declaration) to indicate whether needed on parse, unparse or both. <br> 7/8: No further progress |
| :---: | :---: |
| 290 | Should utf16Width be optional? (Steve) <br> $13 / 9 / 16$ : Adds complexity to implementing the core set of encodings. Steve to investigate IBM DFDL's support for utf16Width to assess the complexity. <br> 11/10: No further progress <br> 8/11: IBM DFDL uses the property to set the min/max bytes per char properties of its internal charset class. In that sense it is no different from any other variable-width encoding. Steve believes that there is no additional complexity beyond that needed for UTF-8. Mike to think further, particularly for the case when surrogate pairs are involved. <br> 10/1/17: Mike believes there are issues. Steve to do some testing with IBM DFDL to see if the implementation works. <br> 7/8/18: No progress |
| 292 | Write up proposal for allowing hexBinary elements to have dfdl:lengthUnits='bits' (Mike) <br> 7/2/17: Mike will create a proposal for evaluation. <br> 21/2: No progress <br> 4/4: Daffodil has experimental implementation, will be evaluated and written up. <br> 15/5/18: Daffodil to write up. <br> 7/8: No further progress |
| 293 | Investigate solutions to enabling choices in hidden groups to be unparsed (AII) <br> 7/2/17: Study of problem needed in order to best evaluate any proposals. <br> 21/2: Mike has circulated a proposal internally within Daffodil. <br> 4/4: No progress but immediate need has gone away. On hold for now. <br> 17/4/18: On hold. <br> 15/5: Daffodil now looking at this and will write up a proposal. Potential commonality with action 289. <br> 7/8: No further progress |
| 294 | Converting integer enumerations to meaningful strings in infoset (Mike) 18/4/17: Requirement from Daffodil user for parser to convert an integer enum to a meaningful string value in infoset. <br> Daffodil has put forward a proposal but it relies on [unionMemberSchema] which is a validation-only property. <br> https://opensource.ncsa.illinois.edu/confluence/display/DFDL/Enumerations+and+R ange+Tables+via+Simple+Type+Unions <br> Mike to re-think the approach, and also consider whether this kind of |


|  | transformation is really a post-DFDL step. <br> Steve to check how XQuery would approach the same problem. <br> ... <br> 25/7: No progress <br> 3/10: Also received same request from a product team at IBM. <br> 21/11: Consider whether any additional annotation is not DFDL, for possible <br> wider applicability. <br> 11/12: On Daffodil priority list to investigate <br> 6/3/18: No progress <br> 17/4/18: Latest proposal is at <br> https://cwiki.apache.org/confluence/display/DAFFODIL/Proposal\%3A+Features+t <br> o+Support+Table-Lookup. Feedback requested. Review for next call. <br> 15/5/18: Review for next call. <br> 7/8: No further progress |
| :---: | :---: |
| 297 | Identify any sections of spec that need binary data with dfdl:lengthKind="delimited" behaviour clarifying (Mike) <br> 21/11/17: Sections in question include those relating to delimiters, scanning, escape schemes. <br> 17/4/18: No progress <br> 15/5/18: Revisited the minutes from 21/11/17 where this was raised and agreed with the statements there, specifically that escape schemes only apply to text data (via escapeSchemeRef) and that initiator and terminator can be in any encoding. Mike to check if any clarifications are needed to the spec. <br> 7/8: No further progress |
| 301 | How to indicate DFDL v2 in schemas (AII) <br> $6 / 2 / 18$ : Agree on best way to do this. The DFDL namespace was originally intended to be used for this, but XSDL for example uses a separate 'XMLSchema-versioning' namespace and min/max attributes which allows schemas to be authored that may be processed by both XSDL 1.0 and XSDL 1.1. More investigation required. <br> 17/4/18: No progress <br> 15/5/18: Steve to familiarise himself with how XSDL does this. <br> 7/8: No further progress |
| 302 | Create backup of OGF Redmine tracker issues (Mike) <br> $6 / 2 / 18$ : In case something happens to Redmine, need to make a backup of the tracker issues. There is a facility from the summary page (atom, pdf) but that only exports the summary info. There is a facility from the issue detail page (atom, pdf) which exports an individual tracker. <br> 17/4/18: No progress <br> 15/5/18: Mike will create a backup using the most convenient method <br> 7/8: No further progress |
| 303 | Investigate why the concept of 'potentially trailing group' is needed when suppressing separators (Steve) <br> 17/4/2018: Steve thinks that this coped with a particular scenario but needs to do some research. <br> 15/5/18: Steve has a scenario where this is useful (using a choice of simple types in CSV). Problem then is that sections 14.2.2 \& 14.2.3 only talk about elements. Perhaps a 'source-to-source' transformation could be added that converted potentially trailing groups to potentially trailing elements, so that 14.2.2 \& 14.2.3 required no updates? <br> 7/8: No further progress |
| 304 | Proposal for Data Streaming for layered transforms (All) |

17/4/2018: Daffodil team to provide feedback on the proposal as prototype gets implemented. Others to review.
15/5/18: Mike to send link to updated proposal, which is working fine in prototype.
7/8: No further progress

## Closed actions

| No | Action |
| :--- | :--- |
|  |  |

## Deferred actions

## No Action

241 Public comment: Bi-di properties placement in precedence section (All) 7/11: This looks deliberate but the asymmetry between parsing and unparsing is unclear. Really needs Daffodil or IBM DFDL to implement these properties, which has not happened yet. Deferring this action.

23/9: Candidate to be moved out to 1.1 ?

## Work items:

| No | Item | Owner | Target | Status |
| :---: | :--- | :--- | :--- | :--- |
|  |  |  |  |  |

