

## Open Cloud Computing Interface Specification

### Status of This Document

TBD...

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### Abstract

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## 1. Introduction

An overview of the document.

## 2. OCCI Walkthrough

### 2.1. Overview

This document may lag behind the actual specification

The Open Cloud Computing Interface (OCCI) is an API for managing cloud infrastructure services (also known as Infrastructure as a Service or IaaS) which strictly adheres to REpresentational State Transfer (REST) principles and is closely tied to HyperText Transfer Protocol (HTTP). For simplicity and scalability reasons it specifically avoids Remote Procedure Call (RPC) style interfaces and can essentially be implemented as a horizontally scalable document repository with which both nodes and clients interact.

This document describes a step-by-step walkthrough of performing various tasks as at the time of writing.

### 2.2. Getting Started

#### Connecting

Each implementation has a single OCCI end-point URL (we'll use <http://example.com/>) and everything you need to know is linked from this point - configuring clients is just a case of providing this parameter. In the simplest case the end-point may contain only a single resource or type of resource (e.g. a hypervisor burnt into the BIOS of a motherboard exposing compute resources, a network switch/router exposing network resources or a SAN exposing storage resources) and at the other end of the spectrum it may provide access to a global cloud infrastructure (e.g. the "Great Global Grid" or GGG). You will only ever see those resources to which you have access to (typically all of them for a private cloud or a small subset for a public cloud) and flexible categorisation and search provide fine-grained control which resources are returned, allowing OCCI to handle the largest of installations. You will always connect to this end-point over HTTP(S) and given the simplicity of the interface most user-agents are suitable, including libraries (e.g. urllib2, LWP), command line tools (e.g. curl, wget) and full blown browsers (e.g. Firefox).

#### Authenticating

When you connect you will normally be challenged to authenticate via HTTP (this is not always the case - in secure/offline environments it may not be necessary) and will need to do so via the specified mechanism. It is anticipated that most implementations will require HTTP Basic Authentication over SSL/TLS so at the very least you should support this (fortunately

almost all user-agents already do), but more advanced mechanisms such as NTLM or Kerberos may be deployed. Certain types of accesses (such as a compute resource querying OCCI for introspection and configuration) may be possible anonymously (having already been authenticated by interface and/or IP address). Should you be redirected by the API to a node, storage device, etc. (for example, to retrieve a large binary representation) then you should either be able to transparently authenticate or a signed URL should be provided. That is, a single set of credentials is all that is required to access the entire system from any point.

## Representations

As the resource itself (e.g. a physical machine, storage array or network switch) cannot be transferred over HTTP (at least not yet!) we instead make available one or more representations of that resource. For example, an API modeling a person might return a picture, fingerprints, identity document(s) or even a digitised DNA sequence, but not the person themselves. A circle might be represented by SVG drawing primitives or any three distinct points on the curve. For cloud infrastructure there are many useful representations, and while OCCI standardises a number of them for interoperability purposes, an implementation is free to implement others in order to best serve the specific needs of their users and to differentiate from other offerings. Other examples include:

- Open Cloud Computing Interface (OCCI) descriptor format (application/occi+xml)
- Open Virtualisation Format (OVF) file (application/ovf+xml?)
- Open Virtualisation Archive (OVA) file (application/x-ova?)
- Screenshot of the console (image/png)
- Access to the console (application/x-vnc)

The client indicates which representation(s) it desires by way of the URL and/or HTTP Accept headers (e.g. HTTP Content Negotiation) and if the server is unable to satisfy the request then it should return HTTP 406 Not Acceptable.

## Descriptors

In addition to the protocol itself, OCCI defines a simple key/value based descriptor format for cloud infrastructure resources:

|         |   |
|---------|---|
| compute | Provides computational services, ranging from dedicated physical machines (e.g. Dedibox) to virtual machines (e.g. Amazon EC2) to slices/zones/containers (e.g. Mosso Cloud Servers). |
| network | Provides connectivity between machines and the outside world. Usually virtual and may or may not be connected to a physical segment.  |
| storage | Provides storage services, typically via magnetic mass storage devices (e.g. hard drives, RAID arrays, SANs).   |

Given the simplicity of the format it is trivial to translate between wire formats including plain text, JSON, XML and others. For example:

```
occi.compute.cores 2
compute.speed 3200
compute.memory 2048
```

## Identifiers

Each resource is identified by its dereferenceable URL which is by definition unique, giving information about the origin and type of the resource as well as a local identifier (the

combination of which forms a globally unique compound key). The primary drawback is that the more information that goes into the key (and therefore the more transparent it is), the more likely it is to change. For example, if you migrate a resource from one implementation to another then its identifier will change (though in this instance the source should provide a HTTP 301 Moved Permanently response along with the new location, assuming it is known, or HTTP 410 Gone otherwise).

In order to realise the benefit of transparent, dereferenceable identifiers while still being able to track resources through their entire lifecycle an immutable UUID attribute should be allocated which will remain with the resource throughout its life. This is particularly important where the same resource (e.g. a network) appears in multiple places.

New implementations should use type 4 (random) UUIDs anyway, as these can be safely allocated by any node without consulting a register/sequence, but where existing identifiers are available they should be used instead (e.g. <http://amazon.com/compute/ami-ef48af86>).

## 2.3. Operations

### Create

To create a resource simply POST it to the appropriate collection (e.g. /compute, /network or /storage) as an HTML form (supported by virtually all user agents) or in another supported format (e.g. OVF):

```
POST /compute HTTP/1.1
Host: example.com
Content-Length: 35
Content-Type: application/x-www-form-urlencoded
```

```
compute.cores=2&compute.memory=2048
```

Rather than generating the new resource from scratch you may also be given the option to GET a template and POST or PUT it back (for example, where "small", "medium" and "large" instances or pre-configured appliances are offered).

### Retrieve

The simplest command is to retrieve a single resource by conducting a HTTP GET on its URL (which doubles as its identifier):

```
GET /compute/b10fa926-41a6-4125-ae94-bfad2670ca87 HTTP/1.1
Host: example.com
```

This will return a *HTTP 300 Multiple Choices response containing a list of available representations for the resource as well as a suggestion in the form of a HTTP Location: header* of the default rendering, which should be HTML (thereby allowing standard browsers to access the API directly). An arbitrary number of alternatives may also be returned by way of HTTP Link: headers.

If you just need to know what representations are available you should make a HEAD request instead of a GET - this will return the metadata in the headers without the default rendering.

Some requests (such as searches) will need to return a collection of resources. There are two options:

|                   |   |
|-------------------|---|
| Pass-by-reference | A plain text or HTML list of links is provided but each needs to be retrieved separately, resulting in $O(n+1)$ performance.  |
| Pass-by-value     | A wrapper format such as Atom is used to deliver [links to] the content as well as the metadata (e.g. links, associations, caching information, etc.), resulting in $O(1)$ performance. |

## Update

Updating resources is trivial - simply GET the resource, modify it as necessary and PUT it back where you found it.

## Delete

Simply DELETE the resource:

```
DELETE /compute/b10fa926-41a6-4125-ae94-bfad2670ca87 HTTP/1.1
Host: example.com
```

## 2.4. Sub-resource Collections

*(For want of a better name)*

Each resource may expose collections for functions such as logging, auditing, change control, documentation and other operations (e.g. <http://example.com/compute/123/log/456>) in addition to any required by OCCI. As usual CRUD operations map to HTTP verbs (as above) and clients can either PUT entries directly if they know or will generate the identifiers, or POST them to the collection if this will be handled on the server side (using POST Once Exactly (POE) to ensure idempotency).

## Requests

Requests are used to trigger state changes and other operations such as backups, snapshots, migrations and invasive reconfigurations (such as storage resource resizing). Those that do not complete immediately (returning HTTP 200 OK or similar) must be handled asynchronously (returning HTTP 201 Accepted or similar).

```
POST /compute/123/requests HTTP/1.1
Host: example.com
Content-Length: 35
Content-Type: application/x-www-form-urlencoded

state=shutdown&type=acpioff
```

The actual operation may not start immediately (for example, backups which are only handled daily at midnight) and may take some time to complete (for example a secure erase which requires multiple passes over the disk). Clients can poll for status periodically or use server push (or a non-HTTP technology such as XMPP) to monitor for events.

## 3. OCCI Frequently Asked Questions

### 3.1. General

|   |  |
|---|--|
| Who created the Open Cloud Computing Interface (OCCI)?                        | The Open Grid Forum (OGF)'s Open Cloud Computing Interface Working Group (OCCI-WG) created the Open Cloud Computing Interface (OCCI).  |
| Who are the Open Cloud Computing Interface Working Group (OCCI-WG) officials? | Andrew Edmonds (Intel, SLA@SOI), Thijs Metsch (Sun Microsystems) and Alexis Richardson (Rabbit Technologies Ltd) are the chairs and Sam Johnston (Australian Online Solutions) is the secretary. |
| Who else was involved?  | Around 200 individuals representing over 100 companies were involved in the development of the Open Cloud Computing Interface (OCCI).  |

### 3.2. Use Cases

|                                   |   |
|-----------------------------------|---|
| How were the use cases collected? | Use cases were solicited from the working group mailing list as well as other external sources. |
|-----------------------------------|---|

### 3.3. Technical

Why didn't you invent your own XML representation? See Tim Bray's Don't Invent XML Languages post.

## 4. OCCI Core

### 4.1. Introduction

The Open Cloud Computing Interface is an open community consensus API, initially targeting cloud infrastructure services or "Infrastructure as a Service (IaaS)". A "Resource Oriented Architecture (ROA)", it is as close as possible to the underlying HyperText Transfer Protocol (HTTP), deviating only where absolutely necessary. Each resource (identified by a canonical URL) can have multiple representations which may or may not be hypertext (e.g. HTML). Metadata including associations between resources is exposed via HTTP headers (e.g. the Link: header), except in the case of collections where Atom is used as the meta-model.

**Table 1. Common Attributes**

| Attribute | Type                                    | Description  |
|-----------|---|--|
| id        | String ( <i>Typically UUID Type 4</i> ) | Random, immutable unique identifier ( <code>atom:id</code> ) |
| title     | String                                  | Human readable title ( <code>atom:title</code> )             |
| summary   | String                                  | Summary ( <code>atom:summary</code> )                        |

### 4.2. Basics

#### URL Namespace

An OCCI interface is defined by a single URL entry point (and optionally, suitable credentials for HTTP based authentication schemes). Implementors should also expose an AtomPub service document at the root to enable enumeration of resource types, supported formats and categories.

#### Nouns, Verbs and Attributes

Interfaces expose "nouns" which have "attributes" and on which "verbs" can be performed. The attributes are exposed as key-value pairs and appropriate verbs as links, following HATEOAS principles.

#### CRUD Operations

Create, Retrieve, Update and Delete (CRUD) operations map to the POST, GET, PUT and DELETE HTTP verbs respectively. HEAD and OPTIONS verbs may be used to retrieve metadata and valid operations without the entity body to improve performance. Additionally, all existing HTTP functionality is available for caching, proxying, gatewaying and other advanced functionality.

|                |   |
|----------------|---|
| POST (Create)  | POSTing a representation (e.g. OVF) to a collection (e.g. /compute) will result in a new resource being created (e.g. /compute/123) and returned in the Location: header. POST is also used with HTML form data to trigger verbs (e.g. restart) |
| GET (Retrieve) | GETting a resource (e.g. /compute/123) will return a representation of that resource in the most appropriate supported format specified by the client in the Accept header. Otherwise "406 Not Acceptable" will be returned.                    |
| PUT (Update)   | PUTting a representation (e.g. OVF) to a URL (e.g. /compute/123) will result in the resource being created or   |

updated. The URL is known or selected by the client (in which case UUIDs should be used), in contrast to POSTs where the URL is selected by the server.

#### DELETE (Delete)

DELETE results in the deletion of the resource (and everything "under" it, as appropriate).

### Collections

Operations that return multiple resources (e.g. categories, searches) are rendered as an Atom feed with an Atom entry per resource. Metadata that would normally appear in the HTTP headers appears in standard Atom elements with the entity-body itself being passed by reference or by value in the Atom content element.

### Versioning

Clients and servers should expose the protocol version (e.g. OCCI/1.0) via the User-Agent and Server HTTP headers respectively. Should second or subsequent versions of the descriptor format be required the version will be added to the Internet media type (e.g. application/occi2+xml).

## 4.3. Formats

All server implementations *must* support rendering in *at least* the following formats:

### 4.3.1. Atom XML (application/occi+xml)

The following is an example of an OCCI resource in application/occi+xml format, which is most useful for advanced clients including:

- Desktop management clients
- Network management systems
- Intercloud communication

```
<?xml version="1.0" encoding="utf-8"?>
<entry xmlns="http://www.w3.org/2005/Atom" xmlns:occi="http://purl.org/net/occi"
  <id>http://example.com/products/1234</id>
  <title>Resource #1</title>
  <summary>Web resource for demonstration purposes</summary>
  <author>
    <name>Acme, Inc.</name>
  </author>
  <updated>2005-07-31T12:29:29Z</updated>
  <occi:etag>46dd20-23-464015228e7c0</occi:etag>
  <category term="widget" scheme="http://example.com/products" label="Widgets"
  <link rel="alternate" href="http://example.com/products/1234" title="Link to r
</entry>
```

### 4.3.2. Plain Text

The following is an example of an OCCI resource in application/occi+txt format, which is most useful for simple clients including:

- Manual manipulation by developers, system administrators, etc.
- Monitoring systems
- Scripts
- Scheduled cron jobs

```
id: 2acf3e85-33cb-493b-ab5c-7ef878032657
title: Resource #1
summary: Web resource for demonstration purposes
author.name: Acme, Inc.
updated: 2009-12-31T12:59:59Z
etag: "46dd20-23-464015228e7c0"
category[0].term: widget
category[0].scheme: http://example.com/products
category[0].label: Widgets
link[0].href: http://example.com/products/1234
link[0].rel: alternate
link[0].title: Link to alternate representation
```

#### 4.3.3. JSON (application/occi+json)

The following is an example of an OCCI resource in application/occi+json format, which is most useful for:

- Web interfaces
- JavaScript code

```
{
  "id": "f63aaa26-30b7-4a30-91ca-1d03cle52214",
  "title": "Resource #1",
  "summary": "Web resource for demonstration purposes",
  "author": {
    "name": "Acme, Inc."
  },
  "updated": "2009-12-31T12:59:59Z",
  "etag": "46dd20-23-464015228e7c0",
  "category": [{
    "term": "widget",
    "scheme": "http://example.com/products",
    "label": "Widgets"
  }],
  "link": [{
    "href": "http://example.com/products/1234",
    "rel": "alternate",
    "title": "Link to alternate representation"
  }]
}
```

#### 4.4. Extensions

##### 4.4.1. Caching

Caching information improves performance by allowing clients to track freshness of cached objects.

**Table 2. Caching Attributes**

| Attribute | Type   | Description                                  |
|-----------|--------|--|
| etag      | String | ETag (must match HTTP headers where present) |
| updated   | Date   | Time last updated (atom:updated)             |

##### 4.4.2. Categories

Categories allow for simple, flexible organisation of information.

**Table 3. Category Attributes**

| Attribute          | Type   | Description                                 |
|--------------------|--------|---|
| category[i].term   | Token  | Category name<br>(atom:term)                |
| category[i].scheme | URI    | Category vocabulary/schema<br>(atom:scheme) |
| category[i].label  | String | Human readable label<br>(atom:label)        |

#### 4.4.3. Links

Linking allows resources to refer to:

- Alternative representations
- Sub-collections
- Other nouns
- Related resources

**Table 4. Linking Attributes**

| Attribute     | Type   | Description                                 |
|---------------|--------|---|
| link[i].href  | URI    | Link target<br>(atom:link[@href])           |
| link[i].rel   | URI    | Link relation<br>(atom:link[@rel])          |
| link[i].title | String | Human readable title<br>(atom:link[@title]) |

#### 4.4.4. Status

Status reporting allows clients to monitor the status of a given task.

**Table 5. Status Attributes**

| Attribute             | Type           | Description                                       |
|-----------------------|----------------|---|
| status.message        | String         | Human readable status message                     |
| status.percentage     | Float (0..100) | Percentage complete (0=not started, 100=finished) |
| status.rate.average   | Float          | Average rate of progress                          |
| status.rate.current   | Float          | Current rate of progress                          |
| status.rate.units     | String         | Units (e.g. MB/s)                                 |
| status.work.completed | Float          | Work completed                                    |
| status.work.remaining | Float          | Work remaining                                    |
| status.work.units     | String         | Units (e.g. MB)                                   |
| status.time.start     | Date/Time      | Start time  |
| status.time.finish    | Date/Time      | Finish time (may be an estimate)                  |
| status.time.remaining | Time           | Remaining time (may be an estimate)               |



#### 4.4.5. Tasks

Asynchronous operations ("tasks") immediately return HTTP 202 Accepted with a `Location:` header pointing to a simple task [sub]resource. This allows tasks to be monitored (GET), updated (PUT) and canceled (DELETE). Completed tasks *may* be deleted immediately, after a reasonable period of time (allowing clients to retrieve status) or retained indefinitely for audit purposes.

The collection of tasks for a given resource (including the entry-point itself for global tasks) is advertised under the `http://purl.org/occi#tasks` link relation and new tasks should be submitted via HTTP POST to the supplied href.

**Table 6. Task Attributes**

| Attribute                     | Type   | Description                                  |
|-------------------------------|--------|--|
| <code>task.type</code>        | Token  | Task type (e.g. backup)                      |
| <code>task.sub-type</code>    | Token  | Task sub-type (e.g. incremental)             |
| <code>task.schedule[i]</code> | String | Task schedule (e.g. "every Friday at 21:00") |

#### 4.5. References

The following standards are referenced by this implementation.

- RFC 2616 Hypertext Transfer Protocol -- HTTP/1.1
- RFC 4287 The Atom Syndication Format
- RFC 5023 The Atom Publishing Protocol

Additionally RFC 2119 Requirement Levels are used throughout.

#### 4.6. Registration

##### 4.6.1. IANA Considerations

The following media types are to be registered:

- application/occi+txt
- application/occi+json
- application/occi+xml

### 5. OCCI Infrastructure

OCCI Infrastructure defines three nouns and various extensions relating to management of cloud infrastructure services (IaaS).

**Table 7. Common Attributes**

| Attribute             | Type   | Description                                       |
|-----------------------|--------|---|
| <code>hostname</code> | String | Valid DNS hostname for the resource (may be FQDN) |

#### 5.1. Nouns

Cloud infrastructure can be modeled using three primary nouns: compute, network and storage.

##### 5.1.1. Compute

A compute resource is capable of conducting computations (e.g. a virtual machine).

**Table 8. Compute Attributes**

| Attribute                  | Type                          | Description                                       |
|----------------------------|-------------------------------|---|
| compute.cpu.arch           | Enum (x86, x64)               | CPU Architecture (e.g. x64)                       |
| compute.cpu.cores          | Integer                       | Number of CPU cores (e.g. 1, 2)                   |
| compute.cpu.speed          | Float (10 <sup>9</sup> Hertz) | Clock speed in gigahertz (e.g. 2.4)               |
| compute.memory.size        | Float (10 <sup>6</sup> bytes) | RAM in megabytes (e.g. 2048)                      |
| compute.memory.speed       | Float (10 <sup>9</sup> bps)   | RAM speed in Gbit/s (e.g. 256)                    |
| compute.memory.reliability | Enum (standard, checksum)     | Qualitative measure of RAM reliability (e.g. ECC) |

### 5.1.2. Network

A network resource is capable of transferring data (e.g. a virtual network or VLAN).

**Table 9. Network Attributes**

| Attribute               | Type              | Description                               |
|-------------------------|-------------------|---|
| network.vlan-id         | Integer (0..4095) | 802.1q VLAN ID (e.g. 4095)                |
| network.vlan-tag        | Token             | Tag based VLANs (e.g. external-dmz)       |
| network.ipv4[i].gateway | IPv4 Address      | IPv4 gateway address (e.g. 192.168.0.1)   |
| network.ipv4[i].netmask | IPv4 Address      | IPv4 netmask address (e.g. 255.255.255.0) |
| network.ipv4[i].network | IPv4 Address      | IPv4 network address (e.g. 192.168.0.0)   |
| network.ipv4[i].cidr    | Integer (0..32)   | Netmask in CIDR notation (e.g. 24)        |

### 5.1.3. Storage

A storage resource is capable of mass storage of data (e.g. a virtual hard drive).

**Table 10. Storage Attributes**

| Attribute           | Type                                   | Description                                     |
|---------------------|--|---|
| storage.reliability | Enum (transient, persistent, reliable) | Qualitative device persistence (e.g. transient) |
| storage.size        | Integer (10 <sup>9</sup> bytes)        | Drive size in gigabytes (e.g. 40)               |

## 5.2. Extensions

Various extensions provide for more advanced management functionality such as billing, monitoring and reporting.

### 5.2.1. State machine (state)

The state machine extension allows for the modeling of arbitrarily complex state machines and associated transitions (e.g. start, stop, restart).

## 6. OCCI Registries

Table 11. HTTP Status Codes

| Code                      | Description   | Example  |
|---------------------------|---|--|
| 200 OK                    | Request completed successfully  | Response is returned   |
| 201 Created               | Request completed successfully, resource was created                  | Pointer to new resource returned                                 |
| 202 Accepted              | Request accepted, processing not completed                            | Workload starting but not yet active                             |
| 301 Moved Permanently     | Resource has been assigned a new permanent URI                        | Workload migrated to another installation                        |
| 302 Found                 | Resource resides temporarily under a different URI                    | Alias pointing to UUID can be updated                            |
| 304 Not Modified          | Conditional GET on resource that is unchanged                         | Client already has the latest version of the resource            |
| 400 Bad Request           | Request could not be understood by the server due to malformed syntax | Client sent a representation that was unable to be understood    |
| 401 Unauthorized          | The request requires user authentication                              | Client must retry with authentication                            |
| 402 Payment Required      | The server has refused to fulfill the request                         | Credit limit exceeded  |
| 403 Forbidden             | The server understood the request, but is refusing to fulfill it      | Attempt to access resource without permission                    |
| 404 Not Found             | The server has not found the resource                                 | Feed or entry unknown  |
| 405 Method Not Allowed    | The method specified is not allowed for the resource                  | Attempt to delete an immutable resource                          |
| 406 Not Acceptable        | The resource is not capable of requested content characteristics      | Unsupported output format requested                              |
| 409 Conflict              | Request is in conflict with the current state of the resource         | Resource updated by a third-party in the interim                 |
| 410 Gone                  | Resource is gone, no forwarding address                               | Resource was deleted   |
| 500 Internal Server Error | Server encountered an unexpected condition                            | An unknown failure has occurred (e.g. out of memory)             |
| 501 Not Implemented       | Functionality required to fulfill request is not implemented          | A missing extension was called                                   |
| 502 Bad Gateway           | An invalid response was received from an upstream server              | The gateway received a malformed response from a node            |
| 503 Service Unavailable   | Server is temporarily unable to handle the request                    | Server may be overloaded or down for maintenance                 |
| 504 Gateway Timeout       | No response was received from an upstream server                      | The gateway did not receive a response within the timeout period |

## **7. Contributors**

TBD...

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