Does your software do what it should?

User guide to specification and verification with the Java Modeling Language and OpenJML

Updated to Java 21

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This document is being actively expanded, edited and reviewed.

Comments are welcome.

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Foreword

OpenJML is the principal set of tools that work with the Java Modeling Language (JML). OpenJML combines an extended static checking tool (ESC), a runtime assertion checking compiler, and a few other tools for JML. OpenJML's ESC tool is the most powerful and useful automated verifier for current JML and current Java JML that is maintained as of this writing (in 2024). As such it is indispensable in working with JML.

I have worked with David Cok for over twenty years, since the time when we began work on the Java Modeling Language at Iowa State University, where I was a professor of Computer Science. He first started contributing to the Iowa State tools for JML that were developed by several of my PhD students at Iowa State University (notably Yoonsik Cheon, Clyde Ruby, and Curtis Clifton). At the time the Iowa State tools for JML were developed based on the Kopi Java compiler, which was an open source Java compiler.

He wrote the first version of the documentation tool, jmldoc, which displayed as a web page all the JML specifications for Java classes and interfaces and their methods.

After his work on the Iowa State tools, David worked with Joseph Kiniry to extend the ESC/Java tool from Digital Equipment Corporation to be fully integrated with JML and Java 4, producing ESC/Java2 [12].

However, as Java was rapidly evolving, it soon became clear to David and others in the JML community that it was difficult to keep tools for JML up to date with new Java features, particularly generics (introduced in Java 5). At the same time, support for the Kopi Java compiler was discontinued, leaving the lowa State tools without a clear way to incorporate new Java features. Discussions among JML tool developers, including David, hit on the idea of basing the JML

tools on the OpenJDK compiler, which was an open source version of Java that Sun (subsequently Oracle) guaranteed to maintain as the basis for new releases of Java, now produced by Oracle. This has indeed been the case, now at Java 21 in 2024. After some prototyping David decided that this was indeed a good idea, and he personally started the work to base tools for JML on the OpenJDK compiler, producing the OpenJML [11, 14, 8, 10] tool. Since then he has continued work on OpenJML as its principal developer.

David has also made many contributions to the design of JML itself. His insights are particularly appreciated, as they are based on his experience in consulting on specification and verification projects and his work on OpenJML. His contributions to Dafny and ACSL++ and his experience with Ada and Spec# have also influenced OpenJML and our joint work on JML. The JML community and myself have been fortunate to have David as a close colleague and continuing contributor over the past two and a half decades.

Dr. Gary T. Leavens Professor of Computer Science University of Central Florida Orlando, Florida, USA May, 2022

Preface

The Java Modeling Language (JML) project started in about 1997 with the goal of enhancing the capability of specification and automated verification to improve the development of software. A current review article [24] summarizes some of the experience and challenges of this project.

The OpenJML tool, in development since 2006, performs the work of checking that specifications written in JML match implementations written in Java. OpenJML is conceptually built on ESC/Java, written at DEC SRC in the late 1990's [17], which this author extended to ESC/Java2 [12] making the tool current with thencurrent Java and JML. Though OpenJML builds on the same concepts and technical decisions as ESC/Java and ESC/Java2, it is a clean rewrite of the software. The incarnation OpenJML described in this document is based on OpenJDK, is compatible with Java 21; previous versions have been used in both industrial and academic applications. The JML language and the OpenJML tool are similar in concept to the specification languages and tools for other programming languages; they thus fit within the wider research and development endeavor to create specification and verification capabilities that work well with the day-to-day work of conventional software programming.

This document itself is the user guide and reference manual for OpenJML. The most current version of this document is maintained on-line at www.openjml.org/documentation/OpenJMLUserGuide.pdf.

- It is not a language guide. For that see the JML Reference Manual: https://www.openjml.org/documentation/JML_Reference_Manual.pdf.
- It is not a tutorial. For that see the online OpenJML tutorial at https://www.openjml.org/tutorial.
- It is not a discussion of how to develop the source code for the tool. For

that see the github project at https://github.com/OpenJML/OpenJML.

- It is not general guide to research and projects related to JML. For that see the JML project website at http://www.jmlspecs.org.
- It is not a comparison to other tools. One other relevant project is the KeY project: https://www.key-project.org/ [22] including a book about KeY: https://www.key-project.org/thebook2/ [2]

OpenJML, though developed primarily by David R. Cok, has benefited from many sources:

- The JML initiative, started and overseen by Gary Leavens.
- A long history of research on the Java Modeling Language itself, as reflected in the publications list on the JML project web site: http://www.jmlspecs.org.
- The work on previous and succeeding languages and tools for other programming languages, most notably
 - the Frama-C project (https://www.frama-c.com).
 - Spec# for the C# language,
 - and Dafny (https://github.com/dafny-lang/dafny).
- Previous work on JML tools preceding OpenJML, such as EscJava [17], EscJava2 [12], and the ISU suite of tools [7].
- Occasional individual contributors to OpenJML itself.
- The OpenJDK compiler framework on which OpenJML is built: https://www.openjdk.org.
- The cross-fertilization with colleagues at the KeY project: https://www.key-project.org/.

Contents

1	Intr	oduction to JML and OpenJML	1
	1.1	Why specify? Why check?	2
	1.2	Background on OpenJML	3
	1.3	Other resources	5
	1.4	Sources of Technology	6
	1.5	License	7
	1.6	Use of this document	7
2	Inst	allation	8
	2.1	Installing OpenJML	8
	2.2	Organization of the installation	9
	2.3	Local customization	10
3	The	OpenJML Command-line Tool	11
	3.1	Command-line structure	11
	3.2	Files and Folders	12
	3.3	Output	12
	3.4	Exit values	12
	3.5	Other aspects of the environment	13
4	Оре	enJML Concepts	16
	4.1	Specifications in .java and .jml files	16
	4.2	Finding files and classes: class, source, and specs paths	16
	4.3	OpenJML options, Java properties and the open jml.properti	es
		file	19
	4.4	SMT provers	22
	4.5	Conditional JML annotations (keys option)	22

CONTENTS vi

	4.6	Annotations and the runtime library	23
	4.7	Defaults for binary classes	24
	4.8	Redundancy in JML and OpenJML	24
	4.9	Nullness and non-nullness of references	25
		4.9.1 Background on non-null annotations and types	25
		4.9.2 JML features for nullness	26
		4.9.3 Nullness annotations for array declarations	27
		4.9.4 Nullness annotations for qualified class names	28
		4.9.5 Nullness for binary classes	28
	4.10	Purity	28
	4.11	Arithmetic modes	28
		4.11.1 Integer arithmetic	28
		4.11.2 Floating point arithmetic	30
	4.12	Integers and bit-vectors (esc-bv option)	30
	4.13	Specification inference	31
5	Ope	nJML Options	32
	5.1	General rules about options	38
	5.2	Options: Operational modes	39
	5.3	Options: JML tools	39
	5.4	Options: OpenJML options applicable to all OpenJML opera-	
		tional modes	40
	5.5	Options: JML Information and debugging	41
	5.6	Java Options: Version of Java language or class files	43
	5.7	Java Options: Other Java compiler options applicable to OpenJML	43
	5.8	Control of lint-like warnings	44
	5.9	Java options related to annotation processing	45
	5.10	Java options related to modules	45
6	Ope	nJML tools — Parsing and Type-checking	47
	6.1	Parsing	47
	6.2	Type-checking JML specifications	48
	6.3	Command-line options for type-checking	48
7	Ope	nJML tools — Static Deductive Verification (ESC)	49
	7.1	Results of the static verification tool	49
		7.1.1 Finding verification faults	50
		7.1.2 Checking feasibility	52

vii

		7.1.3	Timeouts and memory-outs	52
		7.1.4	Bugs	53
	7.2	Checki	ng feasibility:check-feasibility	53
	7.3		s specific to static checking	58
		7.3.1	Controlling nullness	58
		7.3.2	Choosing the solver used to check (prover,exec) .	58
		7.3.3	Choosing what to check (method,exclude)	59
		7.3.4	Control over the checks performed	61
		7.3.5	Detail about the proof result	62
		7.3.6	Counterexample information	62
		7.3.7	Dividing up the proof:split	63
		7.3.8	Controlling output	63
		7.3.9	Options affecting the internal encoding	64
		7.3.10	Miscellaneous options	64
	_			
8	•		ools – Runtime Assertion Checking (RAC)	66
	8.1		ling classes with assertions	66
	8.2		ing a RAC-compiled programs	68
	8.3		s specific to runtime checking	68
		8.3.1	rac-check-assumptions	68
		8.3.2	rac-java-checks	70
		8.3.3	nonnull-by-default andnullable-by-default	71
		8.3.4	show-not-executable	72
		8.3.5	show-not-implemented	72
		8.3.6	rac-show-source	72
		8.3.7	rac-compile-to-java-assert	73
		8.3.8	rac-precondition-entry	74
	8.4	Contro	Illing how runtime assertion violations are reported	75
	8.5	Exit co	de from a RAC-ed program	79
	8.6	RAC FA	AQs	80
		8.6.1	Uncompiled fields and methods	80
		8.6.2	Non-executable or unimplemented features	81
		8.6.3	Try blocks too large	82
^	0:5	n 11/41		0.2
9			xtensions to JML	83
	9.1	•		83
	0.0	9.1.1	Optional terminating semicolons	83
	9.2	Metho	d specification clauses	84

CONTENTS	viii
----------	------

	9.2.1 behaviors clause	84
9.3	Specification statements	85
J.5	9.3.1 check statement	86
	9.3.2 show statement	87
	9.3.3 havoc statement	87
	9.3.4 halt statement	88
	9.3.5 split statement	89
	9.3.6 reachable statement	95
	9.3.7 use statement	96
	9.3.8 inlined_loop statement	97
	9.3.9 comment statement	97
9.4	Modifiers	97
	9.4.1 skipesc and skiprac	97
	9.4.2 inline	97
	9.4.3 query and secret	99
	9.4.4 immutable	99
	9.4.5 @Options	100
	9.4.6 Experimental modifiers	100
9.5	Expressions	101
	9.5.1 \exception	101
	9.5.2 Enhancements to conditional annotations: \key	101
9.6	Enhancements to the maps clause	102
9.7	Other topics to include, possibly	102
	·	
	ng OpenJML and OpenJDK within user programs	104
10.1	0 1 3	104
10.2	0	105
10.3		106
	Tokenizing text	108
10.5	Access to parsed ASTs	109
l Ext	ending OpenJML	110
11.1	• •	110
11.2	•	110
11.3		111
11.4		111
11.5		111
	Adding method specification clauses	111

CONTENTS ix

	11.7	Adding class specification clauses	111
	11.8	Adding built-in types	111
		Adding new AST nodes	111
		Adding new compiler phases	111
12	Oth	er OpenJML tools	112
	12.1	Inferring specifications	112
		12.1.1 loop_modifies clauses	112
		12.1.2 invariants describing static final fields	112
	12.2	_	113
	12.3	Generating Specification File Skeletons	113
	12.4	Generating Unit Test framework	113
	12.5	Generating Test Cases	113
		Symbolic Execution and Abstract Interpretation	113
13	Limi	tations of OpenJML's implementation of JML	114
		Soundness and Completeness	114
		Java and JML features not implemented in OpenJML — General	
		issues	116
		13.2.1 Non-conservative defaults	116
		13.2.2 Unchecked assumptions	116
		13.2.3 Numeric and bit-vector arithmetic	116
		13.2.4 Verification of Java system libraries	117
		13.2.5 Java Errors	117
		13.2.6 Non-sequential Java	117
		13.2.7 Reflection	117
		13.2.8 Class loading	117
		13.2.9 Hash codes	117
		13.2.10 Modules and annotation processing	118
	13.3	Java and JML features not implemented in OpenJML — Detailed	110
		items	118
		13.3.1 Clauses and expressions	118
		13.3.2 Termination	118
		13.3.3 Redundancy	119
		13.3.4 Arithmetic mode	119
		13.3.5 Quantifiers	119
		13.3.6 Static initialization	119
		13.3.7 model import statement	119

CONTENTS x

		13.3.8	Model programs	120
		13.3.9	Universe types	121
14	Cont	tributir	ng to OpenJML	122
	14.1		b	122
	14.2		ocumentation	123
	14.3		aining the development wiki	124
	14.4			124
	14.5	Creatir	ng and using a development environment	124
		14.5.1	Setup	124
		14.5.2	Building OpenJML	124
	14.6	Runnir	ng tests	125
	14.7	Deploy	ring a release	125
	14.8	Updati	ng to newer versions of OpenJDK	126
Α	Com	mand-	line options	127
В	Stati	c and F	Runtime verification failure examples	132
	B.1		·	133
	B.2		les	137
	B.3	Arith	nmeticCastRange warning	137
	B.4		nmeticOperationRange warning	138
	B.5	Asser	t warning	140
	B.6	Assiç	gnable warning	140
	B.7	Assum	ne warning (RAC only)	141
	B.8	Compl	etePreconditions	142
	B.9	Const	raint warning	143
	B.10	Disjo	ointPreconditions	144
	B.11	Excep	ptionalPostcondition warning	146
	B.12		ally warning	148
			blyNegativeIndex warning	149
			blyNegativeSize warning	149
			blyTooLargeIndex warning	150
			condition warning	151
	B.17	Preco	ondition warning	152

Chapter 1

Introduction to JML and OpenJML

The Java Modeling Language [23] has been evolving since the beginning of the project in 1997. The project as a whole includes the specification language definition, research on language features for specification, development of tools (such as OpenJML), application of JML and OpenJML to academic and industrial problems, and encouraging their use in education.

JML is widely known and is the inspiration for analogous tools for languages other than Java, such as ACSL [1] for C, ACSL++ [1] for C++, Spec# [5] for C#, SPARK [3] for Ada, Stainless/Leon [29, 6] for Scala, Dafny[26, 27], and the KeY tool [22] for Java. JML has evolved considerably over the years, as Java has evolved. The JML Reference Manual (2nd edition) [13] is a substantial rewrite of the original Draft Reference Manual [25] in order to include many new features (corresponding to Java language features) and new developments in program specification and verification.

Similarly, tools to support JML have evolved. The first tools relied on infrastructure that proved unmaintainable over time, as Java changed. Consequently, when OpenJDK became available in 2006, the JML project adopted OpenJDK as the compiler framework on which to build OpenJML. The first series of versions of OpenJML supported Java 8. In 2020, work was started to upgrade to Java 16ff. This endeavor required substantial internal reorganization because of the introduction of modules as a Java language feature and the use of modules in the

OpenJDK source code itself. The current work, begun in 2023, supports Java 21. In addition there have been improvements in the ease of installing and running the tool. The source code, releases and development materials of OpenJML are hosted on GitHub, at https://github.com/OpenJML The project as a whole is open source, with the OpenJML tool, like OpenJDK, publicly available under the GPLv2 license.

There are three companion resources that you should be aware of in using JML and OpenJML:

- The Java Modeling Language (JML) is a specification language for Java programs. The details of the JML definition are not repeated in this Open-JML user guide. There is a reference manual for JML on-line at https://www.openjml.org/documentation/JML_Reference_Manual.pdf.
- OpenJML is a tool for checking Java program implementations against their JML specifications. This document, the user guide (reference manual) for OpenJML, describes how to use the tool: installation, execution, commandline options and the like. The most current version of this document is on-line at
 - https://www.openjml.org/documentation/OpenJMLUserGuide.pdf.
- A tutorial with lessons on using JML and OpenJML is on-line at https:/openjml.org/tutorial.

Additional resources are listed in §1.3.

The most significant, well-supported other tool for JML is the KeY tool — http://www.key-project.org/

1.1 Why specify? Why check?

Software is hard to write correctly. In some applications, software can be safety-, security- or life-critical, where correctness is important and worth extra effort. Many tools and processes have been promoted and tried to create better software: testing frameworks, coverage measures, requirements processes, careful development processes, agile development processes, fuzzing, separate testing teams, and so on. Deductive verification (also known as formal methods) is another such technique. It has the advantage of applying automated logic provers to check the consistency of machine-readable specifications and software imple-

mentations. It requires the work of writing specifications in logical form along with the actual software implementation. However, even just the added rigor and careful design work needed to write a verifiable specification can improve the quality and correctness of the resulting software artifacts. And as any compiler reminds an engineer, tools that check our work invariably find errors to correct; the same is true for static specification checking tools.

Deductive verification is a form of *static analysis* in that it checks software without running it. However, most tools labeled as static analysis tools check things like code style or identify bug patterns or bad-smelling code. Deductive verification takes this much further by logically reasoning about what the code actually does, to find input sets that lead to crashes or to violations of expected behavior.

Although (static) deductive verification is more rigorous than (dynamic) testing because verification uses automated logic tools and can validate all execution paths (not just those for which there are test cases), it is not perfect: in the end, the implementation and the specification that are shown to agree must also represent what the software writer actually intended, but perhaps did not express completely or correctly, and that requires careful manual review of requirements that accompanies any automated tooling.

This document describes a tool, OpenJML, that performs deductive verification: it checks that software written in Java is consistent with specifications written in the Java Modeling Language (JML) [13, 23]. As mentioned in the opening paragraphs of this chapter, there are other tools that perform the same task for other programming languages.

1.2 Background on OpenJML

OpenJML is a tool for checking that the source code of a Java program is consistent with specifications for that code written in the Java Modeling Language (JML). The tool parses and type-checks the specifications and performs static or run-time checking of the implementation code and the specifications. Because OpenJML is built on the Java compiler, it is also able to do pure Java compilation, which it uses to compile Java programs with extra runtime checks.

OpenJML, like verification tools for other languages, checks that the code that implements a programming language method is consistent with the specifica-

tions for that method. To do this, OpenJML converts both the method implementation and the method specifications, along with the specifications of called methods, into a logical form. A separate tool, an SMT proof tool, is then automatically invoked to see if there is any possible execution of the implementation that would violate the specification. If there is, a counterexample to correct functioning is reported to the tool user; if not, that method is considered verified. If the source code + specifications for all the methods in the program are equivalently verified (and verified to terminate), then the program as a whole can be soundly considered to obey its specifications.

Tools like OpenJML can only check that the code and specifications are *consistent*, that is, that the code behaves as the specifications state; it is possible that the code and specifications, although consistent with each other, together are incorrect when compared to the behavior that the software engineer actually desires. Thus manual review that the formally stated specifications are complete and match informal or natural language requirements is also necessary. But even if the functional specifications are not complete, OpenJML, and tools like it, can assure that no runtime exceptions will be generated by any permitted execution of the program.

This list shows the functionality present or anticipated in OpenJML:

- parse and typecheck all of Java: Java is parsed through Java 21, as implemented in OpenJDK
- parse JML specifications for Java programs: all of JML is parsed, as defined by the JML Reference Manual v2
- typecheck all of JML, as described in this document and the JML Reference Manual
- static checking that Java code is consistent with the JML specifications: unimplemented features of JML are described in this document
- runtime checking of JML specifications: unimplemented features of JML are described in this document
- interacting with OpenJML programmatically from a host program is anticipated
- JML specifications included in javadoc documentation is planned
- JML specification inference: partially present with more in progress

- automatic test generation, based on JML specifications: planned
- symbolic execution of Java + JML programs: perhaps

Current OpenJML is a command-line tool available on MacOS, Linux (tested on Ubuntu), and on Windows under WSL.

OpenJML was constructed by extending OpenJDK, the open source Java compiler, to parse and include JML constructs in the abstract syntax trees representing the Java program. Using OpenJDK was a design decision made when OpenJDK became available. Precursor tools were built on other frameworks: EscJava2 on a custom-built Java compiler; ISU tools on MultiJava. But both of these required far too much developer effort just to keep up with changes in Java. Other frameworks were considered, such as the Eclipse compiler. The choice of OpenJDK has been validated by the strong and continuing support for OpenJDK as Java has evolved.

1.3 Other resources

There are several useful resources related to JML and OpenJML:

- http://www.openjml.org contains a set of on-line resources for OpenJML, including the tutorial at http://www.openjml.org/tutorial.
- The source code, releases, and issue list for OpenJML are maintained in the GitHub project at http://www.github.com/OpenJML. This project also contains related material such as the test suite, Java library specifications, SMT solvers.
- The OpenJML GitHub project wiki contains information relevant to developing OpenJML: https://github.com/OpenJML/OpenJML/wiki.
- http://www.jmlspecs.org is a web site containing information about JML, including references to many publications, other tools, and links to various groups using JML.
- https://www.openjml.org/documentation/OpenJMLUserGuide.pdf is the most current version of this document
- https://www.openjml.org/documentation/JML_Reference_Manual.pdf is the most current version of the JML reference manual

- http://www.jmlspecs.org/OldReleases/jmlrefman.pdf is the first version reference manual for JML [25], which is being superseded by the document mentioned in the previous bullet
- the original JML tools and some other older (typically obsolete and no longer maintained) JML projects are contained in the jmlspecs sourceforge project at http://sourceforge.net/projects/jmlspecs.

There are also other tools that use JML. An incomplete list follows:

- The KeY tool http://www.key-project.org/
- The previous generation of JML tools prior to OpenJML is available at http://www.jmlspecs.org/download.shtml.
- Other tools and projects listed at jmlspecs.org.
- A previous sourceforge project for OpenJML has been discontinued in favor of the GitHub project.

Various mailing lists and discussion groups answer questions and debate JML language syntax and semantics.

- The issues list at https://github.com/JavaModelingLanguage/RefMan/issues is the place for discussions of JML syntax and semantics, including questions about JML.
- The issues list at https://github.com/OpenJML/OpenJML/issues is the place for discussion and questions about (and problems with) OpenJML.

1.4 Sources of Technology

The design and implementation of OpenJML uses and extends many ideas present in prior tools, such as ESC/Java[15] and ESC/Java2[12], and from discussions with builders of subsequent or concurrent tools such as Spec#[5], Boogie[4], Dafny[26], Frama-C[18], KeY[22], ACSL[1], and the Checker framework[16]. It was inspired by earlier work producing the Larch tools and, separately, the Eiffel language and tools. It also benefits from many advances in specification and reasoning technology over the past couple of decades.

1.5 License

The OpenJML command-line tool is built from OpenJDK, which is licensed under GPLv.2 (http://openjdk.java.net/legal/). Hence OpenJML is correspondingly licensed as GPLv.2.

The source code for OpenJML and any corresponding modifications made to OpenJDK are available from a GitHub project: https://github.com/OpenJML.

1.6 Use of this document

This document is meant as a resource, in the spirit of most reference manuals, rather than a text to be read straight through. The best approach is to work through the on-line tutorial, with the JML and OpenJML reference manuals at hand to provide detail when you need it. Once you understand the introductory concepts, then more thorough reading of the reference manuals will alert you to advanced features that you may need. The JML Reference Manual is the guide to the definition of JML features. This document provides information on how to use OpenJML to do static and runtime verification for Java programs using JML specifications.

Chapter 2

Installation

2.1 Installing OpenJML

The OpenJML releases are kept in the OpenJML GitHub project; the installation file is a simple .zip file. There are different builds for different platforms. Currently, MacOS, Linux (Ubuntu), and Windows using WSL are supported.

- Find the latest release of the highest number series, currently 21, at https://github.com/openjml/openjml/releases.
- Download the artifact for your platform. It is a .zip file.
- Create a clean folder of your choice and unzip the downloaded release into it. The installation folder, call it OJ, will contain files and folders such as openjml, tutorial, etc.
- The executable (a bash script) to run is OJ/openjml. Do not move this file out of its location within the installation, as it uses its location to find resources needed by OpenJML. You can write a script to delegate to OJ/openjml, storing your script in some place on your PATH, if you like. Or you can put OJ on your PATH. If you use a symbolic link to point to the OJ/openjml executable, then you need the utility realpath in your environment; on MacOS you may need to install that explicitly, for example using brew install coreutils.

The installation includes some demo and tutorial files, in the OJ/demo and

OJ/tutorial folders. The tutorial files are meant to be used with the on-line tutorial at https://www.openjml.org/tutorial.

You can give OpenJML a quick trial by running the command

```
OJ/openjml --esc OJ/tutorial/T_ensures2.java
```

This command should give some error messages identifying some specification errors in the T_ensures2.java file.

2.2 Organization of the installation

The installation contains the following, all within the installation folder (*OJ*):

- The executable openjml, which executes the OpenJML tool itself. It is a replacement for javac.
- The executable openjml-java, which is a replacement for java: it executes compiled Java programs with runtime-assertion-checks and includes the runtime libraries necessary to do so.
- A Solvers-... folder containing executables for various SMT solvers used by OpenJML.
- The library jmlruntime. jar, which must be included with a RAC-compiled program when run with a conventional java.
- The specs folder, which contains specifications for Java library (JDK) classes.
- The executable mac-setup, which turns off MacOS warnings about unknown executables, if necessary.
- The folder tutorial, which contains the files used in the JML/OpenJML tutorial (https://www.openjml.org/tutorial).
- The folder demos, which contains other demo files.
- Copies of this *OpenJML Users' Guide* and the *JML Reference Manual v2* current at the time of the build release.
- The openiml.properties-template file. (cf. §2.3)
- The version-info.txt file, which contains the version number and the github commit hashes of the version of the OpenJML sources that make up

this release.

• The jdk folder. which contains the actual build.

2.3 Local customization

OpenJML can be customized to your local environment as described in §4.3. Local properties are specified in a openjml.properties file, stored in the same directory as openjml or in the user's home directory.

The openjml.properties file can be used to indicate default command-line arguments and other local properties used by the tool. The installation includes the file openjml.properties—template, which can be copied and customized to create openjml.properties.

SMT solvers are needed if you intend to use the static checking capability of OpenJML (cf. §7). Recommended solvers are included in the installation package and are used by default. If you wish to use an alternate SMT solver, the location of the solver can be specified on the command-line or, more easily, in the openjml.properties file. For example, if the Z3 4.3 solver is located in your system at absolute location *path*, then include the following line in the openjml.properties file:

```
org.openjml.prover.z3_4_3=<path>
```

The details of the openiml.properties file are described in §4.3.

Chapter 3

The OpenJML Command-line Tool

3.1 Command-line structure

OpenJML is a conventional command-line tool. In fact it acts much like the Java compiler (javac), but with additional command-line options and capabilities: the command-line consists of space-separated arguments, each of which is a file-system path or an option or an option followed by the option's value.

The options are all listed in Tables 5.1 and 5.2; the tables have links to where the options are described in relevant sections throughout this document. The general form of options and their values is described in §5.1. In short

- options begin with one or two hyphens. javac options ()nearly) all lead with a single hyphen; OpenJML options typically begin with two hyphens.
- options may be boolean-valued or have a (string) value
- later options in the command-line override earlier ones of the same name
- options and file paths may be freely intermixed on the command-line

3.2 Files and Folders

Besides options, the Java compiler allows only files to be listed on the command-line. OpenJML allows listing folders as well, using the --dir and --dirs options (cf. §5.4). A folder on the command-line is replaced by all the .java files within that folder and its subfolders, recursively.

As described later in §4.1, JML specifications for Java programs can be placed either in the .java files themselves or in auxiliary .jml files. The format of .jml files is defined by JML. OpenJML type-checks .jml files along with the corresponding .java files or .class files, as described in §4.2.

3.3 Output

OpenJML sends (nearly) all of its output to Java's System.out. That output consists of error messages, verification failure messages, warnings, and informational output, such as progress indications. No output generally means success, though it can mean a lengthy operation. (The core javac emits some error messages to System.err.)

In addition, when operating as a compiler (e.g., for runtime assertion checking), OpenJML produces class files in the same manner as javac would, placing them either in the same folders as their corresponding . java files or in folders underneath the location indicated by the -d option.

3.4 Exit values

A command-line tool running in a shell interpreter is expected to emit an integer exit code on completion, indicating success or various kinds of failure. OpenJML emits one of these values on exit:

- 0 (EXIT_OK): successful operation, no errors, there may be warnings
- 1 (EXIT_ERROR): normal operation, but with parsing or type-checking errors
- 2 (EXIT_CMDERR): an error in the formulation of the command-line, such as invalid options
- 3 (EXIT_SYSERR): a system error, such as out of memory

- 4 (EXIT_ABNORMAL): a fatal error, such as a program crash or internal inconsistency, caused by an internal bug
- 5 (EXIT_CANCELLED): indicates exit because of user initiated cancellation
- 6 (EXIT_VERIFY): indicates exit because of verification failures

The symbolic names listed above are programmatically defined in org.jmlspecs.openjml.Main and used when executing OpenJML programmatically (cf. §10).

The JML option --verify-exit allows the user to set an alternate value for the exit code in the case of verification failures, such as 1 to count them the same as errors, or 0 to count them the same as warnings).

The Java option -Werror indicates to treat all warnings as errors. The Java option -nowarn suppresses warnings.

To elaborate the alternatives:

	exit code	exit code	
	without -Werror	with -Werror	
Java or JML errors	1, 2, 3, or 4	1, 2, 3, or 4	
Java or JML warnings only	0	1	
verification failures,			
with or w/o warnings	6	6	
noverify-exit			
verification failures			
and no warnings and	value ofverify-exit	value ofverify-exit	
verify-exit not 0			
verification failures and			
with warnings or	value ofverify-exit	1	
verify-exit=0			

Table 3.1: Exit codes

3.5 Other aspects of the environment

§5.1 describes using command-line options and Java properties to control the OpenJML tool. There are a few other aspects of the environment that do not typically affect users but are listed here for completeness.

Environment variables recognized by OpenJML Besides the environment variables that are interpreted as option settings, OpenJML recognizes these environment variables. Note that they can be enabled just by setting them to an empty string, for example by ERROR= openjml None of these are ordinarily useful to users. The items labeled 'Debugging' may well change in the future.

- NOJML When set to a non-null value, the openjml executable is run in
 -java mode, that is, as just the native OpenJDK compiler. (This is needed
 as part of the OpenJDK compiler-build bootstrap process.)
- OPENJML_ROOT Used to tell OpenJML where to find the solver executables and specification files. This is set automatically for standard installations and development environments.
- **ERROR** Debugging: when set to a non-null value, a stack trace is printed along with each error message
- VERBOSE equivalent to turning on jmlverbose and —verbose
- OJ Debugging: used to selectively enable various debugging output
- **STACK** Debugging: enables printing stack trances for selected caught exceptions, particularly unexpected exceptions indicating internal bugs
- Environment variables whose names begin with **OPENJML**_ are reserved to indicate values of command-line options

Names hard-coded in JML

- . jml suffix for JML files
- org.jmlspecs.annotation Java package name for JML annotations
- org.jmlspecs.lang package name for various important JML entities (analogous to java.lang)
- org.jmlspecs... general package prefix reserved for JML

Names hard-coded in OpenJML

• openjml - OpenJML executable in the installation folder

- openjml-java Executable that combines java and the JML specification libraries, in the installation folder
- jmlruntime.jar The runtime-library needed when RAC-compiled programs are run using java (rather than openjml-java).
- openjml.properties name of properties files for OpenJML
- org.openjml... reserved prefix of property names used by
- OPENJML, ESC, RAC, DEBUG, KEY, KeY prefined conditional compilation keys (§4.5)

Furthermore, the OpenJML tool expects auxiliary material that it needs for proper operation to be in locations unchanged from those in the installation .zip file.

Chapter 4

OpenJML Concepts

4.1 Specifications in .java and .jml files

JML allows specifications for Java methods and classes to be placed either directly in the .java source file or in an auxiliary .jml file. The latter is required if there is no source file, such as for a compiled library, or if the source file may not be modified, such as for a highly controlled project.

The format of a .jml file is very much like the corresponding .java file, with the largest difference being that the Java implementations of methods are omitted in the .jml file. Other differences are described in the JML Reference Manual.

If . jml files are used, the question then is where are they located and how does a tool find them. That process is described in the following section ($\S4.2$).

4.2 Finding files and classes: class, source, and specs paths

A key concept to understand is how class files, source files, and specification files are found and used by the OpenJML tool. Java uses a *classpath* and a *sourcepath* to locate compiled and source files that are not explicitly listed on the command-line; these are designated by the -classpath (or -cp or --class-path) and -sourcepath (or --source-path) (Java) options. OpenJML adds a *specspath*

to find specification files, which is designated by the --specs-path OpenJML option.

The files and folders listed on the command-line must be given as absolute paths or paths relative to the current working directory. But these files may (most assuredly will) contain references to other classses. The *classpath* and *sourcepath* are used to resolve these references to classes as compiled .class or source .java files..

Each of these paths is a sequence of file system paths identifying folders or jar files. When a Java tool is looking for compiled class files it will look in each of these folders on the *classpath* in turn; similarly source code files are looked for in the *sourcepath*. If a Java class has both a compiled and an older source version available, the **-Xprefer** option determines which is used.

Recall that the folders on the class and source paths represent the root of the package for that class. That is, a class p. AA (in package p) must have a class file at X/p/AA. class with X on the classpath or a source file Y/p/AA. java with Y on the sourcepath. The classpath may also contain jar files that contain the files being sought.

The OpenJML tool also needs to find specification files. These can be either .java or .jml files; if it is a .jml file, it will have the same file name (with a .jml extension) and package as the Java class. Whenever a class, either source or compiled, is read into OpenJML, the tool will look for a corresponding specification file on the *specspath*, which is set by the --specs-path option. First, the full specspath is searched for the corresponding .jml file; if it is not found, then the specspath is searched again for a corresponding .java file. If still not found and the class was read from a source file on the command-line, then a .jml file is looked for in the same folder as the .java file; if that is not found then the .java file from the command-line is used. If no source or specification file is found (that is, there is only a .class file), then a default set of specifications is used, as defined by JML.

Most often, the user need not set all of these paths because there are convenient defaults:

- classpath: The OpenJML classpath is set using one of these alternatives, in priority order, with the system library always being added as well:
 - the argument to the OpenJML command-line option -classpath
 - the value of the system environment variable CLASSPATH

 the default, which is the current working directory (plus the system library)

At run time only the classpath needs to be set; it is set to the package root of the compiled files using either the environment variable CLASSPATH, the command-line option -Djava.class.path=... or the command-line option -cp

- sourcepath: The OpenJML sourcepath is set using one of these alternatives, in priority order:
 - the argument of the OpenJML command-line option -sourcepath
 - the value of the OpenJML classpath (as determined above), without the system libraries (which are all .class files)
- specspath: The OpenJML specifications path is set using one of these alternatives, in priority order, with the built-in location of the system library specifications always appended:
 - the argument of the OpenJML command-line option --specs-path
 - the value of the Java property org.openjml.option.specs-path (cf. the discussion concerning properties in §4.3)
 - the value of the OpenJML sourcepath (as determined above)

Note that with no command-line options or Java properties set, the result is simply that the system CLASSPATH (and absent that, the current working directory) is used for all of these paths. A common practice is to simply use a single directory path, specified using the system CLASSPATH or on the command-line using -cp, for all three paths.

Despite any settings of these paths, the Java system libraries are always effectively included in the classpath; similarly, the JML library specifications that are part of the OpenJML installation are automatically appended to the specifications path. Placing an alternate set of specification files on the specspath effectively shadows any built-in system library specifications.

OpenJML will warn about folders on the specspath that do not exist. The warning can be suppressed with the option --no-check-specs-path.

A common working style has specifications written directly in .java files and not using separate .jml files. In this case the user should be sure that the specspath includes the sourcepath (which it does by default). Otherwise, OpenJML will not find the .java file when looking for specifications and will then use default specs, confusingly ignoring any specifications in the .java file.

There are a number of common scenarios:

- Java source file on the command-line with a corresponding JML file on the specifications path: the JML file is used as the specification of the Java class, with any JML content in the Java source file completely ignored.
- Java source file on the command-line with no corresponding JML file on the specifications path: the Java source file is used as its own JML specification; if it contains no JML content, then default specifications are used.
- Java class file on the classpath or in the Java system library (referred to by
 files on the command-line) and a corresponding JML file on the specifications path: the JML file is used as the specifications for the class file. Any
 corresponding source file on the sourcepath or command-line is ignored.
- Java class file on the classpath or in the Java system library (referred to by files on the command-line), no corresponding Java source file on the sourcepath or command-line, and no corresponding JML file on the specifications path: the class file is used with default specifications.

There are two complicated scenarios:

- a source file on the command-line is not on the sourcepath and there is an additional, different source file for the same class on the sourcepath
- two instances of a source file for the same class are on the sourcepath, with the one later in the sourcepath appearing on the command-line

In these two scenarios, one .java file is used as the source code and another as specification. If the two files define different methods or contain different specification text, OpenJML will likely issue error messages that may be confusing until the user figures out that there are two distinct files. This situation is likely an error and should be avoided.

4.3 OpenJML Options, Java properties and the openjml.properties file

The OpenJML tool is controlled by a variety of options, just as many other tools are. The general rules about options are presented in §5.1 and the implemented options are described in detail throughout this document; here we describe how

the options can be set using properties rather than on the command-line.

OpenJML options interact with Java properties. Java properties can be used to set OpenJML options without needing to state them on the command-line each time. Java properties are typical key-value pairs of two strings. Values for boolean options can be stated using the strings true and false. A typical use of properties in OpenJML is to record characteristics of the local environment that vary among different users or different installations. But they can also be used to set initial values of options, so those options do not need to be repeatedly set on the command-line.

OpenJML loads properties from specified files placed in several locations. It loads the properties it finds in each of these, in order, so later definitions supplant earlier ones.

- A openiml.properties file in the OpenJML installation directory, if any
- A openjml.properties file in the user's home directory (the value of the Java property user.home), if any
- A openjml.properties file in the current working directory (the value of the Java property user.dir), if any
- Properties defined by environment variables, as described below, if any.

Then the value of any property whose name has the form

org.openjml.option.option is used to set the value of the option (leaving off the initial 1 or 2 hyphens). And then, finally, the options given on the command-line override any previously given values. These means of using properties apply only to OpenJML options, not to OpenJDK options. Note also that some command-line options are aliases for others. For example, --esc is an alias for --command=esc. In this case the alias (e.g., --esc) may not be set by a property; only the true option, in this case --command.

The format of a .properties file is defined by Java. These are simplified statements of the rules:

- Lines that are all white space or whose first non-whitespace character is a # or ! are comment lines
- Non-comment lines have the form *key=value* or *key:value*
- Whitespace is allowed before the key and between the key and the = or : character and between the = or : character and the value. Such whites-

https://docs.oracle.com/javase/8/docs/api/java/util/Properties.html#load(java.io.Reader)

- pace is ignored.
- The value begins with the first non-whitespace character after the = or : character and ends with the line termination. This means that the value may include both embedded and trailing white space. (The presence of trailing white space in key-value pairs can be a difficult-to-spot bug.)

The properties that are currently recognized are these:

- org.openjml.defaultProver the value is the name of the prover (cf. §4.4) to use by default
- org.openjml.prover.name, where name is the name of a prover, and the value is the file system path to the executable to be invoked for that prover (cf. §4.4)
- org.openjml.option.option, where option is the name of an OpenJML option (without any leading hyphens)

The format of a shell environment variable is (unfortunately) slightly different, because such variables may not contain periods or hyphens. So to set an option named —opt to a value val, define the environment variable OPENJML_opt=val, where any hyphens in *opt* are replaced by underscores.

For example, if you are tired of always writing --esc when invoking openjml, you can change the default for the --command option, which is usually check, to esc by one of these:

- OPENJML_command=esc openjml tutorial/T_ensures2.java temporary change just for this line
- export OPENJML_command=esc; openjml tutorial/T_ensures2.java change applies to the remainder of the shell
- put org.openjml.option.command=esc in a openjml.properties file in your home directory (or the current working directory, or the installation directory) change applies until the line is removed from the openjml.properties file.

The OpenJML distribution includes a file that contains stubs for all the recognized options: openjml-template.properties. You may copy that file, rename it as openjml.properties, and edit it to reflect your system and personal configuration, and put it in one of the designated locations. (If you are an OpenJML developer, take care not to commit your local openjml.properties file into the OpenJML shared GitHub repository.)

4.4 SMT provers

The static checking capability of OpenJML uses SMT solvers to discharge proof obligations stemming from the specifications and implementation of a program. The SMT solvers are not part of OpenJML itself. However, a selection of solvers is shipped with an OpenJML release and one of these is used by default.

If you want to use a different solver, you need to set these properties:

- org.openjml.defaultProver to give the name of a prover (e.g., z3-4.3)
- org.openjml.prover.name, where name is the name of a prover, and the value is the file system path to the executable to be invoked for that prover

```
(e.g., org.openjml.prover.z3-4.3=...)
```

Different solvers have different properties. They support different SMT logics; for example, some do not support quantifiers, others may not support real arithmetic. They certainly also have different runtime and memory performance and different success rates at finding answers to proof obligations.

Currently, OpenJML works best with Z3 v4.3.1, which is shipped with Open-JML, and is the default solver.

4.5 Conditional JML annotations (--keys option)

JML defines a mechanism for controlling which JML annotations are used by tools (see the JML Reference Manual for more detail):

Syntactically, a JML annotation comment can be enabled or disabled by positive or negative keys, as in //+key@ and //-key@, where key is a Java identifier. See the JML Reference Manual for details.

This conditional annotation relies on the *key* being defined or not. OpenJML defines keys using the --keys option. The value of this option is a comma-separated list of identifiers, each of which is then a defined key. Like other options, a property (org.openjml.option.keys) can be defined to avoid adding options to the command-line.

In OpenJML,

the key OPENJML is enabled by default in the OpenJML tool

- the keys ESC and RAC are enabled when the respective OpenJML tools are being executed
- the key STRICT is defined when the language choice (--lang is jml and is not defied for other choices of language
- the key DEBUG is reserved but is disabled by default
- the key KEY is reserved for the use of the KeY ([22]) tool and is disabled by default in OpenJML
- · all other keys are disabled by default in OpenJML

Keys are case-sensitive. However reusing differently-cased versions of keys for different purposes is discouraged, including differently cased versions of the above. For example, the identifier Key should be considered a reserved key distinct from KEY.

Two simple uses are these:

```
//+OPENJML@ requires x;
//-RAC@ ensures y;
```

The first line, with the + sign, is ignored in all situations except when OPENJML is defined as a key. The second line, with the - sign, is always enabled except when RAC is defined as a key. This second use case is quite commonly used to exclude from runtime-checking JML features that have a lengthy runtime or are non-executable.

4.6 Annotations and the runtime library

JML optionally uses Java annotations as introduced in Java 1.6 as an alternate way to specify modifiers. For example, a method can be declared pure either with the /*@ pure */ JML modifier or the @Pure Java annotation. JML-defined annotation classes are in the package org.jmlspecs.annotation. In order for files using these annotations to be processed by Java, the annotation classes must be on the classpath (just like any other annotation classes).

²There are many annotations defined that are not used or not implemented. For example, a @Requires annotation was introduced as an experiment in writing pre-conditions with annotations, but not subsequently adopted into JML.

They may also be required when a compiled Java program that uses such annotations is executed. In addition, running a program that has JML runtime assertion checks compiled in will require the presence of runtime classes that define utility functions used by the assertion checking code.

Both the annotation classes and the runtime checking classes are provided in a library named <code>jmlruntime.jar</code>. The distribution of OpenJML contains this library.

When the openjml and openjml-java executables are used to compile and run a Java program, both the annotations and the runtime utilities are automatically available, as they are built-in to those tools. It is possible to supplant the OpenJML-supplied versions of these classes by putting an alternative on the classpath.

If instead the conventional java tool is used to run a RAC-compiled executable, then the jmlruntime.jar library must be added to the classpath. An alternate library that provides at least the same capabilities may be used instead.

4.7 Defaults for binary classes

If there is no source file and no specification file for a class, then JML-defined default specifications are used. These are discussed in the JML Reference Manual (https://www.openjml.org/documentation/JML Reference Manual.pdf).

In addition, in some situations Java automatically generates methods and classes, such as default constructors and methods for user-defined <code>enum</code> and <code>record</code> classes. JML defines default specifications for these cases also.

4.8 Redundancy in JML and OpenJML

JML has a few features that explicitly allow redundancy. Many keywords, such as <code>ensures</code>, have an alternate version, <code>ensures_redundantly</code>. The goal is to be able to state an equivalent assertion but in an alternate form that may be more understandable or provable. Similarly, the <code>implies_that</code> and <code>for_example</code> specification cases are not intended to state new behavior specifications, but rather to state implications or examples of behavior already given.

Although the semantics of these redundant specifications is that they be provable from other specifications, OpenJML currently

- treats the redundant keywords precisely like their non-redundant counterparts and
- ignores the implies_that and for_example specification cases.

4.9 Nullness and non-nullness of references

4.9.1 Background on non-null annotations and types

Whether or not references (or pointers) are null is a key source of programming faults in many programming languages. And an Optional type just hides the question in a different construct. So much so that newer languages (e.g., Dafny, Kotlin) are building in the concept of non-null types. Java itself has no provision for non-null types, but various tools (e.g., Checker framework) have implemented Java annotations (@NonNull, @Nullable) to impose a statically-checkable, non-null type framework on top of Java.

JML has had from the beginning (before Java annotations were added to the Java language) the non_null and nullable modifiers that indicated which variable, field, formal parameter, and method return values were or were not allowed to be null; tools supporting JML have always implemented verification checks of these restrictions.

A further development is the introduction of *type annotations* in Java. Now not only declarations, but all uses of a type name can be annotated — types used in declarations, in casts, in type parameters—anywhere a reference type name is permitted. Combined with the <code>@NonNull</code> and <code>@Nullable</code> annotations now being defined as type annotations, JML has a true non-null subtype for each reference type. There is one difficulty in that there are multiple packages that define these annotations: JML has them in org.jmlspecs.annotation, the Checker framework has them in org.checkerframework.checker.nullness.qual, the javax additions in <code>javax.annotation.Nonnull</code>. In fact, the Checker framework documents a long list of such annotations:

(https://checkerframework.org/manual/#nullness-related-work)

For now, OpenJML recognizes the JML annotations and has a future goal of recognizing the more important of other annotations.

The formal details of annotations, including type annotations, are in the Java Language Specification:

https://docs.oracle.com/javase/specs/jls/se17/html/jls-9.html#jls-9.7.4 A more understandable discussion is found in JSR-308: https://checkerframework.org/jsr308/specification/java-annotation-design.html

4.9.2 IML features for nullness

JML adopted the semantics that *reference types are non-null by default*, even though that is not the Java default. To remain sound, the default return values of unspecified methods are still possibly-null; but the JML default for user-written code and specifications is that references are non-null. This policy helps identify null reference problems early and requires explicit specification that a reference may be null.

Nullness defaults are determined as follows:

fault.

• A class may be marked with one of the modifiers

 ${\tt non_null_by_default} \ \ {\tt or} \ {\tt nullable_by_default}$ or the annotations

@NonNullByDefault or @NullableByDefault to indicate whether type names in the class are non-null or nullable by de-

- A class not so marked takes its default from the modifiers or annotations on the innermost enclosing class that has such a modifier or annotation.
- In the absence of a marked enclosing class, the default is taken from the command-line options (or properties) — either the option --nonnull-bydefault or --nullable-by-default
- And in the absence of any command-line option, the default is the JML default: non-null by default.

Then, within a class, any use of a type name is non-null or nullable according to the default for that class, unless the type name is explicitly marked with one of the modifiers non_null or nullable or the annotations @NonNull or @Nullable.

In JML the use of non_null and @NonNull are equivalent, as are nullable and @Nullable.

The default rule for binaries is slightly different, as described in §4.9.5.

In the future, nullness inference may be implemented, reducing the need for annotations on local variables and type parameters.

4.9.3 Nullness annotations for array declarations

Type annotations in Java are a bit complicated and less intuitive for array declarations, and somewhat backwards incompatible. Previously

```
/*@ non_null*/ String[] x;
```

meant that x was non-null and said nothing about the values in the array of Strings that x is a reference to.

With Java's type annotations, presuming for these examples that the default is *nullable*,

```
@NonNull String[] x;
```

means that x is a reference to a possibly-null array of non-null Strings.

```
String @NonNull [] x;
```

means that \mathbf{x} is a reference to a non-null array of possibly-null String values. And

```
@NonNull String @NonNull [] x;
```

means \mathbf{x} is a non-null reference to an array of non-null String values. For multi-dimensional arrays: In

```
String @NonNull [] [] x;
```

x is a non-null array of possibly-null arrays of possibly-null Strings. In

```
String [] @NonNull [] x;
```

x is a possibly-null array of non-null arrays of possibly-null Strings. In

x is a possibly-null array of possibly-null arrays of non-null Strings.

In the examples above, if the default is non-null rather than nullable, then all the levels of the type declaration are non-null except where explicitly annotated as @Nullable.

For uninitialized declarations of array type, the elements of the initial value are all null, so the default type annotation for the elements is that they are nullable.

The implementation of nullness as type annotations is quite new, so it is still under experimentation, and some aspects may change in the future.

4.9.4 Nullness annotations for qualified class names

The syntax <code>@Nullable Object x means</code> that x may hold either null or an <code>Object</code>. But if one wants to use a fully-qualified class name, one must write <code>java.lang.@Nullable Object x because</code> it is the type name <code>Object that</code> is annotated with <code>@Nullable</code>, not the package name <code>java</code>. Similarly the annotation on a nested class name is written <code>@codeMyClass.@Nullable Inner</code>.

4.9.5 Nullness for binary classes

For binary (.class) files with no source code and no explicit specifications, a default set of specifications are presumed. These are by necessity (for soundness) presumed to be very conservative and slightly different than the defaults when source code is present. In this case,

- · arguments to a method are presumed to be non-null
- return values are presumed to be possibly-null
- any available fields are presumed to be possibly-null

If these are too conservative, it is a simple matter to supply a .jml file that expresses the method's behavior more accurately. For soundness sake, however, the specification should still be conservatively underspecified where the behavior is not precisely known.

4.10 Purity

JMLv2 introduced four different kinds of method purity and changed the proof requirements and restrictions needed to use methods with these various purity markings in specifications. See the discussion in the language manual for more detail.

4.11 Arithmetic modes

4.11.1 Integer arithmetic

JML defines three arithmetic modes for integer arithmetic: java, safe, and bigint.

- In *java* math mode, all integer computations (negation, addition, subtraction, multiplication, division, modulo, casting, shifting) are performed precisely as in Java, in 2's complement fixed-bit-width arithmetic (either 32 or 64 bits). No warnings are given for overflow.
- In safe math mode, all operations still produce the same result as in Java, but OpenJML will issue a verification error if it cannot prove that overflows or underflows or shifts with a right-hand operand out of range do not occur. This is the JML default for analyzing Java code.
- In *bigint* math mode, all operations are performed using unbounded mathematical integers. This is the default for arithmetic in specifications.

The arithemtic mode for interpretation of Java code is set as a command-line option: either --code-math=java or --code-math=safe. OpenJML does not implement --code-math=bigint for Java code. The math mode for Java code can also be set using the modifiers <code>code_java_math</code> and <code>code_safe_math</code> on specific methods (overriding the global setting) or on a class or interface, applying to all methods in that class or interface (or in syntactically nested classes or interfaces).

The math mode for interpretation of JML specifications is set as a command-line option: either --spec-math=java or --spec-math=safe or --spec-math=bigint. The math mode for JML specifications can also be set using the modifiers spec_java_math, spec_safe_math and spec_bigint_math on specific methods (overriding the global setting) or on a class or interface, applying to all methods in that class or interface (or in syntactically nested classes or interfaces).

The checks performed for arithmetic overflow are *soft assertion* checks. That is, a warning is given (if in safe mode) that an overflow might happen, but the result of the operation is the same in any case and no assumption about the future program state is assumed. (cf. the discussion of hard and soft assertions in §9.3.1). For example, in

```
//@ requires i >= 0 && j >= 0;
void m(int i, int j) {
   int k = i + j;
   //@ assert i + j <= Integer.MAX_VALUE;
}</pre>
```

verification errors will be issued for both line 3, where an overflow might happen in Java code, and line 4, because no constraints have been put on i and j by the previous failure on line 4; there is no overflow in the assertion because bigint

mode is used in the specification, but the comparison in the assertion might fail.

Arithmetic checks can be made hard with the command-line option --arithmetic-failure=hard. With this option enabled, the failure on line 3 adds the assumption that the overflow did not happen, that is, that $i + j \le \text{Integer.MAX_VALUE}$, and then the assert statement on line 4 passes.

4.11.2 Floating point arithmetic

Not yet implemented. It is expected that there will be alternate modes for floating-point arithmetic as well — performing all computations in precise IEEE floating point or using real arithmetic.

4.12 Integers and bit-vectors (--esc-bv option)

In Java (and other programming languages), integer values are sometimes used not as numbers but as sequences of bits. Perhaps each bit denotes some on or off value, with all of the bits packed into a single long or int or short or byte value. SMT solvers can reason both about numbers and about bit-vectors, but with some important caveats.

- A particular value is either a bit-vector or a number and cannot be converted from one to the other.
- Bit-vectors support all the arithmetic operations that numbers do, but numbers do not support bit-wise and, or, exclusive-or or shift operations.
 In some limited cases these operations can be emulated on numbers; for example, shifting by a literal integer amount can be replaced by multiplication or division.
- Proofs involving bit-vectors typically take much longer than on numbers.

Because of this last point, OpenJML encodes a method for SMT using numbers whenever possible and uses bit-vectors only when necessary because of the choice of operations.

The **--esc-bv** command-line option controls the choice of using bit-vectors or not. Its values are

• --esc-bv=true to force using bit-vectors,

- --esc-bv=false to forbid it, and
- --esc-bv=auto (the default) to allow OpenJML to make the determination as described above.

An individual method or class can be translate in a given mode by adding this annotation on the method or class (using true or false or auto as the argument): @org.jmlspecs.annotation.Options("--esc-bv=true").

Current OpenJML translates all integer values in a method as bit-vectors or all as numbers. This is overly constrained. SMT allows some quantities to be represented one way and some the other. Implementing such a mix is planned but not yet completed.

4.13 Specification inference

Precise specifications can be verbose and writing them can be time-consuming. It would be a productivity enhancement if straightforward specifications could be inferred automatically. There is a danger: specifications inferred from source code will likely have the same errors as the source code, and thus should be carefully reviewed.

JML itself does not define any inference. As a language it just defines the meaning of specifications and is mute on the question of the origin of those specifications. That is, it does not define any situations where specifications may be omitted because they will be accurately inferred. It only defines conservative defaults for missing specifications. It is up to tools like OpenJML to improve usability by inferring specifications where possible and appropriate.

This is a substantial topic and is the subject of §12.1.

Chapter 5

OpenJML Options

There are many options that control or modify the behavior of OpenJML. Some of these are inherited from the OpenJDK compiler on which OpenJML is based. The general behavior of options and properties is described in §4.3. All of the options are listed alphabetically in Tables 5.1 and 5.2. The options are then described in following subsections in functionally similar groupings or in other chapters relevant to their functionality.

Note that OpenJDK is migrating its options to generally use long-form names starting with two hyphens (--) and using lower-case, hyphen-separated words (dash-case). OpenJML traditionally used single-hyphen option names to match <code>javac</code>, with no single-letter abbreviations. OpenJML has now added and prefers the two-hyphen, dash-case spelling of its options, with the old spellings still supported as aliases.

Java (OpenJDK) options that are not relevant to OpenJML are only listed for completeness but not discussed here. See Java's documentation for more information on those [21].

For convenience these tables are replicated in the Appendix (Tables A.1 and A.2).

Options inherited from OpenJDK				
See the Java documentation for more detail				
@ <filename></filename>	read options from a file. This is implemented only for Java op-			
A1	tions, not OpenJML options			
-Akey	options to pass to annotation processors			
add-modules <modulelist></modulelist>	[§5.10] see Java documentation re modules			
-bootclasspath <path></path>	See Java documentation			
boot-class-path <path></path>				
-cp <path></path>				
-classpath < <i>path</i> >	[§4.2] location of input class files			
classpath <path></path>				
-d <directory></directory>	location of output class files			
-deprecation	warn about use of deprecated features			
enable-preview	enables preview language features			
-encoding <encoding></encoding>	character encoding used by source files			
-endorseddirs <i><dirs></dirs></i>	see Java documentation			
-extdirs <i><dirs></dirs></i>	see Java documentation			
-g	generate debugging information			
-h <i><directory></directory></i>	location of generated header files			
-?				
-help	[§5.5] output (Java and JML) help information			
help				
help-extra	[§5.5] help about extra options			
-implicit	whether or not to generate class files for implicitly referenced			
	classes			
-J <flag></flag>	flags for the runtime system			
limit-modules <modulelist></modulelist>	[§5.10] see Java documentation re modules			
-m <modulelist></modulelist>	[§5.10] see Java documentation re modules			
module <i><modulelist></modulelist></i>				
module-path <path></path>	[§5.10] see Java documentation re modules			
module-source-path <path></path>	[§5.10] see Java documentation re modules			
module-version < <i>version</i> >	[§5.10] see Java documentation re modules			
-nowarn	[§5.5] show only errors, no warnings			
-p < <i>path></i>	[§5.10] likemodule-path see Java documentation re modules			
-parameters	see Java documentation			
-proc	see Java documentation re annotation processing			
-processor <i><classes></classes></i>	see Java documentation re annotation processing			
processor-module-path <i><path></path></i>	see Java documentation re annotation processing			
-processorpath <i><path></path></i>	where to find annotation processors			
processor-path < <i>path</i> >	see Java documentation re annotation processing			
-profile	see Java documentation			
release < <i>release</i> >	target release for compilation			
-s <directory></directory>	location of output source files			
,	•			
-source <i><release></release></i>	the Java version of source files			

Options inherited from OpenJDK (cont.)			
See the Java documentation for more detail			
source <i><release></release></i>			
-sourcepath <path></path>	[§4.2] location of source files		
source-path <path></path>			
system <i><jdk></jdk></i>	see Java documentation		
-target <release></release>	the Java version of the output class files		
target <release></release>			
upgrade-module-path <path></path>	[§5.10] see Java documentation re modules		
-verbose	[§5.5] verbose output for Java compiler only, not OpenJML		
-version	[§5.5] output (OpenJML) version		
version			
-X	[§5.5]Java non-standard extensions		
-Werror	[§3.4]treat warnings as errors		

Table 5.1: OpenJML options inherited from Java. See the text for more detail on each option.

	Options specific to JML		
Options indicated with [-]- <name> may be spelled</name>			
with either one or two hyphens, with two preferred			
arithmetic-failure <mode></mode>	[§4.11.1] sets the mode for arithmetic checks: hard, soft (the		
	default) or quiet		
[-]-check	[§5.3] typecheck only (command=check)		
check-accessible	[§7.3.4.1] whether to check accessible clauses (default: true)		
-checkAccessible			
[-]-check-feasibility < list>	[§7.2] kinds of feasibility to check		
-checkFeasibility < list>	(default: none)		
[-]-check-specs-path	[§4.2] warn about non-existent specs path entries		
-checkSpecsPath	(default: on)		
[-]-code-math < mode>	[§4.11] arithmetic mode for Java code (default: safe)		
[-]-command <action></action>	[§5.3] which action to do: check esc rac compile, default		
	is check		
[-]-compile	[§5.3] typecheck JML but compile just the Java code		
	(command=compile)		
[-]-counterexample	[§7.3.6] show a counterexample for failed static checks		
-ce	(default: off)		
[-]-defaults < list>	enables various default behaviors - in development		
[-]-determinism	Experimental:		
dir <i><dir></dir></i>	[§5.4] argument is a folder or file; enables processing all .java		
	files in a folder		
dirs	[§5.4] subsequent arguments are folders or files (until an argu-		
	ment is an option)		

Options specific to JML (cont.)				
	dicated with [-]- <name> may be spelled</name>			
with either one or two hyphens, with two preferred				
[-]-esc [§5.3] do static checking (command=esc)				
esc-bv	[§4.12] whether to use bit-vector arithmetic (default: auto)			
-escBV	[]			
esc-max-warnings <n></n>	[§7.1.1§7.3.5] max number of verification errors to report			
-escMaxWarnings <n></n>	(default: unlimited)			
-esc-warnings-path	[§7.1.1 §7.3.5] whether to find all failure paths to a failing as-			
	sertion			
	(default: off)			
[-]-exec <i><file></file></i>	[§7.3.2] file path to prover executable (default: installed exe-			
	cutable)			
[-]-exclude <patterns></patterns>	[§7.3.3] paths to exclude from verification (default: no exclu-			
	sions)			
[-]-extensions <i><classes></classes></i>	[§11] comma-separated list of extensions classes and packages			
	(default: no additional extensions)			
[-]-inline-function-literal	Experimental			
-java	[§5.2] use the native OpenJDK tool, with no JML features (de-			
	fault: off)			
-jml	[§5.2] process JML constructs (default: on)			
-jmldebug	[§5.5] very verbose output (includes -progress)			
	(verboseness=4)			
-jmltesting	[§5.5] changes some behavior for testing (default: false)			
[-]-jmlverbose	[§5.5] JML-specific verbose output (verboseness=3)			
[-]-keys	[§4.5] define keys for optional annotations (default: no keys)			
[-]-lang <i><language></language></i>	[§9] the JML variant to use (openjml (the default), jml)			
[-]-logic <name></name>	[§7.3.2] name of SMT logic to use (default: ALL)			
[-]-method <i><patterns></patterns></i>	[§7.3.3] methods to include in verification (default: all meth-			
	ods)			
[-]-new-is-pure	when enabled (default off) allows allocations using pure con-			
	structors to be used in specifications			
nonnull-by-default	[§5.4] values are not null by default			
-nonnullByDefault	(default: on)			
[-]-normal	[§5.5] only outputs errors; no other progress information			
	(verboseness=1)			
nullable-by-default	[§5.4] values may be null by default			
-nullableByDefault	(default: off)			
[-]-os-name < <i>name</i> >	[§7.3.2] Operating System name to use in selecting prover (de-			
	fault: "" (auto), or one of macos, linux, windows)			
[-]-progress	[§5.5] outputs errors, warnings, progress and summary infor-			
	mation (verboseness=2)			
[-]-properties <i><file></file></i>	[§4.3] property file to read (value required)			
[-]-prover <name></name>	[§7.3.2] prover to use (default: z3-4.3)			
purity-check	[§6.3] check for purity in libraries (default: on)			

	Options specific to JML (cont.)			
	dicated with [-]- <name> may be spelled</name>			
with either one or two hyphens, with two preferred				
-purityCheck				
[-]-quiet	[§5.5] no informational output (verboseness=0)			
[-]-rac	[§5.3] compile runtime assertion checks (command=rac)			
rac-check-assumptions	[§8.3.1] enables (default on) checking assume statements			
-racCheckAssumptions	as if they were asserts			
rac-compile-to-java-assert	[§8.3.7] compile RAC checks using Java asserts (default: off)			
-racCompileToJavaAssert	[30.017] compile to to effects doing juva asserts (detaute on)			
rac-java-checks	[§8.3.2] enables (default on) performing JML checking			
-racJavaChecks	of violated Java features			
-rac-missing-model-field-rep-	In development			
source	in acrosopinent			
-racMissingModelFieldRepSource				
-rac-missing-model-field-rep-	In development			
binary	ucrocopinis			
-racMissingModelFieldRepBinary				
rac-precondition-entry	[§8.3.8] distinguishes pre-condition failures from external vs			
, , , , , , , , , , , , , , , , , , , ,	internal calls			
-racPreconditionEntry				
rac-show-source	[§8.3.6] includes source location in RAC assertion failure			
-racShowSource	messages (values: none, line, source (default))			
[-]-require-white-space	[§6.1] whether white space is required after an @ (default:			
	false)			
[-]-show	[§5.5] prints the details of source transformation (default:			
	false)			
show-not-executable	[§8.3.4] warn about features not executable, inrac operations			
-showNotExecutable	(default: off)			
show-not-implemented	[§5.4] warn about features not implemented			
-showNotImplemented	(default: off)			
show-skipped	[§7.3.3] show methods whose proofs are skipped (default: true)			
-skipped				
show-summary	shows a summary of numbers of methods and classes proved			
	in one run of OpenJML (requiresprogress)			
silent	[§5.5] turns off all (error, warning, informational) output ex-			
	cept the error code (verboseness=-1)			
smt filename	[§7.3.10] where to write generated SMT files (for off-line use			
	or inspection): default is no output			
[-]-solver-seed	[§7.3.10] seed to pass on to the SMT solver (default: 0 - no seed)			
[-]-spec-math < mode>	[§4.11] arithmetic mode for specifications (default: bigint)			
specs-path	[§4.2] location of specs files			
-specspath				
[-]-split	[§9.3.5.6] splits proof of method into sections (default: no split)			
stop-if-parse-errors	[§6.1] stop if there are any parse errors (default: off)			

Options specific to JML (cont.)			
Options indicated with [-]- <name> may be spelled</name>			
with either one or two hyphens, with two preferred			
-stopIfParseErrors	(don't do type checking or verification attempts)		
-staticInitWarning	Experimental		
[-]-subexpressions	[§7.3.6] show subexpression detail for failed static checks (de-		
	fault: false)		
[-]-timeout <i><seconds< i=""></seconds<></i>	[§7.3.10] timeout for individual prover attempts (default: no		
	limit)		
[-]-trace	[§7.3.6] show a trace for failed static checks (default: false)		
[-]-triggers	enable SMT triggers (default: true)		
-typeQuants	Experimental		
[-]-verboseness <n></n>	[§5.5] level of verboseness (0=quiet 4=jmldebug) (default: 1,		
	-normal)		
[-]-verify-exit <n></n>	[§7.3.10] exit code for verification errors (default: 6)		
[-]-warn < list>	[§5.8] comma-separated list of warning keys (default: no keys)		

Table 5.2: OpenJML options. See the text for more detail on each option.

5.1 General rules about options

- The command-line consists of the path to the executable followed by space-separated arguments. Arguments that contain spaces must be enclosed in quotes. The shell interpreter and the OS being run will dictate other properties of the command-line, such as when variables are substituted, when filename expansion is performed, and how file-system paths are written.
- The arguments themselves are either (relative or absolute) paths to files or are options and their values. Relative paths are relative with respect to the current working directory (as given by pwd, for example).
- Options begin with an initial hyphen character. It is now more common to have long option names begin with two hyphens and abbreviated names begin with one (as in --help and -h) and some javac options do have alternative double-hyphen version. OpenJML has also introduced two-hyphen option names, though most older one-hyphen names are still retained (though discouraged), as shown in Table 5.2.
- An option may by followed by a value (if it requires a value), which is then either the next argument in the command-line or combined with the option name by an = character.
- If an option appears more than once, then the values designated by later (to the right) appearances override earlier appearances; options that are not listed have default values. The options do not accumulate values.
- Default values can be set by properties and environment variables (cf. §4.3), otherwise a built-in value is used.
- Options may have boolean or string values, though string values may be constrained to a specific format, such as a numeral. Some option values are a string that is a comma-separated list of keywords.
- A string option is required to have a value, which is specified either by
 --xyz=value (preferably for JML options) or --xyz value (without an = con-

nector). Only some double-hyphen Java options (but all the OpenJML options) may use the = form. The form --xyz= resets the option to its built-in default. (This is the built-in default, before any settings from properties files, environment variables or the command-line.)

5.2 Options: Operational modes

These operational modes are mutually exclusive. If used, this option must be the very first option.

- -jml (default): use the OpenJML implementation to process the listed files, including embedded JML comments and any corresponding .jml files
- -no-jml: uses the OpenJML implementation to type-check and possibly compile the listed files, but ignores all JML annotations in those files
- -java: processes the command-line options and files using only OpenJDK functionality. No OpenJML functionality is invoked and no other OpenJML options are allowed.

5.3 Options: JML tools

The following mutually exclusive options determine which OpenJML tool is applied to the input files. They presume that the -jml mode is in effect.

- --command <tool>: initiates the given function; the value of <tool> may be one of check, esc, rac, compile, doc. The default is to use the OpenJML tool to do only typechecking of Java and JML in the source files (check).
- --check : causes OpenJML to do only type-checking of the Java and JML in the input files (alias for --command=check)
- --compile: causes OpenJML to do JML type-checking (as with --check), but then compiles the Java code without any runtime-checking (a rarely used option) (alias for --command=compile)
- --esc: causes OpenJML to do (type-checking and) static checking of the JML specifications against the implementations in the input files (alias for --command=esc)

- --rac: compiles the given Java files as OpenJDK would do, but with JML checks included for checking at runtime (alias for --command=rac)
- --doc: executes javadoc but adds JML specifications into the javadoc output files (alias for --command=doc) *Not yet implemented.*

5.4 Options: OpenJML options applicable to all OpenJML operational modes

- --dir <folder>: abbreviation for listing on the command-line all of the .java files in the given folder and its subfolders (recursively); if the argument is a file, use it as is. A warning is issued if the given path does not exist.
- --dirs: treat all subsequent command-line arguments as if each were the argument to --dir, until reaching an argument that begins with a hyphen character. Note that this sequence of arguments may contain arguments with wild-card characters that are expanded by the shell. For example, --dirs A*.java would expand to all the java files in the current folder that begin with 'A', and would work as expected. It is OK if this option has no values that is, if the very next command-line argument begins with a hyphen. This might occur, for example, if in --dirs A*.java there were no files matching the given pattern.
- --specs-path <path> : defines the specifications path, cf. §4.2, which is analogous to classpaths and sourcepaths
- --keys < keys>: the argument is a comma-separated list of conditional annotation keys (cf. the JML Reference Manual), used to conditionally enable or disable designated annotations (cf. §4.5)
- --show-not-implemented : emits warnings about JML features that are ignored because they are not implemented; the default is enabled.
- --nullable-by-default: sets the global default to be that all declarations are implicitly @Nullable, if they are not otherwise declared @NonNull (cf. §4.9)
- --nonnull-by-default: sets the global default to be that all declarations are implicitly @NonNull (the default), if not otherwise declared @Nullable

(cf. §4.9)

• --check-specs-path: if enabled, checks that each element (directory or jar file) of the *specspath* actually exists; if disabled (with --no-check-specs-path), non-existent entries are silently ignored (default: enabled)

5.5 Options: JML Information and debugging

These options print summary information and immediately exit (despite the presence of other command-line arguments):

- -? , -help : prints out help information about the command-line options
- --version : prints out the version string of this build of the OpenJML tool
- -X, --help-extra: Java option to print out help about advanced or experimental options

The following options provide different levels of verboseness. If more than one is specified, the last one present overrides earlier ones.

- --silent : only an exit code
- --quiet: no informational output, only errors and warnings; warnings can be omitted using -nowarn along with --quiet
- --normal : (default) some informational output, in addition to errors and warnings
- --progress: prints out summary information as individual files are processed and proofs are attempted (includes --normal)
- -verbose: prints out verbose information about the Java processing in OpenJDK (does not include other OpenJML information). This is a singlehyphen Java option.
- --jmlverbose : prints out verbose information about the JML processing (includes --verbose and --progress)
- --jmldebug: prints out (voluminous) debugging information (includes
 --jmlverbose). The output is not at all guaranteed to be stable from version to version of OpenJML.

- --verboseness <int>: sets the verboseness level to a value from -1 .. 4, corresponding to --silent, --quiet, --normal, --progress, --jmlverbose, --jmldebug
- -nowarn : this Java option turns off printing of warnings, leaving only errors and verification failures

Other debugging options:

- --show: prints out rewritten versions of the Java program files for informational and debugging purposes. It is generally useful to confine this output to a single method using the --method=methodname option. There are four parts to this output.
 - --show prints all four parts
 - --show=program prints the original program from its AST, after parsing and type resolution
 - ---show=translated prints each method after JML statements have been translated into Java, for either ESC or RAC
 - --show=bb prints each selected method after basic-block transformations (ESC only)
 - ---show=smt prints the smt commands as sent to the solver (if only this output is needed, the --smt option is likely more convenient) (ESC only)

A comma-separated list of a selection of the four identifiers may also be used. Note that this output is quite lengthy.

• -smt file-path: emits the SMT input sent to the SMT solver into a file at the given location. The argument may contain '%%', which is replaced by a fully-qualified rendering of the method name, and '%_', which is replaced by the simple name of the method.

An option used primarily for testing:

- -jmltesting : reduces the output so that test output is more stable
 - no timing or prover identification information is output
 - the verification success/failure summary is not output (as in --no-show-summary)
 - does not use the verification failure exit code (§3.4) (only until the test output can be updated)

- uses 'warning' instead of 'verify' in verification assertion failure messages (only until the test output can be updated)
- does not show location back-pointer information in 'Associated declaration' messages (only until the test output can be updated)
- in RAC, some location information is suppressed

5.6 Java Options: Version of Java language or class files

- --source < level>: this Java option specifies the Java version of the source files, with values of 4, ..., 21, ... This controls whether some syntax features are permitted. The default is the most recent version of Java (currently 21).
- --target < level>: this Java option specifies the Java version of the output class files (for compilation or RAC). The default is currently 21.

5.7 Java Options: Other Java compiler options applicable to OpenJML

All the OpenJDK compiler options apply to OpenJML as well. The most commonly used or important OpenJDK options are listed here.

These options control where output is written:

- -d <dir>: specifies the directory in which output class files are placed; the directory must already exist
- -s <dir>: specifies the directory in which output source files are placed; such as those produced by annotation processors; the directory must already exist

These are Java options relevant to OpenJML whose meaning is unchanged in OpenJML.

• --class-path or -cp or -classpath: the option value gives the Java classpath to use to find referenced classes whose source files are not on the command-line (cf. §4.2)

- --source-path or -sourcepath: the option value gives the sequence of directories in which to find source files of referenced classes that are not listed on the command-line (cf. §4.2)
- -deprecation: enables warnings about the use of deprecated features (applies to deprecated JML features as well)
- -nowarn: shuts off all compiler warnings, but not verification failures or Java and JML language errors
- -Werror: turns all warnings into errors, including compiler, JML type-checking and JML verification failures
- -verbose: turn on Java verbose output (does not control JML output)
- -Xprefer:source or -Xprefer:newer: when both a . java and a .class file are present, whether to choose the . java (source) file or the file that has the more recent modification time.

Other Java options, whose meaning and use is unchanged from <code>javac</code> (and rarely used by OpenJML:

- @<filename>: reads the contents of <filename> as a sequence of command-line arguments (options, arguments and files), but Java options only
- -Akey
- · -bootclasspath
- -encoding
- -endorsedirs
- -extdirs
- -g
- -implicit
- -J
- -X...: Java's extended options

5.8 Control of lint-like warnings

OpenJML issues a number of suggestions about style and possible erroneous, though not explicitly illegal, use of JML. The --warn option enables control of such warnings.

These warnings are grouped into categories, described below; each category can be enabled or disabled individually. Each category has its own default as to whether it is enabled or disabled by default.

- --warn=all enable all warning categories
- --warn= reset all warning categories to their defaults
- --warn=default reset all warning categories to their defaults
- --warn=none disable all warning categories
- --no-warn=all disable all warning categories
- --warn=list enable the given categories (leaving other categories unchanged), where list is a comma-separated list of category names
- --no-warn=list disable the given categories (leaving other categories unchanged), where list is a comma-separated list of category names

This option and the set of implemented alternatives and warning categories is under development.

5.9 Java options related to annotation processing

Java has an annotation processing facility, affected by the options below. JML and OpenJML do nothing with annotation processing. It has not been tested whether OpenJML works in conjunction with annotation processing.

- -proc
- · -processor
- -processorpath

5.10 Java options related to modules

Java 11 introduced modules to the Java language for the purpose of controlling access to code more tightly than the Java visibility mechanism does. No interaction between JML and modules has been defined in JML or implemented in OpenJML. Generally speaking, programs using JML should just use the default, unnamed module.

- --add-module
- --limit-modules <modulelist>
- -m <module>
- --module < module >
- --module-path <path>
- --module-source-path <path>
- --module-version < version>

- -p <path>
- --upgrade-module-path

Chapter 6

OpenJML tools — Parsing and Type-checking

6.1 Parsing

OpenJML parses the .java files listed on the command-line, finds any corresponding .jml files, and then also finds the files corresponding to classes mentioned in files already parsed. If a class has a .class file on the class-path then it and any corresponding .jml file are read; if there is no already compiled .class file (or the source file is newer or preferred, cf. the -Xprefer OpenJDK option) then OpenJML finds and parses the source and specification file for the class.

Parsing is affected by these options:

- -classpath, -sourcepath and --specspath (§4.2)
- the --stop-if-parse-errors causes the tool to stop after parsing files if any
 parse errors are found. This is a fail-fast practice, rather than proceeding with typechecking as much as possible to see what other errors there
 might be. In any case, no verification attempts will be tried if there are
 any parsing or typechecking errors.
- the --require-white-space option. If this option is enabled (disabled by default) then a comment beginning with //@ or /*@ is only considered to be JML if there is white space after the (sequence of) @ symbol. This option is disabled by default but can be useful when incorporating source

files that had Java annotations (e.g. @Override) that were commented out to produce //@Override. Using this option avoids having non-JML comments like these interpreted as erroneous JML comments.

6.2 Type-checking JML specifications

The type-checking phase includes all of OpenJDK's name and type attribution for Java; OpenJML adds type-checking of any JML annotation text and any .jml files. OpenJML also ensures that the .jml files match the contents of the Java .class or .java files.

A set of Java files with JML annotations is parsed and type-checked with the command

```
openjml --check options files
```

or

```
openjml options files
```

since --check is the default action. Any .jml files are checked when the associated .java file is checked. Only .java files either listed on the command-line or contained in folders listed on the command-line are certain to be checked. Some checking of other files may be performed where references are made to classes or methods in those non-listed files.

6.3 Command-line options for type-checking

The following command line options are particularly relevant to type-checking.

--purity-check: turns on (the default) purity checking of library methods. Using Java library methods in specifications before specifications are written for the called method usually provokes a complaint that the library method is not pure and may not be used in a specification. The --no-purity-checking option can be used temporarily to suppress such type-checking errors while specifications are being written. (This option is slated for deprecation.)

Chapter 7

OpenJML tools — Static Deductive Verification (ESC)

Type-checking is performed automatically prior to ESC (Extended Static Checking). Thus ESC also depends on the information described in Chapters 3, 5 and 6, particularly including the command-line options relevant to type-checking and the discussion of class, source, and specification paths in §4.2.

7.1 Results of the static verification tool

The ESC tool operates on a method at a time. Which methods are considered in a given execution of OpenJML are determined by options (cf. §7.3.3). The ESC tool will result in one of four outcomes for each method:

- It issues one or more verification failure messages.
- It finds no verification failures.
- It exhausts memory resources or allotted time.
- It encounters some internal bug.

These scenarios are discussed in the following subsections.

7.1.1 Finding verification faults

A run of OpenJML with --esc may find one or more static checking warnings. Current OpenJML will find all the static check problems it can within a method. However, the --esc-max-warnings option can limit the search to just one warning, or it can keep searching until a certain number of warnings are found, or until no additional warnings can be found. If the goal is simply to determine whether there are any faults, stopping at just one will save time; if the goal is to find and fix all the faults, it may be convenient to search until no more can be found. If there are multiple faults, the order in which they are found is non-deterministic.

The static warnings found are grouped into various categories. For example if a method is called but the method's pre-condition cannot be proved to hold, then a Precondition warning is reported. An explicit JML assert that cannot be proved true, will result in an Assert warning. The various categories of warnings are listed in Appendix B.

Note that static warnings are reported if the tool cannot prove that the associated verification condition is satisfied. It may be that the verification condition is indeed valid, but is too complex for the tool to prove it.

For example, the program

```
public class MaxEscWarnings {

public static void test(int i, int j) {
    if (i > j) {
        //@ assert j == 0;;
    } else {
        //@ assert i == 0;
    }
}
```

and the command openjml --esc MaxEscWarnings.java produce the output

```
MaxEscWarnings.java:5: verify: The prover cannot establish an assertion (Assert)
in method test
//@ assert j == 0;;

MaxEscWarnings.java:7: verify: The prover cannot establish an assertion (Assert)
in method test
//@ assert i == 0;
7 2 verification failures
```

CHAPTER 7. OPENJML TOOLS — STATIC DEDUCTIVE VERIFICATION (ESC)51

perhaps with the two errors in reverse order.

But with the command

```
openjml --esc --esc-max-warnings=1 MaxEscWarnings.java only one error message is given (it could be either one).
```

By default, OpenJML produces a verification error message just once for a given assertion, even if it is reachable by multiple paths. In particular, the post-conditions are single assertions reachable from each return statement. So with the command openjml --esc MaxEscWarnings.java the program

```
public class MaxEscWarnings {

    //@ ensures \result == 100;
    public static int test(int i, int j) {
        if (i > j) {
            return j;
        } else if (i < j) {
            return 100;
        }
        return i;
    }
}</pre>
```

produces the output

```
MaxEscWarnings.java:6: verify: The prover cannot establish an assertion (
    Postcondition: MaxEscWarnings.java:3:) in method test
    return j;

^
MaxEscWarnings.java:3: verify: Associated declaration: MaxEscWarnings.java:6:
//@ ensures \result == 100;

^
7 2 verification failures
```

with just one verification error, even though the post-condition is violated by two return statements.

The option --esc-warnings-path (which is disabled by default) asks OpenJML to test each path to a given assertion, including each post-condition clause. So the same program with the command

openjml --esc --esc-warnings-path MaxEscWarnings.java gives the output

```
MaxEscWarnings.java:6: verify: The prover cannot establish an assertion (
    Postcondition: MaxEscWarnings.java:3:) in method test
    return j;

    ^
    MaxEscWarnings.java:3: verify: Associated declaration: MaxEscWarnings.java:6:
    //@ ensures \result == 100;
    ^
```

```
MaxEscWarnings.java:10: verify: The prover cannot establish an assertion (
    Postcondition: MaxEscWarnings.java:3:) in method test
    return i;
    ^

MaxEscWarnings.java:3: verify: Associated declaration: MaxEscWarnings.java:10:
    //@ ensures \result == 100;
    ^
4 verification failures
```

(or some permutation of the given errors). The error report tells that two of the three return statements can violate the post-condition. To obtain more information about the input values that cause an assertion violation, use the --trace or --counterexample options.

7.1.2 Checking feasibility

A run of OpenJML with --esc may find no warnings through static checking. In this case, the tool can run additional checks to be sure the program is *feasible*, that is, that the specifications and the implementation actually permit execution of the program. By default, OpenJML does not do feasibility checking because it can be misleading or time-consuming; however a careful verification process will do some level of feasibility checking before considering a verification successful. Feasibility checking is discussed in more detail in §7.2.

7.1.3 Timeouts and memory-outs

The underlying SMT solvers may report a time-out or memory exhaustion. One option is to increase the time out limit (with the --timeout option). An alternate recourse in this situation is to attempt to simplify the implementation or the specification. A time-out option to OpenJML is passed through to the underlying SMT solver for it to interpret according to its own implementation, so the user can do some experimentation. When running static checking on a whole group of methods, it is useful to use a somewhat short time-out value, so that particularly difficult methods do not unduly delay obtaining results for other methods.

The value of the timeout option is the number of seconds to which to limit the proof attempt, for each method or method split or feasibility check, individually.

If OpenJML ends by exhausting memory, it is generally a problem with the solver.

There is currently no control over the memory available to the SMT solver (aside from finding a larger computer).

7.1.4 **Bugs**

Despite the author's continuing efforts, there still remain bugs and limitations in OpenJML. If you encounter any, please report them with as much information as possible, via the OpenJML GitHub project:

```
https://www.github.com/OpenJML/OpenJML/issues
```

A useful bug report includes all the source code required to reproduce the problem, the operating system being used, the version of Java and OpenJML; the most useful reports will pare down the source code to a minimum amount that still provokes the error.

7.2 Checking feasibility: --check-feasibility

--check-feasibility where: checks feasibility of the program at various points as described below. The default is none.

Deductive verification typically asks the question: are there any legal inputs that would render an implicit or explicit assertion false? A second question is: for a given point in the program, is it possible to reach that point by some legal input combination? That is, is the execution path to that point in the program *feasible*?

The question of feasibility can be important for several reasons.

- If there is indeed some infeasible execution path, then any assertions on that path will not be checked. Then a verification attempt can be successful (no verification errors reported), when in fact that success is because there was nothing to check (because that or maybe all execution paths are infeasible). Thus after a successful verification attempt it can be prudent to check feasibility.
- If there are contradictory assumptions (e.g., assume statements or preconditions or invariants) then any point after those assumptions will not be feasible. For example

```
1 // openjml --esc --check-feasibility=exit T_Feasibility1.java
2 public class T_Feasibility1 {
```

```
4   //@ requires i < 0;
5   //@ ensures \result > 0;
6   public int m(int i) {
7    //@ assume i > 0;
8    return i;
9   }
10 }
```

produces

• When method A calls method B, the verification of method A relies on correct specifications for method B. Consider this example:

```
// openjml --esc --check-feasibility=call T_Feasibility4.java
abstract class A {
   public int kk;
   //@ ensures kk == \old(kk) + 1;
   //@ pure // faulty spec
   abstract public void mm();
}
abstract public class T_Feasibility4 extends A {
   //@ requires i > 0;
   public void m(int i) {
        mm();
   }
}
```

Verification without checking feasibility reports no errors. However, when feasibility is checked, a problem is reported with the call of mm ().

```
T_Feasibility4.java:11: verify: There is no feasible path to program point after call in method T_Feasibility4.m(int)

mm();

1 verification failure
```

The problem here is that the specs of mm() say that the method is pure, meaning that it changes nothing, but the ensures clause says that kk is incremented. This contradiction results in stopping any verification after the method call. The feasibility check indeed finds this problem. This example points out the necessity of verifying all methods used in a program before the program can be considered verified. This is particularly relevant to library methods. These may well have specifications, but a typical client of the library will be forced to trust these specifications and will not

have the source code to even attempt a verification of the library methods the client uses.

 Some branches of the code may be dead, that is, are never executed under inputs satisfying the pre-conditions). In fact sometimes one may wish to prove that a branch, such as an error reporting or recovery branch, will not be executed. Feasibility checking can assist in detection of dead code.

All the various places that OpenJML implements feasibility checking are enumerated below. But first, some caveats are in order.

- Feasibility checking can be time-consuming and especially so if the path in question is *not* feasible. Accordingly, feasibility checking is off by default.
- Feasibility checking only says that some input combination will reach the given program point, not whether all the combinations you expect will reach that point. For example, if a program has assumptions i <= 0 and i >= 0, it will still be feasible for x == 0, but that may not be the programmer's intent.
- If method A calls method B and method B is underspecified, then an execution path may be considered to be feasible, when in reality it is not. Remember that when checking method A, only the specifications of B are considered. Look at this example:

```
// openjml --esc --check-feasibility=reachable T_Feasibility2.java
  public class T_Feasibility2 {
    //@ requires i >= 0;
    public void m(int i) {
      int j = abs(i);
      if (i != j) {
        // Should never get here!
        //@ reachable
10
11
12
13
    //@ requires i != Integer.MIN_VALUE;
    //@ ensures \result >= 0;
    public static int abs(int i) {
      return i < 0 ? -i : i;
17
```

The command stated at the top of the example checks whether it is possible to reach the reachable statement in the program. Indeed, the check runs without complaint, meaning that the program point is indeed reach-

able. Given that for positive numbers, the abs method should just return its input, how can this be? Well, in verifying method m all we see is the specification of abs. That specification is *underspecified*. It only says that the output is non-negative, not that it is equal to the input or its negation. Replacing the reachable statement with an unreachable statement helps us do some debugging:

```
// openiml --esc T_Feasibility3.java
  public class T_Feasibility3 {
    //@ requires i >= 0;
    public void m(int i) {
      int j = abs(i);
      //@ show i, j;
     if (i != j) {
       // Should never get here!
        //@ unreachable
11
      }
12
13
    //@ requires i != Integer.MIN_VALUE;
    //@ ensures \result >= 0 && (\result == i || \result == -i);
15
16
    //@ pure
17
    public static int abs(int i) {
18
      return i < 0 ? -i : i;
20
```

produces

```
T_Feasibility3.java:7: verify: Show statement expression i has value 1
    //@ show i, j;

T_Feasibility3.java:7: verify: Show statement expression j has value 2
    //@ show i, j;

T_Feasibility3.java:10: verify: The prover cannot establish an assertion (
    Unreachable) in method m
    //@ unreachable
    ^
    3 verification failures
```

which shows that that the verifier thinks that i and j can be different and thus that the reachable statement is reachable (the specific values of i and j may be different from run to run).

Feasibility checking is not useful for runtime-checking. At runtime a program can only know that it has reached a particular program point; it cannot know whether other program points are reachable for other exe-

CHAPTER 7. OPENJML TOOLS — STATIC DEDUCTIVE VERIFICATION (ESC)57

cutions of a program.¹

So feasibility checking can be useful if these caveats are kept in mind. Feasibility checking is disabled by default and is enabled with the --check-feasibility option. The argument of that option is a comma-separated list of location identifiers, listed below. In addition there are some common combinations:

- none turns off any feasibility checking (the default)
- basic turns on just precondition, assert, assume, reachable, exit, halt, and spec
- all turns on everything
- debug just for debugging of OpenJML itself

Here are the possible places that can be checked:

- reachable all points in the method explicitly marked with a //@ reachable;
 statement
- precondition at the beginning of the method body; checks whether there are contradictions in the pre-conditions and invariants
- **assert** just before each explicit JML assert statement; if the execution path to the assertion is not feasible, the assertion will never be checked
- **assume** just after each explicit JML assume statement; if the execution path is not feasible, there is something wrong with the predicate being assumed (or something wrong before it)
- return is every return statement feasible (after computing the return value)
- throw is every throw statement feasible (after computing the throw expression)
- if are both branches of the if statement condition feasible
- switch are all branches of a switch statement feasible
- catch at the beginning of each catch block
- finally at the beginning of each finally block
- **spec** at the end of every statement spec block
- call after any call
- halt at each JML halt statement
- **loopcondition** at the beginning of the loop body
- **loopexit** on the exit branch after testing the loop condition

¹A tool could check that across a whole test suite all reachable statements are in fact reached, but that use case is better served with a coverage checking tool.

- loopcontinue at a continue statement in a loop body
- loopbreak at a break statement in a loop body
- **exit** is it possible to exit the program (normally or with an exception)

There is one special case that must be used by itself: preconditionOnly. Checking feasibility with this alternative checks for feasibility of the invariants+preconditions (like precondition), but then does not translate any of the body of the method. This creates a much smaller verification problem.

Reachability testing can be time-consuming, so the default verification does not check feasibilty. The reachability test is different than verification, requires a separate formulation and SMT test, and typically requires separate executions of underlying solvers, as the test is now to find at least one path that reaches the given statement. If there are multiple reachable statements in a method, the check is for each one of them individually; they are not required to all be reachable for the same initial state.

7.3 Options specific to static checking

7.3.1 Controlling nullness

-nullable-by-default -nonnull-by-default

- --nullable-by-default: sets the global default to be that all variable, field, method parameter, and method return type declarations are implicitly @Nullable
- --nonnull-by-default: sets the global default to be that all variable, field, method parameter, and method return type declarations are implicitly @NonNull (the default)

Nullness control is discussed more fully in §4.9.

7.3.2 Choosing the solver used to check (--prover, --exec)

OpenJML uses SMT solvers to check all the conditions that are implied by the program and its specifications. In principle, any solver compliant with SMT-LIB-v.2.5[9] can be used. In practice, there are some limitations.

First, only a few solvers support the range of SMT-LIB logics that are used by

OpenJML. Software verification naturally uses quantified expression, models of arrays, bit-vectors, mathematical integers and reals with non-linear operations, strings, sets, and sequences; in short, any well-defined mathematical object useful in describing how a piece of software works would be helpful. Some SMT solvers support just one logic, such as quantifier-free bit-vectors; a few support every logic defined in SMT-LIB, which is only a subset of the list above.

Second, the existing SMT solvers do not completely support SMT-LIB-v2.5. Consequently there is an adapter library, jSMTLIB[9], that translates standard SMT-LIB to an input suitable for the SMT solvers it supports. Further then, a new version of an SMT solver must be supported by jSMTLIB before it can be used. jSMTLIB does have a generic path for a fully-compliant solver.

Third, the various solvers differ in their capabilities. Some are faster or more reliable than others, perhaps just for particular logics. So it is useful to try different solvers on non-trivial proof problems.

- --prover prover: the name of the prover to use. Though other provers have been evaluated, the only prover currently supported is z3_4_3, corresponding to Z3 version z3-4.3.1.
- --exec *path*: the absolute path to the executable corresponding to the given prover

Solvers typically have different executables for different operating systems. Open-JML automatically chooses the correct solver based on its detection of the operating system on which it is running (using the Java os.name system property). That determination can be overridden using the --os-name option, which recognizes the values macos, linux, and windows. In an OpenJML distribution, the different solvers are placed in subfolders named Solvers-OS for each supported OS name.

One other option related to solvers is --logic, whose value is the SMT logic to use. Current SMT solvers select a SMT logic automatically, typically all those logics needed to process the given SMT input. Thus this option is rarely needed and may well fail if actually tried. It may be removed in the near future.

7.3.3 Choosing what to check (--method, --exclude)

The default behavior is to check each method in each file and folder listed on the command-line (or selected in the GUI). The set of methods checked can be

Table 7.1: Effect of --method and --exclude

method option	exclude option	result
no option present or match	none or no match	checked
option present but no match	none or no match	skipped
-	match	skipped

constrained by the --method and --exclude options. In particular, the --method option is often used to constrain checking to a single method while that method or its specifications are being debugged.

- --method <methodlist>: a semicolon-separated list of method names to check (default is all methods in all listed classes)
- --exclude <methodlist> : a semicolon-separated list of method names to exclude from checking (default: no methods are excluded)
- skipesc: a modifier on a class or method that indicates not to verify that method or methods in that class (cf. §9.4.1)

The --method and --exclude options interact as shown in Table 7.1; in summary, --exclude overrides --method.

- If there are multiple instances of --method options, only the last one applies, as is the rule for all options. The same applies to the --exclude option. To specify multiple methods or exclude rules, use one option with a semicolon-separated list of strings (in quotes, to avoid the semicolon being interpreted by the shell).
- If a method is skipped because of these rules, then any classes or methods within the skipped method are also skipped.
- Despite the --method option, any method or type annotated with @SkipEsc or skipesc is skipped
- The name of a constructor is the name of the class.
- There is no way to name anonymous classes or lambda functions in order to check or skip them.
- The list of strings to match is semicolon-separated rather than commaseparated because method signatures can contain commas. If multiple entries are separated by semicolons, you will likely have to quote the whole

option to avoid the shell considering the semicolon the end of the command.

The --show-skipped option controls output about which methods are being skipped for verification. Using this option (which is on by default) prevents silently forgetting that some method is not being proved.

Matching rules. The argument of the **--method** and **--exclude** options is a semicolon-separated set of strings. A method *matches* if any one of the individual strings matches the name of the method. A match occurs if any one of the following is true:

- the string is the simple name of the method
- the string is the fully-qualified name of the method
- the string is the fully-qualified signature of the method, with the arguments represented just by their fully-qualified types (and no white space)
- the string, interpreted as a regular expression (in the sense of java.util.regex.Pattern) matches the fully-qualified signature of the method

For example, the method mypackage. MyClass.mymethod(Integer i, int j) is matched by any of the following:

- mymethod
- mypackage.MyClass.mymethod
- mypackage.MyClass.mymethod(java.lang.Integer,int)
- *MyClass*

7.3.4 Control over the checks performed

It is helpful sometimes to suppress some kinds of checks in order to focus on other problems or because a specification is still in development.

7.3.4.1 Checking accessible (reads) clauses: --check-accessible

The accessible clauses state what memory locations a method may read. When writing specifications they are often left until later in the process to be written; often they are just left as accessible \everything.

So it is sometimes useful to disable checking these clauses: --no-check-accessible does so. The check is enabled with --check-accessible, which is the default.

7.3.4.2 Checking purity: --check-purity

Ordinarily, OpenJML checks that only methods marked pure are used in specifications. However, many library methods are side-effect free and could be used in specifications, but do not have their own specifications written as yet. Using them in a specification would provoke an error. Turning off this option (--no-check-purity) disables this check for library methods (those in a package whose name starts with 'java').

Disabling this check (it is enabled by default) should be seen as a very temporary expedient. It is better to add the specifications for the needed methods. The behavior, and even existence, of this option may change in the future.

7.3.4.3 Checking static initialization: --static-init-warning

This option is under development.

7.3.5 Detail about the proof result

When OpenJML+SMT is unable to validate an assertion, it can be difficult to debug the problem: the problem can be either an insufficiently capable solver or mismatched specifications and implementation. The following options provide some tools to help understand the proof results.

- --esc-max-warnings int: the maximum number of assertion violations to look for; the argument is either a positive integer or All (or equivalently all, default is All)
- --esc-warnings-path: if enabled (disabled by default), then verification failures are reported for each execution path through a method to that assertion. If this option is disabled, only the first path found is reported. (Which path is found or the order in which multiple paths are found is non-deterministic.)

The above two options are described with examples in §7.1.1.

7.3.6 Counterexample information

When a prover is unable to prove an assertion, it often can display counterexample information giving specific values of source program variables that caused the assertion failure. The following options output some of that information.

- --trace: prints out a counterexample trace for each failed assert
- --subexpressions: prints out a counterexample trace with model values for each subexpression
- --counterexample or -ce: prints out counterexample information

The output from these options is currently being reworked and improved to be more understandable. Currently, the best option to use is --subexpressions. but even that information is difficult to understand for anything but values of primitive types.

7.3.7 Dividing up the proof: --split

The split statement (§9.3.5) enables splitting up the usually single, large verification condition for a method into sections that may be more manageable. The **--split** option controls which splits of the verification condition are attempted. Splitting proofs and this option are described in §9.3.5.6.

7.3.8 Controlling output

ESC can take a while to run if operating on a large set of software. It is useful then to have good progress reporting and to control the output produced. The basic controls are the level of verbosity, in particular the --progress setting and the options described in subsection (§7.3.5).

On a first run through a large set of data, it is helpful to use the following set of options:

- --progress: so that starting and completing each method is reported; these
 delineations also serve to associate warning and error reports with the
 method that produced them
- --esc-max-warnings=1: just one warning per method saves time and is enough to tell whether further work will be needed. Allow a higher limit when detailed analysis is being performed on just one or a few methods.
- --check-feasibility=none : (which is the default)
- Do not request tracing or counterexample information: this information is most helpful during debugging of single methods; in runs over many meth-

ods it just adds (voluminous) information that makes the output more difficult to understand

Such an initial run gives an overall understanding of where there are proof problems. Subsequent analysis can then be concentrated on problem points.

7.3.9 Options affecting the internal encoding

There are a variety of ways to encode Java and JML source code into logical expressions. Indeed this is an ongoing area of research. OpenJML may implement more than one technique for some aspect of encoding and define an option to allow selecting between them and experimenting with their effectiveness.

Such options may be transient, only lasting until their effectiveness is established or disproved.

• --esc-bv mode: this option controls whether fixed-bit-width integer operations are encoded as mathematical integer operations or as operations on bit-vectors. It is described in §4.12.

7.3.10 Miscellaneous options

- --solver-seed n: solvers typically are non-deterministic in their approach to searching for a proof or counterexample, basing some search decisions on some internal pseudo-random number generator. This property leads to runtimes and even proof success varying from one run to another. That nondeterminism can be reduced by specifying the seed the solver should start with; OpenJML passes the value of this option to the backend solver. The effectiveness in reducing nondeterminism varies. A value of 0 means not to set a seed.
- --timeout secs: Some proofs take a long time. In production work it is advisable to set a timeout. This option takes an integer number of seconds and passes it on to the solver. (OpenJML does not itself impose a timeout; it is up to the solver to do so correctly. You can also use a shell wrapper command such as the Linux/MacOS timeout shell command to limit the runtime of OpenJML itself.)
- --verify-exit n: By default if the input to OpenJML has a verification failure (but no other errors), OpenJML will exit with an exit code of 6 (cf. §3.4).

CHAPTER 7. OPENJML TOOLS — STATIC DEDUCTIVE VERIFICATION (ESC)65

This option allows you to set that value to an integer in the range 0-6, that is, to set it to be the same as a different kind of error or to be 0, which is simply a warning.

• --smt file-path: This option gives the name of a file into which will be written the generated SMT-LIB commands that are sent to the SMT solver as the proof attempt for a method. If a simple filename is used, then the file will be overwritten for each method. So that option is most useful when a single method is being verified. Alternatively, one can include in the file-pattern the sequence %_, which will be replaced by the name of the method, or the sequence %%, which will be replaced by a fully-qualified method signature (which is unique within a set of classes). If the pattern is an empty string, a fixed name (out.smt2) is used. The default (--no-smt) means to not write any output file.

Chapter 8

OpenJML tools — Runtime Assertion Checking (RAC)

In Runtime Assertion Checking, a program is compiled to carry out its normal function, except that various assertions are compiled in and checked during the program's execution. If any assertions are found to be false, some error indication is emitted. In the case of JML, the assertions come from the specifications — they are checks that the specifications hold, at least for the particular execution of the program. Hence, RAC is an instrumented version of classic dynamic testing.

8.1 Compiling classes with assertions

The command-line to compile for RAC is the same as the command-line for Java compilation, except

- openjml is used instead of javac
- the option --rac is included (along with any other desired OpenJML options)

There are a few points to note:

 Both openjml and javac will compile all the classes on the commandline and any classes referred to by those classes but not yet compiled. Hence it can be useful to perform a full javac compilation first, so no unexpected files have RAC enabled.

CHAPTER 8. OPENJML TOOLS — RUNTIME ASSERTION CHECKING (RAC)67

- Assertions are compiled only into classes compiled with --rac, and not into library classes or super classes.
- Assertion violations are reported only for the particular execution of the program. An absence of reports does not mean that some other run of the program (with different inputs) will be free of assertion violations.

It is helpful to understand what assertions are generated (and checked by RAC). Options described below can control which of these assertions are included. Note that pre-conditions and post-conditions may be checked twice, once by the caller and once by the callee. At the time a given class is compiled, the compiler does not know whether both sides in the caller-callee relationship will be compiled with assertion checks; hence the pre-condition or post-condition is checked by both, to ensure it is at least checked once. The following list includes the most common checks; the full set is listed with more detail and examples in Appendix B.

- well-definedness checks of any assertion or assumption, before the assertion or assumption itself is checked
- any explicit JML assert, reachable and unreachable statement
- any explicit JML assume statement (cf. §8.3.1)
- non-null checks when a object is deferenced (dot-operator or array-element operator)
- non-null checks when a reference variable or formal parameter or field declared NonNull is assigned or initialized
- array index is in range when an array is indexed
- checks implied by assignable clauses on any assignment
- checks implied by accessible clauses on any read in Java code
- pre-conditions and invariants of a callee, checked as assertions by the caller before calling a callee
- pre-conditions and invariants of a callee, checked as assumptions by a callee after being called but before executing the body of the callee (cf. §8.3.1)
- post-conditions and invariants of a callee, checked as assertions by a callee after executing the body of the callee
- post-conditions and invariants of a callee, checked as assumptions by a caller after returning from a callee (cf. §8.3.1)

8.2 Executing a RAC-compiled programs

To execute a RAC-compiled program, either

- (a) run the program as usual but using openjml-java rather than java
- or (b) run the program with conventional java (at least V21) but include the jmlruntime.jar library on the classpath.

8.3 Options specific to runtime checking

8.3.1 --rac-check-assumptions

--rac-check-assumptions: (default: enabled) when enabled, both assumptions and assertions are checked. Checking both gives more thorough runtime checking, but also increases the size of the RAC-enabled program considerably. If size or runtime performance becomes a problem, the user may wish to disable this feature. However, when the option is disabled, users can sometimes be confused about why an apparent violation is not reported.

This option particularly affects the checking and reporting of pre- and post-conditions. When a method (the callee) is called from an another method (the caller), the pre-conditions of the callee are checked (an assertion) by the caller before the call, and the post-conditions are assumed by the caller after the call. Within the callee, however, the pre-conditions are assumed at the beginning of the method execution and the post-conditions are asserted at the end.

So this input file

```
public class A {

public static void main(String ... args) {
    m(args.length);
    mm(args.length);
}

//@ requires i == 1;
//@ ensures \result == 20;
public static int m(int i) {
    return 10;
}
```

```
//@ requires i == 0;
//@ ensures \result == 20;
public static int mm(int i) {
    return 10;
}
```

when compiled with the command

```
openjml --rac --rac-check-assumptions A.java and run with
```

openjml-java A

produces the output

```
1 A. java: 4: verify: JML precondition is false
     m(args.length);
 A. java:10: verify: Associated declaration: A. java:4:
   public static int m(int i) {
 A.java:8: verify: JML precondition is false
   //@ requires i == 1;
10 A.java:16: verify: JML postcondition is false
   public static int mm(int i) {
13 A. java: 15: verify: Associated declaration: A. java: 16:
  //@ ensures \result == 20;
16 A. java:5: verify: JML postcondition is false
     mm(args.length);
17
19 A. java:15: verify: Associated declaration: A. java:5:
   //@ ensures \result == 20;
20
```

The example output shows the pre-conditions and post-conditions each being checked twice, once by the caller and once by the callee, because both assumptions and assertions are checked at runtime. The post-condition of $\mathfrak m$ is not checked because its pre-condition is not true.

However, if the example is compiled with

```
A.java:4: verify: JML precondition is false

m(args.length);

A.java:10: verify: Associated declaration: A.java:4:

public static int m(int i) {

A.java:16: verify: JML postcondition is false

public static int mm(int i) {

A.java:15: verify: Associated declaration: A.java:16:

//@ ensures \result == 20;

^
```

Here only assertions are checked: the pre-conditions by the caller and the post-conditions by the callee.

So why not always disable this option to avoid duplication? The duplication happens because both the caller and the callee are being compiled with RAC. If, however, the callee was a library routine that was not compiled with RAC, we would want both the post-conditions and pre-conditions checked by the caller, and then we would want this option enabled.

8.3.2 --rac-java-checks

--rac-java-checks: (default: disabled) when enabled, runtime-assertions that check for Java language violations are enabled. Enabling this feature causes more thorough checking and causes all violations to be reported uniformly. However it also increases the size of RAC-compiled programs. If this option is disabled, RAC will not check for the violation, but Java will. For example, if there is an array index operation, JML can check that the array index is within bounds. If the JML check is disabled, Java will report a <code>ArrayIndexOutOfBounds</code> exception, so the violation will be reported to the user anyway, just through a different exception. Because of this backup Java checking and to reduce compiled code size, this option is disabled by default. However, the option is useful during testing, because then all violations of JML assertions are reported through OpenJML, so a test harness can uniformly detect and report violations during unit testing.

The discussion in §8.4 below is also important to when and how JML violations are reported.

As an example, the input file

when compiled with the command

```
\label{eq:continuous} \text{openjml } -\text{-rac } -\text{-rac-java-checks A.java} and run with
```

openjml-java A

produces the output

```
A.java:5: verify: JML Division by zero

int j = i/(i-i);

Exception in thread "main" java.lang.ArithmeticException: / by

zero

at A.main(A.java:5)
```

The output contains first a JML error that an imminent divide-by-zero was detected. Then the program proceeds to execute the division and produces a standard Java error.

If compiled with

```
\label{eq:continuous} \text{openjml } -\text{-rac } -\text{-no-rac-java-checks A.java} the output is
```

```
Exception in thread "main" java.lang.ArithmeticException: / by zero

at A.main(A.java:5)
```

Here the JML check is omitted, so only the Java exception is reported. Java's report of an exception is sent to the standard error output (System.err), whereas JML's output goes to standard out (System.out).

8.3.3 --nonnull-by-default and --nullable-by-default

These options apply to runtime checking in the same way as they do to static checking. See the discussion in §7.3.1.

8.3.4 --show-not-executable

--show-not-executable: (default: disabled) warns about the use of features that are not executable (and thus ignored). Some features of JML are not executable. If this option is enabled, warnings are printed during RAC compilation when such features are used. Turning on this option can be helpful to a user unsure why a particular assertion is not being reported failing, just to be sure it is actually being compiled. It may also be helpful to comment out features that are not executable using the //-RAC@ conditional JML comment (§4.5).

8.3.5 -- show-not-implemented

--show-not-implemented: (default: disabled) warns about the use of features that are not yet implemented (and thus ignored). The user may wish to enable this option in order to be alerted to features that are being ignored because they are not yet implemented. Some features may be implemented for static checking but not for RAC (or vice versa). It may also be helpful to comment out features that are not implemented for RAC using the //-RAC@ conditional JML comment (§4.5).

8.3.6 --rac-show-source

--rac-show-source choice: (default: source; choices: none, line, source) includes source location in RAC warning messages. If this option is set to source then RAC assertion violation messages will include text from the source file indicating the location of the violation, in addition to including the line number. The option can provide more helpful error information, but it also can considerably increase the size of the compiled classes. So for large programs, it may be helpful to set this option to 'line' or even to 'none'.

As an example, the input file

```
public class A {

public static void main(String... args) {
    //@ assert args.length == 1;
}
}
```

when compiled with the command

```
openjml --rac --rac-show-source=source A.java
```

and run with

openjml-java A

produces the output

```
A.java:4: verify: JML assertion is false

//@ assert args.length == 1;
```

If compiled with

```
openjml --rac --rac-show-source=line A.java the output is
```

```
A.java:4: verify: JML assertion is false
```

If compiled with

```
openjml --rac --rac-show-source=none A.java
```

the output does not even have the line numbers:

```
verify: JML assertion is false
```

8.3.7 --rac-compile-to-java-assert

--rac-compile-to-java-assert: (default: disabled) compiles RAC checks into Java assert statements (which throw java.lang.AssertionError when enabled using -ea during execution, instead of using

org.jmlspecs.utils.JmlAssertionError. When this option is enabled, all assertion violation reporting is through Java assert statements and all the alternatives described in §8.4 are ignored. Furthermore, no reports will be generated at all at runtime unless the Java option -esa is enabled. One advantage of this mechanism is that Java allows controlling assertion reporting by class and package, by customizing the -ea option. (See the Java documentation for -ea and -da for specific information.)

So this input file

```
public class A {

public static void main(String ... args) {

//@ assert args.length == 1;
}
}
```

CHAPTER 8. OPENJML TOOLS — RUNTIME ASSERTION CHECKING (RAC)74

when compiled with the command

```
openjml \operatorname{--rac} \operatorname{--rac}-compile-to-java-assert A.java and run with
```

```
openjml-java -ea A
```

produces the output

Whereas when compiled with the command

```
openjml --rac --no-rac-compile-to-java-assert A.java and run with
```

```
openjml-java A
```

it produces the output

```
A.java:4: verify: JML assertion is false
//@ assert args.length == 1;
^
```

8.3.8 --rac-precondition-entry

In automated testing using RAC, it is useful to distinguish pre-condition errors at the top-level, which indicate invalid input data, from internal pre-condition errors, which indicate some bug in using a method internally. The --rac-preconditionentry option (off by default) enables such behavior.

Here is an example:

```
import org.jmlspecs.runtime.*;
public class Demo {

    //@ requires i >= 0;
    public static void outer(int i) {
        inner(i);
    }

    //@ requires i > 0;
    public static void inner(int i) {
        public static void inner(int i) {
        }

    public static void main(String ... args) {
        outer(1); // OK
        try {
        outer(-1); // Outer precondition fails
    }
}
```

```
17
      } catch (JmlAssertionError.PreconditionEntry e) {
18
        System.out.println("OK");
19
20
      try {
        outer(0); // Inner precondition fails
      } catch (JmlAssertionError.PreconditionEntry e) {
22
23
        throw e; // FAILURE;
      } catch (JmlAssertionError.Precondition e) {
       System.out.println("OK");
25
27
     trv {
        inner(0); // Precondition fails
28
      } catch (JmlAssertionError.PreconditionEntry e) {
29
        System.out.println("OK");
31
32
33
```

If the above program is compiled with

openjml -rac -rac-precondition-entry PreconditionEntry.java and run with openjml-java PreconditionEntry, then the output is just a series of "OK" text. Inspecting the program shows that

- the main method acts as test harness and calls outer, which calls inner, with various arguments.
- when outer is called with an argument that violates its own pre-condition, a JmlAssertionError.PreconditionEntry exception is thrown
- when outer is called with an argument that satisfies its own pre-condition but violates the pre-condition of the call to inner, a JmlAssertionError.Precondition exception is thrown
- however, if inner is called directly with an argument that violates its own pre-condition, a JmlAssertionError.PreconditionEntry exception is thrown

Using this option sets the <code>-Dorg.jmlspecs.openjml.rac=exception</code> alternative described in §8.4. It does not work if --rac-compile-to-java-assert is set. It works whether or not --rac-check-assumptions is set.

8.4 Controlling how runtime assertion violations are reported

There are several ways in which a RAC-compiled program can report assertion violations, in addition to using Java assert statements as described in §8.3.7.

These can be controlled by a property set at the time the RAC-enabled program is *run* (not when it is *compiled*).

- A) as messages printed to System.out. In this case the program will continue executing after printing the assertion violation and may possibly encounter and report additional violations or Java exceptions. This reporting mechanism is the default and applies if property org.jmlspecs.openjml.rac is not set or set to the value stdout.
- B) if org.jmlspecs.openjml.rac is set to showstack then messages are issued as in (A) above, but a stack trace is printed along with the error message. This makes the output more verbose, but may make it easier to debug why a particular violation is occurring.
- C) as a thrown exception of some subtype of org.jmlspecs.utils.JmlAssertionError. This reporting mechanism is used if the system property org.jmlspecs.openjml.rac is set to exception at runtime. The subtype is determined by the kind of violation, as described later in this section. Execution of the program stops with the first violation reported.
- D) as a thrown exception of the type <code>java.lang.AssertionError</code>. Execution of the program stops with the first violation reported. This is the same kind of assertion that is thrown by a Java assert statement, but does not depend on using the <code>-ea</code> option. This reporting mechanism is used if <code>org.jmlspecs.openjml.rac</code> is set to the value <code>assertionerror</code>.
- E) as a thrown exception of the type <code>java.lang.AssertionError</code> but generated from a Java assert statement. Execution of the program stops with the first violation reported. This reporting mechanism is used if <code>org.jmlspecs.openjml.rac</code> is set to the value <code>javaassert</code>. It is required that the option <code>-esa</code> is used at runtime also. Note that the option <code>-esa</code> is required, rather than <code>-ea.¹</code>

Recall that system properties can be enabled by running the program with a command-line like

```
openjml-java -Dorg.jmlspecs.openjml.rac=stdout MyProgram
```

¹I believe this difference is because when checks are generated as in §8.3.7, the Java assert statements are generated directly in user code, hence the option **-ea** is used. But in the use case described here, the Java assert statement resides in library code that is part of the java.ase module, and hence the **-esa** option is needed.

As an example, the input file

```
public class A {

//@ requires i > 0;
public static void m(int i) {

public static void main(String ... args) {
    m(0); // Precondition error
}
```

when compiled with the command

openjml --rac A.java

and run with

openjml-java A

or

openjml-java -Dorg.jmlspecs.openjml.rac=stdout A
(option A) produces the output

```
A.java:7: verify: JML precondition is false

m(0); // Precondition error

A.java:4: verify: Associated declaration: A.java:7:
public static void m(int i) {

A.java:3: verify: JML precondition is false
//@ requires i > 0;

// **Original Precondition**

A.java:7:
public static void m(int i) {

A.java:3: verify: JML precondition is false
// **@ requires i > 0;
```

If compiled the same way but run with

openjml-java -Dorg.jmlspecs.openjml.rac=showstack A (option B) the output is

```
org.jmlspecs.runtime.JmlAssertionError$Precondition: A.java:7: verify: JML
      precondition is false
      m(0); // Precondition error
  A.java:4: verify: Associated declaration: A.java:7:
    public static void m(int i) {
          at java.base/org.jmlspecs.runtime.Utils.createException(Utils.java:127)
          at java.base/org.jmlspecs.runtime.Utils.assertionFailureL(Utils.java:96)
          at A.main(A.java:1)
  org.jmlspecs.runtime.JmlAssertionError$Precondition: A.java:3: verify: JML
      precondition is false
    //@ requires i > 0;
11
12
          at java.base/org.jmlspecs.runtime.Utils.createException(Utils.java:127)
13
          at java.base/org.jmlspecs.runtime.Utils.assertionFailureL(Utils.java:96)
14
          at A.m(A.java:1)
15
          at A.main(A.java:7)
```

If compiled the same way but run with

openjml-java -Dorg.jmlspecs.openjml.rac=exception A (option C) the output is

If compiled the same way but run with

openjml-java -Dorg.jmlspecs.openjml.rac=assertionerror A (option D) the output is

Finally, if compiled the same way but run with

openjml-java -esa -Dorg.jmlspecs.openjml.rac=javaassert A (option E) the output is

Generally speaking, mechanism (A) or (B) is the easiest and most useful. However, mechanism (C) is useful for fine-grained control over which assertions are reported. Different types of violations have different *labels*, such as Invariant or Precondition. These labels are the same as the warning categories listed in Appendix B.

- If there is a system property org.openjml.exception.label defined for a given label, then the value of that property is expected to be the name of a class that is a subtype of java.lang.Error, and an exception of that class is thrown (if such an exception cannot be created, then an Error of type org.jmlspecs.utils.JmlAssertionError is thrown.
- If there is no such property defined, then an Error of type org.jmlspecs.utils.JmlAssertionError\$label is thrown, if that type exists. Such a class is a nested class defined within JmlAssertionError and so must be part of the OpenJML runtime library. Currently only Precondition and PreconditionEntry are defined, but others may be added in the future. All such nested classes are derived from org.jmlspecs.utils.JmlAssertionError.
- If no such nested class is defined, then an java.lang.Error of type org.jmlspecs.utils.JmlAssertionError is thrown.

The user may include try-catch blocks to catch particular kinds of assertions. This may be useful in performing unit tests for example. A particular distinction useful in automated unit testing is between different kinds of Precondition violations (cf. §8.3.8).

8.5 Exit code from a RAC-ed program

A program compiled with runtime assertion checks is supposed to have the same behavior as the original program except (a) it will emit assertion errors (and may halt early) and (b) it will likely have different time and space performance. In particular though, it will emit the same exit code regardless of any runtime assertion errors.

That is sometimes and sometimes not desirable. Accordingly one can set a property to determine the RAC-compiled program's exit code if assertion errors occur at runtime and the program is allowed to continue to its normal conclusion (behavior (A) in §8.4). If the program is run with the property

-Dorg.jmlspecs.openjml.racexitcode set equal to the string representation of an integer, then that integer will be the program's exit code if any runtime assertion errors occur.

To continue the example of the previous section, the input file

```
public class B {
    public static void main(String ... args) {
        //@ assert args.length == 2;
}
```

when compiled with the command

```
openjml --rac B.java

with

openjml-java -Dorg.jmlspecs.openjml.racexitcode=42 B; echo $?
```

```
42
```

If the program is run with two arguments, as in

```
openjml-java -Dorg.jmlspecs.openjml.racexitcode=42 B 1 2; echo $? then the assertion succeeds, no text is output, and the exit code is 0.
```

8.6 RAC FAQs

and run with

produces the output

This section describes some common problems that users encounter with Open-JML's runtime assertion checking.

8.6.1 Uncompiled fields and methods

When model or ghost fields or methods of class B are used by class A and class A is compiled with RAC, but class B is not, runtime errors will occur. This happens because the content of B.class is just what is produced by the Java compiler and does not have any JML fields or methods. No error occurs at compile time because OpenJML can see the declarations of JML fields and methods in class B; since Java compilation units (e.g., A and B separately) can be compiled separately, the system does not know until runtime that B has not been compiled with JML.

For example, with P. java

```
public class P {

//@ model public static int i;
//@ static represents i = 10;
```

```
and T.java

public class T extends P {

public static void main(String... args) {
    //@ assert i == 11;
}
}
```

then executing the commands

```
openjml --rac T.java
openjml -Xprefer:source --compile P.java
openjml-java T
```

produces the result

```
Exception in thread "main" java.lang.NoSuchMethodError: 'int P.'
model$i()'
at T.main(T.java:4)
```

8.6.2 Non-executable or unimplemented features

Some JML features are not executable by RAC. One example is a quantified expression over unrestricted \bigint or \real variables. Also, some JML constructs are not implemented. If the OpenJML options are set so that no warnings are issued about non-executable or not-implemented features, then some default value is used: boolean expressions typically default to true and clauses typically default to being ignored. This can cause a difference in behavior between RAC and ESC and can also cause confusion in users when comparing RAC output to the JML specifications as written. The recommendation is to always enable the options --show-not-implemented and --show-not-executable for any crucial or final or debugging runs of OpenJML.

These warnings are not issued everywhere they should be.

8.6.3 Try blocks too large

RAC adds a large amount of assertion checking into a Java method. Consequently some Java implementation limitations can be reached. One such limitation is the size of try blocks. Even methods that do not have try blocks of their own are wrapped in try blocks by RAC to check for unexpected exceptions.

A future task is to optimize RAC in a way the minimizes the extra overhead, such as by omitting runtime checks for assertions that are 'obviously' (perhaps easily statically provably) true.

Some tips to avoid this problem are these:

- · Keep methods small
- Limit runtime assertions to just those needed to check crucial invariants and pre-conditions
- Use the --no-rac-check-assumptions and --no-java-checks options.
- Use --rac-show-source=line.
- Do *not* use the -Dorg.jmlspecs.openjml.rac=showstack runtime option.

Chapter 9

OpenJML extensions to JML

The 2nd edition of the Java Modeling Language has many additions and deletions compared to JMLv1. Even so, there are language features that were intentionally omitted from standard JML, primarily because those features deal with proof assistance rather than specification per se. This chapter describes language features that OpenJML provides that are not in standard JML.

The grammar of each feature is given in the same style as is used in the JML Reference Manual 2nd edition.

The --lang option enables a choice among JML language variants. The current options are --lang=openjml (the default) or --lang=jml. With the latter option, warnings are given for any feature that is not strict standard JML. These are only warnings, not errors, unless -Werror is used.

9.1 Syntax

9.1.1 Optional terminating semicolons

JML uses semicolons to terminate statements and clauses. When a JML clause is immediately followed by the end of Java comment, with no immediately consecutive JML comment, then it is clear that the JML clause is ended. In those situations, the terminating semicolon is optional.

For example, in the code snippet

```
j = 1;
//@ assert j == 1;
j = 2;
```

If the semicolon terminating the assert statement is omitted, it triggers only a warning, not an error.

However, if there is a following JML statement, as in

```
j = 1;
//@ assert j == 1;
//@ unreachable
j = 2;
```

then the assert statement requires a semicolon to separate it from the unreachable statement.

9.2 Method specification clauses

9.2.1 behaviors clause

Grammar:

One or more behaviors clauses may be placed at the end of the method specification. They are not part of any specification case. Rather they assert relationships among the specification cases; these assertions are checked during static checking and ignored during runtime checking. Each such clause consists just of the behaviors keyword, a second keyword, and a terminating semicolon. Those second keywords are one of the following:

- complete asserts that the pre-conditions of all of specification cases, including those inherited from super classes and interfaces are together complete, that is, that their disjunction can be proved to be true.
- local_complete asserts that the pre-conditions of all of specification cases of the method as declared within the method's containing class, but

not those inherited from super classes and interfaces are together complete, that is, that their disjunction can be proved to be true.

- disjoint asserts that each pair of pre-conditions taken from all of specification cases, including those inherited from super classes and interfaces are mutually disjoint, that is, that the conjunction of each pair can be proved to be false.
- local_disjoint asserts that each pair of pre-conditions taken from the specification cases declared within the method's containing class, but not those inherited from super classes and interfaces are mutually disjoint, that is, that the conjunction of each pair can be proved to be false.

These checks are not enabled by default. They are ignored during runtime checking. The completeness checks can be a sanity check and a guard against maintenance errors. Disjointness checks guard against pairs of specification cases not being disjoint and then having conflicting post-conditions.

This feature is inspired by the corresponding feature in ACSL [1].

If there is enough use and demand, this clause can be extended to take a list of identifiers of specification cases and then apply the completeness and disjointness tests to that subset of specification cases.

9.3 Specification statements

Specification statements are JML specifications that can be placed where a typical Java statement would be, in the body of a method or initializer block. Recall that JML specifies the behavior of methods and classes, and not the details of method implementations. Any specifications in the body of a method are there either to aid the verification attempt or to understand the relationship between specifications and implementation. Hence JML contains only a few very common specification statements. JML defines these specification statements (cf. JML Reference Manual v2):

- assert statement
- assume statement
- unreachable statement
- local ghost variable and model class declarations

- set statement
- block specifications
- loop specifications

OpenJML adds these, described in succeeding subsections:

```
check statement (§9.3.1)
show statement (§9.3.2)
havoc statement (§9.3.3)
halt statement (§9.3.4)
split statement (§9.3.5)
reachable statement (§9.3.6)
use statement (§9.3.7)
inlined_loop statement (§9.3.8)
comment statement (§9.3.9)
```

9.3.1 check statement

Grammar:

```
<jml-check-statement> ::=
    check <opt-name> <jml-expression> ;
```

Type checking requirements:

• the < jml-expression > must be boolean

A check statement behaves just like a JML assert statement except for this: after a check statement, the predicate is *not* assumed to be true, as it is for an assert. Thus, in this code fragment

```
// c possibly null
//@ check c != null;
//@ int i = c.value;
```

a tool should give two errors: one that the check statement is not provable and a second that there might be a null-dereference in the c.value expression. In contrast, if an assert were used instead of the check, there would only be a verification failure on line 2; after that assert, c != null is presumed to be true.

A check statement is useful for inquiring about the truth of a given predicate

without otherwise disturbing the logic of a program. A check statement is considered a *soft assertion*, whereas an assert statement is a *hard assertion*.

9.3.2 show statement

Grammar:

```
<jml-show-statement> ::= show <opt-name> <jml-expression> ... ;
```

Type information:

The expressions in the show statement may have any type other than void.

The show statement is a debugging statement and may be ignored by tools. If implemented, the expected behavior is this:

- When executed during runtime-assertion-checking, it prints out (as with System.out.println) the values of the given expressions. As the expressions may be JML expressions, they are not accessible to debugging of the Java program itself.
- In static checking, if a proof of a method fails with a counterexample, then the counterexample contains the values of the show-statement expressions for inspection, associated with some identifying information.

The show statement provides functionality similar to the \lbl expression, but more conveniently. As is the case for all JML expressions, the show statement has no side-effects.

9.3.3 havoc statement

Grammar:

```
<havoc-statement> ::=
   havoc <opt-name> <store-ref-expression> ...;
```

The havoc statement includes a list of *<store-ref-expressions>*, just like an assignable clause. The effect of the havoc statement is that all the listed memory locations are given new values that are arbitrary except that they satisfy the type and invariant constraints for the type of the memory location. The havoc statement can be used to simulate an arbitrary input or the effect of a method call.

Here is a simple example. In this code, the assert statements all succeed, except the last one. Note that we cannot simply omit the initializers in the variable declarations because Java requires all variables to be initialized before they are used; that rule applies to uses in JML statements as well.

```
public class Demo {

public static void main(String... args) {
    int i = 0;
    short s = 0;
    //@ assert i == 0;
    //@ havoc i, s;
    //@ assert Integer.MIN_VALUE <= i <= Integer.MAX_VALUE;
    //@ assert Short.MIN_VALUE <= s <= Short.MAX_VALUE;
    //@ assert i == 0; // FAILS
}
</pre>
```

In RAC, a havoc statement is ignored, though havoced memory locations may in the future be initialized with some arbitrary value.

In ESC, at present, the expressions in the havoc statement may not contain store-ref wildcards.

9.3.4 halt statement

```
Grammar: <halt-statement> ::= halt <opt-name> [ ; ]
```

A halt statement in the body of a method causes OpenJML to stop translating statements of the method body. Only implicit or explicit assertions up to the point of the halt statement will be checked. This statement provides an easy way to include less or more of the body of a method in the proof attempt, in order to see where a problem with the proof may lie.

If the method body has various conditional branches or loops, the halt statement only stops translation for the branch in which it appears. To stop processing in all branches, a halt must be placed in each one. On the other hand, by placing a halt in some but not all branches, one can determine which branches are successfully proved and which are causing the proof to fail. If the halt statement is not in a branch, then the post-conditions are elided also.

For example, running --esc on this code

```
public class Demo {
    //@ ensures false;
```

```
public static void main(String ... args) {
    if (args.length == 1) {
        //@ assert false;
}

//@ halt;
if (args.length == 2) {
        //@ assert false;
}

//@ assert false;
}
```

produces

```
Demo.java:6: verify: The prover cannot establish an assertion (Assert) in method main

//@ assert false;

1 verification failure
```

Because processing stops with the halt statement, no verification errors are produced for the second assert statement or the post-condition.

halt statements are ignored when running RAC.

9.3.5 split statement

Grammar:

```
<split-statement> ::= split <opt-name> [ <expression> ];
```

Type information: If the optional *<expression>* is present, it must have boolean type.

Normally OpenJML constructs a single large verification condition for a method and submits it to the back-end logic solver. The solver, which is highly optimized, finds any violations of any assertion in the verification condition. Sometimes however this VC is just too large and it needs to be broken up into smaller proof attempts.

One way to break up a proof is to use block specifications, which are part of standard JMLv2. In one proof the body of a block statement is verified against its specification and in a second proof the block specification is used as a summary that abbreviates the block when the rest of the method body is verified. Statement specifications cause splits into subproofs without an explicit split statement.

The split statement provides a second way to break up a proof. It can be used in three situations:

- Just before an if or switch statement
- Just before a loop statement (but after the loop specifications)
- at any statement location if the optional boolean expression is present.

Only in the last case is the optional expression permitted.

The effect of the split statement is to divide the monolithic proof attempt for a method into multiple proof attempts.

- If the split is before an if statement, then the proof is split in two, one for each branch of the if; in one proof the then branch is followed, in the other the else branch is followed. If the if statement is an if-elseif-else chain, with the statement comprising the else branch being an if statement itself (and not a block) then the split annotation on the outer if statement applies to the whole chain.
- If the split is before a switch statement, the proof is split into multiple subproofs, one for each case of the switch.
- If the split is before a loop, then there are two subproofs, one for the body of the loop and one for the exit branch. For and while loops can be split, but do-while loops cannot.
- If the split is a standalone statement with a boolean expression, two subproofs are constructed, one when the expression is true and one when it is false.

It is permitted to have multiple split statements in a method body. In that case, the splits may be multiplicative, depending on where in the control flow they appear. For example, if there are three consecutive if-statements, each preceded by a split statement, eight different verification conditions will be created. On the other hand, if one if-statement is in the then-branch of an enclosing if-statement, then there will be three proof attempts, for the then-then, then-else, and else control flows.

Using a split command automates some manual uses of halt commands to select various control flow branches to test.

Here are a set of short examples. Use the command

```
\label{eq:continuous} \text{openjml } \text{--esc } \text{--progress Demo.java} to see the different proofs.
```

9.3.5.1 If split

Demo file:

```
public class Demo {

public static void test(int i) {
    //@ split
    if (i > 0) {
        //@ assert i > 1; // ERROR
    } else if (i < 0) {
        //@ assert i < -1; // ERROR
    } else {
        //@ assert i == 0; // OK
    }
}</pre>
```

The output is

```
1 Proving methods in Demo
2 Starting proof of Demo.Demo() with prover !!!!
  Completed proof of Demo.Demo() with prover !!!! - no warnings
4 Starting proof of Demo.test(int) with prover !!!!
5 Proof attempt for split A
 Demo.java:6: warning: The prover cannot establish an assertion (Assert) in method
      test
       //@ assert i > 1; // ERROR
9 Result of split A is Not verified
10 Proof attempt for split BA
11 Demo.java:8: warning: The prover cannot establish an assertion (Assert) in method
        //@ assert i < -1; // ERROR
14 Result of split BA is Not verified
15 Proof attempt for split BB
  Result of split BB is Verified
17 Composite result Not verified
18 Completed proof of Demo.test(int) with prover !!!! - with warnings
19 Completed proving methods in Demo
  2 warnings
```

There are three subproofs, having the identifiers A, BA and BB.

9.3.5.2 Switch split

Demo file:

```
public class Demo {
    public static void test(int i) {
      //@ split
      switch (i)
        case 0:
          //@ assert i > 1;
          break;
        case 1:
          //@ assert i == 1;
          break;
        default:
12
          //@ assert i == 0;
13
14
15
```

The output is

```
1 Proving methods in Demo
2 Starting proof of Demo.Demo() with prover !!!!
  Completed proof of {\tt Demo.Demo}\left(\right) with prover !!!! - no warnings
4 Starting proof of Demo.test(int) with prover !!!!
5 Proof attempt for split A
6 Demo.java:7: warning: The prover cannot establish an assertion (Assert) in method
       test
          //@ assert i > 1;
 Result of split A is Not verified
10 Proof attempt for split B
  Result of split B is Verified
12 Proof attempt for split C
13 Demo.java:13: warning: The prover cannot establish an assertion (Assert) in
      method test
        //@ assert i == 0;
14
15
16 Result of split C is Not verified
17 Composite result Not verified
18 Completed proof of Demo.test(int) with prover !!!! - with warnings
19 Completed proving methods in Demo
  2 warnings
```

A subproof is attempted for each of the three cases of the switch statement.

9.3.5.3 **Loop split**

Demo file:

```
public class Demo {

//@ requires 0 <= i;

public static void test(int i) {
   int k = 0;
   //@ maintaining 0 <= k <= i;</pre>
```

```
//@ decreases i-k;
      //@ loop_modifies k;
      //@ split
      while (k < i) {
10
        k++;
        //@ assert 0 <= k <= i; // OK
12
13
        //@ assert k == 0; // ERROR
14
15
      //@ assert k == i; // OK
      //@ assert k == 10; // ERROR
17
18
```

The output is

```
1 Proving methods in Demo
2 Starting proof of Demo.Demo() with prover !!!!
  Completed proof of Demo.Demo() with prover !!!! - no warnings
4 Starting proof of Demo.test(int) with prover !!!!
 Proof attempt for split A
 Demo.java:13: warning: The prover cannot establish an assertion (Assert) in
      method test
        //@ assert k == 0; // ERROR
  Result of split A is Not verified
  Proof attempt for split {\tt B}
  Demo.java:16: warning: The prover cannot establish an assertion (Assert) in
      method test
      //@ assert k == 10; // ERROR
12
14 Result of split B is Not verified
15 Composite result Not verified
  Completed proof of Demo.test(int) with prover !!!! - with warnings
17
  Completed proving methods in Demo
  2 warnings
```

9.3.5.4 Boolean split

Demo file:

```
public class Demo {

public static void test(int i) {
    //@ split i == 1;
    //@ assert i > 0; // OK for one split, not for the other
}
```

The output is

```
Proving methods in Demo
Starting proof of Demo.Demo() with prover !!!!

Completed proof of Demo.Demo() with prover !!!! - no warnings
Starting proof of Demo.test(int) with prover !!!!

Proof attempt for split A
```

```
Result of split A is Verified
Proof attempt for split B

Demo.java:5: warning: The prover cannot establish an assertion (Assert) in method test

//@ assert i > 0; // OK for one split, not for the other

Result of split B is Not verified

Composite result Not verified

Completed proof of Demo.test(int) with prover !!!! - with warnings

Completed proving methods in Demo

warning
```

9.3.5.5 Statement spec split

Demo file:

```
public class Demo {
    //@ requires 0 <= n < 100;
    //@ ensures \result == n*(n-1)/2;
    public static int test(int n) {
      int sum = 0;
      //@ refining
      //@ assigns sum;
      //@ ensures sum + sum == n*(n-1);
      //@ maintaining 0 <= i <= n;</pre>
11
      //@ maintaining sum + sum == i * (i-1);
      //@ loop_assigns sum;
      //@ decreases n-i;
14
      for (int i=0; i<n; i++) {</pre>
15
        sum += i;
16
18
19
20
      //@ assert sum == n*(n-1)/2;
      return sum;
21
23
```

The output is

```
Proving methods in Demo

Starting proof of Demo.Demo() with prover !!!!

Completed proof of Demo.Demo() with prover !!!! - no warnings

Starting proof of Demo.test(int) with prover !!!!

Proof attempt for split A

Result of split A is Verified

Proof attempt for split B

Result of split B is Verified

Composite result Verified

Completed proof of Demo.test(int) with prover !!!! - no warnings

Completed proving methods in Demo
```

Here the 'B' proof is the proof of the body of the statement specification, that

is the loop. The 'A' proof summarizes the block containing the loop with just the statement specification, which states what changed in the block and what the result of the block's computation is (i.e. the post-condition) and goes on to include (and verify) the rest of the method body.

9.3.5.6 The --split option

Each subproof is given a designator consisting of a sequence of uppercase letters. For example an if-else-statement each branch of which contained another if-else-statement would spawn four subproofs designated AA, AB, BA, BB, where the first letter indicates which branch of the first if is followed and the second letter indicates the branch of the second if. These designators can be used with the --split command-line option.

For example, the if-split example above (§9.3.5.1 shows three subproofs, labelled A, BA and BB. The command

```
openjml -esc -split=BA,BB Demo.java
```

will attempt just the second and third of these subproofs.

The argument of the --split option is a comma-separated list of such proof designators. If the argument is an empty string, then all subproofs are attempted.

The negated form, --no-split, takes no argument and disables all the split statements. The split statements are always disabled for RAC.

9.3.6 reachable statement

Grammar:

```
<jml-reachable-statement> ::= reachable <opt-name> [ ;
]
```

The reachable statement asserts that there exists a feasible execution path that reaches this statement.

The examples that follow are explained by the comments:

The reachable statement is only used when checking the feasibility of a program, answering questions such as can execution ever go down a certain execution path; it is also used to check whether the specifications for a method are accidentally contradictory, in which case the method body is not feasible. For example, verification of the following code will fail at the reachable statement because the pre-condition contradicts the else branch of the if-statement; if the pre-condition holds, the else branch will never be executed; consequently the code within the else branch will not be verified either.

```
//@ requires i > 0;
void m(int i) {
   if (i > 0) { ... }
   else {
        //@ reachable
        throw new RuntimeException("Argument not positive");
   }
}
```

Reachability checking is discussed in more detail in §7.2.

9.3.7 use statement

Grammar:

```
<jml-comment-statement> ::=
    comment <opt-name> <jml-expression> ;
```

The use statement is a proof aid. It states a lemma that the prover then can use to prove subsequent code.

Examples of the use statement are needed here.

9.3.8 inlined loop statement

The inlined_loop statement is an experimental statement used to assist in the proofs of situations in which a called routine has a specification containing a model program that contains a loop.

9.3.9 comment statement

Grammar:

```
<jml-comment-statement> ::=
    comment <opt-name> <jml-literal-expression> ;
```

The comment statement takes a single expression that must be a string literal. The effect of the statement is to insert in the translated code a (Java) comment containing the given string. This can be useful when inspecting the output of the --show option (§5.5). It is purely a debugging aid. The string literal may be a concatenation (with +) for compile-time constant strings performed by the compiler.

9.4 Modifiers

9.4.1 skipesc and skiprac

The modifiers skipesc and skiprac are permitted on methods and classes. Their effect is to turn off any ESC (verification) or RAC compilation (respectively) for that method or for any method contained within the class (or contained in nested classes, recursively).

The same effect can be achieved using a **--method** or **--exclude** command-line option, but the modifiers allow semi-permanent disabling of, say, verification attempts of a very-long-to-verify method. Of course, for soundness, one needs to verify all methods self-consistently eventually.

9.4.2 inline

The inline modifier may be applied to a method that has a body. The effect is to replace a call to the method with an inlining of its body (just for verifi-

cation, not for compilation). In ESC, then, it serves to eliminate the need for a specification, as the body now serves as the statement of what the method accomplishes. This is a very basic form of specification inference and is most applicable to simple methods like getter and setter methods.

- the inline modifier is only applicable in ESC; it is ignored for RAC and every other operational mode
- the callee method (the one marked inline) is still verified as usual, ignoring the inline modifier; if it has no explicit specifications, it is verified against the usual default specifications; a method marked inline typically, but not necessarily, has no explicit specification
- the caller checks any explicitly given specifications of the callee (such as
 explicit requires clauses) but also inlines the body of the callee; the effect
 is that the body serves as a model program (though it is interpreted as Java
 code, not JML statements)
- An inline method must be final; a final modifier may be added in IML.

For example, with the code

```
public class Demo {

//@ inline final
public void callee(int i) {

//@ assert i > 0;
}

public void caller() {

callee(10);
callee(-10);
}

2
}
```

OpenJML produces the output

The first verification failure message is the failure to verify the assert when checking the callee method. The second failure indicates a failure of the assert statement when it is inlined in place of callee (-10), as indicated by the 'Associated declaration' message. There is no failure of the assert statement when it is inlined in place of callee (10). Without the inline modifier, caller would verify without error.

9.4.3 query and secret

These are experimental modifiers in OpenJML used to specify observational purity and hidden state.

9.4.4 immutable

Some Java classes, such as Integer and String, create *immutable* objects: once an instance is constructed, it cannot be changed. All methods have no side-effects and there are no fields to be assigned.

This is the intent of the immutable modifier — to mark such kinds of classes. However sufficient questions remain so that this is still an experimental feature under discussion.

- Is the immutability shallow or deep? That is, if an immutable object captures other objects, which are then part of its representation, must those objects in turn be immutable?
- What if a method (m (T t))) of the immutable object calls methods of its arguments (T.p()) which do have side effects somewhere? Then m itself cannot be pure.
- Must immutable classes be final? Or is immutability inherited?
- May immutable classes be derived from non-immutable parents? Then the immutable class might have mutable fields?
- The Object class might have mutable ghost fields, like owner. Should that prevent any Java class from being declared immutable? Even what seem like obvious candidates like Integer?
- How does immutability interact with observational purity?

So at present, although immutable is a recognized modifier on a class, it does not imply any particular behavior or obligations.

9.4.5 **@Options**

The @Options annotation can annotate a class, interface, or method declaration. The effect is to have any command-line options present in the argument of the annotation be applied to the method or to all the methods (recursively) contained within the given class or interface declaration.

The argument of the @Options annotation is either a String literal or a brace-enclosed, comma-separated list of String literals, as in either

```
@Options("--esc-max-warnings=1")
or
@Options({"-esc-max-warnings=1", "-check-feasibility=basic"}).
For this feature, only the annotation @Options can be used, not a simple modifier (i.e., options). However, @Options(...) may be placed within JML annotation text so it does not affect the Java program:
```

```
//@ @Options("--esc-max-warnings=1")
public void m() { ... }
```

Only OpenJML (not OpenJDK) options may be applied in this way, and only those whose effect is directly on the ESC or RAC translation of the method. For example, --specs-path is used during parsing and typechecking, and so would not be allowed to be applied to a method in this way, but --esc-bv=false can be.

9.4.6 Experimental modifiers

Some other modifiers are under discussion, but not yet implemented in OpenJML. These include

- · two state
- captured
- · spec_pure
- strictly_pure
- infer (or something like it)

9.5 Expressions

9.5.1 \exception

Just as \result is an expression that denotes, in an ensures post-condition, the value returned by a return statement, \exception denotes the exception thrown on exit from a method. Although in a signals clause, there already is a variable declared representing the exception, that is not true of other clauses that are evaluated in an exceptional post-condition, such as duration and working_space.

The expression \exception

- · is null in a normal exit from the method
- has type java.lang.Exception except in a signals clause, where it has the same type as the declared variable

9.5.2 Enhancements to conditional annotations: \key

Besides the conditional annotation syntax described in §4.5, OpenJML also allows the following.

• In expressions, the term \key(id), is either a true or false Boolean literal, depending on whether the given *id* is defined as a conditional annotation key or not. The *id* is a comma-separated list of simple identifiers and a string literals, though almost always just one. If there is more than one, the expression is true iff all of the identifiers are defined. The keys here are the same keys as are used in §4.5, defined in the same way with the --keys option. A \key expression may be combined in larger expressions just like any other boolean subexpression. The substitution of a boolean literal for the \key term takes place during parsing.

Here is an example of the \key notation:

```
public class Demo {

public static void test() {
    //@ assert \key("OPENJML",ESC); // OK when run in OpenJML and --esc
    //@ assert \key(A); // OK if A is defined as a key, ERROR otherwise
}

}
```

The command openiml --esc Demo.java produces

```
Demo.java:5: verify: The prover cannot establish an assertion (Assert) in method test

//@ assert \key(A); // OK if A is defined as a key, ERROR otherwise

1 verification failure
```

However, the command openjml --esc --keys=A Demo.java verifies both assert statements.

9.6 Enhancements to the maps clause

In OpenJML, the maps clause allows a comma-separated list of storeref expressions, not just one. That is the grammar is

```
<maps-clause> ::=
    maps <storeref> ... \into <identifier> ... ;
```

9.7 Other topics to include, possibly

This is a list of various topics to be discussed, eventually:

- reasoning about captured objects (including capture modifier)
- non_null_elements
- adding new specification types
- post for old/pre declarations in specifications
- \nonnullelements for collection classes
- specification of lambda functions
- begin end markers
- inline_loop
- \values
- \reach
- multiple arguments for \invariant_for, \static_invariant_for
- invariants method spec clause

- use of for_example as feasibility; also feasibility_behavior
- recommends-else, requires-else, also-else
- expanded array-range syntax; store-refs that include expressions
- allow optional semicolons
- functional form of \lbl

Chapter 10

Using OpenJML and OpenJDK within user programs

10.1 Executing openiml

OpenJML can be executed programmatically. Here is a sample program:

```
import java.io.*;
 import org.openjml.IAPI;
 public class Run {
   public static void main(String... args) {
     PrintWriter pw = new PrintWriter(System.out);
     int ex = -1;
     try {
       ex = IAPI.openjml("--esc", "A.java");
       System.out.println("EXIT: " + ex);
       ex = IAPI.openjml("--rac", "A.java");
       System.out.println("EXIT: " + ex);
       IAPI api = IAPI.make();
       ex = api.execute("--check", "B.java");
       System.out.println("EXIT: " + ex);
     } finally {
       pw.close();
18
```

The program can be compiled and run with these two commands:

```
openjml-compile Run.java
openjml-run Run
openjml-java A
```

Here A. java, B. java and Run. java are expected to be in the current directory, and openjml-compile and openjml-run must be on your executable PATH or replaced by filepaths to the locations of those scripts in the installation directory.

The openjml-compile executable compiles a program, like javac, except that it includes the openjml-enhanced jdk code and relevant options about modules to make it convenient to compile programmatic calls to openjml. Similarly, openjml-run is like java, but adds in the relevant options for using openjml code programmatically. openjml-java is also like java, but only adds in the runtime-environment needed to execute the rac-compiled class (here A).

In the Run.java program, there are two calls that execute the same logic as the openjml command-line. The first, IAPI.openjml (a static method), runs to completion and retains no intermediate state. The second uses a context object, an instance of IAPI, calling the non-static method execute.

The context object will eventually allow inspection of intermediate results such as type-checked ASTs corresponding to the program. Different context objects can be used to execute separate compilations, even concurrently (though this has not been tested, nor has the OpenJDK code been reviewed for thread-safety.)

Java 9 introduced modules to the Java language. OpenJDK 21, on which OpenJML is built, heavily uses modules to constrain access to internal aspects of the OpenJDK compiler. Consequently, exposing internal data structures as one would like in a programmatic API is more challenging than with non-module versions of OpenJDK. So, the programmatic API is in progress.

10.2 Redirecting output

The default behavior is for error and warning messages to be printed to System .out. This behavior can be changed in two ways: (a) by designating alternate

output PrintWriters and (b) by designating a DiagnosticListener (see the following section).

Alternate output destinations are designated when the API context is created with the IAPI.make call. The no-argument version of this method simply designates default behavior. Instead one can designate a PrintWriter to use for normal output and a separate one to use for error and warning messages. The full signature of IAPI.make is IAPI.make (PrintWriter out, PrintWriter err, DiagnosticListener<? extends JavaFileObject> listener), with an additional convenience signature: IAPI.make (PrintWriter out, \DiagnosticListener<? extends JavaFileObject> listener), which uses the same PrintWriter for both outputs. Any of the parameters may take a null value, which means to use the default behavior.

10.3 Collecting Diagnostics

One can also register a (just one) listener for diagnostics. Such a listener implements the interface DiagnosticListener, which has just one method: report (Diagnostic diagnostic).

An example listener is shown below:

```
class Listener implements DiagnosticListener<JavaFileObject</pre>
    > {
   @Override
   public void report(Diagnostic<? extends JavaFileObject>
    diagnostic) {
     System.out.println("DIAGNOSTIC REPORTED");
     System.out.println("
                              Kind:
                        + diagnostic.getKind());
     System.out.println("
                              Source:
                        + diagnostic.getSource());
     System.out.println("
                              Start position: "
                        + diagnostic.getStartPosition());
     System.out.println("
                              Position:
                        + diagnostic.getPosition());
13
     System.out.println("
                              End position:
                        + diagnostic.getEndPosition());
14
     System.out.println("
                              Line number:
15
                        + diagnostic.getLineNumber());
```

CHAPTER 10. USING OPENJML AND OPENJDK WITHIN USER PROGRAMS 107

```
System.out.println(" Column number: "
+ diagnostic.getColumnNumber());
System.out.println(" Message: "
+ diagnostic.getMessage(java.util.Locale.
getDefault()));

21
}
```

This listener can be combined with this class:

```
public class Run {

public static void main(String... args) {
    try {
        IAPI api = IAPI.make(new Listener());
        int x = api.execute("--esc","--progress","-jmltesting
        ","A.java");
        System.exit(x);
    } catch (Exception e) {
        System.out.println("Exception: " + e);
    }
}
```

When compiled and run using

```
openjml-compile Run.java
openjml-run Run
openjml-java A
```

the following output is produced:

```
Proving methods in A

Starting proof of A.A() with prover !!!!

Completed proof of A.A() with prover !!!! - no warnings

Starting proof of A.m() with prover !!!!

Completed proof of A.m() with prover !!!! - no warnings

Starting proof of A.m() with prover !!!! - no warnings

Starting proof of A.n() with prover !!!!

DIAGNOSTIC REPORTED

Kind:

MANDATORY_WARNING

Source:

SimpleFileObject[.../A.java]
```

```
Start position: 110
10
     Position:
                     110
11
     End position:
                     110
12
                     7
     Line number:
     Column number: 9
     Message: The prover cannot establish an
    assertion (Postcondition) in method n
 DIAGNOSTIC REPORTED
     Kind:
                    MANDATORY_WARNING
17
     Source:
                     SimpleFileObject[.../A.java]
     Start position: 58
     Position:
                     58
20
                     58
     End position:
21
     Line number:
22
     Column number: 9
23
                    Associated declaration
     Message:
 Completed proof of A.n() with prover !!!! - with warnings
 Completed proving methods in A
```

10.4 Tokenizing text

The programmatic API allows tokenizing text, producing a standalone sequence of tokens. Because OpenJDK'x Token class is not readily accessible outside of OpenJDK, the API here returns WrappedTokens, from which information about the underlying token can be obtained.

Here is an example of using the iterator, given a piece of input text:

CHAPTER 10. USING OPENJML AND OPENJDK WITHIN USER PROGRAMS 109

10.5 Access to parsed ASTs

This capability and other such functionality is in development.

Chapter 11

Extending OpenJML

This chapter is draft material under development.

11.1 Basic Concepts

11.2 Organization of OpenJDK and OpenJML implementation

OpenJML is designed (though somewhat incompletely as yet) to be extendable without too much major surgery on the implementation. All the JML clauses, modifiers, types and the like are defined in *extension* files. These files must be compiled and combined with the build of OpenJML, perhaps as a library, but they will need to inherit from portions of the existing implementation. The process for adding new features is described in the sections of this chapter.

The user-supplied extension files do need to be found by OpenJML when it starts. These files can be placed directly in the org.jmlspecs.openjml.ext package and folder and compiled with the rest of OpenJML, or they can be compiled separately and linked in as part of the classpath. If they are in a different package than org.jmlspecs.openjml.ext, OpenJML must be told what package they are in via the --extensions command-line option (which can also be defined in a properties file, cf. §4.3).

- 11.3 Adding command-line options
- 11.4 Adding modifiers
- 11.5 Adding statement specification clauses
- 11.6 Adding method specification clauses
- 11.7 Adding class specification clauses
- 11.8 Adding built-in types
- 11.9 Adding new AST nodes
- 11.10 Adding new compiler phases

Chapter 12

Other OpenJML tools

12.1 Inferring specifications

This section will expanded in the future.

The ability to infer specifications, saving the work of writing them, is an anticipated addition to OpenJML.

Specifications can only be inferred accurately in limited situations. At present specifications are inferred in the situations described in the following subsections.

12.1.1 loop_modifies clauses

The loop_modifies clause, if absent from a loop specification, is inferred by analyzing the pattern of assignments in the loop body. The inferred set of storerefs always includes the loop index from a for statement or the implied loop index for an enhanced for statement. Also the JML identifier \count is always included.

12.1.2 invariants describing static final fields

Java can have fields that give symbolic names to compile-time constants. In fact, such declarations are encouraged, so as to avoid 'magic numbers' in the source code. These fields are typically public, static and final; they do

not change after a class has completed its static initialization. There is thus an implicit invariant that each such field maintains its initial value.

It is a nuisance and verbose to have to state this fact as an invariant in each class. Thus for each class OpenJML infers a static invariant that states that each public, static, final field has its initial value after static initialization is complete. Each class will also include in its local invariant the corresponding invariant from its superclass and super interfaces.

Note that the inferred invariant only includes fields that are public, static, final and are initialized with a compile-time constant expression (this excludes constant strings).

(cf. https://docs.oracle.com/javase/specs/jls/se21/html/jls-15.html#jls-15.29 for details.)

12.2 Generating Documentation

This section will be added later.

12.3 Generating Specification File Skeletons

This section will be added later.

12.4 Generating Unit Test framework

This section will be added later.

12.5 Generating Test Cases

This section will be added later.

12.6 Symbolic Execution and Abstract Interpretation

This section will be added later.

Chapter 13

Limitations of OpenJML's implementation of JML

13.1 Soundness and Completeness

Much is made of the soundness and completeness claims of program analysis tools. In fact program verifiers and bug finding tools use the terms *soundness* and *completeness* in different ways. One way to think about this question is in terms of the guarantees that a tool claims to make.¹ A tool can be said to be *sound* if the guarantee it makes actually holds. It is *complete* if it identifies all situations in which its guarantees do not hold. Consider the partitioning of the space of actions and results of tools shown in Fig. 13.1 from the points of view of bug-finding tools and deductive verification tools.

Bug-finders Users looking for bugs waste time analyzing bug reports that are not actual bugs; that is, they want Q_2 in Fig. 13.1 to be empty, ideally. They are

¹Gary leavens suggested this approach.

	P has a bug at L	P does not have a bug at L
T reports a bug at L	Q_1	Q_2
T does not report a bug at L	Q_3	Q_4

Figure 13.1: Combinations of the behavior of a program P and tool T concerning a bug at program location L

not so concerned that all bugs are reported (that is, that Q_3 is empty); rather they need to find and fix the most bugs of consequence in a fixed amount of time[30, 19, 20]. Consequently the soundness goal for a bug-finder is this: any reported bug is a true bug (Q_2 is empty). A secondary goal is completeness: all bugs are found (Q_3 is empty).

Program verifiers A program verifier, on the other hand is concerned that all bugs are reported, even if some of them, because of limitations of the tools, are not real bugs. The soundness claim for a program verifier is all actual bugs are reported by the tool. That is, Q_3 is empty. A secondary goal is completeness: all bugs reported are actual bugs (Q_2 is empty).

Tools cannot achieve both soundness and completeness. In practice some tradeoff between them is necessary in practical and usable tools. A bug-finder could report no bugs and be 100% sound, but also totally incomplete and thus unusable; it could report bugs everywhere and be 100% complete, but unsound and also unusable. Some researchers have advocated considering soundiness[28]: recognizing that tools cannot be completely sound and carefully describing in what ways they are not. Practioners are then aware of the capabilities and limitations of a tool.

In particular program verifiers typically analyze only a portion of the programming language they address. They may be sound for that portion, but they are then not sound for the whole language, unless they report a warning for any feature present that is only approximately analyzed; in that case the feature is an incompleteness. If most programs contain unimplemented features then the tool becomes much less usable, as unimplemented features may cause significant swaths of a program to be unanalyzed.

OpenJML aspires to be a program verifier for Java, so an important limitation is that it does not analyze all of Java. It does intend to warn the user of any feature in the target program that is not supported and to progressively work to implement missing features. Nevertheless we wish to be clear about what aspects of a program contribute to unsoundness or incompleteness in its goal of reporting all bugs in a program, interpreted as inconsistencies between a program and its specifications. (The question of whether a consistent combination of specification and implementation actually matches the users' intent and expectation of a program, that is, whether safety, security and correctness are actually achieved by the specification, is left to other, human, processes.)

Note at the start that all tools suffer from this potential unsoundness: the tool may contain bugs that lead to missing actual errors. And little of sophisticated program analysis tools are actually verified themselves.

13.2 Java and JML features not implemented in OpenJML — General issues

Currently OpenJML does not completely implement JML or Java. The differences are enumerated in the remainder of this chapter. Gaps in representing Java reduce soundness, as bugs in unanalyzable parts of a program are not found; gaps in implementing JML are a completeness issue as they reduce the expressiveness of the portion of JML that OpenJML can use, thereby reducing the ability to prove that a construct is correct and increasing the number of non-bugs reported.

13.2.1 Non-conservative defaults

- Methods are assumed to be deterministic (the same result is produced for the same arguments in the same state); any non-determinism must be explicitly specified. The most conservative default would be non-determinism. However, deterministic behavior is by far more common and certainly generlly expected by users.
- Constructors are assumed to only set their own fields.

13.2.2 Unchecked assumptions

JML allows the introduction of unchecked assumptions as assume statements and axioms, and it allows analyzing only a portion of a program using the halt statement. It is, however, straightforward to be sure that in a final verification, no such statements are present.

13.2.3 Numeric and bit-vector arithmetic

OpenJML does not handle arbitrary mixtures of integer and bit-vector operations. Such a situation does produce warnings.

13.2.4 Verification of Java system libraries

To have a fully sound verification, all classes and methods used in a program must be verified. A typical program uses classes from the JDK (at least Object). These are not verified. Though one might hope that they are in the future, the effort to do so would be very substantial and likely require tools with capabilities more than OpenJML. Errors in the (only manually reviewed) JML specifications for the JDK are a soundness risk in verifying Java programs.

13.2.5 Java Errors

JML and OpenJML make no claims about programs that throw Java Errors, like OutOfMemoryError, whether they are caught and handled internally or whether they cause a program abort. For example, a program might be able to be specified and verified that it never crashes with an Exception, but the same cannot be said for an Error.

13.2.6 Non-sequential Java

JML makes no claims to specify non-sequential Java. Likely, JML needs additional capabilities to do so effectively. There are some language features that are the start of such support: monitored_for, monitored, and operations on sets of locks (\lockset and \max).

13.2.7 Reflection

JML does not provide language features to specify or reason about reflection.

13.2.8 Class loading

JML does not provide language features to specify or reason about class loading.

13.2.9 Hash codes

The hashCode of an Object may depend on the allocated memory location. Programs indiscriminately depending on the values of such hashCodes are non-deterministic, as they are affected by concurrent, unpredictable garbage col-

lection actions of the JVM. No rules for analysis of such programs have been developed for JML as yet.

13.2.10 Modules and annotation processing

OpenJML does not implement anything special for either Java modules or Java's annotation processing. Nor does JML define any behavior regarding these Java features.

13.3 Java and JML features not implemented in OpenJML — Detailed items

13.3.1 Clauses and expressions

These JML features are parsed and typechecked but not otherwise implemented in either ESC or RAC.

- \only_assigned
- \only_accessed
- \only_captured
- \only_called
- \only_assigned
- duration
- working_space
- \duration
- \working_space
- \space

13.3.2 Termination

OpenJML does prove termination of loops, but it does not yet prove termination of recursive or mutually recursive calls. This requires working out the usability and semantics of the measured_by clause and default well-founded measures for termination.

13.3.3 Redundancy

OpenJML does not fully implement the redundancy features of JML. OpenJML currently

- treats the redundant keywords precisely like their non-redundant counterparts and
- ignores the implies_that and for_example specification cases.

13.3.4 Arithmetic mode

- OpenJML does not implement code_bigint_math
- OpenJML does not consistently implement floating point mathematics
- OpenJML does not interpret the strictfp Java modifier, though when floating point is supported, it will only be for strictfp (that is IEEE 754 compliant) calculations.

13.3.5 Quantifiers

OpenJML does not support the \sum, \product, and \num_of quantifiers, nor the set comprehension expression. The \choose operation is only supported in runtime checking.

13.3.6 Static initialization

Verification of reentrant static initialization and the uninitialized keyword is not yet completed.

13.3.7 model import statement

OpenJML currently translates a JML model import statement into a regular Java import statement. Consequently, names introduced in a model import statement are visible in both Java code and JML annotations. This has consequences in the situation in which a name is imported both through a Java import and a JML model import. Consider the following examples of involving packages a and b, each containing a class named X.

In these two examples,

```
import a.X;
//@ model import b.X;
```

```
import a.*;
//@ model import b.*;
```

the class named x is imported by both an import statement and a model import statement. In JML, the use of x in Java code unambiguously refers to a.x; the use of x in JML annotations is ambiguous. However, in current OpenJML, the use of x in both contexts will be identified as ambiguous.

In

```
import a.*;
//@ model import b.X;
```

a use of X in Java code refers to a.X and a use in JML annotations refers to b.X. However, in current OpenJML, both uses will mean b.X.

However,

```
import a.X;
//@ model import b.*;
```

is unproblematic. Both JML and OpenJML will interpret x as a . x in both Java code and JML annotations.

13.3.8 Model programs

OpenJML only partially implements model programs, which includes these features of JML:

- the extract modifier and clause
- the choose clause
- the choose if clause
- the or clause
- the returns clause
- the breaks clause
- the continues clause

13.3.9 Universe types

OpenJML does not implement JML's Universe types, including readonly, peer, rep, $\protect\operatorname{rep}$, $\protect\operatorname{rep}$.

Chapter 14

Contributing to OpenJML

Up to date information for OpenJML developers is found on the OpenJML GitHub wiki, at https://github.com/OpenJML/OpenJML. Here we give an outline of the relevant topics, but do not describe them in detail, so as not to repeat information which is more easily kept up to date on line.

The source programming language for OpenJML is Java. OpenJML builds on the OpenJDK reference java compiler (https://openjdk.java.net).

14.1 GitHub

The GitHub project named OpenJML (github.org/OpenJML) holds a number of related repositories (some of them no longer maintained):

- OpenJML: contains the core software for OpenJML, including the modified OpenJDK and the tests. The relevant top-level directories in this repo are
 - OpenJML21
 - OpenJMLTest
 - The other top-level folders are no longer used
- JMLAnnotations: the source for the org. jmlspecs.annotation package
- Specs: the source for the JML specifications for the Java system library classes
- Solvers: binary instances of SMT solvers that are released with OpenJML.

• openjml.github.io: the repository holding the material for the Open-JML website at www.openjml.org, including the tutorial material.

Other important materials that should be maintained and improved:

- A wiki describing how to create and use a development environment for OpenJML (https://github.com/OpenJML/OpenJML/wiki)
- The issue reporting tool for recording and commenting on bugs or desired features (https://github.com/OpenJML/OpenJML/issues)
- The https://github.com/JavaModelingLanguage/RefMan repository, which contains discussions of the definition and semantics of JML, is more closely related to JML itself, but is very relevant to the ongoing development of OpenJML.

These repositories are out of date (and may be deleted from the HEAD of the repository):

- OpenJMLDemo: demo material for OpenJML
- OpenJML-UpdateSite: the update site for the Eclipse plug-in
- SMTSolvers: an Eclipse feature plug-in containing the Solvers project, so the solvers can be distributed through an update site
- jdk8u-dev-langtools: an obsolete snapshot of the OpenJDK8 sources
- try-openjml
- OpenJMLFeature
- openiml-installer

14.2 User documentation

User-facing documentation consists of the following:

- The github-pages website accessible at www.openjml.org, which includes descriptive information (e.g., how to install) and a tutorial. The sources for this set of web pages are in the openjml.github.io repo listed above.
- This document, the *OpenJML User's Guide*. This is a LaTeX document maintained in OverLeaf, with pdfs distributed with OpenJML releases. You may need an invitation to have access to the LaTeX source material.
 (https://www.overleaf.com/project/620c2512d552cc226f5f4c94)
- The JML Reference Manual is an endeavor independent of but closely re-

lated to tool projects like OpenJML. It is maintained in Overleaf at https://www.overleaf.com/project/5ceee26404c2854a1590029f

The domain name www.openjml.org is currently maintained at NameCheap.

14.3 Maintaining the development wiki

The development wiki at https://github.com/OpenJML/OpenJML/wiki is a native GitHub wiki. Its intention is to record the processes and policies followed in OpenJML development. Changes to the infrastructure should be recorded there, sufficient to allow new developers to create a correct development environment, run tests, and create releases on GitHub, etc.

14.4 Issues

Bugs, new feature requests, user problems and the like are recorded in the GitHub Issues tool for the project (https://www.github.com/OpenJML/OpenJML/issues). The issues list is somewhat polluted by issues imported from the old Sourceforge site, but those that do not concern OpenJML are all more than a decade old and have been closed on that account. This list is the record of questions, bugs and of some of the feature requests.

OpenJML does not use the project management features of GitHub.

14.5 Creating and using a development environment

14.5.1 **Setup**

The instructions for creating a development environment are on the wiki at https://github.com/OpenJML/OpenJML/Wiki/OpenJML-Development-Environment-Setup. The process is to clone several GitHub repos in sibling folders.

14.5.2 Building OpenJML

The build instructions are at https://github.com/OpenJML/OpenJML/wiki/Building-OpenJML.

The build is Makefile-driven, using modest additions to the OpenJDK Makefile. The Makefile is in the folder with the source code:

- make openjml builds the executables
- make release builds a trial release
- make release—test runs a smoke test on the most recent trial release build

A build of openiml produces these relevant files:

- openjml the OpenJML tool (an enhancement of javac)
- openjml-java an enhancement of java, which includes the runtime libraries needed for running RAC-compiled executables
- jmlruntime.jar the runtime library needed to execute RAC-compiled files with standard java (not needed when running with openjml-java)

14.6 Running tests

The tests are organized as unit and functional tests in the <code>OpenJMLTest</code> folder.

The procedure for running tests is maintained here: https://github.com/OpenJML/OpenJML/wiki/OpenJmlTe

14.7 Deploying a release

Releases of OpenJML are built and deployed through GitHub, using GitHub actions. The description of the release process is maintained here: https://github.com/OpenJML/OpenJML/wiki/CreatingReleases.

IN brief, the release procedure has these steps:

- Merge all materials onto the current master branches of the OpenJML, Specs, Solvers, and JMLAnnotations repositories
- · Be sure that all tests run successfully
- push those master branches to the github repo. The push of the Open-JML current master branch (master-21 as of this writing) triggers the automated release build as a github actionn.

- The github action creates a release candidate on the releases page of the OpenJML project
- The human in charge of the release then needs to verify that the release built and deployed successfully, edit the release notes, and then "publish" the release on GitHub.

14.8 Updating to newer versions of OpenJDK

As newer versions of Java are defined and corresponding releases of OpenJDK are available, one needs to merge the changes in OpenJDK into the OpenJML source. The process is a bit complex and can involve significant manual labor and debugging. It essentially consists of these steps. The procedure is maintained on the wiki here: https://github.com/OpenJML/OpenJML/wiki/JavaUpdate.

Appendix A

Command-line options

These tables reproduce for convenience Tables 5.1 and 5.2 in the body of the text.

Options inherited from OpenJDK		
See the Java documentation for more detail		
@ <filename></filename>	read options from a file. This is implemented only for Java op-	
	tions, not OpenJML options	
-Akey	options to pass to annotation processors	
add-modules <modulelist></modulelist>	[§5.10] see Java documentation re modules	
-bootclasspath <path></path>	See Java documentation	
boot-class-path <path></path>		
-cp <i><path></path></i>		
-classpath <i><path></path></i>	[§4.2] location of input class files	
classpath <path></path>		
-d <i><directory></directory></i>	location of output class files	
-deprecation	warn about use of deprecated features	
enable-preview	enables preview language features	
-encoding <encoding></encoding>	character encoding used by source files	
-endorseddirs <i><dirs></dirs></i>	see Java documentation	
-extdirs <i><dirs></dirs></i>	see Java documentation	
-g	generate debugging information	
-h <directory></directory>	location of generated header files	
-?		
-help	[§5.5] output (Java and JML) help information	
help		
help-extra	[§5.5] help about extra options	

Options inherited from OpenJDK (cont.)		
See the Java documentation for more detail		
-implicit	whether or not to generate class files for implicitly referenced	
	classes	
-J <flag></flag>	flags for the runtime system	
limit-modules <modulelist></modulelist>	[§5.10] see Java documentation re modules	
-m <modulelist></modulelist>	[§5.10] see Java documentation re modules	
module <i><modulelist></modulelist></i>		
module-path <path></path>	[§5.10] see Java documentation re modules	
module-source-path <path></path>	[§5.10] see Java documentation re modules	
module-version <version></version>	[§5.10] see Java documentation re modules	
-nowarn	[§5.5] show only errors, no warnings	
-p <path></path>	[§5.10] likemodule-path see Java documentation re modules	
-parameters	see Java documentation	
-proc	see Java documentation re annotation processing	
-processor <i><classes></classes></i>	see Java documentation re annotation processing	
processor-module-path <path></path>	see Java documentation re annotation processing	
-processorpath <path></path>	where to find annotation processors	
processor-path <path></path>	see Java documentation re annotation processing	
-profile	see Java documentation	
release <release></release>	target release for compilation	
-s <directory></directory>	location of output source files	
-source <i><release></release></i>	the Java version of source files	
source <i><release></release></i>		
-sourcepath <path></path>	[§4.2] location of source files	
source-path <i><path></path></i>		
system <i><jdk></jdk></i>	see Java documentation	
-target <release></release>	the Java version of the output class files	
target <release></release>		
upgrade-module-path <path></path>	[§5.10] see Java documentation re modules	
-verbose	[§5.5] verbose output for Java compiler only, not OpenJML	
-version	[§5.5] output (OpenJML) version	
version		
-X	[§5.5]Java non-standard extensions	
-Werror	[§3.4]treat warnings as errors	

Table A.1: OpenJML options inherited from Java. See the text for more detail on each option.

	Options specific to JML		
Options in	ndicated with [-]- <name> may be spelled</name>		
	with either one or two hyphens, with two preferred		
arithmetic-failure <mode></mode>	[§4.11.1] sets the mode for arithmetic checks: hard, soft (the		
	default) or quiet		
[-]-check	[§5.3] typecheck only (command=check)		
check-accessible	[§7.3.4.1] whether to check accessible clauses (default: true)		
-checkAccessible	[3/18/11/] Whether to eneck accessis to chause (acraals true)		
[-]-check-feasibility < list>	[§7.2] kinds of feasibility to check		
-checkFeasibility < <i>list</i> >	(default: none)		
[-]-check-specs-path	[§4.2] warn about non-existent specs path entries		
-checkSpecsPath	(default: on)		
[-]-code-math <mode></mode>	[§4.11] arithmetic mode for Java code (default: safe)		
[-]-command <i><action></action></i>	[§5.3] which action to do: check esc rac compile, default		
	is check		
[-]-compile	[§5.3] typecheck JML but compile just the Java code		
[]	(command=compile)		
[-]-counterexample	[§7.3.6] show a counterexample for failed static checks		
-ce	(default: off)		
[-]-defaults < list>	enables various default behaviors - in development		
[-]-determinism	Experimental:		
dir <i><dir></dir></i>	[§5.4] argument is a folder or file; enables processing all .java		
	files in a folder		
dirs	[§5.4] subsequent arguments are folders or files (until an argu-		
	ment is an option)		
[-]-esc	[§5.3] do static checking (command=esc)		
esc-bv	[§4.12] whether to use bit-vector arithmetic (default: auto)		
-escBV			
esc-max-warnings <n></n>	[§7.1.1§7.3.5] max number of verification errors to report		
-escMaxWarnings <n></n>	(default: unlimited)		
-esc-warnings-path	[§7.1.1 §7.3.5] whether to find all failure paths to a failing as-		
	sertion		
	(default: off)		
[-]-exec <file></file>	[§7.3.2] file path to prover executable (default: installed exe-		
	cutable)		
[-]-exclude <i><patterns></patterns></i>	[§7.3.3] paths to exclude from verification (default: no exclu-		
	sions)		
[-]-extensions <i><classes></classes></i>	[§11] comma-separated list of extensions classes and packages		
	(default: no additional extensions)		
[-]-inline-function-literal	Experimental		
-java	[§5.2] use the native OpenJDK tool, with no JML features (de-		
	fault: off)		
-jml	[§5.2] process JML constructs (default: on)		

Options specific to JML (cont.)		
Options indicated with [-]- <name> may be spelled</name>		
with either one or two hyphens, with two preferred		
-jmldebug	[§5.5] very verbose output (includes -progress)	
	(verboseness=4)	
-jmltesting	[§5.5] changes some behavior for testing (default: false)	
[-]-jmlverbose	[§5.5] JML-specific verbose output (verboseness=3)	
[-]-keys	[§4.5] define keys for optional annotations (default: no keys)	
[-]-lang <language></language>	[§9] the JML variant to use (openjml (the default), jml)	
[-]-logic <i><name></name></i>	[§7.3.2] name of SMT logic to use (default: ALL)	
[-]-method <i><patterns></patterns></i>	[§7.3.3] methods to include in verification (default: all meth-	
	ods)	
[-]-new-is-pure	when enabled (default off) allows allocations using pure con-	
	structors to be used in specifications	
nonnull-by-default	[§5.4] values are not null by default	
-nonnullByDefault	(default: on)	
[-]-normal	[§5.5] only outputs errors; no other progress information	
	(verboseness=1)	
nullable-by-default	[§5.4] values may be null by default	
-nullableByDefault	(default: off)	
[-]-os-name <name></name>	[§7.3.2] Operating System name to use in selecting prover (de-	
	fault: "" (auto), or one of macos, linux, windows)	
[-]-progress	[§5.5] outputs errors, warnings, progress and summary infor-	
	mation (verboseness=2)	
[-]-properties <i><file></file></i>	[§4.3] property file to read (value required)	
[-]-prover <i><name></name></i>	[§7.3.2] prover to use (default: z3-4.3)	
purity-check	[§6.3] check for purity in libraries (default: on)	
-purityCheck		
[-]-quiet	[§5.5] no informational output (verboseness=0)	
[-]-rac	[§5.3] compile runtime assertion checks (command=rac)	
rac-check-assumptions	[§8.3.1] enables (default on) checking assume statements	
-racCheckAssumptions	as if they were asserts	
rac-compile-to-java-assert	[§8.3.7] compile RAC checks using Java asserts (default: off)	
-racCompileToJavaAssert	, ,	
rac-java-checks	[§8.3.2] enables (default on) performing JML checking	
-racJavaChecks	of violated Java features	
-rac-missing-model-field-rep-	In development	
source		
-racMissingModelFieldRepSource		
-rac-missing-model-field-rep-	In development	
binary	•	
-racMissingModelFieldRepBinary		
rac-precondition-entry	[§8.3.8] distinguishes pre-condition failures from external vs	
,	internal calls	
	internal calls	

Options indic with either on -racPreconditionEntry -rac-show-source [racShowSource racShowSource]	tions specific to JML (cont.) cated with [-]- <name> may be spelled ne or two hyphens, with two preferred [§8.3.6] includes source location in RAC assertion failure messages (values: none, line, source (default)) [§6.1] whether white space is required after an @ (default: false)</name>
-racPreconditionEntryrac-show-source -racShowSource racShowSource	[§8.3.6] includes source location in RAC assertion failure messages (values: none, line, source (default)) [§6.1] whether white space is required after an @ (default:
-racPreconditionEntryrac-show-source [-racShowSource r	[§8.3.6] includes source location in RAC assertion failure messages (values: none, line, source (default)) [§6.1] whether white space is required after an @ (default:
rac-show-source [-racShowSource r	messages (values: none, line, source (default)) [§6.1] whether white space is required after an @ (default:
-racShowSource r	messages (values: none, line, source (default)) [§6.1] whether white space is required after an @ (default:
	§6.1] whether white space is required after an @ (default:
[-]-require-write-space	
f	
-	[§5.5] prints the details of source transformation (default: false)
show-not-executable [§8.3.4] warn about features not executable, inrac operations
	default: off)
	§5.4] warn about features not implemented
-showNotImplemented (default: off)
	§7.3.3] show methods whose proofs are skipped (default: true)
-skipped	
	shows a summary of numbers of methods and classes proved
	n one run of OpenJML (requiresprogress)
	[§5.5] turns off all (error, warning, informational) output ex-
C	cept the error code (verboseness=-1)
smt filename [§7.3.10] where to write generated SMT files (for off-line use
C	or inspection): default is no output
[-]-solver-seed	[§7.3.10] seed to pass on to the SMT solver (default: 0 - no seed)
[-]-spec-math <mode></mode>	[§4.11] arithmetic mode for specifications (default: bigint)
specs-path [[§4.2] location of specs files
-specspath	
	[§9.3.5.6] splits proof of method into sections (default: no split)
stop-if-parse-errors [[§6.1] stop if there are any parse errors (default: off)
	don't do type checking or verification attempts)
-staticInitWarning E	Experimental
[-]-subexpressions	[§7.3.6] show subexpression detail for failed static checks (de-
	fault: false)
	[§7.3.10] timeout for individual prover attempts (default: no
	imit)
	[§7.3.6] show a trace for failed static checks (default: false)
	enable SMT triggers (default: true)
	Experimental
	§5.5] level of verboseness (0=quiet 4=jmldebug) (default: 1, normal)
[-]-verify-exit <n> [</n>	§7.3.10] exit code for verification errors (default: 6)
[-]-warn < list>	§5.8] comma-separated list of warning keys (default: no keys)

Table A.2: OpenJML options. See the text for more detail on each option.

Appendix B

Static and Runtime verification failure examples

This Appendix lists, in tables below, the various kinds of verification failures that OpenJML detects. Subsequent subsections provide examples of the most common of these. The table entries contain links to the appropriate example subsection.

To simplify language, the descriptions of failures may say that a failure is issued when a particular condition is false. In RAC this is the case: the assertion is found to be false in the particular execution of the program. For ESC, it is more accurate to say that OpenJML could not establish that the condition is always true; there may be a counterexample, but it may also be that the necessary proof is too complex for the prover.

B.1 Tables

The various warnings issued by ESC or RAC are grouped into categories to make them easier to understand.

- Assertions or verification conditions generated by the semantics of Java and JML are reported by either ESC or RAC. These are listed in Table B.1
- Assumptions generated by the semantics of Java and JML are just assumed and not validated by ESC; RAC can optionally check them, under control of the option --rac-check-assumptions (§8.3.1). These are listed in Table B.2.
- Some items are similarly named, beginning with either Possibly... or Undefined... . The Possibly label is used if the condition cannot be ruled out at the given location in Java code; the Undefined... label is used where the condition makes a JML expression not well-defined.

Table B.1: Static warnings about assertions. These warnings are reported in RAC if the given condition is found to be false when executing the program; the warnings are reported in ESC if the prover cannot prove the condition is always true.

Warning class	Description	
Accessible	an expression uses memory locations that violate an accessible clause	
ArithmeticCastRange	[§B.3] the argument for an arithmetic cast operation is out of range for the target type	
ArithmeticOperationRange	[§B.4] the result of an arithmetic operation is out of range for its result type	
Assert	[§B.5] an explicit assert cannot be proved valid	
AssumeCheck	TODO - assumption?	
Assignable	[§B.6] an assignment or method call violates an assignable clause	
Axiom	TODO - assumption	
Callable	a method call violates a callable clause	
Constraint	[§B.9] a constraint clause is not proved valid as part of a method post-condition	
ExceptionalPostcondition	[§B.11] an exceptional post-condition (signals clause) is not proved valid	

APPENDIX B. STATIC AND RUNTIME VERIFICATION FAILURE EXAMPLES 134

Static warnings about assertions (cont.)	
Warning class	Description
ExceptionList	an exception is thrown that is not in the signals_only exception list
Initially	an initially clause is not valid as part of a constructor post-condition
Invariant	
InvariantReenterCaller	
InvariantEntrance	
InvariantExit	
InvariantExceptionExit	
InvariantExitCaller	
LoopCondition	
LoopDecreases	the value in a loop decreases clause does not decrease in a loop iteration
LoopDecreasesNonNegative	the value in a loop decreases clause is negative at the beginning of a loop iteration
LoopInvariant	a loop invariant is not valid after the body of a loop
LoopInvariantAfterLoop	a loop invariant is not valid on exit from the loop
LoopInvariantBeforeLoop	a loop invariant is not valid before the first iteration of the loop
NullCheck	
NullField	as part of the post-condition of a method, a class field declared non_null cannot be proved to be not null
PossiblyBadCast	a reference expression cannot be proved to have the type requested in the cast
PossiblyBadArrayAssignment	assignment of a reference to an array where the reference type is not a subtype of the underlying array index type (a Java ArrayStoreException)
PossiblyNegativeIndex	[§B.13] the index of an array index operation is negative
PossiblyNegativeSize	[§B.14] an array creation expression has a negative size
PossiblyNullDeReference	an expression being dereferenced is null
PossiblyNullField	a NonNull field has a null value when checked as part of invariants
PossiblyNullValue	the value for a switch, throw, or synchronized statement is null
PossiblyNullUnbox	a null reference is being unboxed to a primitive
PossiblyNullAssignment	a null value is being assigned to a NonNull location
PossiblyNullInitialization	a NonNull field or variable is being initialized with a null value

APPENDIX B. STATIC AND RUNTIME VERIFICATION FAILURE EXAMPLES 135

Static warnings about assertions (cont.)		
Warning class	Description	
PossiblyTooLargeIndex	[§B.15] the index of an array index operation is larger or equal to the array length	
PossiblyDivideByZero	the denominator of a division operation is 0	
PossiblyLargeShift	the shift amount in a left shift operation is larger or equal to the number of bits in the left-hand argument (this is not illegal in Java, but usually surprises users)	
Postcondition	[§B.16] a post-condition (ensures clause) is not valid	
Precondition	[§B.17] the composite pre-condition of a method being called cannot be proved valid	
Reachable	there is no execution path to a Reachable statement (ESC only)	
Readable-if	a field is read when the readable-if condition is not valid	
StaticInit	invariants or non-nullness of fields cannot be proved valid in static initialization	
UndefinedBadCast	within a JML expression, a reference expression cannot be proved to have the type requested in the cast	
UndefinedDivideByZero	the denominator of a division operation is 0 in a JML expression	
UndefinedNegativeIndex	the index of an array index operation is negative in a JML expression	
UndefinedNegativeSize	the size of an array is negative in a JML expression	
UndefinedNullDeReference	an expression being dereferenced is null in a JML expression	
UndefinedNullUnbox	a null reference is being unboxed to a primitive in a JML expression	
UndefinedNullValue	in a JML expression, an expression in a switch, throw or syncronized expression is null	
UndefinedPrecondition	the pre-condition of a (pure) method being called in a JML expression does not hold	
UndefinedTooLargeIndex	the index of an array index operation is larger or equal to the array length in a JML expression	
Unreachable	there is an execution path to a unreachable statement	
Writable-if	a field is written when the readable-if condition is not valid	

Table B.2: RAC warnings about assumptions (RAC only). These warnings are enabled only when --rac-check-assumptions is enabled.

Warning class	Description
ArrayInit	
ArgumentValue	
Assignment	
Assume	an explicit assume statement is found to be invalid
CatchCondition	
ImplicitAssume	reported when an implicit assumption, generated internally by OpenJML, is found to be invalid
LoopInvariantAssumption	
Lbl	
MethodAxiom	
MethodDefinition	
NullField	a class field designated non_null is found to be null when read
Precondition	reported when the composite pre- condition of a method called within the body of the method being checked is found to be invalid during execution (check occurs in callee)
ReceiverValue	
Return	
SwitchValue	
Synthetic	
Termination	

B.2 Examples

For convenience, the failures are listed in alphabetical order by warning id, as given in an error message.

Each failure is illustrated with an example. In each case the example is a class Demo.java. To run these examples, prefix the openjml and openjml-java executables with the path to the installation folder on your system, or put the installation folder on your \$PATH.

The results of running RAC on each example are similar and not shown. To run RAC, include in the Demo class this main method:

Then compile the Demo class with the command

```
openjml --rac Demo.java
```

and run it with the command

```
openjml-java -cp . Demo
```

Adding different numeric arguments to the end of the command will elicit different behaviors.

These examples are available as part of the release and are tested as part of OpenJML testing.

B.3 ArithmeticCastRange warning

The ArithmeticCastRange failure message is issued whenever an explicit cast operation might cause a truncation in the value.

```
public class Demo {

//@ requires 0 <= i && i < 32768;

static public void demo(int i) {
    short k = (short)i;</pre>
```

The result of ESC is

```
Demo.java:6: verify: The prover cannot establish an assertion (
    ArithmeticCastRange) in method demo

byte b = (byte)i;

1 verification failure
```

Here the pre-condition limits the value of the argument i to be within the range of the short data type. So no message is issued for the cast to a short. However the same is not true of the cast to byte, so OpenJML warns about this cast.

The semantics of Java permit casts to truncate the integer values in this way, so the program is not in error. However, it may not be what the writer intended. If the intention is indeed to truncate the value, then the warning can be safely ignored.

B.4 ArithmeticOperationRange warning

The ArithmeticOperationRange verification failure is issued whenever an arithmetic operation cannot be assured to not cause an over or underflow. Note that over or underflow is a property of the operation, not of any subsequent assignment of the intermediate value produced by the operation.

```
public class Demo {

static public void demo(int i) {
   int kkk = i + i + i;
   int k = i * i;
}

//@ requires i >= 0 && i < 32000;
static public void demo2(int i) {
   int kk = i + i;
   int k = i * i * i * i;
}

// Int k = i * i * i * i;
}
</pre>
```

The result of ESC is

```
Demo.java:5: verify: The prover cannot establish an assertion (
     ArithmeticOperationRange) in method demo: int multiply
     overflow
     int k = i * i;
 Demo.java:4: verify: The prover cannot establish an assertion (
     ArithmeticOperationRange) in method demo: underflow in int
     int kkk = i + i + i;
 Demo.java:4: verify: The prover cannot establish an assertion (
     ArithmeticOperationRange) in method demo: overflow in int sum
     int kkk = i + i + i;
 Demo.java:4: verify: The prover cannot establish an assertion (
    ArithmeticOperationRange) in method demo: overflow in int sum
     int kkk = i + i + i;
pemo.java:4: verify: The prover cannot establish an assertion (
    ArithmeticOperationRange) in method demo: underflow in int
     SIIM
     int kkk = i + i + i;
14
16 Demo.java:11: verify: The prover cannot establish an assertion (
     ArithmeticOperationRange) in method demo2: int multiply
     overflow
     int k = i * i * i * i;
17
 Demo.java:11: verify: The prover cannot establish an assertion (
     ArithmeticOperationRange) in method demo2: int multiply
     overflow
     int k = i * i * i * i;
20
21
22 7 verification failures
```

In method demo, the value of the argument is unconstrained, so it is possible that an overflow or underflow can occur on addition or multiplication. In method demo2, the value is constrained, so addition overflow and underflow cannot occur.

The semantics of Java permits integer operations to overflow and wrap-around in 2's-complement arithmetic. So if intended, the operation is not illegal; however it can cause confusion. For instance, in Java, (x+1) > (y+1) does not mean x > y, because y might be the maximum value of an int, and y+1 the minimum value.

Even if an operation's result is out of range, the result is still the result Java would give and no assumptions are made that restrict the operands' values.

B.5 Assert warning

The Assert failure is issued whenever an explicit JML assert statement is false.

```
public class Demo {

static public int demo(int i) {
    if (i > 0) return 1;
    //@ assert i < 0;
    return i;
}

//@ requires i >= 0;
static public int demo2(int i) {
    if (i > 0) return 1;
    //@ assert i == 0;
    return i;
}
```

The result of ESC is

```
Demo.java:5: verify: The prover cannot establish an assertion (Assert) in method
demo
//@ assert i < 0;
1 verification failure</pre>
```

Note that the assert in method demo2 does not provoke a verification failure message because the combination of the pre-condition for the method and the branch condition on the line above imply that the assert is valid.

B.6 Assignable warning

An Assignable verification warning indicates that a memory location is being written to that is not listed in the frame condition of the method's specification.

For example, this example

```
public class Demo {
   public int f;

//@ assigns \nothing;
   public void demo(int i) {
      f = i; // ERROR - f is not in assigns clause
}
```

produces this output

```
Demo.java:6: verify: The prover cannot establish an assertion (Assignable: Demo.
    java:4:) in method demo: f
    f = i; // ERROR - f is not in assigns clause
    ^
Demo.java:4: verify: Associated declaration: Demo.java:6:
    //@ assigns \nothing;
    ^
7 2 verification failures
```

B.7 Assume warning (RAC only)

assume statements are a means to state conditions that are known to be true, but might not be provable by OpenJML; they may also be used to restrict the range of expected values for some quantities at a given point in the program. ESC assumes the predicate is true and uses it to establish later conditions.

RAC has the option to check if indeed the predicate in an assume statement is true, by using the --rac-check-assumptions option.

Thus this code

```
public class Demo {

static public int demo(int i) {
   if (i > 0) return 1;
   //@ assume i < 0;
   return i;
}

@org.jmlspecs.annotation.SkipEsc
@org.jmlspecs.annotation.SkipRac
public static void main(String ... args) {
   int i = args.length == 0 ? 0 : Integer.parseInt(args[0]);
   demo(i);</pre>
```

Without the --rac-check-assumptions option, no output is emitted.

B.8 CompletePreconditions

A CompletePreconditions failure is issued when the pre-conditions of a method are found to violate the behaviors local_complete or behaviors complete clauses in the method specifications (§9.2.1).

Here are two examples. This code

```
public class Demo {

//@ requires i < 0;
//@ also
//@ requires i > 0;
//@ behaviors complete;
public void m(int i) {}
```

fails with openjml --esc Demo.java, giving this output

```
Demo.java:6: verify: The prover cannot establish an assertion (
CompletePreconditions) in method m

//@ behaviors complete;

^
1 verification failure
```

because the pre-conditions of the two specification cases together do not cover all possibilities for the input state (here just the value of i).

A second example shows the use of local_complete.

```
class Parent {
   //@ requires i == 0;
   public void m(int i) {}
 public class Demo extends Parent {
   //@ also
   //@
        requires i < 0;
   //@ also
   //@
         requires i > 0;
   //@ behaviors complete; // OK
   //@ behaviors local_complete; // ERROR
   @Override
   public void m(int i) {}
15
16
17
```

produces

```
Demo.java:13: verify: The prover cannot establish an assertion (
CompletePreconditions) in method m

//@ behaviors local_complete; // ERROR

^
1 verification failure
```

B.9 Constraint warning

A Constraint failure is issued when the property stated in a constraint clause cannot be assured to hold at the exit of a non-constructor method. The constraint clause is shorthand for a post-condition that would be part of each behavior of each method's specification. A constraint is typically used to state relationships between pre- and post-states that should be maintained by each method.

The following example shows a case where the constraint states that the count value will increase in each method:

```
public class Demo {

private /*@ spec_public */ int count;

//@ public constraint count > \old(count);

//@ requires count < Integer.MAX_VALUE;
//@ assignable count;
public void increment() {
    count++;
}

//@ assignable \nothing;
//@ ensures \result == count;
public int count() {
    return count;
}
</pre>
```

That property is true for the increment () method, but it is not true for the count () method. If the writer intended that count record the number of method calls made, then count () should also increment the count field. On the other hand, if count is just the number of increment () calls, then the constraint should use >= instead of >. The specification and implementation are inconsistent, but without knowing more, we cannot say which is incorrect. In any case, OpenJML issues a warning:

B.10 DisjointPreconditions

A DisjointPreconditions failure is issued when the pre-conditions of a method are found to violate the behaviors local_disjoint or behaviors disjoint clauses in the method specifications (§9.2.1).

Here are two examples. This code

```
public class Demo {

//@ requires i <= 0;

//@ also

//@ requires i >= 0;

//@ behaviors disjoint;

public void m(int i) {}
```

fails with open jml --esc Demo. java, giving this output

```
Demo.java:5: verify: The prover cannot establish an assertion (
    DisjointPreconditions: Demo.java:3:) in method m

//@ requires i >= 0;

Demo.java:3: verify: Associated declaration: Demo.java:5:
    //@ requires i <= 0;

2 verification failures</pre>
```

because the pre-conditions of the two specification cases overlap: the value of 0 for i satisfies both.

A second example shows the use of local_disjoint.

```
class Parent {
    //@ requires i == 0;
    public void m(int i) {}
}

public class Demo extends Parent {

    //@ also
    //@ requires i < 0;
    //@ also
    //@ also
    //@ requires i >= 0;
    //@ behaviors disjoint; // ERROR
    //@ behaviors local_disjoint; // OK
    @Override
    public void m(int i) {}
```

```
}
17
 class Child extends Parent {
20
    //@ also
21
    //@
          requires i <= 0;</pre>
22
    //@ also
23
    //@
           requires i >= 0;
24
    //@ behaviors local_disjoint; // ERROR
    @Override
    public void m(int i) {}
27
28
```

produces

```
Demo.java:11: verify: The prover cannot establish an assertion (
    DisjointPreconditions: Demo.java:2:) in method m

//@ requires i >= 0;

Demo.java:2: verify: Associated declaration: Demo.java:11:

//@ requires i == 0;

Demo.java:24: verify: The prover cannot establish an assertion (
    DisjointPreconditions: Demo.java:22:) in method m

//@ requires i >= 0;

Demo.java:22: verify: Associated declaration: Demo.java:24:

//@ requires i <= 0;

//@ requi
```

B.11 ExceptionalPostcondition warning

The ExceptionalPostcondition warning is issued when the exceptional post-condition, that is, the signals clause, of some behavior of the method cannot be proved true. The exceptional post-condition is the conjunction, in order, of the *signals* clauses of the behavior; note that the implicit post-condition of a signals clause is, if the method terminates with an exception and the exception's type is an instance of the named exception (including any subclass of the exception), then the stated condition must be true. That is, for each clause of

the form

```
signals ( Exc e)expr;
```

for an exception type (subclass of java.lang.Exception Exc and arbitrary variable e, the condition

```
(e \text{ instanceof } Exc) \rightarrow expr
```

must be true, if the method terminates with an exception.

Remember that JML makes no assurances of behavior if a method terminates with a java.lang. Throwable that is not a java.lang. Exception. Also all clauses of a behavior apply only in cases in which the pre-condition of the behavior is true.

In the following example of an ExceptionalPostcondition warning, the specification of demo says that on exit from the method the value of field will be set to the value of the argument i, whether the method exits normally or exceptionally. We can see by inspection that the method init does nothing. However, the specification of init, which is all that is used in checking the behavior of demo, says nothing about its behavior. In particular, according to init's specification, init may throw a runtime exception; if it does then the assignment to field in method demo is skipped and the signals clause does not hold.

```
public class Demo {

static public int field;

//@ ensures field == i;

//@ signals (Exception e) field == i;

static public void demo(int i) {

init();

field = i;

}

static void init() {

}

}
```

Applying ESC to this example indeed produces an ExceptionalPostcondition warning:

```
Demo.java:8: verify: The prover cannot establish an assertion (
ExceptionalPostcondition: Demo.java:6:) in method demo
init();
```

```
Demo.java:6: verify: Associated declaration: Demo.java:8:

//@ signals (Exception e) field == i;

2 verification failures
```

B.12 Initially warning

An Initially failure message is issued when the property stated in an initially clause cannot be assured to hold at the exit of a constructor. The initially clause is shorthand for a post-condition that would be part of each behavior of each constructor's specification, including any unwritten default specification any unwritten default constructor.

The following example illustrates the combination of an initially clause and a default constructor:

```
public class Demo {
   public int count;

//@ public initially count > 0;
}
```

The result of ESC on this example is

```
Demo.java:1: verify: The prover cannot establish an assertion (
    Initially: Demo.java:5:) in method Demo

public class Demo {
    ^
Demo.java:5: verify: Associated declaration: Demo.java:1:
    //@ public initially count > 0;
    ^
2 verification failures
```

Here the default constructor leaves the field i at its default value of 0, in violation of the initially clause. Hence, OpenJML issues a warning. Since the default constructor does not appear in the text of the class, the warning message points to the class name.

B.13 PossiblyNegativeIndex warning

Array indices in array element access or assignment expressions must be non-negative values smaller than the size of the array. A PossiblyNegativeIndex verification failure is issued if OpenJML cannot prove that the index of an array access or assignment expression is non-negative.

Applying ESC to this example

```
public class Demo {

public static int[] arr;

//@ requires i < arr.length;
static public int demo(int i) {
   return arr[i];
}
</pre>
```

results in this output:

B.14 PossiblyNegativeSize warning

Java allows constructing new arrays with a run-time determined size, as in
 int[] array = new int[x];

However, trying to create an array with a negative size will result in a runtime error (a NegativeArraySizeException exception). OpenJML issues a PossiblyNegativeSize verification failure if it cannot prove that the argument of an array allocation expression is non-negative.

Applying ESC to this example

```
public class Demo {
```

```
static public int[] demo(int i) {
    return new int[i];
}

//@ requires i >= 0;
static public int[] demo2(int i) {
    return new int[i];
}

return new int[i];
}
```

results in this output:

B.15 PossiblyTooLargeIndex warning

Array indices in array element access or assignment expressions must be non-negative values smaller than the size of the array. OpenJML issues a PossiblyTooLargeIndex verification failure if it cannot prove that the index of an array access or assignment expression is less than the size of the array.

Applying ESC to this example

```
public class Demo {

public static int[] arr;

//@ requires 0 <= i;
static public int demo(int i) {
   return arr[i];
}

//@ requires 0 <= i && i < arr.length;
static public int demo2(int i) {
   return arr[i];
}</pre>
```

```
14 | 15 | }
```

results in this output:

In demo2, the range of the index is appropriately restricted so no verification failure is issued.

B.16 Postcondition warning

The Postcondition verification failure is issued when the post-condition of some behavior of the method is false. The post-condition is the conjunction, in order, of the *ensures* clauses of the behavior. There is a possible additional implicit post-condition that the result of of the method is non-null, if it is so declared (perhaps by default). If the pre-condition is not true for a behavior, then the post-condition need not be true. Postconditions apply only if the method terminates normally; they do not apply if the method ends with an exception, end with exiting the program (abruptly), or does not terminate at all.

This example shows a situation in which the implicit non-null-ness of the return value is not established.

```
public class Demo {

static public void demo(int i) {
    mm(i);
}

//@ requires i > 0;
//@ ensures \result == 1;
//@ also
//@ requires i == 0;
//@ ensures \result == 0;
//@ also
//@ requires i < 0;
//@ also
//@ requires i < 0;
//@ ensures \result == -1;</pre>
```

```
static Integer mm(int i) { // NonNull by default

if (i > 0) return 1;

if (i < 0) return -1;

return null;

}</pre>
```

The result of ESC is

```
Demo.java:15: verify: The prover cannot establish an assertion (
PossiblyNullReturn: Demo.java:15:) in method mm: mm

static Integer mm(int i) { // NonNull by default

Demo.java:15: verify: Associated declaration: Demo.java:15:

static Integer mm(int i) { // NonNull by default

Demo.java:18: verify: Associated method exit

return null;

3
3 verification failures
```

B.17 Precondition warning

The Precondition verification failure is issued when the pre-condition of a method call is false. Note that the pre-condition being checked is the disjunction of the pre-conditions of all of the behaviors of the called method, including any inherited behaviors. That is, at least one of the behaviors must have a true pre-condition. The pre-condition of a behavior is the *conjunction* of the *requires* clauses, in order, of the behavior. There are also implicit requirements: any formal argument of a method that is a non-null reference type implicitly adds the clause requires <code>arg != null;</code> to each behavior.

```
public class Demo {

static public void demo(int i) {
    mm(i);
}

//@ requires i > 0;
//@ ensures \result == 1;
//@ also
//@ requires i < 0;
//@ ensures \result == -1;</pre>
```

The result of ESC is

```
Demo.java:4: verify: The prover cannot establish an assertion (
Precondition: Demo.java:12:) in method demo

mm(i);

Demo.java:12: verify: Associated declaration: Demo.java:4:

static int mm(int i) {

Demo.java:7: verify: Precondition conjunct is false: i > 0

//@ requires i > 0;

Demo.java:10: verify: Precondition conjunct is false: i < 0

//@ requires i < 0;

4 verification failures
```

Note that when the pre-condition is the disjunction of multiple lines, the line reference in the message points to just one of them. It is important to not forget the other, especially inherited pre-conditions.

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BIBLIOGRAPHY 155

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Index

```
-nonnull-by-default, 58, 71
                                           -proc, 45
-nullable-by-default, 58, 71
                                           -processor, 45
-smt, 42
                                           -processorpath, 45
-?, 41
                                           -s, 43
-Akey, 44
                                           -sourcepath, 44
                                           -verbose, 41, 44
-J, 44
                                           --add-module, 45
-Werror, 44
-X, 41, 44
                                           --arithmetic-failure, 30
                                           --check, 39, 48
-Xprefer, 17, 47
-Xprefer:newer, 44
                                           --check-accessible, 61
-Xprefer:source, 44
                                           --check-purity, 62
                                           --check-specs-path, 41
-bootclasspath, 44
-classpath, 43
                                           --class-path, 43
-cp, 43
                                           --command, 39
-d, 43
                                           --compile, 39
-deprecation, 44
                                           --counterexample, 52
                                           --dir, 12
-ea, 73
                                           --dir, 40
-encoding, 44
-endorsedirs, 44
                                           --dirs, 12
-extdirs, 44
                                           --dirs, 40
                                           --doc, 40
-g, 44
-help, 41
                                           --esc, 39
-implicit, 44
                                           --esc-bv, 30
-java, 39
                                           --esc-max-warnings, 50
-jml, 39
                                           --esc-warnings-path, 50
-jmltesting, 42
                                           --extensions, 110
-m, 45
                                           --help, 41
-no-jml, 39
                                           --help-extra, 41
-nowarn, 44
                                           --jmldebug, 41
                                           --jmlverbose, 41
-p, 46
```

keys, 40, 101	verboseness, 42
lang, 83	verify-exit, 64
limit-modules, 45	version, 41
logic, 59	warn, 44
module, 45	\exception, 101
module-path, 45	\key, 101, 102
module-source-path, 45	immutable, 99
module-version, 45	inline, 97
no-check-specs-path, 18	query, 99
no-java-checks, 82	secret, 99
no-rac-check-assumptions, 82	comment statement, 97
no-smt, 65	<pre>inlined_loop statement, 97</pre>
nonnull-by-default, 40	use statement , 96
normal, 41	check-feasibility, 53
nullable-by-default, 40	esc-bv, 30
os-name, 59	nonnull-by-default, 71
progress, 41	nullable-by-default, 71
purity-check, 48	rac-java-checks, 70
quiet, 41	rac-show-source, 72
rac, 66	show-not-executable, 72
rac, 40, 66	show-not-implemented, 72
require-white-space, 47	ArithmeticCastRange warning, 137
show, 42	ArithmeticOperationRangewarn-
show-not-implemented, 40	ing, 138
silent, 41	Assert warning, 140
smt, 65	Assignable warning, 140
solver-seed, 64	Assume warning, 141
source, 43	CompletePreconditions, 142
source-path, 44	Constraint warning, 143
specs-path, 40	DisjointPreconditions, 144
split, 95	ExceptionalPostcondition warn-
split, 63, 95	ing, 146
static-init-warning, 62	Initially warning, 148
stop-if-parse-errors, 47	PossiblyNegativeIndex warning,
target, 43	149
timeout, 52, 64	PossiblyNegativeSize warning,
trace, 52	149
upgrade-module-path, 46	PossiblyTooLargeIndex warning,

150	Invariant warning , 134
Postcondition warning, 151	InvariantEntrance warning, 134
Precondition warning, 152	InvariantExceptionExit warn-
@ <filename>, 44</filename>	ing, 134
uninitialized, 119	InvariantExit warning, 134
check statement, 86	InvariantExitCallerwarning, 134
reachable statement, 95	InvariantReenterCaller warn-
show statement, 87	ing, 134
Accessible warning, 133	Java Language Specification, 26
annotations, 25	JSR-308, 26
ArgumentValue warning, 136	Th.1
ArithmeticCastRange warning, 1	33 ^{LD} warning, 136
ArithmeticOperationRange wa	rn-reclise, 7
ing, 133	Hoopoonareron warming, ior
ArrayInit warning, 136	LoopDecreases warning, 134
Assert warning, 133	LoopDecreasesNonNegative warn-
Assignable warning, 133	ing, 134
Assignment warning, 136	LoopInvariant warning, 134
Assume warning, 136	LoopInvariantAfterLoop warn-
AssumeCheck warning, 133	ing, 134
Axiom warning, 133	LoopInvariantAssumptionwarn-
	ing, 136
behaviors clause, 84	LoopInvariantBeforeLoopwarn-
	ing, 134
Callable warning, 133	
CatchCondition warning, 136	maps clause, 102
Constraint warning, 133	MethodAxiom warning, 136
The continue 1 Death and dition we	MethodDefinition warning, 136
ExceptionalPostcondition wa	
ing, 133	NullCheck warning, 134
ExceptionList warning, 134	NullField warning, 134, 136
feasibility, 52, 53	OpenJDK, iv, 1, 3, 5, 7, 122
halt statement, 88	PossiblyBadArrayAssignment warn
havoc statement, 87	ing, 134
	PossiblyBadCast warning, 134
ImplicitAssume warning, 136	PossiblyDivideByZero warning,
Initially warning, 134	135

PossiblyLargeShift warning, 135	UndefinedNegativeIndex warn-
PossiblyNegativeIndex warning,	ing, 135
134	UndefinedNegativeSize warning,
PossiblyNegativeSize warning,	135
134	UndefinedNullDeReference warn-
PossiblyNullAssignment warn-	ing, 135
ing, 134	UndefinedNullUnbox warning, 135
PossiblyNullDeReference warn-	UndefinedNullValue warning, 135
ing, 134	UndefinedPrecondition warning,
PossiblyNullFieldwarning, 134	135
Possibly $NullInitialization$ w	atndefinedTooLargeIndex warn-
ing, 134	ing, 135
PossiblyNullUnbox warning, 134	Unreachable warning, 135
PossiblyNullValue warning, 134	W : 2 112 100
PossiblyTooLargeIndex warning,	Warning, Accessible, 133
135	Warning, Argument Value, 136
Postcondition warning, 135	Warning, ArithmeticCastRange,
Precondition warning, 135, 136	133
DAC (C	Warning, ArithmeticOperationRange
RAC, 66	133
Reachable warning, 135	Warning, ArrayInit, 136
Readable-if warning, 135	Warning, Assert, 133
ReceiverValue warning, 136	Warning, Assignable, 133
Return warning, 136	Warning, Assignment, 136
runtime assertion checking, 66	Warning, AssumeCheck, 133
split statement, 89	Warning, Assume, 136
static initialization, 119	Warning, Axiom, 133
StaticInit warning, 135	Warning Catabole, 133
SwitchValue warning, 136	Warning Canat maint 122
Synthetic warning, 136	Warning Event in all Post condition
3	Warning, Exceptional Postcondition 133
Termination warning, 136	Warning, ExceptionList, 134
type annotations, 25	
HadafinadDadCaah waxing 125	Warning ImplicitAssume, 136
UndefinedBadCast warning, 135	Warning Invariant Entrance 134
UndefinedDivideByZero warning,	_
135	Warning, InvariantExceptionExit,
	1.34

Warning, InvariantExitCaller,	134
134	Warning, PossiblyNullUnbox, 134
Warning, InvariantExit, 134	Warning, PossiblyNullValue, 134
Warning, InvariantReenterCalle	eWarning,PossiblyTooLargeIndex,
134	135
Warning, Invariant, 134	Warning, Postcondition, 135
Warning, Lbl, 136	Warning, Precondition, 135, 136
Warning, LoopCondition, 134	Warning, Reachable, 135
Warning, LoopDecreasesNonNegat	Warning, Readable-if, 135
134	Warning, ReceiverValue, 136
Warning, LoopDecreases, 134	Warning, Return, 136
Warning, LoopInvariantAfterLoo	Warning, StaticInit, 135
134	Warning, SwitchValue, 136
Warning, LoopInvariantAssumpti	Warning, Synthetic, 136
136	Warning, Termination, 136
Warning, LoopInvariantBeforeLo	Warning, UndefinedBadCast, 135
134	Warning, UndefinedDivideByZero,
Warning, LoopInvariant, 134	135
Warning, MethodAxiom, 136	Warning, UndefinedNegativeIndex,
Warning, MethodDefinition, 136	135
Warning, NullCheck, 134	Warning, UndefinedNegativeSize,
Warning, NullField, 134, 136	135
Warning, PossiblyBadArrayAssic	g Mæning ,UndefinedNullDeReference
134	135
Warning, PossiblyBadCast, 134	Warning, UndefinedNullUnbox, 135
$Warning, \verb"PossiblyDivideByZero",$	Warning, UndefinedNullValue, 135
135	Warning, UndefinedPrecondition,
Warning, PossiblyLargeShift, 135	5 135
Warning, Possibly Negative Index	x,Warning, UndefinedTooLargeIndex,
134	135
Warning, Possibly Negative Size,	Warning, Unreachable, 135
134	Warning, Writable-if, 135
Warning, Possibly Null Assignmen	ntwitable-if warning, 135
134	
Warning, PossiblyNullDeReferer	ice,
134	
Warning, Possibly NullField, 134	
Warning, Possibly Null Initializ	zation,