# Java Modeling Language (JML) Reference Manual 2nd edition

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This draft is very much a work in progress with many points under discussion.

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## **Preface**

This document defines the Java Modeling Language (JML), a language in which one can write formal behavioral specifications for Java programs. JML was first a vehicle for discussing theoretical and soundness issues in specification and verification of object-oriented software. It then also became a formal specification language used in education about verification, since Java was a commonly taught language in undergraduate curricula; it is also frequently a basis for master's theses and Ph.D. dissertations. Finally, JML is now being used to help verify, or at least increase confidence in, critical industrial software.

With this broadening of the scope of JML, the JML community, and in particular the participants in the more-or-less annual JML workshops, considered that the long-standing and evolving Draft JML Reference manual [58] should be rewritten, made more precise, and made to represent the current state of JML used in tools. In the process, many outstanding semantic and syntactic issues have been either resolved or clarified. This document, a 2nd edition of the JML Reference Manual, is the result of that collaborative effort. Accordingly this document is a revised, rewritten and expanded reference manual for JML, though it borrows much text from the original document.

The document does not do some other things in which the reader may be interested:

- This document does not describe tools that implement JML or how to use those tools. Some such tools are
  - OpenJML www.openjml.org with its user guide:
     www.openjml.org/documentation/OpenJMLUserGuide.pdf,
     and releases: https:github.com/OpenJML/OpenJML/releases
  - the KeY tool https://www.key-project.org/ including a book about KeY: https://www.key-project.org/thebook2/
- This document is not a tutorial about writing specifications in JML. For such a tutorial, see https://www.openjml.org/tutorial.

You may also be interested in the JML project web site at <a href="http://www.jmlspecs.org">http://www.jmlspecs.org</a>

and the GitHub project for this reference manual, https://github.com/JavaModelingLanguage/RefMan, whose Issues log includes ongoing discussion of JML.

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# **Chapter 1**

# Introduction

JML is a *behavioral interface specification language* (BISL) that builds on the Larch approach [36] [37] and Eiffel [68] [69] (and other languages such as VDM [46] and APP [81]). In this style of specification, which might be called model-oriented [88], one specifies both the interface of a method or abstract data type and its behavior [49]. In particular JML builds on the work done by Leavens and others in Larch/C++ [54] [50] [51]. (Indeed, large parts of this manual are adapted wholesale from the Larch/C++ reference manual [51].) Much of JML's design was heavily influenced by the work of Leino and his collaborators [59] [60] [62], then subsequently by Cok's work on ESC/Java2 [28] and OpenJML [25], the work on the KeY tool [6], and by work on other specification languages such as Spec# [14], ACSL/ACSL++ [16], SPARK [13], and Dafny [63, 61]. JML continues to be influenced by ongoing work in formal specification and verification. A collection of papers relating directly to JML and its design is found at http://www.jmlspecs.org/papers.shtml.

It is important to note that JML is intentionally a specification language for an existing, industrial-grade, heavily-used programming language — Java — that must be usable at scale for realistic software programs. To do this, JML and its tools must work with the large and continually evolving feature set of modern programming languages and the somewhat messy semantics of such languages and their standard libraries. This situation is a contrast to languages designed anew as both a specification programming language, such as Eiffel and Dafny, and in the case of Dafny in particular, designed expressly for proving programs. The latter can have more focused features and clear semantics attuned to proof, but lack a potentially broad user-base.

## 1.1 Behavioral Interface Specifications

The *interface* of a method or type (i.e., a Java class or interface) is the information needed to use it from other parts of a program. In the case of JML, this is the Java

syntax and type information needed to call a method or use a field or type. For a method, the interface includes such things as the name of the method, its modifiers (including its visibility and whether it is final), its number of arguments, its return type, what (checked) exceptions it may throw, and so on. For a field, the interface includes its name, type and modifiers. For a type, the interface includes its name, its modifiers, its package, whether it is a class or interface, its supertypes, and the interfaces of the fields and methods it declares and inherits. JML specifies all such interface information using Java's syntax.

A *behavior* of a method describes the possible state transformations that it performs when invoked. A behavior of a method is specified by describing

- a set of states for which calling the method is permitted, these are called the method's pre-states,
- the set of memory locations that the method is allowed to assign to (and hence may change), and
- the relation between each permitted pre-state and the post-state(s) that the method is supposed to achieve. These *post-states* may result from the method either (a) returning normally, (b) throwing an exception, or (c) not returning to the caller.

The states for which calling the method is defined are formally described by logical predicate called the method's *pre-condition*. The set of locations the method is allowed to assign to is described by the method's *frame condition* [18]. The post-states that are allowed to result from the method returning normally are specified by its *normal post-condition*. Similarly the relationships between the specified pre-states and the states that may result from throwing an exception are described by the method's *exceptional post-condition*. The pre-states for which the method need not return to the caller are described by the method's *divergence condition*. A method specification is thus a generalization of a Hoare triple [40], as adapted by Meyer to the design-by-contract style of specification [67].

The behavior of an abstract data type (ADT) is specified as a combination of the behavior of its methods (specified as described above) and by abstractly describing the states of its objects (and any static fields it may have). The *abstract state* of an object can be specified either by using JML's model and ghost fields [24], which are specification-only fields, or by using a shortcut (spec\_public or spec\_protected) that specifies that some fields used in the implementation are considered to have public or protected visibility for specification purposes. These declarations allow the specifier using JML to model an instance as a collection of abstract instance variables, in much the same way as other specification languages, such as Z [39] [85] or Fresco [86].

## 1.2 A First Example

As a first example, consider the JML specification of a simple Java class Counter shown in Fig. 1.1 on the following page. (An explanation of the notation follows.)

The interface of this class consists of lines 4, 7, 15, 24, and 30.

Line 4 specifies the class name, Counter and the fact that the class is public. Line 7 declares the private field count and also that it is <code>spec\_public</code>, which means that count can be treated as public for specification purposes.

Lines 15, 24, and 30 specify interfaces of the constructor (line 15) and two methods (lines 24 and 30). The methods inc and getCount are specified to be public and to have return types void and long, respectively.

The behavior of this class is specified in the JML annotations found in the special comments that have an at-sign (@) as their first character following the usual comment beginning. Such lines look like comments to Java, but are interpreted by JML and its tools. For example, the JML annotation on line 7 starts with an annotation comment marker of the form /\*@, and this annotation continues until \*/ is seen. In such JML annotations, one can begin and end the comment with one or more at-signs, as in @\*/. And, as in lines 12–14, at-signs at the beginnings of lines in a multi-line comment are also ignored by JML. The other form of such annotations can be seen on line 9, which is a JML annotation that starts with //@ and continues to the end of that line. Note that there can be no space between the start of comment marker, either // or /\*, and the first at-sign; thus // @ starts a comment, not a JML annotation. (See §4 for more details about JML annotations.)

The first annotation, on line 7 of Fig. 1.1 on the next page specifies that the count field is <code>spec\_public</code>, which means that it can be referred to in any (public) specification that has access to the class <code>Counter</code> (cf. §8.1.6). That is, as far as the JML specifications are concerned (but not for Java code), <code>count</code> can be used as if it were declared as <code>public</code>.

The count field is used on line 9 in the public invariant of the class. This invariant says that at the beginning and end of each public method, and at the end of the constructor, the assertion

```
0 <= count && count <= Long.MAX_VALUE
```

will be true. This can be regarded as an assumption at the beginning of each method and as an obligation to make true at the end of each method that might change the value of the field count. (See §7.2 for more about object and class invariants.)

In Fig. 1.1 on the following page, the specification of each method and constructor precedes its interface declaration. This follows the usual convention of Java tools, such as <code>javadoc</code>, which put such descriptive information in front of the method. (See §8 for more details about method specifications.)

The specification of the constructor Counter is given on lines 12–13. The constructor's pre-condition is the predicate following the keyword requires (i.e., true), and

```
package org.jmlspecs.samples.jmlrefman;
3 /** A simple Counter. **/
4 public class Counter {
      /** The counter's value. **/
      /*@ spec_public @*/ private long count = 0;
      //@ public invariant 0 <= count && count <= Long.MAX_VALUE;</pre>
      /** Initialize this counter's value. **/
11
      /*@ requires true;
12
        @ ensures count == 0;
13
        0 * /
14
      public Counter() {
15
        count = 0;
17
18
      /** Increment this counter's value. */
      /*@ requires count < Long.MAX_VALUE;</pre>
        @ assignable count;
21
        @ ensures count == \old(count + 1);
        @ * /
23
      public void inc() {
24
          count++;
25
26
      /** Return this counter's value. */
      //@ ensures \result == count;
      public /*@ spec_:pure @*/ long getCount() {
          return count;
31
32
33 }
```

Figure 1.1: Counter. java, with Java code and a JML specification. The small line numbers to the left are only for the purpose of referring to lines in the text and are not part of the file.

it says that the constructor can be called in any state. Such trivial pre-conditions (and requires clauses) can be omitted. The constructor's post-condition follows the keyword ensures. It says that when the constructor returns, the value in the field count is 0. Note that the value 0 satisfies the specified invariant, as the specification dictates.

The specification of the method inc is given on lines 20–24. Its pre-condition is that count not be the largest value for a long, so that incrementing it does not cause its value to become negative, as that would violate the invariant. Its post-condition says that the final value of count is one more than the value of count in the state in which the method was invoked.

Note that in the post-condition, JML uses a keyword (\old) that starts with a back-slash (\); this lexical convention is intended to avoid interfering with identifiers in the user's program. Another example of this convention is the keyword \result on line 29.

The frame condition expressed in the assignable clause on line 21 says that the method may assign to count, but also prohibits it from assigning to any other locations (i.e. fields of objects) that are visible outside the method and which existed before the method started execution. (See §8 for more details about method framing.)

The post-condition of the getCount method on line 29 says that the result returned by the method (\result) must be equal to the value of the field count.

The method getCount is specified using the JML modifier spec\_pure. This modifier says that the method has no effects, so its assignable clause is implicitly

assignable \nothing;

and allows the method to be used in specification expressions, if desired.

## 1.3 What is JML Good For?

JML is a formal specification language tailored to Java. Its basic use is thus the formal specification of the behavior of Java program classes and interfaces. As it is a behavioral interface specification language, JML specifies how to use such classes and interfaces from *within* a Java program; hence JML is *not* primarily designed for specifying the behavior of an entire program, though it has been used for libraries and sizable sections of programs. So the question "what is JML good for?" really boils down to the following question: what good is formal specification for Java program classes and interfaces?

The two main benefits in using JML are:

- the precise, unambiguous description of the behavior of Java classes and interfaces, and documentation of Java code,
- the possibility of tool support [19].

Although we would like tools that would help with reasoning about the concurrent behavior of Java programs, the current version of JML focuses on the sequential behavior of Java code. While there has been work on extending JML to support concurrency [80], the current version of JML does not have features that specify how Java threads interact with each other. JML does not, for example, allow the specification of elaborate temporal properties, such as coordinated access to shared variables or the absence of deadlock. Indeed, we assume, in the rest of this manual, that there is only one thread of execution in a Java program annotated with JML, and we focus on how the program manipulates object states. To summarize, JML is currently limited to sequential specification; we say that JML specifies the *sequential behavior* of Java classes and interfaces.

In terms of detailed design documentation, a JML specification can be a completely formal contract about an interface and its sequential behavior. Because it is an interface specification, one can record all the Java details about the interface, such as whether a method is final, protected, etc.; if one used a specification language such as OCL, VDM-SL, or Z, which is not tailored to Java, then one could not record such details of the interface, which could cause problems in code integration. For example, in JML one can specify the precise conditions under which certain exceptions may be thrown, something which is difficult in a specification language that is not tailored to Java and that does not model the notion of an exception.

When should JML documentation be written? That is up to you, the user. One goal of JML is to make the notation indifferent to the precise design or programming method used. One can use JML either before coding or as documentation of finished code. While we recommend doing some design, and JML specification of the design, before coding, JML can also be used for documentation after the code is written.

Reasons for formal documentation of interfaces and their behavior, using JML, include the following.

- One can ship the object code for a class library to customers, sending the JML specifications but not the source code. Customers would then have documentation that is precise, unambiguous, but not overly specific. Customers would not have the code, protecting proprietary rights. In addition, customers would not rely on details of the implementation of the library that they might otherwise glean from the code, easing the process of improving the code in future releases.
- One can use a formal specification to analyze certain properties of a design carefully or formally (see [38] and Chapter 7 of [36]). In general, the act of formally specifying a program module has salutary effects on the quality of the design.
- One can use the JML specification as an aid to careful reasoning about the correctness of code, or even for formal verification [42, 44, 83].
- JML specifications can be used by several tools that can help debug and improve the code [19].

There is one additional benefit from using JML. It is that JML allows one to record not just public interfaces and behavior, but also some detailed design decisions. That is, in JML, one can specify not just the public interface of a Java class, but also behavior of a class's protected and private interfaces. Formally documenting a base class's protected interface and its "subclassing contract" allows programmers to implement derived classes of such a base class without looking at its code [82, 83].

Recording the private interface of a class may be helpful in program development or maintenance. Usually one would expect that the public interface of a class would be specified, and then separate, more refined specifications would be given for use by derived classes and for detailed implementation

The reader may also wish to consult the "Preliminary Design of JML" [55] for a discussion of the goals that are behind JML's design. Apart from the improved precision in the specifications and documentation of code, the main advantage of using a formal specification language, as opposed to informal natural language, is the ease and accuracy of tool support. One specific goal that has emerged over time is that JML should be able to unify several different tool-building efforts in the area of formal methods.

The most basic tool support for JML — simply parsing and type-checking specifications — is already useful. Whereas informal comments in code are typically not kept up to date as the code is changed, the simple act of running the typechecker will catch any JML assertions referring to parameter or field names that no longer exist, and other typos. Enforcing the visibility rules can also provide useful feedback; for example, a precondition of a public method which refers to a private field of an object is suspect.

Of course, there are more exciting forms of tool support than just parsing and type-checking. In particular JML is designed to support static analysis and formal verification, as in OpenJML's extended static checker (ESC) [27, 30, 25, 26], or the KeY tool [17].<sup>1</sup> Other tools for JML [19] include Daikon [33], which can infer some JML specifications from execution traces during testing, the runtime assertion checker (RAC) of OpenJML [26], the RAC found in AspectJML [77],<sup>2</sup>, and documentation (as in JML's jmldoc tool). The paper by Burdy et al. [19] is a survey of tools for JML. The utility of these tools is the ultimate answer to the question of what JML is good for.

## 1.4 Purpose of this document

The purpose of this document is to define a standard for the syntax and formal semantics of JML as a language. The document also distinguishes core aspects of JML, which have proved to be the most used and most important specification elements.

<sup>&</sup>lt;sup>1</sup>There have been other formal verification tools for JML, including the LOOP tool [42, 45].

<sup>&</sup>lt;sup>2</sup>AspectJML is a further evolution of a previous RAC called ajmlc [79, 78]. There was also a RAC tool from Iowa State, called jmlc [21, 22, 23], that is no longer maintained.

This reference manual thus seeks to define a standard for JML that will be a common basis for tools and for discussion but does not mean to inhibit experimentation and proposals for change. Therefore we present a framework in which new tools and approaches can be defined such that a deviation of the semantics from this standard can be clearly stated.

To make JML a versatile specification vehicle, the meaning of its annotations must be unambiguously clear. And *if* tools interpret a few language constructs differently, these differences must be easily and concise stated.

## 1.5 Previous JML Reference Manual

This reference manual builds on the previous draft JML Reference Manual [58], which evolved over many years and had many contributors. This current edition of the reference manual a rewrite and update of the previous draft. Some sections, particularly introductory and overview material, are taken nearly verbatim from the previous JML draft reference manual [58]. However, the current version also incorporates the experience of building tools for JML by the OpenJML and KeY developers, many decisions about new features or deprecated features made at JML workshops, and discussions about JML on the JML mailing lists, on the JML Reference Manual GitHub site, and in personal communications. This edition of the reference manual includes features that are proposed enhancements or clarifications of the consensus language definition. It also includes rationale for non-obvious language features and discussion of points that are under current debate or require extended explanation.

JML changes as the current version of Java changes. As this document is being written (February 2024), Java 22 is expected in March 2024. The version of JML presented here corresponds to Java 21.

#### 1.6 Historical Precedents and Antecedents

JML combines ideas from Eiffel [67] [68] [69] with ideas from model-based specification languages such as VDM [47] and the Larch family [36] [52] [87] [88]. It also adds some ideas from the refinement calculus [10] [11] [12] [72] [71]. In this section we describe the advantages and disadvantages of these approaches. Readers not interested in these historical precedents may skip this section.

Formal, model-based languages such as those typified by the Larch family build on ideas found originally in Hoare's work. Hoare used pre- and post-conditions to describe the semantics of computer programs in his famous article [40]. Later Hoare adapted these axiomatic techniques to the specification and correctness proofs of abstract data types (ADTs) [41]. To specify an ADT, Hoare described a mathematical set of abstract values for the type, and then specified pre- and post-conditions for each of the operations of the type in terms of how the abstract values of objects were affected. For example, one might specify a class IntHeap using abstract values of the

form empty and add(i,h), where i is an int and h is an IntHeap. These notations form a mathematical vocabulary used in the rest of the specification.

There are two advantages to writing specifications with mathematically-defined abstract values instead of directly using Java variables and data structures. The first is that by using abstract values, the specification does not have to be changed when the particular data structure used in the program is changed. This permits different implementations of the same specification to use different data structures. Therefore the specification forms a contract between the rest of the program and the implementation, which ensures that the rest of the program is also independent of the particular data structures used [65] [69] [67] [76]. Second, it allows the specification to be written even when there are no implementation data structures, e.g., for a Java interface.

This idea of model-oriented specification has been followed in VDM [47], VDM-SL [34] [75], Z [39] [85], and the Larch family [36]. In the Larch approach, the essential elaboration of Hoare's original idea is that the abstract values also come with a set of operations. The operations on abstract values are used to precisely describe the set of abstract values and to make it possible to abbreviate interface specifications (i.e., preand post-conditions for methods). In Z one builds abstract values using tuples, sets, relations, functions, sequences, and bags; these all come with pre-defined operations that can be used in assertions. In VDM one has a similar collection of mathematical tools to describe abstract values, and another set of pre-defined operations. In the Larch approach, there are some pre-defined kinds of abstract values (found in Guttag and Horning's LSL Handbook, Appendix A of [36]), but these can be extended if needed.

However, there is a problem with using mathematical notations for describing abstract values and their operations. The problem is that such mathematical notations are an extra burden on a programmer who is learning to use a specification language. The solution to this problem is the essential insight that JML takes from the Eiffel language [67] [68] [69]. Eiffel is a programming language with built-in specification constructs. It features pre- and postconditions, although it has no direct support for frame axioms. Eiffel programmers can easily read predicates in specifications, as these are written in Eiffel's own expression syntax. However, Eiffel does not provide support for specification-only variables, and it does not provide much explicit support for describing abstract values. Because of this, it is difficult to write specifications that are as mathematically complete in Eiffel as one can write in a language like VDM or a Larch-style BISL.

JML attempts to combine the good features of these approaches. From Eiffel we have taken the idea that assertions can be written in a language that is based on Java expressions. We also adopt the "old" notation from Eiffel, which appears in JML as \old, instead of the Larch-style annotation of names with state functions. To make it easy to write more complete specifications, however, we use various semantic ideas from model-based specification languages. In particular we use a variant of abstract value specifications, where one describes the abstract value of an object implicitly using several model fields. These specification-only fields allow one to im-

plicitly partition the abstract value of an object into smaller chunks, which helps in stating frame axioms. More importantly, we hide the mathematical notation behind a facade of Java classes. This makes it so the operations on abstract values appear in familiar (although perhaps verbose) Java notation, and also insulates JML from the details of the particular mathematical logic used to do reasoning.

Despite this initial emphasis on Java-like syntax and semantics in JML, the experience of the JML community over the past couple of decades demonstrated that better pure mathematical data types and structures were also needed. In particular, using heap-independent types simplifies the reasoning load on the underlying logic engines. Hence, this 2nd edition of JML incorporates more built-in mathematical types, although the syntax retains a Java flavor.

JML has also influenced later specification languages for other programming languages, such as Spec# [14] for C#, ACSL/ACSL++ [16] for C and C++, SPARK [13] for Ada, and Dafny [63, 61]. The experience with those languages has inspired specification approaches and features that have been added to JML in turn.

## 1.7 Status, Plans and Tools for JML

Even after 25 years from its inception, JML remains a strong, used base for specification language research. Its tools are the basis of ongoing research publications and student projects and theses. It is used modestly in non-academic verification projects. This language definition update, staying current with changes in Java, and the corresponding tool support point to JML's continuing evolution as a relevant specification language.

JML's goals remain the same:

- to be a usable platform for research and experimentation in specification and proof, including being a platform for student projects and theses;
- to be a useful educational tool for teaching Java and teaching formal methods (formal specification and proof);
- to be usable in industrial software specification settings, both for confidence in the software under study and for what such tasks teach about specification at scale.

To this end, the JML project's plans are these:

- update and maintain this language reference as a guide to researchers, users, tool builders and as a basis for discussion;
- continue to collaborate with tool builders in order to learn from both tool implementation and practical application experience;
- correct, clarify, and expand the tutorial and other educational material on JML (and its tools).

The currently active tools for JML are

- OpenJML [26]
- KeY [1]

Other tools are welcome.

## 1.8 Acknowledgments

This rewrite of the *JML Reference Manual* is largely the work of David R. Cok, Gary T. Leavens, and Mattias Ulbrich, building on the previous Draft Reference Manual [58] and discussions by the JML community.

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See the "Preliminary Design of JML" [55] for more acknowledgments relating to the earlier history, design, and implementation of JML.

# **Chapter 2**

# Structure of this Manual

## 2.1 Organization

This document presents the syntax, grammar, and semantics of the Java Modeling Language (JML); all these aspects build on the corresponding aspects of Java. Like Java and other programming languages, the source text is divided into syntactic tokens, (largely) independent of the grammar or semantics; the JML syntax is described in §4. The grammar is described throughout the manual in the form described below (§2.3), with common aspects of the grammar summarized in §4.6.

The semantics of JML is given informally, relying on the description of Java in the Java Language Specification (JLS).

Chapter 3 describes some fundamental concepts for JML and specification languages generally. Chapter 4 introduces JML syntax. The subsequent chapters describe the kinds of JML annotations used for various Java program elements. The final chapters include summary tables, descriptions of obsolete syntax, and the like.

## 2.2 Typographical conventions

The remaining chapters of this book follow some common typographical conventions.

The document has internal clickable hyperlinks: from section references to sections, from bibliography entries to the page containing the reference, and from uses of grammar non-terminals to the definitions of those non-terminals.

This style of text is used for commentary on the JML language itself, such as outstanding issues or now-obsolete practice.

Java and JML program fragments are shown either as listed code, with line numbers for reference (the line numbers are not part of the code), as in

```
public class Example {
    or as a boxed example

public class Example2 {
    }
}
```

#### 2.3 Grammar

The grammar of JML is intertwined with that of Java. The grammar is given in this Reference Manual as extensions of the Java grammar, using conventional BNF-style productions. The meta-symbols of the grammar are in slightly larger, normal-weight, mono-spaced font. The productions of the grammar use the following syntax:

- non-terminals are written in italics and enclosed in angle brackets: <expression>
- terminals, including punctuation as terminals, are written in bold font: old ().
- parentheses express grouping: ( ... )
- an infix vertical bar expresses mutually-exclusive alternatives: ... | ... | ...
- repetitions of 0 or more and 1 or more and 0 or 1 (i.e., optional) elements use post-fixed symbols: \* + ?
- square brackets enclose an optional element: [ ]
   (just like ( ) ? does)
- a post-fixed ... indicates a comma-separated list of 0 or more elements:
   <expression> . . .
   represents what would otherwise be written
   [ <expression> ( , <expression> ) \* ]
- 1-or-more comma-separated elements is written as <expression> (, <expression> ) \*
- a production begins with: <non-terminal>::=
- non-terminals beginning with java- as in <java-identifier> refer to a purely Java non-terminal, as is defined in the JLS; a prefix of jml- is used to emphasize a distinction from Java. For example, <jml-expression> includes both <java-expression> and various JML-specific kinds of expressions.

Uses of a non-terminal are clickable hyperlinks to their definitions.

Section  $\S4.6$  contains a list of definitions of common grammar non-terminals. Other grammar definitions are scattered throughout the document, in association with the description of the associated feature. All of the grammar definitions are collected in  $\S1$ .

# **Chapter 3**

# JML concepts

This chapter describes some general design principles and concepts of the Java Modeling Language that are used throughout this manual. The chapter also presents the overall way that specifications are processed and used. Some of this discussion relies on syntactic and grammatical information presented in later chapters. Also, some major concepts are presented in chapters of their own.

JML specifications are declarative statements about the behavior and properties of Java entities, namely, packages, classes, methods, and fields. Typically JML does not make assertions about how a method or class is implemented, only about the net behavior of the implementation. However, to aid in proving assertions about the behavior of methods, JML does include statement and loop specifications (in the body of the implementation).

JML is a versatile specification vehicle. It can be used to add lightweight specifications (e.g., specifying ranges for integer values or when a field may hold null) to a program but also to formulate more heavyweight concepts (such as abstracting a linked list into a sequence of values).

JML annotations are not bound to a particular tool or approach, but can serve as input to a variety of tools that have different purposes, such as runtime assertion checking, test case generation, extended static checking, full deductive verification, and documentation generation.

In deductive verification, specifications and corresponding proof obligations may be considered at different levels of granularity. Deductive verification work using JML is typically concerned with modular proofs at the level of Java methods. That is, a verification system will establish that each Java method of a program is consistent with its own specifications, presuming the specifications of all methods and classes it uses are correct. If this statement is true for all methods in the program, and all methods terminate, then the system as a whole is consistent with its specifications. [8]

## 3.1 JML and Java compilation units

A Java program is organized as a set of *compilation units* grouped into packages. The Java Language Specification does not stipulate a particular means of storing the Java program text that constitutes each compilation unit. However, the vast majority of systems supporting Java programs store each compilation unit as a separate file with a name that corresponds to the class or interface it contains; usually the files constituting a package are placed in a directory named the same as the last element of the package name, and these directories are organized into a hierarchy, with parent directories named by earlier components of a package name.

The simplest way of specifying a Java program with JML is to include the text of the JML specifications directly in the Java source text, as specially formatted comments. This was shown in Fig. 1.1 on page 4. By using specially formatted comments to express JML, any existing Java tools will ignore the JML text.

However, in some cases the source Java files are not permitted to be modified or it is preferable not to modify them or the source code may not be available at all; reasons for this include the Java source code not being available or being proprietary. In these cases, the JML specifications must be expressed separate from the Java source program text in a way that the specifications of packages, classes, methods, and fields can be associated with the correct Java entity.

Therefore, JML tools permit specifications to be either stored (a) with the Java source or (b) separately. For Java language systems in which Java source material is stored in files, the JML specifications are either in the same . java file (case (a)) or in a separate . jml file (case (b)). In case (b), the separate file has a . jml suffix and the same root name as the corresponding Java source file (typically the name of the public class or interface in the compilation unit), the same package designation, and is stored in the file system's directory hierarchy according to its package and class name, in the same way as the Java compilation unit source files. For the rare case in which files are not the basis of Java compilation units, the JML tools must implement a means, not specified here, to recover JML text that is associated with Java source text to enable case (b).

The rules about the format of the text in .jml files are presented in §17.

## 3.2 Specification inheritance

Object-oriented programming with inheritance requires that derived classes satisfy the specifications of a parent class, a property known as *behavioral subtyping*[7, 66, 57, 86, 31, 53]. Strong behavioral subtyping is a design principle in JML: any visible specification of a parent class is inherited by a derived class. Thus derived types inherit invariants from their parent types and methods inherit behaviors from supertype methods they override.

For example, suppose method m in derived class C overrides method m in parent class P. In a context where we call method m on an object O with static type P, we will ex-

pect the specifications for P.m to be obeyed. However, o may have dynamic type C. Thus C.m, the method actually executed by the call o.m (), must obey all the specifications of P.m. C.m may have additional specifications, that is, additional behaviors, constraining its behavior further, but it may not relax any of the specifications given for P.m.

Specifications that are not visible in derived classes, such as those marked private, are not inherited, because a client cannot be expected to obey specifications that it cannot see. One additional exception to specification inheritance is method behaviors that are marked with the code modifier8.1.4. These behaviors apply only to the method of the class in which the behavior textually appears or to derived classes that do not override the parent class implementation.

## 3.3 JML modifiers and Java annotations

The Java Modeling Language was defined prior to the introduction of annotations in Java. Some, but not all, of the features of JML can now be textually represented as Java annotations. Currently JML supports both the old and new syntactic forms.

#### 3.3.1 Modifiers

Modifiers are JML keywords that specify JML characteristics of type names, methods, classes, fields, or variables. Examples are pure, model, and ghost. They are syntactically placed just like Java modifiers, such as public.

Each such modifier has an equivalent Java annotation. For example

```
/*@ pure */ public int m(int i) { ... }
```

can be written equivalently as

```
@org.jmlspecs.annotation.Pure public int m(int i) { ... }
```

The org.jmlspecs.annotation prefix can be made implicit in the usual way by including the import statement

```
import org.jmlspecs.annotation.Pure;
@Pure public int m(int i) { ... }
```

Note that in the second and third forms, the <code>@Pure</code> designation is now part of the <code>Java</code> program and so the import of the <code>org.jmlspecs.annotation</code> package must also be in the Java program, the package defining JML annotations must be available to the Java compiler when compiling the Java program. Consequently it is often easier and less intrusive on the Java program to use the non-annotation style modifiers.

All of the modifiers, their corresponding Java annotations, and the locations in which they may be used are described in §A.

## 3.3.2 Type modifiers

Some modifiers are actually type modifiers. In particular non\_null and nullable are in this category. Thus the description in the previous subsection (§3.3.1) apply to these as well.

However, Java 1.8 allows Java annotations that are designated as applying to uses of types to be applied to types wherever type names may appear. For example

```
(@NonNull String)toUpper(s)
```

is allowed in Java 1.8 but is forbidden in Java 1.7.

The only annotations defined in JML that are type annotations are @NonNull and @Nullable. Those are presented in the following section (§3.4).

## 3.4 Possibly null and non-null type annotations

With Java 8, Java annotations are permitted on types, not just on declarations. With this feature it is possible to implement non-null reference types within Java. Other tools, such as the Checker framework [2], have done so. Accordingly JML has adopted nullable and non-null annotations as type annotations as well. Here *nullable* means a reference is possibly null and *non-null* means it is never null.

#### **3.4.1** Syntax

JML defines <code>@NonNull</code> and <code>@Nullable</code> in the package <code>org.jmlspecs.annotation</code> as Java type annotations. That is, these annotations may be applied to any use of a reference type. Equivalently /\*@ non\_null \*/ and /\*@ nullable \*/ may be used with the same semantics in the same program text locations.

Generally speaking, type annotations are placed immediately prior to the unqualified type name that they modify. For example <code>@NonNull Object</code> denotes a type whose values are references to objects of class <code>Object</code>, but which are never <code>null</code>.

For details on using type annotations with generics and type variables, see the discussion in the JSR [3]<sup>1</sup> and the more understandable explanations [3] and in the Checker framework [4], in the context of type inference and checking.

There are two syntactical complications to be aware of:

#### 3.4.1.1 Qualified type names

In the text

```
@NonNull A.@Nullable B,
```

where B is a nested class of A, the <code>@NonNull</code> applies to A and the <code>@Nullable</code> applies to B. In JML one could also write

```
/*@ non_null*/ A./*@ nullable*/ B.
```

https://docs.oracle.com/javase/specs/jls/se21/html/index.html

The complication is that A might be a package name; the nullness type annotations may not be applied to packages. Thus for example, one might write

```
org.lang.@NonNull Object
```

to mean the same as @NonNull Object, but @NonNull java.lang.Object is illegal syntax. However, for convenience, JML still allows

```
/*@ nullable*/ java.lang.Object
```

#### 3.4.1.2 Array types

With an array declaration such as <code>Object[][]</code> a, one might want to declare that a itself is non-null, or that the elements of a are non-null, or that the elements of the elements of a are non-null. The syntax for these cases is

- @NonNull Object[][] an array of arrays of non-null Objects
- Object[] @NonNull [] an array of non-null arrays of Objects
- Object @NonNull [][] a non-null array of arrays of Objects

The non-backwards-compatible aspect here is that prior to type annotations, <code>@NonNull Object[] array;</code> would be a declaration of a non-null array; now it is a declaration of an array of non-null objects.

#### 3.4.1.3 var

Type annotations may not be applied to declarations using type inference, that is var.

#### 3.4.2 Defaults

JML defines that references are non-null by default. That default can be changed locally using the modifiers non\_null\_by\_default and nullable\_by\_default (or @NonNullByDefault and @NullableByDefault). These modifiers may be applied to class (including interface, enum and record) declarations. Their effect is to set the default to non-null or nullable for all relevant declarations or type uses within the respective class declaration, unless overridden by another such modifier on an enclosed declaration.

Any given declaration may have at most one of these modifiers.

Tools may also provide capabilities (such as command-line or environment options) to set the global nullness default.

Methods used in a program specified with JML that do not have source code available and do not have any explicit JML specifications have slightly different defaults. To ensure soundness, a method's formal parameters of reference type are assumed to be non-null, but the return value, if of reference type, is assumed to be nullable.

### 3.4.3 Java and JML language features with type annotations

In the following

- an implicit nullness declaration is the default determined by the inner most enclosing method or class declaration that has one of the modifiers described in §3.4.2.
- an explicit nullness declaration is the presence of one of the type annotations non\_null, nullable, @NonNull, @Nullable (possibly fully-qualified). Explicit annotations override any implicit defaults. At most one of these annotations may be explicitly applied to any given type name or declaration.

#### 3.4.3.1 Field and ghost or model field declarations

The type of Java or JML field declaration is *non-null* if it is annotated as non-null or it is not annotated as nullable and the implicit default is non-null.

If the type is non-null, then the variable must be initialized with a non-null value and any assignments to the variable must assign non-null values.

#### 3.4.3.2 Local and ghost local declarations

The type of Java or JML local declaration is *non-null* if it is annotated as non-null or it is not annotated as nullable and the implicit default is non-null.

If the type is non-null, then the variable must be initialized with a non-null value and any assignments to the variable must assign non-null values.

It is possible that in the future the nullity of the type of local and ghost local variables will be inferred, rather than set by default.

#### 3.4.3.3 Method and model method declarations

- Formal parameters behave like local declarations: explicit or implicit nullness modifiers or annotations determine whether the formal parameter is permitted to be null or not.
- The return type may also have a type modifier that determines whether the return value is permitted to be null or not. The method return type is the one place that the type annotation may be placed with all the other modifiers. That is, one may write

 Types in the throws clause of a method declaration are permitted to have type annotations, but any nullness annotations are ignored: any thrown exception is always non-null.

#### 3.4.3.4 Class declarations

- the name of the class (or interface or enum or record) being declared may not be annotated
- type names in extends or implements or permits clauses may be annotated, but these annotations are ignored

#### 3.4.3.5 Type names in instanceof expressions

- The implicit default does not apply to instanceof expressions.
- For any type T, the expression o instanceof T behaves as in Java: the result is false if o is null.
- For any type T, the expression o instanceof @NonNull T is false if o is null.
- For any type T, the expression o instanceof @Nullable T is true if o is null.

#### 3.4.3.6 Type names in cast expressions

- The implicit default does not apply to cast expressions.
- For any type T, the expression (T) o behaves as in Java: o may be null, and if so, the result is null.
- For any type T, the expression (@Nullable T) o behaves as in Java: o may be null, and if so, the result is null.
- For any type T, the expression (@NonNull T) o returns a non-null result; it is a verification failure if o cannot be proven to be non-null.

#### 3.4.3.7 Type names in new expressions

Type names in new expressions (e.g. new Object()) may have nullness type annotations. However, such type annotations are ignored; the result of a new expression is always non-null (or throws an exception).

#### 3.4.3.8 Type names in array allocation expressions

An array allocation produces a value and is not a declaration per se. Each level of the array is null or not depending on the initialization value for the array. For example new String[3] produces a value that is a (non-null) array of 3 String values, each of which is null. So this value could be used in an initialization such as this: @Nullable String @NonNull [] a = new String[3];

#### 3.4.3.9 Type names in catch statements

Type names in catch statements (e.g. catch (RuntimeException e)) may have nullness type annotations. However, such type annotations are ignored; if the exception is of a type that the catch block is selected for execution, the value of the declared variable (e.g. e) is always non-null.

The above applies to multi-catch blocks as well, as in

catch (RuntimeException | AssertionError e)

#### 3.4.3.10 For statements

The declaration in a for statement, if present, acts like a local declaration: the explicit or implicit (default) nullness modifiers and annotations apply. If the loop variable is declared non-null, then both the initialization and update expressions must be provably not null.

#### 3.4.3.11 Enhanced for statements

In a foreach statement, the declared variable may be declared using a type that has type annotations. On each iteration, the variable must be initialized with a value (from the array or iterator) that has an appropriate type. For example, for an array a in for (@NonNull String s : a), the elements of a must each be @NonNull.

#### 3.4.3.12 Resource declarations in try statements

A declaration within the resource definition of a try statement is just like other local declarations: if the declaration type is explicitly or implicitly non-null, then the initialization expression must be provably non-null and the value of the declared identifier may be assumed to be non-null; if the variable declared non-null is assigned within the body of the try statement, the value assigned must be provably non-null.

#### 3.4.3.13 Declarations in JML old method specification clauses

The declaration within a JML old clause (in a method specification) is just like other local declarations: if the declaration type is explicitly or implicitly non-null, then the initialization expression must be provably non-null and the value of the declared identifier may be assumed to be non-null.

#### 3.4.3.14 Declarations in JML \let expressions

The declaration within a JML \let expression is just like other local declarations: if the declaration type is explicitly or implicitly non-null, then the initialization expression must be provably non-null and the value of the declared identifier may be assumed to be non-null. If the type is @NonNull but the initializer is not provably non-null, the expression is not well-defined.

#### 3.4.3.15 Declarations in JML generalized quantified expressions

Local variable declarations in \forall and other quantified expressions behave like other local declarations: any explicit or implicit nullness modifiers or annotations apply.

For quantified expressions, if the variable is declared non-null, then the range specifically excludes the null value. Thus

```
\forall @NonNull Object o; range; value
is equivalent to
\forall @NonNull Object o; o != null && ( range ); value
```

#### 3.4.3.16 Type names in JML signals clauses

Type annotations on type names in the exception declaration in a signals clause are parsed but ignored. The value of the exception variable within the expression of a signals clause is always non-null.

#### 3.4.3.17 Type names in JML signals\_only clauses

Type annotations on type names in signals\_only clauses are parsed but ignored.

## 3.4.4 Generic types and type annotations

**TODO** 

#### 3.4.5 Interplay with other non-null annotations

There are other tools that also define the annotations <code>@NonNull</code> and <code>@Nullable</code>, albeit in different packages than JML's <code>org.jmlspecs.annotation</code>. A long list of such alternatives is given in the Checker project manual. Note that the names and semantics of some of these alternate annotations can slightly differ from each other.

The semantics of JML's nullness annotations matches those of the Checker framework and of nearly all other nullness annotations. The one exception, as documented in detail by the Checker project<sup>3</sup> is the annotations in SpotBugs/FindBugs.

However, the defaults for non-null types are different between JML and other systems.

Tools implementing JML may also interpret specific other annotations as equivalent to JML's annotations.

#### 3.5 Model and Ghost

Declarations in JML are one of two types.

Declarations that correspond a Java source declaration or the Java source declaration that produces a binary class, method or field. In a . java file this is just the Java declaration itself; in a . jml file it is a replication of a Java declaration. These declarations are not in JML annotations.

<sup>2</sup>https://checkerframework.org/manual/#nullness-related-work

 $<sup>^3 \</sup>verb|https://checkerframework.org/manual/\#findbugs-nullable|$ 

 Declarations that do not correspond to Java declarations. These are always contained within JML annotations. They are also always marked with either of the modifiers model or ghost. These declarations are only visible within JML specifications.

JML fields can be either model or ghost. ghost fields are just like Java fields, but only visible within JML annotations. The value of a ghost field is directly determined by its initialization or by a set-statement (§11.8). Runtime tools will insert these fields into the compiled, instrumented classes.

model fields do not hold values. Rather, a model field should be thought of as the abstraction of one or more non-model (i.e., Java or concrete) fields [24]. (By contrast, some authors refer to what JML calls model fields as *abstract fields* [60].) The value of a model field is determined by the concrete fields it abstracts from; in JML this relationship is specified by a represents clause (see §7.7). Thus the values of the model fields in an object determine its *abstract value* [41]. A model field also defines a data group [60], which collects model and concrete fields and is used to tell JML what concrete fields may be assigned by various methods (cf. §??).

Model methods are simply methods that we imagine that the program has, to help in a specification. They may have bodies, in which case a runtime-instrumentation tool will compile them into an executable program. But they may not have bodies, in which case they serve as *uninterpreted* methods. Whether there is a method body or not, the behavior of a method as seen from a client (caller) of the method is solely determined by its specification.

Model types are less commonly used, but are types written in JML annotations and used only by JML annotations. They may serve, for example, as an abstract data type useful in specifying other aspects of the program. A model type will be compiled into a runtime-instrumented program in so far as its contents are executable.

JML also has a model import directive (see §??).

Although these model and ghost names are used only for specifications, JML uses the same namespace for such names as for normal Java names. Thus, one cannot declare a field to be both a model (or ghost) field and a normal Java field in the same class. Similarly, a method is either a model method or not. Furthermore, JML has no syntactic distinction between Java and JML field access or method calls.

#### 3.6 Model methods and lemmas

In mathematical proofs, lemmas are useful intermediate steps that aid in creating and in understanding the resulting proof. Similarly in program proofs, lemmas can be useful.

Lemmas in JML are stated using model methods. For example,

```
1 //@ public normal_behavior
2 //@ ensures \forall int p; p >= 0; (p&1) == p%2;
```

```
3 //@ no_state
4 //@ model public void lemmal() {}
```

states a general equality between a bit-vector operation and an arithmetic operation. This lemma has an empty body. Because it has a body, albeit empty, a tool is obligated to try to prove the lemma.

A second way to state the same property is the following:

```
1 //@ public normal_behavior
2 //@ requires p >= 0;
3 //@ ensures (p&1) == p%2;
4 //@ no_state
5 //@ model public void lemma2(int p) {}
```

Again, having a body is an indication that the lemma should be proved. The body need not be empty. It may have a sequence of assertions or case splits that guide a proof tool to a proof of the lemma.

This second form does not have an explicit quantification; consequently it can be easier for the proof tool to prove and to apply, as it does not need to be concerned with appropriate triggers to indicate instantiating the quantification.

The lemma is used in this example.

```
1 //@ requires 0 <= k <= Integer.MAX_VALUE/2
2 public void m(int k) {
3         k = 2*k;
4         //@ set lemma2( (k+1) );
5         //@ assert ((k+1)&1) == 1;
6 }</pre>
```

The set command allows a general method call, in this case the lemma.

This particular example is simple enough that it may not need a lemma. However, it illustrates two points.

First, using a lemma with a formal parameter and then applying the lemma with an argument from the expression neededing to be proved is a convenient form of manual instantiation. If we used <code>lemmal</code> in the above example, the prover would essentially see

```
1 assume \forall int p; p >= 0; (p&1) == p%2;
2 assert ((k+1)&1) == 1;
```

and it would need to figure out that it should substitute (k+1) for p. But using lemma2, the prover has the easier task:

```
1 assert \let int p = k+1; p >= 0;
2 assume \let int p = k+1; (p&1) == p%2;
3 assert ((k+1)&1) == 1;
```

Second, this particular example illustrates a larger point. Programs that are a mix of arithmetic and bit-vector operations can be difficult for current SMT solver technology. Using lemmas to separate the proof problems helps the solver. The same is true for any lemma that might be difficult to prove – it may be simpler in isolation.

### 3.7 Visibility

Java code that is not within a JML annotation uses the usual access control rules for determining visibility (or accessibility) of Java [9, 35]. That is, a name declared in package P and type P.T may be referenced from outside P only if it is declared as public, or if it is declared as protected and the reference occurs within a subclass of P.T. This name may be referenced from within P but outside of P.T only if it is declared as public, default access, or protected. Such a name may always be referenced from within P.T, even if it is declared as private. See the Java language specification [35] for details on visibility rules applied to nested and inner classes.

Within annotations, JML imposes some extra rules in addition to the usual Java visibility rules [55, 56]. These rules depend not just on the declaration of the name but also on the visibility level of the context that is referring to the name in question. For purposes of this section, the annotation context of a reference to a name is the smallest grammatical unit with an attached (or implicit) visibility. For example, this annotation context could be a method specification case, an invariant, a history constraint, or a field declaration. The visibility level of such an annotation context can be public, protected, private, or default (package) visibility. JML has two rules governing visibility that differ from Java. The first is that an annotation context cannot refer to names that are more hidden than the context's own visibility. That is, for a reference to a name x to be legal, the visibility of the annotation context that contains the reference to x must be at least as permissive as the declaration of x itself. The reason for this restriction is that the people who are allowed to see the annotation should be able to see each of the names used in that annotation [69], otherwise they might not understand it. For example, public clients should be able to see all the declarations of names in publicly visible annotations, hence public annotations should not contain protected, default access, or private names.

In more detail, suppose x is a name declared in package P and type  $P \cdot T$ .

- An expression in a public annotation context (e.g., in a public method specification case) can refer to x only if x is declared as public (or spec\_public).
- An expression in a protected annotation context (e.g., in a protected method specification) can refer to x only if x is declared as public or protected, and x must also be visible according to Java's rules (so if x is protected, or spec\_protected, then the reference must either be from within P or, if it is from outside P, then the reference must occur in a subclass of P.T).
- An expression in a default (package) visibility annotation context (e.g., in a
  default visibility method specification) can refer to x only if x is declared as

public, protected, or with default visibility, and x must also be visible according to Java's rules (so if x has default visibility, then the reference must be from within P).

• An expression in a private visibility annotation context (e.g., in a private method specification) can refer to x only if x is visible according to Java's rules (so if x has private visibility, then the reference must be from within P.T).

In the following example, the comments on the right show which uses of the various privacy level names are legal and illegal. Similar examples could be given for method specifications, history constraints, and so on.

```
public class PrivacyDemoLegalAndIllegal {
      public int pub;
      protected int prot;
      int def;
5 }
6 private int priv;
7 //@ public invariant pub > 0;  // legal
8 //@ public invariant prot > 0;  // illegal!
8 //@ public invariant prot > 0;
                                    // illegal!
9 //@ public invariant def > 0;
10 //@ public invariant priv < 0;
                                     // illegal!
12 //@ protected invariant prot > 1; // legal
13 //@ protected invariant def > 1; // illegal!
14 //@ protected invariant priv < 1; // illegal!
15 //@ invariant def > 1;
                                      // legal
16 //@ invariant priv < 1;
                                      // illegal!
17 //@ private invariant priv < 1; // legal
```

Note that in a lightweight method specification (one without any variation of behavior keyword), the privacy level is assumed to be the same privacy level as the method itself. That is, a protected method with a lightweight method specification is considered to be a protected annotation context for purposes of checking proper visibility usage [55, 73]. See §?? for more about the various specification cases. (The ESC/Java2 system has the same visibility rules as described above. However, this was not true of the old version of ESC/Java [62].)

The JML keywords <code>spec\_public</code> and <code>spec\_protected</code> provide a way to make a declaration that has different visibilities for Java and JML. For example, the following declaration declares an integer field that Java regards as private but JML regards as public.

```
private /*@ spec\_public @*/ int length;
```

length in the above declaration could be used in a public method specification or invariant.

However, spec\_public is more than just a way to change the visibility of a name for specification purposes. When applied to fields it can be considered to be shorthand for the declaration of a model field with a similar name. That is, the declaration of

length above can be thought of as equivalent to the following declarations, together with a rewrite of the Java code to use \_length instead of length (where we assume \_length is fresh, i.e., not used elsewhere in the class).

```
1 //@ public model int length;
2 private int _length; //@ in length;
3 //@ private represents length = _length;
```

The above desugaring allows one to change the underlying field without affecting the readers of the specification. The desugaring of <code>spec\_protected</code> is the same as for <code>spec\_public</code>, except that one uses <code>protected</code> instead of <code>public</code> in the desugared form.

The second rule for visibility prohibits an annotation context from writing specifications in an annotation context that constrain fields that are visible to more clients than the specifications (see section 3 of [56]). In particular, this applies to invariants and history constraints. Thus, for example, a private invariant cannot mention a public field, since clients could see the public field without seeing the invariant, and thus would not know when they might violate the private invariant by assigning to the public field. Thus, for example, the invariants in the following example are all illegal, since they constrain fields that are more visible than the invariant itself.

```
public class PrivacyDemoIllegal {
   public int pub;
   protected int prot;
   int def;
   private int priv;
   //@ protected invariant pub > 1; // illegal!
   //@ invariant pub > 1; // illegal!
   //@ invariant prot > 1; // illegal!
   //@ private invariant pub > 1; // illegal!
   //@ private invariant prot > 1; // illegal!
   //@ private invariant def > 1; // illegal!
```

Do visibility modifiers work for classes, and do they work in RAC?

### 3.8 Static and Instance

In Java

- declared names are non-static unless explicitly declared static
- except for fields of interfaces, which are by default static (and public)

JML allows model fields within interfaces to be declared non-static using the JML modifier instance. This modifier may be used for fields within classes as well, but here it is not necessary because the default is already non-static.

### 3.9 Method side-effects and purity

In method specifications, pre- and postconditions garner the bulk of the attention, but it is actually frame conditions that pose the most difficulty. Frame conditions state what side-effects a method has, that is, what may have changed in the memory heap as a result of a method's action. A method without side-effects is called *pure*.

There are two reasons that pure methods are important. First, a pure method makes no change to its pre-state when it is called; this makes it easier to reason about than a method with side-effects. Second, methods without side-effects can be called within specifications, albeit with restrictions that are described in the remainder of this section.

There are four kinds of purity worth distinguishing.

### 3.9.1 pure

A pure method does not modify any memory locations of the pre-state. It may allocate new objects and set the fields of those new objects. In analyzing a programming language method, knowing it is pure simplifies reasoning because all pre-state values are the same in the post-state. However, pure methods may return newly allocated objects, so they are not necessarily deterministic: p() == p() is not necessarily true for a pure method. Consequently, pure methods of this kind may be used in specifications only under two additional constraints:

- the constructor that allocates the object is itself pure that is, it only sets the
  fields of the new object and does not change anything in the pre-state;
- if the method returns a *fresh* value then the result of the method may not be used in ways that are heap-exposing, namely
  - the result value may not be used in a object equality comparison (either == or !=)
  - the result value may not be used to call hashCode or identityHashCode.4

Here, *fresh* means that the object has been allocated since the pre-state; in practice the restriction above applies unless the return value has a Java or JML primitive type or it must be possible to prove that the value is non-*fresh*.

### 3.9.2 spec\_pure

A spec\_pure method has a further restriction over pure methods: it must be deterministic. Thus it must return either a primitive (non-heap-based) value or the value returned must be a value taken from the pre-state heap, that is the returned value is *not fresh*. However, it is allowed to allocate and discard objects internally.

 $<sup>^4</sup>$ Technically, calls of hashCode could be permitted if they never (recursively) call identityHashCode, but this is difficult to determine.

spec\_pure methods may be used in specifications, subject to the well-definedness rules described in §3.9.5.

### 3.9.3 strictly\_pure

A strictly\_pure method makes no changes to the heap at all. It does not assign to any locations on the heap and it does not even have access to change any stack memory. The method does not allocate new objects internally, even ones that will be discarded. Nor does it return any newly allocated objects. The visible post-state of a strictly\_pure method is precisely the same as the pre-state, and the method result is entirely deterministic, so long as the method does not read any non-deterministic data sources (such as the system clock).

### 3.9.4 no state

A no\_state method is a strictly\_pure method that also does not even read heap values nor the external environment. That is, its execution is totally independent of the heap and the environment. The value returned by such a method is completely a function of its arguments and is completely heap (state)-independent.

### 3.9.5 Well-definedness

One purpose of the purity modifiers is to mark those Java methods that may be used in a specification. For this purpose one other restriction is needed: the use of the method must be well-defined as defined by this restriction:

• in the context in which it is used in a specification, a spec\_pure method must be provably normally-terminating. That is, it must terminate and must not terminate with an exception.

### 3.9.6 Manipulation of the heap

Part of the goal of strictly\_pure methods is to know that the heap is untouched. In actuality, the JVM can run garbage collecting and can move objects at any time, effectively concurrently with any computation of a deterministic method. So the heap may well change independently of the actions of user code. Such system actions are visible by resource measurements (e.g., whether or not out-of-Memory errors occur) that are outside the bounds of JML. Changes in the heap may also be visible by differences in the result of <code>Objects.identityHashCode(o)</code> for a newly allocated <code>java.lang.Object o</code>, because that hash may depend on what other objects have been allocated or even on the memory address of the object. For this reason two executions of the same program may result in visibly different behavior of <code>strictly\_pure</code> methods if the values of hashcodes are used in the program.

There is one other point to note. If the specification language can reason about non-reachable objects then differences will be visible between strictly\_pure methods, which do not allocate any objects, and equivalent spec\_pure methods that allocate

but discard objects. The visible heap will be the same, but, say, a count of all allocations that have happened so far will be different. JML has not yet incorporated such features or settled on the meaning of unconstrained quantification over objects, as in (\forall Object o; true; ...).

### 3.9.7 Purity summary

In conclusion, JML is changing from its traditional use of only pure to a hierarchy of four kinds of purity as follows:

- JML defines all four method modifiers pure, spec\_pure, strictly\_pure and no\_state as described above.
- methods used in specifications must be either spec\_pure, strictly\_pure or no\_state; methods marked pure may be used subject to proofs about freshness or restrictions on uses of the new object reference
- any uses of methods in proofs must be well-defined (§3.9.5).
- as before, a method inherits its purity level from a method it overrides (if any);
   it may strengthen but may not weaken that purity level
- as before, a method that does not inherit or is not marked with its own purity level is considered to have a given purity if it is contained (perhaps recursively) in a class that is marked with that purity

The proof obligations are these:

- methods of any purity are required to establish that no assignments are made to any pre-state memory location
- no\_state methods are required to establish, by syntactic inspection, that no allocations or reads of the heap occur in the execution of the method
- strictly\_pure methods are required to establish, by syntactic inspection, that no allocations occur in the execution of the method
- spec\_pure methods are required to establish that the return value is a primitive type or was already allocated in the pre-state
- pure methods used in specifications are required to prove that the restrictions stated in §3.9.1 hold
- any use of a method in a specification is required to establish, in that context, that the method always terminates normally.

# 3.10 Program state and memory locations

In imperative programming languages, such as Java, actions of a program during execution act on a *program state*. In actual operation, the state of a program is stored in a computer's memory, with each action reading and writing various hardware memory

locations. We can talk about the state of a program at each point of execution and about the states before (the *pre-state*) and after (the *post-state*) an action or series of actions. The state consists of a set of *memory locations* or, abstractly, just *locations*. These locations are either heap locations or stack locations. The program state can grow and shrink as the stack grows and shrinks and as new heap objects are allocated or become no longer reachable.

In Java memory, locations hold either primitive memory values or object references. Object references refer to objects that each have a set of defined *fields* or array elements, which are also memory locations. At any program execution point, the program state consists of (a) the this object, (b) locations on the stack (local variables), including formal parameters of the method being executed, (c) any field of a class (static fields), and (d) any field or array element, recursively, of a location in the program state.

In reasoning about the actions of a program, it is important to know, for each action, what locations it affects. In particular, it is very helpful to know that everything but some small set of locations is unaffected by a particular action.

For this purpose, JML has two important concepts: *storeref expressions* and *location sets*. Location sets describe sets of memory locations. JML has a first-class type for reasoning about locations sets, namely \locset, along with operations on values of that type, such as union and intersection; this type and its operations are described in §5.8.

Storeref expressions (storerefs for short), also described in  $\S5.8$ , are a way to syntactically designate particular values of type \locset, that is particular location sets. For example, this.a[\*] indicates the set of all array elements of the array referred to by the reference in the field a of the this object in the current scope.

Storerefs and location sets are used in *frame conditions*, which are JML's means to state properties of program actions and to reason about program state.

# 3.11 Location sets, Data groups and Dynamic Frames

To be written - see section in DRM on Data Groups

### 3.12 Determinism of method calls

Methods may be underspecified. An extreme case is a postcondition that is simply true:

```
1 //@ ensures true;
2 int theInt();
```

Such methods are allowed to return any value consistent with the type of the result and the postcondition — in this case, any int value at all.

A question then is, must two successive invocations of such a method from the same initial state yield the same result, or not. In some cases, such as a method that returns a different random value on each invocation, the answer would be no. But in most cases determinism is expected by the user.

It is possible to force determinism by using a ghost field, as in this example:

```
class A {
   //@ spec_public
  private int _theInt;

//@ assigns \nothing;
//@ ensures \result == _theInt;

public int theInt();
}
```

Now theInt() is specified to produce the same (unknown) value until a method call or assignment occurs that might assign to \_theInt.

However, as nearly all methods are expected to be deterministic, it is inconvenient, extra boiler-plate to require such a specification and to only require an indication of non-determinism. Accordingly, JML presumes that

method invocations of the same method with the same arguments in the same program state produce the same result.

The following sections describe several different use cases related to determinism.

#### 3.12.1 Pure methods

Pure methods do not change the state and <code>spec\_pure</code> methods may be called within specifications. In this example, all the <code>assert</code> statements can be proved true, though the concrete value of <code>theInt()</code> is not known:

```
abstract class A {
    //@ spec_pure
    abstract public int theInt();

public void test(A a) {
    int x = theInt();
    //@ assert theInt() == theInt();
    //@ assert x == theInt();
    int y = theInt();
    //@ assert x == y;
    //@ assert a == this ==> x == a.theInt();
}
```

If the Int () is only pure, all the assertions still hold because the method is implicitly spec\_pure, by the rules of §3.9. If the Int () returns a newly allocated object,

theInt() is considered to have changed the program state, although all pre-state locations remain unchanged, and then the assertions cannot be proved

### 3.12.2 Effectively pure methods

Effectively pure methods are methods that do not change the state, but are not declared pure. These methods may not be called within specifications, but nevertheless are deterministic. Again, the asserts in the following example are all provable.

```
abstract class A {
    //@ assigns \nothing;
    abstract public int theInt();

public void test(A a) {
    int x = theInt();
    int y = theInt();
    //@ assert x == y;
    int z = a.theInt();
    //@ assert a == this ==> x == z;
}
```

### 3.12.3 State-changing methods

A method that changes the program state (e.g., by assigning to some field) is not able to be called twice in the same program state. In the following example, a call of nextInt() changes the program state; thus x is not necessarily equal to y. In fact, as the effective frame condition is  $assigns \ensuremath{\texttt{everything}}$ ; very little is provable at all.

```
abstract class A {
abstract public int nextInt();

public void test() {
   int x = nextInt();
   int y = nextInt();
   //@ assert x == y; // NOT PROVABLE
}
```

### 3.12.4 Methods with reads clauses

Even though some state change operation has occurred, a method may not depend on those changes. The only way to know about a limited dependency is by a specification saying so. This is the purpose of a reads (or accessible) clause in a method specification — it states which memory locations the method at hand depends on.

Consider this example:

```
public class A {
   public int myint;
   public int count;
   //@ reads myint;
   //@ spec_pure
   public int getInt() {
      return myint;
10
11
   //@ code_java_math
12
   public void m() {
      int i = getInt();
14
      count++;
15
      int j = getInt();
16
      count++;
17
      //@ assert i == getInt();
      //@ assert i == j;
20
    }
21 }
```

The three uses of <code>getInt</code> occur in three different states. Also, <code>getInt</code> is underspecified, so we do not actually know what it returns. But <code>getInt</code>'s <code>reads</code> clause states that it depends only on <code>myint</code> and so the result is not changed by the changes to <code>count</code>. So these assertions are provable. Without the <code>reads</code> clause they are not provable. Without the <code>reads</code> clause but with a postcondition like

```
ensures \result == myint;
```

the assertions are provable because the limited dependencies are now implicit in the fully specified postcondition.

### 3.12.5 Intentionally volatile methods

Java does not permit methods to be marked volatile, but the previous examples point to how such a method might be specified.

```
abstract class A {
    //@ spec_public
    private int _theInt;
    //@ assigns _theInt; reads _theInt;
    abstract public int randomInt();

public void test1() {
    int x = randomInt();
    int y = randomInt();
    //@ assert x == y; // NOT PROVABLE
    }
    public void test2() {
```

```
int x = randomInt();
int y = randomInt();
//@ assert x != y; // NOT PROVABLE EITHER
}
```

The most conservative assumption about a method is that it is non-deterministic and to presume otherwise is not sound. However, the default specification of a method also includes assignable \everything. Thus any invocation of such a method is specified to modify the heap, and consequently the results of successive invocations of a method with default specifications are unrelated anyway. Any method specified to be assignable \nothing does not change the state and must be deterministic or it is specified incorrectly, as it must depend on some property outside the program.

### 3.13 Invariants

Invariants about the state of a system and of individual objects within a body of software are important to careful design, maintaining understanding, and correctly modifying a software system. Specification languages support this design paradigm by providing syntax to write invariants expressing properties expected to be true of classes and object instances.

However, it has been surprisingly difficult to express sound, usable semantics for invariants in an object-oriented system. A local invariant for a given object instance is easy to conceptualize. But thorny problems arise when there are reentrant callbacks, mutual references between different objects, and use of mutable data fields of one object in another object's invariant.

### 3.13.1 Kinds of invariants

It is conceptually useful to take an aside to differentiate two kinds of invariants: *strong* and *weak*.

Strong invariants are those which always hold; there is no program point at which they do not hold. The prototypical example is type constraints, such as that the value of a given memory location is never null, or that an integer value falls within a given range. Every time such a memory location is to be modified, it can be checked at that time that any new value satisfies the invariant constraint. And any use of the value of that memory location can be assured that the invariant holds.

In contrast, weak invariants may be allowed to be broken temporarily en route to being restored in a new program state. Now it is important to know when the invariant can be trusted.

Strong invariants are possible, and unproblematic, because they involve just one memory location. Weak invariants typically involve the relationship between mul-

tiple memory locations. In the absence of programming language mechanisms for simultaneous, concurrent update, such invariants must be temporarily broken en route to being restored.

### 3.13.2 Traditional JML

The semantics of invariants in the first version of JML were that all invariants of all objects in the system had to hold at each boundary between a calling routine and a called routine (both on entrance and exit from the called routine), whether the exit was normal or exceptional.

This in principle allows any routine to be assured that any invariant on which it relies does indeed hold at the begionning of execution of that routine's body. Within the body of a method an invariant can be broken while the code step-wise moves to a new state in which the invariants once again hold. However, this rule is impractical to enforce in actual tools.

First, although it solves the callback problem, it also makes the use of simple utility routines difficult. Even to use a library routine to do some mathematical computation requires reestablishing any invariants that are broken during the current work-in-progress.

Second, the possibility of non-local references permits situations in which an invariant of class A refers to fields in class B and other clients of B can change B's fields, breaking A's invariant, without even knowing that A exists. It is impractical to require that all invariants be reestablished without having modular mechanisms to compute which invariants need attention.

Third, one cannot actually recheck all of the invariants in a software system on each method call and return. In practice some heuristics are needed to determine which invariants might be at risk and check that those are valid. This is not an approach that ensures soundness.

### 3.13.3 Invariants in JML 2.0

Consequently, this version of JML is revising the semantics of invariants, based on our own experience with JML over the past 25 years and other work on invariants during that time. In particular, recent work [70], carefully analyzing invariants identifies the same problems and treats them with careful, sound rigor.

Our implementation in JML is constrained by needing to provide a specification language for an existing programming language, rather than designing facilities in a programming language designed for verification, such as Eiffel and Dafny. Nevertheless, we follow that work where possible.

The current approach to invariants has the following components. Note that this approach is under current implementation and research.

### 3.13.3.1 Local specification of needed invariants

First, rather than a global rule that all invariants must hold, each method is responsible to know and state which invariants must hold for the method to do its work soundly. In general, this list of invariants is stated in a new invariants (§7.2) clause that is part of a method's specification. However, most methods are served by an easy default clause: the method only requires that the static and instance invariants of the receiver (if the method is not static) and of each actual parameter to the method must hold.

This default serves for most<sup>5</sup> cases. In particular, it works for most library routines. Routines that may perform callbacks to objects already in the call stack will require explicit annotation.

One could argue that even this default is not needed in some cases. For example, consider a List capability, in which a client can insert and remove object references from the list. There is no need for the objects in the list to satisfy their own invariants: the list only manipulates the reference pointer (presuming there is no need to compute a Java-like equality or do sorting). However, allowing such behavior would require distinguishing lists containing invariant-satisfying objects from those with not-necessarily-invariant-satisfying objects, so that when a reference is extracted from the list, one knows whether it is self-consistent or not (as measured by its invariant). Do make this distinction would require some kind of type annotation, akin to distinguishing lists holding possibly-null references and those holding only non-null references). We have not yet determined there is sufficient need for such a facility.

### 3.13.3.2 Managing non-local and mutual references

**TODO** 

## 3.14 Preconditions, Exceptions and untrusted callers

### 3.14.1 Specifying failing preconditions

A common specification situation is having a method that has some restriction on the states in which it may be called, that is, it has a precondition. In the body of the method, the precondition is defensively checked and some error action taken if the precondition is false, such as throwing an exception. This leads to a method specification with two specification cases, as in this sketch of an example:

```
1 //@ public normal_behavior
2 //@ requires i >= 0;
3 //@ ...
4 //@ also public exceptional_behavior
5 //@ requires i < 0;
6 //@ signals_only IllegalArgumentException;</pre>
```

<sup>&</sup>lt;sup>5</sup>the utility of this default is currently a topic of research

```
7 public void m(int i) {
8    if (i < 0) throw new IllegalArgumentException();
9    ...
10 }</pre>
```

Here, the first specification case states what happens if the precondition holds; the second case states that if the precondition is not true, then a specific exception is thrown.

This pattern is verbose and has a maintenance risk in that the two preconditions of the two specification cases have to be kept as negations of each other.

The pattern is so common that JML defines some syntactic sugar: the requires-else clause. This clause (cf. §8.3.1) contains the usual precondition and gives an exception type to be thrown if the precondition does not hold. The following illustrates how the clause is desugared:

The clause list comprising the specification case is presumed to be normally ordered (§1) with all precondition clauses first.

A specification case may have multiple requires-else clauses, mixed with nested case sequences (cf. §1); the desugaring above is carried out for each requires-else clause and each nested case.

The desugaring laid out above may not always match the user's intent. For example, the exceptional behavior may in fact assign to some memory locations. If the desugaring is not a correct specification for the method, then the correct combination of specification cases will need to be written out, without benefit of the requires-else feature.

#### 3.14.2 Untrusted callers

The desugaring of the requires-else clause may not match the intent of the programmer: the combination of the two specification cases states that it is perfectly fine to call the method whether the precondition holds or not. A common intent is actually that (a) the method should always be called in states in which the normal behavior precondition holds, but (b) just in case it is not, there is an error path to cleanly report the error.

To accomplish the intent (a), the method should just have the normal behavior specification case. Then any caller (or tool) that checks the precondition will be alerted if the caller does not satisfy the callee's precondition. However now the body of the program is verified under the sole precondition of the normal specification case. Consequently the error path code is dead code and not checked. This is unacceptable, especially since error paths in software, being less well tested, have a higher rate of bugs.

What we need is a specification case for the error path that is not seen as legitimate behavior by the caller but is used by the callee in verifying the method's body. It is a specification case solely to accommodate *unverified callers* and so is particularly important for library specifications.

The solution adopted by JML is that proposed in [29]. It consists of a variation on requires-else, named recommends-else.

Like requires-else, the recommends-else clause (cf. §1) is desugared into a two specification cases, one for the path where the precondition holds, and one where it does not. But there are these differences:

- All the recommends-else clauses of a specification case are processed together, producing one case where all the precondition predicates hold and one where at least one predicate does not.
- The recommends-else clauses are processed before any requires or old clauses (cf. §1).
- The exceptional behavior case produced from recommends-else clauses must be implemented by the callee, but is not an alternative for the caller.
- There is no ordering to the recommends clauses. Each precondition predicate must be well-defined on its own.

For example, given

```
public normal_behavior
recommends P else E1;
recommends Q else E2;
<ohref="color: red;">cother-clauses></hr>
```

the callee implementing this specification sees

```
public normal_behavior
requires P & Q;
<other-clauses>
also public exceptional_behavior
requires ! (P & Q);
assignable \nothing;
signals (E1) !P;
signals (E2) !Q;
signals_only E1, E2;
```

but the caller only sees the first of these specification cases. Thus the caller must establish  $P \wedge Q$  before calling the method.

### 3.15 Arithmetic modes

JML defines various *arithmetic modes*, separately for integer arithmetic and floating point arithmetic. These modes allow one to use mathematical numbers (integers and reals) or their machine representations (fixed-bit-width integers and IEEE floating point) in specifications and to enable or disable warnings about out of range computations.

The features for arithmetic modes are described in \$13.

# 3.16 Redundant specifications

JML has some features that enable expressing redundant specification. In particular, there are keywords with a ...\_redundantly suffix, such as requires and requires\_redundantly. In each case the redundant predicate is expected to be provable from the other predicates for the corresponding root keyword.

Similarly, method specifications may have implies\_that and for\_example sections that express logical statements that are expected to be provable from the primary part of the method specification.

Redundant specifications are useful as alternate expressions of the primary specifications. They can serve as lemmas for the benefit of the reasoning engine or as restatements that make the import of the specification clearer to a human reader.

# 3.17 Naming of JML constructs

Most JML constructs can be optionally named. The name is a Java identifier that is placed just after the keyword for the construct and is followed by a colon. For example

```
requires positive: i > 0;
```

and

#### public normal\_behavior usual\_case: .

These names are currently only for external reference. They have no type or scope; they are in a different namespace than any other Java or JML identifier. As they may be used by tools to distinguish various predicates, names should be unique for each method in the union of the names in the method and the names of predicates in the class (such as invariants). Because they are Java identifiers, they may not be Java keywords. Tools may use them in error messages or in tool directives as the tool sees fit

In grammar productions, this optional name, with its colon, is indicated by *opt-name*>.

Although currently these clause and specification case names have no meaning within JML, there are ideas for that to change.

- The name of a specification would have boolean type and be in scope in the
  body of the method and in any textually later specification cases (but not its
  own spec case). Its value would be the value of the conjoined precondition for
  that case, that is, true in those initial program states that the specification case
  applies, because its precondition is true.
- The name of a clauses would be an identifier representing the value of that clause if that is meaningful, in the program state in which the identifier is used. So boolean for requires, ensures, invariant, assert etc. clauses, \locset for assignable clauses etc.
- In some cases it is useful to be able to refer, in a method body, to identifiers declared in old clauses in the method specification. For this purpose, using the name of a specification case as a state label in \old would be useful.

# 3.18 Specification inference

If no specifications are present for some program entity, JML presumes some defaults (§10). Alternatively, one could *infer* specifications based on the source code itself, on the uses of a particular method elsewhere in the overall program, or even based on external documentation. Inference of specifications would be very useful in reducing the amount of specification text a user would have to write. However, as specification inference is very much an area of research and JML does not want to presume any specific inference capability, the JML language defines specific defaults without presuming any inference.

Tools supporting JML may in fact implement useful inferences, saving the writing and reading of "obvious" specifications. We recommend that such inference be clearly identified, that there be options to enable and disable inference, and the inferred specifications be presented to the user for review and for possible inclusion in the source code.

In addition, some caution is in order. If specifications are inferred based on the source code, the inferred specifications can presumably be verified with respect to

that source code. That does not mean that that mutually consistent combination is correct when compared to some external requirements or the intent of the software. Thus inferred specifications should be reviewed by humans as well as being verified against the implementation.

# 3.19 org.jmlspecs.lang and org.jmlspecs.runtime packages

The org.jmlspecs.lang and org.jmlspecs.runtime packages are reserved for use by tools or future JML features.

# 3.20 Evaluation and well-formedness of JML expressions

JML text may be *syntactically incorrect*. Such errors are typically caught by the parser. Syntactically correct text may be *type-incorrect*. Such errors are typically caught during the name and type attribution phase of the compiler.

JML expressions must also be *well-defined*, that is have a logical meaning. For example, for integer values i and j, the expression i/j is well-defined only if it can be proven that j is never zero.

This means that predicates in JML are either true or false or not-well-defined — a three-value system. In JML it is considered a verification error if an expression cannot be proven to be well-defined; it is a runtime error if an expression is not well-defined for a particular runtime execution of a program containing JML expressions.

The details of well-definedness are presented in §12.2.

# 3.21 Core JML

There is a tension in a language design project meant for several purposes: research, practical, and educational use. That is, language design research tends to add an assortment of experimental features; practical applications demand a robust but substantial and stable set of language capabilities; and, educational use needs a small core that can be put to use in examples. In addition, sophisticated features may be needed to specify system libraries, which in turn are needed for educational use.

To help guide tool development, the features of JML are grouped into various categories, with *Core* features as the most basic. This categorization of JML features is enumerated in the table in Appendix B.

# **Chapter 4**

# JML Syntax

## 4.1 Textual form of JML specifications

Specifications in JML for a Java program are written either as specially formatted comments within the Java source text, described in this section, or in standalone . jml files, as described in §17. The . jml files are quite similar to . java files, just in a separate file.

### 4.1.1 Java lexical structure

The lexical structure of Java source text (typically, but not necessarily contained in files in the local file system) is described in the chapter on Lexical Structure of the JLS [5](Ch. 3).

Java source text is written in unicode using the UTF-16 encoding. It is permissible to represent unicode characters with *unicode escapes*, which use only ASCII characters and have the form  $\unueeq uxxxx$ . The source text is translated into a sequence of (Java) tokens using the following steps:

- The source text is converted to (unicode) character sequence lines, by abstracting the line ending characters used on various platforms into single line terminator tokens.
- Then, beginning at the beginning of the character sequence and continuing with the next token immediately after identifying the previous token, the character sequence is iteratively divided into Java tokens, which are
  - reserved words
  - identifiers
  - literals
  - operators
  - separators (i.e., punctuation)

- white space
- comments
- line terminators
- For each token, character sequences are tokenized into the longest valid token, whether or not that token can be parsed as part of a legal Java program. Thus white space is needed to separate identifiers, which would otherwise be tokenized as a single longer identifier; similarly is parsed as a single operator rather than two operators, even if cannot form a legal Java program whereas two operators might. The one exception is that consecutive > characters, which by the longest token rule would be tokenized as >> or >>> shift operators, but in the context of closing generic type arguments are separated into separate > tokens, as in List<List<Object>>.

This tokenizing is inclusive enough that almost any sequence of characters can be translated to a sequence of Java tokens. The only errors in this process are from illegal characters such as #, `, illegal escape sequences, illegal unicode characters, ill-formed floating-point literals, and un-closed string literals and comments.

The Java lexical analyzer then discards white space tokens, comment tokens, and line terminators to form the token sequence that is the input to the Java parser.

### 4.1.2 JML annotations within Java source

JML adjusts the above process in one small way. Java comments (by the rules of Java) are (by the rules of JML) identified as either *JML annotation comments* or as *plain Java comments*. The latter are discarded by both Java and JML. The former are still discarded by a Java parser (because they are Java comments), but retained by JML tools.

The *JML* annotation text is the content of a JML annotation comment without the beginning and ending comment markers, as defined below. The JML annotation text is tokenized into a sequence of JML tokens located at the position of the comment token in the Java token sequence.

Because JML annotation comments are Java comments, they do not affect the interpretation of Java source as seen by Java tools. It is an important rule that

a JML tool must semantically interpret the Java portion of Java source that includes JML annotation comments in precisely the same way as defined by the Java Language Specification, that is, as a Java compiler would.

A complementary rule is that

No text outside of a Java comment may be considered as part of JML annotation text.

Two examples demonstrate a bit of the intricacies. The text (as one complete text line)

followed by four tokens, namely a quote, a semicolon, a star and a slash. Thus the JML annotation text is just <code>ghost String s = "asd, which ends in an unclosed string literal." On first glance one might think that the JML annotation text should be a string literal.</code>

```
ghost String s = "asd*/";
```

which would be a legitimate JML declaration, but that reading does not agree with the first rule above, which requires that the JML annotation comment end with the first occurrence of  $\star$ /.

A second example is

```
public
//@ invariant a != null;
void mm() {}
```

Here a Java compiler would interpret public as a modifier of the method declaration that follows the comment. Consequently a JML tool may not interpret the public modifier as belonging to the invariant. To do so would violate the rule that the JML token sequence may only consist of tokens derived from text within JML annotations. In fact, in this case, the JML annotation text would be illegal because it is placed within a Java method declaration.

### 4.1.3 JML annotations

JML annotation comments are specially formatted Java comments. The determination of whether a Java comment is a JML annotation comment is made in the context of a globally-defined set of *keys*, each of which are Java identifier tokens; the keys are defined independent of the source text itself. JML tools may provide mechanisms to declare the set of keys defined for a particular invocation of the tool.

• A Java comment that begins with text matching the regular expression  $/ \ [/\ | \ *\ ] \ (\ [+\ | \ -\ ] < \ java-identifier>) \ *\ @+$  is a JML annotation comment if

- (a) there are no < java-identifier> tokens (that is, the comment begins with either //@ or /\*@ followed by zero or more @ characters
- or (b) (i) if there are any identifiers (in the regular expression above) preceded by a + sign, then at least one of them must be a key, and (ii) if there are any identifiers (in the regular expression above) preceded by a sign, then none of them must be a key.
- Anything not matching the above regular expression or not meeting the rules on keys is not a JML annotation comment; it is a plain Java comment.
- · Note that the permitted regular expression allows no white space.

Also note this terminology:

• JML annotation comments meeting condition (a) above are *unconditional JML* annotation comments.

- JML annotation comments meeting condition (b) above are *conditional JML* annotation comments, as they depend on the set of keys.
- JML annotation comments that are within Java line comments are JML line annotation comments.
- JML annotation comments that are within Java block comments are *JML block* annotation comments.

### 4.1.4 Unconditional JML annotations

By the definitions above, unconditional JML annotation comments either

- (a) begin with the characters //@ and extend through the next line terminator or end-of-input, or
- (b) begin with the characters /\*@ and extend through the next occurrence of the characters \*/, possibly spanning multiple lines.

Examples of unconditional JML annotation comments are

### 4.1.5 Conditional JML annotation comments

If the identifiers RAC and OPENJML are declared as keys but DEBUG is not, then these are conditional JML annotation comments:

```
1 //+RAC@ requires true;
2 //+RAC-DEBUG@ requires true;
3 /*+OPENJML@@@ requires true; @@@*/
4 //-DEBUG@ requires true;
```

In lines 1 and 3, there is a key occurring with a + sign; in line 2, there is a key occurring with a + sign and there are no keys with a - sign; in line 4 there are no positive identifiers and the one negative identifier is not a key.

These are plain Java comments:

```
1 //-RAC@ requires true;
2 //+OPENJML-RAC@ requires true;
3 //+DEBUG@ requires true;
4 //+RAC @ requires true;
```

In lines 1 and 2, there is a key in the comment opening marker that has a - sign, so these are not JML annotation comments, despite the presence of a key with a + sign

in line 2; in line 3 the identifier in the comment opening marker is not a key; and line 4 is a plain Java comment because of the white space between the // and the  $^{\circ}$ .

### 4.1.6 Default keys

Tools should by default declare these identifiers as keys:

- DEBUG not declared by default, but reserved
- ESC by default, declared when static checking (deductive verification) is being performed by a tool, otherwise not
- RAC by default, declared when runtime assertion checking is being performed by a tool, otherwise not
- OPENJML reserved for use by the OpenJML tool and presumed to be defined when that tool is used and otherwise not
- KEY reserved for use by the KeY tool and presumed to be defined when that tool is used and otherwise not

Other identifiers may be reserved for other tools. Keys are case-sensitive, but tools may relax that rule, so different identifiers used as keys should not intentionally be the same when compared case-insensitively. The tool-specific keys are intended to be used to include or exclude JML annotation text that contains tool-specific extensions or tool-specific unimplemented JML features, respectively.

### 4.1.7 Tokenizing JML annotations

The JML annotation text is obtained from a JML annotation comment by

- removing the opening comment marker as defined in §4.1.3
- removing the closing comment marker which is either the line terminator for a line comment or the characters [@]\*[\*][/] for a block comment (that is, the usual \*/ comment ending marker plus any number of consecutive preceding @ characters

The JML annotation text resulting from the above is then tokenized in the same way as Java source text is tokenized, with the following additions:

- character sequences matching [\] < java-identifier> are valid identifiers in JML annotation text. Examples are \result and \type (in current practice, all such identifiers are all alphabetic after the backslash). These are defined as < jml-identifier>s.
- JML defines additional operators:

```
.. ==> <==> <=!=> <: <:= <# <#= .
```

• An integer literal followed by a period followed by a period followed by an integer literal (e.g., 1..2) should, by the longest token rule, be tokenized as two floating-point literals (1. and .2 in the above). JML however alters the

rule in this case to tokenize such a character sequence as an integer literal, the JML  $\dots$  token, and an integer literal (as in 1  $\dots$  2).

- JML defines some additional two-character separators: { | and | }.
- JML defines an additional white space token: within a block annotation comment, the character sequence [ \t] \* [@] + (that is, optional white space followed by one or more consecutive @ characters) immediately following a line terminator is a white space token.

After being tokenized, any white space, plain Java comments, and line terminators are discarded; the result is the token string comprising the JML annotation.

For example, in

```
1 /*@@@@@@@@@@@@@@@@@@@@
2 @@ requires x > 0;
3 @@ ensures \result < 0;
4 @@@@@@@@@@@@@@@@@@@@@@#/</pre>
```

none of the @ characters is part of the JML annotation token string (after dropping white space tokens). But in this example

```
1 /*@@@@@@@@@@@@@@@@@@@@@@
2    @ requires x > 0 @;    @ // invalid @ in and after text
3    @ @ ensures \result < 0;    // second @ is invalid
4    @@@@@@@@@@@@@@@@@@@@@#/</pre>
```

the end-of-line comments identify some @ tokens that are invalid.

### 4.1.8 Embedded comments in JML annotations

Because the text of Java comments is not tokenized, Java does not have embedded comments. JML, however, does tokenize the text of a JML annotation and that text may contain embedded Java comments. Those embedded Java comments are treated just like non-embedded Java comments: a determination is made as to whether the Java comment is a JML annotation comment; if so, the JML annotation text is tokenized and those tokens become part of the token stream of the enclosing JML annotation. This process can happen recursively.

Here are some pairs of example JML annotation text and corresponding JML token sequences (omitting white space, line terminator, and comment tokens)

```
    //@ requires // comment identifier token (requires)
    //@ requires /* comment */ true; identifier (requires), literal (true), semicolon
    //@ requires /*@ true */; identifier (requires), literal (true), semicolon
```

```
• //@ requires //@ true ; identifier (requires), literal (true), semicolon
```

```
    If the identifier RAC is a declared key
        //@ requires //-RAC@ true;
    identifier (requires)
```

- If the identifier RAC is a declared key
   //@ requires //+RAC@ true;
   identifier (requires), literal (true), semicolon
- If the identifier RAC is not a declared key
   //@ requires //+RAC@ true;
   identifier (requires)

Note though that block comments embedded in line comments must begin and end within that line comment. Also block comments cannot be embedded in other block comments because the first  $\star$ / will end the outer block comment, leaving the inner comment unclosed.

Overuse of embedded comments results in difficult to read text and poor style. The two principal use cases are these:

· adding plain Java comments inline, as in

```
1 /*@
2  @ requires true; // precondition
3  @ writes a; // frame condition
4  @ ensures a > 0; // postcondition
5  @*/
```

 conditionally discarding portions of a JML annotation for a particular situation, such as, commonly, to exclude non-executable JML features during runtime assertion checking:

```
/*@
continuous process requires true; // precondition
condition,
condition,
condition,
condition
cond
```

A similar case is to include or exclude annotations particular to a given tool.

### 4.1.9 Compound JML annotation token sequences

A consecutive sequence of JML annotation comments in the source text is combined into a single JML annotation token sequence by concatenating the token strings from the individual JML annotation comments. The JML annotation comments in the sequence must be separated only by discarded Java tokens (white space, line terminators and plain Java comments). Note in particular that it is the *token strings* that

are concatenated, not the text. Thus any token, such as a string literal or a Java text block, must still be contained within one JML annotation comment.

A common use case for this language feature is to write JML text such as

```
1 //@ requires a
2 //@ && b
3 //@ && C;
```

where a, b, and c are stand-ins for potentially long expressions that are best broken across lines. A block annotation comment could also be used here.

The JML annotation comments in the sequence may be any mix of line or block comments.

**Obsolete syntax** JML previously allowed JML text within Javadoc comments. This is no longer permitted or supported.

**Issues with the JML textual format** There are a few issues that can arise with the syntactical design of JML.

First, JML annotation token sequences are the concatenation of token sequences from individual JML annotation comments. These annotation comments may be separated by large blocks of discarded Java tokens, such as a large jmldoc comment. An error, say in terminating an expression, in an earlier JML annotation may not be recognized by the parser until a later annotation, leading to the parser issuing an error message quite far removed, textually, from where the correction is needed.

Second, other tools may also use the @ symbol to designate comments that are special to that tool. If JML tools are trying to process files with such comments, the tools will interpret the comments as JML annotations, likely causing a myriad of parsing errors.

Third, Java uses the @ sign to designate Java annotation interfaces. That in itself is not an ambiguity, but sometimes users will comment out such annotations with a simple preceding //, as in

```
//@MyAnnotation
```

This construction now looks like JML. The solution is to be sure there is whitespace between the // and the @ when a Java comment is intended, but it may not always be possible for the user to perform such edits. Tools may provide other options or mechanisms to distinguish JML from other similar uses.

### 4.1.10 Java text blocks

Java 15 introduced *text blocks*, allowing writing multi-line String literals. Here is an example of a three-line String literal:

```
1 String s = """
2    line 1
```

```
line 2 with two spaces indentation
line 3 with some quotes "very cool"
""":
```

The rule for text blocks in Java is that the String literal consists of the lines between the opening and closing """, but removing any common prefix of those included lines and the closing line that consists entirely of spaces and tabs, and removing any trailing spaces and tabs on each line. The prefixed sequence of spaces and tabs must be precisely equivalent (space for space, tab for tab), which can lead to subtle errors in code that has undisciplined mix of spaces and tabs. This removing of common prefixes allows the String literal to be indented along with code, without the indentation becoming part of the String.

Now consider such a text block within JML comments. For JML block comments, it is common to prefix every line in a block of code with @, as in

```
1 /*@ ghost String s = """
2    @    line 1
3    @    line 2 with two spaces indentation
4    @    line 3 with some quotes "very cool"
5    @    """;
6    @*/
```

Thus for JML block comments, JML removes any leading white space followed by one or more consecutive @ characters prior to sending the text to Java to remove its indentation.

Similarly, using JML line comments, one might write

```
1  //@ ghost String s = """
2  //@  line 1
3  //@  line 2 with two spaces indentation
4  //@  line 3 with some quotes "very cool"
5  //@  """;
6  //@
```

Again, JML removes any leading white space followed by // followed by one-or-more consecutive @ characters, and then passes the resulting text on to Java.

### 4.1.11 Terminating semicolons

Many JML clauses and statements end with a semicolon; this practice follows the syntax of Java. Such semicolons are not typically essential to parsing a program, though they are helpful in error recovery and in reading the program. in JML such a semicolon is optional if it immediately precedes the end of the JML comment (i.e., just before the terminating  $\star$  / or end-of-line after removing any Java comments) and there is no immediately following JML annotation. The semicolon is required if the statement is succeeded by another statement within the same JML comment or in an immediately following JML annotation.

```
For example, in
```

```
1 //@ ensures true
2 public void m1() {}
3
4 //@ requires true;
5 //@ ensures true
6 public void m2() {}
```

the semicolons that would terminate the ensures clauses are optional, but the one terminating the requires clause is still required. Remember (§4.1.9) that consecutive JML annotation comments are concatenated, so that a single clause could be continued from one JML annotation comment to the next.

## 4.2 Locations of JML annotations

A JML annotation's token string must conform to the grammatical rules presented throughout this document. The *placement* of JML annotation comments is also subject to various rules.

JML annotations fall into the following categories, each of which is described in detail in cross-referenced sections, along with a grammar for both the JML annotation and the location of the JML annotation within the Java source:

- modifiers (§A) single words, like the Java modifiers public and final; these
  are placed as part of the declaration they modify, mixed in with Java modifiers.
  Examples are pure and nullable.
- file-level specifications (§6) these are placed with Java top-level declarations, such as import statements or model class declarations. Examples are model import statements.
- type specification clauses (§7) these are placed where Java places members
  of types, such as field and method declarations. Examples are invariant
  clauses.
- method specifications (§8) these are placed in conjunction with the declaration of a method's signature. They in turn consist of
  - keywords
  - punctuation
  - clauses
- field specifications (§9) these are placed in conjunction with a field declaration. Examples are in and maps clauses.
- statement specifications (§11) these are placed like statements in a code block (a method or initializer body). Examples are assert and loop specification statements.

Thus all JML annotations consist of single-word tokens (modifiers and keywords), punctuation (one or more sequential non-alphanumeric characters), and clauses, which themselves begin with keywords.

JML annotations that are not in a prescribed location are errors (which tools should report).

# 4.3 JML identifiers and keywords vs. Java reserved words

As described in the previous section, JML annotations include, among other things, identifiers that have special JML meaning, as modifiers and keywords. Any Java identifier that is in scope for a JML annotation can potentially be used within a JML clause; consequently we want to be sure that there are no name clashes. There are a few aspects of JML design that intend to avoid possible name clashes. Again, these are presented more formally in later chapters.

- Java reserved words may not (by Java's rules) be used in Java expressions or declared as names in Java. These reserved words are also reserved in JML and may not be declared as new JML names, nor are they used as JML keywords. JML keywords are not reserved.
- Specialized JML identifiers used in expressions begin with a backslash, so they cannot be confused with Java identifiers. Examples are \result and \old.
- JML operators and punctuation (composed of non-alphanumeric characters) are either the same as in Java (e.g., +) or something not in Java (e.g., <==>). As authors of Java programs cannot add new operators or punctuation, there is no possibility of name clashes. There is a possibility of a backwards-compatibility clash if the Java language adds new operators in the future, such as perhaps ==>, that clash with existing JML operators.
- JML modifiers, keywords, and the initial keywords of clauses are all regular Java identifiers. All JML modifiers and clauses begin with such a keyword and so can be recognized by that keyword. Thus on parsing a JML annotation, the parser considers the first token found, which, if not an operator or punctuation, must be an identifier, which then is either a standalone word (e.g., a modifier) or is the beginning of a clause. Importantly, these keywords are not reserved words and they are different from all of Java's reserved words¹; however JML keywords may be Java or JML identifiers declared as program names. For example, requires is a keyword beginning a method precondition, as in requires i >= 0;. But requires could also be an identifier declared say as either a Java or JML field name. Thus it is possible to have a precondition requires requires >= 0;. If it is Java that declares requires, such a construct might

<sup>&</sup>lt;sup>1</sup>More precisely, the JML keywords are all different from any of Java's reserved words that might start a declaration, notably type names. The Java reserved word assert is also a JML keyword, but assert at the beginning of a JML clause is unambiguously the start of a JML clause.

be unavoidable; if JML does so it should likely be considered poor style owing to difficult readability.

- JML also uses class names that fall into conventional Java naming conventions but are in packages reserved for JML use. Such packages begin with either org.jmlspecs or org.openjml. It is conceivable but unlikely that Java users might define their own packages and classes that use this same name, in which case there would be an irreconcilable name conflict. However, the Java library itself would not use package names beginning with org.
- Declarations of fields, methods, and classes within JML cannot declare the same names as corresponding Java declarations in the same scope. For example, a declaration of a JML ghost field in a Java class may not have the same name as a Java field declaration. Simply put, if Java does not permit adding such a declaration (because of a duplicate declaration), then JML may not introduce the declaration either.
- There is a situation that is unavoidable. A Java class Parent may contain a declaration of a JML name n that is appropriately distinct from any potentially conflicting name in Parent. However, unknown to the specifier of Parent, a class Child can later be derived from Parent and the (Java) author of Child, not knowing about the JML specifications of Parent, may declare a name n in Child. In such a case, with a local Java entity and an inherited JML entity having the same name, what does the name refer to? In Java code, the name refers of course to the (one) Java declaration. In JML code the ambiguity is resolved in favor of the Java name. In this case the JML entity could be referred to in JML code within Child as super.n or ((Parent)x).n.

## 4.4 JML Lexical Grammar

In the following grammar, the lexical syntax is defined using regular expressions, using the standard symbols: parentheses for grouping, square brackets for a choice of one character, ?, \*, + for 0 or 1, 0 or more and 1 or more repetitions. An identifier within angle brackets and in italics is a lexical non-terminal; terminal characters are in bold; backslash is used to escape characters with special meaning, but no escape is needed within square brackets. White space is included only where specifically indicated. The references to JLS are to the Java Language Specification, specifically the chapter on lexical structure [5].

```
<jml-block-comment ::=</pre>
        /★ <jml-comment-marker>
        <jml-annotation-text-no-blocks>
        <jml-block-comment-end>
<jml-comment-marker> ::=
        ([+|-]< java-identifier>) *@+
in which the Java identifiers must satisfy the rules about keys stated in §4.1.3.
<jml-block-comment-end> ::= @**/
<plain-java-comment> is defined in §3.7 of the JLS, but excludes any character sequence
matching a < compound-jml-comment>
<java-identifier> is defined in §3.8 of the JLS (and excludes any <reserved-word>)
<jml-annotation-text-no-blocks> ::=
        ( <identifier>
      | <reserved-word>
      teral>
      | <operator>
      | <separator>
      < java-white-space>
      | < jml-line-comment>
      | <plain-java-comment>
      | <jml-line-terminator>
) *
<jml-line-terminator> ::=
                                    | line-terminator>
      | < jml-white-space>
<jml-annotation-text> ::=
      | < jml-annotation-text-no-blocks>
      |<jml-block-comment>^2
<identifier> ::= <java-identifier> | <jml-identifier>
<jml-identifier> ::= [\setminus]<java-identifier>
Note that users cannot define new < jml-identifier>s and all < jml-identifier>s currently defined
in JML are purely alphabetic and ASCII after the backslash.
<reserved-word> is defined in §3.9 of the JLS
teral> is defined in §3.10 of the JLS
<operator> ::= <java-operator> | <jml-operator>
<java-operator> is defined in §3.12 of the JLS
<jml-operator> ::= .. | ==> | <=!=> | <: | <: | <# | <#=</pre>
<separator> ::= <java-separator> | <jml-separator>
```

<sup>&</sup>lt;sup>2</sup>This *<jml-block-comment>* may not include any line terminators.

## 4.5 org.jmlspecs.lang.JML

JML introduces a variety of non-Java syntax. To promote tool interoperability, syntactic replacements for these are also defined, to enable writing JML expressions with purely Java syntax, allowing a JML program to be parsed by non-JML aware tools. Although this substitution would enable a JML-annotated program to be parsed and type-checked, understanding the semantics of JML would still be necessary to make use of the JML features. Also the goal of a complete replacement by Java equivalents is not yet achieved, as noted in the following subsections.

The replacements are defined in the class org.jmlspecs.lang.JML. For example the function JML.implies can be used instead of the operator ==>.

### 4.5.1 JML modifiers

Modifiers can be replaced by the Java @-interface equivalents (e.g., pure by @Pure).

### 4.5.2 JML expressions

- JML.informal(s) (\* ... \*), where s is a string literal holding the content of the informal comment
- JML.equivalence <==>
- JML.inequivalence <=!=>
- JML.implies ==>
- JML.subtypelt <:
- JML.subtypeleq <:=
- JML.locklt <#
- JML.lockleq <#=
- JML.bsZZZ for any JML expression beginning with a backslash, e.g., JML.bsresult for \result.

Quantification expressions take two arguments: a \set or \seq as the range and a lambda function as the value. For example, \exists int i; 0 <= i < 10; f(i)) can be written as JML.bsexists(JML.seq(0,10), i-> f(i))

### 4.5.3 JML statements

JML statements are represented in Java as methods returning void.

- JML.assert
- JML.assume
- JML.unreachable
- JML.loop\_invariant
- JML.assigning
- JML.decreases
- JML.begin
- JML.end

TODO: JML primitive types, \TYPE \locset, JML datatype, JML tuples, model imports, type and method clauses, model fields/methods/classes, JML datagroup, set comprehension, operator chaining

local ghost variables, local model classes, ghost labels, set statement, block specifications,

state labels, scope issues for \old \type store-ref expressions, line annotations(forbid, allow, ignore)

# 4.6 Definitions of common grammar symbols

This section assembles the definitions of a number of grammar non-terminals that are used throughout the manual. See §2.3 for information about how the productions of the grammar are written.

The grammar uses the syntactic tokens of §4.4 and the ones listed below as non-terminals. All other lexical tokens are presented as literal terminals in the grammar productions.

- <java-identifier> a character sequence allowed as an identifier by Java. Note
  that <java-identifier> excludes Java reserved words, some of which are contextdependent.
- <jml-identifier> an identifier with its preceding backslash. Only a specific set
  of such identifiers are legal in JML.
- <string-literal> a traditional ("-delimited) string or a text block ("""-delimited)

- <character-literal> as in Java
- <integer-literal> as defined in Java. Note that multi-digit integer literals beginning with a 0 are octal numbers, those beginning with 0x or 0X are hexadecimal, those beginning with 0b or 0B are binary, and that these literals may have a trailing 1 or L and may include underscore characters.
- <fp-literal> as in Java

Common grammar symbols. (Reminder: . . . means a comma-separated list of one or more items.) *Or is it zero or more – review for correct and consistent use* 

A possibly qualified name is a sequence of dot-separated identifiers:

```
<qualified-name>::= <java-identifier> ( . <java-identifier> ) *
```

A type name possibly has type parameters:

```
<type-name> ::= <qualified-name> [ < <type-name> ... > ]
```

A predicate is just an expression whose type is boolean:

An expression is either a specifically JML expression or a Java expression that permits JML sub-expressions.

```
<expression> ::= <jml-expression> | <java-jml-expression>
```

A «java-jml-expression» is a Java-like expression that may have JML subexpressions

An optional name for a clause or specification case:

```
<opt-name> ::= [ <java-identifier> : ]
```

# **Chapter 5**

# JML Types

To abstractly model program structures in specifications, specifiers need basic numeric and collection types, along with the ability to combine these into user-defined structures. All of the Java class and interface type names and all Java primitive type names are legal and useful in JML: int short long byte char boolean double float. In addition, JML defines some specification-only types, described in subsections below. There are several needs that JML addresses:

- Specifications are sometimes best written using infinite-precision mathematical types, rather than the fixed bit-width types of Java. Indeed, users typically prefer to (and intuitively do) think in terms of mathematical integers and reals, to the point of missing overflow and underflow bugs. JML's arithmetic modes (§13) allow choosing among various numerical precisions.
- Java's handling of class types only expresses erased types; JML adds a type and
  operations for expressing and reasoning about generic types.

With respect to reference types, note the following:

- Java's reference types are heap-based and so creation of and operations on these types may have side-effects on the heap.
- Though pure (side-effect free) methods on Java classes can reasonably be used
  in specifications, the Object.equals method cannot be pure without significantly restricting the set of programs that can be modeled.
- Side-effect-free types for specification should have value semantics, but classes constructed using Java syntax will still have a distinction between == and .equals.

Thus, although Java types can be named in specifications, types used for modeling are much more convenient if they are pure, value-based, heap-independent types that do not use non-pure methods of Java classes.

This leads us to consider types built-in and predefined in JML. At the cost of extra

learning on the part of users, such types can have more natural syntax and clearly be primitive value types. Also, built-in types can be naturally mapped to types in SMT provers that have theories for them (e.g. the new string theory in SMT-LIBv2.6 [15]).

Specifically, JML's builtin types have the following properties:

- the type name begins with a backslash (e.g., \seq)
- if a builtin type takes type parameters, those parameters may be Java reference types or JML builtin types, but not Java primitive types
- they have value semantics, like Java primitive types: values are immutable and all operations produce new values
- there is no distinction between == and .equals. Where equality of values is needed, == is used and is defined as structural equality. For example, two sets are equal (==) iff they have the same elements. .equals is not used on value types.
- in JML terms, all operations
  - are pure, i.e., they do not modify the program state (assignable \nothing;)
  - are independent of the heap (i.e., no\_state and reads \nothing)
  - are terminating (diverges false;)
  - are nonnull there are no null values of value-semantics types
  - are immutable, i.e. any visible fields are final
- for the most part, the operations on builtin types follow Java syntax, though
  where the semantics is obvious some infix operations are defined as well. (Using Java syntax reduces the amount of parser customization required to support
  JML, even if that means a slightly more verbose and less mathematical syntax.)

There is no type hierarchy that subsumes all Java and JML types. All Java reference types are instances of the Java Object type, but the Java primitive types and all JML types (which are also primitive) are mutually independent. There is implicit conversion among Java and JML numeric types, as is present in Java.

## 5.1 Java reference types

Java reference types may be used in specifications, both library classes and user-defined classes. However, an important restriction applies: all operations on values of such types must be pure (§3.9), that is, they must not have side-effects and must be declared to be one of the kinds of purity, most simply <code>spec\_pure</code>.

Consequently, allocation of new objects is restricted (cf. §3.9) in specification expressions and no operations change the current state. A significant implication of this rule is that methods such as toString and equals cannot generally be used in specifications. These methods of Object may be overridden by methods in arbitrary derived classes, and they may be implemented with side-effects. Accordingly, they cannot be (and are not) declared pure in java.lang.Object without severely restricting the implementers of other classes. Reference equality, inequality and comparisons against null are all permitted.

A library or user-defined class that is final and has side-effect-free implementations for methods like equals and toString may declare them as some kind of pure and use them in specifications.

Java classes designed with mathematical, value semantics (an immutable class with all pure methods) can be used to model the behavior of a Java program. The methods of such a class would be defined in their own specifications using techniques such as an algebraic specification. Although some modeling types of this nature were supplied with earlier versions of JML tools, these are being replaced by built-in primitive value types with simpler, clearer, more mathematical semantics, as described in later sections of this chapter.

## 5.1.1 Java enums

An exception to the discussion of the previous section is Java enum types. As enums are immutable types, enum values and built-in operations on enums can be used in specifications. Also, enum values are never freshly allocated, so == comparisons can be used.

== and != — equality and inequality of enum values are permitted in specifications

In addition Java defines several built-in methods for enums. Each of these has some implicit specifications. For a given enum type  $\mathbb E$  the following hold (in the examples,  $\mathbb E$  is presumed to have the three values  $\mathbb A$ ,  $\mathbb B$ ,  $\mathbb C$ :

 the class E is final; that is, it may not be the parent class of any other class, except that the compiler creates anonymous classes for enum values that are customized with their own behavior. The following is true if E has no such customization.

```
//@ axiom \forall \TYPE t;; t <: \type(E) ==> t == \type(E);
```

 the class E extends Enum<E>, which extends Object; class E may implement interfaces

```
//@ axiom \forall \TYPE t;; \type(E) <: t ==>
    ( t == \type(Enum<T>) || t == \type(Object)
    || t is a superinterface);
```

 the declared values of E are each non-null, are all distinct from each other, and are final

```
1 //@ axiom \distinct(null, A, B, C);
```

 extensionality — any value of type E is either null or is one of the declared constants

```
//@ axiom \forall nullable E e;;
e == null || e == A || e == B || e == C;
```

• the static method values() returns an array (E[]) of all the enum constants of E, in the textual declaration order

```
//@ public normal_behavior
//@ ensures \result.length == 3; // number of constants
//@ ensures \result[0] == A;
//@ ensures \result[1] == B;
//@ ensures \result[2] == C;
//@ pure
public static final E{} values();
```

the static method valueOf(String) returns either the constant of type E
with the given name or an exception is thrown.

```
//@ public normal_behavior
//@ requires n != null && (* n is e.name() for some E e *);
//@ ensures \result.name().equals(n); // FIXME - TODO
//@ also public exceptional_behavior
//@ requires n == null || !(* n is e.name() for some E e *);
//@ signals (NullPointerException) n == null;
//@ signals (IllegalArgumentException) !(* n is e.name() for some E e *);
//@ signals_only NullPointerException, IllegalArgumentException;
public static final /*@ non_null */ E valueOf(/*@ nullable */ String n);
```

- instance methods name and toString() both return the name of an enum constant as given in its declaration
- instance method ordinal() returns an int giving the 0-based position of the enum constant in textual declaration order
- instance method compareTo(E e) compares enum constants according to their ordinal value:

```
//@ public normal_behavior
//@ requires e != null;
//@ ensures \result < 0 <==> this.ordinal() < e.ordinal();
//@ ensures \result == 0 <==> this.ordinal() == e.ordinal();
//@ ensures \result == 0 <==> this == e;
//@ ensures \result > 0 <==> this.ordinal() > e.ordinal();
//@ also public exceptional_behavior
//@ requires e == null;
//@ signals_only NullPointerException;
//@ pure
public int compareTo(nullable E e);
```

Java restricts the Java modifiers that can be applied to enum declarations. JML modifiers are allowed, but may be unnecessary. For example, the arithmetic mode modifiers are only useful if the enum declaration declares methods that do arithmetic computation.

## 5.1.2 Java records

Java records, like enums, are a special form of class declaration. A typical use of a record declaration is to create a class with private, final (i.e., readonly) data fields, all initialized by a constructor. Since the record is a class with automatically generated code, each instance of a record declaration has its own default specifications.

A record class automatically generates

- · private final fields for each declared component of the record
- corresponding getter functions for each record component (named the same as the field)
- · a public constructor that initializes all of the fields
- equals(), toString(), and hashCode() methods

The programmer can also add other methods and constructors. The specifications for both the generated and user-provided members can be placed in a .jml file. If there are only generated members, the following defaults are automatically provided.

Here are the default specifications, illustrated by example for a record declaration record R(int x, Object o) .

#### The default constructor:

```
1 //@ public normal_behavior
2 //@ ensures this.x == x;
3 //@ ensures this.o == o;
4 //@ pure
5 R(int x, Object o);
```

Getter methods: For each generated field/getter method

```
1 //@ public normal_behavior
2 //@ ensures \result == this.x;
3 //@ strictly_pure
4 public int x();
```

equals(Object o) The default equals method is the conjunction of calling equals on each of its fields (or just == for fields with primitive type). Since equals is not necessarily pure, no default specification is presumed. The generated equals () method only has the purity (if any) of the least pure of the equals () methods of its reference-type arguments. If all of the arguments have primitive type, the record's equals can be considered strictly\_pure.

toString(): The default toString() method produces a system-defined representation of the record. No default specification is presumed. The generated toString() method only has the purity (if any) of the least pure of the toString() methods of its reference-type arguments. If all of the arguments have primitive type, the record's toString() can be considered pure.

hashCode(): The default hashCode() method produces a system-defined hashCode of the values of the record's fields. No default specification is presumed. The generated hashCode() method only has the purity (if any) of the least pure of the hashCode() methods of its reference-type arguments. If all of the arguments have primitive type, the record's hashCode() can be considered spec\_pure.

#### 5.1.3 Lambda functions

To be written.

## 5.1.4 Java Streams

To be written.

## 5.2 boolean type

The Java boolean type may be used as is in JML, along with the usual Java operators:

```
== and !=
! (not)
& and | (and, or)
&& and | | (short-circuiting and, or)
Java ternary operation (?:)
```

In addition JML adds these operations:

```
<==> and <=!=> (§12.5.7)==> (implies operation, §12.5.6)
```

JML strictly\_pure specifications may use auto-unboxing from Boolean to boolean; however, auto-boxing is allowed only in pure methods because that may allocate a new heap object. The Java defined constants Boolean.TRUE and Boolean.FALSE may be used in specifications.

In Java programs, && and || are very commonly used in preference to the boolean & and | because the left operand may be necessary to avoid a runtime error in evaluating the right operand and because it may provide some performance benefit. For deductive verification, the short-circuit operations are still useful for well-definedness, but they are not for performance. In fact the non-short-circuit operations are simpler to encode and reason about and so are preferred over short-circuit operations when well-definedness is not an issue.

## 5.3 Java primitive integer and character types

The Java primitive integer and character types may be used as is in JML, along with all of the Java operations on those types, including casting among them. Depend-

ing on the arithmetic mode (§13), range checks may be performed on the results of operations.

Auto-boxing is allowed only in pure specification expressions.

## 5.4 \bigint

The  $\$  integral type is the set of mathematical integers (i.e.,  $\mathbb{Z}$ ). Just as Java primitive integral types are implicitly converted (see *numeric promotion* in the JLS, Ch. 5) to int or long, all Java primitive integral types implicitly convert to  $\$  where needed.

Within JML specifications, the \bigint type is treated as a primitive type. For example, == with two \bigint operands expresses equality of the represented integers, not (Java) identity of any underlying objects.

The familiar operators are defined on values of the \bigint type:

- unary: + ~
   binary: + \* / %
   bit operations: & | ^
   equality: == !=
- comparisons: < <= > >=
- shifts: >> << (unsigned right shift, >>>, is not permitted on \bigint values)
- casting: to and from primitive Java integral and character types

Also, these types can be used in quantified expressions and variables of these types can be declared as ghost or model variables.

Casting to lower precision types results in truncation of higher-order bits; in *safe java* arithmetic mode (§13) this may cause a verification warning.

Shift operations in Java can be surprising as the number of bits shifted is the right-hand value modulo 32 or 64 (for int or long left hand values). Shifts of \bigint do not limit the number of bits shifted. Also, for \bigints, all shifts are signed; there is no >>> operator. The shift operations act like the numbers are infinite sequences of bits, so -3 >> 1 is -1.

Like the shift operations, the bit operations on \bigint values act as operations on infinite sequences of bits. For example,  $(-1) \land (-2) == 1$ .

The JML modulo operation on \bigint has the same behavior as in Java (and C). It satisfies x == y\*(x/y) + (x%y); accordingly, as integer division is truncation (rounding toward 0), the sign of x%y is the same as the sign of the dividend (of x in these equations). Consequently, for example, -3%2 == -1.

\bigint is the preferred type for writing specifications about integral values, instead of range-limited Java integral types.

<sup>&</sup>lt;sup>1</sup>This is the *truncation* alternative as presented in https://en.wikipedia.org/wiki/Modulo.

Current prover technology can take a long time to prove results about long (even 32- or 64-) bit sequences) and has difficulty mixing bit-operations with equivalent integral operations. Caution is recommended in using bit and integral operations together.

## 5.5 Java double and float types

The Java double and float types may be used as is in JML, along with their Java operations. However, extreme caution is needed: the Java operations on floating point values correspond to the IEEE standard [43] and do not correspond to common intuition based on real numbers or logic.

Java floating point numbers include NaN (not-a-number) values, positive and negative infinity, positive and negative zero, along with the usual positive or negative double- or float- precision values. For example, if either a or b is a NaN, then both a == b and a != b are false, so a != b is not the same as ! (a == b). Similarly all comparisons among NaN values are false. Also, although 0.0 == -0.0 is true, Double.valueOf (0.0).equals (Double.valueOf (-0.0)) is false. In a specification expression, these operations have the same semantics as in Java.

To aid in working with floating-point numbers in specifications, JML defines the model methods <code>Double.same(double x, double y)</code> and <code>Float.same(float x, float y)</code>. These define a logical equality among floating point values. That is, they return true iff either both operands are NaN, both are positive infinity, both are negative infinity, both are positive zero, both are negative zero, or they represent the same non-zero, finite floating point number.

Unboxing Double and Float values is allowed in strictly\_pure specifications. Autoboxing is allowed in pure specifications.

## 5.6 \real

The \real type is the set of mathematical real numbers (i.e.,  $\mathbb{R}$ ). Just as the Java primitive type float is implicitly converted to double, both float and double values (and \bigint and integral Java primitive values) implicitly convert to \real where needed. When JML specifications are compiled for runtime checking, \real values are represented in some tool-dependent approximation. Within JML specifications, however, the \real type is treated as a primitive type.

The familiar operators are defined on values of the \real type:

- unary: + binary: + \* / %
  equality: == ! =
  comparisons: < <= > >=
- casting: to and from primitive Java integral and character types and \bigint

The modulo operation on \real values, like that on \bigint, float, double and Java integral values, is truncated division and modulo. That is x/y is the nearest integer, rounding toward zero, and x%y == x - y(x/y), so that the sign of x%y is the sign of x.

 $\$  real can be used in quantified expressions and variables of type  $\$  real can be declared as ghost or model variables. There are no bit-operations on  $\$  real values.

It is not well-defined to attempt to cast a NaN or infinity value of a float or double to a \real. Both positive and negative zero cast to the 0 value of \real. Casting from \real to float or double may produce infinity values, but not NaN.

## 5.7 **TYPE**

The JML type \TYPE represents the type of Java expressions. Thus Java types are a first-class type within JML; there are values for various Java types and one can write expressions and reason about Java types. Values of \TYPE represent full generic types, not erased types as in runtime Java.

- the \type syntax (§12.5.19) is the means to write literals of type \TYPE. The argument of \type is a (syntactic) type name. For example, these are all different values of type \TYPE:
  - \type(int)
  - \type(\bigint)
  - \type(Object)
  - \type(java.lang.Integer)
  - \type(java.util.List<Integer>)
  - \type(java.util.List<Boolean>)
  - \type (T), where T is a type parameter
- \TYPE values are not erased types. Thus

```
\type(java.util.List<Integer>) and
\type(java.util.List<Boolean>) are different values and
\type(java.util.List) is not well-defined.
```

- The \typeof function (§12.5.20) takes a JML expression and returns the \TYPE value corresponding to its *dynamic* type.
- \TYPE values can be compared with == and != as expected.
- The <:= operator is the sub-type or equality operation. This operator used to be <:, but <:= is more naturally the improper subtype operation and <: the proper subtype operation. <: is deprecated for now, but not removed; it will be reintroduced in the future as proper subtype. Note that this is subtype on non-erased (JML) type values.</li>

- t.typeargs() for a \TYPE value t is a \seq<\TYPE> giving the \TYPE values of each of the type arguments of t.
- t.erasure() gives the java.lang.Class value that is the erasure of t. For example \type(java.util.List<Integer>).erasure() equals java.util.List.class.
- t.isArray() returns true iff t is an array type. \elemtype(t) (§12.5.21) returns the element type of a t that is an array.
- \arrayOf(t) for a \TYPE value t returns a \TYPE value that is an array of t. So then \elemtype(\arrayOf(t)) is t.
- Within a class body in which a type variable, say T, is in scope, one can write \type(T), whose value is the \TYPE with which T is instantiated. So in such a case, for example, the comparison \type(T) == type(Integer) is true only in the case that T is instantiated as an Integer. For example the asserted expression in the following example verifies as true.

```
class Value<T> {
  public T value;

Value(T t) { value = t; }

void check() {
  //@ assert \typeof(value) <: \type(T);
}
}</pre>
```

JML does not (yet) handle type parameters that extend types other than Object, nor ? type parameters, nor super in type parameters, nor intersection type parameters.

## 5.8 \locset

#### This section still needs work.

The \locset type is the type of *MU,DISCUSS: finite* sets of heap or stack locations. Stack locations are simple local variables. There are three kinds of heap locations:

- 1. static fields (ClassName.staticFieldName)
- 2. non-static fields in objects, considered as pairs (o,f) consisting of an object reference o and the name of a non-static field f.
- 3. reference to array indices (a,i) consisting of an object reference to an array object and a integer index into the array i.

Location sets are used in particular in accessible and assignable clauses.

In earlier versions of JML these clauses only took static lists of locations, but in order to reason about linked data structures, first-class expressions representing sets of locations are needed. MU: Actually, with datagroups these were already dynamic, and also "o.f" could mean something different depending on the value of o. What is new is that are first-class cizizens and that they can be stored in entities.

Syntactic designations of memory locations, also called *storerefs*, are described in §1). A location set can be constructed by

- \locset() constructs an empty set
- \locset (<storeref> ...) constructs a set containing the designated locations
- obj.\* designates a location set that contains all fields (including inherited and private ones) of the given object obj
- ary [\*] and ary [n..m] designates a location set where all either all index positions of ary are included, or in the second case only the index position from n (inclusive) to m (inclusive).<sup>2</sup>
- (\infinite\_union boundedvar; <guard>, <storeref>) denotes an infinite union of the location sets, i.e.,

$$\bigcup_{boundedvar \land guard} storeref \tag{5.1}$$

These operations are associated with a \locset:

- \union(<expr> ...) (also the binary operator |) union of \locsets
- \intersection(<expr> ...) (also the binary operator &) intersection of \locsets
- \disjoint (<expr> ...) true iff the arguments are pair-wise disjoint
- \subset (<expr>, <expr>) (also the binary operators < and <=) true iff the
  first expression evaluates to a (proper or improper) subset of the evaluation of
  the second</li>
- \setminus(<expr>, <expr>) (also the binary operator -) a \locset containing any elements that are in the value of the first argument but not in the value of the second

Note that there can be an ambiguity when expressing a location (say x) which is itself typed as a \locset: \locset (x, y), where x has type \locset and y's type is something else, represents a set of two locations; if you want the contents of x with the location of y added in, you write \union (x, \locset (y)).

 $<sup>^2</sup>$ The syntax would be neater if . . designated half-open intervals, but JML has historically used . . for closed intervals.

TODO: Need to resolve the above with the KeY team. What about  $\singleton$  and  $\singleton$  and  $\singleton$  and  $\singleton$  and setminus – e.g. | or +, \* or &, ##, -.

Conjectures (MU):

- \locset(x,y,...) := \union(\locset(x), \locset(y), ...)
- \locset (expr) evalues to the same set as expr if the expression is of type \locset.
- otherwise: \locset(o.f), \locset(a[i]) is the singleton set that contains the referenced heap location
- *otherwise* \locset(expr) is a syntax error.
- hence: locset(locset(x)) == x if not a syntax error.

Needs to be mentioned here or there: What is the meaning of storerefs in assignable (accessible) clauses?

(Weigl) Should locset not a specialization of a set?

(Weigl): Location set, syntax constructs from KeY: \emptyset(), \storeref(...), (\infinite\_union <vars>; <guard>; <locset>), \locset (field, field, ....), \singleton(field), \union( <locset>, <locset> , <locset> ...) \setminus(<locset>, <locset>) \disjoint(<locset>, <locset>, ...) \subset(<locset>, <locset>)

New primitive datatype \locset with the following operators: (Reification of datagroups / regions)

- \nothing only existing locations
- \everything all locations
- \empty no location at all: The empty set.
- \union(...) arbitrary arity
- \intersect(...) arbitrary arity
- $\min (\cdot, \cdot)$
- \subset( $\cdot, \cdot$ )
- \disjoint(...) pairwise disjointness
- (\collect ...; ...; ...) a variable binder in the sense of

$$\bigcup_{x|\varphi} locs(x) = (\collect Tx; \varphi; locs(x)),$$

e.g., (\collect int i;  $0 \le i \& 2 \le a.length$ ;  $a[2 \le i]$ ) is the set of all locations in a[\*] with even index.

Often needed for things like (\collect Person p; set.contains(p); p.footprint).

•  $\new_elements_fresh(\cdot)$  with the meaning

```
\new_elements\_fresh(ls) := \forall l \in ls.l \in \normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{\normalfont{
```

. This is used to confine the extension of a location set in a postcondition to objects which have been recently created. This is important to guarantee framing in dynamic frame specifications. This is sometimes called the *swinging pivot* property. (Reasoning is usually: If  $ls_1$  and  $ls_2$  are disjoint before a method and both  $ls_1$  is not touched and  $ls_2$  grows only into fresh objects, then  $ls_1$  and  $ls_2$  are still disjoint after the method.)

## 5.9 \datagroup

A \datagroup is a pseudo-type that indicates that the name declared with this type is simply a static datagroup (§1). Such a declaration must always be model and must never have an initializer. Instead it is the target of in and maps clauses (§1).

In one sense, this keyword is not needed because any model field is also a datagroup. So one could simply use an identifier declared Object. However, by using \datagroup, it is clear that the identifier is *only* a data group and does not have a value nor is it used in an expression. Datagroup identifiers may be used in frame clauses and \locset expressions like other implicit datagroups.

This type used to be called JMLDataGroup. That was the only type name in JML that did not begin with a backslash. That spelling is now removed and replaced by \datagroup.

## 5.10 Mathematical sets: \set<T>

## This section is still in process

The type  $\set$ <T> is a built-in type of finite sets of items of type T. T may be a Java reference type or a JML built-in type (but not a Java primitive type). Uniqueness of elements is determined by the == operation. There are no null values of  $\set$ .

The \set type has the following operations defined.

## **Constructors:**

- \set.<T>empty() creates an empty set of type \set<T>
- \set.<T>of (T ... values) creates a value of type \set<T> containing the given elements. The argument is a varargs argument, so the elements may be listed individually or the argument may be a (Java) array. If the type T can be inferred from the arguments it need not be stated explicitly.

Do we want to define the non-Java syntax  $\setminus seq(T...)$  as a value constructor? – DRC: Probably of is clear and concise enough to not have to use non-Java syntax

## **Operators**

- == and != equality and inequality. Two values of type \set<T> are equal iff they contain the same elements, determined by the operation == on the elements of type T.
- $\mid$  set union (binary operation): the result set contains all values of type T that are in either of the operands
- & set intersection (binary operation): the result set contains all values of type  ${\mathbb T}$  that are in both of the operands

AW: Maybe we should consider the binary operations. intersection "&", union "|"

- - set difference (binary operation): the result set contains all values of type
   T that are in the left operand but not in the right operand
- < and <= proper and improper subset (binary operation): the result is true
  iff all the elements of the left-hand operand are elements of the right-hand
  operand</li>
- [] element membership, that is s[o] returns true iff the o (of type T) is an element of s (of type \set < T>)

## syntax for disjoint

**Functions** All these functions have value semantics (they produce a result without modifying the operands or anything else).

Do we use a special JML function syntax like \disjoint or a Java method syntax like set.disjoint(...) - DRC: I suggest sticking with Java syntax

Do we have methods that duplicate the operations above so that we can use them as Java method references in lambda operations? – DRC: Yes - simplifies implementation for both ESC and RAC, while giving concise operator syntax as well

- s.size() returns the number of elements in the set (type \bigint)
- s.has(T) returns true iff the argument is in the set
- \set.<T>equals(\set<T>,\set<T>) returns true iff the two arguments have the same elements
- s.add(T...) returns a new set with the given elements added (the arguments may already be elements of the set)
- s.remove(T...) returns a new set with the given elements removed (the arguments need not be elements of the set)
- \set.<T>disjoint(\set<T> ... args) the boolean result is true iff the arguments are all pair-wise disjoint. There must be at least two arguments.
- \set.<T>subset(\set<T> s1, \set<T> s2) the result is true iff the
  first argument is a (possibly improper) subset of the second

**Runtime equivalent** The JML type \set<T> is mapped to org.jmlspecs.lang.set or org.jmlspecs.runtime.set or org.jmlspecs.runtime.Set for runtime assertion checking.

## 5.11 Mathematical sequences: \seq<T>

### This section is still in process

The type  $\seq<T>$  is a built-in type of finite sequences of items of type T. T may be a Java reference type or a JML built-in type (but not a Java primitive type). These sequences have a non-negative, finite length. There are no null values of  $\seq$ .

The \seq type has the following operations defined.

#### **Constructors:**

- \seq.<T>empty() creates an empty sequence of type \seq<T>
- \seq.<T>of (T ... values) creates a value of type \seq<T> containing the given elements in the given order. The argument is a varargs argument, so the elements may be listed individually or the argument may be a (Java) array. If the type T can be inferred from the arguments it need not be stated explicitly.

## **Operators**

- == and != equality and inequality. Two values of type \seq<T> are equal iff
  they contain the same elements in the same order, determined by the operation
  == on the elements of type T.
- [] item value, that is s[i] is the i'th (0-based) element of sequence s, where i has \bigint type, s has type \seq<T>, and the result is type T. The expression is well-defined but the result is undefined if the index is out of range.
- [i..j] the subsequence from i through j (inclusive). The argument may be any \range expression, as described in §1. ( range syntax is under discussion )
- + sequence concatenation (binary operation): the result sequence is the concatenation of the values of the two operands (hence this operator + is not commutative)

DRC: I'm in favor of the two [] operations above – we already have them in JML in other contexts

DO we permit s[i]=v; as an update operation, equivalent to s=s.put(i,v); — DRC: not sure — nice syntax but possibly confused with mutable operations on Java arrays

**Functions** All these functions have value semantics (they produce a result without modifying the operands or anything else). In the following s is a  $\seq$ T>, i and j are integers (type  $\seteq$  and t is a value of type T.

- s.length() the length of the sequence (a \bigint)
- $\sq.<T>=quals(\seq<T>, \seq<T>) returns true iff the two arguments have the same elements in the same order$
- s.get(i) the boolean result is the element (type T) of the sequence at position i (0-based, with 0 <= i < s.length.
- s.put(i,t) returns a new sequence of type \seq<T> which is equal to s except that position i in the sequence now contains the value t, where 0 <= i < s.length.
- s.has(t) the boolean result is true iff t is an element of s.
- s.add(t) returns a \seq that is s with t added onto the end
- s.prepend(t) returns a \seq that is s with t added onto the begining
- $s.concat(ss) returns a \setminus seq that is s concatenated with ss$
- s.sub(i,j) a sequence that is a subsequence of s of length j-i containing the elements from position i up to but not including position j, where
   0 <= i <= j <= s.length.</li>
- s.head(i) a sequence that is a subsequence of s containing the i elements from position 0 up to but not including position i, where
   0 <= i <= s.length.</li>
- s.tail(i) a sequence that is a subsequence of s containing the elements from position i through the end of the sequence s, where
   0 <= i <= s.length.</li>

## 5.12 String and \string

## This section is still in process

The built-in type \string is equivalent to \seq<char>, though that type cannot be expressed as such because char is a Java primitive type. Nevertheless, \string has all the operations that \seq has and the additions listed below. As a built-in primitive value type, equality (==) of \string values means equality of the sequences of characters.

#### **Constructors:**

- \string.of(String s) constructs a \string value from a non-null instance of a java.lang.String.
- \string.of(char... c) constructs a \string value from a non-null array of Java chars.

### **Operators:**

- == and != equality and inequality. Two values of type \string are equal iff they contain the same characters in the same order.
- [] item value, that is s[i] is the i'th (0-based) char of string s, where i has \bigint type, s has type \seq<T>, and the result is type char. The expression is well-defined but the result is undefined if the index is out of range.
- [i..j] the substring from i through j (inclusive). The argument may be any \range expression, as described in §1. (range syntax is under discussion)
- + string concatenation (binary operation)

**Functions** All the functions defined for  $\seq$  are defined for  $\seq$  as well, with these additions:

TODO - to discuss - more, string-like operations? indexOf? Comparison operators?

**Runtime equivalent** The JML type \string is mapped to java.lang.String for runtime assertion checking.

## 5.13 Mathematical maps: \map<T, U>

## This section is still in process

The type  $\mbox{\ensuremath{\text{map}}\xspace}\mbox{\ensuremath{\text{T}}\xspace}\mbox{\ensuremath{$ 

The \map type has the following operations defined.

#### **Constructors:**

•  $\mbox{\mbox{$\mbox{}\mbox{$ 

## **Operators**

- == and != equality and inequality. Two values of type \map<T, V> are equal
  iff they contain the same set of keys and each key maps to the same value,
  determined by the operation == on the elements of types T and V.
- [] item value, that is s[t] is the value in the map of type V corresponding to the key t (of type T). The expression is well-defined but the result undefined if the map has no association for the given key.

**Functions** All these functions have value semantics (they produce a result without modifying the operands or anything else). In the following m is a  $\mathtt{map} < \mathtt{T}$ ,  $\mathtt{V} >$ , t is a value of type  $\mathtt{T}$ , and  $\mathtt{v}$  is a value of type  $\mathtt{V}$ .

- m.keys() a \set<T> containing exactly the keys of the map m (i.e. the domain of the map)
- m.values()  $a \cdot set < V > containing exactly the values of the map <math>m$  (i.e. the range of the map). Note that the cardinality of the range may be less than that of the domain because different keys may map to the same value.
- m.get(t) or m[t] the value of the map for the given key; the value is undefined if t is not an element of m. keys(), but the expression is still well-defined.
- m.put(t,v) returns a new map of type \map<T, V> that includes v as the value for the key t, with the values for all keys not equal to t unchanged from those in m.

## 5.14 Mathematical arrays: \array<T>

## This section is still in process

The type  $\array<T>$  is a built-in type of finite arrays with values of type V, indexed by non-negative values of  $\bigint$ . T and V may be Java reference types or a JML built-in types (but not Java primitive types). There are no null values of  $\array$ .

The \array type has the following operations defined.

Fill this in

## 5.15 Inductive datatypes

## This section is still in process

JMLv2 adds inductive datatypes to JML. As in other languages, these are value-based, recursive structures that are amenable to reasoning by induction.

The syntax for defining such a datatype is Java-like and reminiscent of Java enums. The syntax is defined by this grammar:

```
<p
```

## Complete the grammar above and align it with other grammar definitions

Because datatypes are a JML feature, they must be declared model and within a JML annotation comment. They also belong to the package declared in the file containing the datatype declaration. Although syntactically, a datatype declaration is similar to a JML model class and a Java enum, datatypes are primitive, value-based types and do not inherit from or are the parent of other types.

For example, a classic List would be declared as

```
//@ model datatype List<T> { Nil, Cons(T head, List<T> tail) }
```

The constructors of the datatype are explicit. A data type also has implicit discriminators and destructors. A datatype may also have methods. And there is a match statement and match expression that are similar to Java switch statements.

Datatypes are final: no inheritance is permitted.

State restrictions on type arguments.

### 5.15.1 Constructors

Constructors create elements of a datatype that structurally include the arguments of the constructor. In a Java sense, the constructors are static members of the datatype. For example, List.<Integer>Nil returns an empty List<Integer> and List.Cons(5, List.<Integer>Nil) a one-element List<Integer>.

The constructors are all implicitly public and static. Constructor names are Java identifiers; they must be different and may not differ only in the case of the initial letter.

If a static import (static import List.\*) is used, then the above can be shortened
to Cons(5, Nil).

The latter presumes some kind of type inference, to be worked out and explained.

Should a no-argument constructor still be required to be called with parentheses – Nil () in the above.

## 5.15.2 Discriminators

A value of a datatype has been constructed by one of the constructors; a discriminator tells which one. For each constructor, an implicit discriminator is defined, taking no arguments and returning a boolean. The name of the discriminator is formed by prepending is to the constructor name and changing the first letter of the constructor name to upper-case, if it is not already upper-case. So the discriminators for constructors named nil and Nil both are named isNil.

Thus in our example,

```
1 List<Integer> n = List.<Integer>Nil;
2 List<Integer> c = List.Cons(5, n);
```

```
3 n.isNil() // is true
4 c.isNil() // is false
5 n.isCons() // is false
6 c.isCons() // is true
```

### 5.15.3 Destructors

Destructors (or accessors) take apart a datatype value. The name of each formal parameter of each constructor becomes a no-argument method of the datatype. Consequently, the formal parameter names must be distinct across all of the constructors in the datatype. Continuing the example above,

```
1 c.head() // returns the Integer 5, presuming c.isCons() is true
2 c.tail() // returns n, presuming c.isCons() is true
```

A constructor with no arguments has no sub-structure so there is no corresponding destructor.

The application of a destructor must be well-defined: for a given value of a datatype, a given destructor may be called only if it can be proved that the discriminator for the corresponding constructor returns true.

I prefer the syntax with discriminators and destructors being instance methods, not static. Does everyone else agree? That lets destructors be chained.

## 5.15.4 Datatype equality

A datatype is a value-based type. There are no null values. Equality is structural equality: two datatype values (of the same type) are equal (==) iff they respond the same to all discriminators and destructors. Equivalently, they are equal iff they have both been constructed using the same constructor method with equal arguments.

For fields that are Java objects – do we use == or equals equality.

## 5.15.5 Structural comparison

To be written

#### **5.15.6** Methods

A datatype may also define additional methods of its own. These are just like the methods of a class. They may use the datatype's constructors, discriminators, and destructors; they may be either static or instance (the default) in the Java sense; and they should have a JML specification.

At present a JML datatype may not declare additional fields, nor any nested classes or interfaces. Nor may the constructors be embellished with custom code (as Java enum constructors may).

## 5.15.7 Match expressions and statements

To be written

## 5.16 Tuples

Experimental. Subject to change.

JML defines a simple, generic, value-based tuple type. A tuple can be thought of as an unnamed record with unnamed fields.

- A tuple value is written as a parenthesized list of two or more comma-separated values, such as (1,2,3). There is no value of a 1-tuple, as a single expression in parentheses is just a parenthesized expression.
- The type of a tuple is written as a generic type with the corresponding number of type parameters, such as \tuple<\bigint, \bigint, \bigint>.
- The elements of a tuple value can be retrieved using array indexing syntax. For example, (1,true) [0] == 1 and (1,true) [1] == true. Note that although array-index syntax is used, the indices must be integer literals; also the type of the expression may well differ depending on the value of the index.

Tuples are convenient when a method or lambda function needs to return multiple values.

An alternative to the array index notation is to use a numeric field notation. That is,  $(1, true) \cdot 0 == 1$  and  $(1, true) \cdot 1 == true$ . This syntax conveys that the indices are fixed literals and not computed; however, it requires additional parser customization.

It is conceivable to allow a 0-ary tuple, namely the single value (). However, then we would need to have types \tuple0, \tuple2<T,U>, \tuple3<T,U,V>, and so on. It is also possible to have the named tuple types just mentioned, with a common supertype, \tuple. However, use cases for this are yet to be developed.

## 5.17 Representation of JML types

This Java Modeling Language definition only states the semantics of the JML-specific types, not how that semantics is implemented in tools. Typically, however, in generating an executable program for runtime-assertion-checking, JML types are translated into some Java class that embodies the needed semantics. For example, a natural

 $\label{localization} \mbox{representation for $\o$ pava.math.BigInteger. A tool may even allow the choice of different representations.}$ 

For deductive verification with, for example, an SMT solver as the logical engine, a JML type may be mapped directly into a theory supported by the SMT solver. For example,  $\searrow$  might be represented as an SMT Array sort. Alternatively, JML types can be represented simply as an uninterpreted SMT sort with uninterpreted functions that are given semantics by axioms.

## **Chapter 6**

# JML Specifications for Packages and Compilation Units

## 6.1 Package and Module specifications

There are no JML specifications at the package or module level. If there were, they would likely be written in the package-info.java file for the package or the module-info.java file for the module.

Specifications at the package and module level have been proposed. They would simply consist of JML annotation comments in the respective file that contain modifiers or Java @-annotations. Such modifiers would apply to all packages in the module or to all classes in a package. This would be a convenient way to state a global property. However, these \*-info.java files are not heavily used in Java programs and annotations in them could well be silently not seen by users, causing confusion.

## 6.2 Compilation unit specifications

The only JML specifications that are defined at the file level, applying to all classes defined in the file, are model import statements and model classes. Model classes are discussed in §6.6.

## 6.3 Model import statements

Java's import statements allow class and (with static import statements) field names to be used within a file without having to fully qualify them. The same import state-

ments apply to names in JML annotations. In addition, JML allows *model import* statements. The effect of a JML model import statement is the same as a Java import statement, except that the names imported by the JML statement are only visible within JML annotations. If the model import statement is within a .jml file, the imported names are visible only within annotations in the .jml file, and not outside JML annotations and not in a corresponding .java file. These import statements only affect name resolution within JML annotations and are ignored by Java. They have the form

```
//@ model < Java import statement>
```

Note that the Java import statement ends with a semicolon.

Note that both

```
model < Java import statement>
```

and

```
/*@ model */<Java import statement>
```

are invalid. The first is not within a JML comment and is illegal Java code. The second is a normal Java import with a comment in front of it that would have no additional effect in JML, even if JML recognized it (tools should warn about this erroneous use).

## 6.4 Default imports

The Java language stipulates that <code>java.lang.\*</code> is automatically imported into every Java compilation unit. JML reserves the package <code>org.jmlspecs.lang</code> as one that might be automatically model-imported in the future. However, there are not yet any standard-defined contents of the <code>org.jmlspecs.lang</code> package.

## 6.5 Issues with model import statements

As of this writing, no tools distinguish between Java import statements and JML import statements. Such implementations may resolve names in Java code differently than the Java compiler does. Consider two packages pa and pb each declaring a class N.

1)

```
import pa.N;
//@ model import pb.N;
```

Correct behavior: In Java code  $\mathbb{N}$  is  $pa.\mathbb{N}$ ; in JML code,  $\mathbb{N}$  is ambiguous. Non-conforming behavior: JML tools consider  $\mathbb{N}$  in Java code to be ambiguous.

2)

```
import pa.N;
//@ model import pb.*;
```

Correct behavior: In Java code N is pa.N; in JML code, N is pa.N.

Non-conforming behavior: non-conforming JML tools will act correctly in this case.

3)

```
import pa.*;
//@ model import pb.N;
```

Correct behavior: In Java code N is pa.N; in JML code, N is pb.N.

Non-conforming behavior: JML tools consider  $\mathbb N$  in Java code to be  $\mathtt{pb.N}$ .

4)

```
import pa.*;
//@ model import pb.*;
```

Correct behavior: In Java code N is pa.N; in JML code, N is ambiguous.

Non-conforming behavior: JML tools consider  ${\tt N}$  in Java code to be ambiguous.

## 6.6 Model classes and interfaces

Just as a Java compilation unit (typically a file) may contain multiple class definitions, a compilation unit may also contain declarations of JML model classes and interfaces.

A model class declaration is very similar to a Java class declaration, with the following differences:

- · the declaration is entirely contained within a (single) JML annotation comment
- the declaration has a model modifier
- if the compilation unit contains Java class or interface declarations, the model class or interface may not be the primary declaration (that is, the one with the public modifier)
- JML constructs within a JML model declaration need not be contained in (nested)
   JML annotation comments
- JML constructs within JML model classes or interfaces must not themselves be declared model or ghost

Though secondary model classes and interfaces are allowed, it is generally more convenient to declare such classes as primary classes in their own file or simply as Java classes that are included with a program when applying JML tools.

A JML model class or interfaces is only used in other JML specifications and not in Java code. Hence there is no need to distinguish Java from JML constructs within the

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model declaration. Consequently  ${\tt ghost}$  and  ${\tt model}$  modifiers on nested constructs are not permitted.

## **Chapter 7**

# Specifications for Java types in JML

By Java *types* in this reference manual we mean classes, interfaces, enums, and records, whether global, secondary, local, or anonymous. Some aspects of JML, such as the allowed modifiers, will depend on the kind of type being specified.

Specifications at the type level serve three primary purposes: specifications that are applied to all methods in the type, specifications that state properties of the data structures in the type, and declarations that help with information hiding.

Modifiers are placed just before the construct they modify. Example Java modifiers are public and static. JML modifiers may be in their own annotation comments or grouped with other modifiers, as shown in the following example code.

As discussed in §3.3, Java annotations from org.jmlspecs.annotation.\* and placed in Java code can be used instead of modifiers.

```
//@ pure
public class C {...}
public /*@ pure nullable_by_default */ class D {...}
```

## 7.1 Modifiers for type declarations

#### 7.1.1 model

As discussed in §6.6, classes within JML annotations can be declared model. Model classes are available to be used in specifications and compiled for runtime-checking.

Model classes may be nested inside Java classes. All the fields of a model class are intrinsically <code>ghost</code>; all the methods and nested classes are intrinsically <code>model</code>.

## 7.1.2 non\_null\_by\_default, nullable\_by\_default, @NonNullByDefault, @NullableByDefault

The non\_null\_by\_default and nullable\_by\_default modifiers or, equivalently, the @NonNullByDefault and @NullableByDefault Java annotations, specify the default nullity declaration within the class. Nullness is described in §3.4. The default applies to all typenames in declarations and in expressions (e.g. cast expressions), and recursively to any nested or inner classes that do not have default nullity declarations of their own.

These default nullity modifiers are not inherited by derived classes.

A class cannot be modified by both modifiers at once. If a class has no nullity modifier, it uses the nullity modifier of the enclosing class; the default for a top-level class is non\_null\_by\_default. This top-level default may be altered by tools.

Because the specifications of a class depend in detail on the default nullness setting, it is best practice in any significantly sized project to declare the default class-by-class and not rely on the default set at the top-level.

## 7.1.3 Purity modifiers

The purity modifiers are pure, @Pure, spec\_pure, @SpecPure, strictly\_pure, and @StrictlyPure. At most one of these may be applied to a class declaration.

The different levels of purity are described in §3.9. The meaning of applying one of these modifiers to a class is that it states the default modifier for any method in the class, recursively, unless superseded by a declaration on a nested class or method. A purity modifier on a class is not inherited by derived classes, though purity modifiers on methods are.

There is no modifier to disable an enclosing purity specification.

## 7.1.4 @Options

The @Options modifier takes as argument either a String literal or an array of String literals, using the syntax @Options ({s1 ...}), with each literal being just like a command-line argument, that is, it begins with one or two hyphens and possibly contains an = character with a value. These command-line options are applied to the processing (e.g., ESC or RAC) of each method within the class. The options may be augmented or disabled by corresponding @Options modifiers on nested methods or classes. In effect, the options that apply to a given class are the concatenation of the options given for each enclosing class, from the outermost in.

An Options modifier is not inherited by derived classes.

Not all command-line options can be applied to an individual method or class.

Using the @Options feature permits tool-defined command-line options that are applicable to a method to be applied without having to implement a new corresponding modifier in JML itself.

## 7.1.5 Arithmetic modes

Class declarations can take arithmetic mode modifiers as described in §13. Only one each of the <code>code...</code> and <code>spec...</code> modifiers may be applied at one time. The scope of the modifiers includes all of the declarations in the class, unless overridden by arithmetic modifiers on nested classes or methods.

#### 

These modifiers apply to classes declared in Java code, and not to classes declared in JML, such as model classes. They have the effect of replacing the Java visibility modifier for the class with an alternate, for the purposes of specification. For example, a Java declaration declared private in Java, but also spec\_public in a JML modifier for the class, is treated as public in all specifications.

Visibility is discussed in detail in §3.7.

## 7.2 invariant clause

#### Grammar:

```
<invariant-clause> ::= invariant <opt-name>   cate> ;
```

Type information: The predicate has boolean type.

Invariant clauses may have a visibility modifier and may be declared static

Type invariants are discussed in §3.13.

The invariant clause states a predicate which is expected to hold of the fields of the class. The program points are which invariants hold is a complex topic addressed in §3.13. However, the invariants of a class are assumed to hold at the entrance of non-helper method and are required to hold again at the exit of non-helper methods. Also, non-static invariants do not apply to static methods.

Invariants clauses have a (Java) visibility. This affects which fields or methods may be mentioned in the clause's predicate.

Any methods called in an invariant must, in addition to having some kind of purity, be helper methods. A non-helper method would require the invariant to hold before that non-helper method could be called, leading to an unsound, recursive situation.

Visibility modifiers needed in the grammar here and elsewhere. What about final invariants?

## 7.3 constraint clause

#### Grammar:

```
<constraint-clause> ::= constraint <opt-name>  <predicate> ;
```

Type information: The *predicate>* has boolean type and is evaluated in the poststate. It is a two-state predicate in which the pre-state is designated by the *\old* construct.

A constraint clause may have a Java visibility modifier and may be declared static. Non-static constraint clauses do not apply to static methods.

A constraint clause for a type is equivalent to an additional postcondition for each non-constructor method of the type, given that the constraint is visible from the method. That is, a public constraint applies to a private method, but a private constraint does not apply to a public method. Furthermore, the predicate of a constraint clause may only contain field and method references that have at least as much visibility as the clause; so, a public clause may not contain private fields.

A constraint clause is equivalent an adding ensures clauses (with the predicate stated by the constraint clause) to each specification behaviors of each method in the type, subject to the visibility rules. Like an ensures clause, a constraint clause is evaluated in the post-state.

Constraint clauses are used only by methods of the class in which the clause appears. The clause is not "inherited" by derived classes. However, overriding methods in derived classes do inherit the method specifications from their parent methods, and these effectively have the constraint clauses included. (This needs checking)

A typical use of a constraint clause is to require some condition about the fields of a class to hold between the pre- and post-states of every method of the class. For example,

```
constraint count >= \old(count);
```

states that the field count never decreases when methods of the class are called.

## 7.4 initially clause

## Grammar:

```
<initially-clause> ::= initially <opt-name>    ;
```

Type information: The redicate has boolean type and is evaluated in the post-state
of constructors.

An initially clause may have a Java visibility modifier. It may not be marked static.

An initially clause for a type is equivalent to an additional postcondition for each constructor method of the type, given that the clause is visible from the method. That is, a public initially clause applies to a private constructor, but a private clause does not apply to a public constructor. Furthermore, the predicate of an initially clause may only contain field and method references that have at least as much visibility as the clause; so, a public clause may not contain private fields.

A initially clause is equivalent an adding ensures clauses (with the predicate stated by the initially clause) to each specification behavior of each constructor in the type, subject to the visibility rules. Like an ensures clause, a initially clause is evaluated in the post-state. Initially clauses are used only by constructors of the class in which the clause appears. The clause is not "inherited" by derived classes because constructors are not overridden by derived classes.

## 7.5 ghost fields

#### Grammar:

```
<ghost-field-declaration> ::=
    ghost <opt-name> <jml-field-declaration>
```

A ghost field declaration has the same syntax as a Java declaration except that it contains the ghost modifier and is in a JML annotation. It declares a field that is visible only in specifications. Runtime-assertion-checking compilers would compile a ghost field like a normal Java field.

The type of a ghost field may be any JML or Java type. Ghost fields may have Java visibility modifiers, may be declared static, may have initializers, and may be declared final.

Although the grammar permits the <code>ghost</code> modifier to be included in any order with other modifiers, good style recommends that the <code>ghost</code> modifier is first (and this may be required in the future).

Note that all Java-like declarations (e.g. fields, methods, classes) must have either a ghost or model declaration (or be nested in a ghost or model declaration).

Are we sure about opt-names for ghost and model fields?

## 7.6 model fields

#### Grammar:

```
<model-field-declaration> ::=
    model <opt-name> <jml-field-declaration>
```

A model field declaration has the same syntax as a Java variable or field declaration except that it contains the model modifier and is in a JML annotation. However, a model field is not a "real" field in the sense that it is not compiled into an executable representation within its containing class, even for RAC compilation. Rather a model field designates some abstract property of its containing class. The value of that property may be completely uninterpreted, determined only by the constraints imposed by various other specifications. Alternately, the value of a model field may be given directly by a represents clause.

A model field is also implicitly a *datagroup* in that it designates a \locset of memory locations (store-refs), given by various in and maps clauses.

The type of a model field may be any JML or Java type.

Although the grammar permits the model modifier to be included in any order with other modifiers, good style recommends that the model modifier is first (and this may be required in the future).

Note that all Java-like declarations (e.g. fields, methods, classes) must have either a ghost or model declaration (or be nested in a ghost or model declaration).

## 7.7 represents clause

#### Grammar:

## Type information:

- The identifier named in the represents clause must be a model field declared in or inherited by the class containing the represents clause.
- the <*jml-expression*> in the first form must have a type assignable to the type of the given field (that is, *ident* = *expr* must be type-correct).
- the predicate
   in the second form must be a <jml-expression</li>
   with boolean type
- The visibility and static-ness of a represents clause is implicitly that of the field it is defining. A static modifier is optional but if present must match the field
- In a static represents clause, only static elements may be referenced both in the left-hand side and the right-hand side. In addition, a static represents clause must be declared in the type where the model field on the left-hand side is declared (and not in a parent class or interface).

The first form of a represents clause is called a functional abstraction. This form defines the value of the given identifier in a visible state as the value of the expression

that follows the =. The represents clause for field f with expression e in class C is equivalent to assuming

```
forall non_null C c; c.f == e_c
```

where e\_c is e with c replacing this.

The second form (with \such\_that) is called a relational abstraction. This form constrains the value of the identifier in a visible state to satisfy the given predicate.

A represents clause does not take a visibility modifier. In essence, its visibility is that of the field whose representation it is defining. However, there is no restriction on the visibility of names on the right-hand-side. For example, the representation of a public model field may be an expression containing private concrete fields.

Note that represents clauses can be recursive. That is, a represents clause for a field f may contain a subexpression like o.f on its right hand side, where o has the same type but is a different object than this. It is the specifier's responsibility to make sure such definitions are well-defined. But such recursive represents clauses can be useful when dealing with recursive datatypes [74].

## 7.8 model methods

## needs grammar

A JML annotation within a class or interface may contain a *model method* or *model constructor* declaration. Such a declaration is within a JML annotation comment and has a model modifier. It may use any Java or JML types, but is otherwise syntactically similar to Java method declarations. Methods and constructors declared within model classes and interfaces are also model methods, though they do not have a model modifier.

If a model method has a body it can be compiled and used during runtime checking; a model method's body must be consistent (as checked by verification tools) with the model method's specification.

A model method need not have a body. In this case it cannot be compiled for runtime checking. The semantics of the method are defined solely by its specification. The specification may be under-specified, in which case the method is (perhaps partially) uninterpreted. Even if uninterpreted, a model method is deterministic; that is, in the same state with the same arguments, the method always has the same effect and returns the same result.

Although model methods that have side-effects (that is, they are not any sort or pure) are permitted and might be useful in runtime checking, in practice, model methods are nearly always pure and are intended for use in specifications.

## 7.9 nested model classes

#### needs grammar

A Java program may declare nested classes and interfaces. Similarly, a JML annotation within a Java class may contain a JML model class or interface declaration as a nested or inner class.

Like top-level model class and interface declarations, nested model declarations are used in stating (and proving) specifications and are also compiled for runtime-assertion checking.

The contents of a nested or inner model class declaration obey the same syntax rules as top-level model declarations and behave like nested or inner Java declarations.

## 7.10 static initializer

#### Grammar:

```
<static-initializer-block> ::= <specification-cases> static <block>
<static-initializer> ::= <specification-cases> static_initializer
```

Type information: The *<specification-cases>* are type-checked in the static context of the class.

## 7.10.1 Simple static initialization

The process of class initialization defined by Java has these steps, omitting the complexities of locking.

- initialize all final static fields whose values are compile-time constant expressions
- initialize all other fields to zero-equivalent values
- · initialize all super classes, if not already initialized
- · initialize the fields and execute the static initializer blocks in textual order

Note that each static initializer block may have a specification, as if it were a method with no receiver or parameters, with a pre-state just before the execution of the block and a post-state just after. The contents of the initializer block must satisfy that specification.

In addition, the class may have a JML static\_initializer specification. This is a sequence of specification cases immediately preceding the static\_initializer keyword. A class may have no more than one such static\_initializer; it may be placed anywhere in the body of the method, as it is conceptually relocated to the end of the class body. This specification summarizes the entire static initialization.

The predicate \isInitialized(C) for a class name C is false until the class initialization is complete, and then it is (forever after) true. It is implicitly false in the pre-state of the static initializer and implicitly true in the post-state.

Need an example

#### 7.10.2 Static initializers and static invariants

Static initialization is a one-time process. The values of (non-final) static fields can change after initialization. The process of creating a new instance of a class starts from the static state at the time of instance creation. What is known about the static state after initialization is captured by the class's *static invariants*.

The static invariants must be true in the post-state of the static initializer and they must be maintained by any method that possibly assigns to any field that the invariant depends on.

#### To be resolved:

There is still a conceptual problem here. A static invariant of class A might depend on non-constant fields of class B, which might be modified by method C.m(), which has no knowledge of class A and hence cannot be responsible for the maintenance of its invariant.

## 7.10.3 Default static initialization

Final static fields initialized by compile-time expressions do not change their values after initialization and their values can be computed independently of the program. For such fields, there is an obvious post-condition and an obvious static invariant: that the field equals its compile-time value. JML defines this postcondition and invariant to be implicit; it need not (but may, redundantly) be stated explicitly.

A common case is that a class's static fields are all final fields, initialized with compiletime constants. In this case both the specifications of the static initializer and the static invariants are obvious: they are a conjunction of conjuncts stating that each field equals its compile-time value.

However, final static fields not initialized by a compile-time expression do not always have this benefit. In some cases, an inline computation can compute the initialized value of the field, but in others, such as where some method is called to compute the value, the initialized value may not be known by a static analyzer.

If the field is not final then the initialized value and the value stated by an invariant may well be different.

It is, however, an inconvenience to the user to need to write a static initializer, especially when the content seem obvious and repetitious. Therefore the default specification in the absence of a static\_initializer is an inlining of the field initializations and static initializer blocks. If this is insufficient for reasoning about the

program, a static initializer is required.

The proof rules for static initialization are still a matter of research.

#### 7.10.4 Multi-class initialization

Static initialization is typically quite simple. It becomes complicated when classes refer to each other during initialization. For example, consider these interrelated classes:

```
1 class A {
2    static final int a = B.b;
3    static int aa = 42;
4 }
5 class B {
6    static final int b = A.aa;
7 }
```

If A begins initialization when B is not yet initialized then the following happens:

- A.a and A.aa are initialized to 0
- A. a begins initialization, but that requires B to be initialized
- B.b is initialized to 0
- B.b needs the value of A.aa; as A has already started initialization, that value is returned as 0 (since A.aa is not final it is not initialized as a compile-time constant expression).
- B has completed initialization
- A.a is initialized with the value 0, which is the current value of B.b
- A.aa is initialized to 42
- A's initialization is complete

On the other hand, if B starts initialization before A, then

- B.b is initialized to 0
- B.b computes its initializer, starting the initialization of A
- A.a gets the value of 0 for B.b
- A. aa is initialized to 42, completing A's initialization
- B.b gets the value of 42 for A.aa

So the value of B.b depends on the order of initialization, and it and the value of A.a may not be what the user intended.

Note that if B.bb were declared final, then B.bb would be initialized as a compile-time constant expression and all three fields would have the value 42 no matter which class started initialization first.

There are three important lessons:

• Inadvertently omitting a final modifier can change the semantics

- Inadvertently or intentionally having classes access other not-fully initialized classes (because of a dependency loop) can cause order-of-initialization dependent behavior.
- Dependency loops can be non-obvious: they may be mediated by chains of method calls for example.

## 7.11 (instance) initializer

#### Grammar:

```
<instance-initializer> ::= <specification-cases> initializer
```

Instance initialization blocks and instance field initializers are executed as part of constructors. In summary this sequence is followed for any constructor that begins. perhaps implicitly, with a super call.

- (Complete the static initialization for the class)
- Initialize all final instance fields with no initial values or compile-time initial values to the given values and all other instance fields to zero-equivalent values, in textual order.
- Execute the super-class constructor
- Execute the non-final non-constant instance field initializers and instance initialization blocks in textual order
- · Execute the body of the constructor

Constructors that begin with a this call simply delegate this process to a different constructor.

The state prior to the execution of the super-class constructor is independent of a constructor's arguments; it can be summarized with a JML initializer specification. For many classes, the initializer specification is simply the conjoining of the static initializer specification and the predicates stating the values of various final, constant fields.

Only one non-static initializer specification is permitted per class. Essentially the specification semantics of the constructor are the following:

- · Assume the class's static invariants
- Assume the class's instance initializer
- Assume the constructor preconditions
- Assert the super-call preconditions
- · Assume the super-call postconditions
- Symbolically execute the remainder of the constructor body
- · Assert the constructor's postconditions
- Assert the class's initially clauses
- Assert the class's instance invariants
- Assert the class's static invariants

Is the order of the last three correct?

## Need examples

Do initializers have visibility modifiers?

## **7.12** axiom

#### Grammar:

```
<axiom-clause> ::= axiom <opt-name>   ;
```

Type information: The *predicate>* has boolean type. An axiom must be a state-independent, closed formula.

Axioms always have public visibility.

Axioms are assumptions introduced into the proof. An axiom must be a state-independent formula. Typically it might express a property of a mathematical type that is too difficult for an automated tool to prove.

As assumptions, axioms are a soundness risk for verification, unless they are separately proved.

## 7.13 readable if clause and writable if clause

#### Grammar:

```
<readable-if-clause> ::= readable <ident> if <jml-expression> ;
<writable-if-clause> ::= writable <ident> if <jml-expression> ;
```

## Type information:

- the <ident> must name a field (possibly inherited) visible in the class containing the clause
- the <jml-expression> must have boolean type
- Any name used on the right-hand-side must be visible in any context in which the given <ident> is visible.

The readable-if clause states a condition that must be true at any program point at which the given field is read.

The writable-if clause states a condition that must be true at any program point at which the given field is written.

The visibility modifier of either of these clauses must match the visibility of the <ident> being specified.

Or - the visibility modifier is implicitly that of its ident

## 7.14 monitors\_for clause

#### Grammar:

```
<monitors-for-clause> ::=
    monitors_for <ident> = <jml-expression> ... ;
```

Type information:

- the <ident> must name a field (possibly inherited) visible in the class containing the clause
- the <jml-expression>s must evaluate to a (possibly null) reference

A <monitors-for-clause> such as monitors\_for f = e1, e2; specifies a relationship between the field, f, and a set of objects, denoted by a specification expression list e1, e2. The meaning of this declaration is that all of the (non-null) objects in the list, in this example, the objects denoted by e1 and e2, must be locked at the program point at which the given field (f in the example) is read or written.

Note that the right-hand-side of the monitors-for-clause is not just a list of memory locations, but is in fact a list of expressions, where each expression evaluates to a reference to an object.

The visibility modifier of a monitors\_for clause must match the visibility of the identifier being specified.

The <monitors-for-clause> is adapted from ESC/Java [62] [80]. As it relates to synchronization locking, it is meant for future use in multi-threaded programs.

## **Chapter 8**

# JML Method specifications

Method specifications describe the behavior of the method. JML is a modular specification methodology, with the Java method being the fundamental unit of modularity. Method specifications constrain the implementation of a method, in that the implementation must do what is stated by the specification; method specifications constrain callers of methods in that they constrain the states in which the method may be called and what may be assumed about the state when the method completes execution.

The specifications may under-specify a method. For example, the specifications may simply say that the method always returns normally (that is, without throwing an exception), but give no constraints on the value returned by the method. The degree of precision needed will depend on the context.

## 8.1 Structure of JML method specifications

A JML method specification consists of a sequence of zero-or-more specification cases; each case has an optional behavior keyword followed by a sequence of clauses. The specification may also contain Java visibility modifiers.

```
behavior | normal_behavior | exceptional_behavior
    | behaviour | normal_behaviour | exceptional_behaviour
<clause-seq> ::= ( <clause> | <nested-clause> ) *
<clause> ::=
       <invariants-clause>
                           §??
     <requires-clause>
                            $8.3.1
                           $8.4.6
     <old-clause>
     <writes-clause>
                           $8.3.3
     <reads-clause>
                           $8.4.2
                          $8.4.9
     <callable-clause>
     <ensures-clause>
                           $8.3.2
                           $8.3.4
     <signals-clause>
     | <signals-only-clause> $8.3.5
     | <diverges-clause> $8.4.3
     <measured-by-clause> $8.4.4
     <when-clause>
                           $8.4.5
     <duration-clause> $8.4.7
     <working-space-clause> §??
     <captures-clause>
                           $8.4.10
     <method-program-block>
 <nested-clause> ::=
                            $8.1.2
     {| ( <clause-seq> ( also <clause-seq> ) * ) ? |}
```

## Meta-parser rules:

- Each of the behavior keywords spelled behaviour is equivalent to the corresponding keyword spelled behavior.
- A behavior beginning with normal\_behavior may not contain a <signals-clause>
  or a <signals-only-clause>. It implicitly contains the clauses
  signals (Exception e) false; signals\_only \nothing;
- A behavior beginning with exceptional\_behavior may not contain a <ensures-clause>. It implicitly contains the clause ensures false;.
- The **also** that begins a <method-spec> is required if the method overrides a method in some parent class or interface and is forbidden if the method does not override any other method. It serves as a visual reminder that there are inherited specification clauses.
- If there is a **implies\_that** or **for\_example** section in the *<method-spec>*, then the initial *<behavior-seq>* is required.
- A <clause-seq> may be empty. This is a convenience when some or all clauses
  might be conditionally excluded (cf. §4.1.5). A behavior with an empty <clause-seq>
  is not the same as an absent specification.

The grammar allows clauses to appear in any order, including after a <nested-clause-seq>.
 This permits factoring out common subsequences of clauses. However, note that the scope of an old clause begins with the textual position of that clause.
 Also, there is a preferred order for clauses (§8.1.3) that should be used where possible to enhance readability.

Note that the vertical bars in the production for *nested-clause* are literals, not meta-symbols.

## 8.1.1 Behaviors

The basic structure of JML method specifications is as a set of *behaviors* (or *specification cases*). The order of *<behavior>s* within a *<behavior-seq>* is immaterial.

Each behavior contains a sequence of *clauses*. The various kinds of clauses are described in the subsequent sections of this chapter. Each kind of clause has a default that applies if the clause is textually absent from the behavior.

For each behavior, if the method is called in a context in which the behavior's precondition (requires clause) is true, then the method must adhere to the constraints specified by the remaining clauses of the behavior. Only some of the behaviors need have preconditions that are true; unless at least one behavior has a true precondition, the method is being called in a context in which its behavior is undefined. For example, a method's specification may have two behaviors, one with a precondition that states that the method's argument is not null and the other behavior with a precondition that states that the method's argument is null. In this case, in any context, one or the other behavior will be active. If however, the second behavior were not specified, then it would be a violation to call the method in any context other than those in which the first precondition, that the argument is not null, is true. More than one behavior may be active (have its precondition true); every active behavior must be obeyed by the method independently. Where preconditions are not mutually exclusive, care must be taken that the behaviors themselves are not contradictory, or it will not be possible for any implementation to satisfy the combination of behaviors.

## 8.1.2 Nested specification clauses

Nested specification clauses are syntactic shorthand for an expanded equivalent in which clauses are replicated. The nesting syntax simply allows common subsequences of clauses to be expressed without repetition, where that improves clarity.

```
In particular, referring to the grammar above, a <behavior> whose <clause-seq> contains a <nested-clause> is equivalent to a sequence of <behavior>s as follows: if <nested-clause><sub>A</sub> is a combination of n <clause-seq> as in \{ | <clause-seq>_{S1} ( also <clause-seq>_{Si} ) * | \} then ( <java-visibility>_V ( code )?_W <behavior-id>_X )? <clause>*_D <nested-clause>_A <clause-seq>_E is equivalent to a sequence of n <behavior> constructions
```

This desugaring may need to be repeated if there are multiple <nested-clause»s within the behavior.

## 8.1.3 Ordering of clauses

The clauses are defined to be in the following groups:

- recommends conditions (recommends-else clauses)
- · preconditions (requires, requires-else, old clauses)
- when conditions (when clauses)
- read footprint (accessible clauses)
- frame conditions (assignable clauses)
- frame conditions (assignable clauses)
- captures conditions (captures clauses)
- model program (model program block)
- postconditions (ensures clauses)
- · exceptional postconditions (signals, signals\_only clauses)
- diverges conditions (diverges clauses)
- resource conditions (working space, duration clauses)
- termination conditions (measured\_by clauses)

#### Need to put in invariants clauses

The clauses in a behavior can be sorted into a *normal clause order* by stably sorting the sequence of clauses so that the order of groups of clauses given above is adhered to, but not changing the order of clauses within a clause group.

Any method specification has the same semantics as a method specification with a set of behaviors formed by first denesting the specification to remove any <nested-clause>s and then (stably) sorting the clauses within each behavior. Good style suggests always writing clauses in normal order, in so far as any nesting being used permits. Within a clause group, the order of clauses may well be important, as described in the sections about those clause kinds.

## 8.1.4 Specification inheritance and the code modifier

The behaviors that apply to a method are those that are textually associated with the method (that is, they precede the method definition in the .java or .jml file) and those that apply to methods overridden by the given method. In other words, method specifications are inherited (with exceptions given below), as was described in §3.2.

Specification inheritance has important consequences. A key one relates to preconditions. The composite precondition for a method is the *disjunction* of the preconditions for each behavior, including the behaviors of overridden methods. Thus, just looking at the behavior within a method, one might not immediately realize that other behaviors are permitted for which the precondition is more accepting.

There are a few cases in which behaviors are not inherited:

- Since static methods are not overridden, their behaviors are also not inherited.
- Since private methods are not overridden, their behaviors are also not inherited.
- A behavior with visibility V is inherited if and only if a Java declaration with that visibility would be visible within the derived class. For example, private behaviors in a parent class are not inherited by derived classes.
- A behavior with the code modifier is not inherited.

The code modifier is unique in that it applies to method behaviors and nowhere else in JML. It is specifically used to indicate that the behavior is not inherited by overriding methods. The code modifier is allowed but not necessary if the behavior would not be inherited anyway. The code modifier is not allowed if the method does not have a body; so it is not used on an abstract method declaration, unless that method is marked default (in Java) and has a body.

If a class P has method m with a behavior that has the code modifier and class D extends P but does not override m, then an invocation of m on an instance of D executes P.m and is subject to the specification of P.m even though P.m has the code modifier. If D declares a D.m overriding P.m, then the code modifier applies and D.m is not subject to any part of P.m's specification with the code modifier; this rule applies even if D.m does not declare any specification behaviors of its own—as it does not inherit any behaviors, it would be given a default behavior.

Java allows a class to extend multiple interfaces. More than one interface might declare behaviors for the same method. An implementation of that method inherits the behaviors from all of its interfaces (recursively).

## 8.1.5 Absent vs. empty behaviors

If an overriding method has no method specification at all, then its specification is only those behaviors inherited from its parent classes and interfaces.

However, if it has an *empty* specification, that is, a behavior keyword without any clauses, the meaning is different. In this case, the empty behavior is populated with default versions of each missing clause, such as requires true;. This default behavior is then combined with all the inherited behaviors. Suppose the parent specification's precondition put some limitations on the arguments or state in which the method is called; the overriding method's precondition now includes requires true; and so there is no longer any limitation on the pre-state.

Simply having some JML modifiers for a method is not sufficient to create a default (empty) specification; even a purity modifier by itself is considered an absent specification. *Check this* 

## 8.1.6 Visibility

The following discussion has some errors and needs fixing; also need to talk about spec\_public, spec\_protected

## Duplicates material in Ch. 3?

Each method specification behavior has a *java-visibility* (cf. the discussion in §1). Any of the kinds of behavior keywords (behavior, normal\_behavior,

exceptional\_behavior) may be prefixed by a Java visibility keyword (public, protected, private); the absence of a visibility keyword indicates package-level visibility. A lightweight behavior (one without a behavior keyword) has the visibility of its associated method.

The visibility of a behavior determines the names that may be referenced in the behavior. The general principle is that a client that has permission to see the behavior must have permission to see the entities in the behavior. Thus

any method specification that is visible to a client may contain only names (of a type, method or field) that are themselves visible to the client.

For example, a public behavior may contain only public names. A private behavior may contain any name visible to a client that can see the private names; this would include other private entities in the same or enclosing classes, any public name, any protected name from super classes, and any package or protected name from other classes in the same package. The visibility for protected and package behaviors is more complex. A protected behavior is visible to any client in the same class or in subclasses; since the subclasses may be in a different package, the protected behavior may contain other names with protected visibility only if they are visible in the behavior by virtue of inheritance, and not if they are visible only because of being in the same package. To be explicit, suppose we have class A, unrelated class B in the same package, class C a superclass of A in a different package, and class D derived from A but in a different package, with identifiers A.a, B.b, and C.c each with protected visibility. Only A.a and C.c are visible in class D; thus a protected behavior in class A, which is visible to D, may contain A.a and C.c but not B.b. Similarly a behavior with package visibility may only contain names that are visible by virtue of being in the same package (and public names); names with protected visibility that are visible in a class by virtue of inheritance are not necessarily visible to clients who can see the package-visible behavior.

The root of the complexity is that protected visibility is not transitive, whereas the other kinds of Java visibility are. Conceptually, protected visibility must be separated into two kinds of visibility: protected-by-inheritance and protected-by-package. Each

Behaviors with this visibility may contain names that are visible in the class because of this visibility

public public public, protected public, protected public, protected-by-inheritance public, protected-by-package, package private any

Table 8.1: Visibility rules for method specification behaviors

of these is separately transitive. Then the visibility rules can be summarized in Table 8.1.

## 8.2 Method specifications as Annotations

At one time, there was an experimental implementation of method and other specifications written as string arguments of Java annotations, for example, <code>@Requires("requires true;")</code>. Such use of Java annotations is no longer defined in JML. Only JML modifiers have equivalent Java annotations (e.g., <code>pure</code> and <code>@Pure</code>).

## 8.3 Common JML method specification clauses

There are quite a few kinds of method specification clauses. Those described in this section are the more commonly used. The following section (8.4) describes the others, some of which are still research ideas.

## 8.3.1 requires clause

#### Grammar:

Type information: The *<jml-expression>* in a *<requires-clause>* must have boolean type. Names in the *<jml-expression>* are resolved as if the expression appeared as the first expression in the body of the method; that is, the formal and type parameters of the method and anything visible in the body of the enclosing class are all in scope. Also, any name declared in an *<old-clause>* (§8.4.6) prior to the *<requires-clause>* in the same specification case is also in scope and hides any other names. If an else suffix is present, the *<qual-ident>* must name a Java class derived from java.lang.Exception.

The effective precondition of a specification case is the conjunction of the predicates from each requires clause, in order. However, any requires-else clause just contributes true to the effective precondition. Also, any recommends clause (§8.4.1) contributes its predicate as a conjunct in the specification case's effective precondition, but only for the caller of the method.

The disjunction of the effective preconditions from each of the behaviors (including any inherited ones) is the *effective precondition* of the method: it must be true at any point the method is called (so at least one of the behaviors has a true precondition); when reasoning about the body of a method, the effective precondition is assumed to be true at the beginning of the method body.

There may be more than one requires clause in a specification case. The order of requires clauses within a specification case is significant in the same way that the order of terms in a short-circuit boolean expression is significant: earlier <requires-clause> expressions may state conditions that enable later ones to be well-defined. In addition the order of old clauses with respect to requires clauses is significant.

The default requires clause is requires true;, which puts no requirements on the caller of the method.

If a else suffix is present, the clause is desugared as described in §1.

#### 8.3.2 ensures clause

#### Grammar:

```
<ensures-clause> ::= ensures <opt-name> <jml-expression> ;
```

Type information: The *<jml-expression>* in a *<ensures-clause>* must have boolean type. Names in the *<jml-expression>* are resolved as if the expression appeared as the first expression in the body of the method; that is, the formal and type parameters of the method and anything visible in the body of the enclosing class are all in scope. Also, any name declared in any *<old-clause>* in the same specification case is also in scope and hides any other names. Ensures clauses may also use the \result expression (cf. §12.5.10) and \old expressions (§12.5.14).

An ensures clause states a postcondition for a method. That is, the given predicate must be true just after any return statement in the method body and may be assumed by the caller at the call point. Note that the semantics is that \*if the method returns normally, then the postconditions are true\* (if the program is verified). The converse, namely, \*if the postcondition is true then the method terminates normally\*, is not necessarily true.

There may be more than one ensures clause in a specification case. The order of ensures clauses in a specification case is significant in the same way that the order of terms in a short-circuit boolean expression is significant: earlier <\*ensures-clause>\* expressions may state conditions that enable later ones to be well-defined. In addition, all of the preconditions in the same specification case can be assumed to be true.

The default ensures clause is ensures true;, which puts no requirements on the body of the method.

## 8.3.3 assignable clause

Grammar:

**TODO** 

## 8.3.4 signals clause

#### Grammar:

```
<signals-clause> ::=
signals <opt-name> ( <name> [ <ident>] ) <jml-expression> ;
```

Type conditions: The <name> in the parentheses must be the name of a class derived from java.lang.Exception. The <imle continuous must be a boolean expression. The identifier is declared to have the type of the exception and is in scope only within the predicate. The identifier may be omitted if it is not needed in the predicate.

A signals clause states a condition that must be true if a method exits via an exception of the given type (or derived from it).

The semantics is that \*if the method terminates by throwing the given exception, then the predicate must be true\* (if the program is verified). The converse, namely, \*if the predicate is true then the method terminates with the given exception\*, is not necessarily true. Furthermore, a given signals clause says nothing about what other exceptions may be thrown. To state a limitation on the exceptions thrown, use a signals\_only clause.

There may be more than one signals clause in a specification case. There is no meaning to their order.

The default signals clause is signals (Exception) true;, which puts no requirements on the body of the method.

## 8.3.5 signals\_only clause

#### Grammar:

```
<signals-only-clause> ::=
    signals_only <opt-name> ( \nothing | <name> ( , <name> ) * );
<name> ::= <ident> ( . <ident> ) *
```

Type information: The possibly-qualified names in the clause must denote (resolve to) Java types derived from <code>java.lang.Exception</code>. The names are resolved just like any other type name in a Java program, using names in scope at the point of the method declaration.

A <signals-only-clause> specifies that, under the preconditions of the specification case, only the listed Java Exceptions may be thrown. That is, if the method terminates with an exception, the thrown exception must be one of or be derived from one of the listed exceptions. The token \nothing denotes an empty list (no exceptions may be thrown). In contrast to the Java throws list, if any kind of RuntimeException is to be permitted by the JML specification, it must be explicitly listed.

There is no point to listing exceptions in a *signals-only-clause>* that are not (implicitly) in the Java throws clause, as the Java compiler will complain about them if they are actually thrown by the code. On the other hand, the *signals-only-clause>* allows specifying fewer exceptions or none at all for a given specification case. For example, a method may be expected to terminate normally (i.e. signals\_only \nothing; under one set of preconditions, while terminating with an exception under other preconditions.

The default *<signals-only-clause>* lists all the exceptions that are in the Java method declaration's throws clause plus RuntimeException.

Note that the exceptions listed in a *<signals-only-clause>* have an effect on the use of allow and forbid annotations (§??).

## 8.4 Advanced JML method specification clauses

These clauses are less commonly used and may be less-well-supported by tools.

## 8.4.1 recommends clause

#### Grammar:

```
<recommends-clause> ::= requires <opt-name> <jml-expression>
    else <qual-ident> ;
```

Type information: The <imle <i

The motivation and use of the recommends clause is described in §1.

## 8.4.2 accessible clause

Grammar:

**TODO** 

## 8.4.3 diverges clause

#### Grammar:

```
<diverges-clause> ::= diverges <opt-name> <jml-expression> ;
```

Type information: The *<jml-expression>* in a *<diverges-clause>* must have boolean type. Names in the *<jml-expression>* are resolved as if the expression appeared as the first expression in the body of the method; that is, the formal and type parameters of the method and anything visible in the body of the enclosing class are all in scope. Also. any name declared in an *<old-clause>*(§8.4.6) in the same specification case is also in scope and hides any other names. The *<jml-expression>* is evaluated in the method's pre-state.

When a diverge clause is omitted in a specification case, a default clause is used; the default diverges condition is false. Thus by default, specification cases give total correctness specifications [32]. Explicitly writing a diverges clause allows one to obtain a partial correctness specification [40].

As an example of the use of diverges, consider the abort method in the following example. (This example is simplified from the specification of Java's System.exit method. This specification says that the method can always be called (the implicit precondition is true), is always allowed to not return to the caller (i.e., diverge), and may never return normally, and may never throw an exception. Thus the only thing the method can legally do, aside from causing a JVM error, is to not return to its caller

```
package org.jmlspecs.samples.jmlrefman;
public abstract class Diverges {

/*@ public behavior
diverges true;
e assignable \nothing;
ensures false;
ensures false;
public static void abort();
}
```

The diverges clause is useful to specify things like methods that are supposed to abort the program when certain conditions occur, although such behavior is not really good practice in Java. In general, it is most useful for examples like the one given above, when you want to say when a method cannot return to its caller.

Having the default diverges clause be diverges true instead of false would be the most conservative and the more sound choice. With the chosen default there is the risk that a library function that does not terminate is incorrectly presumed to do.

## 8.4.4 measured\_by clause

Grammar:

**TODO** 

#### 8.4.5 when clause

#### Grammar:

```
<when-clause> ::= <when-keyword> <opt-name> <jml-expression> ;
<when-keyword> ::= when | when redundantly
```

Type information: The <*jml-expression>* in a <*when-clause>* must have boolean type. Names in the <*jml-expression>* are resolved as if the expression appeared as the first expression in the body of the method; that is, the formal and type parameters of the method and anything visible in the body of the enclosing class are all in scope. Also, any name declared in an <*old-clause>*(§8.4.6) in the same specification case is also in scope and hides any other names. The <*jml-expression>* is evaluated in the method's pre-state.

The when clause allows concurrency aspects of a method or constructor to be specified [64, 80]. In a program with concurrent executions, a caller of a method may be delayed, for example, by a locking condition. What is checked is that the method does not proceed to its commit point, which is the start of execution of a statement with the label commit, until the given predicate is true.

When a when clause is omitted in a specification case, a default clause is used, in which the predicate is true.

See [80] for more about the when clause. This clause is meant to be used in multi-threaded programs, which JML does not currently support.

#### 8.4.6 old clause

#### Grammar:

```
<old-clause> ::= old <jml-var-decl>
```

Type conditions: The clause declares and initializes a variable. The initializer must evaluate to a value of a type that can be assigned to the newly declared name. The initializer is evaluated in the pre-state.

An old clause declares and initializes a single variable of a Java or JML type. The initializer for the declared variable is evaluated in the pre-state. The scope of the newly declared variable is the remainder of the *behavior* or *nested-clause-seq* in which the declaration occurs. Like Java declarations, the new variable name hides other variables of the same name, including instances of those names in the new variable's initializer; however, the new variable may not be used in the initializer, because it is not yet initialized.

Any declaration in *<old-clause>* clauses must textually precede any uses of the declared variables.

The order of the *<old-clause>* with respect to any *<requires-clause>*s in the same *<behavior>* is significant: the initializer of the *<old-clause>* must be well-defined given that any textually preceding requires clauses are true.

The purpose of the clause is to be able to capture and name the value of a subexpression that is used more than once, or just to break up long expressions for the sake of clarity.

## 8.4.7 duration clause

#### Grammar:

```
<duration-clause> ::= <duration-keyword> <opt-name> <expression>
        [ if <predicate> ] ;
<duration-keyword> ::= duration | duration_redundantly
```

Type information: The <expression> in the duration clause has type \bigint; the
optional predicate has boolean type.

A duration clause is used to specify the maximum (i.e., worst case) time needed to process a method call in a particular specification case. This is adapted from the work of Krone, Ogden, and Sitaraman on RESOLVE [48].

The expression is to be understood in units of the JVM instruction that takes the least time to execute, which may be thought of as the JVM's cycle time. The time it takes the JVM to execute such an instruction can be multiplied by the number of such cycles to arrive at the clock time needed to execute the method in the given specification case. This time should also be understood as not counting garbage collection time.

The expression in a duration clause is evaluated in the post state and thus may use \old and other JML operators appropriate for postconditions.

In any specification case, an omitted duration clause means the same as a duration clause giving an unreasonably large amount of time.

See §12.6.1 for information about the \duration expression, which can be used in the duration clause to specify the duration of other methods.

## 8.4.8 working\_space clause

## Grammar:

Type information: The expression in a working space clause must have type \bigint, in units of bytes. The optional redicate has boolean type.

A <working-space-clause> can be used to specify the maximum amount of heap space used by a method, over and above that used by its callers. The clause applies only to the particular specification case it is in. This is adapted from the work of Krone, Ogden, and Sitaraman on RESOLVE [48].

The expression is evaluated in the post-state and thus may use \old and other JML operators appropriate for postconditions. In some cases this space may depend on the \result, exceptions thrown (\exception), or other post-state values.

An omitted working space clause makes no guarantees of the amount of space used; it is equivalent to a clause specifying an unreasonably large number of bytes.

See §??, for information about the \working\_space expression that can be used to describe the working space needed by a method call. See §12.6.3, for information about the \space expression that can be used to describe the heap space occupied by an object.

## 8.4.9 callable clause

#### Grammar:

Type information: Each <method-signature> must name a unique method. If no <type-name> is given, the <identifier> must name a method in the enclosing class; otherwise it must name a method in the named type. If the method name is not unique in its class, then the types of its arguments must be listed in exact correspondence to the declaration of the method.

## What about generic methods?

The callable clause states that all methods called within its body (including any called by method calls in its body, recursively) are contained in the list of methods in the clause. The term \nothing denotes an empty list of method signatures.

## 8.4.10 captures clause

## Grammar:

## 8.5 Model Programs (model\_program clause)

## 8.5.1 Structure and purpose of model programs

**TODO** 

8.5.2 extract clause

**TODO** 

8.5.3 choose clause

**TODO** 

8.5.4 choose\_if clause

**TODO** 

8.5.5 or clause

**TODO** 

8.5.6 returns clause

**TODO** 

8.5.7 continues clause

**TODO** 

8.5.8 breaks clause

**TODO** 

## 8.6 Modifiers for method specifications

## 8.6.1 Purity modifiers

The purity modifiers are pure, spec\_pure, strictly\_pure, no\_state, @Pure, @SpecPure, @StrictlyPure, and @NoState. At most one of these may be applied to a method declaration. If none are applied to a method declaration, the method inherits its purity from its super classes and interfaces; if none of those designate purity, the method gets its purity designation from the nearest enclosing class that does have one. If a method overrides a some ancestor's method, the method must have a purity designator at least as strong as that of the overridden class.

The different levels of purity are described in §3.9. All the purity designations require that the method not assign to any field in the pre-state of the call. That is purity implies assignable \nothing; in all specification cases.

## 8.6.2 non\_null, nullable, @NonNull, and @Nullable

The types of methods can be annotated as non\_null or nullable. Because these are *type annotations*, the modifier is attached to the type, not to the declaration per se. This complicates the syntax a bit. See §3.4 and §3.3.2 for details.

# 8.6.3 non\_null\_by\_default, nullable\_by\_default, @NonNullByDefault, @NullableByDefault

The non\_null\_by\_default and nullable\_by\_default modifiers or, equivalently, the <code>@NonNullByDefault</code> and <code>@NullableByDefault</code> Java annotations, specify the default nullity declaration within the method. Nullness is described in §1. The default applies to all typenames in declarations and in expressions (e.g. cast expressions), and recursively to any local classes in the method that do not have default nullity declarations of their own.

These default nullity modifiers are not inherited by overriding methods.

A method cannot be modified by both modifiers at once. If a method has no default nullity modifier, it uses the corresponding modifiers of the enclosing class; the default for a top-level class is non\_null\_by\_default. This top-level default may be altered by tools.

## 8.6.4 model and @Model

This modifier identifies a method declared within a JML annotation as a *model method*. Method may not have a ghost modifier. Model methods are discussed in §7.8.

#### 

These modifiers apply only to methods declared in Java code, and not to methods declared in JML, such as model methods. They have the effect of replacing the Java visibility modifier for the method with an alternate, for the purposes of specification. For example, a Java declaration declared private in Java, but also spec\_public in a JML modifier for the method, is treated as public in all specifications.

## 8.6.6 helper and @Helper

The helper modifier states that a method may not assume that the invariants, constraints, and initially clauses of the containing class hold and it need not establish that they are true at the end of the body of the method. See the discussion of when invariants are required to hold, in §1.

Typically helper methods are internal utility methods and are often (though not necessarily) private.

May helper methods be overridden, if they remain helper

## 8.6.7 no\_state and @NoState

A method marked as no\_state is one whose result is independent of the state of the heap (a claim that tools should check). A no\_state method is implicitly also strictly\_pure. A no\_state method is one that computes some result based only on its inputs and using just Java primitive or JML types. Such a method may also use Java static final constants whose types are Java primitive types; if the method uses a static final value that is not a compile-time constant, the method has an implicit precondition that the class containing that value has completed initialization.

The value of such methods is precisely that they are independent of the state of the heap and thus are much easier to reason about.

It seems that values of immutable types, such as Java Strings or enums or records, could also be allowed. One would need to check that any final (constant) references are not just a container for mutable references, and also that their values are only used once initialization is complete.

8.6.8 Arithmetic modes: code\_java\_math, spec\_java\_math, code\_bigint\_math, spec\_bigint\_math, code\_safe\_math, spec\_safe\_math, @CodeJavaMath, @CodeSafeMath, @CodeBigintMath, @SpecJavaMath, @SpecSafeMath, @SpecBigintMath

In JML, arithmetic can be analyzed in three different modes: java mode, safe mode, and bigint mode, as described in detail in §13. The arithmetic mode can be different for specifications and Java code. These method modifiers permit setting the analysis mode for the body and specifications of the method they modify. They apply to the whole body, though the arithmetic mode scoping operators (§12.5.17) may change the mode for subexpressions.

## 8.6.9 skip\_esc, skip\_rac, @SkipEsc, and SkipRac

These modifiers apply only to methods with bodies.

When these modifiers are applied to a method or constructor, static checking (respectively, runtime checking) is not performed on that method. In the case of RAC, the method will be compiled normally, without inserted checks. These modifiers are a convenient way to exclude a method from being processed without needing to remember to use the correct command-line arguments.

## 8.6.10 @Options

#### This is perhaps too tool-specific

This Java annotation applies to class or method declarations. It is available only as a Java annotation (not as a JML modifier).

The annotation takes either a string literal or a { }-enclosed list of string literals as its argument. The literals are interpreted as individual command-line arguments, optionally with a = and a value, which set options used just for processing the class or method declaration that the annotation modifies. Not all command-line arguments are applicable to individual classes or methods.

This is useful when there is not a built-in modifier for a particular option. For example, one could write

Here method m is processed with the given timeout and verboseness level, despite the settings used elsewhere. In the first case, the strings are enclosed in braces, while in the second case, the single string does not need enclosing braces. Note that the prefix org.jmlspecs.annotation. may be omitted if the appropriate import is used (e.g., import org.jmlspecs.annotation.options; or import org.jmlspecs.annotation.\*;. The @Options annotation is in Java code, so a library containing org.jmlspecs.annotation must be on the classpath when a class using Option is compiled or executed.

#### 8.6.11 extract and @Extract

The extract modifier for methods relates to specification inference for model programs, as described in [84].

This modifier applies only to methods with bodies.

## 8.6.12 peer, rep, and readonly

These modifiers relate to Universe types, which are described in §15.

## 8.6.13 inline

The inline modifier is an experimental feature. When applied to a method declaration, it indicates to tools that, for static reasoning purposes, the method body should be inlined where it is used. Use of inline on methods that are neither final nor private is disallowed. (That is, inlined methods may not be overridable.) Note that a method may be declared final in JML even if it is not so declared in Java.

The advantage of inlining small methods is that the method then needs not have any specifications, saving the work of writing them. Any specifications it does have must still be checked at the call site along with the inlined code.

Are inline constructors allowed

## 8.6.14 query, secret, @Query, and @Secret

The  $\mathtt{secret}$  modifiers on fields are used with the  $\mathtt{query}$  modifiers on methods in specifying observational purity. This is an experimental and not yet settled feature.

## 8.7 TODO Somewhere

<:: token

lots more backslash tokens

## **Chapter 9**

# Field and Variable Specifications

Fields may have various modifiers, each of which states a restriction on how the field may be used. Fields may be part of *data groups*, which allow specifying frame conditions on fields that may not be visible because of the Java visibility rules. Also, a specification may introduce *ghost* or *model* fields that are used in the specification but are not present in the Java program.

Local variables and formal parameters, typically declared and used in method bodies, have properties similar to fields and many of the same modifiers.

## 9.1 Field and Variable Modifiers

The modifiers permitted on a field, variable, or formal parameter declaration are shown in a table in the Appendix (§A).

## 9.1.1 final

The final modifier is a Java modifier used to indicate that a field or variable will not be assigned to after it is initialized. This is helpful information in both reading code and in reasoning about it as one knows the value does not change through subsequent state changes in the program's execution.

However, programmers rarely notate a field or variable as final unless it is in a situation where Java requires it. So it is useful to be able to so as part of the JML specifications, as in /\* final \*/ public int x;.

The effect of a final modifier (whether in Java or JML) on a field, variable or formal parameter is that outside of initializers and constructor bodies

- any assignment to the field is a JML error;
- the memory location may not be listed in any frame condition clause or expression;
- the field location is not part of wild-card storerefs, like this. \* and \everything.

If the field is static, then its value is fixed at the end of static initialization; the above rules about frame conditions also apply.

## 9.1.2 non\_null and nullable (@NonNull, @Nullable)

The non\_null and nullable modifiers, and equivalent @NonNull and @Nullable annotations, specify whether or not a field, variable, or parameter may hold a null value. The modifiers are valid only when the type of the modified construct is either a reference or array type, not a primitive type.

NOte that because nullness modifiers are actually type modifiers, in a declaration like non\_null Object x, y;, both x and y have non\_null Object type.

More detailed discussion of nullness in given in §1.

# 9.1.3 spec\_public and spec\_protected (@SpecPublic, @SpecProtected)

These modifiers are used to change the visibility of a Java field when viewed from a JML construct. A construct labeled <code>spec\_public</code> has <code>public</code> visibility in a JML specification, even if the Java visibility is less than public; similarly, a construct labeled <code>spec\_protected</code> has <code>protected</code> visibility in a JML specification, even if the Java visibility is less than protected. §1 contains a detailed discussion of the effect of information hiding using Java visibility on JML specifications.

Listing 9.1: Use of spec\_public

```
private /*@ spec_public */ int value;

//@ ensures value == i;
public setValue(int i) {
  value = i;
}
```

For example, Listing 9.1 shows a simple setter method that assigns its argument to a private field named value. The visibility rules require that the specifications of a public method (setValue) may reference only public entities. In particular, it may not mention value, since value is private. The solution is to declare, in JML, that value is spec\_public, as shown in the Listing.

## 9.1.4 ghost and @Ghost

Ghost fields and variables are described in §3.5. Formal parameters may not be ghost.

## 9.1.5 model and @Model

Model fields are described in §3.5. Variables and formal parameters may not be model.

## 9.1.6 uninitialized and @Uninitialized

The uninitialized modifier may be used on a field or local variable declaration to say that despite an initializer, the location declared is to be considered uninitialized. Thus, the field should be assigned in each path before it is read. [62]

Java requires that locations be assigned before they are read, to avoid uninitialized memory bugs. Consequently the Java compiler does a static analysis and will complain if it finds code paths on which a variable is read before being written. It may well be that such a path is indeed not feasible, but it will take a more complex reasoning and perhaps additional JML annotations to prove that fact. This modifier allows the Java program to have an initializer in the declaration in order to satisfy the Java compiler, but still requires a JML-based proof that the variable is always written before being read.

## 9.1.7 instance and @Instance

The JML instance modifier is the opposite of the Java static modifier; that is, an instance entity is a member of an object instance of a class (with a different entity for each object instance), whereas a static entity is a member of the class (and is the same entity for all object instances of that class).

It does no harm to declare a non-static JML field as instance, but the only time it is necessary is in an interface, as fields are by default static in an interface. It is common, however, to declare some instance model fields in an interface that are used by specifications in the interface and inherited by derived classes.

Obviously, it is a type error to declare a field both instance and static.

```
public interface MyCollection {
    //@ model instance int size; // a public instance JML field
    final int MAX = 100; // a public static Java field
4 }
```

## 9.1.8 monitored and @Monitored

The monitored modifier may be used on a non-model field declaration to say that a thread must hold the lock on the object that contains the field (i.e., the this object containing the field) before it may read or write the field [62].

## 9.1.9 peer, rep, readonly, @Peer, @Rep, @Readonly

These modifiers are part of the Universe type system. Their interaction with the rest of JML has yet to be fully worked out.

Check readonly vs. read\_only, Readonly vs. ReadOnly TODO

## 9.1.10 query, secret and @Query, @Secret

The secret modifiers on fields are used with the query modifiers on methods in specifying observational purity. This is an experimental and not yet fully fleshed out feature.

**TODO** 

## 9.2 Ghost fields

Ghost fields are in all respects like Java fields, except that they are not compiled into the Java program (because the declarations are in JML, which are Java comments). However they are compiled into the output programs for runtime-assertion checking. They can also be reasoned about in static checking just like any Java field.

Within a program, ghost fields are assigned to in set statements (§11.8).

- a JML field must be one of either ghost or model, and not both
- · a ghost field in an interface must be static

Ghost fields are discussed in §3.5.

## 9.3 Model fields

Model fields are described in §3.5.

- · a JML field must be one of either ghost or model, and not both
- a non-final model field may not have an initializer (the value of a model field is constrained by specifications, including represents clauses §1.

## 9.4 Datagroups: in and maps clauses

There are two JML clauses specifically associated with field declarations; the in and maps clauses. These clauses must immediately follow the declaration of the field to which they apply.

The monitor\_for and represents clauses also relate to specific fields, but those clauses may appear where any declaration within a class body appears.

#### 9.4.1 in clause

```
<in-clause> ::= in <ident> ... ;
```

Type information: The <ident>s listed in the clause must name datagroups (which includes model fields) that are members of the containing class or any of its superclasses or interfaces. The listed datagroups must be visible to any client for whom the field associated with the clause is visible.

The in clause states that its associated field belongs to the datagroups listed in the clause. A typical use is to declare a public datagroup and then add to it various private fields of the class. Then various frame conditions can mention the datagroup name, which then includes all the private memory locations which would not otherwise be visible.

Here is some example code.

```
class A {
   //@ public \datagroup state;

private int k; //@ in state;
}
```

## 9.4.2 maps clause

```
<maps-clause> ::= maps <storeref> \into <identifier> ... ;
```

Type information:

• Each <identifier> must be a datagroup (including model fields).

The maps clause states that given *<storeref>* is a member of each of the given datagroups.

Allow a list of storerefs?

Why is this associated with a declaration?

Allow null dereferences in the storerefs

# **Chapter 10**

# Default specifications and specification inference

## 10.1 Static invariants

Some, if not most, of the static fields of a class are static final fields with constant initializers. The values of these fields are invariant after the completion of static initialization. As it is tedious, duplicative and error prone to write an explicit invariant stating these facts, JML infers such a specification.

Each class has a static invariant consisting of a conjunction of the equalities *field* == *value* for each *static*, *final* field that is initialized with a compile-time constant. If necessary there is one such invariant for each level of visibility (usually only a public one is needed).

Note that if a field is not declared final it is not included; if the field is actually final but not declared so in Java, it can be declared final in JML. Also, if the field does not have a compile-time constant initializer, it is not included in the invariant.

Either of these omissions can lead to a field being silently omitted from an initializer and thereby causing confusion. It is not readily clear why an 'obvious' fact is not known by the prover.

## 10.2 Instance invariants

Just like for static initializers, a set of instance initializers (one for each visibility) is crdeated by conjoining equalities for each instance field that is final and has a compile-time-constant initializer.

## 10.3 Method specifications

A default specification for a Java method is assumed wherever (a) there is a library method with no source code or specification file, (b) a method with Java source code but not explicit specifications, or (c) an implicit (compiler constructed) method.

## 10.3.1 Non-overridden methods

A method that does not override any methods of parent classes and does not specify any behavior and is not marked pure has this default behavior:

```
requires true;
caccessible \everything;
sassignable \everything;
captures \everything;
callable \everything;
callable \everything;
censures true;
signals (Exception e) true;
signals_only RuntimeException + contents of method's throws declaration;
diverges true;
when true;
measured_by <very large number>;
duration <very large number>;
working_space <very large number>
```

In addition, the method is by default volatile

If the method has a pure modifier then the default is

```
requires true;
caccessible \everything;
sassignable \nothing;
captures \everything;
callable \everything;
ensures true;
signals (Exception e) true;
signals_only RuntimeException + contents of method's throws declaration;
diverges true;
when true;
measured_by <very large number>;
duration <very large number>;
working_space <very large number>
```

In addition, the method is by default not volatile

The visibility of these default behaviors is the same as the method itself.

Such behaviors are about as conservative as it is possible to be, with just the exception that the specification only allows a java.lang.RuntimeException or any checked exception in the method's throws clause to be thrown, and not any other kind of unchecked Throwable, such as a java.lang.Error (including java.lang.AssertionError.

The rationale for this restriction is that JML make no guarantees about a program's behavior (whatever verification was successfully performed) if an Error is thrown — most Error exceptions are program faults (e.g. out of memory or stack overflow) from which it is difficult to perform meaningful recovery action These default behaviors are sound (baring program Errors) but are too general to be useful. Any method implementation at all can be verified against these postconditions, but no method that called such a method (and relied on its behavior) could be verified to do anything. Consequently, users are advised to provide actual specifications for any method that is called.

Tools may help by (a) warning about methods without specifications or (b) inferring better specifications (§??) or (c) providing options that enable more useful if unsound defaults.

## 10.3.2 Overriding methods

A method that overrides a method from a parent class or interface inherits all the behaviors (recursively) from its superclasses and interfaces. There will be at least the default behavior of the top-most method in the overriding hierarchy. If the method does not have any specification clauses of its own, it does not add any behaviors to those it inherits. (If it has behaviors of its own, those are concatenated with the inherited behaviors.)

In addition, an overriding method inherits the pure modifier if any method it overrides is marked pure. It may also declare itself pure even if its parents do not.

A method may add its own modifiers (e.g., spec\_public, spec\_protected, helper) independently of its inheriting behaviors.

## 10.3.3 Library methods

To ensures soundness, the defaults for library methods without either source code or explicit specifications are these:

```
requires true;
accessible \everything;
assignable \everything;
captures \everything;
callable \everything;
ensures true;
signals (Exception e) true;
signals_only RuntimeException + contents of method's throws declaration;
diverges true;
when true;
measured_by <very large number>;
duration <very large number>;
working_space <very large number>
```

In addition, any formal parameters of reference type are non\_null, but any return value of reference type is nullable.

These conservative default behaviors are somewhat onerous for library methods. Many of these methods are pure or at least have no side effects outside their own receiver. The user will likely need to provide some specifications for the library methods that are being used. Again, tools may be able to provide some help here, as well as efforts to specify more of the Java standard library.

## 10.4 **Object()**

As the java.lang.Object class has no superclass, its default constructor has a simple default specification:

```
requires true;
caccessible \nothing;
assignable \nothing;
captures \nothing;
callable \nothing;
ensures true;
signals (Exception e) false;
signals_only \nothing;
diverges false;
when true;
measured_by 0;
duration <very large number>;
working_space <very large number>
```

## 10.5 Constructors

Constructors have a method specification like non-constructor methods, but with a few differences and additional considerations.

When a constructor is called, the following sequence of operations takes place:

- · Static initialization of the class happens, if it has not already occurred
- · All instance fields are initialized to zero-equivalent values
- The parent class constructor is called (per the explicit or implicit super call)
- The instance fields are initialized and the instance initialization blocks are executed in textual order
- The body of the constructor is executed.

The pre-state of the constructor specification is the state after static initialization but before any instance initialization is started. Thus any instance fields have undefined values and the object being constructed is not yet allocated.

Accordingly, this may not be used in the preconditions or the frame conditions (or any specification clause that is evaluated in the pre-state). Because the object being constructed is not part of the pre-state, any instance fields that are initialized by the constructor need not be in the frame conditions. Indeed they may not be because that would require an implicit reference to this.

A constructor marked pure or assigns \nothing; may initialize the object's instance fields and may assign only to those fields.

It is (unfortunately) the case in Java that a parent class constructor can downcast this to get access to a derived class object before it is initialized. The result is that in an example like the following the asserted expression is true.

## 10.6 Default constructors

A default constructor is a zero-argument constructor generated by the compiler when a user writes no constructors. Its implementation (per Java) is just to call the zero-argument constructor of its parent class.

## 10.6.1 Specification in .jml file

If there is a .jml file containing the specification of the parent class of the constructor in question, then the specification of the default constructor can be put in that .jml file, whether or not there is a corresponding .java file.

```
1 // (portion of) .jml file
2 class A {
3    //@ pure
4    public A() {}
5 }
```

## 10.6.2 Specification in .java file

If there is a source .java file and no .jml file, then a specification of the default constructor can be put in the .java file along with an implementation of the default con-

structor:

```
1 // (portion of) .java file
2 class A {
3    //@ pure
4    public A() {}
5 }
```

## 10.6.3 Default specification

If there is no specification of the default constructor in either a .java or a .jml file for the class in question, then a default specification is assumed for the default constructor. That default specification is a copy of the specification cases of the parent class's default constructor's specification, omitting any specification cases that are not visible in the child class.

For example, the specification of the constructor Object () is just

```
/*@ public normal_behavior
@ assignable \nothing;
@ reads \nothing;
@ */
public /*@ pure @*/ Object();
```

Any class that is derived directly from <code>java.lang.Object</code> and has a default constructor would have this same specification for that default constructor, unless the user supplied a different one.

## **10.7** Enums

Write this. Refer to/do not duplicate earlier discussion

## 10.8 Records

Refer to/do not duplicate earlier discussion

A Java record declaration is a class declaration with much of the body of the class automatically generated. For example, the declaration

```
record Rectangle(double length, double width)
creates a class with
```

- · One private field for each formal argument
- A public constructor with a signature corresponding to the declaration
- · public getter methods for each field
- default equals, hashCode and toString methods

The class is immutable.

The default specifications for such a class are these:

- The class has the modification immutable
- Each generated private field has the modifier spec\_public
- The constructor has a public normal\_behavior specification case with a simple postcondition in which each field is set to the value of the corresponding formal argument. The constructor has the pure modifier.
- Each getter function has a public normal\_behavior specification case with the simple postcondition that the result of the method is the value of the corresponding field.
- The generated equals method has a public behavior specification case in which the ensures postcondition calls == to compare each primitive value and .equals() for reference values. The record's .equals() method is pure if all of the component types have pure .equals() methods. other clauses
- The generated hashCode method has a public behavior with an ensures true; postcondition. other clauses
- The generated toString method has a public behavior specification case in which the ensures postcondition is ensures true; .The record's .hashCode() method is pure if all of the component types have pure .hashCode() methods. The record's .toString() method is pure if all of the component types have pure .toString() methods.

## other clauses

```
Thus for an example declaration
 record Count(int number, /*@ nullable */ T value) XXX
 we have the specification
final class Count {
      //@ spec_public nullable
      final private int number;
      //@ spec_public
      final private T value;
      //@ public normal_behavior
      //@
            ensures this.count == count && this.value == value;
      //@ pure
     public Count(int number, T value);
11
      //@ public normal_behavior
13
     //@
            ensures \result == number;
14
     //@ pure
     public int number();
```

```
17
      //@ public normal_behavior
18
      //@
           ensures \result == value;
19
     //@ pure
20
     public int value();
21
22
      //@ public behavior
      //@
          ensures true;
     public int hashCode();
25
      //@ public behavior
27
      //@ ensures true;
28
     public String toString();
      //public behavior
31
      //@ requires o instanceof Count;
32
      //@
           ensures \result == (
33
      //@
              ((Count)o).number == this.number &&
34
      //@
                Objects.equals(((Count )o).value, this.value));
35
      public boolean equals(Object o);
```

Need to say what all other clauses are; conditions under which methods are pure and under which they are 'signals false' and what exceptions might be thrown

Record declarations can include customizations and may include explicit declarations of the fields and methods that are typically implicit. If there is any customization then no default specification is generated; the user is expected to supply a complete specification.

## 10.9 Lambda functions

Write this

# **Chapter 11**

# **JML Statements**

JML statements are JML constructs that appear as statements within the body of a Java method or initializer. Some are standalone statements, while others are specifications for loops or blocks or statements that follow.

The body of a method is not part of its interface—it is the implementation. Hence, JML statements within the method body are not part of the method's specification. Rather they are generally statements that aid in the verification of the implementation or help to debug it. Consequently, JML includes just a few specification statements that are commonly used. Individual tools supporting JML are likely to add other specification statements to aid or debug the proof.

## Grammar:

```
<jml-statement> ::=
      <iml-assert-statement>
                                    §11.1
                                    §11.2
     <jml-assume-statement>
     < jml-local-variable>
                                    §11.3
     | <jml-local-class>
                                    §11.4
     <jml-ghost-label>
                                    §11.5
     <jml-unreachable-statement> §11.7
     < jml-set-statement>
                                   §11.8
     | < jml-loop-specification>
                                    §??
     | <jml-refining-specification> \ \§??
```

## 11.1 assert statement and Java assert statement

#### Grammar:

Type checking requirements:

• the < jml-expression > must be boolean

The assert statement requires that the given expression be true at that point in the program. A static checking tool is expected to require a proof that the asserted expression is true and to issue a warning if the expression is not provable. A runtime assertion checking tool is expected to check whether the asserted expression is true and to issue a warning message if it is not true in the given execution of the program.

In static-checking, after an assert statement, the asserted predicate is assumed to be true. For example, in

```
1 // c possibly null \\
2 //@ assert c != null; \\
3 //@ int i = c.value;
```

if c is null prior to this code snippet, then the assert statement will trigger a verification failure, but no warning should be given on c.value since c!= null is implicitly assumed after the assert.

By default, JML will interpret a Java assert statement in the same way as it does a JML assert statement — attempting to prove that the asserted predicate is true and issuing a verification error if not. This proof attempt happens whether or not Java assertions are enabled (via the Java —ea option).

In executing a Java program, when assertion checking is enabled, a Java assert statement will result in a AssertionError at runtime if the corresponding assertion evaluates to false; if assertion checking is disabled (the default), a Java assert statement is ignored. Runtime assertion checking tools may implement JML assert statements as Java assert statements or may issue unconditional warnings or exceptions.

## 11.2 assume statement

## Grammar:

Type checking requirements:

• the < jml-expression > must be boolean

The assume statement adds an assumption that the given expression is true at that point in the program.

Static analysis tools may assume the given expression to be true. Runtime assertion checking tools may choose to check or not to check that the assume statement is actually true.

An assume statement might be used to state an axiom or fact that is not easily proved. However, assume statements should be used with caution. Because they are assumed

but not necessarily proven, if they are not actually true an unsoundness will be introduced into the program. For example, the statement assume false; will render the following code silently infeasible. Even this may be useful, since, during debugging, it may be helpful to shut off consideration of certain branches of the program.

### 11.3 Local ghost variable declarations

Grammar:

```
<jml-local-variable> ::=
    ghost <modifier>* <decl-type> <identifier>
        [ = <jml-expression> ];
```

A ghost local declaration serves the same purpose as a Java local declaration: it introduces a local variable into the body of a method. A ghost declaration may be initialized only with a (side-effect-free) JML expression. The type in the ghost declaration may be either a Java or a JML type.

The only modifiers allowed for a ghost declaration, in addition to ghost, are

- final as for Java declarations, this modifier means the variable's value will
  not be changed after initialization.
- uninitialized indicates that JML should consider the variable uninitialized even though there is a Java initializer (cf. §1).
- non\_null, nullable these may modify the <decl-type> in the declaration,
  if it is a Java reference type. The current nullness default for the method will
  implicitly state a non\_null or nullable type annotation for the declaration.
- · Java annotations

Variables declared in such a ghost declaration may be used in subsequent JML expressions and they may be assigned values in set statements (§11.8).

Any other JML modifiers?

Grammar needs to permit array initializers

### 11.4 Local model class declarations

```
<jml-local-class> ::= model <class-declaration>
```

Java permits local class declarations as method body statements. Similarly, JML permits the declaration of a local model class as a specification statement. The syntactic rules for a local JML model class are the same as for a local Java class, such as restrictions on scope and that all local variables used within the class definition are final. However a local JML model class may use other JML constructs, such as JML ghost variables and fields. Furthermore the methods of a local JML model class need not have an implementation.

The declaration of a JML local model class must be contained in just one JML annotation. JML constructs within the model class declaration, such as method specification clauses, do not need to be contained in embedded JML annotations because they are already in an outer JML annotation, as shown in the following code snippet.

```
public void m(int i) {
   int k = i*i;
   //@ ghost final int g = k;
   /*@ model class Helper {
       requires k == i*i;
       ensures \result == k*k;
       pure
       enint helper(int x);
       @ @ */
```

### 11.5 Ghost statement label

#### Grammar:

```
<jml-ghost-label> ::= <java-identifier> :[ { } | ; ]
```

Java allows statement labels to be placed before statements; they serve as targets of break and continue statements. JML also uses such labels as targets of \old and \fresh expressions.

Consequently there is sometimes a need to add a label for JML purposes that can be referred to by \old and \fresh. The JML ghost-label does that.

A ghost-label may be placed anywhere in a block immediately preceding a Java or JML statement. If a statement must be introduced as the target of the label or the statement label needs to be disambiguated from names on other JML constructs, the optional forms //@ label:{} or //@ label:; can be used.

Any Java identifier may be used for the label if it would be permitted to be a Java label at that location, which means it may not be the name used to label an enclosing labeled statement. A label name may shadow the name of a previously labeled statement that is not enclosing. However, this is not recommended as it may cause a misreading if a reader does not notice the need to disambiguate identically-named labeled statements.

### 11.6 Built-in state labels

The  $\old (\S12.5.14)$  and  $\fresh (\S12.5.15)$  expressions can refer to the program state at a particular statement label. JML also allows inserting statement labels into the source code ( $\S11.5$ ).

In addition, JML provides some built-in state labels:

- Pre the pre-state of the containing method (even in block contracts)
- Old
  - in method or block contracts: the pre-state of that contract
  - in specification statements: the pre-state of the innermost enclosing contract (either a block contract, or if, there are no enclosing block contracts, the pre-state of the enclosing method)
- Here
  - in specification statements, the program state just prior to the statement using the label
  - in clauses evaluated in the pre-state of a contract, that pre-state
  - in clauses evaluated in the post-state of a contract, that post-state

Uses of these built-in labels always refer to the corresponding program state, even if there is an explicit Java or ghost-label with the same name, as illustrated in this example:

#### Need example

A possible alternative is to allow escaped label names (e.g., \Pre) to always refer to the built-in label, despite any explicit labels.

Possible other built-in labels are Post (post-state of contract), Init (after static initialization), LoopEntry (just after loop initialization), LoopCurrent (beginning of current loop iteration). (cf. ACSL)

### 11.7 unreachable statement

#### Grammar:

```
<jml-unreachable-statement> ::=
    unreachable <opt-name> [ ; ]
```

The unreachable statement asserts that no feasible execution path will ever reach this statement. Runtime-checking can only check that no unreachable statement is executed in the current execution of a program.

It has been common practice to insert assert false; statements to check whether a given program point is infeasible. The unreachable statement accomplishes the same purpose with clearer syntax.

#### 11.8 set statement

#### Grammar:

```
<jml-set-statement> ::=
    set <opt-name> <java-statement>
```

The java-statement in the grammar is not quite right since the statements can include ghost variables.

Type checking requirements:

 the <java-statement> may be any single executable Java statement, including a block statement

If the *<java-statement>* ends in a semicolon, that semicolon is required and may not be omitted just because it occurs at the end of a JML comment.

A set statement marks a statement that is executed during runtime assertion checking or symbolically executed during static checking, commonly called *ghost code*. As such the statement must be fully executable and may have side effects on ghost code; also it may contain references and assignments to local ghost variables and ghost fields, and calls of model methods and classes that have executable implementations. The primary motivation for a set statement is to assign values to ghost variables, but it can be used to execute any statement.

JML previously contained a debug statement that was semantically equivalent to //+DEBUG@ set statement

### 11.9 Loop specifications

```
Grammar:
```

Type checking requirements:

- the <iml-expression> in a <loop-invariant> must be a boolean expression
- the iml-expression> in a <loop-variant> must be a \bigint expression
- the < location-set>s in a < loop-assignable> clause may contain local variables that are in scope at the program location of the loop
- a <loop-specification> may only appear immediately prior to a Java loop statement

• the variable scope for the clauses of a < loop-specification > includes the declaration statement within a for loop, as if the < loop-specification > were textually located after the declaration and before the loop body

#### Fix the grammar for the frame item

A special and common case of statement specifications is specifications for loops. In many static checking tools loop specifications, either explicit or inferred, are essential to automatic checks of implementations.

A loop typically has four kinds of specification clauses:

- a loop invariant that constrains the value of the loop index (or \count value §1)
- a loop invariant that gives the inductive predicate stating what the loop is accomplishing
- a loop\_writes clause that states what memory locations are assigned in the loop body
- a decreases clause needed to demonstrate loop termination

### 11.9.1 Loop invariants

A loop invariant states a property that is maintained by the execution of the loop body. Specifying a loop invariant implies two proof obligations:

- After any loop initialization (for a for-loop) but before the loop test or execution
  of the loop body, the loop invariant must be true.
- Assuming the loop invariant is true after the loop test but before beginning
  execution of the loop body, the loop invariant must again be true just after the
  loop update ststement has been executed and before the loop test is performed.
  This includes any execution paths from continue statements and continue
  statements with labels in enclosed loops. The loop invariant is not checked for
  any break, throws or return statement that exits this loop.

It is important to realize that each iteration of the loop is checked independently, as is the normal exit from the loop when the loop test is false. Thus anything that needs to be known from a previous iteration must be present in an invariant. Here is an example that illustrates the very common pattern for loop specifications.

```
1 // a is a non-null array to be initialized
2 //@ maintaining 0 <= k <= a.length;
3 //@ maintaining \forall int j; 0 <= j < k; a[j] == j * j;
4 //@ assigning a[*];
5 //@ decreasing a.length-k;
6 for (int k=0; k < a.length; ++k) {
7    a[k] = k * k;
8 }
9 //@ assert \forall int j; 0 <= j < a.length; a[j] == j * j;</pre>
```

There are two loop invariants here.

- The first one simply, but importantly, restricts the range of the loop index: k
  may take any value from 0 to a.length inclusive (k equals a.length at the
  end of the last iteration, when, just like after all iterations, the loop invariant
  must hold).
- The second iteration states what has been accomplished by the loop iterations so far. Nothing from previous iterations is "remembered". What is known is that all array values up to but not including k have been initialized. Then, given the execution of the loop body and the update to the loop index k, the loop invariant is again true for one more element of the array.

If k is allength, as it is on exit from the loop, then, given the loop invariants, the following assert statement is true.

Fix the example for the final agreed upon keywords

### 11.9.2 Loop variants

The loop invariants alone do not determine whether a loop terminates. For that we need a well-defined measure that counts down to an end-point. JML implements this with integers. The decreasing clause gives an expression that must be non-negative at the beginning of each loop iteration (after the loop test) and is smaller after the loop update and prior to the loop test after the conclusion of the loop body (including control flows from continue statements, but not break, throws or return statements). Because the variant expression begins as some finite value, is always nonnegative, and decreases on each iteration, we can infer that the loop will terminate in some finite number of iterations.

The example above shows a very typical loop variant expression.

### 11.9.3 Loop frame conditions

The loop frame specification states which values are assigned to (that is. might possibly change) in the loop. The frame clause is independent of the loop index. In the example above, the frame condition states  $a[\star]$ , that is that all elements of the array may change during all the loop iterations, not just a[k], that a particular element is changed during a particular iteration.

The logical encoding of the loop presumes that any memory location in the frame condition has an unknown value at the start of the loop. It is therefore important that the loop invariants state the values of any memory locations that are listed in the loop frame condition.

### 11.9.4 Inferring loop specifications

Loop specifications are not part of a method interface. The necessity of loop specifications is a result of the current state of specification technology, namely, that

inferring the loop specifications from arbitrary source code is an unsolved problem. However, in many common cases the loop specifications can be inferred. In the example above a tool might readily infer lines 2, 4, and 5, and possibly also line 3 for simple loops.

Depending on the tool used, it may not be necessary to explicitly state each of these loop specification statements. However tools should be clear about any loop specifications that are implicitly used.

### 11.10 Statement (block) specification

#### Grammar:

```
<statement-specification> ::= refining <behavior-seq>
```

The semantics of a *block specification*<sup>1</sup> are very similar to those of a method specification (cf. §1). A method specification states preconditions on the legal states in which a method may be called and gives postconditions stating the effects of a method's execution, including comparisons between the pre-state (before the method call) and the post-state (after the method completion). Similarly, a block specification makes assertions about the execution of the statement (possibly a block statement) that follows the block specification, or, if a **begin** JML statement (§11.11) immediately follows the statement specification, then the specification applies to the sequence of statements within the **begin-end** block:

- For at least one of the *<behavior>*s in the *<behavior-seq>*, all of the requires clauses in that *<behavior>* must be true at the code location of the statement specification.
- For any < behavior> for which all of the requires clauses are true, each other clause must be satisfied in the post-state, that is after execution of the following statement or begin-end block.
- The \result expression may not be used in any clause.
- recommends clauses may not be used.

There are a few conceptual differences between the method and statement specifications.

- In the pre- and postconditions of block specifications, any local (including ghost) variables that are in scope may be used in the clause expressions.
- As there is no return statement, the \result expression may not be used.
- There is no inheritance of specification cases as there might be for method specifications.

<sup>&</sup>lt;sup>1</sup>We use the term *block specification* (or *block contract*) even though the specification can apply to a single statement because a block of statements is the usual case and because *statement specification* is easily confused with *specification statement* as used in §11.

• The returns, breaks, continues, and throws specification clauses are permitted in a block specification.

The motivation for a block specification is that it summarizes the behavior of the subsequent Java statement or begin-end block. Thus one application of this specification idiom is to check the behavior of a section of a method's implementation. It also allows the remainder of the method body to be checked just using the block specification as a summary without needing to use the implementation.

For example, some block of code may implement a complicated algorithm. The implementation writer may encapsulate that code in a syntactic block and include a specification that describes the effects of the algorithm. Then a tool may separate its static checking task into two parts:

- checking that the implementation in the block (along with any preceding code in the method body) does indeed have the effect described by the specification
- checking that the surrounding method satisfies the method's specification when, within its body, the encapsulated block of code is replaced by its specification.

It might be a reasonable critique that such a block of code should be extracted into its own method. However, when one is specifying existing, unalterable code, such refactoring is not an option.

### 11.11 begin-end statement groups

Grammar:

```
<begin-end> ::= begin | end
```

Pairs of JML begin and end statements may be used to define a block of Java statements, just as using opening and closing braces might in Java. However the begin and end do not introduce a local scope and can be inserted in the code without modifying the Java code per se.

Begin and end statement pairs may be nested. The end corresponding to a given begin must be in the same scope as the begin, and not in a nested or containing scope.

These begin-end blocks are only useful with block specifications as described in §11.10.

### 11.12 Experimental statement specifications

The following JML statements are purely experimental. They are not currently part of JML, though they may be used in tools.

• check statement – like the assert statement, but does a soft assert. That is, the asserted predicate is not assumed to be true after the statement.

- show statement emits values of program variables as part of a counterexample when a proof fails
- halt statement limits checking of assertions just up to the location of the statement
- split statement enables breaking up a single method into multiple proofs at the locations of branching statements (e.g., if, switch, loops).
- havoc statement gives listed arguments arbitrary (though type-consistent) new values
- reachable tests whether a program location is reachable from some legal pre-state. This is part of general feasibility testing §1. *Or is this in JML already*
- use statement introduces a lemma
- inline\_loop used to specify actions of methods that implicitly perform iterations over arbitrary actions
- comment statement used to insert comments into translated code

## **Chapter 12**

# **JML Expressions**

#### Grammar: <jml-expression> ::= <<conditional-expression> \$12.4.1 |<quantified-expression> \$12.5.1 |<lambda-expression> \$12.5.3 | <assignment-expression> \$12.4.2 | <jml-infix-expression> <jml-infix-expression> ::= <jml-binary-expression> §?? | < jml-prefix-expression> §**??** <jml-prefix-expression> ::= \$12.4.3 <jml-unary-expression> <jml-cast-expression> \$12.4.4 <jml-postfix-expression> <jml-postfix-expression> ::= <dot-expression> \$12.4.7 | <array-expression> \$12.4.8 | <jml-primary-expression> <jml-primary-expression> ::=

```
<result-expression>
                                            §12.5.10
      <exception-expression>
                                            §12.5.11
      <informal-expression>
                                            §12.5.18
      | <old-expression>
                                            §12.5.14
      <nonnullelements-expression>
                                            §12.5.16
      <fresh-expression>
                                            §12.5.15
      <type-expression>
                                            §12.5.19
      <typeof-expression>
                                            §12.5.20
      <elemtype-expression>
                                            §12.5.21
      <invariant-for-expression>
                                            §12.5.23
      <static-invariant-for-expression>
                                            §12.5.24
      <is-initialized-expression>
                                            §12.5.22
Missing some - check the list
      < java-math-expression>
                                            §12.5.17
      | <safe-math-expression>
                                            §12.5.17
      <bigint-math-expression>
                                            §12.5.17
      <duration-expression>
                                             §12.6.1
      <working-space-expression>
                                                §??
      <space-expression>
                                             §12.6.3
```

The right-most expressions in quantified expression, conditional expression, assignment expressions and range (...) expressions all extend up to a closing punctuation mark (, ) ]; : or }).

shift bit logical dot cast new methodcall ops

*Need sections on \values* 

### 12.1 Syntax

JML expressions may include most of the operations defined in Java and additional operations defined only in JML. JML operations are one of five types:

- infix operations that use non-alphanumeric symbols (e.g., <==>)
- identifiers or expressions that begin with a backslash (e.g., \result, \forall)
- identifiers that begin with a backslash but have a functional form (e.g., \old)
- some special-purpose syntax (e.g. (\* . . . \*)
- methods defined in JML whose syntax is Java-like (e.g., JML.informal (...))

The Java-like forms replicate some of the backslash forms. The backslash forms are traditional JML and more concise. However, the preference for new JML syntax is to use the Java-like form because the latter are natively supported by Java tools.

### 12.2 Well-defined expressions

An expression used in a JML construct must be well-defined, in addition to being syntactically and type-correct. This requirement disallows the use of functions with

argument values for which the result of the function is undefined. For example, the expression (x/0) = (x/0) is considered in JML to be not well-defined (that is, undefined), rather than true by identity. An expression like (x/y) = (x/y) (for integer x and y) is true if it can be proved that y is not 0, but undefined if y is possibly 0. For example, y = 0 = (x/y) = (x/y) is well-defined and true.

The well-definedness rules for operators are given in the section describing that operator. They presume that the expressions are type correct. The [[ ]] notation denotes true or false according to whether the enclosed expression is or is not well-defined.

#### Distribute these to the sections

```
(literals and names)
                                                    true
(parenthesis)
                                   [[(e)]]
                                                    [[e]]
                                   [[e.f]]
(dot access)
                                                    [[e]] \& e \neq null, where
f is a field
                                                          of the type of e
                                                    [[e]] \& [[e_1]] \&
(array element)
                                  [[e[e_1]]]
                                                           e \neq null \& 0 \leq e_1 < e.length
                                                    [[e]], for a type name T What about overflow?
                                 [[(T)e]]
(cast)
(unboxing)
                                 [[(T)e]]
                                                    [e] \& e \neq null, for a type name T, including
                                                    implicit unboxing to primitive values
(boxing)
                                 [[(T)e]]
                                                    true, for a type name T, including
                                                    implicit boxing of primitive values
(boolean negation)
                                   [[ !e ]]
                                              \equiv [[e]]
                                  [[\sim e\ ]] \equiv [[e\ ]]
(complement)
                               [[e_1 + e_2]] \equiv [[e_1]] \& [[e_2]]
(string +)
(non-short-circuit
                              [[e_1 \ op \ e_2]] \equiv [[e_1]] \& [[e_2]], \text{ for operators } \& \land \land
                                                                        <= < == != > >=
binary operations)
                            [[e_1 \&\& e_2]] \equiv [[e_1]] \& (e_1 \Rightarrow [[e_2]])
(short-circuit & &)
                              [[e_1 || e_2]] \equiv [[e_1]] \& (\neg e_1 \Rightarrow [[e_2]])
(short-circuit | |)
                              [[e_1 \ op \ e_2]] \equiv [[e_1]] \& [[e_2]],
(arithmetic
                                                    for operators + - * What about overflow?
operations)
                               [[e_1/e_2]] \equiv [[e_1]] \& [[e_2]] \& e_2 \neq 0
(divide)
                              [[e_1 \% e_2]] \equiv [[e_1]] \& [[e_2]] \& e_2 \neq 0
(modulo)
                          [[e_1 ? e_2 : e_3]] \equiv [[e_1]] \& (e_1 \Rightarrow [[e_2]]) \& (\neg e_1 \Rightarrow [[e_3]])
(conditional)
```

- method calls: well-defined iff (a) the receiver and all arguments are well-defined and (b) if the method is not static, the receiver is not null and (c) the method's precondition and invariants are true and (d) the method can be shown to not throw any Exceptions in the context in which it is used
- new operator: well-defined iff (a) all arguments to the constructor call are well-

defined, (b) the preconditions and static invariants of the constructor are satisfied by the argument, and (c) the constructor does not throw any Exceptions in the context in which it is called

shift operators (<< >> >>>): well-defined iff all operands are well-defined.
Note that Java defines the shift operations for any value of the right-hand
operand; the value is trimmed to 5 or 6 bits by a modulo operation appropriate to the bit-width of the left-hand operand. JML tools may choose to raise a
warning if the value of the right-hand operand is outside the 'expected' range.
Is the result undefined if the RHS is out of range?

floating point operations?

### 12.3 Purity (no side-effects)

Specification expressions must not have side effects. During run-time assertion checking, the execution of specifications may not change the state of the program under test. Even for static checking, the presence of side-effects in specification expressions would complicate their semantics.

Thus some Java operators are not permitted in JML expressions:

```
• allowed: + - * / % == != <= >= < > .^ & | && || << >> >>> ?:
```

• prohibited: ++ -- = += -= \*= /= %= &= |= ^= <<= >>>=

### 12.4 Java expressions

The expressions described here are defined in Java and are unchanged in JML except that they can take gener <*jml-expression*>s as operands.

### 12.4.1 Conditional expression

```
Well-definedness: [[c?t:e]] \equiv [[c]] \land ((c \Longrightarrow [[t]] \land (!c \Longrightarrow [[e]])
```

Type information:

- the first expression must have boolean type
- the middle and last expressions must be implicitly convertible to a common type
- the type of the expression is that common type of the latter two expressions

This operation is unchanged from Java, other than that the consitutent expressions may be JML expressions.

### 12.4.2 Assignment expression

### Fix the escaping of the modulo op; presentation of shifts

Well-definedness:  $[[lhs\ op\ rhs]] \equiv [[lhs]] \land [[rhs]]$  with these additional conditions:

- for /= and = : ( rhs != 0 )
- for <<=>>=> : the value of the rhs must satisfy 0<=rhs<32 for int operands and 0<=rhs<64 for long operands

Type information:

- except for the shift operations, the right-hand-side must be implicitly convertible to the type of the left-hand-side
- the type of the expression is the type of the left-hand-side

This operation is unchanged from Java, other than that the consituent expressions may be JML expressions. As in Java, the value of the expression is the new value of the left-hand-side.

Assignment operations may be used in specifications only in statement specifications that allow side-effects, such as the set statement or initializers of ghost declarations. In these cases the side-effects may only affect ghost memory locations.

### 12.4.3 Unary Expression

```
<unary-expression> ::= [ ! | gterm- ] <unary-expression>
```

There are three unary operators in Java; JML adds no additional unary operators. In each case the operation is well-defined if the operand is:  $[[op\ x]] \equiv [[x]]$ .

- - Numeric negation can be applied to a value of any numeric type, including \bigint and \real. The type of the expression is the same as the type of the argument, except that short and byte operands are promoted to int.
- ~ Bit-vector complement can be applied to values of any integral type. short and byte operands are converted to int. Complement of a \bigint value x yields -1-x.
- ! Boolean 'not' can be applied to boolean values, returning a boolean value.

### 12.4.4 Cast expression

```
<cast-expression> ::= ( <type-name> ) <jml-expression>
```

### Type information:

- The result type is the named type, <type-name>.
- If the <type-name> is a reference type and the type of the <imle expression> is a primitive type, the operation is a boxing expression; the named type must be the boxed type corresponding to the primitive type of the operand. Or something convertible to that type?
- If the <type-name> is a primitive] type and the type of the <imle cypression> is a reference type, the operation is a unboxing expression; the named type must be the unboxed type corresponding to the reference type of the operand.
   Conversions allowed?
- If the <type-name > is a reference type, then the dynamic type of the <jml-expression > must be a sub- or supertype of the named type.
- If the <type-name> is a primitive type, including a JML primitive type, then the
  type of the <iml-expression> must be one that is convertible to the named type.
  Which type pairs are convertible is defined in the discussions of those types
  (§1).

#### Write this

### 12.4.5 Prefix ++ and -- operations

The ++ and -- prefix operations are Java operations. Because they change their operand, they my not be used in JML specifications.

### 12.4.6 Postfix ++ and -- operations

The ++ and -- postfix operations are Java operations. Because they change their operand, they may not be used in JML specifications.

#### 12.4.7 Dot selection operation

#### WRITE THIS - needs grammar

Type information: The expression before the dot must name a type or be an expression having some reference type. The name after the dot must name a static member of the named type or name a member (method or field) of the static type of the expression. Two special cases are the suffixes .length for array expressions and .class for type names.

Well-definedness: Fillin

This operation is unchanged from Java, other than allowing, in specifications, using JML names, expressions and types.

### 12.4.8 Array element operation

```
<array-expression> := <postfix-expression> [ <expression> ] Well-defined: [[a[i]]] \equiv [[a]] \land (a \neq null) \land [[i]] \land 0 \leq i \land i < a.length, where a.length is the length of the array a, as in Java.
```

Type information:

- the first operand must have type 'array of T' for some type T
- · the second operand must have integral type
- the result has type *T*

This operation is the same in JML and Java. It extracts a particular element from a Java array.

Some built-in types also allow this syntax to mean selection (cf. §1).

### 12.4.9 Method call

Write more

### 12.4.10 Parenthesized expression

```
<parenthesized-expression> ::= ( <jml-expression> )
```

Type Information: The type of the result is the same as the type of the argument. The argument may have any non-void type.

```
Well-definedness: [[(< jmlexpression>)]] \equiv [[< jml-expression>]]
```

The value of the *<parenthesize-expression>* is the value of its operand.

### 12.5 JML expressions

The expressions described in this section are unique to JML and may only be used within JML annotation comments.

### 12.5.1 Quantified expressions

### Grammar:

The first expression (called the range expression, R(x)) is optional. If omitted, its default value is true. The second expression is called the *value expression*, V(x).

The scope of the declared variables is only the bodies of the two subexpressions; the declared variables shadow any variable or fields with the same name in the scope containing the quantified expression.

Well-definedness: The quantified-expression is well-defined for a quantifier  ${\mathbb Q}$  as stated here:

```
\begin{split} [[ \bigcirc \ \ \mathsf{T} \ \ \mathsf{x}; \ \ \mathsf{R} \ (\mathsf{x}) \ ; \ \ \mathsf{V} \ (\mathsf{x}) \ ]] \equiv \\ & \left( \forall \ Tx; \ [[ \ \mathsf{R} \ (\mathsf{x}) \ ]] \ \right) \\ & \wedge (\forall \ Tx; \ R(x)) \implies [[V(x)]] \ ) \\ & \wedge (\exists \ Tx; R(x)) \end{split}
```

with the last conjunct only present for \choose, \min and \max.

#### Type information:

A <quantified-expression> declares a new local variable whose scope is only the two expressions within the quantified-expression. The variable name hides any identical names in enclosing scopes. The optional range expression must be boolean. The type of the value expression and of the whole quantified-expression depend on the quantifier, as shown in the following table (T is the type of the declared local variable), with details discussed in the subsections below.

Quantifier	Value expression	Entire expression	
\forall	boolean	boolean	
\exists	boolean	boolean	
\choose	boolean	Т	
\num_of	boolean	\bigint	
\sum	$\bigint or \real$	same as value expression	
\product	$\bigint or \real$	same as value expression	
\max	N	same as value expression	
\min	N	same as value expression	

Here N is any Java or JML numeric type

Although, the range expression is optional, runtime-assertion checking tools may use its form to infer a constrained range over which to iterate in order to compute the value of the quantified expression. Thus an appropriately written range expression may improve the runtime performance of a compiled program, or even make executing the program possible at all.

### 12.5.1.1 \forall, \exists

The  $\forall$  and  $\ensuremath{\mbox{exists}}$  quantifiers correspond to the universal and existential quantifiers of first-order predicate logic.

• the universally quantified expression (\forall T x; R(x); V(x)) is true iff  $R(x) \Longrightarrow V(x)$  is true for every x of type T.

• the existentially quantified expression (\exists T x; R(x); V(x)) is true iff  $R(x) \wedge V(x)$  is true for some x of type T.

For non-primitive types. R(x) should be some predicate such as x is contained in a given collection, so that the domain of the quantification is unambiguous.

The phrase "for every x of type T is clear for primitive types T. But its meaning is not clear for reference types. What set of reference values are being considered? – all conceivable objects? all allocated objects? all reachable objects? For example, if T is Java's <code>java.lang.String</code> type it is easy to envision a quantification over all possible strings, whether they exist in a program or not. But even for such an immutable type, there can be different objects (that is, two separate allocations) that contain the same internal data (e.g. character sequence). Should these be counted as two separate instances in the quantification (such as in the  $\num_of$  quantifier below) or just one?

For non-immutable objects, the concept of all possible such objects seems problematic; then the question of whether (and how) to consider just allocated, or alternatively, reachable, objects needs more consideration.

#### 12.5.1.2 \choose

Whereas the \exists quantifier tells whether there is some value that satisfies a given predicate, the \choose expression yields an arbitrary one such value. Thus the \choose expression is well-defined only if such a value exists.

The value of a *choose-expression* is any value that satisfies its range and value predicates; its result is deterministic but arbitrary if there is more than one such value. Any logical expressions that depend on the value of the choose-expression are valid only if they are valid no matter which choice is made. In logic-speak, the choice is *demonic*, as if a demon were making the choice, always seeking to invalidate your proof.

For example, in

```
1 //@ set int x = (\choose int k; 1 <= k <= 2);
2 //@ assert x == 1 || x == 2; // valid
3 //@ assert x == 1; // invalid</pre>
```

the first assert is valid – no matter what allowed value \choose produces the assertion is true. But the second is not always true, and hence is invalid. In runtime-checking the second may be reported true or false non-deterministically.

Note also that two separate instances of the same \choose expression produce the same result (so that logically, an identity axiom holds). That is, if, for all x

$$(R(x) \wedge V(x)) = (R'(x) \wedge V'(x))$$

then

```
(\choose T x; R(x); V(x)) == (\choose T x; R'(x); V'(x))

However, it would take a more-than-currently-capable logical reasoning engine to
```

prove such equalities. Even when R and V are syntactically identical to R' and V'. tools may not be able to prove the equality.  $^1$ 

#### 12.5.1.3 \one\_of, \sum, \product, \max, \min

These generalized quantifiers perform various (commutative and associative) operations over the set of values specified by the range and value expressions: for each operation, that operation is applied to all the values V(x) for which R(x) is true.

- \one\_of: this operation yields the number of values for which  $R(x) \wedge V(x)$  is true, with the result type being \bigint. If R(x) is not true for any x, the value of the \num\_of expression is 0.
- \sum: this operation yields the sum of integer or real values, with V(x) being promoted to either \bigint or \real and the result being of the same type. If R(x) is not true for any x, the value of the \sum expression is 0.
- \product: this operation yields the product of integer or real values, with V(x) being promoted to either \bigint or \real and the result being of the same type. If R(x) is not true for any x, the value of the \product expression is 1.
- \max: this operation yields the maximum of all the values V(x) for which R(x) is true, with the result being of the same type as V(x). There is no default if the range is empty; instead the expression is not-well-defined. Note that \max is overloaded with the max-locset expression (§??).
- \min: this operation yields the minimum of all the values V(x) for which R(x) is true, with the result being of the same type as V(x). There is no default if the range is empty; instead the expression is not-well-defined.

I'd prefer that max and min be undefined if the range is always false. Otherwise the expression cannot be generalized to other data types. For example, to anything for which a (pure) total-order comparison function is supplied

One can conceive of operations like \sum and \product for other commutative and associative binary operations over other domains. Similarly, \max and \min could be extended to other total orders over other domains. However, there would need to be both a need and a reasoning mechanism to justify such additions. The current operations pose plenty of problems of their own.

### 12.5.2 Set comprehension

#### Text needed

 $<sup>^1</sup>$ The choose operator implements Hilbert's choice operator  $\varepsilon$ . The property last explained is called extensionality. All generalised quantifiers in JML are extensional in the sense that whenever R(x) and V(x) are replaced by a semantically equivalent R' and V', the expression yields the same value.

Table 12.1: Java and JML precedence. Note that postfix and prefix ++ and - have the same precedence as other postfix and prefix operations, but are not allowed in JML expressions.

 $(c\bar{f}.\ \texttt{https://docs.oracle.com/javase/tutorial/java/nutsandbolts/operators.html})$ 

Java operator	JML operator	
highest precedence		associativity
literals, names, parenthesis, new		
postfix: . [] method calls		left
postfix: (Java only) ++  –		left
prefix: unary + - ! ~ cast		right
prefix: unary (Java only) ++  –		right
* / %		left
binary + -		left
<< >> >>>		left
<= < >= > instanceof	<: <:= <# <#=	chainable
== !=		left
&		left
^		left
I		left
& &		left
11		left
	==> <==	right
	<==> <=!=>	left
?:		right
	quantified	right
		none
assignment, assign-op (Java only)		right
lowest precedence		

### 12.5.3 Lambda expression

**TODO** 

### 12.5.4 Infix expressions

```
<jml-infix-expression> ::=
<jml-prefix-expression> ( <binop> <jml-prefix-expression> ) *
```

A sequence of alternating expressions and binary operators is parsed as a tree of binary operations according to the precedence and associativity of the various operators, as is customary in programming languages. The precedence and associativity are given in Table 12.1. Precedence and associativity are purely syntactic; the results of parsing do not depend on the types of the expressions.

Note that, just as in Java, the bit-operations have precedence lower than equality. So (a & b == 1) is a & (b == 1). For clarity's sake, always use parentheses

around bit operations: ((a & b) == 1).

Well-definedness: typically  $[[x \ op \ y]] \equiv [[x]] \land [[y]]$ , with some special rules given for certain operators.

#### Edit this

implicit conversion. The operands are individually converted to potentially larger data types as follows:

- if either operand is \real, the other is converted to \real,
- else if one operand is \bigint and the other either double or float, they both are converted to \real,
- else if either operand is double, the other is converted to double,
- else if either operand is float, the other is converted to float,
- else if either operand is \bigint, the other is converted to \bigint,
- else if either operand is long, the other is converted to long,
- else both operands are converted to int.

### 12.5.5 Chaining of comparison operators

#### Grammar:

#### Well-defined:

```
[[e_1 \ op \ e_2 \ op \dots op \ e_n]] \equiv \forall i \ [[e_i]]
```

### Type information:

All the  $e_i$  must have numeric type; the result is boolean

In Java, an expression like a < b < c with a, b, and c having integer types is type-incorrect because (a < b) is a boolean and booleans and integers cannot be compared, and there is no implicit conversion between them, as in C.

However, JML allows such chains as a boolean operation that means (a < b) & (b < c). The operators < and <= may be mixed in a chain, as may > and >=. The equality operators are not chainable  $^2$  because the equality operators have different precedence than relational operators. In addition, a < b == c < d is meaningful in Java, as (a < b) == (c < d). Chaining, were it supported, would give it a different meaning in JML: (a < b) & (b == c) & (c < d).

<sup>&</sup>lt;sup>2</sup>They are chainable in Dafny, by comparison.

Note that the desugaring of the chain is written with non-short-circuit operators. This emphasizes that all the operands must be independently well-defined. Also it allows static-checkers to optimize reasoning (non-short-circuit operators have simpler semantics than short-circuit ones). Runtime assertions checks are welcome to evaluate the expression in equivalent short-circuit fashion.

Though less commonly used, the subtype operators (<: <:=) and the lock comparison operators (<\# <\#=) also chain.

### 12.5.6 Implies operator: ==>

#### Grammar:

```
<implies-expression> ::= <expression> ==> <expression>
```

Well-defined:

$$[[e_1 ==> e_2]] \equiv [[e_1]] \& (e_1 \Longrightarrow [[e_2]])$$

Type information:

- two arguments, each an expression of boolean type
- · result is boolean

The ==> operator denotes implication and is a short-circuit operator. Its value is true if the left-hand operand is false or the right-hand operand is true; if the left operand is false, the right operand is not evaluated and may be undefined. The operation

is equivalent to

The ==> operator is right associative: P ==> Q ==> R is parenthesized as P ==> (Q ==> R). This is the natural association from logic: (P ==> Q) ==> R is equivalent to (P && !Q) || R, whereas P ==> (Q ==> R) is equivalent to !P || !Q || R.

**Obsolete syntax:** The reverse implication operation <== is no longer supported.

### 12.5.7 Equivalence and inequivalence: <==> <=!=>

### Grammar:

Well-defined:

$$[[e_1 <=> e_2]] \equiv [[e_1]] \& [[e_2]]$$

$$[[e_1 <=!=> e_2]] \equiv [[e_1]] \& [[e_2]]$$

Type information:

• two arguments, each an expression of boolean type

- · the expression is well-defined if both operands are well-defined
- · result is boolean

The <==> operator denotes equivalence: its value is true iff both operands are true or both are false. It is equivalent to equality (==), except that it is lower precedence. For example, P && Q <==> R || S is (P && Q) <==> (R || S), whereas P && Q == R || S is (P && (Q == R)) || S.

The <=!=> operator denotes inequivalence: its value is true iff one operand is true and the other false. It is equivalent to inequality (!=), except that it is lower precedence. For example, P && Q <=!=> R || S is (P && Q) <=!=> (R || S), whereas P && Q != R || S is (P && Q != R)) || S.

Both of these operators are associative and commutative. Accordingly left- and right-associativity are equivalent. The operators are not chained: P <==> Q <==> R is (P <==> Q) <==> R, not (P <==> Q) && (Q <==> R); for example, P <==> Q <==> R is true if P is true and Q and R are false. Similarly P <==> Q <==> R is (P <==> Q) <==> R and is true if P is true and P and P are false.

### 12.5.8 JML subtype: <: <:=

#### Grammar:

Well-defined:

$$[[e_1 \prec := e_2]] \equiv [[e_1]] \& [[e_2]]$$
  
 $[[e_1 \prec := e_2]] \equiv [[e_1]] \& [[e_2]]$ 

Type information:

- two arguments, each of type \TYPE
- · well-defined iff both operands are well-defined
- · result is boolean

The <:= operator denotes JML subtyping: the result is true if the left operand is a subtype of or the same type as the right operand. Note that the argument types are \TYPE, that is JML types (cf. §1). Say more about relationship to Java subtyping

JML also has the operator <:. In JMLv1 this also meant improper subtype. However the syntax is more indicative of proper subtype (subtype but not the same type). Accordingly, <: is deprecated and will be reintroduced later to mean proper subtype.

### 12.5.9 Lock ordering: <# <#=

#### Grammar:

```
<lockorder-expression> ::=
```

```
<expression> <#= <expression>
| <expression> <# <expression>
```

Well-defined:

$$[[e_1 < \# = e_2]] \equiv [[e_1]] \& [[e_2]]$$
  
 $[[e_1 < \# e_2]] \equiv [[e_1]] \& [[e_2]]$ 

Type information:

- · two arguments, each of reference type
- · well-defined iff both operands are well-defined and both are not null
- · result is boolean

It is useful to establish an ordering of locks. If lock A is always acquired before lock B (when both locks are needed) then the system cannot deadlock by having one thread own A and ask for B while another thread holds B and is requesting A. Specifications may specify an intended ordering using axioms and then check that the ordering is adhered to in preconditions or assert statements. Neither Java nor JML defines any ordering on locks; the user must define an intended ordering with some axioms or invariants.

The <# operator is the 'less-than' operator on locks; <#= is the 'less-than-or-equal' version. That is

```
a < \# = b \equiv (a < \# b \mid a == b)
```

Previously in JML, the lock ordering operators were just the < and <= comparison operators. However, with the advent of auto-boxing and unboxing (implicit conversion between primitive types and reference types) these operators became ambiguous. For example, if a and b are Integer values, then a < b could have been either a lock-ordering comparison or an integer comparison after unboxing a and b. Since the lock ordering is only a JML operator and not Java operator, the semantics of the comparison could be different in JML and Java. To avoid this ambiguity, the syntax of the lock ordering operator was changed and the old form deprecated.

#### 12.5.10 \result

#### Grammar:

```
<result-expression> ::= \result
```

Well-defined:

```
[[ \ \ result \ ]] \equiv true
```

Type information:

- · no arguments
- result type is the return type of the method in whose specification the expression appears
- may only be used in method specification clauses that are evaluated in a normallyterminating post-state.

The \result expression denotes the value returned by a method. The expression is only permitted in clauses of the method's specification that state properties of the state of a method after a normal exit. It is a type-error to use \result in the specification of a constructor or a method whose return type is void.

### 12.5.11 \exception

\exception is an Open-JML extension

#### Grammar:

```
<exception-expression> ::= \exception
```

#### Well-defined:

```
[[\ \ \ ]] \equiv true
```

Type information:

- · no arguments
- the expression type is the type of the exception given in the signals clause; it is java.lang. Exception in duration and working\_space clauses
- only permitted in method specification clauses that are evaluated in terminatingwith-exception post-states.

The \exception expression denotes the exception object in the case a method exits throwing an exception. Using this expression is an alternative form to using a variable declared in the signals clauses's declaration. For example, the following two constructions are equivalent:

```
//@ signals (RuntimeException e) ... e ... ;
//@ signals (RuntimeException) ... \exception ... ;
```

Duration and workingspace clauses do not have a exception variable declaration and consequently need to use \exception.

#### 12.5.12 \count and \index

#### Grammar:

```
<count-expression> ::= \count | \index
```

Type information: This expression is valid only in the body and specifications of a loop. It has type  $\$  bigint.

The value of this term is the number of times the loop body has been completed. If there are nested loops, it refers to the innermost loop that contains the expression. For a simple loop, like for (int i=0; i<10; i++) ..., \count is the same as the loop index i. In a more complex loop, like

for (int i=1; i<10; i\*=2) ..., then some equality, such as  $i==2^{\text{count}}$  for this example, holds and using \count might be more useful.

In the for  $(var\ v: \ list)$  ... style of loop, there is no loop index. Then  $\setminus count$  is equivalent to a ghost variable as in

```
1 //@ ghost count = 0;
2 for (var v: list) {
3     ...
4     count++;
5 }
```

The preferred spelling of this term is \count. \index will be eventually deprecated. The rationale is that the expression connotes the count of the number of times the loop body has been executed, not the value of a loop index variable (though often those are the same).

#### 12.5.13 \values

#### Grammar:

```
<values-expression> ::= \values
```

Type information: The  $\values$  expression is permitted inside a loop body or loop specifications. Its type is  $\square{T}$ , where T is the type of the declared loop index.

Well-definedness: [[\values≡ true

The value of the values expression is the sequence of values taken on by the loop index in the innermost enclosing loop.

The values expression is particularly useful in Java's foreach statements. For example, one might write, for some Collection c,

```
collection<Integer> c = ...
int sum = 0;
//@ loop_invariant k == \values[\count];
//@ loop_invariant sum == (\sum int j; 0 <= j < \count; \values[k]);
//@ decreases c.size() - \count;
for (Integer k: c) {
    sum = sum + k;
}</pre>
```

### 12.5.14 \old, \pre, and \past

#### Grammar:

Type information: The type of the expression is the type of the first argument. Note though that the expression may be evaluated in a different state than the current state and different variable names may be in scope.

Well-definedness: The expression is well-defined if the first argument is well-defined and any label argument names either a built-in label (§11.6) or an in-scope Java or JML ghost label (S11.5).

The scope of a label is the remainder of the block in which a label is defined, including any nested blocks. Note that in Java a nested block is not allowed to reuse an identifier as a label that labels an enclosing block. However, a label may be used subsequent to a block that a previous use labeled; it then hides the name of the earlier use, as show in the following example.

```
public void m(int i) {
    a: {
        a: {} // forbidden nested use
        b: {}

    a: {} // permitted subsequent use
    //@ assert \old(i,a) ==m ... // refers to the most recent use of a
    //@ assert \old(i,b) ==m ... // Error - b is out of scope
}
```

#### 12.5.14.1 \old

The  $\old$  expression enables referring to the value of an expression in a previous program state. An  $\old$  expression without a label argument implicitly refers to the  $\old$  state (cf. §11.6). The value of the  $\old$  expression is the result of evaluating the first argument in the state designated by the second argument. Note that identifiers in the given argument are resolved and type-checked in the given state. Thus they may refer to different variables (with perhaps different types) than in the current state. The following example shows how different variables can have the same name.

```
public class Old {

public boolean k;

//@ requires k;

public void m() {

//@ assert k; // k is this.k, a boolean

int k = 0;
//@ assert k >= 0; // k is the local k, an int
//@ assert \old(k); // k is this.k, a boolean
}
```

### 12.5.14.2 \pre

The \pre expression is simply an abbreviation for \old with the built-in label Pre (cf. §11.6).

#### 12.5.14.3 \past

The \past expression is similar to \old, but with slightly different semantics. It was proposed at the Shonan Workshop as a way to have field access operations within the method specifications carried out in a previous program state. It is currently not adopted as a feature in JML, but the syntax is reserved for potential future use.

\past is an extension

Text needed

#### 12.5.15 \fresh

#### Grammar:

```
<fresh-expression> ::=
    \fresh( <expression> [ , <java-identifier> ])
```

Type information:

- the first argument is an expression of reference type
- the optional second argument is an identifier, which must be the name of either a pre-defined label (§11.6) or a Java statement label or a JML ghost label (§11.5). If omitted, the built-in label Old is implicit.
- · expression type is boolean
- \fresh may be used only in postcondition clauses or statement specifications

Well-definedness: The argument must be well-defined and non-null. The second argument, if present, must be the identifier corresponding to an in-scope label or a built-in label.

The arguments of the \fresh expression must be expressions that evaluate to non-null references. The \fresh expression is true iff the argument is a reference to an object that was not allocated in the state indicated by the given label.

### 12.5.16 \nonnullelements

#### Grammar:

Well-definedness: the expression is well-defined iff the argument is well-defined (the argument is permitted to be null)

Type information:

- a single argument that is an expression of either Java array type, a Java iterable (which includes Java collections) or the \seq, \set or \map built-in types
- · expression type is boolean

The \nonnullelements expression is true iff the argument is non-null and each element of the argument's value is not null.

Do we need a separate recursive version?

### 12.5.17 Arithmetic mode scope

#### Grammar:

The function-like expressions \java\_math, \safe\_math, and \bigint\_math may be used in specifications to change the arithmetic mode (§13) for the enclosed expression. Such arithmetic mode contexts override the default set for the program or the specific method and may be nested.

### 12.5.18 informal expression: (\* . . . \*)

#### Grammar:

```
<informal-expression> ::= (* .* *)
Well-defined:
```

```
[[ (* . * *) ]] \equiv \text{true}
[[ JML.informal(e) ]] \equiv [[ e ]]
```

Type information:

- · special syntax
- the argument of JML.informal is a string literal
- expression type is boolean; value is always true

The syntax of the informal expression is

```
(* ... *),
```

where the ... denotes any sequence of characters not including the two-character sequence  $\star$ ). An alternate form is

```
JML.informal(<expression>)
```

where <expression> is a String literal. The character sequence and the string expression are natural language text that may be ignored by JML tools; the intent is to convey to the reader some natural language specification that will not be checked by automated tools.

In the second form, the argument is type checked and must have type <code>java.lang.String</code>; it is not evaluated. It is generally a string literal.

The expression always has the value true.

Examples:

```
//@ ensures (* data structure is self-consistent *);
//@ ensures JML.informal("data structure is OK");
public void m() ...
```

### 12.5.19 \type

#### Grammar:

```
<type-expression> ::=
   \type( <iml-type-expression> )
```

Well-defined:

```
[[\ \ \ \ \ \ ]] \equiv true
```

Type information:

- · one argument, a type name
- result type is \TYPE

This expression is a type literal. The argument is the name of a type as might be used in a declaration; the type may be a primitive type, a non-generic reference type, a generic type with type arguments or an array type. The value of the expression is the JML type value corresponding to the given type. It is analogous to .class in Java, which converts a type name to a value of type Class. The type name is resolved like any other type name, with respect to whatever type names are in scope.

Generic types must be fully parameterized; no wild card designations are permitted. However type variables that are in scope are permitted as either stand-alone types or as type parameters of a generic type.

For more discussion of JML types and their relationships to Java types, see §1.

Examples: (*T* is an in-scope type variable)

```
1 //@ ... \type(int) ...
2 //@ ... \type(Integer) ...
3 //@ ... \type(java.lang.Integer) ...
4 //@ ... \type(java.util.LinkedList<String>) ...
5 //@ ... \type(java.util.LinkedList<String>[]) ...
6 //@ ... \type(T) ...
7 //@ ... \type(java.util.LinkedList<T>) ...
```

### 12.5.20 \typeof

```
Grammar:
```

```
<typeof-expression> ::=
   \typeof ( <expression> )
Well-defined:
   [[\typeof(e)]] \equiv [[e]] & e \neq null
```

Type information:

- · one expression argument, of any type
- · well-defined iff the argument is well-defined and not null
- result type is \TYPE

The  $\typeof$  expression returns the dynamic type of the expression that is its argument. In run-time checking this may require evaluating the argument. This operation returns a JML type ( $\type$ ); it is analogous to the Java method .getClass(), which returns a Java type value (of type Class).

Verify that primitive types are allowed

Examples:

```
1 Object o = new Integer(5); \
2 // o has static type Object, but dynamic type Integer
3 //@ assert \typeof(o) == \type(Integer); // - true
4 //@ assert \typeof(o) == \type(Object); // - false
5 //@ assert \typeof(5) == \type(int); // - true
```

### 12.5.21 \elemtype

#### Grammar:

```
<elemtype-expression> ::=
   \elemtype ( <expression> )
```

Well-defined:

```
[[ \ensuremath{ \cdot elemtype(e)}]] \equiv [[e]] \& e \neq null \& (e has array type)
```

Type information:

- one argument, of type \TYPE
- expression has type \TYPE

This operator returns the static element type of an array type.

### Examples:

```
1 //@ assert \elemtype(\type(int[])) == \type(int);
2 //@ assert \elemtype(\type(int)) == \type(int); // -- undefined
```

Fix this text. Should we allow array values or only type expressions? Should a non-array value be undefined or yield null?

### 12.5.22 \is\_initialized

#### Grammar:

```
<is-initialized-expression> ::=
    \is_initialized ( <type-name> ... )
    |\is_initialized ( )
```

Type information: The argument must be a list of names of reference types.

The value of this expression is true iff the classes named as arguments have all completed their static initialization. The expression has value true if it has no arguments.

### 12.5.23 \invariant\_for

#### Grammar:

```
<invariant-for-expression> ::=
    \invariant_for ( <expression> )
```

Well-definedness: The expression is well-defined if the argument is. The argument may be null.

Type information: The expression takes one argument, which is a possibly-null-valued expression of any reference type. The result has boolean type.

The invariant\_for expression is equivalent to the conjunction of the non-static invariants in the static type of the receiver and all its super classes and interfaces (recursively), with the argument as the receiver for the invariants.

If the value of the argument is null, the value of the expression is true.

Questions: Should this be the conjunction of invariants of the dynamic type?

Does visibility matter?

Does the order of the conjunctions matter? A natural order would be: the order of invariants is (1) that invariants of super classes and interfaces occur before derived classes and interfaces, (2) Object is first and the named type is last, and (3) within a type, invariants occur in textual order.

### 12.5.24 \static\_invariant\_for

#### Grammar:

```
<static-invariant-for-expression> ::=
  \static_invariant_for ( <type-name> )
```

Type information: The argument is a syntactic type name (not a typed expression) that is the name of a Java or JML (that is, a model) class or interface, and not a primitive type. If the type is a generic type, it must be fully parameterized. The value of the expression is boolean.

```
Well-defindness: [ | \text{static\_invariant\_for} (< type-name >) ]] \equiv true
```

This expression returns the conjunction of the static invariants of the given type. It does not include invariants of super- or sub-types (either classes or interfaces).

If the type being named in the argument is a Java generic type, any type parameters are optional. Recall that in Java type variables may not be used in static contexts; a declaration of a static invariant is a static context, so type variables may not be used in static invariants. Thus any concrete type given as a type parameter is irrelevant to the invariant. For example

```
\static_invariant_for(java.util.List) and \static_invariant_for(java.util.List<Integer>) mean the same thing, while \static_invariant_for(T), where T is a type variable, is illegal.
```

Open questions: does visibility matter? Do we exclude invariants of super-types? Does order of conjoining matter?

The comment given in §12.5.23 applies here as well.

### 12.5.25 \not modified

#### Grammar:

Type information:

- any number of arguments, each expression of any type other than void
- · well-defined iff the arguments are well-defined
- result type is boolean

A  $\not_{modified}$  expression is a two-state expression that may occur only in post-condition and statement specification clauses. It satisfies this equivalence:

```
\not_modified(o) == ( \old(o) == ( o) )
```

The argument may be null.

A  $\not_{modified}$  expression with multiple arguments is the conjunction of the corresponding terms each with one argument; if  $\not_{modified}$  has no arguments, its

value is true.

If an argument has \locset type, the meaning is that no memory location in the \locset is modified.

The expression is true iff the values of the memory locations in the locations sets designated by the *<stsore-ref-expressions>* are the same in the post-state as in the pre-state; for example, \not\_modified(xval,yval[\*]) says that the field xval and each of the array elements of yval have the same value in the pre- and post-states (in the sense of the equals method for their types).

 $\label{lowsone} $$ \operatorname{not\_modified}$ allows one to specify benevolent side-effects, as one can name $x.f$ (or a data group in which it participates) in an assignable clause, but use $$ \operatorname{modified}(x.f)$ in the postcondition.$ 

```
Use == or equals?
```

Does the predicate refer to the actual value – in which case we should stipulate expressions not store-ref-expressions – or all the data group (which would be more consistent with similar features)?

### 12.5.26 \not\_assigned

#### Grammar:

Type information: Each argument must be properly typed. The expression has boolean type.

Well-definedness: The expression is well-defined iff each argument is well-defined.

This expression may be used only in postconditions or in statement specifications. In a postcondition of a contract, the expression is true if none of the arguments have been assigned to in the body of code that the contract specifies (i.e., a method body or a block). In a statement specification (e.g., an assert statement), the expression is true if none of the arguments have been assigned to since the beginning of the innermost block contract, or of the method body if there is no enclosing block contract, and up to the position of the containing specification statement.

The \not\_assigned, not\_modified and various \only\_ZZZ operators are two-state operators comparing the current program state to Old. There is no syntax to use a different program label.

## 12.5.27 \only\_assigned, \only\_accessed, \only\_captured

#### Grammar:

```
<only-assigned-expression> ::=
```

```
\only_assigned ( <store-ref-expression> ... )
<only-accessed-expression> ::=
      \only_accessed ( <store-ref-expression> ... )
<only-captured-expression> ::=
      \only_captured ( <store-ref-expression> ... )
```

Type information: Each argument must be properly typed. The expression has boolean type.

Well-definedness: The expression is well-defined iff each argument is well-defined.

The argument list of this expression denotes a \locset, as described in §1.

These expressions may be used only in postconditions or in statement specifications. In a postcondition of a contract, the expression is true iff the set of locations that have been assigned to, accessed, or captured, respectively, in the body of code that the contract specifies (i.e., a method body or a block) is a subset of the argument. In a statement specification (e.g., an assert statement), the expression is true if the set of locations that have been assigned to, accessed, or captured, respectively, since the beginning of the inner-most block contract, or of the method body if there is no enclosing block contract, and up to the position of the specification statement containing the expression.

A predicate such as  $\only_zzz(x.f)$  refers to the entire data group named by x.f not just to the location x.f itself. These operators may be applied to both concrete and model or ghost fields. When applied to a model field, the meaning is that the (concrete) locations in that model field's data group are permitted to be assigned/accessed/captured during the method's execution.

**\only\_assigned** The JML operator \only\_assigned is true iff the method's execution only assigned to a subset of the data groups named by the given fields. For example,  $\only_assigned(xval,yval)$  says that no fields, outside of the data groups of xval and yval were assigned by the method. This includes both direct assignments in the body of the method and assignments during calls that were made by the method (and methods those methods called, etc.).

\only\_accessed The JML operator \only\_accessed is true iff the containing method's execution only reads from a subset of the data groups named by the given fields. For example, \only\_accessed(xval,yval) says that no fields, outside of the data groups of xval and yval were read by the method. This includes both direct reads in the body of the method, and reads during calls that were made by the method (and methods those methods called, etc.).

\only\_captured The JML operator \only\_captured is true iff the containing method's execution only captured references from a subset of the data groups named by the given fields. A reference is captured when it is stored into a field (as opposed to a local variable). Typically a method captures a formal parameter (or a reference stored in a static field) by assigning it to a field in the method's receiver (the this

object), a field in some object (or to an array element), or to a static field. A predicate such as  $\only\_captured(x.f)$  refers to the references stored in the entire data group named by x.f in the pre-state, not just to those stored in the location x.f itself. However, since the references being captured are usually found in formal parameters, the complications of data groups can usually be ignored.

### 12.5.28 \only\_called

#### Grammar:

Type information: The arguments are not typed. The expression has boolean type.

Well-definedness: The expression is always well-defined (given that all the arguments are type-correct, as defined in §8.4.9).

The argument list of this expression denotes a set of methods, as described in §8.4.9. If there are no arguments, the set of methods is empty.

This expression may be used only in postconditions or in statement specifications. In a postcondition of a contract, the expression is true if the set of methods that have been called in the body of code that the contract specifies (i.e., a method body or a block) is a subset of the argument. In a statement specification (e.g., an assert statement), the expression is true if the set of methods that have been called since the beginning of the inner-most block contract, or of the method body if there is no enclosing block contract, and up to the position of the specification statement containing the expression.

Although this feature is part of JML, it has been used only very little. It is expected to need adjustment based n experimentation.

### 12.5.29 \lockset and \max

#### Grammar:

Type information:

- The type of \lockset is \set<Object>
- The type of the argument of \max must be \set<Object>; the result type of the \max expression is Object.

### Well-definedness:

```
 [[\ \ \ ]] \equiv true \\ [[\ \ \ \ ]] \land (expression>)]] \equiv [[\ \ \ \ \ ]] \land (expression> \neq null)
```

The value of \lockset is a set of Objects that have locks. The value of \max is the element of such a set that has the largest lock value, as defined by axioms on the <# operator; the value of \max is null if the argument is an empty set.

#### 12.5.30 \reach

#### Grammar:

```
<reach-expression> ::= \reach ( <jml-expression> )
```

Type information:

- The construct takes just one argument of any reference type. The argument may be null.
- The result type is \set<object>.

```
Well-definedness: [[ \rach(\ensuremath{<} expression \ensuremath{>} )]] \equiv [[ \ensuremath{<} expression \ensuremath{>} ]]
```

The \reach expression allows one to refer to the set of objects reachable from some particular object. The syntax \reach(x) denotes the smallest set containing the object denoted by x, if any, and all objects accessible through all fields (of any visibility) of objects in this set. That is, if x is null, then this set is empty otherwise it contains x, all objects accessible through all fields of x, all objects accessible through all fields of these objects, and so on, recursively. If x denotes a model field (or data group), then \reach(x) denotes the smallest set containing the objects reachable from x or reachable from the objects referenced by fields in that data group. Objects reachable from an object include those reachable through static fields and through super-class fields.

Some use cases are needed for this feature. For example, if the need is to know all objects that are not eligible for garbage collection, then \reach would include fields of superclasses that cannot be accessed (because of visibility) from a derived class. But if the need is just to know what objects can be accessed through some expression, then another rule is needed. Also, what about (non-fresh) objects that are returned by reachable method calls?

#### 12.5.31 Store-ref expressions

#### Grammar:

Type information: For expression o and a type-name T,

- A <store-ref-expression> does not have a type, but a <non-wild-store-ref-expression> does have a type
- In o.f, f must name a field of the type of o, which must have reference type and not be null; the type of the expression is the type of the field f.
- In o.\*, o must be a non-null value of a reference type
- In *T.f*, *T* must name a reference type, *f* must name a static field of *T*; the type of the expression is the type of the field *f*.
- In *T*.\*, *T* must be a valid type name of a reference type
- In o[i], o must be an array type, i must be (convertible to) \bigint, and the type of the expression is the element type of the array
- In o[i..j] and o[i:j], o must be an array type, i and j must be (convertible to) \bigint, and the type of the expression is the element type of the array
- In o[\*], o must be an array type, and the type of the expression is the element type of the array

#### Well-definedness:

```
\begin{split} &[[\ o.f\ ]] \equiv [[o]] \land o \neq null \\ &[[\ o.*\ ]] \equiv [[o]] \land o \neq null \\ &[[\ T.f\ ]] \equiv true \\ &[[\ T.*\ ]] \equiv true \\ &[[\ a[i]\ ]] \equiv [[a]] \land a \neq null \land [[\ i\ ]] \land [[\ j\ ]] \land 0 \leq i \land j < a.length \\ &[[\ a[i..j]\ ]] \equiv [[a]] \land a \neq null \land [[\ i\ ]] \land [[\ j\ ]] \land 0 \leq i \land j \leq a.length \\ &[[\ a[i:\ j\ ]]\ \equiv [[a]] \land a \neq null \land [[\ i\ ]] \land [[\ j\ ]] \land 0 \leq i \land j \leq a.length \\ &[[\ a[*\ ]]\ \equiv [[a]] \land a \neq null \end{split}
```

A store-ref expression denotes a set of memory locations, that is a \locset (§1).

- A local variable store-ref (v) denotes the location of that (stack) variable
- A field store ref (o.f or f, where the f names a field (that is, this.f) denotes the location of the named field of the object; if the named field is a model field,

the expression denotes the set of all locations that are contained in that model field

- A static field store ref (T.f) denotes the location of the named static field of the class; if the named field is a model field, the expression denotes the set of all locations that are contained in that model field
- A wild-field store-ref (o.\* denotes the set of locations of all the fields of the given object, including all fields of suprtypes, but not static fields.
- A static wild-field store-ref (T.\* denotes the set of locations of all the static fields of the given type (but not static fields of supertypes).
- An array element store-ref (a[i]) denotes the one array-element of the given array
- An array range store-ref (a[i..j]) denotes the locations of the array elements from indices i to j inclusive; if j < i the set is empty
- An array range store-ref (a[i:j]) denotes the locations of the array elements from indices i to j exclusive; if  $j \le i$  the set is empty
- The expressions on either side of .. and : are optional. A missing left operand is 0 and a missing right operand means the range extends through the end of the array.
- A wild-array store-ref (a[\*]) denotes the locations of all of the array elements of the given array

Store-ref expressions serve as literals for  $\locsets$ . In some contexts an expression can be interpreted either as a store-ref expression or as a regular expression with  $\locset$  type. Such situations are disambiguated as a regular expression. If the store-ref expression is desired (that is, the memory location is intended, not the value of what is in the memory location), then the store-ref expression must be wrapped in  $\locset(\ )$ .

The wild-card syntax,  $\circ$ . \*, can be thought of this way: the \* designates a model field containing all the fields of the object including super-class fields. Thought of this way it is natural that all fields are included, whatever their visibility.

Note that the grammar does not allow constructions like o.\*.\*, but it does allow a[\*].f and a[\*][\*].

Allowing the beginning and ending expressions to be optional is syntactic sugar that avoids having to write out expressions like a[0..a.length-1]. It does mean that a[\*], a[..], and a[:] all mean the same thing. They are all retained for historical backwards-compatibility.

JML has always had the . . syntax, which has always meant an inclusive range. This is unfortunate because a half-exclusive range is easier to read and write: a range is divided into subranges by a [0:i], a [i:j], a [i:a.length], rather than having to

insert -1 in various places. But rather than change or deprecate, . . , JMLv2 adds the : half-exclusive range designator.

Questions: Does T.\* include supertype fields? Should there be a syntax for all static fields including supertype fields? Does o.\* include fields of the dynamic type?

Two aspects of the store-ref semantics may need to await further experience. First, is there a need to write a store-ref expression meaning all the static fields of a class, including the static fields of supertypes (perhaps  $\mathtt{T.^*}$ ).

Second, is there a need to express the collection of all the fields of an object, including any fields of its dynamic type?

### 12.6 JML resource expressions

The following expressions enable statements about use of resources — time and memory space — in JML specifications. They are used in conjunction with the duration (§8.4.7) and working\_space (§8.4.8) clauses. They have had very little use and are expected to evolve with more experimentation.

#### 12.6.1 \duration

#### Grammar:

```
<duration-expression> ::= \duration ( <expression> )
```

Type information:

- one argument, an expression of any type, including void
- · well-defined iff the argument is well-defined
- expression has type \bigint

This is feature has not yet had a great deal of use.

The value of a \duration expression is the maximum number of virtual machine cycles needed to evaluate the argument. The argument is not actually executed and need not be pure. However, reasoning about assertions containing \duration expressions is based on the specifications of method calls within the expression, not on their implementation. Consequently, for a \duration expression to be useful, any methods or constructors within its argument must have a duration expression as part of their method specification.

The argument must be an executable expression because different expressions (e.g., method calls with different arguments) may consume different numbers of machine cycles during execution.

One of many issues here is how to abstract the duration of computations. A simple approach might be to just count the number of operations. The approach would depend on whether one just needs an abstract measure of duration or something close to actual time. How abstract is a 'virtual machine cycle'?

What about runtime assertion checking

#### 12.6.2 \working\_space

#### Grammar:

```
<working_space-expression> ::= \working_space ( <expression> )
```

Type information:

- · one argument, of any type, including void
- expression has type \bigint

```
Well-definedness: [[\working\_space(\expression>)]] \equiv [[\expression>]]
```

This is feature has not yet had a great deal of use.

The result of the \working\_space expression is the number of bytes of heap space that would be required to evaluate the argument, if it were executed. The argument is not actually executed and may contain side-effects. That is, if \working\_space (expr) free bytes are available in the system and there are no other concurrent processes or threads executing, then evaluating expr will not cause an OutOfMemory error. Is this last sentence true?

The argument must be an executable expression because different expressions (e.g., method calls with different arguments) may consume different amounts of memory space during execution.

#### 12.6.3 \space

#### Grammar:

```
<space-expression> ::= \space ( <expression> )
```

Type information:

- one argument, of any reference type
- expression has type \bigint

```
Well-definedness: [[\space(<expression>)]] \equiv [[\scalebox{expression}]]
```

This feature has not yet had a great deal of use.

The result of a \space expression is the number of bytes of heap space occupied by the argument. This is a shallow measure of space: it does not include the space required by objects that are referred to by members of the object, just the space to hold the references themselves and any primitive values that are members of the argument.

One of many issues here is how to account for padding for alignment, which would make the analysis platform dependent.

# **Arithmetic modes**

Programming languages use integral and floating-point values of various ranges and precisions. However, often specifications are written and understood as mathematical integer and real values. JML's arithmetic modes allow the choice of using mathematical or machine-precision types for integers and floating-point numbers in specifications. They also allow enabling and disabling warnings about out-of-range operations.

In JML, the type of mathematical integers is expressed as \bigint; the type of mathematical reals is \real.

# 13.1 Integer arithmetic

#### 13.1.1 Integer arithmetic modes

Chalin [20] surveyed programmer expectations and desires and identified three useful integer arithmetic modes:

- Java mode: values belong to one of Java's fixed-bit-length data types; overflows
  and underflows either occur silently or result in undefined values according to
  the rules of Java arithmetic.
- Safe mode: values belong to one of Java's fixed-bit-length data types; overflows and underflows cause static or dynamic warnings.
- Math ('bigint') mode: numeric values are promoted to mathematical types
  prior to arithmetic operations, so arithmetic operations do not result in overflow or underflow warnings; warnings may be issued when values are assigned
  or explicitly cast back into fixed-bit-length variables.

Chalin proposed that most of the time, programmers would like Safe mode semantics for programming language operations and Math mode for specification expressions.

Static checking can reason about these types using usual logics with arithmetic; runtime checking uses <code>java.math.BigInteger</code> to represent <code>\bigint</code>.

JML contains a number of modifiers (§1) and pseudo-functions (§1) to control which mode is operational for a given sub-expression. As would be expected, the innermost mode indicator in scope for a given expression overrides enclosing arithmetic mode indicators. The arithmetic mode can be set separately for the Java source code and the JML specifications.

- the class and method modifiers <code>code\_java\_math</code>, <code>code\_safe\_math</code>, and <code>code\_bigint\_math</code>, and <code>corresponding</code> annotation types <code>@CodeJavaMath</code>, <code>@CodeSafeMath</code>, and <code>@CodeBigintMath</code>, set the default arithmetic mode for all expressions in Java source code within the class or method (unless overridden by a nested mode indicator).
- the class and method modifiers <code>spec\_java\_math</code>, <code>spec\_safe\_math</code>, and <code>spec\_bigint\_math</code>, and <code>corresponding</code> annotation types <code>@SpecJavaMath</code>, <code>@SpecSafeMath</code>, and <code>@SpecBigintMath</code>, set the default arithmetic mode to be used within JML specifications, within the respective class or method.
- Within specification expressions, the operators \java\_math, \safe\_math, and \bigint\_math can be used to locally alter the arithmetic mode. These take one argument, an expression, and set the arithmetic mode for evaluating that expression (unless overridden by a nested arithmetic mode operator); the result of these operators has the type and value of its argument, adjusted for the arithmetic mode.
- the default arithmetic modes for the whole static or dynamic analysis are set by the tool in use (e.g., by command-line options); in the absence of any other setting, the default modes should be safe math for Java code and bigint math for specifications.

The arithmetic mode affects the semantics of these operators:

- arithmetic: unary plus, unary minus, and binary + − \* / %
- shift operations: << >> >>>
- · cast operations

The semantics of these operations in each mode are described in the following sections.

#### 13.1.2 Semantics of Java math mode

Java defines several fixed-precision integral and floating-point data types. In addition JML allows the \bigint and \real data types. The arithmetic and shift operators act on these data types as follows:

• implicit conversion. The operands are individually converted to potentially larger data types as follows:

- if either operand is \real, the other is converted to \real,
- else if one operand is \bigint and the other either double or float, they both are converted to \real,
- else if either operand is double, the other is converted to double,
- else if either operand is float, the other is converted to float,
- else if either operand is \bigint, the other is converted to \bigint,
- else if either operand is long, the other is converted to long,
- else both operands are converted to int.
- the result type of each arithmetic operator is the same as that of its implicitly converted operands
- the result type of a shift operator is the same as its left-hand operand
- double and float operators behave as defined by the IEEE standard
- the unary plus operation simply returns its operand (after implicit conversion)
- the unary minus operation, when applied to the least int or long value will overflow, returning the value of the operand
- binary add, subtract, and multiply operations on int or long values may overflow or underflow; the result is truncated to the number of bits of the result type
- the binary divide operation will overflow when the least value of the type is divided by -1. The result is the least value of the result type.
- the binary modulo operation does not overflow. Note that the sign of the result is the same as the sign of the *dividend*, and that it is always true that x = (x/y) \* y + (x%y) for x and y both int or both long.<sup>1</sup>
- the shift operators apply only to integral values. Note that in Java, x << y == x << (y&n) where n is 31 when x is an int and 63 if x is a long. However, no such adjustment to the shift amount happens when the type is \bigint.
- In narrowing cast operations, the value of the operand is truncated to the number of bits of the given type.
- A divide or modulo operation with the right operand of 0 produces a divideby-zero error

#### 13.1.3 Semantics of Safe math mode

The result of an operation in safe math mode is the same as in Java math mode, except that any out of range value causes a verification error in static or dynamic checking. These warnings are produced in these cases:

<sup>1</sup>https://docs.oracle.com/javase/specs/jls/se7/html/jls-15.html#jls-15.17.3

- · a unary minus applied to the least value of the int or long type
- a binary plus or minus or multiply of integral values where the mathematical result would lie outside the range of the data type
- a divide on integral values where the numerator is the least value of the type and the denominator is -1
- a shift operation in which the right-hand value is negative or is larger than 31 for int values or 63 for long values
- narrowing cast operations on integral values in which the result is not equal to the argument (because of truncation).
- a divide or modulo operation with the right operand of 0

There is one additional nuance of safe math mode. The value of \sum, \prod, and \num\_of quantifiers is computed in bigint mode and then the result is cast to the type of the quantifier expression; if there is an overflow on that cast, a verification warning is given. The result is the same as if the expression were computed in java math mode. No overflow warning happens if intermediate results overflow but the final result is in range. Note though that the default arithmetic mode for specifications is bigint mode, so this situation rarely arises.

#### 13.1.4 Semantics of Bigint math mode

In bigint math mode, all reasoning is performed with each integral value promoted to an infinite-precision mathematical value. Thus there are no warnings issued on arithmetic operations (except divide or modulo by 0). Warnings may be issued when a mathematical value is cast to a fixed-precision programming language type or assigned to a variable of a fixed-precision type.

#### 13.1.5 Arithmetic modes and Java code

Java programs are executed using what in JML is called java-math mode. However, when analyzing a program using JML, safe-math mode is assumed for Java code so that any arithmetic overflows are discovered. Though there are situations in which overflows are intended, that is ordinarily not the case.

JML allows math-mode (bigint-mode) to be stipulated for analysing Java code as well. However the semantics of this mode are not yet defined.

Question: In math mode is it just the operations that are on math types and then casts or writes to variables might trigger warnings; or are all integral data types implicitly bigint and real? - this latter can work for local declarations but not for formal parameters of callees

#### 13.2 Real arithmetic modes

Operations using real numbers are quite straightforward; there are only limited cases (such as divide by zero) for which the results are undefined.

In contrast, floating point (FP) operations are rather complex. JML presumes that FP arithmetic follows the IEEE-754 standard. Results of operations are rounded, so using == is perilous. There are also a positive and negative zero, a positive and negative infinity, and NaN (not-a-number). Furthermore, operations on NaNs are unusual in that NaN == NaN and NaN != NaN are both false and the equals operation on Double and Float values is different than the == operation on double and float values.

It is tempting to treat all Java double and float quantities as real numbers. However, the <code>Double</code> and <code>Float</code> classes define constants for NaN and positive and negative infinity, so some accommodation must be made for these aspects of FP numbers.

Automated reasoning about floating-point and real values is very much an area of research. Encoding such operations in SMT is fairly recent and logical solvers still have difficulty with non-linear arithmetic.

JML defines two floating-point arithmetic modes: fp\_strict and fp\_real.

### 13.2.1 fp\_strict mode

In fp\_strict mode, all operations among double and float quantities have the results that are stipulated by the IEEE-754 standard. Conversions between floating point values and real values are permitted.

When converting from a real value to a floating-point value, the result is the nearest representable floating-point value, or positive or negative infinity if the real value is outside the range of representable FP numbers. Negative zero and NaN are never produced.

In practice, static verification in fp\_strict requires a backend SMT solver that supports reasoning about floating-point arithmetic.

what about: rounding modes, conversion to integer values; strictfp modifier

#### 13.2.2 fp\_real mode

The semantics of this mode need more work

In fp\_real mode, a FP number is modeled as either a NaN, positive infinity, negative infinity, negative zero, or a real number. Most of the time, operations on FP numbers are just operations on corresponding reals, with only the special cases of operations with undefined results needing the special floating point values.

The results of operations using fp\_real are a bit more intuitive than when using precise floating-point, but they are not the same. For example, the product of two

finite real numbers will always produce another finite real number; but the product of two FP numbers may overflow and yield an infinity value.

Safe mode - i.e. overflow and NaN warning

Local change of mode

TBD -reference what is done in ACSL

# **Specification and verification of lambda functions**

TODO: to be written

# **Universe types**

TODO: To be written

# **Model Programs**

Describe the intent, syntax and semantics of model programs

# Specification . jml files

Specifications for a Java class and its members can be placed inline within the Java source file for that class or they can be placed in a parallel specification file. Such a specification file has a .jml extension.

### 17.1 Locating .jml files

A .jml file has the same package designation as its corresponding class. It is up to tools supporting JML to determine where .jml files are stored and how they are retrieved. Typically, however, .jml files are stored in a folder hierarchy corresponding to the package hierarchy, in the same way that .java source files are stored in a file system, with the only difference being the filename extension. The .jml specification files may be stored mixed in with the .java source files or may be stored underneath a different set of package roots. Tools supporting JML will provide means to designate where the specification files are located.

JML allows at most one . jml file per Java class.1

# 17.2 Rules applying to declarations in .jml files

A .jml file is syntactically similar to the corresponding .java file. The form follows the following rules. Every .jml file has a corresponding .java or .class file; where no .java file is available, the .jml file is similar to the .java file that would have been compiled to produce the .class file.

The principle present throughout these rules is that a declaration in a . jml file either (1) corresponds to a declaration in the Java file, having the same name, types, non-

<sup>&</sup>lt;sup>1</sup>In original JML, a sequence of specification files was allowed, each one further refining its predecessor. There were complicated rules about how to combine these specifications. That system is now obsolete and no longer supported; it was complex and not used.

JML modifiers and annotations, or (2) does not correspond to a Java declaration, in which case it must declare a different name. Declarations that correspond to a Java declaration must not be in JML annotations and must not be marked <code>ghost</code> or <code>model</code>; JML declarations that do not correspond to Java declarations must be in JML annotations and must be marked <code>ghost</code> or <code>model</code>.

#### File-level rules

- The .jml file has the same package declaration as the .java file.
- The .jml file may have a different set of import statements and may, in addition, include model import statements.
- The .jml file must include a declaration of the public type (i.e., class or interface) declared in the .java file. It may but need not have JML declarations of non-public types present in the .java class. Any type declared in the .jml file that is not present in the .java file must be in a JML annotation and must have a model modifier.

#### Class declarations

- The JML declaration of a class and the corresponding Java declaration must extend the same superclass, implement the same set of interfaces, and have the same set of Java modifiers and Java annotations. The JML declaration may add additional JML modifiers and annotations.
- Nested and inner class declarations within an enclosing non-model JML class declaration must follow the same rules as file-level class declarations: they must either correspond in name and properties to a corresponding nested or inner Java class declaration or be a model class.
- JML model classes need not have full implementations, as if they were Java declarations. However, if runtime-assertion checking tools are expected to check or use a model class, it must have a compilable and executable declaration.

#### Interface declarations

- The JML declaration of a interface and the corresponding Java declaration must extend the extend the same set of interfaces and have the same set of Java modifiers and Java annotations. The JML declaration may add additional JML modifiers and annotations.
- In Java, fields declared in an interface are always public and static. JML declarations of model fields within an interface may be non-static; the JML instance modifier designates a non-static field.

#### Method declarations

Methods declared in a non-model JML type declaration must either correspond
precisely to a method declared in the corresponding Java type declaration or
be a model method. Correspond precisely means having the same name, same

type arguments, exactly the same argument and return types, and the same set of declared exceptions.

- Methods that correspond to Java methods must not be declared model and must not have a body. They must have the same set of Java modifiers and annotations as the Java declaration, but may add additional JML modifiers, JML annotations, and specifications.
- A Java method of a class or interface need not have a JML declaration (in which case various default specifications might apply).

#### Field declarations

- Fields declared in a non-model JML type declaration must either correspond
  precisely to a field declared in the corresponding Java type declaration or be
  a model or ghost field. Correspond precisely means having the same name
  and type and Java modifiers and annotations. The JML declaration may add
  additional JML modifiers and annotations.
- A JML field declaration that corresponds to a Java field declaration may not be in a JML annotation, may not be model or ghost and must not have an initializer.
- A JML field declaration that does not correspond to a Java field declaration must be in a JML annotation and must be either ghost or model.
- ghost field declarations have the same grammatical form as Java declarations, except that they may use JML types and operators and may refer to names declared in other ghost or model declarations.
- model field declarations have the same grammatical form as Java declarations, except that they may use JML types; they may not have initializers.
- A Java field of a class or interface need not have a JML declaration (in which case various default specifications might apply).

#### **Initializer declarations**

- A Java class may contain declarations of static or instance initializers. A JML redeclaration of a Java class may not have any initializers.
- · A JML model class may have Java initializer blocks.

# 17.3 Combining Java and JML files

The specifications for the Java declarations within a Java compilation unit are determined as follows.

• If there is a . java file and no corresponding . jml file, then the specifications are those present in the . java file.

- If there is a . java file and a corresponding . jml file, then the JML specification present in the . jml file supersedes all of the JML specifications in the . java file, except those within a method body; class, method interface and field specifications in the . java file are ignored, even where there is no method declared in the . jml file corresponding to a method in the . java file.
- If there is no .java file, but there is a .class file and a corresponding .jml file, then the specifications are those present in the .jml file.
- If there is no .java file and no .jml file, only a .class file, then default specifications are used (cf. §10).

When there is a .jml file processing proceeds as follows to match declarations in JML to those in Java. First all matches among type declarations are established recursively:

- Model types contain their own specifications and are not subject to further matching.
- For each non-model type, matches are established for the nested and inner type declarations in the .jml and .java declarations by the same process, recursively.

Then for each pair of matching JML and Java class or interface declarations, matches are established for method and field declarations.

- Field declarations are matched by name. Type-checking assures that declarations with the same name have the same type, modifiers and annotations.
- Method declarations are matched by name and signature. This requires that
  all the processing of import statements and type declarations is complete so
  that type names can be properly resolved.

For each pair of matching declarations, the JML specifications present in the .jml file give the specifications for the Java entity being declared. If there is a .jml file but no match for a particular Java declaration in the corresponding .java file, then that declaration uses default specifications, even if the .java file contains specifications. The contents of the .jml file supersede all the JML contents of the .java file; there is no merging of the files' contents.  $^2$ 

 $<sup>^2</sup> Previous$  definitions of JML did require merging of specifications from multiple files; this requirement added complexity without appreciable benefit. The current design is simpler for tools, with the one drawback that the JML contents of a . java file is silently ignored when a . jml file is present, even if that . jml file does not contain a declaration of a particular entity.

# 17.4 Specifications in method bodies

Specification statements in method bodies are necessarily stated in the . java source file, even if there is a . jml file. Specification statements in method bodies are there to aid the proof of the method's specification and are not part of the method's interface or its specification.

### 17.5 Obsolete syntax

The refine and refines statements are no longer recognized. The previous (complicated) method of finding specification files and merging the specifications from multiple files is also no longer implemented. The only specification file suffix allowed is .jml; the others — .spec, .refines-java, .refines-spec, .refines-jml — are no longer implemented.

In addition, the .jml file is now sought before seeking the .java file; if any corresponding .jml file is found, then any specifications in the .java file are ignored (except those within method bodies). This is a different search algorithm than was previously used.

# Interaction with other tools

# 18.1 Interaction with the Checker framework

To be written

# **Future topics**

There are other topics that are under discussion for JML but are not ready to be "standardized" in this reference manual. Some of them are presented briefly here.

## 19.1 Observational purity

Consider a method that executes a lengthy computation, producing a result that is solely dependent on its input. For better performance, the output value is cached (for the given input) in a private cache. Although this method is not pure, because it changes its internal memory state, it does not change the program state in any way that the rest of the program can detect. This is called observational purity.

Specifying such methods in a way that allows them to be used as pure functions is a matter of research. The topic is mentioned here as a placeholder for future features in JML.

#### 19.2 Termination

Though loop termination is handled well in JML, specifications that enable proofs of termination of recursive calls has yet to be worked out. This relates to the callable and measured\_by clauses, but most importantly, JML needs a workable well-founded metric to use in termination proofs. The sequence-of-values approach used by Dafny would be good inspiration. But also needed is a means of assembling a sufficient callgraph without needing global analysis and without a verbose listing of calls made by each method.

# 19.3 Arithmetic modes for floating point

Java and object-oriented programming are less applied to float-point computations than languages like C and C++. Accordingly, reasoning about floating point and real arithmetic is also not as advanced. Work is needed on how to do such reasoning in the context of JML, JML tools and SMT solvers. Note that SMT solvers have added the native ability to reason about floating point calculations.

### 19.4 Race condition and deadlock detection

Race condition and deadlock detection are aspects of multi-threaded programs. JML does not (yet) have the features to specify such programs.

# Appendix A

# **Summary of Modifiers**

The tables on the following pages summarize where the various Java and JML modifiers may be used, with references to sections in this document.

Note that final modifiers can occur in either Java text or JML text. This allows a specification to declare a Java variable as final, when appropriate, even if the Java program text does not.

			interface	po		ple	
		class	terí	method	field	variable	
JML Keyword	Java annotation	Cl	⊇.	Ē	fie	Va	Status
code_bigint_math	@CodeBigintMath	7.1.5	7.1.5	8.6.8			JML
code_java_math	@CodeJavaMath	7.1.5	7.1.5	8.6.8			JML
code_safe_math	@CodeSafeMath	7.1.5	7.1.5	8.6.8			JML
extract	@Extract			8.6.11			Advanced
final	@Final				9.1.1	9.1.1	Advanced
ghost	@Ghost				9.1.4	9.1.4	JML
helper	@Helper			8.6.6			JML
inline	@Inline			8.6.13			Experimental
instance	@Instance				9.1.7		JML
model	@Model	7.1.1	7.1.1	8.6.4	9.1.5		JML
monitored	@Monitored				9.1.8		Concurrent
no_state	@NoState	3.9 7.1.3	7.1.3	8.6.7			JML
non_null	@NonNull			8.6.2	9.1.2	9.1.2	JML
non_null_by_default	@NonNullByDefault	7.1.2	7.1.2	8.6.3			JML
nullable	@Nullable			8.6.2	9.1.2	9.1.2	JML
nullable_by_default	@NullableByDefault	7.1.2	7.1.2	8.6.3			JML
	@Options()	7.1.4	7.1.4	8.6.10			Experimental
peer	@Peer						Advanced
pure	@Pure	3.9 7.1.3	7.1.3	8.6.1			JML
query	@Query			8.6.14			Experimental
readonly	@Readonly						Advanced
rep	@Rep						Advanced
secret	@Secret				9.1.10		Experimental
spec_bigint_math	@SpecBigintMath	7.1.5	7.1.5	8.6.8			JML
spec_java_math	@SpecJavaMath	7.1.5	7.1.5	8.6.8			JML
spec_protected	@SpecProtected	7.1.6	7.1.6	8.6.5	9.1.3		JML
spec_public	@SpecPublic	7.1.6	7.1.6	8.6.5	9.1.3		JML
spec_pure	@SpecPure	3.9 7.1.3	7.1.3	8.6.1			JML
spec_safe_math	@SpecSafeMath	7.1.5	7.1.5	8.6.8			JML
strictly_pure	@StrictlyPure	3.9 7.1.3	7.1.3	8.6.1			JML
uninitialized	@Uninitialized				9.1.6	9.1.6	JML

Table A.1: Summary of JML modifiers. org.jmlspecs.annotation package.

All Java annotations are in the

Grammatical construct	Java modifiers	JML modifiers
All modifiers	public protected private abstract static final synchronized transient volatile native strictfp	spec_public spec_protected model ghost pure instance helper heap_free non_null_by_default nullable_by_default monitored uninitialized final Type annotations non_null and nullable apply to any type names and levels in array type names.
Class declaration	public final abstract strictfp	pure model spec_public spec_protected non_null_by_default nullable_by_default arithmetic modes
Interface declaration	public strictfp	pure model spec_public spec_protected non_null_by_default nullable_by_default arithmetic modes
Nested Class declaration	public protected private static final abstract strictfp	pure model spec_public spec_protected non_null_by_default nullable_by_default arithmetic modes
Nested interface declaration	public protected private static strictfp	pure model spec_public spec_protected non_null_by_default nullable_by_default arithmetic modes
Local Class (and local model class) declaration	final abstract strictfp	pure model non_null_by_default nullable_by_default arithmetic modes

Grammatical construct	Java modifiers	JML modifiers
Type specification (e.g. invariant)	public protected private static	
Field declaration	public protected private final volatile transient static uninitialized	final instance monitored spec_public spec_protected
Ghost Field declaration	public protected private static final	final instance monitored uninitialized
Model Field declaration	public protected private static	final instance
Method declaration in a class	public protected private abstract final static synchronized native strictfp final	spec_public spec_protected pure helper extract heap_free non_null_by_default nullable_by_default arithmetic modes
Method declaration in an interface	public abstract	spec_public spec_protected pure extract helper heap_free non_null_by_default nullable_by_default arithmetic modes
Constructor declaration	public protected private	spec_public spec_protected helper pure extract heap_free non_null_by_default nullable_by_default arithmetic modes
Model method (in a class or interface)	public protected private abstract static final synchronized strictfp	pure helper extract final heap_free non_null_by_default nullable_by_default arithmetic modes
Model constructor	public protected private	

Grammatical construct	Java modifiers	JML modifiers
Java initialization block	static	non_null_by_default nullable_by_default arithmetic modes
JML initializer and static_initializer annotation	-	non_null_by_default nullable_by_default arithmetic modes
Formal parameter	final	final
Local variable and local ghost variable declaration	final	ghost final uninitialized

# Appendix B

# **Core JML**

To help guide tool development, the features of JML are grouped into various categories:

Core features should be supported by all tools and should be the focus of education,

Advanced features are those needed for practical use and to specify the system library,

Experimental features are the result of research or represent research in progress; they are defined so that all tools will use the same syntax for them, but may well evolve as more experience is gained in their use, and

Concurrent features to support reasoning about concurrency, which is not yet a capability of JML

The following table states the category for each language feature. As context for the reader, the table also lists which features are supported by the two most prominent JML tools (at the time of writing). Note that not all features are necessarily executable in RAC; more details on limitations of tools can be found in the tools' respective documentation. Tools will typically parse and ignore unsupported features.

#### These tables are being edited and are not (yet) settled

The entries in the table have these meanings:

- Core a Core construct
- **Dep.** a Deprecated construct
- Adv. an Advanced construct
- Exp. an Experimental construct
- Conc. a construct for concurrency
- Ext. an extension to JML (not defined as standard)
- — not supported by a given tool
- ullet + supported by a given tool,

# These tables are being edited and are not (yet) settled

### Modifiers

	feature	Category	KeY	OpenJML	Comments
§1	code	Adv.	-	+	
§1	code_bigint_math	Adv.	-	+	
§1	code_java_math	Adv.	-	+	
§1	code_safe_math	Adv.	-	+	but is the default in Core
§1	extract	Exp.	-	-	
§1	ghost	Core	+	+	fields
§1	heap_free	Adv.?	+	+	trial OpenJML extension
§1	helper	Core	+	+	
§1	immutable	Adv.?	-	+	extension?
§1	instance	Core	+	+	
§1	model	Core	+	+	fields, methods
§1	model	Adv.	-	+	classes
§1	monitored	Conc.	?	-	
§1	non_null	Core	+	+	a type modifier
§1	non_null_by_default	Core	+	+	
§1	no_state	Adv.?	+	-	heap-independent model method
§1	nullable	Core	+	+	a type modifier
§1	nullable_by_default	Core	+	+	
§ <b>1</b>	<pre>public private protected</pre>	Core	+	+	for clauses and contracts
§1	peer rep read_only	Adv.	(+)	-	
§1	pure	Core	+		
§1	query secret	Exp.	-	+	observational purity
§1	spec_bigint_math	Adv.	+	+	but is the default in <b>Core</b>
§1	spec_java_math	Adv.	+	+	
§1	spec_protected	Adv.	+	+	
§1	spec_public	Core	+	+	
§1	spec_safe_math	Adv.	-	+	
§1	strictly_pure	Core?	+	-	KeY
§1	two_state	Adv.	+	-	model method with access to \old
§1	uninitialized	Adv.	?	-	
§1	Java annotations instead of modifiers	Adv.	?	+	

### File level features

	feature	Category	KeY	OpenJML	Comments
§1	model imports	Core	+	+	

	feature	Category	KeY	OpenJML	Comments
§1	model classes	Adv.	+	+	

### Class- and field-level features

feature	Category	KeY	OpenJML	Comments
ghost fields	Core	+	+	
model fields	Adv.	+	+	
datagroups	Adv.	+	+	
model methods	Adv.	+	+	
axiom	Adv.	+	+	
constraint	Adv.	+	+	
in	Adv.	?	+	
initially	Adv.	?	+	
initializer	Adv.	?	+	
invariant	Core	+	+	
maps	Adv.	-	+	
monitors_for	Conc.	-	-	
readable_if	Adv.	-	+	
represents	Adv.	+	+	
static_initializer	Adv.	?	+	
writable_if	Adv.	-	+	

# Method specifications

feature	Category	KeY	OpenJML	Comments
accessible	Adv.	+	+	or 'reads'
also	Core	+	+	
assignable	Core	+	+	KeY: also for loops; OpenJML uses loop_writes for loops
behavior	Core	+	+	·
callable	Adv.	-	+	or 'calls'?
captures	Adv.	-	+	
diverges	Adv.	+	+	
determines	Ext.	+	-	information flow
duration	Exp.	?	-	
ensures	Core	+		
exceptional_behavior	Core	+		
forall	Adv.	+	+	
for_example	Adv.Exp.?	-	-	semantics unclear
implies_that	Adv.Exp.?	-	-	semantics unclear
inline	Ext.	-	+	OpenJML: inlines method as its spec
measured_by	Adv.Core?	+	-	needs revision

feature	Category	KeY	OpenJML	Comments
normal_behavior	Core	+	+	
old	Adv.	?	+	
requires	Core	+	+	
signals	Core	+	+	
signals_only	Core	+	+	
when	Conc.	-	-	
working_space	Exp.	-	-	
XXX_free	Adv.	+	-	specification ele- ments w/o justifica- tion
model program	Adv.	-	-	needs discussion
model program block model program clauses:	Exp.	-	+	needs discussion
choose choose_if extract or returns	Adv.	-	-	
<pre>continues breaks {   }</pre>	Adv.	?	+	(nested specs)
JML in Javadoc	Dep.	-	-	

### **Statement specifications**

-	feature	Category	KeY	OpenJML	Comments
§11.1	assert	Core	+	+	
§11.2	assume	Core	+	+	
§C.14	debug	Dep.	-	+	
§C.13	hence_by	Dep.	-	-	
§11.12	reachable	Adv.	-	+	
§11.8	set	Adv.	+	+	
§11.7	unreachable	Adv.	-	+	
§1	ghost label	Core?	?+		
§1	loop_invariant	Core	+	+	
§1	loop_writes	Core	+	+	
§1	(loop) decreases	Core	+	+	
§1	local ghost variables	Core	+	+	
§1	local model classes	Adv.Exp.	?	+	or perhaps forbid?
§1	block contracts	Adv.	+	+	
§1	breaks, continues,	Adv.	+	-	in block contracts
-	returns	Ext.Adv.?			
§1	begin-end markers		-	+	OpenJML
§11.12	check	Adv.?	-	+	OpenJML
§11.12	havoc	Adv.?	-	+	OpenJML
§11.12	inline_loop	Adv.?	-	+	OpenJML
§11.12	show	Core?	-	+	OpenJML
§11.12	split	Ext.	-	+	OpenJML
§11.12	halt	Ext.	-	+	OpenJML

	feature	Category	KeY	OpenJML	Comments
§11.12	use	Ext.	-	+	OpenJML
§11.12	comment	Ext.	-	+	OpenJML

# JML Types

feature	Category	KeY	OpenJML	Comments
\bigint	Core	+	+	
\locset	Adv.	+	+	builtin datatype
\real	Adv.	+	+	
\TYPE	Adv.	-	+	
\string	Adv.	-	+	
\seq, \map, \set, \array	?	+	-	

# **Operators and Expressions**

feature	Category	KeY	OpenJML	Comments
<==>	Core	+	+	
<=!=>	Core	+	+	
==>	Core	+	+	
<==	Dep.	?	?	
• •	Core	+	+	in storeref indexing only
<:	Core	+	+	
<# <#=	Conc.	?	-	
( * *)	Adv.	+	+	
operator chaining	Core	+	+	Only < <= and > >=
\bsum	Adv.?	+	?	
\bigint_math	Adv.	?	+	
\count \index	Core	-	+	\index deprecated in favor of \count
\duration	Exp.	?	-	
\elemtype	?	?	+	
\everything	Core	+	+	
\exception	Ext.	-	+	like \result
\exists	Core	+	+	
\forall	Core	+	+	
\fresh	Core	+	+	
\invariant_for	Core	+	+	
\is_initialized	Adv.	?	-	
\java_math	Adv.	?	+	
\lbl	Adv.	?	+	
\lblpos	Dep.	?	+	

feature	Category	KeY	OpenJML	Comments
\lblneg	Dep.	?	+	
\lockset	Conc.	?	+	
\max (locks)	Conc.	?	-	
\max	Adv.	+	+	
\min	Adv.	+	+	
\new_elems_fresh	Adv.?	+	?	needed for dyn frames
\nonnullelements	Core	+	+	
\nothing	Core	+	+	
\not_assigned	Adv.	?	-	never used, I think
\not_modified	Adv.	?	+	
\num_of	Adv.	+	+	
\old	Core	+	+	w/o label
\old	Core	+	+	w/ label
builtin labels	?	?	+	\LoopInit etc.
\only_accessed	Adv.	?	-	never used, I think
\only_assigned	Adv.	?	-	never used, I think
\only_called	Adv.	?	-	never used, I think
\only_captured	Adv.	?	-	never used, I think
\past	?	?	-	
\pre	Core	?	+	
\product	Adv.	+	+	
\reach	?	?	-	is this still in JML?
\result	Core	+	+	
\safe_math	Adv.	?	+	
\space	Exp.	?	-	
\static_invariant_for	Adv.	+	-	
\strictly_nothing	Ext.?	+	-	KeY
\sum	Adv.	+		
\type	Adv.	-	+	
\typeof	Core	-	+	
\values	Core	+	+	
\working_space	Exp.	?	-	
set comprehension	Adv.	?	-	

### Miscellaneous features

	feature	Category	KeY	OpenJML	Comments
§1	//@	Core	+	+	
§1	/*@ @*/	Core	+	+	
§1	// comments in specs	Core	+	+	
§1	conditional annotations	Core?	?	+	
§1	embedded annotations	Adv.	?	+	

	feature	Category	KeY	OpenJML	Comments
§1	org.jmlspecs.lang	Core	?	+	package automatically imported
§1	redundantly	Adv.	+	+	Typically implemented by ignoring the redundantly suffix
§1	.jml files	Adv.?	?	+	needed for library specs
§1	JML in Javadoc	Dep.	-	-	
§1	nowarn	Dep.	?	+	line annotation
	\dl_	Ext.	+	-	MU: or some other means of tool-spec exts.

# **Appendix C**

# DeprecatedSyntax

The sections below briefly describe the deprecated and replaced features of JML. A feature is deprecated if it is supported in the current release, but slated to be removed from a subsequent release, or if it was commonly known but is now removed altogether. Such features should not be used.

A feature that was formerly deprecated is replaced if it has been removed from JML in favor of some other feature or features. While we do not describe all replaced syntax in this appendix, we do mention a few of the more interesting or important features that were replaced, especially those discussed in earlier papers on JML.

 $Deprecated \ syntax \ might be \ supported \ with \ a \ deprecation \ warning \ by \ some \ tools.$ 

# C.1 Deprecated Annotation Markers

The following lexical syntax for annotation markers is deprecated.

The +-style of JML annotations, that is, JML annotations beginning with //+0 or /\*+0, has been replaced by the annotation-key feature described in §4.1.5.

# C.2 Represents clause syntax using <-

The following syntax for a functional represents-clause is deprecated.

```
<represents-clause> : := <represents-keyword> <store-ref-expression> <- <spec-expression>
;
```

Instead of using the <-, one should use = in such a *<represents-clause>*. See §7.7 for relevant discussion.

### C.3 monitors\_for clause syntax

The following syntax for the monitors-for-clause is deprecated.

```
<monitors-for-clause> ::= monitors_for <ident> <- <spec-expression-list> ;
```

Instead of using the <-, one should use = in such a monitors-for-clause. See §7.14 for the supported syntax.

### C.4 Filename suffixes

The set of file name suffixes supported by JML tools is being simplified. The suffixes '.refines-java', '.refines-spec', '.refines-jml', '.spec', '.java-refined', '.spec-refined', and '.jml-refined' are no longer supported. Instead, one should write specifications in files with the suffixes '.java' and '.jml'. See §3.1 and §17 for details on the use of file names with JML tools.

### C.5 Deprecated weakly modifier

The weakly modifier is not longer supported.

# C.6 refine prefix

The following syntax involving the refine-prefix is deprecated.

Instead of using the refine-prefix in a compilation unit, modern JML tools just use a .jml file that contains any specifications not in the .java file. See §3.1 and §17 for details.

# C.7 reverse-implication (<==) token

The <== token and the reverse-implication expression are deprecated. It was rarely used and a bit confusing. Just reverse the order of the operands and use the ==>

operator instead.

#### C.8 <: in favor of <:=

The <: operator used to represent the improper subtype operation on \TYPE values. But a better spelling is that improper subtype (that is, subtype or equals) be represented by <:= and proper subtype by <:, just like the <= and < comparisons on numbers.

Consequently, <: is deprecated in favor of <:= for improper subtype; <: will be reintroduced later to mean proper subtype.

#### C.9 < as lock-ordering operator

Previously in JML, the lock ordering operators were just the < and <= comparison operators. However, with the advent of auto-boxing and unboxing (implicit conversion between primitive types and reference types) these operators became ambiguous. For example, if a and b are Integer values, then a < b could have been either a lock-ordering comparison or an integer comparison after unboxing a and b. Since the lock ordering is only a JML operator and not Java operator, the semantics of the comparison could be different in JML and Java. To avoid this ambiguity, the syntax of the lock ordering operator was changed and the old form deprecated.

#### C.10 Deprecated \index in favor of \count

The expression \count is the number of times a loop has been completed. \count is preferred to \index because the latter was confused with the value of the loop index.

### C.11 \not\_specified token

The \not\_specified token used as an alternative to a predicate in many clauses is deprecated.

# C.12 nowarn line annotation and \nowarn\_op and \warn\_op functions

The nowarn annotation was used to suppress warnings on the line on which it occurred. Similarly, \nowarn\_op and \warn\_op suppressed or unsuppressed warnings within subexpressions. These were rarely used and created unsound implicit assumptions.

#### C.13 hence\_by

#### statement

The hence\_by statement specification is deprecated. The same purpose is provided by a assume statement. This deprecation is in line with avoiding having proofguiding information in JML, leaving that to tools.

#### C.14 debug statement

The debug statement was similar to the set statement ( $\S11.8$ ), but only executed when the DEBUG key was active ( $\S4.1.5$ ). It is deprecated because it is entirely equivalent to //+DEBUG@ set ...

#### C.15 forall method specification clause

The forall method specification clause is deprecated. It had little to no use and no compelling use cases. Any use one might make of it can be accomplished with an old method specification declaration (§8.4.6) initialized with a \choose expression (§12.5.1.2), as in

```
old T e = (\choose T e; true);
```

#### C.16 constructor, method and field keywords

The constructor, method, and field keywords were intended to help with parsing. However, they are not needed and, in fact, complicate parsing. Accordingly, they have been removed.

#### C.17 \lblpos and \lblneg

These two expressions were rarely used. In addition they are in the category of proof debugging aids rather than program specification per se. Hence they are removed from JML.

### C.18 JMLDataGroup

The JMLDataGroup typename has been replaced by \datagroup (§5.9).

### C.19 Java annotations for specifications

The only Java annotations used in JML are the JML modifiers (e.g. pure and @Pure). Java annotations for clauses, such as @Requires, are removed from JML.

#### C.20 Specifications in JavaDoc

Earlier JML and tools allowed JML specifications to be written inside Java javadoc (/\*\*) comments. This is no longer permitted.

#### C.21 subclassing\_contract

As a note for readers of older papers, the keyword <code>subclassing\_contract</code> was replaced with <code>code\_contract</code>, which is now removed. Instead, one should use a heavyweight specification case with the keyword <code>code</code> just before the behavior keyword, and a precondition of <code>\same</code>.

#### C.22 depends clause

The depends clause has been replaced by the mechanism of data groups and the 'in' and 'maps' clauses of variable declarations.

### **Appendix D**

## **Grammar Summary**

Automatic collection of all of the grammar productions listed elsewhere in the document

```
<compound-jml-comment> ::= <simple-jml-comment>+
<simple-jml-comment> ::=
       <jml-line-comment> | <jml-block-comment>
<jml-line-comment> ::=
       //<jml-comment-marker>
       <jml-annotation-text>
       <line-terminator>
<jml-block-comment ::=</pre>
       /★ <jml-comment-marker>
       <jml-annotation-text-no-blocks>
       <jml-block-comment-end>
<jml-comment-marker> ::=
        ([+|-] < java-identifier>) *@+
in which the Java identifiers must satisfy the rules about keys stated in §4.1.3.
<jml-block-comment-end> ::= @**/
<plain-java-comment> is defined in §3.7 of the JLS, but excludes any character sequence
matching a < compound-jml-comment>
<java-identifier> is defined in §3.8 of the JLS (and excludes any <reserved-word>)
<jml-annotation-text-no-blocks> ::=
        ( <identifier>
      | <reserved-word>
      | teral>
      | <operator>
      | <separator>
```

```
| < java-white-space>
      | <jml-line-comment>
      | <plain-java-comment>
      | < jml-line-terminator>
) *
<jml-line-terminator> ::=
                                 | line-terminator>
      | <jml-white-space>
<jml-annotation-text> ::=
      | < jml-annotation-text-no-blocks>
      | < jml-block-comment>1
<identifier> ::= <java-identifier> | <jml-identifier>
<jml-identifier> ::= [\] <java-identifier>
Note that users cannot define new <jml-identifier>s and all <jml-identifier>s currently defined
in JML are purely alphabetic and ASCII after the backslash.
<reserved-word> is defined in §3.9 of the JLS
teral> is defined in §3.10 of the JLS
<operator> ::= <java-operator> | <jml-operator>
<java-operator> is defined in §3.12 of the JLS
<jml-operator> ::= .. | ==> | <=!=> | <: | <: | <# | <#=</pre>
<separator> ::= <java-separator> | <jml-separator>
<java-separator> is defined in §3.11 of the JLS
<jml-separator> ::= {| | | }
<java-white-space> is defined in §3.6 of the JLS
<jml-white-space> ::=
       line-terminator> <java-white-space>? [@]+
within a <jml-block-comment>
line-terminator> is defined in §3.4 of the JLS
<jml-datatype> ::= <modifiers> datatype [ <typeargs> ] {
      <dt-constructor> ... [ ; <dt-method>+ ]
<dt-constructor> ::=
      <ident> ( <formal> ... )
<dt-method> ::=
<invariant-clause> ::= invariant <opt-name>  cate> ;
```

<sup>&</sup>lt;sup>1</sup>This *<jml-block-comment>* may not include any line terminators.

```
<constraint-clause> ::= constraint <opt-name>  <predicate> ;
<ghost-field-declaration> ::=
      qhost <opt-name> <jml-field-declaration>
<model-field-declaration> ::=
      model <opt-name> <jml-field-declaration>
<represents-clause> ::= [ static ] <represents-keyword> <ident>
     ( = <jml-expression> ;
     \such_that predicate> ;
     )
<represents-keyword> ::= represents | represents_redundantly
<static-initializer-block> ::= <specification-cases> static <block>
<static-initializer> ::= <specification-cases> static_initializer
<instance-initializer> ::= <specification-cases> initializer
<axiom-clause> ::= axiom <opt-name> <predicate> ;
<readable-if-clause> ::= readable <ident> if <jml-expression> ;
<writable-if-clause> ::= writable <ident> if <jml-expression> ;
<monitors-for-clause> ::=
      monitors_for <ident> = <jml-expression> ...;
<method-spec> ::= ( also ) ? <behavior-seq>
                   ( also implies_that <behavior-seq> ) ?
                   ( also for_example <behavior-seq> )?
<behavior-seq> ::= <behavior> ( also <behavior> ) *
<behavior> ::=
    ( <java-visibility> ( code ) ? <behavior-id>) ? <clause-seq>
   | <java-visibility> ( code )? <model-program>
<java-visibility> ::= ( public | protected | private )?
<behavior-id> ::=
      behavior | normal_behavior | exceptional_behavior
    | behaviour | normal_behaviour | exceptional_behaviour
<clause-seq> ::= ( <clause> | <nested-clause> ) *
```

```
<clause> ::=
         <invariants-clause>
                                     §??
       <reguires-clause>
                                     $8.3.1
        | <old-clause>
                                      $8.4.6
                                     $8.3.3
        | <writes-clause>
       <reads-clause>
                                     $8.4.2
       <callable-clause>
                                     $8.4.9
       <ensures-clause>
                                      $8.3.2
       | <signals-clause>
                                     $8.3.4
       | <signals-only-clause> $8.3.5
       | <diverges-clause> $8.4.3
| <measured-by-clause> $8.4.4
       <when-clause>
                                     $8.4.5
       <duration-clause>
                                     $8.4.7
        | <working-space-clause> §??
        | <captures-clause>
                                     $8.4.10
        <method-program-block>
 <nested-clause> ::=
                                       $8.1.2
        {| ( <clause-seg> ( also <clause-seg> ) * )? |}
<requires-clause> ::= requires <opt-name> <jml-expression>
        [ else <qual-ident> ];
<ensures-clause> ::= ensures <opt-name> <jml-expression> ;
<signals-clause> ::=
    signals <opt-name> ( <name> [ <ident>] ) <jml-expression> ;
<signals-only-clause> ::=
    signals_only <opt-name> ( \nothing | <name> ( , <name> ) * );
<name> ::= <ident> ( . <ident> ) *
<recommends-clause> ::= requires <opt-name> <jml-expression>
       else <qual-ident> ;
<diverges-clause> ::= diverges <opt-name> <jml-expression> ;
<when-clause> ::= <when-keyword> <opt-name> <jml-expression> ;
<when-keyword> ::= when | when_redundantly
<old-clause> ::= old <jml-var-decl>
<duration-clause> ::= <duration-keyword> <opt-name> <expression>
        [ if <predicate> ] ;
<duration-keyword> ::= duration | duration_redundantly
<working-space-clause> ::=
   <working-space-keyword> <opt-name>                                                                                                                                                                                                                                                                                                                                               <p
        [ if <expression> ];
```

```
<working-space-keyword> ::= working_space
     |working_space_redundantly
<callable-clause> ::= callable <opt-name>
     (\nothing
     <method-signature> ( , <method-signature> ) +
     );
<method-signature> ::= [ <type-name>. ] <java-identifier>
     [ ( <type-name> ... )
<captures-clause> ::=
   <captures-keyword> <opt-name> <expression> ... ;
<captures-keyword> ::= captures | captures_redundantly
<in-clause> ::= in <ident> ... ;
<maps-clause> ::= maps <storeref> \into <identifier> ... ;
 <jml-statement> ::=
       <jml-assert-statement>
                                    §11.1
      < jml-assume-statement>
                                    §11.2
                                   §11.3
      <jml-local-variable>
      < jml-local-class>
                                   §11.4
      <jml-ghost-label>
                                   §11.5
      | < jml-unreachable-statement> §11.7
      <jml-set-statement> §11.8
      <pre
                                   §??
      <jml-refining-specification> §??
<jml-assert-statement> ::=
      <assert-keyword> <opt-name> <jml-expression> ;
<assert-keyword> ::= assert | assert_redundantly
<jml-assume-statement> ::=
      <assume-keyword> <opt-name> <jml-expression> ;
<assume-keyword> ::= assume | assume_redundantly
<jml-local-variable> ::=
      ghost <modifier>* <decl-type> <identifier>
             [ = <jml-expression> ];
<jml-local-class> ::= model <class-declaration>
<jml-qhost-label> ::= <java-identifier> :[ { } | ; ]
<jml-unreachable-statement> ::=
      unreachable <opt-name> [ ; ]
<iml-set-statement> ::=
      set <opt-name> <java-statement>
<statement-specification> ::= refining <behavior-seq>
```

```
<begin-end> ::= begin | end
<jml-expression> ::=
       <conditional-expression>
                                      $12.4.1
      | <quantified-expression>
                                      §12.5.1
      <lambda-expression>
                                      §12.5.3
      | <assignment-expression>
                                      §12.4.2
      </pre
<iml-infix-expression> ::=
       <jml-binary-expression>
                                      §??
      </pre
                                      §??
<jml-prefix-expression> ::=
                                      §12.4.3
       <jml-unary-expression>
                                      $12.4.4
       <jml-cast-expression>
      <jml-postfix-expression> ::=
      <dot-expression>
                                      §12.4.7
      | <array-expression>
                                       $12.4.8
      </pre
<jml-primary-expression> ::=
                                      §12.5.10
      <result-expression>
      <exception-expression>
                                       §12.5.11
     <informal-expression>
                                       §12.5.18
     <old-expression>
                                       §12.5.14
     <nonnullelements-expression>
                                     §12.5.16
     <fresh-expression>
                                      §12.5.15
     <type-expression>
                                       §12.5.19
      <typeof-expression>
                                       §12.5.20
                                       §12.5.21
      <elemtype-expression>
      | <invariant-for-expression>
                                      §12.5.23
      <static-invariant-for-expression> §12.5.24
                                      §12.5.22
      <is-initialized-expression>
Missing some - check the list
     < java-math-expression>
                                      §12.5.17
      | <safe-math-expression>
                                      §12.5.17
                                      §12.5.17
      | <bigint-math-expression>
      <duration-expression>
                                       §12.6.1
      <working-space-expression>
                                           §??
      <space-expression>
                                        §12.6.3
<conditional-expression> ::=
      <jml-infix-expression> ? <jml-expression> : <jml-expression>
<assignment-expression> ::=
      <jml-postfix-expression> <assign-op> <jml-expression>
```

```
<assign-op> ::= = |+= |-= |*= |/= |=
<unary-expression> ::= [ ! | gterm- ] <unary-expression>
<cast-expression> ::= ( <type-name> ) <jml-expression>
<array-expression> ::= <postfix-expression> [ <expression> ]
<parenthesized-expression> ::= ( <jml-expression> )
<quantified-expression> ::=
      <quantifier> <type-name> <java-identifier> ;
      [ [ <jml-expression> ]; ] <jml-expression>
<quantifier> ::=
      \forall | \exists | \choose
     |\num_of | \sum | \product | \max | \min
<jml-infix-expression> ::=
<jml-prefix-expression> ( <binop> <jml-prefix-expression> ) *
<chained-expression> ::=
      <expression> ( [<|<=] <expression> ) +
     | <expression> ( [>| >=] <expression> ) +
<implies-expression> ::= <expression> ==> <expression>
<equiv-expression> ::=
      <expression> <==> <expression>
     | <expression> <=!=> <expression>
<subtype-expression> ::=
      <expression> <:= <expression>
     | <expression> <: <expression>
<lockorder-expression> ::=
      <expression> <#= <expression>
     | <expression> <# <expression>
<result-expression> ::= \result
<exception-expression> ::= \exception
<count-expression> ::= \count | \index
<values-expression> ::= \values
<old-expression> ::=
      ( \old( <expression> ( , <label> )? )
      ( \pre( <expression> )
```

```
( \past( <expression> )
<label> ::= <id>
<fresh-expression> ::=
      \fresh( <expression> [ , <java-identifier> ])
<nonnullelements-expression> ::=
      \nonnullelements ( <expression> )
<arithmetic-mode-expression> ::=
      <arithmetic-mode-name> ( <expression> )
<arithmetic-mode-name> ::= \java_math | \safe_math | \bigint_math
<informal-expression> ::= (* .* *)
<type-expression> ::=
      \type( <jml-type-expression> )
<typeof-expression> ::=
      \typeof ( <expression> )
<elemtype-expression> ::=
      \elemtype ( <expression> )
<is-initialized-expression> ::=
      \is_initialized ( <type-name> ... )
     \is_initialized ( )
<invariant-for-expression> ::=
      \invariant_for ( <expression> )
<static-invariant-for-expression> ::=
      \static_invariant_for ( <type-name> )
<not-modified-expression> ::=
      \not_modified ( <store-ref-expression> ... )
     \not_modified ( )
<not-assigned-expression> ::=
      \not_assigned ( <store-ref-expression> ... )
<only-assigned-expression> ::=
      \only_assigned ( <store-ref-expression> ... )
<only-accessed-expression> ::=
      \only_accessed ( <store-ref-expression> ... )
<only-captured-expression> ::=
      \only_captured ( <store-ref-expression> ... )
<only-called-expression> ::=
      \only_called ( <method-signature> ... )
```

```
<locset-expression> ::=
       \lockset
      \max ( <expression> )
<reach-expression> ::= \reach ( <jml-expression> )
<duration-expression> ::= \duration ( <expression> )
<working_space-expression> ::= \working_space ( <expression> )
<space-expression> ::= \space ( <expression> )
<key-expression> ::= \key( <string-literal> )
<lbl-expression> ::=
          ( ( \lb1 <id> <expression> ) )
       ( ( \lblpos <id> <expression> )
       ( ( \lblneg <id> <expression> ) )
<compound-jml-comment> ::= <simple-jml-comment>+
<simple-jml-comment> ::=
       <jml-line-comment> | <jml-block-comment>
<jml-line-comment> ::=
       //<jml-comment-marker>
       <jml-annotation-text>
       <line-terminator>
<jml-block-comment ::=</pre>
       /★ <jml-comment-marker>
       <jml-annotation-text-no-blocks>
       <jml-block-comment-end>
<jml-comment-marker> ::=
       ([+|-]<java-identifier>)*@+
in which the Java identifiers must satisfy the rules about keys stated in §4.1.3.
<jml-block-comment-end> ::= @**/
<plain-java-comment> is defined in §3.7 of the JLS, but excludes any character sequence
matching a < compound-jml-comment>
<java-identifier> is defined in §3.8 of the JLS (and excludes any <reserved-word>)
<jml-annotation-text-no-blocks> ::=
       ( <identifier>
      | <reserved-word>
      | teral>
      | <operator>
      | <separator>
      | < java-white-space>
      | <iml-line-comment>
```

```
| <plain-java-comment>
      | <jml-line-terminator>
) *
<jml-line-terminator> ::=
                                   | line-terminator>
      | < jml-white-space>
<jml-annotation-text> ::=
      | < jml-annotation-text-no-blocks>
      |<jml-block-comment>^2
<identifier> ::= <java-identifier> | <jml-identifier>
<jml-identifier> ::= [\]<java-identifier>
Note that users cannot define new < iml-identifier>s and all < iml-identifier>s currently defined
in JML are purely alphabetic and ASCII after the backslash.
<reserved-word> is defined in §3.9 of the JLS
teral> is defined in §3.10 of the JLS
<operator> ::= <java-operator> | <jml-operator>
<java-operator> is defined in §3.12 of the JLS
<jml-operator> ::= .. | ==> | <=!=> | <: | <: | <# | <#=</pre>
<separator> ::= <java-separator> | <jml-separator>
<java-separator> is defined in §3.11 of the JLS
<jml-separator> ::= {| | | }
<java-white-space> is defined in §3.6 of the JLS
<jml-white-space> ::=
        <line-terminator> <java-white-space>? [@]+
within a < jml-block-comment>
line-terminator> is defined in §3.4 of the JLS
<jml-datatype> ::= <modifiers> datatype [ <typeargs> ] {
      <dt-constructor> ... [ ; <dt-method>+ ]
      }
<dt-constructor> ::=
       <ident>
      | <ident> ( <formal> ... )
<dt-method> ::=
<invariant-clause> ::= invariant <opt-name>    ;
<constraint-clause> ::= constraint <opt-name> <predicate> ;
```

<sup>&</sup>lt;sup>2</sup>This *<jml-block-comment>* may not include any line terminators.

```
<ghost-field-declaration> ::=
      ghost <opt-name> <jml-field-declaration>
<model-field-declaration> ::=
      model <opt-name> <jml-field-declaration>
<represents-clause> ::= [ static ] <represents-keyword> <ident>
     ( = <jml-expression> ;
     \such_that cate> ;
<represents-keyword> ::= represents | represents_redundantly
<static-initializer-block> ::= <specification-cases> static <block>
<static-initializer> ::= <specification-cases> static_initializer
<instance-initializer> ::= <specification-cases> initializer
<axiom-clause> ::= axiom <opt-name> <predicate> ;
<readable-if-clause> ::= readable <ident> if <jml-expression> ;
<writable-if-clause> ::= writable <ident> if <jml-expression> ;
<monitors-for-clause> ::=
      monitors_for <ident> = <jml-expression> ...;
<method-spec> ::= ( also )? <behavior-seq>
                   ( also implies_that <behavior-seq> )?
                   ( also for_example <behavior-seq> ) ?
<behavior-seq> ::= <behavior> ( also <behavior> ) *
<behavior> ::=
    ( <java-visibility> ( code ) ? <behavior-id>) ? <clause-seq>
  | <java-visibility> ( code )? <model-program>
<java-visibility> ::= ( public | protected | private )?
<behavior-id> ::=
      behavior | normal_behavior | exceptional_behavior
    | behaviour | normal_behaviour | exceptional_behaviour
<clause-seq> ::= ( <clause> | <nested-clause> ) *
```

```
<clause> ::=
         <invariants-clause>
                                     §??
       <reguires-clause>
                                     $8.3.1
        | <old-clause>
                                      $8.4.6
                                     $8.3.3
        | <writes-clause>
       <reads-clause>
                                     $8.4.2
       <callable-clause>
                                     $8.4.9
       <ensures-clause>
                                      $8.3.2
       | <signals-clause>
                                     $8.3.4
       | <signals-only-clause> $8.3.5
       | <diverges-clause> $8.4.3
| <measured-by-clause> $8.4.4
       <when-clause>
                                     $8.4.5
       <duration-clause>
                                     $8.4.7
        | <working-space-clause> §??
        | <captures-clause>
                                     $8.4.10
        <method-program-block>
 <nested-clause> ::=
                                       $8.1.2
        {| ( <clause-seg> ( also <clause-seg> ) * )? |}
<requires-clause> ::= requires <opt-name> <jml-expression>
        [ else <qual-ident> ];
<ensures-clause> ::= ensures <opt-name> <jml-expression> ;
<signals-clause> ::=
    signals <opt-name> ( <name> [ <ident>] ) <jml-expression> ;
<signals-only-clause> ::=
    signals_only <opt-name> ( \nothing | <name> ( , <name> ) * );
<name> ::= <ident> ( . <ident> ) *
<recommends-clause> ::= requires <opt-name> <jml-expression>
       else <qual-ident> ;
<diverges-clause> ::= diverges <opt-name> <jml-expression> ;
<when-clause> ::= <when-keyword> <opt-name> <jml-expression> ;
<when-keyword> ::= when | when_redundantly
<old-clause> ::= old <jml-var-decl>
<duration-clause> ::= <duration-keyword> <opt-name> <expression>
        [ if <predicate> ] ;
<duration-keyword> ::= duration | duration_redundantly
<working-space-clause> ::=
   <working-space-keyword> <opt-name>                                                                                                                                                                                                                                                                                                                                               <p
        [ if <expression> ];
```

```
<working-space-keyword> ::= working_space
     |working_space_redundantly
<callable-clause> ::= callable <opt-name>
     (\nothing
     <method-signature> ( , <method-signature> ) +
     );
<method-signature> ::= [ <type-name>. ] <java-identifier>
     [ ( <type-name> ... )
<captures-clause> ::=
   <captures-keyword> <opt-name> <expression> ... ;
<captures-keyword> ::= captures | captures_redundantly
<in-clause> ::= in <ident> ... ;
<maps-clause> ::= maps <storeref> \into <identifier> ... ;
 <jml-statement> ::=
       <jml-assert-statement>
                                    §11.1
      < jml-assume-statement>
                                    §11.2
                                   §11.3
      <jml-local-variable>
      < jml-local-class>
                                   §11.4
      <jml-ghost-label>
                                   §11.5
      | < jml-unreachable-statement> §11.7
      <jml-set-statement> §11.8
      <pre
                                   §??
      <jml-refining-specification> §??
<jml-assert-statement> ::=
      <assert-keyword> <opt-name> <jml-expression> ;
<assert-keyword> ::= assert | assert_redundantly
<jml-assume-statement> ::=
      <assume-keyword> <opt-name> <jml-expression> ;
<assume-keyword> ::= assume | assume_redundantly
<jml-local-variable> ::=
      ghost <modifier>* <decl-type> <identifier>
             [ = <jml-expression> ];
<jml-local-class> ::= model <class-declaration>
<jml-qhost-label> ::= <java-identifier> :[ { } | ; ]
<jml-unreachable-statement> ::=
      unreachable <opt-name> [ ; ]
<iml-set-statement> ::=
      set <opt-name> <java-statement>
<statement-specification> ::= refining <behavior-seq>
```

```
<begin-end> ::= begin | end
<jml-expression> ::=
       <conditional-expression>
                                      $12.4.1
      | <quantified-expression>
                                      §12.5.1
      <lambda-expression>
                                      §12.5.3
      | <assignment-expression>
                                      §12.4.2
      </pre
<iml-infix-expression> ::=
       <jml-binary-expression>
                                      §??
      </pre
                                      §??
<jml-prefix-expression> ::=
                                      §12.4.3
       <jml-unary-expression>
                                      $12.4.4
       <jml-cast-expression>
      <jml-postfix-expression> ::=
                                      §12.4.7
      <dot-expression>
      | <array-expression>
                                       $12.4.8
      </pre
<jml-primary-expression> ::=
                                      §12.5.10
      <result-expression>
      <exception-expression>
                                       §12.5.11
     <informal-expression>
                                      §12.5.18
     <old-expression>
                                       §12.5.14
     <nonnullelements-expression>
                                     §12.5.16
     <fresh-expression>
                                      §12.5.15
     <type-expression>
                                      §12.5.19
      <typeof-expression>
                                       §12.5.20
      <elemtype-expression>
                                       §12.5.21
      | <invariant-for-expression>
                                      §12.5.23
      <static-invariant-for-expression> §12.5.24
                                     §12.5.22
      <is-initialized-expression>
Missing some - check the list
     < java-math-expression>
                                      §12.5.17
      | <safe-math-expression>
                                      §12.5.17
                                      §12.5.17
      | <bigint-math-expression>
      <duration-expression>
                                       §12.6.1
      <working-space-expression>
                                          §??
      <space-expression>
                                        §12.6.3
<conditional-expression> ::=
      <jml-infix-expression> ? <jml-expression> : <jml-expression>
<assignment-expression> ::=
      <jml-postfix-expression> <assign-op> <jml-expression>
```

```
<assign-op> ::= = |+= |-= |*= |/= |=
<unary-expression> ::= [ ! | gterm- ] <unary-expression>
<cast-expression> ::= ( <type-name> ) <jml-expression>
<array-expression> ::= <postfix-expression> [ <expression> ]
<parenthesized-expression> ::= ( <jml-expression> )
<quantified-expression> ::=
      <quantifier> <type-name> <java-identifier> ;
      [ [ <jml-expression> ]; ] <jml-expression>
<quantifier> ::=
      \forall | \exists | \choose
     |\num_of | \sum | \product | \max | \min
<jml-infix-expression> ::=
<jml-prefix-expression> ( <binop> <jml-prefix-expression> ) *
<expression> ::=
      <expression> ( [<|<=] <expression> ) +
     | <expression> ( [>| >=] <expression> ) +
<expression> ::= <expression> ==> <expression>
<expression> ::=
      <expression> <==> <expression>
     | <expression> <=!=> <expression>
<expression> ::=
      <expression> <:= <expression>
     | <expression> <: <expression>
<expression> ::=
      <expression> <#= <expression>
     | <expression> <# <expression>
<result-expression> ::= \result
<exception-expression> ::= \exception
<count-expression> ::= \count | \index
<values-expression> ::= \values
<old-expression> ::=
      ( \old( <expression> ( , <label> )? )
      ( \pre( <expression> )
```

```
( \past( <expression> )
<label> ::= <id>
<fresh-expression> ::=
      \fresh( <expression> [ , <java-identifier> ])
<nonnullelements-expression> ::=
      \nonnullelements ( <expression> )
<arithmetic-mode-expression> ::=
      <arithmetic-mode-name> ( <expression> )
<arithmetic-mode-name> ::= \java_math | \safe_math | \bigint_math
<informal-expression> ::= (* .* *)
<type-expression> ::=
      \type( <jml-type-expression> )
<typeof-expression> ::=
      \typeof ( <expression> )
<elemtype-expression> ::=
      \elemtype ( <expression> )
<is-initialized-expression> ::=
      \is_initialized ( <type-name> ... )
     \is_initialized ( )
<invariant-for-expression> ::=
      \invariant_for ( <expression> )
<static-invariant-for-expression> ::=
      \static_invariant_for ( <type-name> )
<not-modified-expression> ::=
      \not_modified ( <store-ref-expression> ... )
     \not_modified ( )
<not-assigned-expression> ::=
      \not_assigned ( <store-ref-expression> ... )
<only-assigned-expression> ::=
      \only_assigned ( <store-ref-expression> ... )
<only-accessed-expression> ::=
      \only_accessed ( <store-ref-expression> ... )
<only-captured-expression> ::=
      \only_captured ( <store-ref-expression> ... )
<only-called-expression> ::=
      \only_called ( <method-signature> ... )
```

### **Appendix E**

### **TODO**

Be sure (or perhaps) to talk about

- \same
- Throwables in signals clauses, particularly AssertionError
- switch statements with strings
- · switch expressions
- · yield statements
- · modules and specifications
- var declarations (type inference)
- Java 17 pattern matching switch statements
- · pattern matching instanceof
- text blocks
- records
- sealed and hidden classes?
- JEP 390: Warnings for Value-Based Classes
- enum types
- · default specs for binary classes
- datagroups, JML.\* utility functions, @Requires-style annotations. arithmetic modes, universe types
- visibility in JML
- Sorted First-order-logic

APPENDIX E. TODO 226

 $\bullet$  individual subexpressions; optional expression form; optimization; usefulness for tracing

- RAC vs. ESC
- nomenclature
- · lambda expressions
- Specification of subtypes cf Clyde Ruby's dissertation and papers
- immutable types
- recommends-else
- How to specify lambda functions
- naming and operations of \locset
- other primitive types

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