# APPLICATION FORM

To: Open Mobile Alliance (the "Company") 2907 Shelter Island Drive Suite 105-273 San Diego, California 92106 USA

A copy of this application may be sent electronically to: <a href="mailto:snewberry@omaorg.org">snewberry@omaorg.org</a>. Alternatively, the application may be electronically signed by executing the forms at the following link:

From: Applicant as stated below ("Prospective Member")

For the attention of the Board of Directors of the Company and to each member of the Company as at the date of this application and from time to time

In consideration of the admission of Prospective Member to the Company, Prospective Member hereby agrees as follows:

Prospective Member, by submitting this Application Form, hereby applies for membership of the Company, on and subject to the Company's Articles of Incorporation, Bylaws, the Application Form and its Schedule to the Application Form, and guidelines and policies as in effect from time to time ("Corporate Documents").

Prospective Member hereby understands and agrees that the Corporate Documents may be revised by the Board of Directors of the Company, in its absolute discretion, and new guidelines and policies may be adopted. The Company will post all such changes or additions to its Corporate Documents to its Web site and send a notice to its members of such changes or additions to its Corporate Documents. If dissatisfied with any such changes or additions, a member may protest such changes or additions. If the Company declines to address or respond to such objections, Prospective Member hereby acknowledges and agrees that its sole and exclusive remedy is to resign its membership and to forfeit its remaining membership fees for the year.

Prospective Member hereby understands and agrees that breach of any of the obligations contained in the Corporate Documents, as from time to time revised, (including failure to pay any fees owing) may result in suspension or termination of membership rights in accordance with the Bylaws of the Company.

Prospective Member agrees to pay when due all fees that are applicable to it, including the annual membership fee for its class of membership. Please refer to the OMA Web site for the current level of membership fees payable by each class of membership.

Prospective Member, upon admission to the Company, agrees that the Company shall have a limited, non-exclusive, fully-paid license to use Prospective Member's name and any logo that Prospective Member provides to the Company to identify Prospective Member as a member of the Company.

Agreed to by:	
Prospective Member's Company Name	
Signature of Authorized Person of Prospective Member	
Print Name	
Date	
REGISTRATION DETAILS	
Full name(s) and address of the Prospective Member:	
Name of the Prospective Member contact person:	
Business address of contact person:	
Telephone number of contact person:	
Fax number of contact person:	
E-mail address of contact person:	
Company website address:	

### CLASS OF MEMBERSHIP

Please mark only one below to designate your class of membership.
Strategic Member
Essential Member
Associate Member
Note: Associate Members have more limited rights than Strategic Members and Essential Members. Details of the respective rights of Strategic Members, Essentia Members, and Associate Members are set out in the Company's Bylaws (available on the Web site).
By way of summary:

Associate Members are entitled to participate in OMA Test Events and to have access to and make comments on draft specifications. In addition, Associate Members are entitled to participate in Working Groups, to receive notice of and attend Working Group meetings. Associate Members shall have the right to speak or vote at any meeting where any resolution is to be proposed abrogating, varying or modifying any of the rights or privileges of the Associate Members or for winding up the Company.

In addition to the above, Strategic Members and Essential Members may nominate and vote for individuals as Elected Directors and shall be entitled to approve specifications as Specifications of the Company. Both Strategic Members and Essential Members shall be entitled to receive notice of, attend and vote at general meetings of the Company.

In addition Strategic Members are entitled for so long as they remain members of the Company and always subject to the Company's Bylaws to nominate a Strategic Director to represent them on the Board.

# OPEN MOBILE ALLIANCE (the "Company") SCHEDULE TO THE APPLICATION FORM

Each person by whom, or on whose behalf, an Application Form is executed and accepted, irrevocably agrees and undertakes, by such execution, that:

### 1. Definitions

- 1.1. words and expressions defined in the Company's Bylaws (as amended from time to time) shall have the same meanings in this Schedule (as from time to time revised);
- 1.2. any reference to a "member" in paragraphs 3 (except in paragraphs 3.4.5, 3.6, 3.7 and 3.8) and 5 shall be deemed to include a reference to an Affiliate of such member except where any such Affiliate is itself an Associate Member;
- 1.3. any reference to the person executing this Application Form in paragraph 5 (except in paragraphs 5.3.2, 5.3.3 and 5.11) shall be deemed to include a reference to an Affiliate of such person except where any such Affiliate is itself an Associate Member:

### 2. Fees

- 2.1. it will pay such fees, both following an application for membership and subsequently at such times during each year of membership, as may be determined by the Company, in its absolute discretion, from time to time. (Details of the relevant fees will be available from the Company on application to the office);
- 2.2. no refund of any such fees as are referred to in paragraph 2.1 above shall be made if, at any time, it ceases to be a member;
- 2.3. if it ceases to be a member, it shall nonetheless remain liable for all fees due to the Company remaining unpaid at the date of cessation of membership; and
- 2.4. except as may otherwise be agreed or determined in accordance with the Company's Bylaws, it will be responsible for all expenses and other costs incurred by it or by any of its representatives or personnel (including those who may act as Directors of the Company) in connection with the Company and its activities;

### 3. Confidential Information

3.1. it shall keep confidential all information of whatever kind and contained in whatever media given, disclosed or supplied by any other member of the Company or otherwise by or to the Company for the purpose of promoting, assisting or furthering the objects of the Company and all documents or other material produced by or for any such member or the Company which contains or reflects such information ("Confidential Information"). However, information shall not be regarded as constituting Confidential Information unless it is clearly designated, labelled or marked as confidential or its equivalent at the time of disclosure or is otherwise disclosed in circumstances or on terms such that there is a clear implication that it is confidential:

- 3.2. it may not disclose any Confidential Information except to:
- 3.2.1. the directors, officers or employees of it or its Affiliates or any other member who or which are directly involved in activities which promote, assist or further the objects of the Company and who need to know the same in connection with such activities;
- 3.2.2. its or its Affiliates' professional advisers or those of any other member;
- 3.2.3. any other party only if the owner of the Confidential Information to be disclosed has given its prior written consent;
- 3.3. it will use the same degree of care for each item of Confidential Information obtained from any other member or the Company as it would for its own Confidential Information of like importance and will otherwise use reasonable care in safeguarding against disclosure of any Confidential Information of any other member or the Company; and
- 3.4. the obligations of confidentiality in this paragraph 3 shall cease to apply to each item of Confidential Information five (5) years after such item of Confidential Information has been given, disclosed or supplied and in any event shall not apply to Confidential Information:
- 3.4.1. which ceases to be confidential as a result of disclosure by someone other than it or any other member of the Group of which it is part or any of its directors, officers, employees, agents or advisers (or those of any other member of the Group of which it is part); or
- 3.4.2. to the extent its disclosure is required by law or the rules or regulations of any stock exchange or other regulatory body; or
- 3.4.3. if it otherwise becomes available to the public without its breach of the obligations contained in this paragraph 3;
- 3.4.4. if it is released in writing by the disclosing member or the Company without any restriction:
- 3.4.5. if it is lawfully obtained from a third party who is neither a member of the Company nor a member of a Group which includes a member of the Company and in the absence of any obligation of confidentiality; or
- 3.4.6. if the Confidential Information is known to it prior to such disclosure or is at any time developed by it independently of any such disclosure or disclosures from any other member or the Company; and
- 3.5. any Confidential Information received from a member or the Company shall at all times remain the property of the disclosing member or the Company; and that no grant of any of the disclosing member's or the Company's intellectual property rights will be given or intended by such disclosure (including any implied license);
- 3.6. upon it ceasing to be a member (unless a member of its Group remains a

member of the Company), it shall (subject as specified below):

- 3.6.1. return all Confidential Information and all copies of the whole or any part of the same to the rightful owner of that Confidential Information; or
- 3.6.2. if so requested by the rightful owner, shall destroy the same and certify in writing to the rightful owner that the Confidential Information has been destroyed; and shall procure that each of its Affiliates shall do the same.

Notwithstanding the obligations set out in this paragraph 3.6, such member shall be entitled to deliver one copy only of any such Confidential Information to its legal advisers from time to time, on condition that all such advisers retain and use such copy solely for the purposes of evidence in any claim or dispute that may arise in relation to such Confidential Information. If any such Confidential Information is given to any such legal advisers, such member shall certify in writing to the rightful owner that such step has been taken, that it will procure that all such legal advisers will observe the obligations as to confidentiality set out in this paragraph 3 (excluding this paragraph 3.6) in relation to such Confidential Information and that it has ensured that such legal advisers are aware of the restrictions set out in this paragraph 3.6;

3.7. upon any of its Affiliates ceasing to be an Affiliate of it, it shall procure that such Affiliate shall return all Confidential Information and all copies of the whole

or any part of the same to the rightful owner of that Confidential Information or, if so requested by the rightful owner, shall destroy the same and certify in writing to the rightful owner that the Confidential Information has been destroyed;

- 3.8. it authorizes the Company to disclose Confidential Information supplied by it or any of its Affiliates to any third party, body or entity for the purpose of, and in connection with, promoting, assisting or furthering the objects of the Company provided that such third party, body or entity agrees in writing with the Company to observe similar obligations as to confidentiality in relation to any such Confidential Information as those set out in paragraphs 3.1 and 3.3 to 3.6 (inclusive) above;
- 3.9. it will procure that any of its Affiliates from time to time (except any Affiliates who are Essential Members or Associate Members) shall abide by each of the rights and obligations set out in paragraphs 3.1 to 3.8 (inclusive) as if they were members themselves;

### 4. Export Licenses

it shall not export, directly or indirectly, any technical data acquired as a member of the Company or otherwise in connection with promoting, assisting or furthering the objects of the Company or any products utilizing any such data to any country for which, at the time of export, an export license or the approval of any government or governmental agency is required, without first obtaining such license or approval;

## 5. Intellectual Property Rights

- 5.1. subject to paragraph 5.3, it agrees that any copyright in a Specification, written, created, designed or developed by it or any of its directors, officers or employees either individually or jointly within a Working Group or board committee of the Company or as a result of any work carried out on behalf of such Working Group or committee, (the "Copyright") will belong to and automatically vest in the Company but it shall have the right to a non-exclusive, royalty free license to use the Copyright (subject always to the provisions of this paragraph 5);
- 5.2. subject to paragraph 5.3, it agrees that any copyright in any document written, created, designed or developed by it or any of its directors, officers or employees either individually or jointly within a Working Group or board committee of the Company or as a result of any work carried out on behalf of such Working Group or committee and designated as the property of the Company (an "Open Mobile Alliance Document") will belong to and automatically vest in the Company but it shall have the right to a non-exclusive, royalty-free license to use such Copyright (subject always to the provisions of this paragraph 5);

5.3

- 5.3.1. the copyright in all documents, literature and material owned by a member which are not Open Mobile Alliance Documents or Specifications or do not form part of a Specification and which are submitted by that member to any Working Group, the Board of Directors or a board committee of the Company shall remain vested in that member. The Company shall have a non-exclusive, royalty-free license to use (including the right to sub-license) such copyright material for the purposes of work carried out in the development of a Specification(s) unless the member notifies the Company, at the time of submission, that the copyright material is not licensable to the Company;
- 5.3.2. it shall grant a non-exclusive, royalty-free license to all other members of the Company on request to use such copyright for the purposes of work carried out in the development of a Specification(s);
- 5.3.3. it agrees to mark all such documents, literature and material clearly with a copyright notice;
- 5.4. it agrees that it shall not denigrate the integrity of the Copyright or the copyright in an Open Mobile Alliance Document by (but without limitation) either removing the copyright notice contained thereon, varying or removing its title, or using all or any part of it as part of a specification or standard not emanating from the Company and in any event it shall not publish nor disclose the Specification to any third party until the Specification is published by the Company by posting the same on the Company's website;
- 5.5. it will promptly notify the Company of any threatened or actual infringement of the Copyright or of the copyright in a Open Mobile Alliance Document which comes to its notice and shall, at the Company's request and expense, do all such things as is reasonably necessary to defend and enforce the Company's rights in the Copyright or such copyright;

- 5.6. it will do all acts and execute all documents or instruments as are necessary to vest the Copyright or the copyright in a Open Mobile Alliance Document in the Company and in the meantime will hold all interest in the same in trust for the Company;
- 5.7. if it participates in a Working Group as defined in Exhibit A of the Schedule to the Application Form attached hereto and incorporated herein ("Exhibit A"), it agrees to abide by the terms and procedures as set forth in Exhibit A;
- 5.8 Unless otherwise set forth in Exhibit A, it will grant on request to any member of the Company or third party (who is neither a member of the Company nor a member of a Group which includes a member of the Company) (hereinafter "Licensee"), subject to paragraph 5.9, a non-exclusive license to use any of its Essential IPR on fair, reasonable and non-discriminatory terms and conditions. Such license shall allow the Licensee to do at least the following:
- 5.8.1. manufacture Equipment, including the right to make or have made Equipment, customized components and/or sub-systems to the Licensee's own design for use in the manufacture of Equipment;
- 5.8.2. sell, lease, or otherwise dispose of Equipment so manufactured;
- 5.8.3. repair, maintain, use or operate Equipment; and
- 5.8.4. use any method or operation fully conforming to a Specification.
- 5.8.5. Members required to license Essential IPR in accordance with this paragraph 5.8 shall not be required to license nor be obliged to seek the right to sub-license Essential IPR which such member does not own;
- 5.9. its obligation under paragraph 5.8 is subject to the conditions that any Licensee, any subsidiaries, parent companies or holding companies (and subsidiaries thereof) of the third party Licensee, and those who make Equipment, customized components and/or subsystems for the Licensee agree to grant licenses of their Essential IPR, if any, on reciprocal terms and conditions that are fair, reasonable and non-discriminatory and that that portion of the license that relates to a party to whom the Licensee sells, leases or otherwise disposes of Equipment is terminable if that party refuses to grant a license of their Essential IPR, if any, on reciprocal terms and conditions that are fair, reasonable and non-discriminatory. For purposes of this paragraph 5.8, the term "Essential IPR" includes Essential IPR held by any party whether or not such party is a member;
- 5.10. it will use its reasonable endeavors to inform timely the Company of Essential IPR as it becomes aware that the Essential IPR is related to the prepared or published Specification. This obligation does not imply an obligation on a member to conduct IPR searches:
- 5.11. it will immediately notify the Company if it is not prepared to license an Essential IPR.

Upon request of the Company, it shall provide a written explanation of the reasons for refusing to license that Essential IPR within three (3) months of its receipt of the request. A valid reason for such a refusal is that such IPR is not an Essential IPR;

- 5.12. it will procure that any of its Affiliates from time to time (except any Affiliates who are Essential Members or Associate Members) shall abide by each of the rights and obligations set out in paragraphs 5.1 to 5.11 (inclusive) as if they were members themselves;
- 5.13. for the purposes of this Schedule, as from time to time revised, the following definitions shall apply:
- 5.13.1. "Intellectual Property Rights ("IPR")" means the patents and pending patent applications, copyrights covering software or firmware, and maskworks on IC mask sets, whether in existence now or created, invented or developed by a member of the Company. IPR does not include the copyright defined in paragraph 5.1;
- 5.13.2. "Essential IPR" means IPR without which it is not possible on technical but not commercial grounds, taking account of normal technical practice and the state of the art generally available, to make, sell, lease, otherwise dispose of, repair, use or operate equipment or methods, which comply with a Specification without infringing that IPR;
- 5.13.3. "Equipment" means any product, including hardware and/or software application or other software product, fully conforming to a Specification and fully conforming to at least one of the Standards.

A copy of the current list of Standards is set forth in the Bylaws;

# 6. US National Co-operative Research and Production Act 1993, as amended (the "Act")

- 6.1. it consents to the Company notifying, and hereby authorizes the Company to notify, the appropriate authorities of the Government of the United States of America of its membership of the Company pursuant to the provisions of the Act;
- 6.2. it authorizes the Company to review and approve the draft of the notice disclosing its membership which such Government will subsequently publish pursuant to the Act;

### 7. English Law

7.1. the obligations contained in this Application Form for membership (of which this Schedule (as from time to time revised) forms part) are legally binding upon it, that they will be construed and interpreted in accordance with English law and that it irrevocably submits to the exclusive jurisdiction of the English courts to settle any disputes which may arise out of or in connection with the Application Form, (including the provisions of this Schedule, as from time to time revised) or otherwise in connection with its involvement in or with the Company;

### 8. Obligations Enforceable

- 8.1. the obligations contained in the application form (of which this Schedule forms part) are intended to be enforceable by and against and therefore between each member of the Company from time to time and by the Company against each such member; and
- 8.2. it will be bound by and will observe all the obligations set out in this Schedule, as from time to time revised or varied by the Directors of the Company;

### 9. Joint Liability

if the Application Form is executed by more than one person, such Application Form (including this Schedule, as from time to time revised) shall apply to them jointly and to each of them; and

### 10. Survival of Rights

- 10.1. the obligations contained in this Schedule (as from time to time revised) in respect of confidentiality shall survive termination of membership howsoever arising; and
- 10.2. all the obligations contained in this Schedule (as from time to time revised) in respect of the licensing of IPR shall survive termination of membership howsoever arising but only to the extent that such obligations relate to IPR which is in existence during its membership and which is Essential IPR for a Specification or Standard in existence at any time during its membership.

#### Exhibit A

### **Royalty-Free Working Groups**

A.1 The Company's Board of Directors may designate a Working Group as a "Royalty Free Working Group" ("RFWG"), in which licenses to Essential IPR are granted to Licensees without payment of royalties or fees (see A.3 below). As to such RFWG, and only as to such RFWG, this policy as set forth in this Exhibit A ("RF Policy") shall supplement the Schedule to the Application Form ("Schedule"). Defined terms are as stated in the Schedule and Bylaws.

A.2 Designation of a Working Group as an RFWG must be done by the Company's Board of Directors prior to formation of such RFWG (in other words, a Working Group may not retroactively be designated as an RFWG) and may only occur by an act of the Board of Directors if approved by all directors, or the Working Group will not be formed as an RFWG. This RF Policy applies to that work only to the extent that it is included in a Specification resulting from the RFWG. For members not participating in any particular RFWG, the RF Policy shall not apply to such non-participating member with respect to the work and any resulting Specifications of that RFWG.

A.3 Participation in RFWG and Registration Form: Participation in each RFWG shall be limited to those individuals where each entity on whose behalf the individual participates has submitted a "Registration Form" that includes a royalty-free patent license statement that applies to any patent claims owned or controlled by such entity and its Affiliates that are required to implement (are Essential IPR to) any Specifications that result from the RFWG designated on the Registration Form. The commitments subject to the Registration Form shall be subject to the opt-out provisions in this RF Policy. The Registration Form shall state that the submitting entity ("RFWG Member Organization") is prepared to grant licenses free of charge to an unrestricted number of applicants on a worldwide, non-discriminatory basis, and under other reasonable terms and conditions to make, use, sell, and import implementations of all Specifications that result from the RFWG designated on the Registration Form. As to future versions of such Specifications, the scope of the patent commitment shall be the same as set forth under this RF Policy. An RFWG Member Organization shall be considered "in" or "a participant in" an RFWG from the time of submission of a Registration Form until withdrawal (see A.7), if any. Submission of a Registration Form shall be via a mechanism provided by the Company.

A.4 Opt-Out of Identified Patents: An RFWG Member Organization may exclude from the royalty-free patent license commitment, via an identification mechanism provided by the Company (see A.6.), specific patents and/or patent applications that the RFWG Member Organization believes may be or become Essential IPR ("Identified Patents"). This opt-out opportunity must be exercised prior to the end of the corresponding Opt-Out Review Window. The RFWG Member Organization may only exclude Identified Patents to the extent that the essentiality of the patents is due to material that (a) was added to the draft specification since the version of the draft specification for the previous Opt-Out Review Window (if any; see A.5), and (b) was not material that the RFWG Member Organization contributed to the RFWG. In this context, "material" refers to the technical substance of what is being specified of the draft specification, not to specific words in a document. In other words, the opt-out of Identified Patents only applies to new material added to the draft specification since the previous opt-out opportunity and, for clarity, specifically does not apply to minor revisions or editorial changes.

A.5 Opt-Out Review Windows: Each Opt-Out Review Window shall be initiated by the Company's Director of Operations in consultation with the RFWG chair. An Opt-Out Review Window shall apply to one or more particular draft specifications. An Opt-Out Review Window shall be at least forty-five (45) days long. All RFWG Member Organizations in an RFWG shall be notified of each Opt-Out Review Window prior to the beginning of the period and such notification shall clearly identify the end of the period. There shall be an Opt-Out Review Window for each final version of a draft specification, and such window shall be timed such that it ends before the draft specification becomes a Specification.

A.6 Opt-Out Patent Information: The opt-out identification mechanism (see A.4) shall require: (a) identification of the Identified Patents; (b) identification of the Specification or draft specification; and (c) indication of whether, with respect to such Identified Patents and such Specification, the RFWG Member Organization (i) is prepared to grant licenses under paragraph 5.8 of the Schedule (FRAND terms), or (ii) is not prepared to grant such licenses.

A.7 Withdrawal from an RFWG: The Company shall provide a mechanism by which an RFWG Member Organization in an RFWG can withdraw from the RFWG. No participation in the RFWG on behalf of a withdrawn RFWG Member Organization shall be permitted following such withdrawal (unless the RFWG Member Organization rejoins with a corresponding new Registration Form). The patent commitment in the Registration Form of a withdrawn RFWG Member Organization: (a) shall continue to apply to material in a draft specification (i) for which the corresponding Opt-Out Review Window has ended, and (ii) that the RFWG Member Organization has contributed to the RFWG; and (b) shall not apply as to other material in a draft specification. In addition, after an RFWG Member Organization withdraws from the RFWG, the Schedule applies to that member as it does to other non-participating members.

A.8 Late-Joining and Re-Joining Participants: A Registration Form for an RFWG applies to all draft specifications for all Opt-Out Review Windows prior to such joining, including those that passed before the RFWG Member Organization began participation in the RFWG. However, an RFWG Member Organization may, immediately prior to beginning participation in an RFWG, use the opt-out mechanism to opt-out Identified Patents, which shall be effective as to material in draft specifications in Opt-Out Review Windows that passed when the RFWG Member Organization was not a participant in the RFWG. For an RFWG Member Organization that has previously withdrawn, the new opt-out shall only apply to material in draft specifications in Opt-Out Review Windows that passed after that prior withdrawal. A party that joins during an Opt-Out Review Window will have the remainder of that Opt-Out Review Window to exclude a patent or patent application, and if the RFWG decides by majority vote, the Opt-Out Review Window may be extended to no less than thirty (30) days for the joining party ("Extension"), and the determination of the affected draft specification becoming a Specification shall be extended to one (1) day beyond such Extension, unless otherwise agreed by the joining party and the RFWG.