

# CS5275 The Algorithm Designer's Toolkit

## S2 AY2025/26

### Problem Set 2

Submission is due at 23:59, February 26 (Thu).

**Spectral preliminaries.** Let  $G = (V, E)$  be a connected graph, not necessarily regular. Let  $\mathbf{D}$  be the diagonal degree matrix and  $\mathbf{A}$  the adjacency matrix. The *normalized Laplacian* of  $G$  is defined as

$$\mathbf{N} := \mathbf{I} - \mathbf{D}^{-1/2} \mathbf{A} \mathbf{D}^{-1/2}.$$

Let

$$0 = \lambda_1 \leq \lambda_2 \leq \cdots \leq \lambda_n \leq 2$$

denote the eigenvalues of  $\mathbf{N}$ .

A useful variational characterization of the second smallest eigenvalue is

$$\lambda_2 = \min_{\substack{f: V \rightarrow \mathbb{R} \\ f \not\equiv 0}} \frac{\sum_{\{u,v\} \in E} (f(u) - f(v))^2}{\sum_{v \in V} \deg(v) f(v)^2} \quad \text{subject to } \sum_{v \in V} \deg(v) f(v) = 0.$$

(You may use this without proof. For the proof, you can see our main references.)

**Lazy random walk and mixing time.** Consider the *lazy random walk* on  $G$ . Its transition matrix is defined as

$$\tilde{\mathbf{W}} := \frac{1}{2} \mathbf{I} + \frac{1}{2} \mathbf{A} \mathbf{D}^{-1}.$$

Let  $\boldsymbol{\pi}$  denote the stationary distribution of this walk, viewed as a column vector.

For  $\varepsilon \in (0, 1)$ , the *mixing time* is defined as

$$T_{\text{mix}}(G, \varepsilon) := \max_{\mathbf{x}} \min \left\{ t : d_{\text{TV}} \left( \tilde{\mathbf{W}}^{\top t} \mathbf{x}, \boldsymbol{\pi} \right) \leq \varepsilon \right\},$$

where the maximum is over all probability distributions  $\mathbf{x} \in \mathbb{R}^V$ .

**Important:** In all problems below, the graph  $G$  is **not necessarily regular**.

**Problem 1** (Expander decomposition, 4 marks). Consider the following procedure. Starting from an  $n$ -vertex graph  $G = (V, E)$ , iteratively do the following: for any connected component  $C$ , if there exists a subset  $S \subseteq V(C)$  with

$$\Phi_C(S) \leq \phi,$$

remove the edges in the cut  $(S, V(C) \setminus S)$ , thereby splitting  $C$  into two components. Repeat until no such cut exists in any component.

Prove that the total number of edges removed during this process is at most

$$O(\phi \cdot |E| \cdot \log n).$$

Here  $|E|$  refers to the original number of edges.

**Problem 2** (Eigenvalue lower bound). In this problem, the goal is to show that  $\Theta\left(\frac{1}{n^3}\right)$  is the smallest-possible value of  $\lambda_2(\mathbf{N})$  for connected graphs.

**2.a. (2 marks)** For every  $n$ , construct a connected graph  $G = (V, E)$  with  $n$  vertices, such that the second-smallest eigenvalue of its normalized Laplacian  $\mathbf{N}$  satisfies

$$\lambda_2(\mathbf{N}) \in O\left(\frac{1}{n^3}\right).$$

**2.b. (2 marks)** Prove that for every connected graph  $G$  on  $n$  vertices, the second-smallest eigenvalue of the normalized Laplacian  $\mathbf{N}$  satisfies

$$\lambda_2(\mathbf{N}) \in \Omega\left(\frac{1}{n^3}\right).$$

**Problem 3** (Starting from a single vertex, 4 marks). Show that the definition of mixing time remains unchanged if the maximum in the definition of  $T_{\text{mix}}(G, \varepsilon)$  is taken only over initial distributions  $\mathbf{x}$  that are supported on a single vertex, i.e.,  $x_v = 1$  for some vertex  $v$  and  $x_u = 0$  for all  $u \neq v$ .

**Problem 4** (Spectral bound via dense subgraphs, 4 marks). Let  $G = (V, E)$  be any graph with adjacency matrix  $\mathbf{A}$ . Prove that the largest eigenvalue of  $\mathbf{A}$  satisfies

$$\lambda_{\max}(\mathbf{A}) \geq 2 \cdot \max_{H \subseteq G} \frac{|E(H)|}{|V(H)|},$$

where the maximum is over all (not necessarily induced) non-null subgraphs  $H$  of  $G$ .