

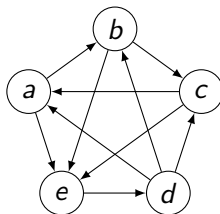
# Week 12: Tournaments

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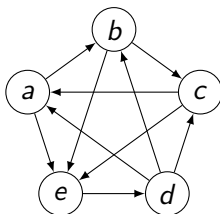
CS4261/5461  
Semester 1, 2025

# Tournaments



- **Tournament**  $T = (A, \succ)$
- $A$  is the set of **alternatives** and  $\succ$  is the **dominance relation**.
- For every pair of alternatives, exactly one dominates the other.
- Here,  $A = \{a, b, c, d, e\}$  and  $a \succ b$ ,  $b \succ c$ ,  $d \succ b$ ,  $e \succ d$ , etc.
- **Applications:** Sports, elections, webpage ranking, biological interactions, ...

# Tournaments



- Suppose there are  $n$  alternatives in  $A$  (here,  $n = 5$ )
- **Outdegree** of  $x \in A$ : Number of alternatives dominated by  $x$ 
  - $a$ : 2,  $b$ : 2,  $c$ : 2,  $d$ : 3,  $e$ : 1
- **Condorcet winner**: Alternative that dominates all other alternatives (i.e., has outdegree  $n - 1$ )
- **Condorcet loser**: Alternative that is dominated by all other alternatives (i.e., has outdegree 0)

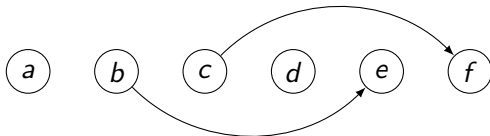
# Tournament Solutions

- A **tournament solution** is a method for choosing the “winners” of any tournament. Formally, it returns a **nonempty** subset of alternatives from any tournament.
- We require tournament solutions to be **invariant under isomorphisms**:
  - If  $h : A \rightarrow A'$  is an isomorphism between two tournaments  $T = (A, \succ)$  and  $T' = (A', \succ')$ , then the subset that a tournament solution  $S$  chooses from  $T'$  is the image (with respect to  $h$ ) of the subset that  $S$  chooses from  $T$ .
  - Let  $A = \{a, b, c\}$  and  $a \succ b, b \succ c, a \succ c$ .
  - Let  $A' = \{d, e, f\}$  and  $d \succ e, e \succ f, d \succ f$ .
  - If  $S(A) = \{b, c\}$ , then  $S(A') = \{e, f\}$
  - **Consequence:** In a cyclic tournament of size 3, every tournament solution must select **all** alternatives.

# Tournament Solutions

- Copeland set ( $CO$ ): Alternatives with the highest outdegree
- Top cycle ( $TC$ ): Alternatives that can reach every other alternative via a directed path (of any length)
- Uncovered set ( $UC$ ): Alternatives that can reach every other alternative via a directed path of length  $\leq 2$
- Banks set ( $BA$ ): Alternatives that appear as the maximal (i.e., strongest) element of some transitive subtournament that cannot be extended
  - Transitive tournament: The alternatives can be ordered as  $a_1, \dots, a_k$  so that  $a_i$  dominates  $a_j$  for all  $i < j$

## Example

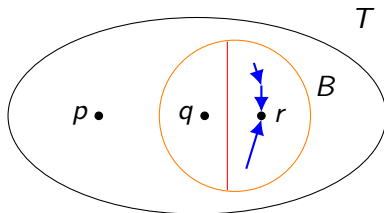


All omitted edges point from right to left.

- Outdegrees:
  - $a: 0, b: 2, c: 3, d: 3, e: 3, f: 4$
- $CO = \{f\}$
- $TC = \{b, c, d, e, f\}$
- $UC = \{c, d, e, f\}$
- $BA = \{c, d, e, f\}$

# Top Cycle

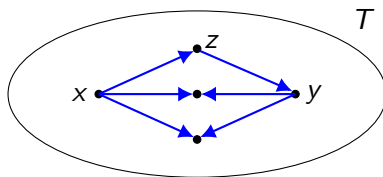
- Equivalent definition of  $TC$ :
  - (Unique) smallest nonempty set  $B$  of alternatives such that all alternatives in  $B$  dominate all alternatives outside  $B$ .



- Proof of equivalence:
  - $p \notin B$  cannot reach  $q \in B$ , so  $p$  does not belong to  $TC$ .
  - $q \in B$  can reach  $p \notin B$  directly.
  - If  $q \in B$  could not reach  $r \in B$ , all alternatives that could reach  $r$  would form a smaller subset in the definition of  $B$ , contradiction.

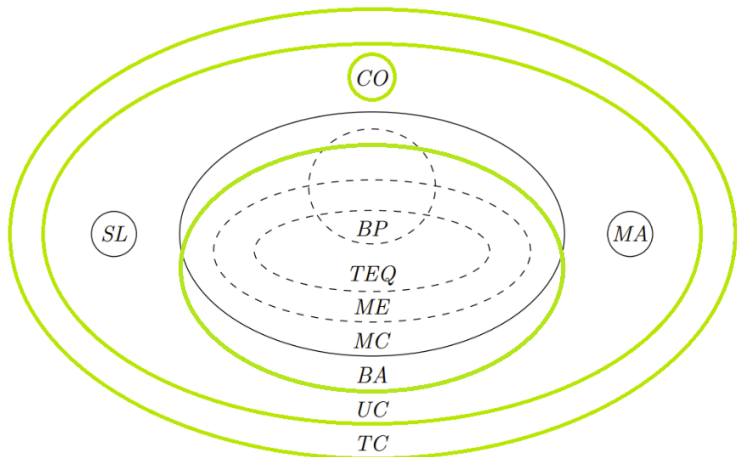
# Uncovered Set

- **Covering relation:** An alternative  $x$  **covers** another alternative  $y$  if
  - $x$  dominates  $y$ .
  - For any  $z$ , if  $y$  dominates  $z$ , then  $x$  also dominates  $z$ .
- **Strong indicator** that  $x$  is better than  $y$ .
- Equivalent definition of  $UC$ :
  - The set of all uncovered alternatives.
  - **Proof:**  $x$  can reach  $y$  in  $\leq 2$  steps  $\iff y$  does not cover  $x$ .





# Zoo of Tournament Solutions



Brandt et al. (2016), "Tournament solutions"

# Containment Relations

## ① $UC \subseteq TC$

- By definition, if  $x$  can reach every other alternative via a path of length  $\leq 2$ , it can reach every other alternative.

## ② $CO \subseteq UC$

- Let  $x \in CO$ , so  $x$  has the **highest outdegree** among all alternatives.
- Suppose for contradiction that  $y$  covers  $x$ .
- The outdegree of  $y$  must be higher than that of  $x$ , a contradiction.

## ③ $BA \subseteq UC$

- Let  $x \in BA$ . There is a transitive subtournament  $T'$ , with  $x$  as the maximal element, that **cannot be extended**.
- Suppose for contradiction that  $y$  covers  $x$ .
- $y$  can extend  $T'$ , a contradiction.

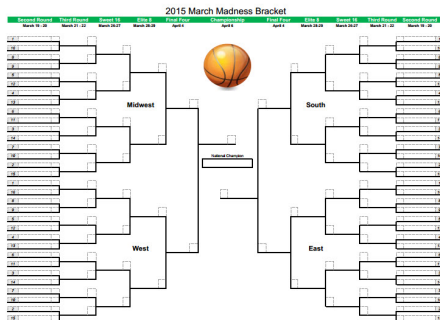
# Axioms

- **Condorcet-consistency:** If there is a Condorcet winner  $x$ , then  $x$  is uniquely chosen.
- **Monotonicity:** If  $x$  is chosen, then it should remain chosen when it is strengthened against another alternative  $y$  (and everything else stays the same).
- $CO$ ,  $TC$ ,  $UC$ , and  $BA$  all satisfy both of these axioms.

# Tournament Solutions

- Trivial (*TRIV*): All alternatives.
- Slater set (*SL*): Alternatives that are maximal elements in some transitive tournament that can be obtained by inverting as few edges as possible.
- Bipartisan set (*BP*): Alternatives that are chosen with nonzero probability in the (unique) Nash equilibrium of the zero-sum game formed by the tournament matrix.
- Markov set (*MC*): Alternatives that stay most often in the “winner-stays” competition corresponding to the tournament.

# Knockout Tournaments



- An alternative is said to be a **knockout winner** if it wins a (balanced) knockout tournament under some bracket.
- Assume that  $n$  is a power of 2.

# Tournament Fixing Problem

The winner of a given knockout tournament can depend significantly on the initial bracket!

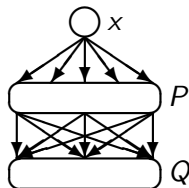
The **Tournament Fixing Problem (TFP)**: Given

- A set of alternatives  $A$
- Information for each pair of alternatives  $(x, y)$  about whether  $x$  or  $y$  would win in a head-to-head matchup (“**tournament graph**”)
- Our favorite alternative

Is there a bracket for a balanced knockout tournament where our favorite alternative wins?

# Strong Kings

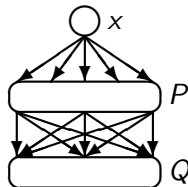
Let  $x$  be a **king** (i.e., belongs to  $UC$ ).  
Suppose  $x$  beats  $P$  and loses to  $Q$ .



- **Theorem:** If  $|P| \geq n/2$ , then  $x$  is a knockout winner.
- Proof by **induction**. We will construct the pairing for the first round, so that  $x$  survives, and the king and outdegree conditions hold in the next round.
- **Base case**  $n = 2$  is trivial.

# Strong Kings

Let  $x$  be a **king** (i.e., belongs to  $UC$ ).  
Suppose  $x$  beats  $P$  and loses to  $Q$ .



- **Inductive step:**
  - Find a **maximum matching** from  $P$  to  $Q$ .
  - Match  $x$  to an arbitrary player in  $P$ .
  - Match players within  $P$  arbitrarily, and same within  $Q$ .
  - If necessary, match the remaining pair from  $Q$  to  $P$ .
- **Consequence:** Any alternative in  $CO$  is a knockout winner.



## Midterm 3: Tournaments

- Midterm 3 next week (Nov 13, 6:30–7:50pm)
- Possible questions: For a given tournament
  - Determine the outdegree of each alternative.
  - Is there a Condorcet winner? A Condorcet loser?
  - Determine the Copeland set.
  - Determine the top cycle.
  - Determine the uncovered set.
  - Determine the Banks set.
- Practice questions for tournaments posted on Canvas

**Please fill in the student feedback survey!**