

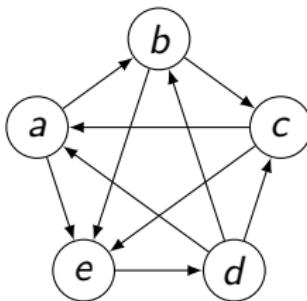
Week 12: Tournaments

Instructor: Warut Suksompong

National University of Singapore

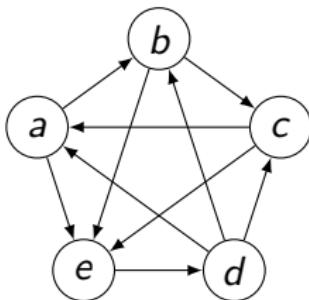
CS4261/5461
Semester 1, 2025

Tournaments



- Tournament $T = (A, \succ)$
- A is the set of **alternatives** and \succ is the **dominance relation**.
- For every pair of alternatives, exactly one dominates the other.
- Here, $A = \{a, b, c, d, e\}$ and $a \succ b$, $b \succ c$, $d \succ b$, $e \succ d$, etc.
- **Applications:** Sports, elections, webpage ranking, biological interactions, ...

Tournaments



- Suppose there are n alternatives in A (here, $n = 5$)
- **Outdegree** of $x \in A$: Number of alternatives dominated by x
 - $a: 2, b: 2, c: 2, d: 3, e: 1$
- **Condorcet winner**: Alternative that dominates all other alternatives (i.e., has outdegree $n - 1$)
- **Condorcet loser**: Alternative that is dominated by all other alternatives (i.e., has outdegree 0)

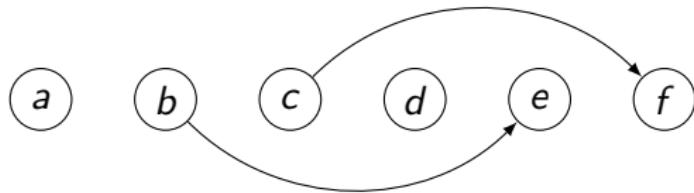
Tournament Solutions

- A **tournament solution** is a method for choosing the “winners” of any tournament. Formally, it returns a **nonempty** subset of alternatives from any tournament.
- We require tournament solutions to be **invariant under isomorphisms**:
 - If $h : A \rightarrow A'$ is an isomorphism between two tournaments $T = (A, \succ)$ and $T' = (A', \succ')$, then the subset that a tournament solution S chooses from T' is the image (with respect to h) of the subset that S chooses from T .
 - Let $A = \{a, b, c\}$ and $a \succ b, b \succ c, a \succ c$.
 - Let $A' = \{d, e, f\}$ and $d \succ e, e \succ f, d \succ f$.
 - If $S(A) = \{b, c\}$, then $S(A') = \{e, f\}$
 - **Consequence:** In a cyclic tournament of size 3, every tournament solution must select **all** alternatives.

Tournament Solutions

- Copeland set (*CO*): Alternatives with the highest **outdegree**
- Top cycle (*TC*): Alternatives that can reach every other alternative via a directed path (of any length)
- Uncovered set (*UC*): Alternatives that can reach every other alternative via a directed path of **length ≤ 2**
- Banks set (*BA*): Alternatives that appear as the maximal (i.e., strongest) element of some **transitive subtournament** that cannot be extended
 - **Transitive tournament:** The alternatives can be ordered as a_1, \dots, a_k so that a_i dominates a_j for all $i < j$

Example

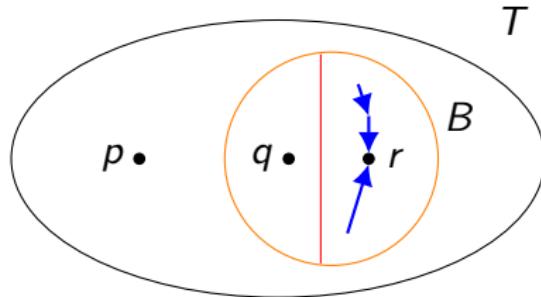


All omitted edges point from right to left.

- Outdegrees:
 - $a: 0, b: 2, c: 3, d: 3, e: 3, f: 4$
- $CO = \{f\}$
- $TC = \{b, c, d, e, f\}$
- $UC = \{c, d, e, f\}$
- $BA = \{c, d, e, f\}$

Top Cycle

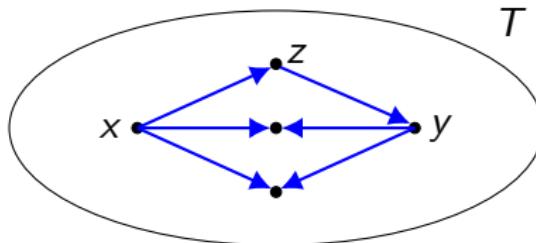
- Equivalent definition of TC :
 - (Unique) smallest nonempty set B of alternatives such that all alternatives in B dominate all alternatives outside B .



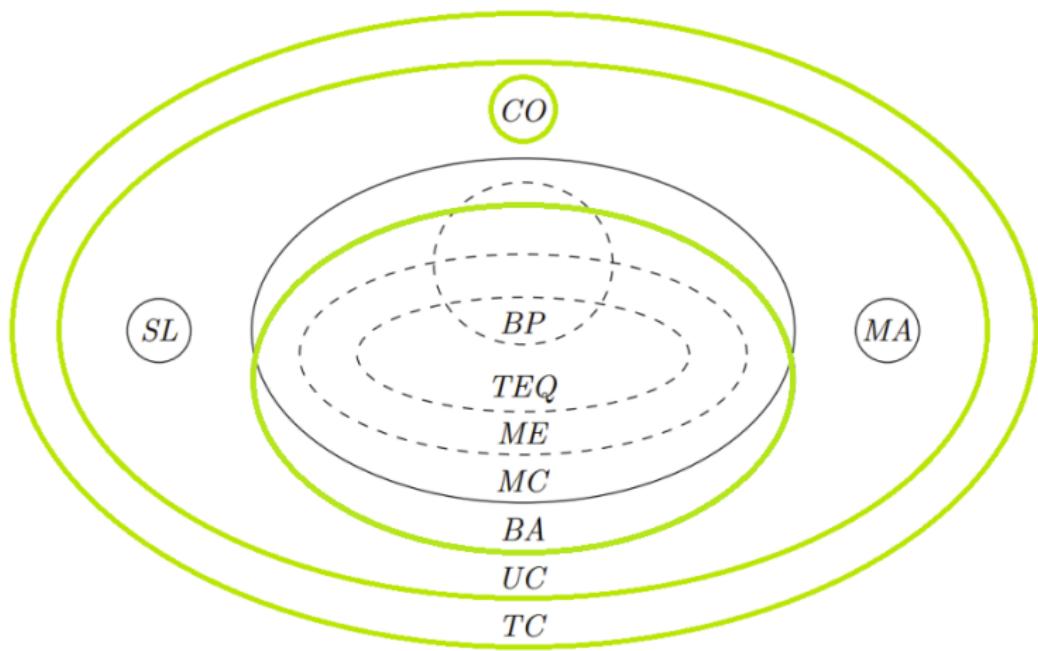
- Proof of equivalence:
 - $p \notin B$ cannot reach $q \in B$, so p does not belong to TC .
 - $q \in B$ can reach $p \notin B$ directly.
 - If $q \in B$ could not reach $r \in B$, all alternatives that could reach r would form a smaller subset in the definition of B , contradiction.

Uncovered Set

- **Covering relation:** An alternative x **covers** another alternative y if
 - x dominates y .
 - For any z , if y dominates z , then x also dominates z .
- **Strong indicator** that x is better than y .
- Equivalent definition of UC :
 - The set of all uncovered alternatives.
 - **Proof:** x can reach y in ≤ 2 steps $\iff y$ does not cover x .



Zoo of Tournament Solutions



Brandt et al. (2016), "Tournament solutions"

Containment Relations

① $UC \subseteq TC$

- By definition, if x can reach every other alternative via a path of length ≤ 2 , it can reach every other alternative.

② $CO \subseteq UC$

- Let $x \in CO$, so x has the **highest outdegree** among all alternatives.
- Suppose for contradiction that y covers x .
- The outdegree of y must be higher than that of x , a contradiction.

③ $BA \subseteq UC$

- Let $x \in BA$. There is a transitive subtournament T' , with x as the maximal element, that **cannot be extended**.
- Suppose for contradiction that y covers x .
- y can extend T' , a contradiction.

Axioms

- **Condorcet-consistency:** If there is a Condorcet winner x , then x is uniquely chosen.
- **Monotonicity:** If x is chosen, then it should remain chosen when it is strengthened against another alternative y (and everything else stays the same).
- CO , TC , UC , and BA all satisfy both of these axioms.

Tournament Solutions

- Trivial (*TRIV*): All alternatives.
- Slater set (*SL*): Alternatives that are maximal elements in some transitive tournament that can be obtained by inverting as few edges as possible.
- Bipartisan set (*BP*): Alternatives that are chosen with nonzero probability in the (unique) Nash equilibrium of the zero-sum game formed by the tournament matrix.
- Markov set (*MC*): Alternatives that stay most often in the “winner-stays” competition corresponding to the tournament.

Knockout Tournaments



- An alternative is said to be a **knockout winner** if it wins a (balanced) knockout tournament under some bracket.
- Assume that n is a power of 2.

Tournament Fixing Problem

The winner of a given knockout tournament can depend significantly on the initial bracket!

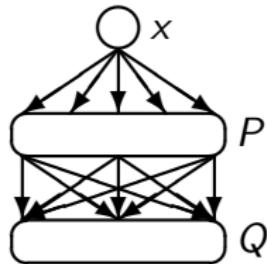
The **Tournament Fixing Problem (TFP)**: Given

- A set of alternatives A
- Information for each pair of alternatives (x, y) about whether x or y would win in a head-to-head matchup (**"tournament graph"**)
- Our favorite alternative

Is there a bracket for a balanced knockout tournament where our favorite alternative wins?

Strong Kings

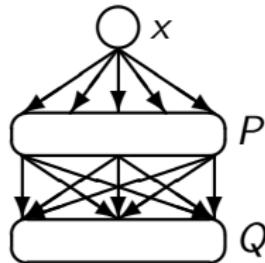
Let x be a **king** (i.e., belongs to UC).
Suppose x beats P and loses to Q .



- **Theorem:** If $|P| \geq n/2$, then x is a knockout winner.
- Proof by **induction**. We will construct the pairing for the first round, so that x survives, and the king and outdegree conditions hold in the next round.
- **Base case** $n = 2$ is trivial.

Strong Kings

Let x be a **king** (i.e., belongs to UC).
Suppose x beats P and loses to Q .



- **Inductive step:**
 - Find a **maximum matching** from P to Q .
 - Match x to an arbitrary player in P .
 - Match players within P arbitrarily, and same within Q .
 - If necessary, match the remaining pair from Q to P .
- **Consequence:** Any alternative in CO is a knockout winner.

Midterm 3: Tournaments

- Midterm 3 next week (Nov 13, 6:30–**7:50pm**)
- Possible questions: For a given tournament
 - Determine the outdegree of each alternative.
 - Is there a Condorcet winner? A Condorcet loser?
 - Determine the Copeland set.
 - Determine the top cycle.
 - Determine the uncovered set.
 - Determine the Banks set.
- Practice questions for tournaments posted on Canvas

Please fill in the student feedback survey!