

# **IT5005**

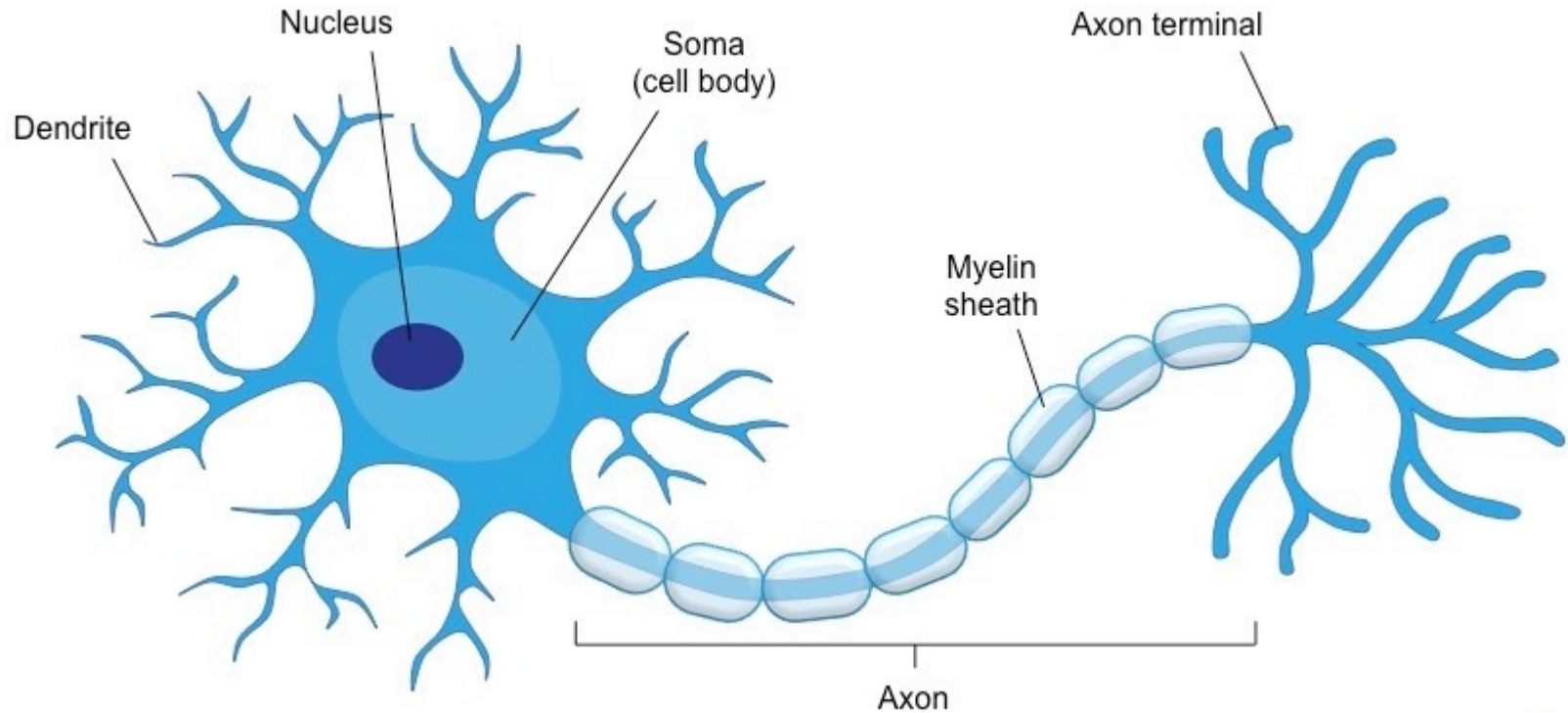
# **Introduction to Neural Networks**

# Agenda

- Perceptron
- Perceptron Learning Algorithm
- Linear and Logistic Regression
- Logic gates (NOT, AND, OR, XOR)

# Why are neural networks called neural networks?

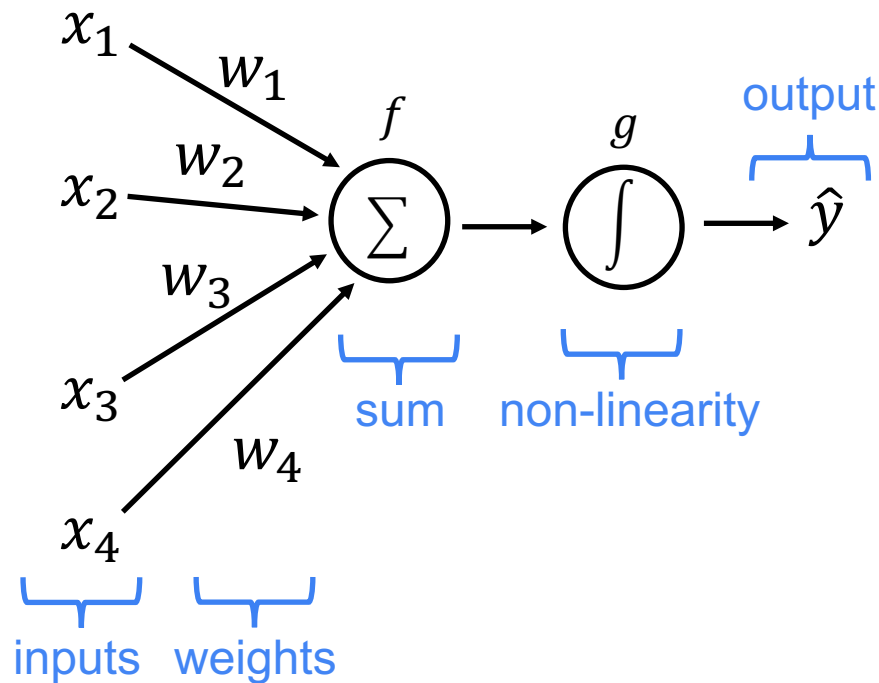
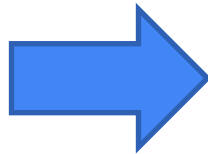
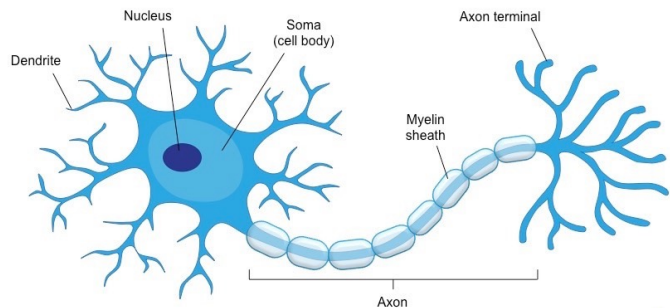
Inspired by how the  
brain works



Credit: socratic.org

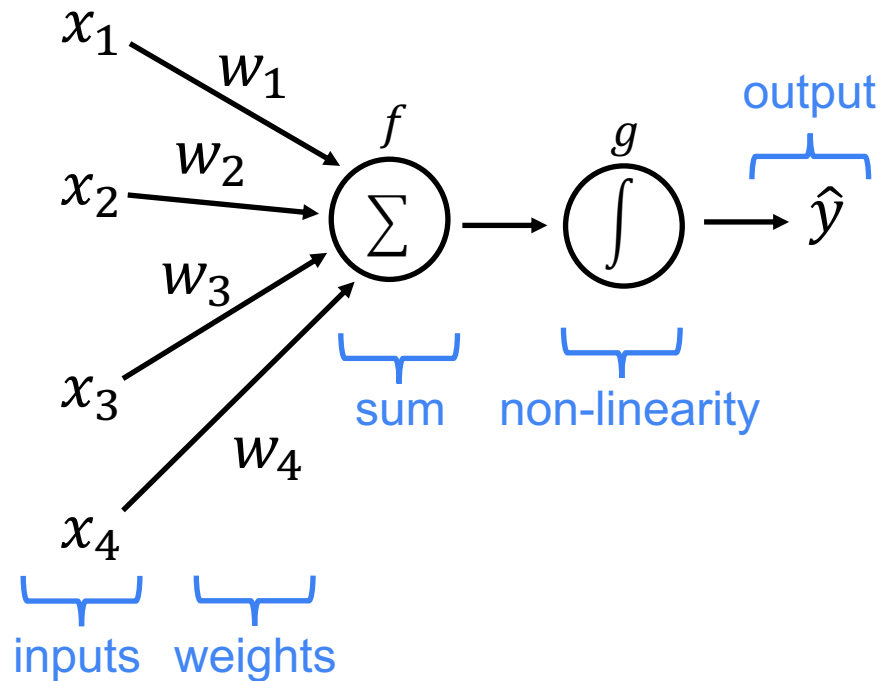
<https://www.youtube.com/watch?v=6qS83wD29PY>

# Perceptron



dendrites  $\approx$  weighted summation with local nonlinear subunits  
soma/axon hillock  $\approx$  nonlinear activation  
axon  $\approx$  output wire  
nucleus  $\approx$  parameter management and long-term learning machinery

# Perceptron



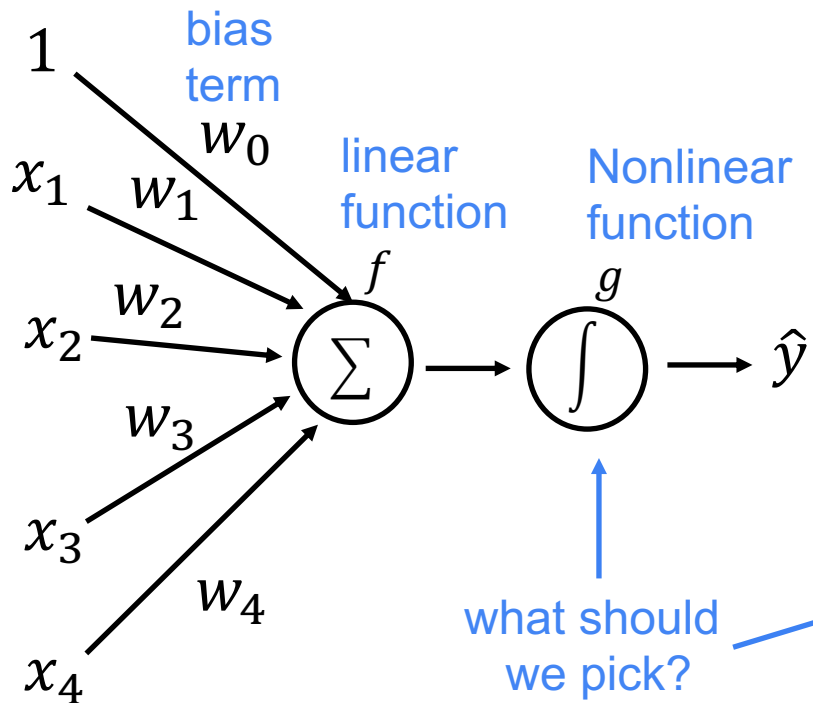
linear combination  
of inputs

$$\hat{y} = g \left( \sum_{i=1}^n w_i x_i \right)$$

output  $\hat{y}$

non-linear  
activation function

# Perceptron

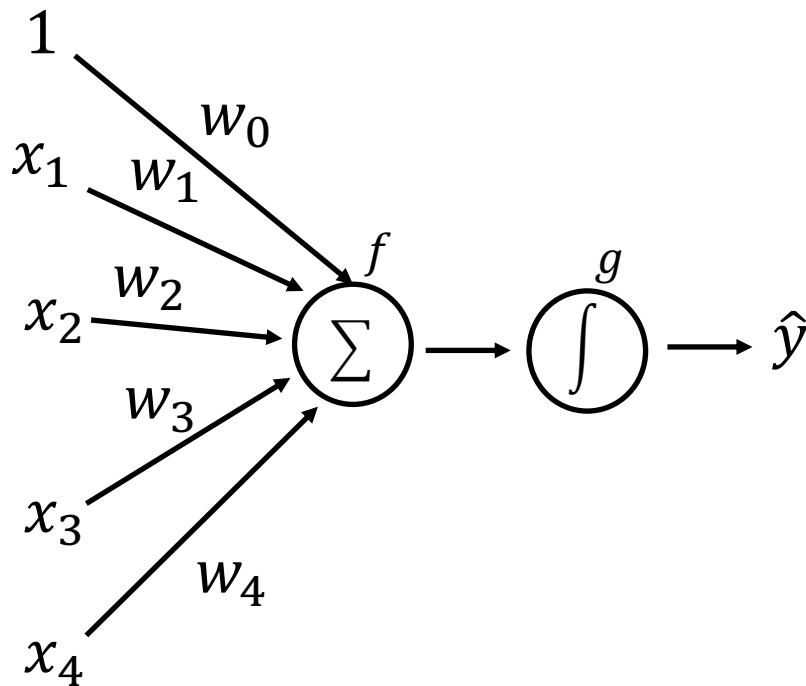


$$\hat{y} = g \left( w_0 + \sum_{i=1}^n w_i x_i \right)$$

$$\hat{y} = g \left( \sum_{i=0}^n w_i x_i \right)$$

$(x_0 = 1)$

# Perceptron



$$\hat{y} = g(f(\mathbf{x}))$$

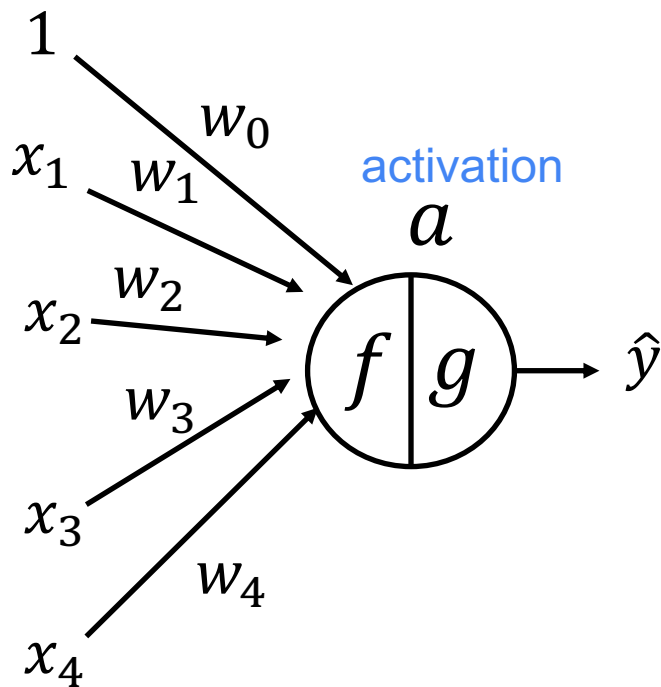
$$f(\mathbf{x}) = \sum_{i=0}^n w_i x_i = \mathbf{w}^T \mathbf{x}$$

$$\mathbf{w} = \begin{bmatrix} w_0 \\ w_1 \\ \vdots \\ w_n \end{bmatrix}$$

$$\mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ \vdots \\ x_n \end{bmatrix}$$



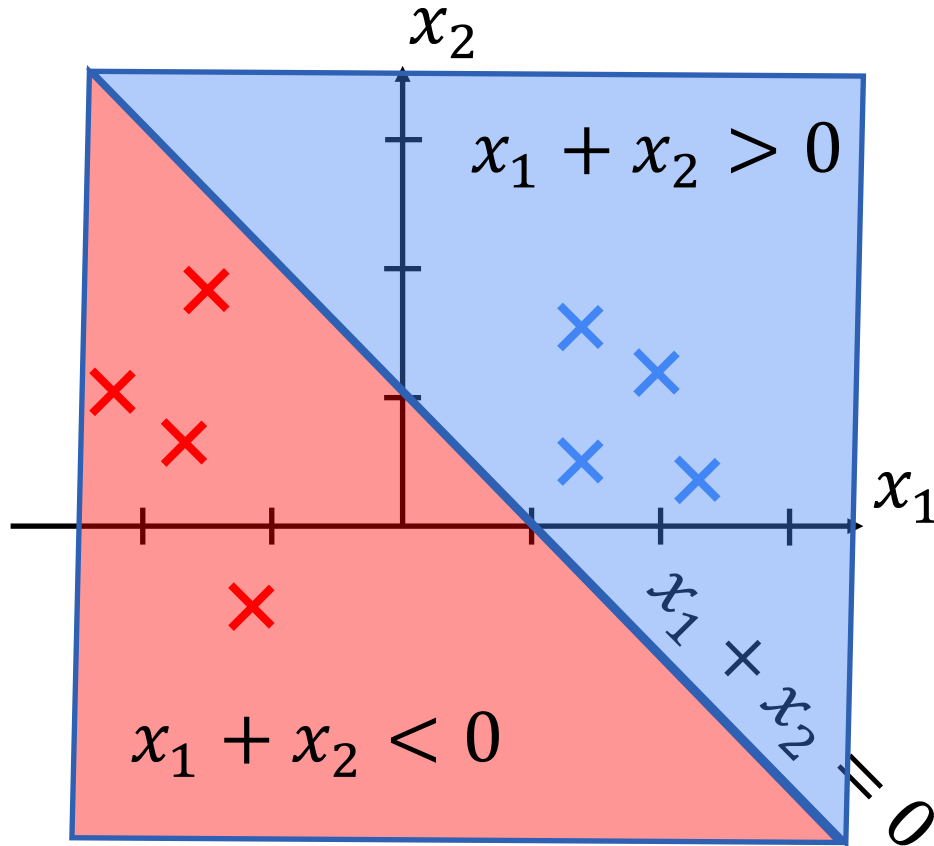
# Perceptron



$$f(\mathbf{x}) = \sum_{i=0}^n w_i x_i = \mathbf{w}^T \mathbf{x}$$

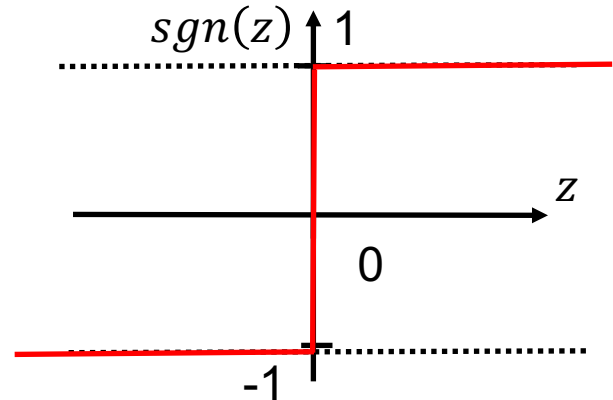
$$\hat{y} = a = g(f)$$

# Linear Classification



$$\hat{y} = \text{sgn} \left( \sum_{i=0}^n w_i x_i \right)$$

$$\text{sgn}(z) = \begin{cases} +1 & z \geq 0 \\ -1 & z < 0 \end{cases}$$



# Perceptron Learning Algorithm (PLA)

Frank Rosenblatt (1943)

1. Initialize weights  $w_i$ 
  - Could be all zero, or random small values
2. For each instance  $i$  with features  $x^{(i)}$ 
  - Classify  $\hat{y}^{(i)} = \text{sgn}(w^T x^{(i)})$
3. Select one misclassified instance
  - Update weights:  $w \leftarrow w + \Delta w$  — How do we update  $w$ ?
4. Iterate steps 2 to 3 until
  - Convergence (classification error  $<$  threshold), or
  - Maximum number of iterations

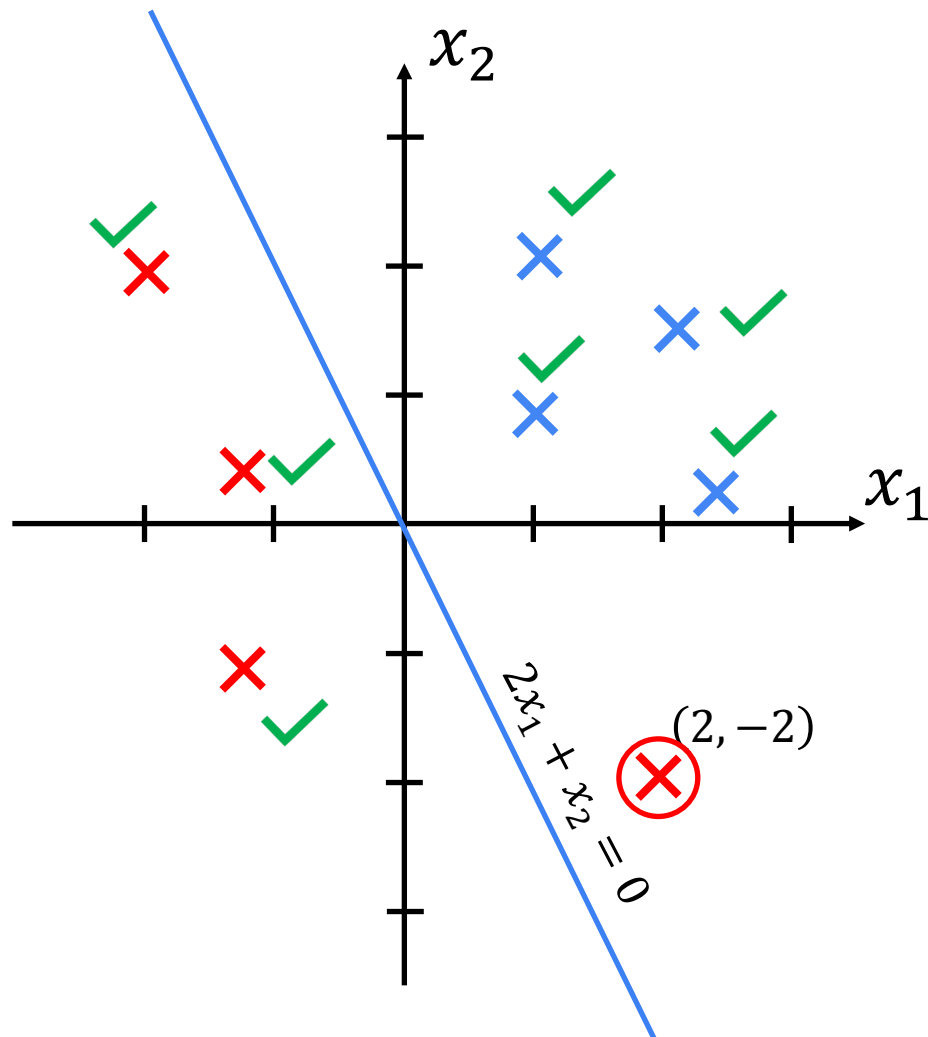
# Perceptron Update Rule

The diagram illustrates the Perceptron Update Rule with the following equation and annotations:

$$\mathbf{w} \leftarrow \mathbf{w} + \eta (y - \hat{y}) \mathbf{x}$$

Annotations:

- new weight**: Points to the  $\mathbf{w}$  on the left side of the equation.
- learning rate**: Points to the  $\eta$  in the equation.
- old weight**: Points to the  $\mathbf{w}$  on the right side of the equation.
- learning error**: Points to the term  $(y - \hat{y})$  in the equation.



$$\mathbf{w} = \begin{bmatrix} 0 \\ 1 \\ 0.5 \end{bmatrix} \quad \mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ x_2 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = x_1 + 0.5x_2$$

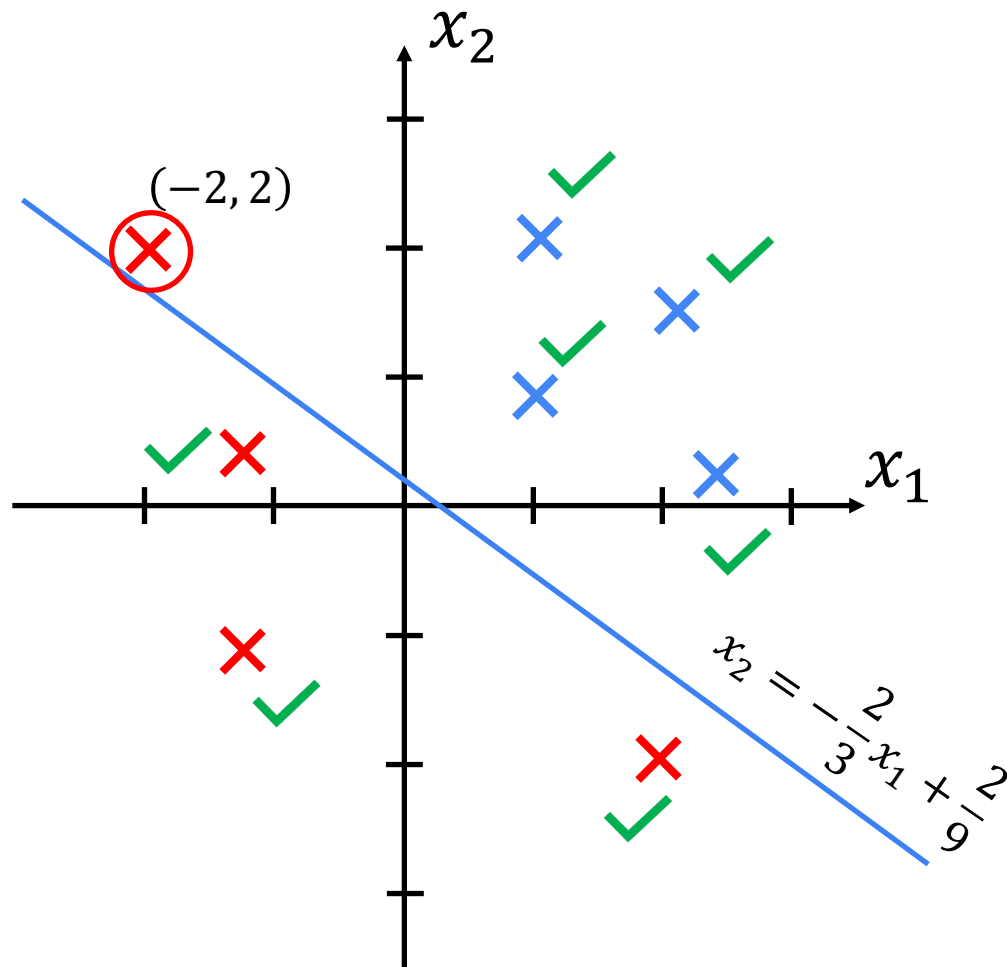
$$\hat{y} = \text{sgn}(\mathbf{w}^T \mathbf{x})$$

$$\mathbf{w} \leftarrow \mathbf{w} + \eta(y - \hat{y})\mathbf{x}$$

$$\begin{bmatrix} 0 \\ 1 \\ 0.5 \end{bmatrix} + 0.1(-1 - 1) \begin{bmatrix} 1 \\ 2 \\ -2 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \\ 0.5 \end{bmatrix} - 0.2 \begin{bmatrix} 1 \\ 2 \\ -2 \end{bmatrix} = \begin{bmatrix} -0.2 \\ 0.6 \\ 0.9 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.2 + 0.6x_1 + 0.9x_2$$



$$\mathbf{w} = \begin{bmatrix} -0.2 \\ 0.6 \\ 0.9 \end{bmatrix} \quad \mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ x_2 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.2 + 0.6x_1 + 0.9x_2$$

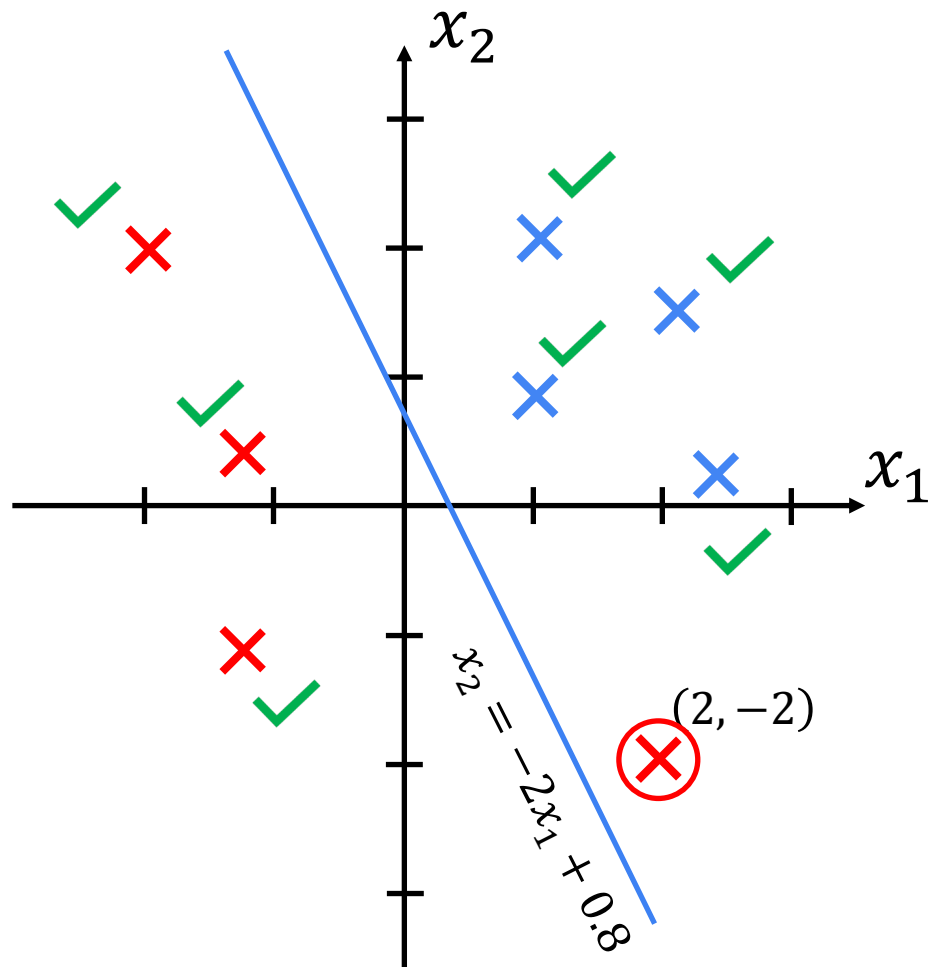
$$\hat{y} = \text{sgn}(\mathbf{w}^T \mathbf{x})$$

$$\mathbf{w} \leftarrow \mathbf{w} + \eta(y - \hat{y})\mathbf{x}$$

$$\begin{bmatrix} -0.2 \\ 0.6 \\ 0.9 \end{bmatrix} + 0.1(-1 - 1) \begin{bmatrix} 1 \\ -2 \\ 2 \end{bmatrix}$$

$$\begin{bmatrix} -0.2 \\ 0.6 \\ 0.9 \end{bmatrix} - 0.2 \begin{bmatrix} 1 \\ -2 \\ 2 \end{bmatrix} = \begin{bmatrix} -0.4 \\ 1 \\ 0.5 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.4 + x_1 + 0.5x_2$$



$$\mathbf{w} = \begin{bmatrix} -0.4 \\ 1 \\ 0.5 \end{bmatrix} \quad \mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ x_2 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.4 + x_1 + 0.5x_2$$

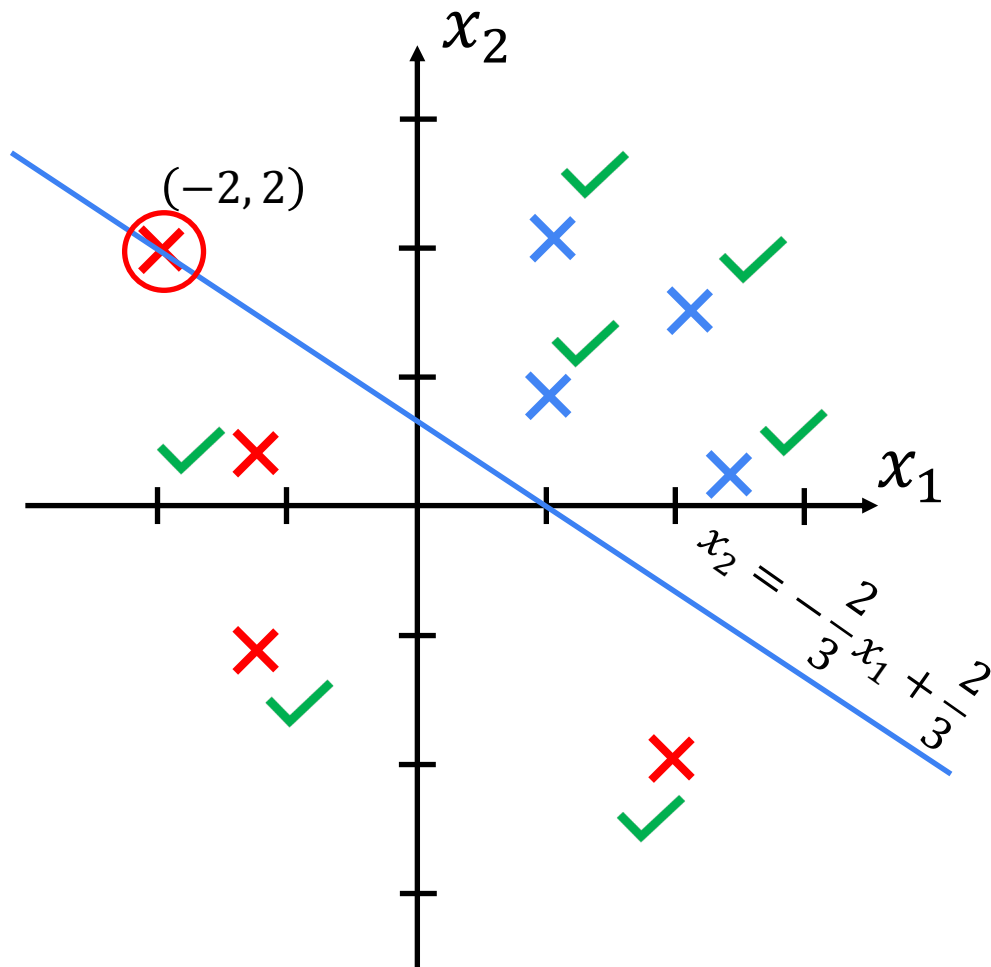
$$\hat{y} = \text{sgn}(\mathbf{w}^T \mathbf{x})$$

$$\mathbf{w} \leftarrow \mathbf{w} + \eta(y - \hat{y})\mathbf{x}$$

$$\begin{bmatrix} -0.4 \\ 1 \\ 0.5 \end{bmatrix} + 0.1(-1 - 1) \begin{bmatrix} 1 \\ 2 \\ -2 \end{bmatrix}$$

$$\begin{bmatrix} -0.4 \\ 1 \\ 0.5 \end{bmatrix} - 0.2 \begin{bmatrix} 1 \\ 2 \\ -2 \end{bmatrix} = \begin{bmatrix} -0.6 \\ 0.6 \\ 0.9 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.6 + 0.6x_1 + 0.9x_2$$



$$\mathbf{w} = \begin{bmatrix} -0.6 \\ 0.6 \\ 0.9 \end{bmatrix} \quad \mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ x_2 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.6 + 0.6x_1 + 0.9x_2$$

$$\hat{y} = \text{sgn}(\mathbf{w}^T \mathbf{x})$$

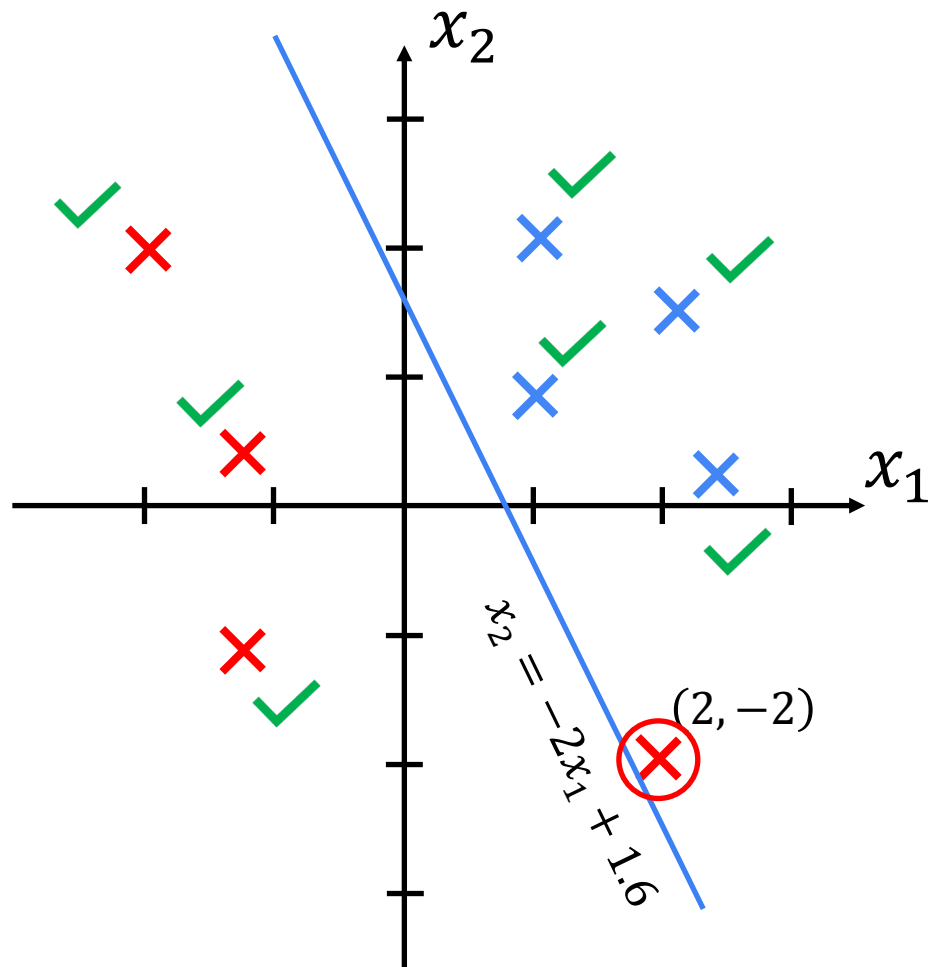
$$\mathbf{w} \leftarrow \mathbf{w} + \eta(y - \hat{y})\mathbf{x}$$

$$\begin{bmatrix} -0.6 \\ 0.6 \\ 0.9 \end{bmatrix} + 0.1(-1 - 1) \begin{bmatrix} 1 \\ -2 \\ 2 \end{bmatrix}$$

$$\begin{bmatrix} -0.6 \\ 0.6 \\ 0.9 \end{bmatrix} - 0.2 \begin{bmatrix} 1 \\ -2 \\ 2 \end{bmatrix} = \begin{bmatrix} -0.8 \\ 1 \\ 0.5 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.8 + x_1 + 0.5x_2$$





$$\mathbf{w} = \begin{bmatrix} -0.8 \\ 1 \\ 0.5 \end{bmatrix} \quad \mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ x_2 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -0.8 + x_1 + 0.5x_2$$

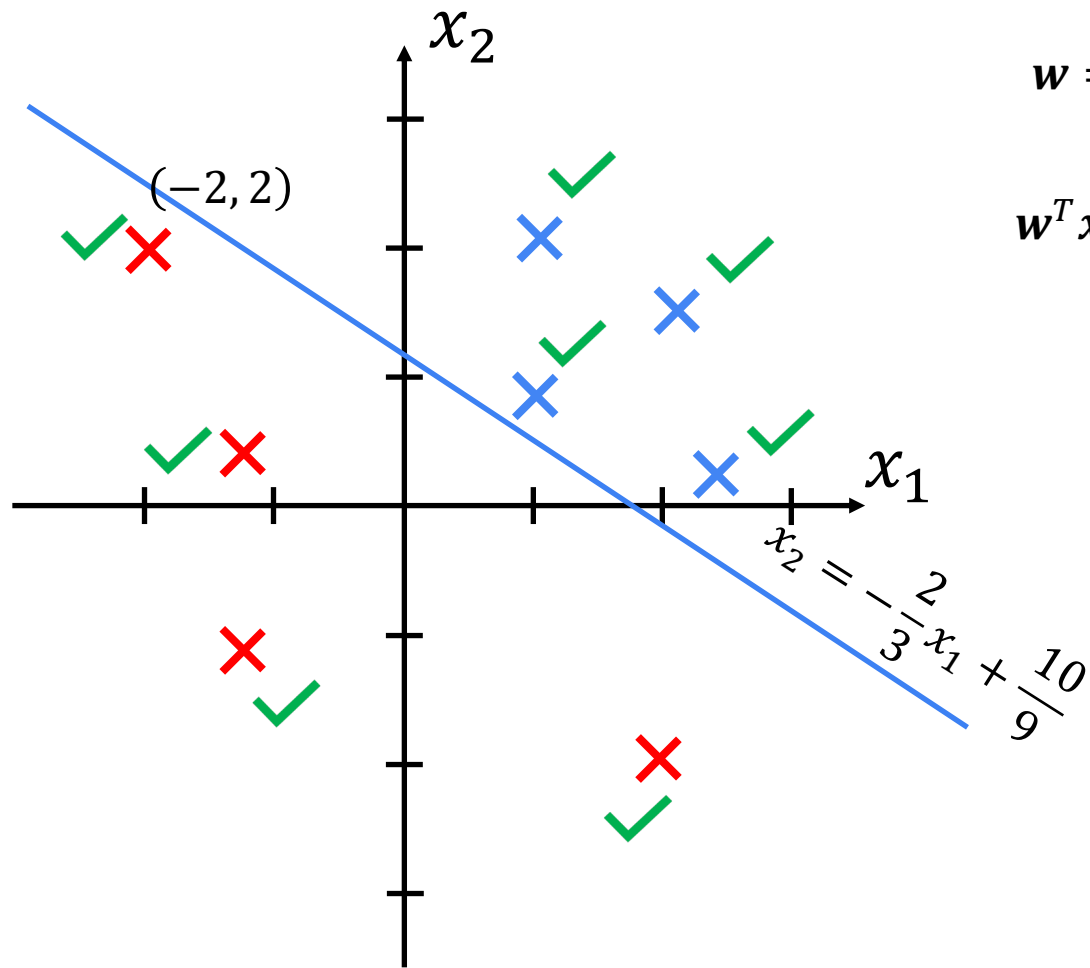
$$\hat{y} = \text{sgn}(\mathbf{w}^T \mathbf{x})$$

$$\mathbf{w} \leftarrow \mathbf{w} + \eta(y - \hat{y})\mathbf{x}$$

$$\begin{bmatrix} -0.8 \\ 1 \\ 0.5 \end{bmatrix} + 0.1(-1 - 1) \begin{bmatrix} 1 \\ 2 \\ -2 \end{bmatrix}$$

$$\begin{bmatrix} -0.8 \\ 1 \\ 0.5 \end{bmatrix} - 0.2 \begin{bmatrix} 1 \\ 2 \\ -2 \end{bmatrix} = \begin{bmatrix} -1 \\ 0.6 \\ 0.9 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -1 + 0.6x_1 + 0.9x_2$$



$$\mathbf{w} = \begin{bmatrix} -1 \\ 0.6 \\ 0.9 \end{bmatrix} \quad \mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ x_2 \end{bmatrix}$$

$$\mathbf{w}^T \mathbf{x} = -1 + 0.6x_1 + 0.9x_2$$

# Phew!

Why does this  
work?

# Perceptron Update Rule

Consider what happens when we have a misclassification:

$$\hat{y} = \text{sgn}\left(\sum_{i=0}^n w_i x_i\right)$$

$$\text{sgn}(z) = \begin{cases} +1 & z \geq 0 \\ -1 & z < 0 \end{cases}$$

$$y = +1, \hat{y} = -1$$

$$y = +1, \mathbf{w}^T \mathbf{x} < 0$$

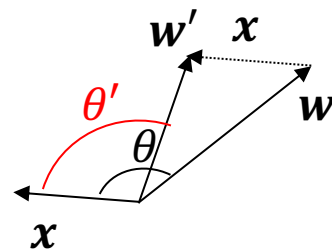
$$y = +1, \|\mathbf{w}\| \cdot \|\mathbf{x}\| \cos \theta < 0$$

$$y = +1, \cos \theta < 0$$

$$y = +1, \frac{\pi}{2} < \theta < \pi$$

Want:  $y = +1, \cos \theta > 0$

$$y = +1, 0 < \theta < \frac{\pi}{2}$$



$$\mathbf{w}' \leftarrow \mathbf{w} + \mathbf{x}$$

$\theta'$  will be closer to  $\frac{\pi}{2}$

# Perceptron Update Rule

Consider what happens when we have a misclassification:

$$\hat{y} = \text{sgn}\left(\sum_{i=0}^n w_i x_i\right)$$

$$\text{sgn}(z) = \begin{cases} +1 & z \geq 0 \\ -1 & z < 0 \end{cases}$$

$$y = -1, \hat{y} = +1$$

$$y = -1, \mathbf{w}^T \mathbf{x} > 0$$

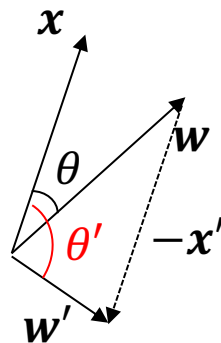
$$y = -1, |\mathbf{w}| \cdot |\mathbf{x}| \cos \theta > 0$$

$$y = -1, \cos \theta > 0$$

$$y = -1, 0 < \theta < \frac{\pi}{2}$$

Want:  $y = -1, \cos \theta < 0$

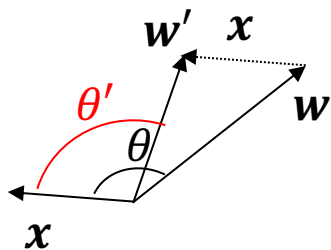
$$y = -1, \frac{\pi}{2} < \theta < \pi$$



$$\mathbf{w}' \leftarrow \mathbf{w} - \mathbf{x}$$

$\theta'$  will be closer to  $\frac{\pi}{2}$

# Perceptron Update Rule



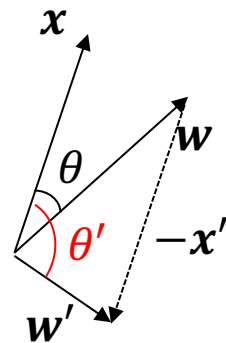
$$y = +1, \hat{y} = -1$$

$$\mathbf{w}' \leftarrow \mathbf{w} + \mathbf{x}$$

$$y - \hat{y} = 2$$

$$\mathbf{w}' \leftarrow \mathbf{w} + 2\eta\mathbf{x}$$

$$\mathbf{w} \leftarrow \mathbf{w} + \eta(y - \hat{y})\mathbf{x}$$



$$y = -1, \hat{y} = +1$$

$$\mathbf{w}' \leftarrow \mathbf{w} - \mathbf{x}$$

$$y - \hat{y} = -2$$

$$\mathbf{w}' \leftarrow \mathbf{w} - 2\eta\mathbf{x}$$



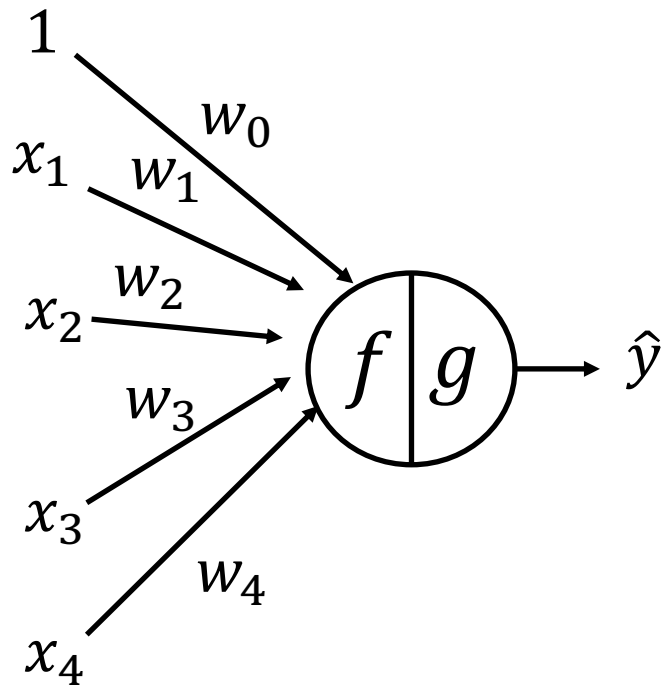
<https://tamas.xyz/perceptron-demo/app/>

# Perceptron Learning Algorithm

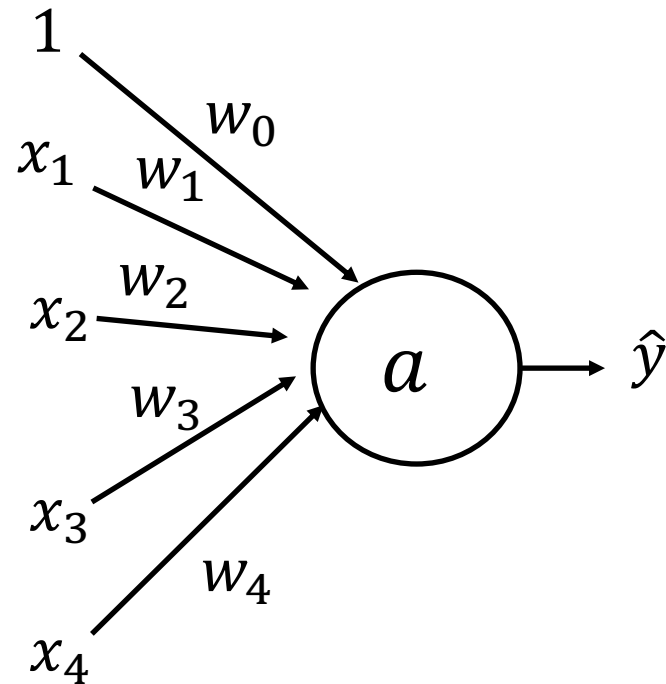
- not robust: Can select any linear model, not deterministic
- Cannot converge on non-linearly separable data



# Single Layer Perceptron (SLP)



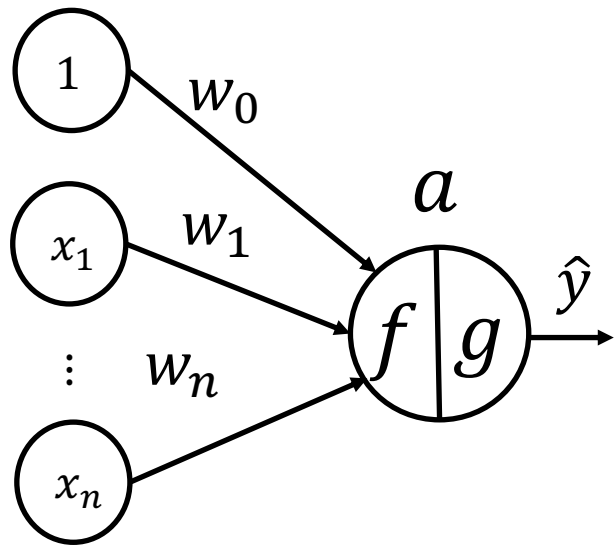
$$f(\mathbf{w}, \mathbf{x}) = \sum_{i=0}^n w_i x_i = \mathbf{w}^T \mathbf{x}$$



$$\hat{y} = a = g(f)$$

# SLP: Linear and Logistic Regression

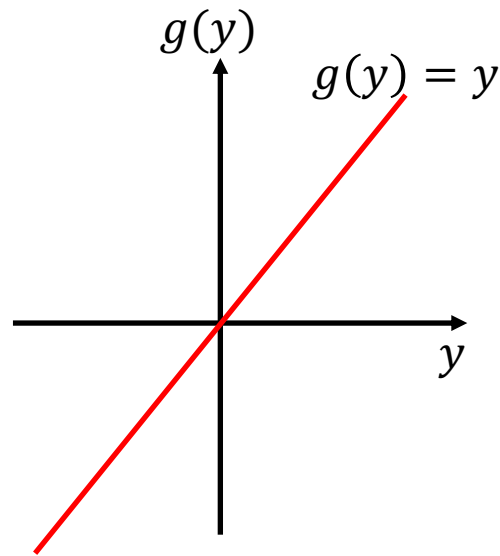
# Linear Regression



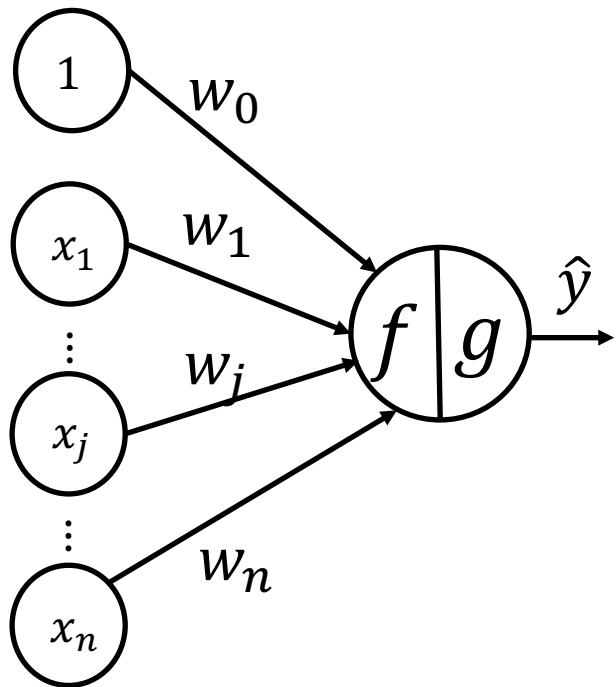
$$a = g(f(\mathbf{x})),$$

$$f(\mathbf{x}) = \mathbf{w}^T \mathbf{x}$$

$$g(y) = y$$



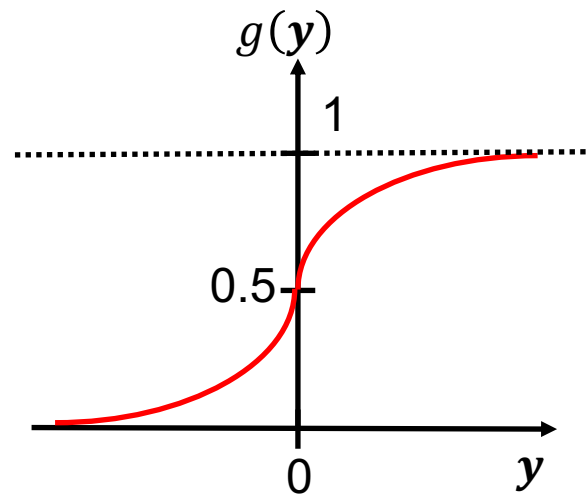
# Logistic Regression (Binary Classification)



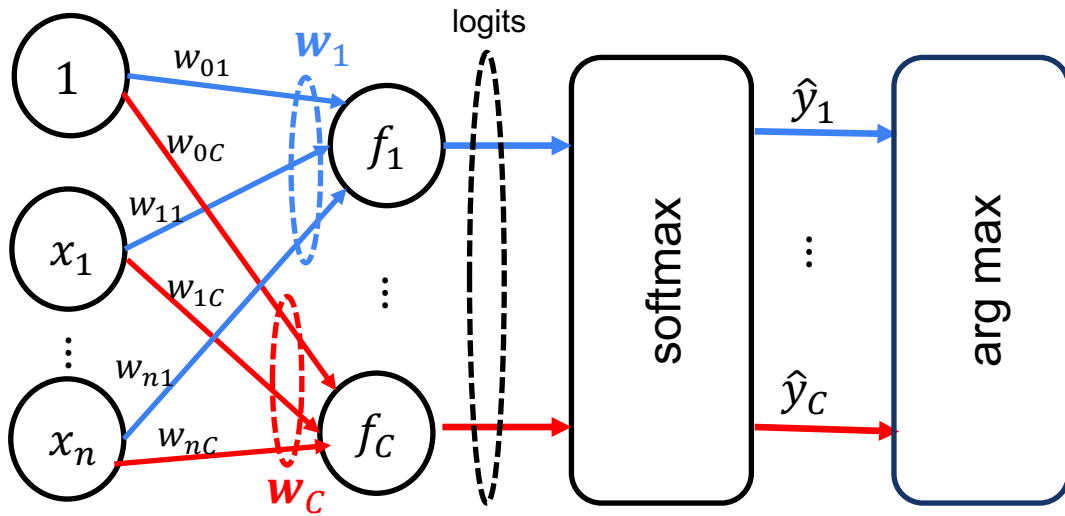
$$a = g(f(\mathbf{x})),$$

$$f(\mathbf{x}) = \mathbf{w}^T \mathbf{x}$$

$$g(y) = \sigma(y) = \frac{1}{1+e^{-y}}$$



# Logistic Regression (Multiclass Classification)



$$\mathbf{w}_i = \begin{bmatrix} w_{0i} \\ w_{1i} \\ \vdots \\ w_{ni} \end{bmatrix}$$

$$\mathbf{x} = \begin{bmatrix} 1 \\ x_1 \\ \vdots \\ x_n \end{bmatrix}$$

$$f_i(\mathbf{x}) = \mathbf{w}_i^T \mathbf{x}$$

$$\begin{bmatrix} \hat{y}_1 \\ \vdots \\ \hat{y}_c \end{bmatrix} = \text{softmax} \left( \begin{bmatrix} f_1(\mathbf{x}) \\ \vdots \\ f_c(\mathbf{x}) \end{bmatrix} \right)$$

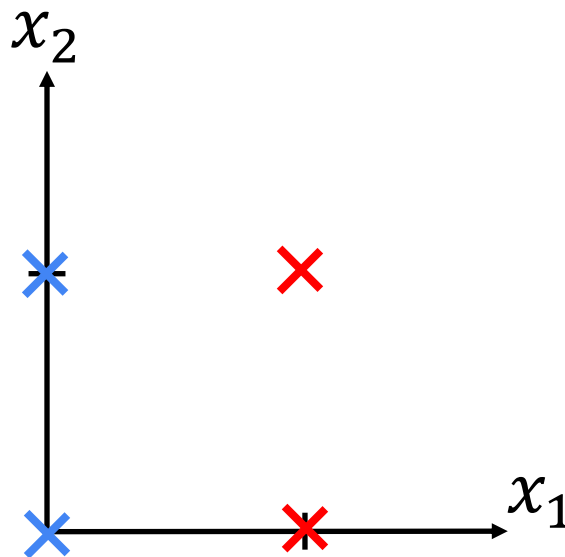
# Why Multiple Layer Perceptron (MLP)?

# Logic Gate Modeling with Perceptron

$X_1$	$X_2$	NOT $X_1$	$X_1$ AND $X_2$	$X_1$ OR $X_2$	$X_1$ XNOR $X_2$
0	0	1	0	0	1
0	1	1	0	1	0
1	0	0	0	1	0
1	1	0	1	1	1

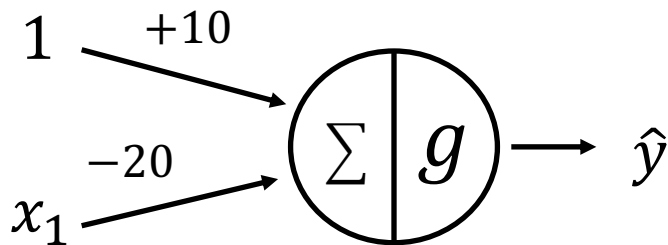
# Example 1: NOT

$x_1$	$x_2$	NOT $x_1$
0	0	1
0	1	1
1	0	0
1	1	0





# Example 1: NOT



$$g(x) = \text{sgn}(x)$$

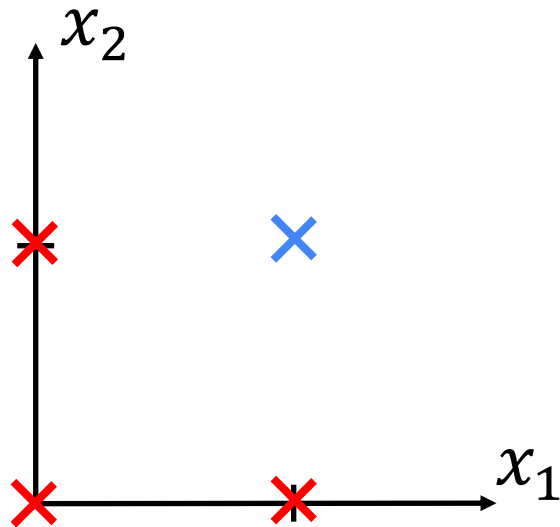
$$\hat{y} = g(\mathbf{w}^T \mathbf{x})$$

Consider  $x_1 \in \{1,0\}$

$x_1$	$\mathbf{w}^T \mathbf{x}$	$\hat{y}$
0	10	1
1	-10	0

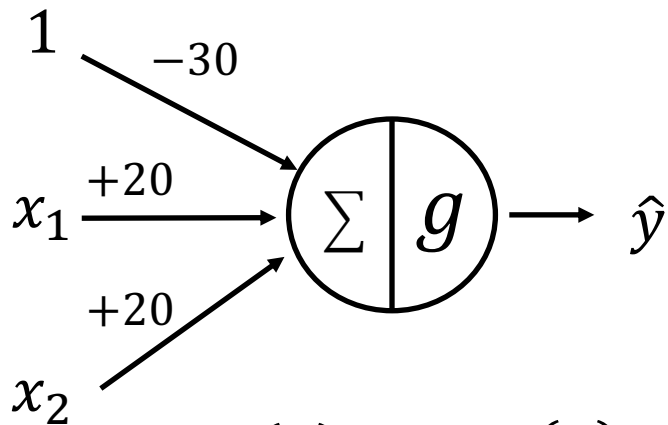
# Example 2: AND

$x_1$	$x_2$	$x_1 \text{ AND } x_2$
0	0	0
0	1	0
1	0	0
1	1	1



# Example 2: AND

Consider  $x_1, x_2 \in \{1,0\}$



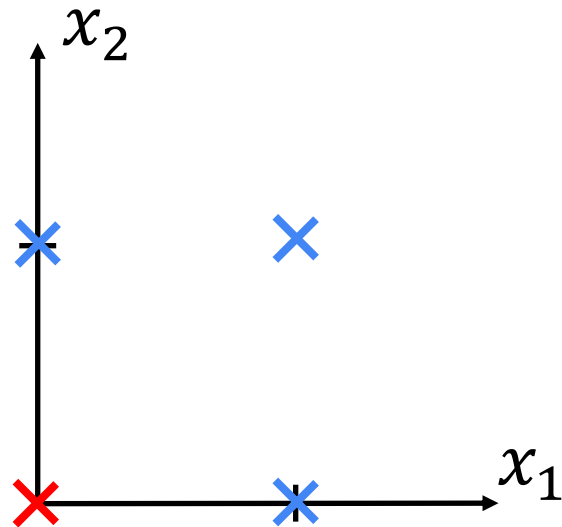
$$g(x) = \text{sgn}(x)$$

$$\hat{y} = g(w^T x)$$

$x_1$	$x_2$	$w^T x$	$\hat{y}$
0	0	$-30$	0
0	1	$-10$	0
1	0	$-10$	0
1	1	$10$	1

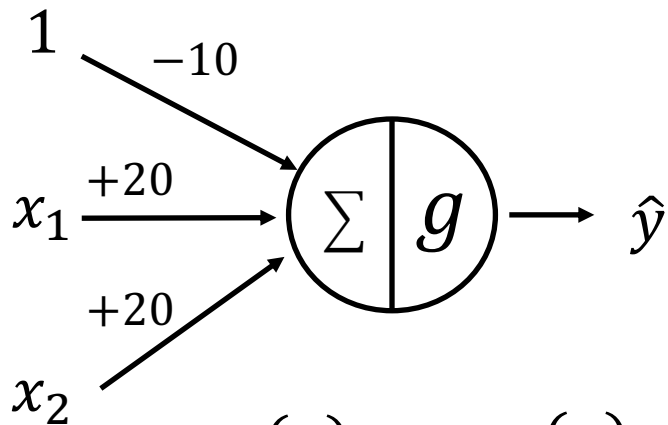
# Example 3: OR

$x_1$	$x_2$	$x_1 \text{ OR } x_2$
0	0	0
0	1	1
1	0	1
1	1	1



# Example 3: OR

Consider  $x_1, x_2 \in \{1,0\}$



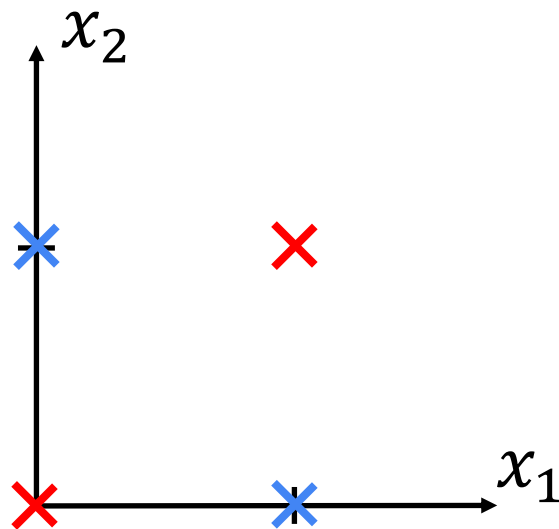
$$g(x) = \text{sgn}(x)$$

$$\hat{y} = g(w^T x)$$

$x_1$	$x_2$	$w^T x$	$\hat{y}$
0	0	-10	0
0	1	10	1
1	0	10	1
1	1	30	1

# Example 3: XNOR

$x_1$	$x_2$	$x_1 \text{ XNOR } x_2$
0	0	1
0	1	0
1	0	0
1	1	1



Not linearly separable

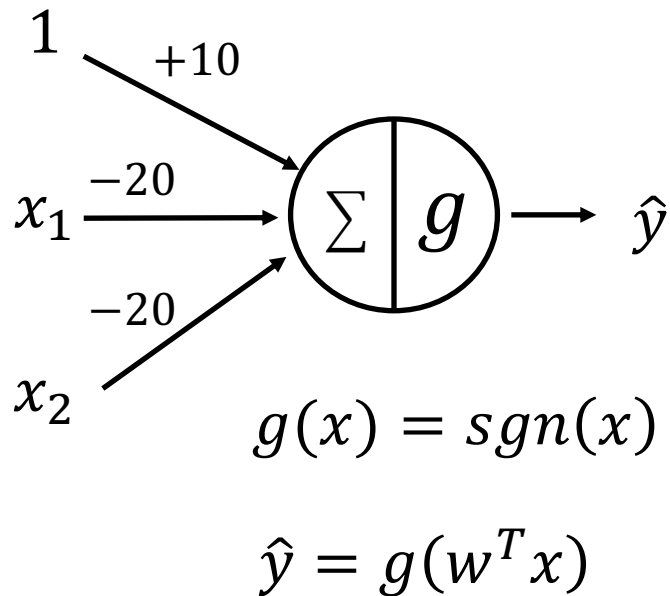
# How to Model XNOR?

$$X_1 \text{ XNOR } X_2 \equiv \neg(X_1 \vee X_2) \vee (X_1 \wedge X_2)$$

$X_1$	$X_2$	$X_1 \text{ NOR } X_2$
0	0	1
0	1	0
1	0	0
1	1	1

$$\neg(X_1 \vee X_2) \equiv \neg X_1 \wedge \neg X_2$$

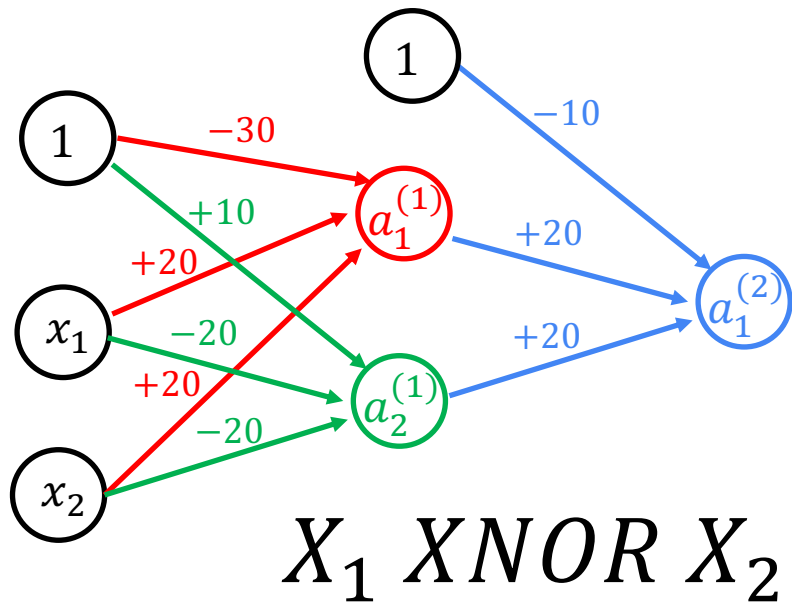
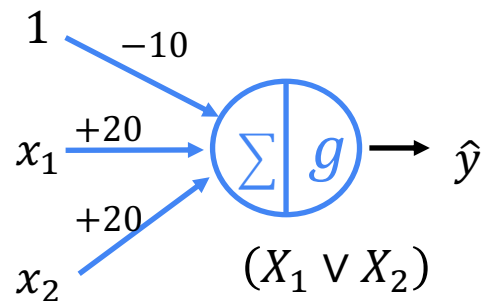
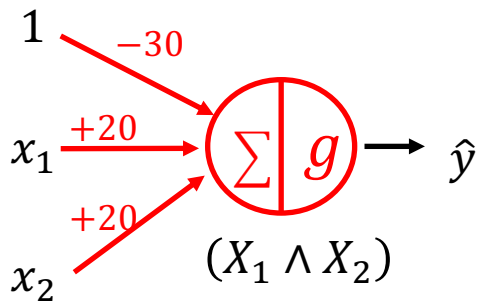
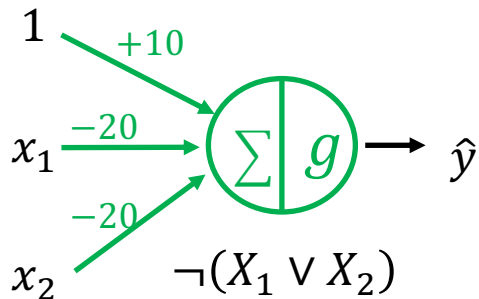
Consider  $x_1, x_2 \in \{1,0\}$



$x_1$	$x_2$	$w^T x$	$\hat{y}$
0	0	10	1
0	1	-10	0
1	0	-10	0
1	1	-30	0



$$X_1 \text{ XNOR } X_2 \equiv \neg(X_1 \vee X_2) \vee (X_1 \wedge X_2)$$



Consider  $x_1, x_2 \in \{1,0\}$

$x_1$	$x_2$	$a_1^{(1)}$	$a_2^{(1)}$	$a_1^{(2)}$
0	0	0	1	1
0	1	0	0	0
1	0	0	0	0
1	1	1	0	1

# Multi-Layer Perceptron

