

# BT5110: Tutorial 9

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## Question

Your company, Apasaja Private Limited, is commissioned by an online company offering several services to design the relational schema the management of their users' profiles. A service is fully described and identified by its name. Each user can register to one or more services. A user is uniquely identified by her email as well as by her mobile number. Each user has both a postal address and a country of residence. The postal address, however, unambiguously identifies the country in which it is located. There can be several users with the same address. However, we are only given an abstract schema for this application. Consider the relations  $R = \{A, B, C, D, E\}$  with the set of functional dependencies  $\Sigma = \{\{A\} \rightarrow \{A, B, C\}, \{A, B\} \rightarrow \{A\}, \{B, C\} \rightarrow \{A, D\}, \{B\} \rightarrow \{A, B\}, \{C\} \rightarrow \{D\}\}$ .

# Question

## 1. Normal Forms

- (a) Is  $R$  with  $\Sigma$  in 3NF?
- (b) Is  $R$  with  $\Sigma$  in BCNF?

## 2. Normalisation

- (a) Synthesise  $R$  with  $\Sigma$  into a 3NF decomposition using the algorithm from the lecture.
- (b) Is the result lossless?
- (c) Is the result dependency preserving?
- (d) Is the result in BCNF?
- (e) Decompose  $R$  with  $\Sigma$  into a BCNF decomposition using the algorithm from the lecture.
- (f) Is the result lossless?
- (g) Is the result dependency preserving?

# Question 1.a. Is $R$ with $\Sigma$ in 3NF?

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## Criteria for 3NF

If  $X \rightarrow \{A\} \in \Sigma$ :

- $X \rightarrow \{A\}$  is trivial or
- $X$  is a superkey or
- $A$  is a prime attribute

# Question 1.a. Is $R$ with $\Sigma$ in 3NF?

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- $X \rightarrow \{A\}$  is trivial **or**
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**Compact minimal cover:**  $\{\{A\} \rightarrow \{B, C\}, \{B\} \rightarrow \{A\}, \{C\} \rightarrow \{D\}\}$

**Candidate keys:**  $\{A, E\}$  and  $\{B, E\}$

**Prime attributes:**  $A, B, E$

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We notice that  $\{A\} \rightarrow \{C\}$  is non-trivial,  $A$  is not a superkey and  $C$  is not a prime attribute

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We notice that  $\{A\} \rightarrow \{C\}$  is non-trivial,  $A$  is not a superkey and  $C$  is not a prime attribute  $\implies R$  with  $\Sigma$  is **not in 3NF**.



# Question 1.b. Is $R$ with $\Sigma$ in BCNF?

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### Criteria for BCNF

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## Question 1.b. Is $R$ with $\Sigma$ in BCNF?

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### Criteria for BCNF

If  $X \rightarrow \{A\} \in \Sigma$ :

- $X \rightarrow \{A\}$  is trivial **or**
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$\implies$  The criteria of being in BCNF are stricter than those of being in 3NF.

## Question 1.b. Is $R$ with $\Sigma$ in BCNF?

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### Criteria for BCNF

If  $X \rightarrow \{A\} \in \Sigma$ :

- $X \rightarrow \{A\}$  is trivial **or**
- $X$  is a superkey

$\implies$  The criteria of being in BCNF are stricter than those of being in 3NF.

As  $R$  with  $\Sigma$  is not in 3NF, it **cannot be** in BCNF.

Question 2.a. Synthesize  $R$  with  $\Sigma$  into a 3NF decomposition using the algorithm from the lecture.

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**Fragments:**  $R_1 = \{A, B, C\}, R_2 = \{A, B\}, R_3 = \{C, D\}$

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**Fragments:**  $R_1 = \{A, B, C\}, R_2 = \{A, B\}, R_3 = \{C, D\}$

$R_2$  is subsumed by  $R_1$ . Thus we remove  $R_2$ .



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**Now we have:**  $R_1 = \{A, B, C\}, R_3 = \{C, D\}$

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**Now we have:**  $R_1 = \{A, B, C\}, R_3 = \{C, D\}$

None of the fragments contain a candidate key. Thus we choose  $\{A, E\}$  (we could choose  $\{B, E\}$  as well) to add as another fragment  $R_4 = \{A, E\}$ .

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**Finally we have:**  $R_1 = \{\underline{A}, \underline{B}, C\}, R_3 = \{\underline{C}, D\}, R_4 = \{\underline{A}, \underline{E}\}$

## Question 2.b. Is the result lossless?

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Yes. It is guaranteed to be lossless by the algorithm.

## Question 2.c. Is the result dependency preserving?

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Yes. It is guaranteed to be dependency preserving by the algorithm.

## Question 2.d. Is the result in BCNF?

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<sup>1</sup>Dependency projection algorithm: Algorithm 1





## Question 2.d. Is the result in BCNF?

$$R_1 = \{A, B, C\}$$

$$\Sigma_1 = \{\{A\} \rightarrow$$

$$\{A, B, C\}, \{A, B\} \rightarrow$$

$$\{A\}, \{B, C\} \rightarrow \{A\}, \{B\} \rightarrow$$

$$\{A, B\}\}$$

**Candidate keys:**  $\{A\}, \{B\}$

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$$\{A\}, \{B, C\} \rightarrow \{A\}, \{B\} \rightarrow$$

$$\{A, B\}\}$$

**Candidate keys:**  $\{A\}, \{B\}$

$$R_3 = \{C, D\}$$

$$\Sigma_3 = \{\{C\} \rightarrow \{D\}\}$$

**Candidate keys:**  $\{C\}$

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$$\{A\}, \{B, C\} \rightarrow \{A\}, \{B\} \rightarrow$$

$$\{A, B\}\}$$

**Candidate keys:**  $\{A\}, \{B\}$

$$R_3 = \{C, D\}$$

$$\Sigma_3 = \{\{C\} \rightarrow \{D\}\}$$

**Candidate keys:**  $\{C\}$

$$R_4 = \{A, E\}$$

$$\Sigma_4 = \emptyset$$

**Candidate keys:**  $\{A, E\}$

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 $\{A\}, \{B, C\} \rightarrow \{A\}, \{B\} \rightarrow$   
 $\{A, B\}\}$   
**Candidate keys:**  $\{A\}, \{B\}$

$R_3 = \{C, D\}$   
 $\Sigma_3 = \{\{C\} \rightarrow \{D\}\}$   
**Candidate keys:**  $\{C\}$

$R_4 = \{A, E\}$   
 $\Sigma_4 = \emptyset$   
**Candidate keys:**  $\{A, E\}$

$\Sigma_1, \Sigma_3$  and  $\Sigma_4$  are projected dependencies. We obtain these by projecting  $\Sigma$  on  $R_1, R_3$  and  $R_4$  respectively.<sup>1</sup>

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 $\{A\}, \{B, C\} \rightarrow \{A\}, \{B\} \rightarrow$   
 $\{A, B\}\}$   
**Candidate keys:**  $\{A\}, \{B\}$

$R_3 = \{C, D\}$   
 $\Sigma_3 = \{\{C\} \rightarrow \{D\}\}$   
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$R_4 = \{A, E\}$   
 $\Sigma_4 = \emptyset$   
**Candidate keys:**  $\{A, E\}$

$\Sigma_1, \Sigma_3$  and  $\Sigma_4$  are projected dependencies. We obtain these by projecting  $\Sigma$  on  $R_1, R_3$  and  $R_4$  respectively.<sup>1</sup>

$R_1$  with  $\Sigma_1$ ,  $R_3$  with  $\Sigma_3$  and  $R_4$  with  $\Sigma_4$  satisfy the BCNF criteria individually.

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## Question 2.d. Is the result in BCNF?

$R_1 = \{A, B, C\}$   
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 $\{A\}, \{B, C\} \rightarrow \{A\}, \{B\} \rightarrow$   
 $\{A, B\}\}$   
**Candidate keys:**  $\{A\}, \{B\}$

$R_3 = \{C, D\}$   
 $\Sigma_3 = \{\{C\} \rightarrow \{D\}\}$   
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 $\Sigma_4 = \emptyset$   
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$\Sigma_1, \Sigma_3$  and  $\Sigma_4$  are projected dependencies. We obtain these by projecting  $\Sigma$  on  $R_1, R_3$  and  $R_4$  respectively.<sup>1</sup>

$R_1$  with  $\Sigma_1$ ,  $R_3$  with  $\Sigma_3$  and  $R_4$  with  $\Sigma_4$  satisfy the BCNF criteria individually.

Thus the result is in BCNF. (This is not a general case though. There is no guarantee that synthesising a 3NF will yield a BCNF too.)

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Question 2.e. Decompose  $R$  with  $\Sigma$  into a BCNF decomposition using the algorithm from the lecture.

We found that  $\{A\} \rightarrow \{C\}$  violates the BCNF condition. ( $A$  is not a superkey)

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So, we decompose  $R$  with  $\Sigma$  as below:

$R_1 = \{A\}^+ = \{A, B, C, D\}$  with  $\Sigma_1 = \{\{A\} \rightarrow \{C\}, \{A\} \rightarrow \{B\}, \{B\} \rightarrow \{A\}, \{C\} \rightarrow \{D\}\}$

$R_2 = \{A, E\}$  with  $\Sigma_2 = \emptyset$



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$R_2 = \{A, E\}$  with  $\Sigma_2 = \emptyset$

$R_2$  with  $\Sigma_2$  is in BCNF.

$R_1$  with  $\Sigma_1$  is not in BCNF because  $\{C\} \rightarrow \{D\}$  violates the BCNF condition.

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$R_2 = \{A, E\}$  with  $\Sigma_2 = \emptyset$

$R_2$  with  $\Sigma_2$  is in BCNF.

$R_1$  with  $\Sigma_1$  is not in BCNF because  $\{C\} \rightarrow \{D\}$  violates the BCNF condition.

We decompose it into two fragments:

$R_{1.1} = \{C\}^+ = \{C, D\}$  with  $\Sigma_{1.1} = \{\{C\} \rightarrow \{D\}\}$

$R_{1.2} = \{A, B, C\}$  with  $\Sigma_{1.2} = \{\{A\} \rightarrow \{B\}, \{A\} \rightarrow \{C\}, \{B\} \rightarrow \{A\}\}$

$R_{1.1}$  with  $\Sigma_{1.1}$  is in BCNF.

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So, we decompose  $R$  with  $\Sigma$  as below:

$R_1 = \{A\}^+ = \{A, B, C, D\}$  with  $\Sigma_1 = \{\{A\} \rightarrow \{C\}, \{A\} \rightarrow \{B\}, \{B\} \rightarrow \{A\}, \{C\} \rightarrow \{D\}\}$

$R_2 = \{A, E\}$  with  $\Sigma_2 = \emptyset$

$R_2$  with  $\Sigma_2$  is in BCNF.

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We decompose it into two fragments:

$R_{1.1} = \{C\}^+ = \{C, D\}$  with  $\Sigma_{1.1} = \{\{C\} \rightarrow \{D\}\}$

$R_{1.2} = \{A, B, C\}$  with  $\Sigma_{1.2} = \{\{A\} \rightarrow \{B\}, \{A\} \rightarrow \{C\}, \{B\} \rightarrow \{A\}\}$

$R_{1.1}$  with  $\Sigma_{1.1}$  is in BCNF.

$R_{1.2}$  with  $\Sigma_{1.2}$  is in BCNF.

The final BCNF decomposition is:  $R_2$ ,  $R_{1.1}$ , and  $R_{1.2}$ .

## Question 2.f. Is the result lossless?

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Yes. The result is guaranteed to be lossless by the algorithm.

## Question 2.g. Is the result dependency preserving?

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This is not guaranteed for BCNF decomposition. It may not always be dependency preserving.



# Dependency Projection Algorithm

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## Algorithm 1 Computing FD Projections (closure-based)

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**Require:**  $\Sigma$  : set of functional dependencies on schema  $R$

**Require:**  $R' \subseteq R$  : attribute set to project onto

**Ensure:**  $\Sigma'$  : projection of  $\Sigma$  onto  $R'$

```
1:  $\Sigma' \leftarrow \emptyset$ 
2: for all  $Y \subseteq R'$  do
3:    $T \leftarrow \text{CLOSURE}(Y, \Sigma)$  // compute  $Y^+$  w.r.t.  $\Sigma$ 
4:    $H \leftarrow T \cap R'$ 
5:    $\Sigma' \leftarrow \Sigma' \cup \{Y \rightarrow H\}$  // optionally emit unit FDs:  $\{Y \rightarrow A \mid A \in H \setminus Y\}$ 
6: end for
7: return  $\Sigma'$ 
```

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