

3.6 Dimension

Lemma 3.6.1. Steinitz Exchange Lemma

Let V be a vector space over F . Take $X \subseteq V$ and suppose $u \in \text{Span}(X)$ but $u \notin \text{Span}(X \setminus \{v\})$ for some $v \in X$. Let $Y = (X \setminus \{v\}) \cup \{u\}$ (i.e., we “exchange v for u ”). Then $\text{Span}(X) = \text{Span}(Y)$.

This lemma is essential to being able to define the dimension of a vector space - and relies on being able to invert elements in the field.

Exercise 3.6.2. Verify the Steinitz exchange lemma where:

- $V = \mathbb{R}^3$
- $X = \{e_1, e_2\}$
- $u = \begin{pmatrix} 2 \\ 3 \\ 0 \end{pmatrix}$

Theorem 3.6.3. Let V be a finite dimensional vector space over F . Let S, T be finite subsets of V . If S is LI and T spans V then $|S| \leq |T|$. That is, LI sets are at most as big as spanning sets.

Corollary 3.6.4. Let V be a finite dimensional vector space. Let S, T be bases of V , then S and T are both finite and $|S| = |T|$.

Definition 3.6.5. Let V be a finite dimensional vector space. The *dimension of V* , written $\dim V$, is the size of any basis of V .

Remark 3.6.6 Note that we needed Corollary 3.6.4 and thus the SEL to know that the size of a basis is unique (a basis certainly isn't).

Example 3.6.7. In PS2 you were asked to describe all the subspaces of \mathbb{R}^3 this becomes much easier once we know about dimensions. \mathbb{R}^3 is an \mathbb{R} vector space of dimension 3.

As subspaces are vector spaces in their own right so they also have dimensions, and these must be less than or equal to 3:

- dim 3: the only subspace of dimension 3 is \mathbb{R}^3
- dim 2: planes going through the origin
- dim 1: lines going through the

$$\bullet \dim 0: \left\{ \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \right\}$$

Lemma 3.6.8. Suppose that $\dim V = n$:

1. Any spanning set of size n is a basis.
2. Any linearly independent set of size n is a basis.
3. S is a spanning set if and only if it contains a basis (as a subset).
4. S is linearly independent if and only if it is contained in a basis (i.e. it's a subset of a basis).
5. Any subset of V of size $> n$ is linearly dependent.

Proof: Exercise.

3.7 More subspaces

Definition 3.7.1. Let V be a vector space, U and W be subspaces of V .

- The *intersection* of U and W is:

$$U \cap W = \{v \in V : v \in W \text{ and } v \in U\}$$

- The *sum* of U and W is:

$$U + W = \{u + w : u \in U, w \in W\}$$

Remark 3.7.2. $U \subseteq U + W$ and $W \subseteq U + W$. This is because $0 \in U$ and $0 \in W$, so for every $u \in U$, $u = u + 0 \in U + W$. Similarly, for every $w \in W$, $w = 0 + w \in U + W$

Example 3.7.3. Let $V = \mathbb{R}^2$ over \mathbb{R} , $U = \text{Span}\{(1, 0)\}$, $W = \text{Span}\{(0, 1)\}$. *Claim* $U + W = \mathbb{R}^2$.

Proof: Let $(\lambda, \mu) \in \mathbb{R}^2$ then $(\lambda, 0) \in U$, $(0, \mu) \in W$ so

$$(\lambda, \mu) = (\lambda, 0) + (0, \mu) \in U + W$$

Exercise 3.7.4. Let U and W be subspaces of V an F -vector space. Then $U + W$ and $U \cap W$ are subspaces of V .

Proposition 3.7.5. Let V be a vector space over F . Let U and W be subspaces of V , suppose additionally:

- $U = \text{Span}\{u_1, \dots, u_s\}$
- $W = \text{Span}\{w_1, \dots, w_r\}$

Then $U + W = \text{Span}\{u_1, \dots, u_s, w_1, \dots, w_r\}$.

Example 3.7.6. Let $V = \mathbb{R}^2$, let $U = \text{Span}\{(0, 1)\}$, $W = \text{Span}\{(1, 0)\}$. Then by proposition 3.7.5 we have $U + W = \text{Span}\{(0, 1), (1, 0)\} = \mathbb{R}^2$. Agrees with example 3.7.3.

Example 3.7.7. Let $V = \mathbb{R}^3$ and:

Let $U = \{(x_1, x_2, x_3) \in \mathbb{R}^3 : x_1 + x_2 + x_3 = 0\}$

Let $W = \{(x_1, x_2, x_3) \in \mathbb{R}^3 : -x_1 + 2x_2 + x_3 = 0\}$

Question: Find bases for U , W , $U \cap W$, $U + W$.

Remark 3.7.8. A neater way of finding a basis for $U + W$ would have been to use the basis for $U \cap W$. Since $U \cap W \subset U$ we can find a basis for U containing our basis for $U \cap W$ and similarly for W . The union of these bases will be a basis for $U + W$.

For instance, a basis for U is $\{(1, 0, -1), (1, 2, -3)\}$, and a basis for W is $\{(1, 0, 1), (1, 2, -3)\}$, so a basis for $U + W$ is $\{(1, 0, 1), (1, 0, -1), (1, 2, -3)\}$. Note that this has three elements, and $\dim(U + W) = 3$ so as this is a spanning set it must be a basis.

Theorem 3.7.9. Let V be a vector space over F , U and W subspaces of V . Then

$$\dim(U + W) = \dim U + \dim W - \dim(U \cap W).$$

