

GEOMETRY OF CURVES AND SURFACES  
2016 SUMMER EXAMINATION  
SOLUTIONS

PROBLEM 1.

(a) The map  $\phi(x, y) = (x, y, f(x, y))$  is a diffeomorphism. Indeed, it is smooth (since  $f$  is smooth), bijective and the vectors  $\frac{\partial \phi}{\partial x} = (1, 0, f_x)$  and  $\frac{\partial \phi}{\partial y} = (0, 1, f_y)$  are linearly independent for all  $x$  and  $y$ .

(seen similar, 6 marks).

(b) The curve  $C$  can be parametrized by  $\gamma(\theta) = (\cos(\theta), \sin(\theta), f(\cos(\theta), \sin(\theta)))$ . The length is then given by  $\int_0^{2\pi} |\gamma'(\theta)| d\theta = \int_0^{2\pi} \sqrt{1 + (-\sin(\theta) \frac{\partial f}{\partial x} + \cos(\theta) \frac{\partial f}{\partial y})^2} d\theta$

(seen similar, 6 marks)

(c) For each regular closed curve  $\gamma \in S$  define its turning number to be the turning number of  $\phi(\gamma) \subset \mathbb{R}^2$ . We claim that two curves in  $S$  are regularly homotopic if and only if they have the same turning number. If direction: suppose  $\gamma_1$  and  $\gamma_2$  have the same turning number; by Whitney-Graustein theorem there exists a regular homotopy between  $\phi(\gamma_1)$  and  $\phi(\gamma_2)$ ; since  $\phi$  is a diffeomorphism we can compose the regular homotopy with  $\phi^{-1}$  to obtain a regular homotopy between  $\gamma_1$  and  $\gamma_2$ . Only if direction: suppose  $\gamma_1$  and  $\gamma_2$  have different turning numbers; if there was a regular homotopy between them then by composing with  $\phi$  we would obtain a regular homotopy between two curves with different turning numbers in the plane, violating Whitney-Graustein theorem.

(seen similar, 8 marks)

PROBLEM 2.

(a) By Sard's lemma there exists a regular value  $y \in S_2$ . Since  $f$  is onto  $f^{-1}(y)$  is non-empty. For any point  $x \in f^{-1}(y)$  we have that  $df_x$  has full rank.

(unseen, 8 marks)

(b) Since  $\langle v, w \rangle = \langle df_p(v), df_p(w) \rangle$  we have that  $df_p$  has full rank for every  $p$ . By the Inverse Function Theorem the restriction of  $f$  to a small neighbourhood  $U$  of  $p$  is a diffeomorphism onto  $f(U)$ . Then  $f$  is also an isometry between  $U$  and  $f(U)$ . By Gauss Theorema Egregium we have  $K(p) = K(f(p))$ .

(seen, 6 marks)

(c) No. The two surfaces are locally isometric, but not necessarily isometric. Consider for example  $S_1$  a plane,  $S_2$  a cylinder and  $f = (\cos(2\pi x), \sin(2\pi x), y)$ . Compute (as we did in class) that  $\langle v, w \rangle = \langle df_p(v), df_p(w) \rangle$  for every  $v, w \in T_p S_1$ . However, this map is not injective and hence is not an isometry.

(seen similar, 6 marks)

### PROBLEM 3.

(a) For any curve  $\gamma(t)$  in  $S$  we have  $\langle \gamma'(t), N(\gamma(t)) \rangle = 0$ . Hence,  $\frac{d}{dt} \langle \gamma'(t), N(\gamma(t)) \rangle = \langle \gamma''(t), N(\gamma(t)) \rangle + \langle \gamma'(t), \frac{d}{dt} N(\gamma(t)) \rangle = 0$ . Therefore,  $\langle \gamma'(t), dN_{\gamma(t)}(\gamma'(t)) \rangle = \langle \gamma'(t), \frac{d}{dt} N(\gamma(t)) \rangle = -\langle \gamma''(t), N(\gamma(t)) \rangle$ .

(seen, 7 marks)

(b) Let  $\gamma$  be a curve in  $S$  parametrized by the arc length with  $\gamma(0) = p$  and  $\gamma'(0) = X$ . Then by part (a) we have that  $\sigma_p(X, X)$  is the component of the curvature  $k_\gamma(0)$  in the direction  $N$ . In particular, if  $\gamma$  is obtained by intersecting  $S$  with a plane  $P$  spanned by  $N$  and  $X$  then its curvature vector must be parallel to  $N$  and hence its absolute value is equal to  $|\sigma_p(X, X)|$ .

(seen, 6 marks)

(c) We showed in class that the second fundamental form is a symmetric operator with principal directions  $E_1$  and  $E_2$  as the eigenvectors. Decompose  $\gamma'(0) = a_1 E_1 + a_2 E_2$ . Since  $\gamma$  is a geodesic we have that  $|\gamma''(0)| = |\langle \gamma''(0), N(p) \rangle| = (a_1^2 \lambda_1 + a_2^2 \lambda_2)$ . Since  $\lambda_1 \leq \lambda_2$  and  $a_1^2 + a_2^2 = 1$  we get  $\lambda_1 \leq |\gamma''(0)| \leq \lambda_2$ .

(seen similar, 7 marks)

### PROBLEM 4.

a) From (STATEMENT 3) it follows that surface  $S_2$  is a totally umbilic surface in  $\mathbb{R}^3$ . In class we proved that this implies that  $S_2$  is a sphere. A connected sum with the sphere does not change the Euler characteristic of any surface. Hence,  $S_1$  is an orientable compact surface with Euler characteristic 0, so by classification of surfaces theorem it is diffeomorphic to a torus.  $S_3$  has Euler characteristic 1, so by classification theorem it is diffeomorphic to  $\mathbb{R}P^2$ .

Alternatively, one can use (STATEMENT 2) to conclude that surface  $S_1$  has Gaussian curvature 0 everywhere (by Gauss Theorema Egregium) and so by Gauss-Bonnet Theorem it has Euler characteristic 0. Since it's orientable it must be a torus.

(unseen, 8 marks)

b) We showed in class that every surface in  $\mathbb{R}^3$  must have a point with  $K > 0$ . This follows by considering the largest radius  $r$  for which the sphere  $S_r = \{x^2 + y^2 + z^2 = r^2\}$  has a non-empty intersection. One notices then that at the point of intersection  $p$  the Gaussian curvature of the surface must be larger than  $\frac{1}{r^2}$ , the Gaussian curvature of  $S_r$ .

By Gauss-Bonnet theorem  $\int_T K dA = 0$ . Hence,  $T$  must also contain a point with  $K < 0$ . Connect these two points by a path. Since  $K$  is continuous there will be a point on the path with  $K = 0$ .

(unseen, 6 marks)

c) Think of an analogy with the circle  $S^1 = \{(x, y, 0) | x^2 + y^2 = 1\} \subset \mathbb{R}^3$ . By Whitney-Graustein theorem there is no regular homotopy turning it inside out while keeping it in the  $xy$  plane. However, in 3 dimensions we can rotate the circle around the  $x$  axis by 180 degrees. Explicitly,  $f_t(x, y) = (x, y \cos(\pi t), y \sin(\pi t))$  is a homotopy that turns  $S^1$  into the same circle with the opposite orientation through circles of equal length.

Guided by this analogy we define  $f_t(x, y, z) = (x, y, z \cos(t\pi), z \sin(t\pi))$ . For each  $t$ ,  $f_t(S^2)$  is isometric to the standard sphere (since it differs from  $S^2$  by a rigid motion in  $\mathbb{R}^4$ ) and  $f_1(x, y, z) = (x, y, -z, 0)$ .

(unseen, 6 marks)

PROBLEM 5. (Mastery question)

a) Let  $S_r = \{(x, y, z) | x^2 + y^2 + z^2 = r^2\}$ . We showed in class that  $S_r$  has constant  $K = \frac{1}{r^2} > 0$ . But as  $r \rightarrow 0$  the area  $\text{Area}(S_r) = 4\pi r^2 \rightarrow 0$ . It follows that  $\mu(S^2) \leq \inf\{\text{Area}(S_r)\} = 0$ .

(unseen, 7 marks)

b) By Gauss-Bonnet theorem  $\int_{\Sigma_g} K dA = 2\pi(2 - 2g)$ . Decomposing  $\Sigma_g$  into  $U_-$  and  $U_+ = \Sigma_g \setminus U_-$  we have  $\int_{\Sigma_g} K dA = -\int_{U_-} |K| dA + \int_{U_+} |K| dA$ . Since  $|K| \leq 1$  on  $U_-$  we obtain  $\int_{U_-} 1 dA \geq \int_{U_-} |K| dA = \int_{U_+} |K| + 4\pi g - 4\pi$ . Since  $g \geq 2$  the quantity on the right hand side is positive.

(unseen, 8 marks)

c) By part b) we have that  $\mu(\Sigma_g) \geq 4\pi g - 4\pi$ . On the other hand, we showed in class that for every genus  $g \geq 2$  there exists a surface of constant curvature  $K = -1$  diffeomorphic to  $\Sigma_g$ . By Gauss-Bonnet theorem the area of such surface is  $4\pi g - 4\pi$ . We conclude that  $\mu(\Sigma_g) = 4\pi g - 4\pi$ .

(unseen, 5 marks)