

ExamModuleCode	Question Number	Comments for Students
M45P5	1	This question was generally done well.
M45P5	2	Part (a) was generally done well. In part (b), many candidates assumed that a Frenet frame exists without checking the conditions required (which hold here).
M45P5	3	Candidates generally understood how to proceed here, and computations were mostly accurate.
M45P5	4	This question was generally done well.
M45P5	5	This question was generally done poorly. A distressing number of candidates were unable to prove the Poincare-Hopf theorem.

**BSc, MSci and MSc EXAMINATIONS (MATHEMATICS)**

**May-June 2019**

This paper is also taken for the relevant examination for the Associateship of the  
Royal College of Science

**Geometry of Curves and Surfaces**

Date: Wednesday 15 May 2019

Time: 10.00 - 12.00

Time Allowed: 2 Hours

**This paper has 4 Questions.**

**Candidates should use ONE main answer book.**

Supplementary books may only be used after the relevant main book(s) are full.

All required additional material will be provided.

- DO NOT OPEN THIS PAPER UNTIL THE INVIGILATOR TELLS YOU TO.
- Affix one of the labels provided to each answer book that you use, but DO NOT USE THE LABEL WITH YOUR NAME ON IT.
- Calculators may not be used.

**BSc, MSci and MSc EXAMINATIONS (MATHEMATICS)**

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**Geometry of Curves and Surfaces**

**Date: Wednesday 15 May 2019**

**Time: 10.00 - 12.30**

**Time Allowed: 2 Hours 30 Minutes**

**This paper has 5 Questions.**

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1. (a) Let  $I \subseteq \mathbb{R}$  be an interval and  $\gamma: I \rightarrow \mathbb{R}^2$  a parametrised curve.

- (i) Define what is meant by the *length*  $L(\gamma)$  of  $\gamma$ .
- (ii) Define what is meant by a *reparametrisation* of  $\gamma$ .
- (iii) Show that  $L(\gamma)$  is invariant under reparametrisation.

(b) Let  $\phi: [a, b] \rightarrow \mathbb{R}^2$  be a plane curve parametrised by arc length.

- (i) Define what is meant by the *curvature*  $\kappa(t)$  and the *curvature vector*  $k(t)$  of  $\phi$  at the point  $\phi(t)$ . Show that  $k(t)$  and  $\phi'(t)$  are perpendicular.
- (ii) Suppose that the curve  $\phi$  lies in a disc of radius  $R$ , so that  $|\phi(t)| \leq R$  for all  $t \in [a, b]$ . Suppose further that the curve touches the boundary of the disc at some point  $t_0 \in (a, b)$ , so that  $|\phi(t_0)| = R$ . Show that

$$\kappa(t_0) \geq \frac{1}{R}.$$

- (iii) Must the conclusion of part (b)(ii) remain true if, instead,  $t_0$  is one of the endpoints of the interval  $[a, b]$ ?

2. (a) Consider the curve  $H$  in  $\mathbb{R}^3$  parametrised by  $\phi: \mathbb{R} \rightarrow \mathbb{R}^3$  where

$$\phi(t) = (3t, 4 \cos t, 4 \sin t).$$

- (i) Give an arc-length reparametrisation of  $H$ .
  - (ii) Compute the *Frenet frame*  $(T, N, B)$  for  $H$ .
  - (iii) Compute the curvature and torsion of  $H$ .
- (b) Let  $C$  be a regular curve in  $\mathbb{R}^3$  such that the curvature of  $C$  is never zero and the torsion of  $C$  is identically zero. Show that  $C$  lies in a plane.

3. Let  $f: \mathbb{R} \rightarrow \mathbb{R}$  be a smooth function such that  $f(t) > 0$  for all  $t \in \mathbb{R}$ . Consider the *surface of revolution*  $S \subset \mathbb{R}^3$  defined by  $f$ . This is covered by two charts, each with local parametrisation

$$\phi(u, v) = \left( f(u) \cos v, f(u) \sin v, u \right)$$

where for the first chart  $(u, v) \in \mathbb{R} \times (0, 2\pi)$  and for the second chart  $(u, v) \in \mathbb{R} \times (-\pi, \pi)$ .

- (a) Show that  $S$  is a regular surface.
  - (b) Compute the first and second fundamental forms for  $S$ .
  - (c) Compute the Gaussian and mean curvatures of  $S$ .
  - (d) For which such surfaces  $S$  is the Gaussian curvature zero?
4. (a) Let  $S \subset \mathbb{R}^3$  be a regular oriented surface and  $p \in S$  a point. Show that the Gaussian curvature  $K(p)$  at  $p$  and the mean curvature  $H(p)$  at  $p$  satisfy  $H(p)^2 \geq K(p)$ .
- (b) For each of the following either give an example, by sketching  $S$  in a neighbourhood of  $p$ , or explain why no such example exists:
- (i) a regular oriented surface  $S$  and a point  $p \in S$  with  $K(p) > 0$  and  $H(p) < 0$ ;
  - (ii) a regular oriented surface  $S$  and a point  $p \in S$  with  $K(p) < 0$  and  $H(p) > 0$ ;
  - (iii) a regular oriented surface  $S$  and a point  $p \in S$  with  $K(p) > 0$  and  $H(p) = 0$ .
- (c) Suppose that  $S \subset \mathbb{R}^3$  is a compact, oriented surface. Show that the mean curvature of  $S$  cannot be identically zero.
- (d) (i) Let  $S \subset \mathbb{R}^3$  be a regular oriented surface and  $\gamma: [a, b] \rightarrow S$  a regular curve on  $S$  parametrised by arc length. Define what it means for  $\gamma$  to be a geodesic on  $S$ .
- (ii) Suppose that  $S \subset \mathbb{R}^3$  is a regular oriented surface that contains a straight line  $L$ . Prove that  $L$  is a geodesic on  $S$ .

5. (a) Let  $S \subset \mathbb{R}^3$  be a closed, oriented surface.
- (i) Define what is meant by a *vector field* on  $S$ .
  - (ii) Suppose that  $v$  is a vector field on  $S$  and  $p \in S$  is an isolated zero of  $v$ , that is, a point such that  $v(p) = 0$  and that  $v(q) \neq 0$  for all  $q$  in a neighbourhood of  $p$ . Define what is meant by the *index* of  $v$  at  $p$ .
- (b) State and prove the Poincaré–Hopf Theorem. You may use the Gauss–Bonnet Theorem and related results without proof, provided that you state them accurately.
- (c) For each of the following, either sketch an example of the vector field  $v$  or explain why no such vector field exists.
- (i) A vector field on the unit disk  $D \subset \mathbb{R}^2$  with a single isolated zero at the origin  $O$ , and index  $I(v, O) = 1$ ;
  - (ii) A vector field on the unit disk  $D \subset \mathbb{R}^2$  with a single isolated zero at the origin  $O$ , and index  $I(v, O) = -2$ ;
  - (iii) A vector field on the unit sphere  $S \subset \mathbb{R}^3$  with precisely two isolated zeroes, each of index 1;
  - (iv) A vector field on the unit sphere  $S \subset \mathbb{R}^3$  with precisely two isolated zeroes, one of index 1 and one of index 2.

# M345P5 EXAM SOLUTIONS 2019

TOM COATES

- (1) (a) Let  $I \subseteq \mathbb{R}$  be an interval and  $\gamma: I \rightarrow \mathbb{R}^2$  a parameterised curve.
- (i)  $L(\gamma) = \int_I |\gamma'(t)| dt$  (seen, 1 marks)
  - (ii) Let  $J$  be an interval and  $f: J \rightarrow I$  a smooth function such that  $f'(t) \neq 0$  for all  $t \in J$ . Then  $\eta: J \rightarrow \mathbb{R}^2$ ,  $\eta = \gamma \circ f$ , is a reparametrisation of  $\gamma$ . (seen, 1 marks)
  - (iii) Apply the change-of-variable formula to the integral defining  $L(\gamma)$ . (seen, 2 marks)
- (b) (i)  $\kappa(t) = |\phi''(t)|$  and  $k(t) = \phi''(t)$ . Since  $\phi$  is an arc length parametrisation, it has unit speed:  $\phi'(t) \cdot \phi'(t) = 1$ . Differentiating gives that  $k(t)$  and  $\phi'(t)$  are perpendicular. (seen, 5 marks)
- (ii) The quantity  $\phi(t) \cdot \phi(t)$  is locally maximised at  $t_0$ , so differentiating twice gives

$$\phi(t_0) \cdot \phi''(t_0) + \phi'(t_0) \cdot \phi'(t_0) \leq 0$$

Since  $\phi$  is an arc-length parametrisation, we conclude that

$$\phi(t_0) \cdot \phi''(t_0) \leq -1.$$

The LHS is  $|\phi(t_0)|\kappa(t_0)\cos\theta$  where  $\theta$  is the angle between  $\phi(t_0)$  and  $\phi''(t_0)$ , so  $|\kappa(t_0)| \geq 1/R$ .

(seen, 7 marks)

- (iii) No, because being a local maximum no longer implies that the second derivative is negative. For an explicit counterexample, take

$$\phi(t) = (t, 0)$$

where  $t \in [0, R]$ . This is a straight line from the origin to the edge of the disc; it has curvature zero. (unseen, 4 marks)

- (2) (a) (i) Computation gives  $|\phi'(t)| = 5$ , so an arc-length parametrisation of  $H$  is

$$\psi(t) = (3t/5, 4\cos(t/5), 4\sin(t/5)).$$

(seen similar, 4 marks)

- (ii) Straightforward computation gives:

$$T(t) = \psi'(t) = (3/5, -4/5\sin(t/5), 4/5\cos(t/5))$$

$$\psi''(t) = (0, -4/25\cos(t/5), -4/25\sin(t/5))$$

$$N(t) = \psi''(t)/|\psi''(t)| = (0, -\cos(t/5), -\sin(t/5))$$

$$B(t) = T(t) \times N(t) = (4/5, 3/5\sin(t/5), -3/5\cos(t/5))$$

(seen similar, 6 marks)

- (iii) Continuing the computation gives curvature  $\kappa(t) = |T'(t)| = 4/25$  and torsion  $\tau(t)$  where  $B'(t) = -\tau(t)N(t)$ , so  $\tau(t) = 3/25$ . Note that curvature and torsion are constant. (seen similar, 4 marks)
- (b) Our assumptions imply that  $C$  admits a Frenet frame  $(T, N, B)$ . Let  $\phi$  be an arc-length parametrisation of  $C$ . Since curvature and torsion are invariant under rigid motions of  $\mathbb{R}^3$ , wlog we have that  $\phi(0) = (0, 0, 0)$ ,  $T(0) = (1, 0, 0)$ ,  $N(0) = (0, 1, 0)$ ,  $B(0) = (0, 0, 1)$ . We need to show that  $\phi(t)$  lies in the  $(x, y)$ -plane for all  $t$ , that is, that

$$\phi(t) \cdot (0, 0, 1) = 0 \quad \text{for all } t.$$

The Frenet formulae imply that  $B'(t) = -\tau(t)N(t) = 0$ , so  $B(t) = (0, 0, 1)$  for all  $t$ . Also  $T(0) \cdot (0, 0, 1) = 0$  by our assumptions, so it suffices to prove that  $\frac{d}{dt}(T(t) \cdot (0, 0, 1)) = 0$  for all  $t$ . This is immediate:  $T'(t) \cdot (0, 0, 1) = N(t) \cdot B(t) = 0$  as the Frenet frame is orthonormal. (seen, 6 marks)

- (3) (a) We have

$$\phi_u \times \phi_v = \begin{vmatrix} i & j & k \\ f'(u) \cos v & f'(u) \sin v & 1 \\ -f(u) \sin v & f(u) \cos v & 0 \end{vmatrix} = (-f(u) \cos v, -f(u) \sin v, f'(u)f(u)).$$

Our assumptions guarantee that this is never zero, so  $S$  is regular. (seen similar, 4 marks)

- (b) Straightforward calculation gives

$$g(u, v) = \begin{pmatrix} \phi_u \cdot \phi_u & \phi_u \cdot \phi_v \\ \phi_v \cdot \phi_u & \phi_v \cdot \phi_v \end{pmatrix} = \begin{pmatrix} 1 + f'(u)^2 & 0 \\ 0 & f(u)^2 \end{pmatrix}$$

To compute the second fundamental form, we choose the normal vector field

$$N = \frac{\phi_u \times \phi_v}{|\phi_u \times \phi_v|}$$

which from (a) is

$$N = \frac{1}{\sqrt{1 + f'(u)^2}} (-\cos v, -\sin v, f'(u)).$$

Also

$$\begin{aligned} \phi_{uu} &= (f''(u) \cos v, f''(u) \sin v, 0) \\ \phi_{uv} &= (-f'(u) \sin v, f'(u) \cos v, 0) \\ \phi_{vv} &= (-f(u) \cos v, -f(u) \sin v, 0) \end{aligned}$$

and so the second fundamental form is

$$A(u, v) = \begin{pmatrix} \phi_{uu} \cdot N & \phi_{uv} \cdot N \\ \phi_{vu} \cdot N & \phi_{vv} \cdot N \end{pmatrix} = \frac{1}{\sqrt{1 + f'(u)^2}} \begin{pmatrix} -f''(u) & 0 \\ 0 & f(u) \end{pmatrix}$$

(seen similar, 8 marks)

- (c) The Gaussian curvature is

$$K(u, v) = \frac{\det A}{\det g} = -\frac{f''(u)}{f(u)(1 + f'(u)^2)^2}$$



and the mean curvature is

$$H(u, v) = \frac{1}{2} \operatorname{tr} g^{-1} A = -\frac{f''(u)}{2(1 + f'(u)^2)^{3/2}} + \frac{1}{2f(u)\sqrt{1 + f'(u)^2}}$$

(seen similar, 5 marks)

- (d) From (c) we see that  $K(u, v) \equiv 0$  if and only if  $f''(u)$  is identically zero. This implies that  $f$  is an affine-linear function, and since we also assumed that  $f$  is defined on all of  $\mathbb{R}$  and is positive, this forces  $f$  to be constant. That is,  $S$  is a cylinder. (unseen, 3 marks)
- (4) (a) Let  $\lambda_1$  and  $\lambda_2$  be the principal curvatures at  $p$ . Then  $K(p) = \lambda_1\lambda_2$ ,  $H(p) = (\lambda_1 + \lambda_2)/2$ , and the statement that  $H^2 \geq K$  is equivalent to the statement  $(\lambda_1 - \lambda_2)^2 \geq 0$ . (seen, 3 marks)
- (b) (i) Any correct example: an elliptic point  $p$ , with  $S$  oriented correctly. (seen similar, 2 marks)
- (ii) Any correct example: a saddle point  $p$  with  $\lambda_1 > 0$ ,  $\lambda_2 < 0$ , and  $|\lambda_1| > |\lambda_2|$ . (seen similar, 2 marks)
- (iii) This is impossible, because we would need  $\lambda_1\lambda_2 > 0$ , so the  $\lambda_i$  have the same sign, and also  $\lambda_1 + \lambda_2 = 0$ . (seen similar, 1 marks)
- (c) In view of (a) it suffices to show that  $S$  has an elliptic point. Since  $S$  is compact there is a point  $p \in S$  such that  $|p|$  is maximal. We proved in class that there is a local parametrisation of  $S$  near  $p$  of the form

$$\phi(u, v) = p + \phi_u(0, 0)u + \phi_v(0, 0)v + \frac{1}{2}(\phi_{uu}(0, 0)u^2 + 2\phi_{uv}(0, 0)uv + \phi_{vv}(0, 0)v^2) + R(u, v)$$

where  $R(u, v)/(u^2 + v^2) \rightarrow 0$  as  $(u, v) \rightarrow (0, 0)$ . The term  $p + \phi_u(0, 0)u + \phi_v(0, 0)v$  here lies in  $T_p S$ . Since  $p$  maximises the distance to the origin on  $S$  we must have that

$$(\phi_{uu}(0, 0)u^2 + 2\phi_{uv}(0, 0)uv + \phi_{vv}(0, 0)v^2) \cdot N(p) < 0$$

for  $(u, v)$  sufficiently close to  $(0, 0)$ , because  $\phi(u, v)$  lies strictly on one side of  $T_p(S)$ ; here  $N$  is the unit normal vector field to  $S$  that points outwards at  $p$ . But this is  $A(w, w) < 0$ , where  $A$  is the second fundamental form and  $w = \phi_u(0, 0)u + \phi_v(0, 0)v$ . Thus  $\lambda_1 < 0$  and  $\lambda_2 < 0$ , where  $\lambda_1$  and  $\lambda_2$  are the principal curvatures at  $p$ . It follows that  $K(p) > 0$ , so  $p$  is an elliptic point. (seen, 7 marks)

- (d) (i)  $\gamma$  is a geodesic iff the geodesic curvature  $\gamma'' \cdot (N \times \gamma')$  vanishes. Here  $N$  is the unit normal vector field to  $S$  given by the orientation. (seen, 2 marks)
- (ii) Choose a parametrisation of  $L$  of the form  $\gamma(t) = a + tb$  where  $b$  is a unit vector. This is an arc-length parametrisation, and  $\gamma''(t) \equiv 0$ . Thus the geodesic curvature of  $\gamma$  is zero, and  $L$  is a geodesic. (unseen, 3 marks)
- (5) (a) (i) A vector field on a regular surface  $S \subset \mathbb{R}^3$  is a smooth vector-valued function  $v: S \rightarrow \mathbb{R}^3$  such that  $v(p) \in T_p S$  for all  $p \in S$ . (seen, 1 marks)
- (ii) The index  $I(v, p)$  of  $v$  at  $p$  is the winding number of  $v$  along a small circle in  $S$  about  $p$ , oriented anticlockwise. (seen, 1 marks)
- (b) Poincaré-Hopf Theorem: Let  $S$  be a closed, oriented surface in  $\mathbb{R}^3$ . Let  $v$  be a vector field on  $S$  with distinct isolated zeroes  $p_1, \dots, p_n$ . Let

$I(v, p)$  denote the index of  $v$  at  $p$ . Then

$$\sum_{i=1}^n I(v, p_i) = \chi(S)$$

*Proof.* Let  $D_i$  be a small disc around  $p_i$ , and write  $S' = S \setminus \bigcup_{i=1}^n D_i$ . The Gauss–Bonnet theorem gives

$$\int_{S'} K \, dA + \sum_{i=1}^N \int_{D_i} K \, dA = 2\pi\chi(S)$$

where  $K$  is the Gaussian curvature. Let  $N$  be the unit normal vector field to  $S$  given by the orientation. For  $x \in S'$ , there is an orthonormal basis for the tangent space  $T_x(S)$  given by

$$F_1 = \frac{v(x)}{|v(x)|}, \quad F_2 = N \times F_1$$

and arguing as in the proof of (local) Gauss–Bonnet gives

$$\int_{S'} K \, dA = - \sum_{i=1}^n \int_0^{L_i} F_1(t) \cdot F_2'(t) \, dt$$

where  $\partial D_i$  is parametrised by  $t \in [0, L_i]$ . The minus sign here comes from the fact that the orientation of the boundary circles  $\partial S'$  is opposite to their orientation given by regarding them as  $\partial D_i$ ,  $i = 1, 2, \dots, n$ .

On the other hand, if  $\phi: U \rightarrow D_i$  is a parametrisation of  $D_i \subset S$  then we have an orthonormal basis for the tangent space  $T_x S$ ,  $x \in D_i$ , given by

$$E_1 = \frac{\phi_u}{|\phi_u|}, \quad E_2 = N \times E_1.$$

and

$$\int_{D_i} K \, dA = \int_0^{L_i} E_1(t) \cdot E_2'(t) \, dt.$$

Thus

$$2\pi\chi(S) = \sum_{i=1}^N \int_0^{L_i} E_1(t) \cdot E_2'(t) - F_1(t) \cdot F_1'(t) \, dt$$

Computing the geodesic curvature of  $\partial D_i$  in terms of our two bases gives

$$\kappa_g(t) = \theta'(t) - E_1(t) \cdot E_2'(t), \quad \kappa_g(t) = \phi'(t) - F_1(t) \cdot F_2'(t)$$

where  $\theta(t)$ , respectively  $\phi(t)$ , measures the angle between  $E_1(t)$ , respectively  $F_1(t)$ , and the tangent vector to  $\partial D_i$  at  $t$ . Thus

$$\sum_{i=1}^N \int_0^{L_i} E_1(t) \cdot E_2'(t) - F_1(t) \cdot F_1'(t) \, dt = \sum_{i=1}^N \int_0^{L_i} \theta'(t) - \phi'(t) \, dt$$

is the sum of winding numbers

$$2\pi \sum_{i=1}^n I(v, p_i).$$

and therefore

$$\chi(S) = \sum_{i=1}^N I(v, p_i)$$

as claimed.

(seen in independent study, 10 marks)

□

- (c) (i) Any correct example, e.g.  $v(x, y) = (-y, x)$ .  
(seen similar, 2 marks)
- (ii) Any correct example, e.g.  $v(x, y) = (x^2 - y^2, -2xy)$ .  
(seen similar, 2 marks)
- (iii) Any correct example, e.g. the vector field generating rotation about the  $z$ -axis.  
(seen similar, 2 marks)
- (iv) No such vector field exists, because the Euler characteristic of the unit sphere is 2 and so this would violate Poincaré–Hopf.  
(seen similar, 2 marks)