## **Door Control**

The Solar System can operate doors directly, using Max readers or other devices, or ESP8266 based WiFi devices.

However, the ESP8266 modules can also operate autonomously at various levels of integration, including entirely self contained.

The door control logic works over 6 different levels:

- Simply acting as NFC reader and inputs/outputs for the alarm system
- Managing a door state and operations such as lock, unlock, but not taking autonomous
  action to open doors. Also manages locking when closed. This is recommended rather than
  simply being inputs/outputs. This also tracks a number of error cases such as door being
  forced.
- Also managing door exit operation (i.e. exit button or ranger unlocking the door)
- Also managing door entry by use of any validated card/fob (using AES handshake). This only
  makes sense where any card is allowed to access all doors at all times.
- Managing entry using a validated card/fob and access control file on the card/fob which defines times of day by day of week, and allowed doors, and expiry.
- Also managing setting and unsetting "alarm" (door deadlock mode) which can causes an output to signal to alarm system, etc, that alarm should be set or not.

These various levels work well if the door control is mostly on-line to the alarm system. However, in difficult WiFi scenarios the controllers can *fall off* the WiFi for many seconds at a time, which is not a good user experience. By making all normal working autonomous the response is much better. However this means that cards have to be set up, and, if necessary, updated if permissions change. It also required proper use of blacklist settings and expiry controls to ensure lots cards to not have access.

## NXP MIFARE DESFire NFC

WiFi devices with a PN532 reader attached can make use of high security access cards, the NXP MIFARE DESFire cards. This can work over WiFi or can even operate as a fake Max Reader sending a fob ID for NFC cards.

These need to be configured to work with the system. A top level AID for the system if defined automatically (random) as is a top level 128 bit AES key. These can be set manually, and can also be set per device, for example, if some doors warrant extra security. Each device looks for one AID only and uses one AES key. Note the AID is in transmission order (low yet first).

The card should allow the AID to be selected and authentication of key 0x01 with the specified AES key (i.e. application needs at least 2 keys). The files below need read access, and the log and counter files need write access too. The comms settings should be plain (for now, this may change later).

The following fields are defined - these are all optional, and are only used if present.

# Not used in app User's name, this should be printable UTF-8 text. E.g. Fred Bloggs

Used for logging		
<b>01</b>	Log, a cyclic record file with record size of 10. Records hold 3 byte device chip-id followed by BCD coded YYYYMMDDHHMMSS UTC access time. Needs to be writable (allow credit 1).	
02	Usage counter	
<b>03</b>	Access file (see below)	

A command is included in the linux build (cardissue) which allows blank MIFARE DESFire EV1 cards to be configured, and updated, as needed to be used with the system.

This command also advises what fob ID would be seen by a Galaxy system using an RS485 bus to access the door controller as this is derived from the NFC card ID.

#### Access file

The access file is a single file that contains access control data. This would more logically be a series of files for different purposes, but by making a single file it reduces the number of card accesses. The file should exists in any fully autonomous control cases, but can be empty (zero size) to mean "allow access if not deadlocked".

The file contains a number of tagged fields, each has one byte with top 4 bits as type and bottom 4 bits as length of following data. Typically either **QX** or **1X** are used, not both.

For start and end times, the access (local time) has to be on or after the start time and before the end time. It is valid for only start or only end to be specified checking only one. If start time is later then end time then the access has to be either on or after start time, or before end time. An end time of 2400 is valid.

Access file tagged data		
AX	<b>Allow</b> : One or more 3 byte device IDs for doors that are allowed. If any 1X field exists the device has to be found in one of them to be allowed.	
BX	<b>Bar</b> : One or more 3 byte device IDs for doors that are barred. If the device is found, then checking stops and access is not allowed.	
FX	<b>From</b> : One, two, or 7 two byte fields BCD coded as HHMM. This is the start time allowed. If one time, then this applied any day, if two then first is weekend and second is weekday. If 7 then one for each day of week Sun to Sat.	
2X	<b>To</b> : One, two, or 7 two byte fields BCD coded as HHMM. This is the end time allowed. If one time, then this applied any day, if two then first is weekend and second is weekday. If 7 then one for each day of week Sun to Sat.	
E1	<b>Expiry</b> : Folled by one byte number of days (1-255) to update expiry date when access allowed.	
EX	<b>Expires</b> : BCD coded YYYYMMDDHHMMSS expiry, or truncated part thereof. Expiry is end of specific time, e.g. 201906 means end of Jun 2019.	
00	Card must not be used. When blacklisted, a card has this written as first byte in access control file to mark it as invalid.	

## Using secure and insecure cards.

The system can use the following types of fob ID for identifying users.

- Max reader (125kHz proxy cards) a simple numeric ID. This is considered insecure as it is easy to copy and fake (and the number is even printed on the fob).
- NFC card ID. This is a 4 or 7 byte value, so 8 or 14 hex characters. This is also considered insecure as it can be copied or faked
- MIFARE DESFire cards with a 4 or 7 byte ID, so 8 or 14 hex characters, having been validated using AES.

If any device is listed with nfc set then an aid and aes random code is created in the config automatically. If an aid/aes exists then the system assumes all IDs should be secure. An insecure ID will not have access unless the insecure- settings are defined for the user. In a system without aid/aes defined, all cards are assumed to be insecure, and the insecure-settings are not needed.

## Using bank cards and other NFC cards

NFC cards have an ID, normally 4 or 7 bytes. This includes:-

- · Bank cards
- · MIFARE classic cards
- · MIFARE DESFire cards
- · Various fobs and devices
- · Mobile phones
- Passports

Most of these provide an ID which is fixed and sufficiently unique to be used as an access control card. This is not true for all - e.g. phones and passports produce a random ID so cannot be used. Some cards, like Amex, use ID 0000000 which is not useful. MIFARE cards can be set to use a random ID. However, most bank cards can be used. These are, however, all considered to be insecure except the MIFARE DESFire when validated to AES encryption. Obviously you

need to consider when convenience is more important and allow use of insecure cards (e.g. opening some doors, but not disarming alarms, etc).

## MQTT messages relating to NFC cards

The AID and AES codes are sent (over MQTT over TLS) to the card as binary using a command, not a setting. They are held in memory and not written to flash/EEPROM, so have to be sent whenever the device is seen re-connecting to MQTT.

The reporting from the device for NFC cards is by use of an event of type id, or, for autonomous door control mode: access or noaccess. This is followed by the 8 or 14 character hex ID. If a card is held for a pre-defined period then an event of held is reported and then an event of gone is reported when the card is removed - these have the same card ID as the data. This applies to any NFC cards seen, and should be assumed to be an insecure ID. For noaccess the id is followed by a space and a text reason for no access.

If the card allows the AID to be selected then it is assumed to be a secure card, and is authenticated with AES.. The cards ID is then retrieved and validated. If this is successful then the ID reports is 14 bytes of HEX with a + suffix to indicate it is a secure ID being used. The log and usage count are updated after sending the ID (this can be changed to "before" using nfccommit setting if required).

An error message is sent if there is any problem - this can be after sending the ID if an issue with updating log, etc. If the card does not get authenticated (e.g. removed too quickly) its ID can be sent as insecure, so if some insecure operations are allowed this can allow faster working.

## **Autonomous Door Control**

The SolarSystem normally provides full door control sequencing and monitoring, i.e. it knows to engage the lock when the door closes, and spot a door AJAR state if the lock engaged input does not indicate the lock has in fact engaged in the appropriate time, etc.

The door can be in one of a set of states, which depend on the state of inputs and outputs and timers. Typically each state also has an LED sequence shown on the NFC reader.

However the ESP code can also provide this door control, in which case the main software simply needs to send a message when needed to, for example, open the door. It leaves the ESP to sequence and time disengaging the lock, and waiting for the door open, and wait for door closed, and re-engage the lock, and wait for lock engaged input, etc. The ESP reports the appropriate states to the control system.

The main ways of working, set by the "door" setting, are :-

door	
<b>\Q</b>	If not set, no monitoring or control of door inputs/outputs
1	Lowest level state tracking, no automatic operation of exit button or access cards. It is expected that the control software tells it when to open doors.
2	Tracking and control, with exit button operation to allow the door to open (if not in DEADLOCKED) state. This allows fast response for exit buttons, but ensures security by checking all cards/fobs with the control system.
3	Tracking and control, exit button, and allow any secure card to open the door (again, this is not done if in DEADLOCKED) state. This provides fast response for cards as well, but means the control system has to step in to re-lock a door if an invalid card is used. This makes sense for some internal low security doors.
4	Fully autonomous control using fields on the MIFARE card to control access times, etc.
5	As 4, but also allows deadlock (hold fob) and un-deadlock.

### Inputs / outputs

The operation replies on having input and output settings defined. It is valid for some of these not to be defined. Obviously some of these need to be configured to invert the operation to match the way of working that is expected.

input / output		
input1	i-exit	Exit button (1=exit pressed, 0=exit release)
input2	i-open	Door open (1=open, 0=closed)
input3	i-unlock	Lock not engaged (1=not engaged, 0=engaged)
input4	i-undeadlock	Deadlock not engaged (1=not engaged, 0=engaged)
input8	i-exit	Secondary exit button (1=exit pressed, 0=exit release)
output1	o-unlock	Unlock (1=unlock, 0=lock)
output2	o-undeadlock	Deadlock unlock (1=unlock, 0=lock)
output3	o-beep	Beeper

input / out	put	
output4	o-error	Active if door is in a fault or tamper state

#### Lock states

The lock state depends on the lock output and input states. The state of the two locks (main, and deadlock) impact the door states and faults. Note that if the door is open, the locking timer does not run on assumption that lock will not try to engage while door is open.

Lock states				
State	o-unlock	i-unlock	Timer	
NOLOCK	Undefined	Undefined	-	There is no lock
LOCKING	0	1, or undefined	Running	The door is locking
LOCKED	o, or undefined	0	_	The door is locked
UNLOCKING	1	o, or undefined	Running	The door is unlocking
UNLOCKED	1, or undefined	1	-	The door is unlocked
LOCKFAIL	1	0	Timeout	The door has failed to unlock (usually means a fault)
UNLOCKFAIL	0	1	Timeout	The door has failed to lock (usually means it is ajar)
FORCED	0	1 after 0	-	The changed to unlocked without unlock output

#### Door states

The door can be in one of the following normal states, reported over MQTT.

Normal door states		
DEADLOCKED	This is the normal state when the alarm is set, it means the door is closed and the main and deadlock are engaged. i.e. alarm set. In this mode the system will not autonomously allow exit or secure cards to open the door unless mode 4 fully autonomous.	
LOCKED	This is the normal, idle, door closed state where the door is closed and the main lock is engaged, but the deadlock is not engaged. i.e. alarm not set.	
UNLOCKING	This is a state after LOCKED, or DEADLOCKED, where the lock or locks are disengaged, and we are waiting for the lock engaged input(s) to confirm the lock is no longer engaged. This is handled by a timer if there is no lock engaged input defined. It is a fault if the locks do not engaged.	
UNLOCKED	This is a state after UNLOCKING where the lock is disengaged, and confirmed as such, but the door is not yet open. This state stays for a while to allow the door to be opened. If not opened, then we go to LOCKING.	
OPEN	This is a state where the door is open, and should be (i.e. it followed the CLOSED state).	
CLOSED	This is a state the same as unlocked, after closing an OPEN door and before LOCKING.	

Normal door states		
LOCKING	This is a state where the door is now closed, and the lock engaged, but the lock engaged input has not confirmed the lock is now engaged. This is handled by a timer if there is no lock engaged input defined. This is normally followed by LOCKED state. It is possible in this state to change to OPEN without an error (cancelling the locking process).	

There are also exceptional states which come in to play after a timeout.

Exceptional door states		
PROPPED	This is a state where the door is open, and has been for too long without authorisation. This follows from OPEN state. It is possible to set a flag by a command to allow door propping (leaving door in OPEN state instead).	
AJAR	The door is closed, but the lock has not engaged, suggesting it is not fully closed and could be opened. This can change to OPEN or LOCKED without an error.	

A number of fault conditions can also be detected.

Door faults	
LOCKSTUCK	This happens if one of the locks has failed to disengage.
EXITSTUCK	The exit input is stuck in an active state
LOCKFAULT	The lock is in an invalid state such as the lock engaged input but the door open.

A number of tamper conditions can also be detected.

Door tampers	
DOORFORCED	The door is open, but was opened without the lock having been disengaged. This persists until the door is closed.
LOCKFORCED	If the lock has disengaged without the lock output disengaging it, i.e. lock has been forced.

## Settings

In addition to the main door setting, there are some timings that can be set.

Settings		
doorunlock	Time (ms) from lock disengage to lock engaged input confirming disengaged. This is used to indicate there is a problem unlocking (fault condition) if the lock engaged input is defined, or simply used as the time to allow in UNLOCKING state if not.	
doorlock	Time (ms) from lock engaged to lock engaged input confirming engaged. This is used to indicate there is a problem locking (AJAR state) if the lock engaged input is defined, or simply used as the time to allow in LOCKING state if not.	
doorprop	Time (ms) to allow door open before considered being propped. 0 disables the PROPPED state.	
dooropen	Time (ms) to allow door to remain in UNLOCKED state after UNLOCKING state before door is locked as not having been opened.	
doorclose	Time (ms) to allow door to remain in UNLOCKED state after closing the door before it is locked, normally 0.	

Settings	
doorexit	Time (ms) to allow exit button press, beyond which its is assumed to be stuck somehow.
doorcycle	Time (ms) to cycle lock and beep in event of error (AJAR, PROPPED, or forced)
doorbeep	If set to 1, then beep whilst waiting for door to open

#### Commands

The inputs report state changes as normal, and the door state changes are reported as a state message, but there are commands which can be sent to impact the door state:-

Commands	
lock	This aims to change state to LOCKED. If the door is CLOSED, it immediately changes to LOCKING state. This can also be used in DEADLOCKED state to move out of DEADLOCKED state, i.e. alarm unset. If currently open, this cases PROPPED state.
deadlock	This aims to change state to DEADLOCKED. If the door is CLOSED, it immediately changes to LOCKING state. This can be used in LOCKED state to move to DEADLOCKED state (i.e. alarm set). Deadlocking means the exit button and cards do not work autonomously unless in door mode 4 (fully autonomous). If currently open, this cases PROPPED state. A flag is set so that once closed, both locks will engaged to moved to DEADLOCKED state.
unlock	This is the same as working the exit button, but works in DEADLOCKED state too. It disengages locks moving to UNLOCKING and CLOSED state to allow the door to be opened.
prop	This sets a flag to allow the door to be propped. If in PROPPED state the door changes to OPEN state. The flag stops OPEN going to PROPPED as normal. The flag is cleared when the door next closes.

In effect there are flags as well as the main door states, the deadlock flag is if deadlock command has been sent, this is cleared if any other command is sent. This flag means that when locking, both locks are engaged rather than just the main lock, leading to a <code>DEADLOCKED</code> rather than just <code>LOCKED</code> state. The other flag is after prop command until door next closed - this flag avoids the <code>PROPPED</code> state happening.