

# AUTOMATA THEORY AND FORMAL LANGUAGES

URBAN  
EDGE

This subject mainly deals with the study of automata machines using formal languages. The different automata (machines) are:

- 1) Finite automata
- 2) Push-down automata
- 3) Turing machines

Formal languages are

- 1) Grammar
- 2) Regular Expressions

## MODULE 1: INTRODUCTION ABOUT FINITE AUTOMATA TERMINOLOGY:

1. Set: Set is a collection of distinct elements, all the elements of the set should be enclosed between {},  
(ex) Set of five integers  $\geq 0$  and  $\leq 25$  which are divisible by 5.  
 $S = \{5, 10, 15, 20, 25\}$

2. Empty set: Set which has no elements (also called null set). denoted by  $\emptyset$   
 $S = \emptyset$

3. Power Set: Let A be the set. The set of all subsets of A is called power set of A. It is denoted by  $2^A$ .  
(ex)  $A = \{a, b, c\}$

$$P(A) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$$

4. Cartesian product: The cartesian product of 2 sets A and B is given by  $A \times B$ . Let  $A = \{a, b\}$  and  $B = \{c, d\}$   
 $A \times B = \{(a, c), (a, d), (b, c), (b, d)\}$
5. Alphabet: It is defined as a finite non empty set of symbols. Represented by  $\Sigma$  - set of all alphabets of a language  
 $\Sigma = \{a, b, \dots, z, 0-9\}, \{\), \}, \#, \$, ;, 0, 1\}$
6. String: Sequence of symbols obtained from alphabet of language. (ex)  $\Sigma = \{a, b\} \Rightarrow \{aa, ab, \dots, aabb, \dots\}$   
Empty string is denoted by  $\epsilon$  (epsilon)
7. Language: Set of strings obtained from  $\Sigma^*$ ,  $L \subseteq \Sigma^*$   
 $\star = \emptyset$  followed by any no of strings.  
(i) language of strings consisting of equal no of zeros and ones.  
 $\Sigma = \{0, 1\}$   
 $L = \{\epsilon, 01, 10, 0011, 1100, \dots\}$
- ii) Language consisting of n zeros followed by n ones  
 $\Sigma = \{0, 1\}$   
 $L = \{\epsilon, 01, 0011, 000111, \dots\}$
8. Kleen closure (Kleen star): is defined as  
 $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \dots \cup \Sigma^n$  which is a set of words of any length including epsilon. Take  $\Sigma = \{0, 1\}$   
(ex)  $\Sigma^0 = \{\epsilon\}$   
 $\Sigma^1 = \{0, 1\}$   
 $\Sigma^2 = \{00, 01, 10, 11\}$   
 $\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$

q. Kleen Plus:  $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \dots \cup \Sigma^n$

It is a variation of Kleen closure denoted by  $\Sigma^+$ . It is set of words any length except null string or  $\epsilon$ .

(ex)  $\Sigma^+ = \{0, 1, 00, 01, 10, \dots\}$  where  $\Sigma = \{0, 1\}$ .

### \*5M FINITE AUTOMATA:

24/1/2019

1. Input unit

I/P

reject /  
trap

2. Control unit

Control Unit

3. Output Unit

Output

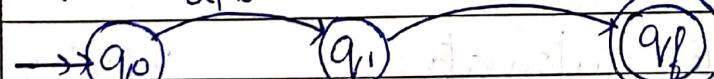
→ Finite automata machines can be represented using state transition diagrams.

Start state is identified by  $q_0$ . In state transition diagram one of the state is start state.

$\rightarrow q_0$  One of the state is final state (qf).

Transition from one state to another is represented by arrow mark  $\xrightarrow{a,b}$

ex



Above diagram shows that:

The machine is in state  $q_0$ . It accepts the input symbol and changes state to  $q_1$ . In the state  $q_1$  it accepts any symbol & changes state to  $q_f$  which is the final state.

FA machines can be classified into 3 types

1. Deterministic finite automata (DFA)

2. Non-deterministic finite automata (NFA)

3. Non-deterministic finite automata with  $\epsilon$  moves (NFA- $\epsilon$  moves)

1. DFA: In DFA you can determine machine enters which state after accepting the input symbol

$\rightarrow q_0 - a \rightarrow q_1$  In state  $q_0$  machine accepts the symbol  $a$  and moves to the state  $q_1$

2. NFA: In NFA we cannot determine, machine enters into which state after accepting the input symbol.

$\rightarrow q_0 - a \rightarrow q_1$  In state  $q_0$  machine accepts the symbol  $a$ , but we cannot determine which state it moves to (same state or next state).

3. NFA with  $\epsilon$ -moves: In NFA- $\epsilon$  moves, machine enters the next state without giving any input symbol.

$\rightarrow q_0 - \epsilon \rightarrow q_1$  In state  $q_0$ , machine without accepting any input moves to next state.

Deterministic Finite automata: 27/1/2019.  
 DFA can be represented by machine  
 $M_{DFA} = (Q, \Sigma, \delta, q_0, F)$  which are five tuples.  
 where

$Q$  = is a set of states  $\{q_0, q_1 \dots q_n\}$

$\Sigma$  = set of alphabets  $\{0, 1\}$  or  $\{a, b\}$

$\delta$ : Transition function: represented as

$\delta(\text{State}, \text{input symbol}) = \text{next state}$

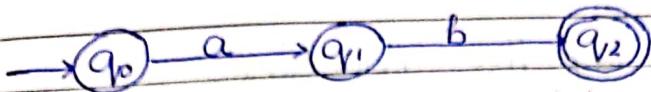
$q_0$ : Start state

$F = q_f$ : final state.  $F = \{q_f, q_B, \dots\}$

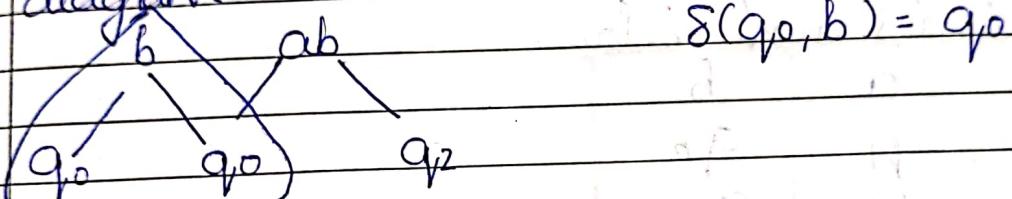
## Type 1 DFA problem:

Obtain DFA to accept the strings  $a^*$ s and  $b^*$ s ending with  $ab$ .

ans. Step 1 Construct initial state transition diagram based on the problem statement

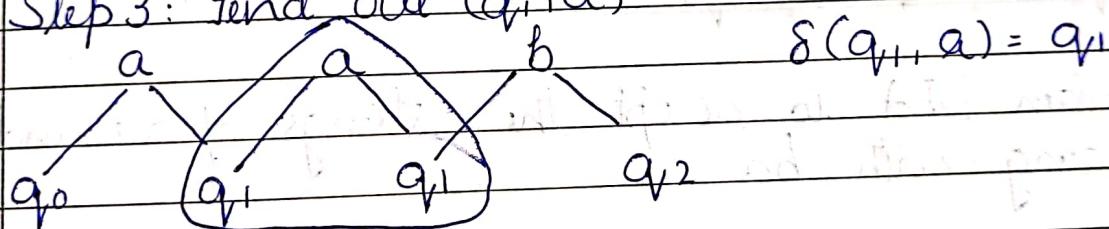


Step 2: Find out  $(q_0, b)$  i.e.,  $q_0$  on  $b$  or  $q_1$  for each state there exists 2 transitions  $a$  and  $b$   $q_0$  on  $a$  is already defined in the initial diagram.



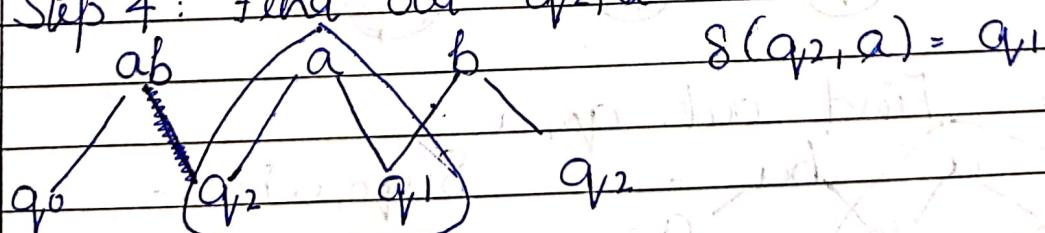
$$\delta(q_0, b) = q_0$$

Step 3: Find out  $(q_1, a)$



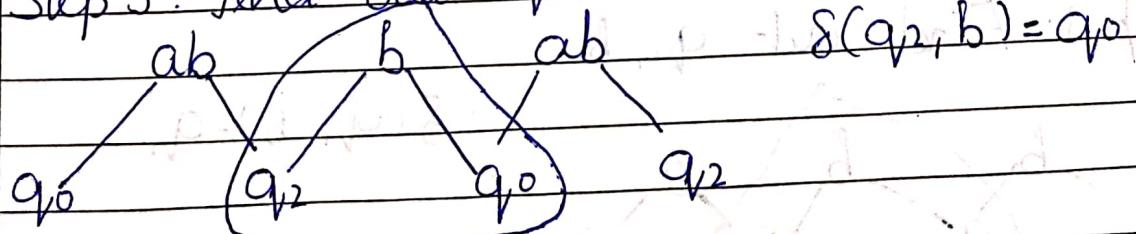
$$\delta(q_1, a) = q_1$$

Step 4: Find out  $(q_2, a)$



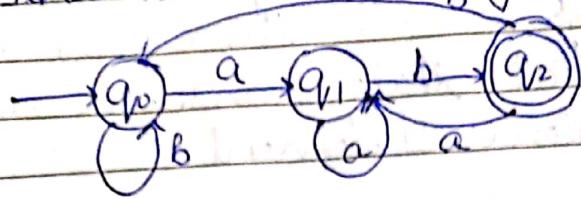
$$\delta(q_2, a) = q_1$$

Step 5: Find out  $q_2$  on  $b$



$$\delta(q_2, b) = q_0$$

Final transition diagram as shown below



The DFA is given by the machine

$$M_{DFA} = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b\}$$

$$q_0 = q_0 \rightarrow \text{Start state}$$

$$q_f = q_2 \rightarrow \text{final state}$$

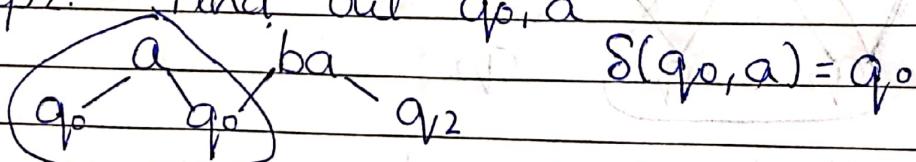
$S$  is as shown below.

$S_{DFA}$	a	b
$q_0$	$q_1$	$q_2$
$q_1$	$q_1$	$q_2$
$q_2$	$q_1$	$q_2$

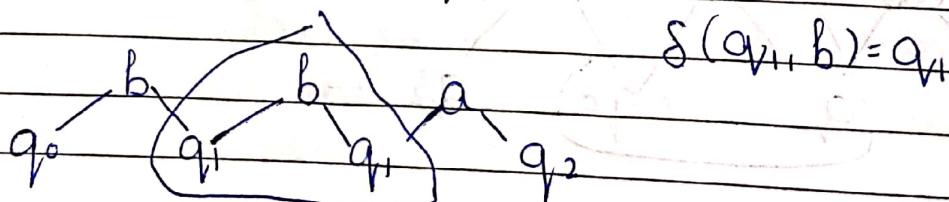
2. Obtain DFA to accept the strings of a's and b's ending with ba



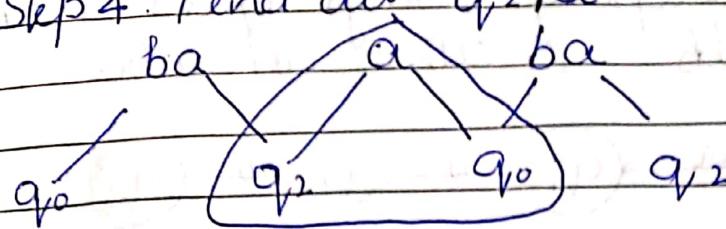
Step 2: Find out  $q_0, a$



Step 3: Find out  $q_1, b$

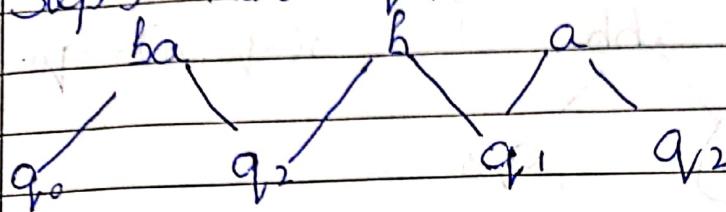


Step 4: Find out  $q_2, a$



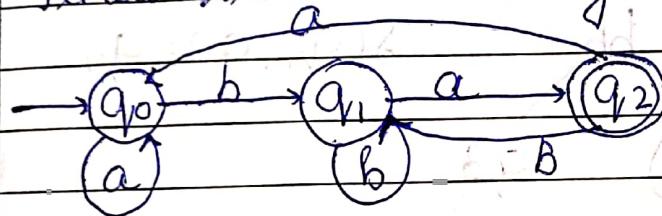
$$\delta(q_2, a) = q_{10}$$

Step 5: Find  $q_2, b$



$$\delta(q_2, b) = q_1$$

Final transition diagram



The DFA is given by the machine

$$MDFA = (Q, \Sigma, \delta, q_0, F)$$

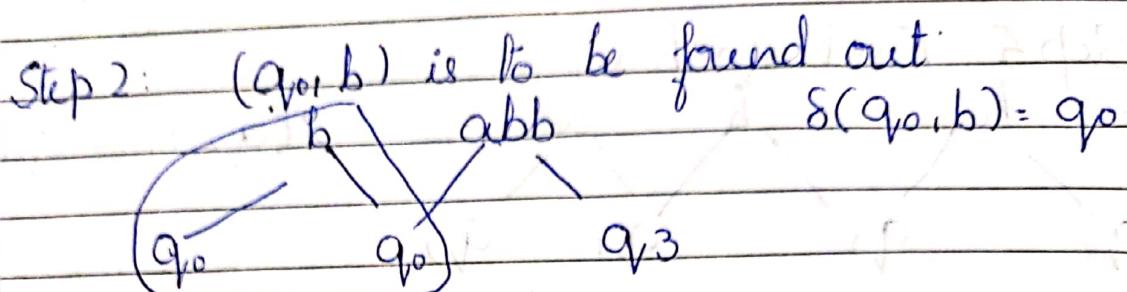
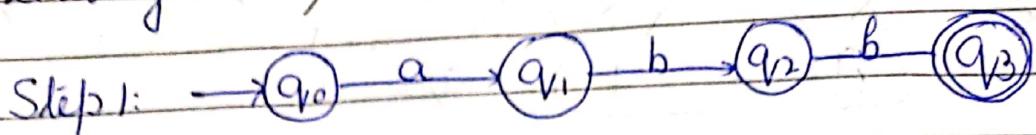
$$Q = \{q_0, q_1, q_2\} \quad \Sigma = \{a, b\}$$

$q_0 \rightarrow$  Start state  $q_f =$  final state

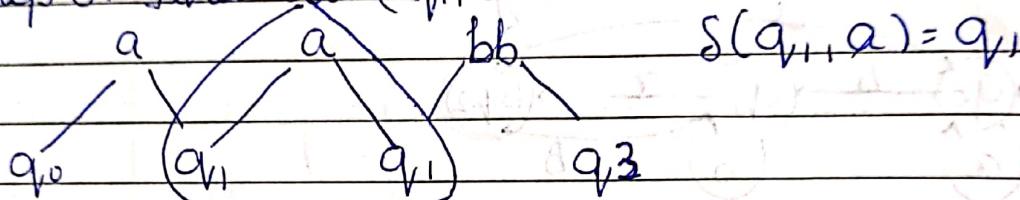
$\delta$  is as shown below:

SDFA	a	b
$q_0$	$q_2$	$q_1$
$q_1$	$q_2$	$q_1$
$q_2$	$q_0$	$q_1$

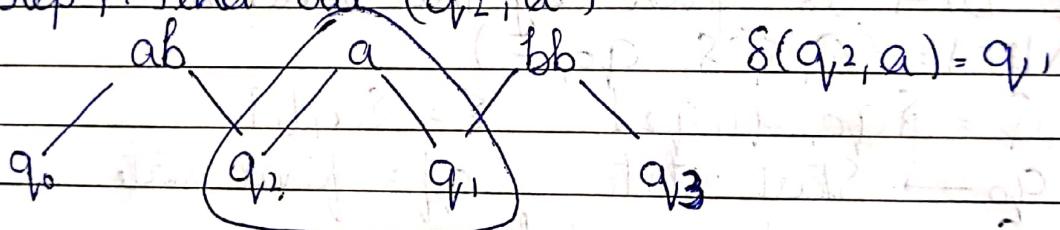
Q. Obtain DFA to accepting strings of a's and b's ending with abb iff either ab or ba



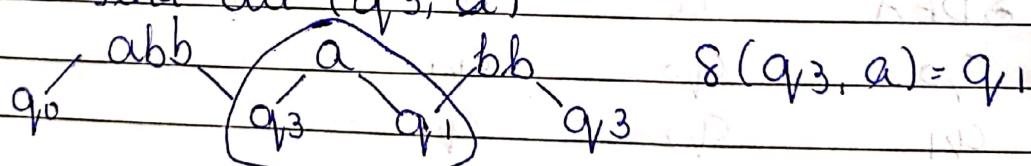
Step 3: Find out  $(q_1, a)$



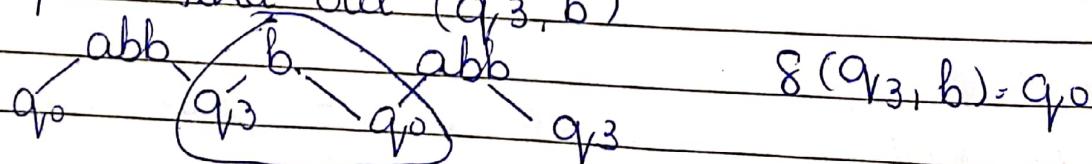
Step 4: Find out  $(q_2, a)$



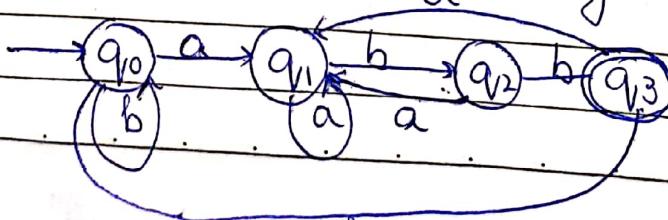
Step 5: Find out  $(q_3, a)$



Step 6: Find out  $(q_3, b)$



The final transition diagram



The DFA is given by the machine

$$MDFA = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{a, b\}$$

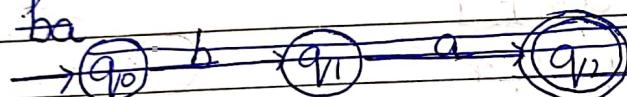
$q_0 \rightarrow$  Start State

$q_3 \rightarrow$  Final state

$\delta$  is as shown below

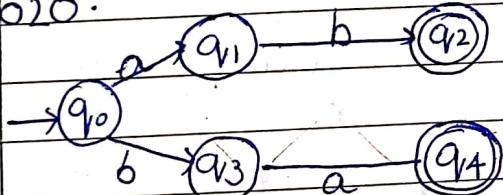
S DFA	a	b
$q_0$	$q_1$	$q_0$
$q_1$	$q_1$	$q_2$
$q_2$	$q_1$	$q_3$
$q_3$	$q_1$	$q_0$

Ans ba

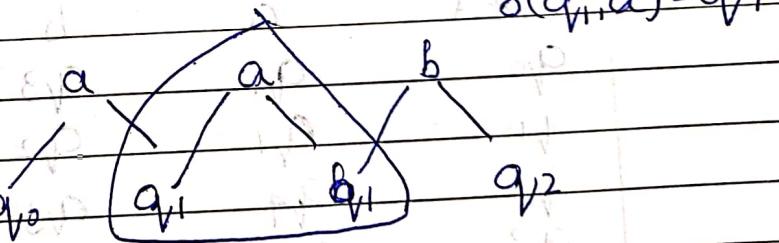
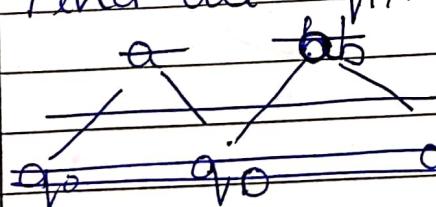


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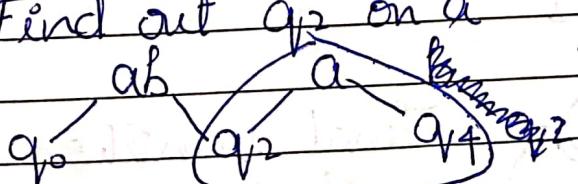
Ans



Find out  $q_1, a$



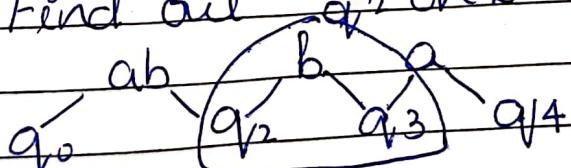
Find out  $q_2$  on a



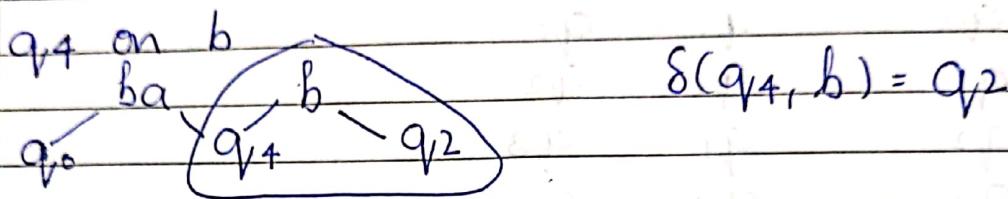
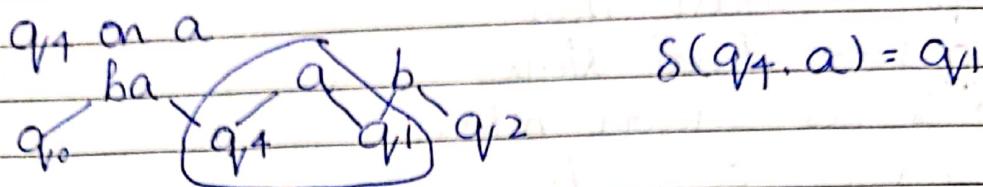
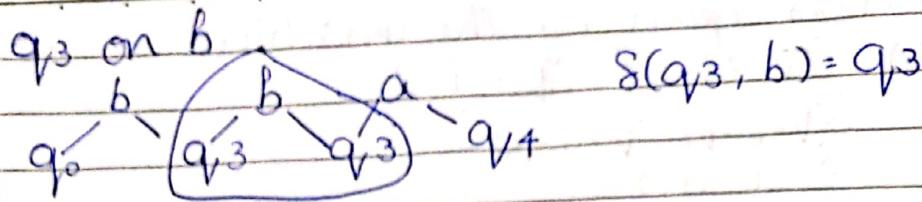
: string ba is also accepted

$$\delta(q_2, a) = q_4$$

Find out  $q_2$  on b



$$\delta(q_2, b) = q_3$$



The DFA is given by the machine

$$\text{MDFA} = \{Q, \Sigma, \delta, q_0, F\}$$

$$Q = \{q_0, q_1, q_2, q_3, q_4\}$$

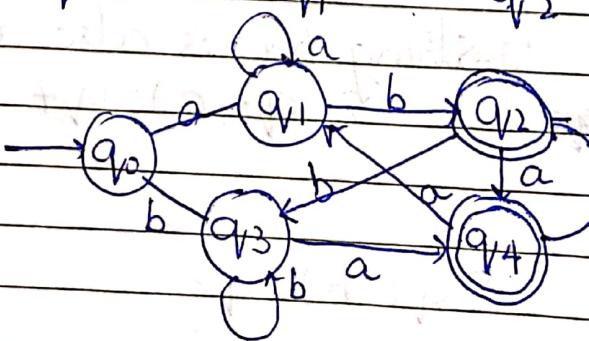
$$\Sigma = \{a, b\}$$

$q_0 \rightarrow \text{Start state}$

$\{q_2, q_4\} \rightarrow \text{final states}$

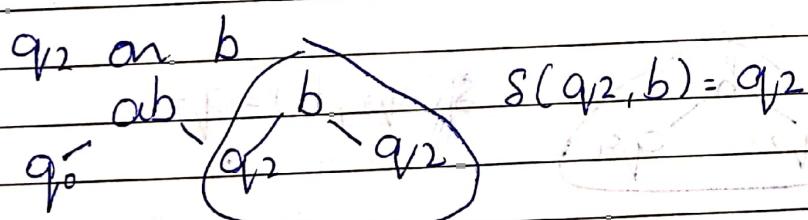
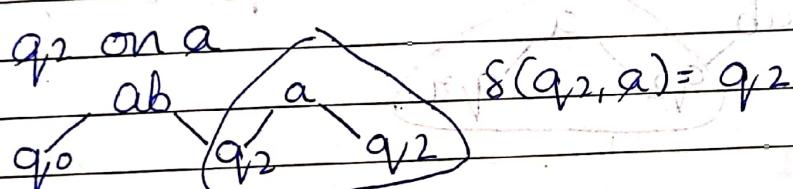
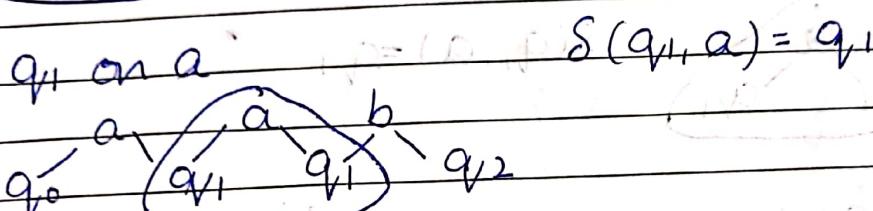
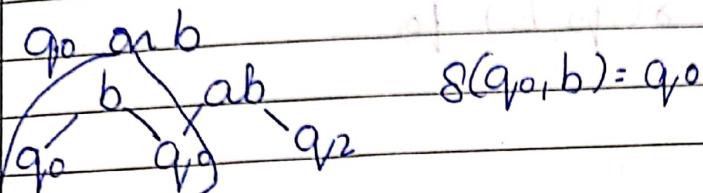
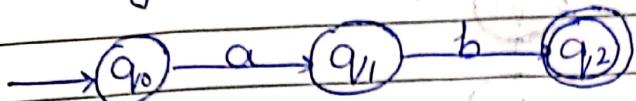
$\delta$  is as shown below

S DFA	a	b
$q_0$	$q_1$	$q_3$
$q_1$	$q_1$	$q_2$
$q_2$	$q_4$	$q_3$
$q_3$	$q_4$	$q_3$
$q_4$	$q_1$	$q_2$



Final transition  
diagram.

4. Obtain DFA to accept strings of a's and b's having substring 'ab'



The DFA is given by the machine

$$MDFA = \{Q, \Sigma, S, q_0, P\}$$

$$Q = \{q_0, q_1, q_2, \cancel{q_3}, \cancel{q_4}\}$$

$$\Sigma = \{a, b\}$$

$q_0 \rightarrow \text{Start state}$

$q_2 \rightarrow \text{final state}$

S DFA

$q_0$

$q_1$

$q_0$

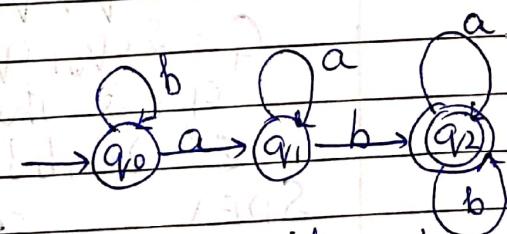
$q_1$

$q_1$

$q_2$

$q_2$

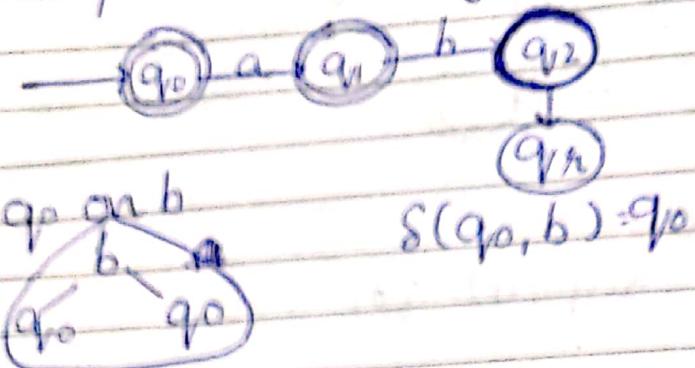
$q_2$



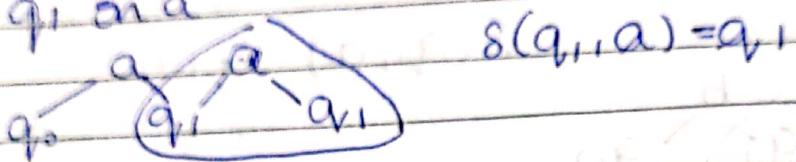
can write a, b

5. except those having the substring 'ab'

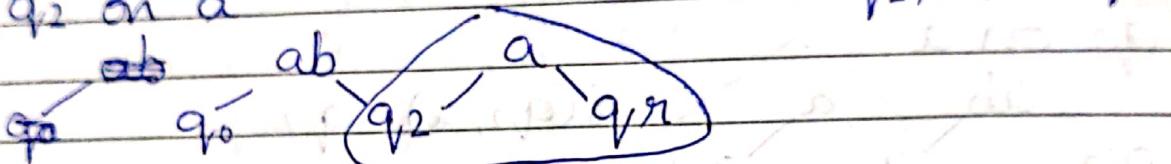
ans



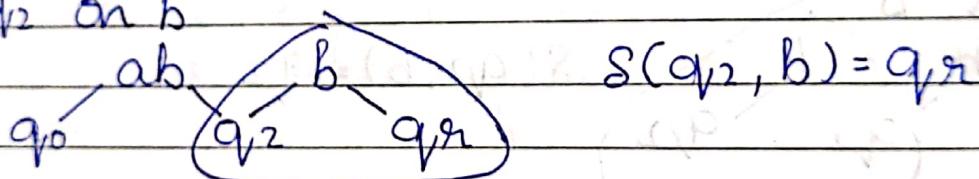
$q_1$  on  $a$



$q_2$  on  $a$



$q_2$  on  $b$



The DFA for this machine

$$\text{MDFA} = \{Q, \Sigma, S, q_0, F\}$$

$$F = \{q_0, q_r\}$$

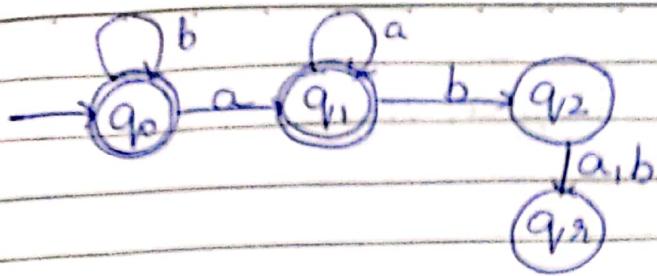
$$Q = \{q_0, q_1, q_2\}$$

$q_r$  is the rejected or trap state

$$\Sigma = \{a, b\}$$

SDFA

	a	b
$q_0$	$q_1$	$q_0$
$q_1$	$q_1$	$q_2$
$q_2$	$q_r$	$q_r$



6. Obtain DFA to accept the strings of a's and having atleast one 'a'

ans

7. Obtain DFA to accept the strings of a's and b's having atleast one a.

8. Obtain DFA to accept the strings of a's and b's having exactly one a.

9. DFA to accept strings of 0's and 1's having 3 consecutive zeros.

Ans Atleast one a

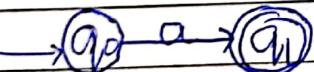
The DFA for this machine

$$\text{MDFA} = \{Q, \Sigma, S, q_0, F\}$$

$$Q = \{q_0, q_1\}, S = \{a\}$$

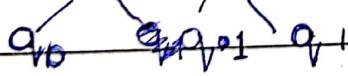
$q_0 \rightarrow$  start state

$q_1 \rightarrow$  final state



$q_1$  on a

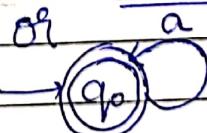
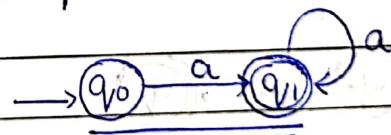
$$S(q_1, a) = q_1$$



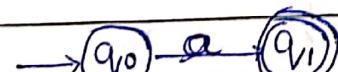
$q_0$

$q_1$

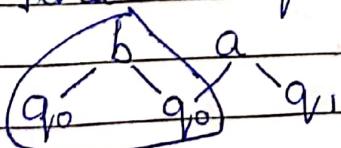
$q_1$



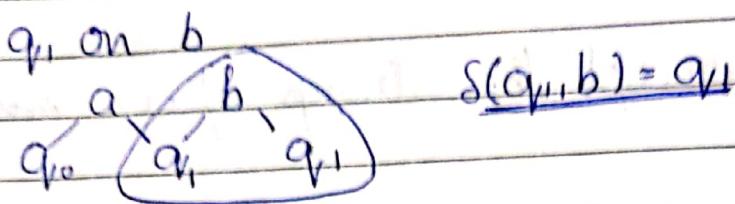
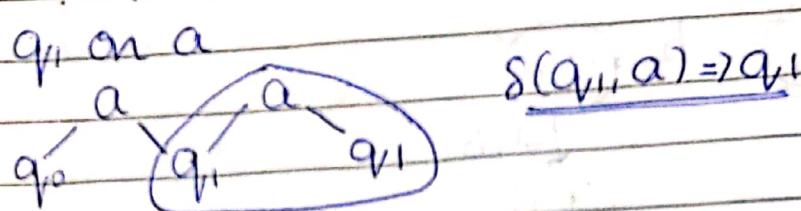
Ans



Find all  $q_0$  on b



$$S(q_0, b) = q_1$$



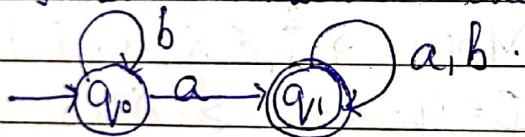
The DFA for this machine

$$MDFA = \{Q, \Sigma, \delta, q_0, F\}$$

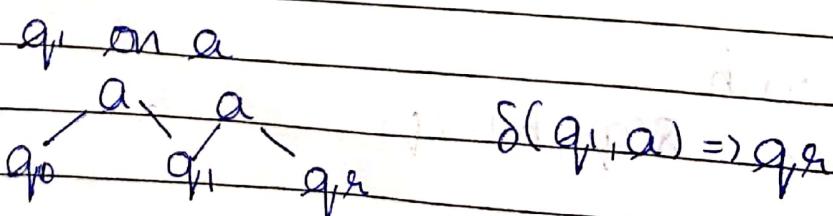
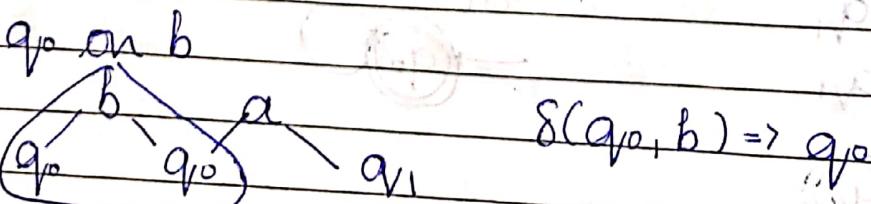
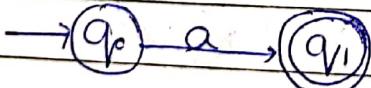
$Q = \{q_0, q_1\}$        $q_0 \rightarrow \text{Start state}$   
 $\Sigma = \{a, b\}$        $q_1 \rightarrow \text{final state}$

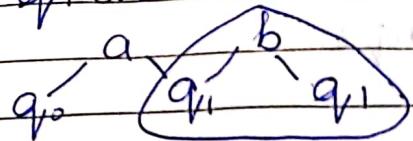
$$\begin{matrix} q_0 & q_1 & q_0 \\ q_1 & q_1 & q_1 \end{matrix}$$

Final transition diagram



8. Exactly one a



$q_0$  on b

The DFA machine is given by

$$MDFA = \{Q, \Sigma, S, q_0, F\}$$

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

 $q_0 \rightarrow \text{start state}$        $F \rightarrow \text{final state}$ 

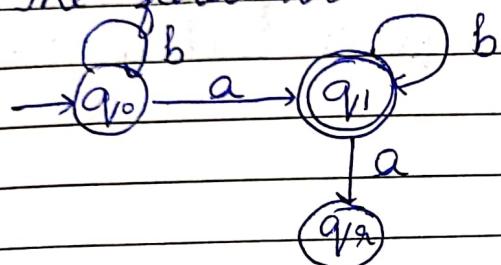
S DFA

$$a \quad b$$

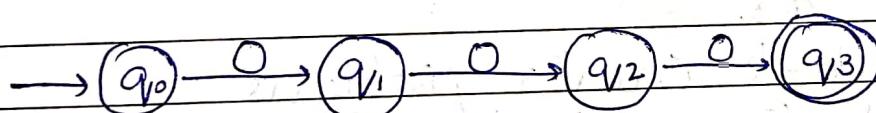
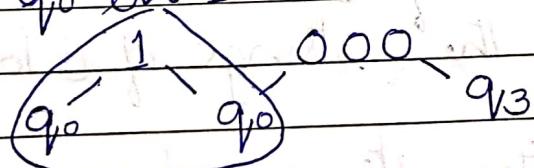
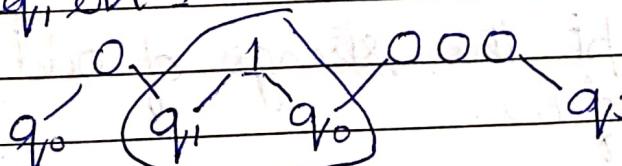
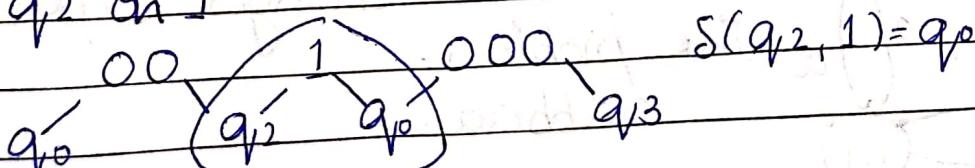
$$q_0 \quad q_1 \quad q_0$$

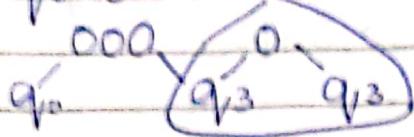
$$q_1 \quad q_2 \quad q_1$$

The final transition diagram

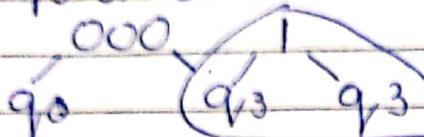


9. 0's and 1's 3 consecutive zero

 $q_0$  on 1 $q_1$  on 1 $q_2$  on 1

$q_3$  on 0

$$\delta(q_3, 0) \Rightarrow q_3$$

 $q_3$  on 1

$$\delta(q_3, 1) \Rightarrow q_3$$

The DFA for this machine

$$\text{MDFA} = \{Q, \Sigma, \delta, q_0, F\}$$

$q_3$  is final state  
 $q_0$  is initial state

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

 $\delta_{DFA} \quad 0 \quad 1$ 

$q_0$	$q_1$	$q_0$
-------	-------	-------

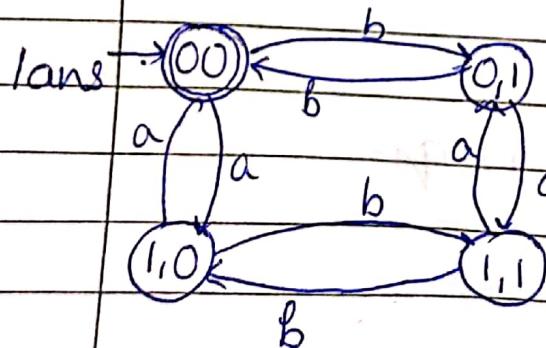
$q_1$	$q_2$	$q_0$
-------	-------	-------

$q_2$	$q_3$	$q_0$
-------	-------	-------

$q_3$	$q_3$	$q_3$
-------	-------	-------

Type 2: Modulo-k counter problems:

1. Obtain DFA to accept the strings of even number of a's and even number of b's
2. Obtain DFA to accept the strings of odd number of a's & b's
3. Obtain DFA to accept the strings of even no of a's & odd no of b's
4. Obtain DFA to accept the strings of odd no of a's & even number of b's.



Final state changes depends on

the problem-

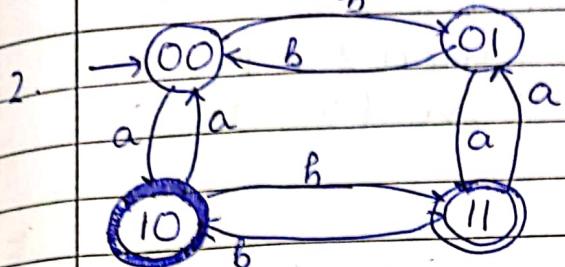
aabbaa.

M DFA =  $\{Q, \Sigma, S, q_0, F\}$

$Q = \{00, 01, 10, 11\}$

$\Sigma = \{0, 1\}$

$00 \rightarrow$  start state  
 $b$  final state



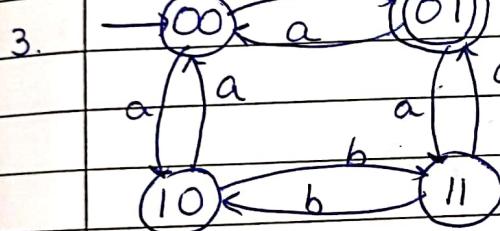
M DFA =  $\{Q, \Sigma, S, q_0, F\}$

$Q = \{q_0, q_1, q_2, q_3\}$

$\Sigma = \{0, 1\}$

$00 \rightarrow$  start state

$10, 11 \rightarrow$  final states



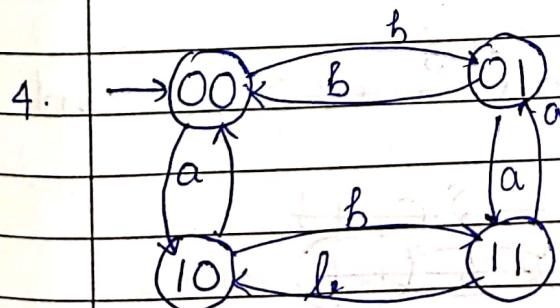
M DFA =  $\{Q, \Sigma, S, q_0, F\}$

$Q = \{q_0, q_1, q_2, q_3\}$

$\Sigma = \{0, 1\}$

$00 \rightarrow$  start state

$01 \rightarrow$  final state



5 Obtain DFA to accept the language  $L = \{w \mid w \in (a+b)^*$   
 such that no. of a's in w and mod 3 = 0 and  
 $n_b \text{ in } w \cdot 2 = 0\}$ .

$$\text{ans. } n_a(w) \text{ mod } 3 = 0$$

$$0 \text{ mod } 3 = 0$$

$$1 \text{ mod } 3 = 1$$

$$2 \text{ mod } 3 = 2$$

$$3 \text{ mod } 3 = 0$$

$$n_b(w) \text{ mod } 2 = 0$$

$$0 \text{ mod } 2 = 0$$

$$1 \text{ mod } 2 = 1$$

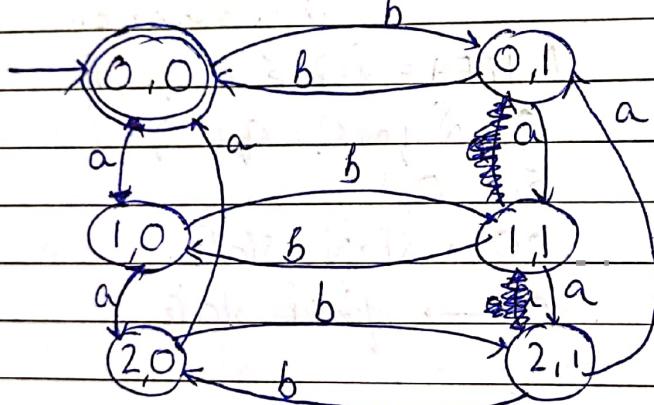
$$2 \text{ mod } 2 = 0$$

possible remainders

$$\{0, 1, 2\} \times$$

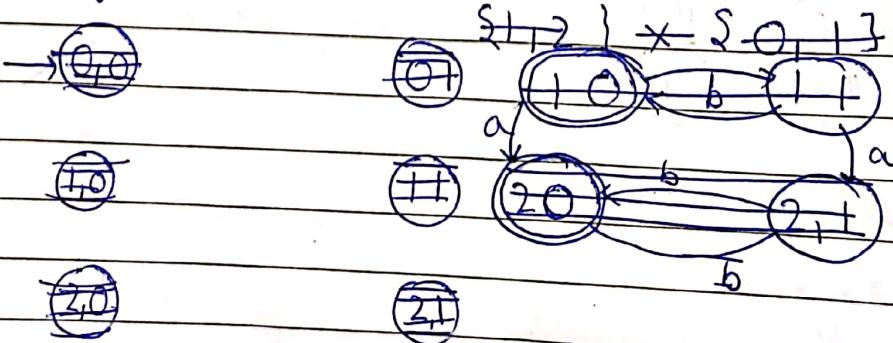
$$\{0, 1\}$$

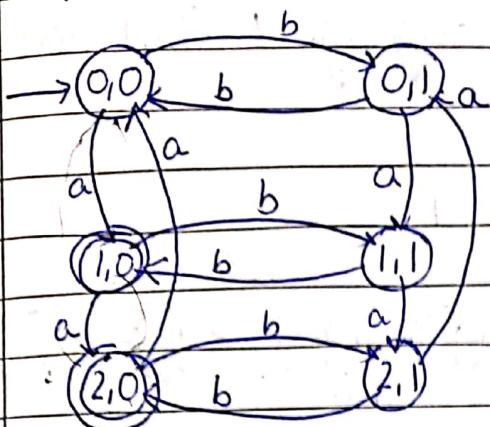
Take cross product to get no. of states  
~~(0,0)~~  $\{(0,0), (0,1), (1,0), (1,1), (2,0), (2,1)\}$



6. Obtain DFA

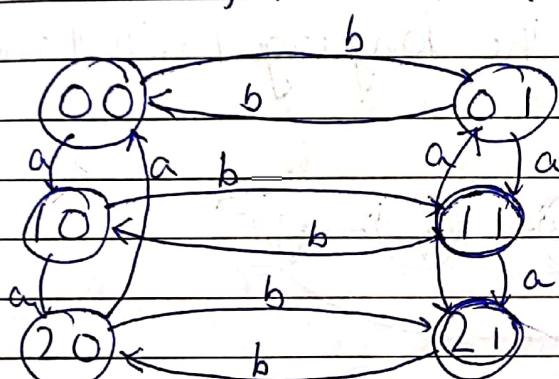
$L = \{w \mid w \in (a,b)^* \text{ no. of a's in } w \text{ mod } 3 > 0 \text{ and}$   
 $\text{no. of b's mod 2 in } w = 0\}$ .





7.  $n_a(w) \bmod 3 > 0$  and  $n_b(w) \bmod 2 > 0$   
possible remainders

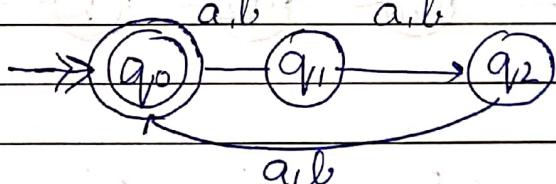
$$\{0, 1, 2\} \times \{0, 1\}$$



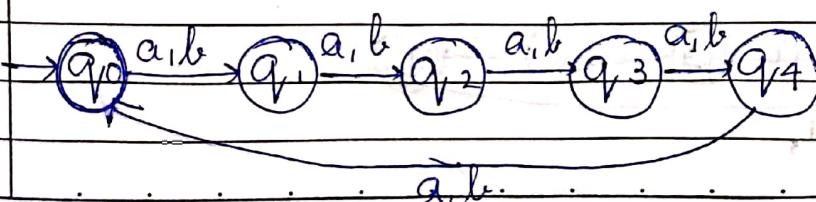
aabb aabb

Type 3 : 1) Obtain DFA to accept the language  
 $L = \{w : |w| \bmod 3 = 0\}$  on  $\Sigma = \{a, b\}$

$$\begin{aligned} 0 \bmod 3 &= 0 \\ 1 \bmod 3 &= 1 \\ 2 \bmod 3 &= 2 \\ 3 \bmod 3 &= 0 \end{aligned}$$



2) Obtain DFA to accept the language  $L = \{w | |w| \bmod 5 = 0\}$   
on  $\Sigma = \{a, b\}$



3. Obtain DFA to accept the language  $L = \{S | w \mid \text{mod } 3 = |w| \text{ mod } 2\}$

Ans Possible remainders for 3 = 0, 1, 2

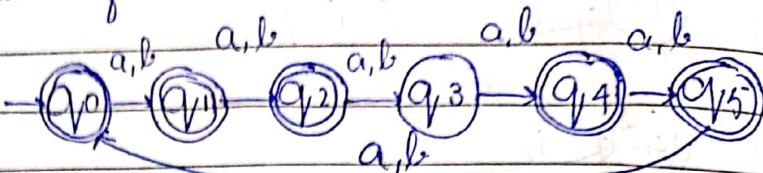
Possible remainders for 2 = 0, 1

Cross product

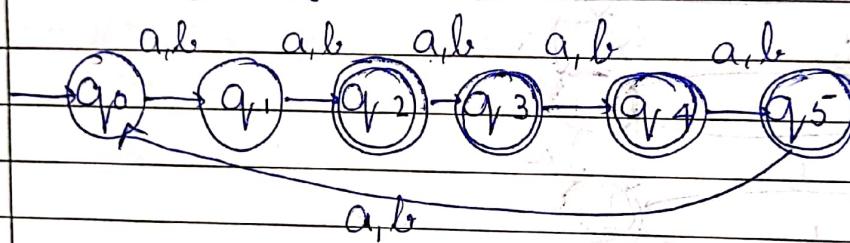
00      01

10      11

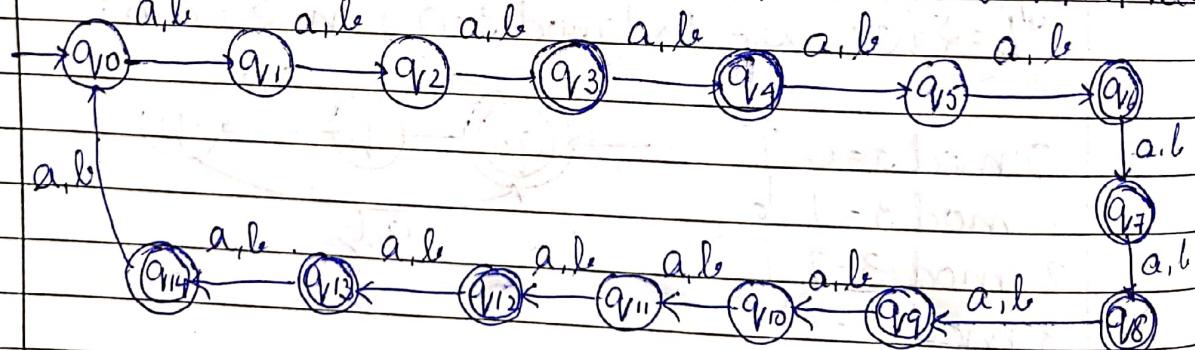
20      21



4. Obtain DFA to accept the language  $L = \{S | w \mid \text{mod } 3 = |w| \text{ mod } 2\}$



5.  $L = \{S | w \mid \text{mod } 5 < |w| \text{ mod } 5\} \cap \{S | w \mid \text{mod } 5 > |w| \text{ mod } 5\}$



6. Obtain DFA to accept language  $L = \{S | w \mid \text{mod } 5 \neq 0\}$  on  $S = \{a\}$



Type 4: Divisible of  $k$  problems:-

In this method of constructing DFA, that divide a number by  $\geq k$ . For these type of problems the transitions can be obtained using the following relation:

$\delta(q_i, d) = q_j$  where  $j = (r * i + d) \bmod k$ .  
where  $r$  = radix of input.

For binary  $r = 2$ , for decimal  $r = 10$

$i$  represents remainder obtained after dividing by  $k$ .  $d$  represents digits. For binary  $d = \{0, 1\}$

for decimal  $d = \{0, \dots, 9\}$

$k$  represents divisor.

- \* 1. Construct a DFA which accepts the strings of 0's and 1's where value of each string is represented as a binary number, only the strings representing 0 modulo 5 should be accepted.

ans Step 1: Identify the radix, input alphabets and  $k$  value.

$$r = 2$$

$$d = \{0, 1\}$$

$$k = 5$$

Step 2: Compute possible remainders after dividing by  $k$ . The possible remainders are:-

$$\{0, 1, 2, 3, 4\}$$

Step 3: Compute the transitions using the formula

$$\delta(q_i, d) = q_j$$

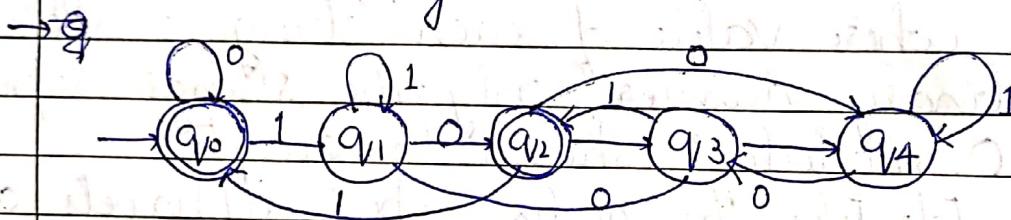
$$j = (r * i + d) \bmod k$$

$$\text{where } i = \{0, 1, 2, 3, 4\}$$

\* final state.

$q_{in}$	$d$	$j = (q_{in} \text{ mod } k)$	$S(q_i, d) = q_j$
0*	0	0	$S(q_0, 0) = q_0$
	1	1	$S(q_0, 1) = q_1$
1	0	2	$S(q_1, 0) = q_2$
	1	3	$S(q_1, 1) = q_3$
2*	0	4	$S(q_2, 0) = q_4$
	1	0	$S(q_2, 1) = q_0$
3	0	1	$S(q_3, 0) = q_1$
	1	2	$S(q_3, 1) = q_2$
4	0	3	$S(q_4, 0) = q_3$
	1	4	$S(q_4, 1) = q_4$

Transition diagram as shown below



Transition Table as shown below

SDEA

	0	1
$q_0$	$q_0$	$q_1$
$q_1$	$q_2$	$q_3$
$q_2$	$q_4$	$q_0$
$q_3$	$q_1$	$q_2$
$q_4$	$q_3$	$q_4$

2. Construct a DFA which accept strings of 0's & 1's  
..... 0 mod 3 must be accepted.

$$n = 2$$

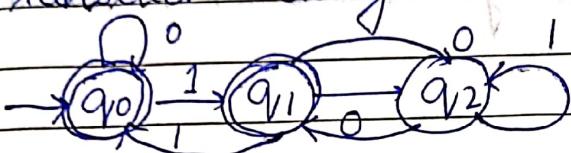
$$i = \{0, 1, 2\}$$

$$d = \{0, 1\}$$

$$k = 3$$

sum	d	j	$S(q_i, d) = q_j$
0*	0	0	$S(q_0, 0) = q_0$
	1	1	$S(q_0, 1) = q_1$
1*	0	2	$S(q_1, 0) = q_2$
	1	0	$S(q_1, 1) = q_0$
2	0	1	$S(q_2, 0) = q_1$
	1	2	$S(q_2, 1) = q_2$

Transition diagram as shown below



Transition table is as follows.

S DFA	0	1
$q_0$	$q_0$	$q_1$
$q_1$	$q_2$	$q_0$
$q_2$	$q_1$	$q_2$

Non-deterministic finite automata:

Obtain NFA

Definition: NFA can be defined as 5 tuples

$$MNFA = (Q, \Sigma, \delta, q_0, F)$$

Q - set of states

$q_0$  = start state

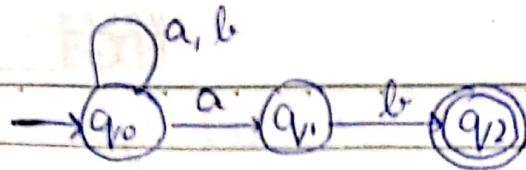
$\Sigma$  - set of alphabets

F = final state

$\delta$  -  $Q \times \Sigma \rightarrow 2^Q$

- 1) Obtain NFA to accept the strings of a's and b's ending with ab

ans Step 1: Construct NFA, which is same as DFA, only difference is start state. In start state mention 2 transitions



Step 2: Construct transition table for NFA

S NFA	a	b
$q_0$	$(q_0, q_1)$	$q_0$
$q_1$	-	$q^2$
$q_2$	-	-

Techniques for converting NFA to DFA:- There are 2 techniques

1. Lazy evaluation
2. Subset construction

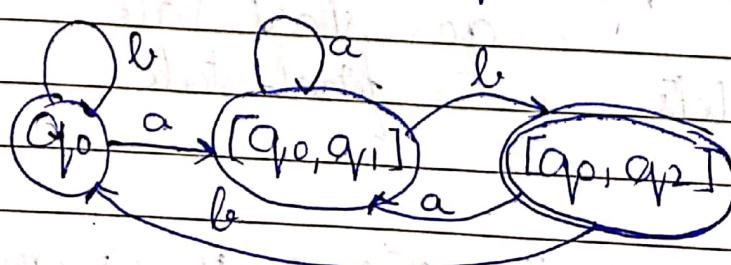
Lazy Evaluation:

1. Obtain NFA to accept strings of a's & b's ending with ab & convert NFA to equivalent DFA using lazy evaluation.

p.3:- SDFA

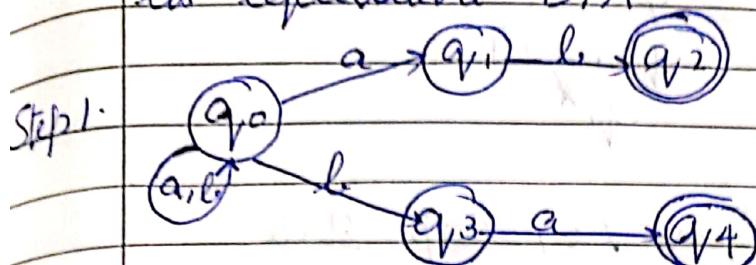
	a	b	DFA
$q_0$	$[q_0, q_1]$	$q_0$	
$[q_0, q_1]$	$[q_0, q_1]$	$[q_0, q_2]$	
$[q_0, q_2]$	$[q_0, q_1]$	$q_0$	

Construct transition table for DFA



Note: In reality NFA doesn't exist, so we have to convert NFA to DFA.

2. Obtain NFA to accept the strings of  $a^*$ s and  $b^*$ s ending with ' $ab$ ' or ' $ba$ ' and convert NFA to its equivalent DFA.



Step 2: S NFA    a    b

$q_0$      $[q_0, q_1]$      $[q_0, q_3]$

$q_1$     —     $q_2$

$q_2$     —

$q_3$      $q_4$

$q_4$

Step 3: Construct Transition Table for DFA

S DFA    a    b

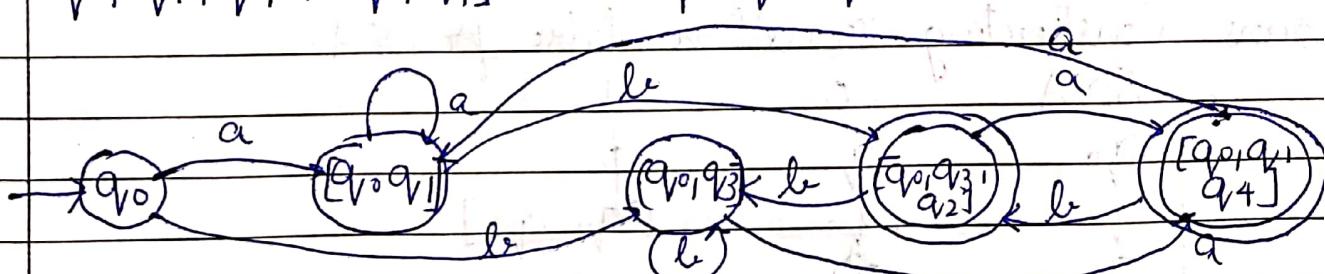
$q_0$      $[q_0, q_1]$      $[q_0, q_3]$

$[q_0, q_1]$      $[q_0, q_1]$      $[q_0, q_3, q_2]$

$[q_0, q_3]$      $[q_0, q_1, q_4]$      $[q_0, q_3]$

$[q_0, q_3, q_2]$      $[q_0, q_1, q_4]$      $[q_0, q_3]$

$[q_0, q_1, q_4]$      $[q_0, q_1]$      $[q_0, q_3, q_2]$



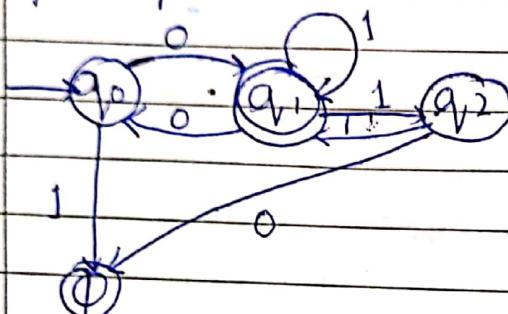
Transition diagram for DFA

Convert

3. Obtain NFA to equivalent DFA

SNFA	0	1
$q_0$	$q_1$	$\emptyset$
$q_1$	$q_0$	$[q_1, q_2]$
$q_2$	$\emptyset$	$q_1^*$

$\emptyset$  implies  $\Rightarrow$  no transitions i.e., trap state.



4. Convert NFA to equivalent DFA.

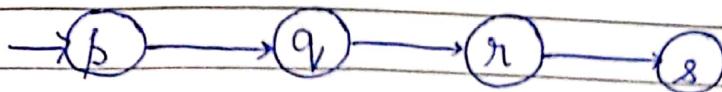
SNFA	0	1
P	$\{q_1, q_2\}$	$\{q_3\}$
$q_1$	$q_2$	$\{q_1, q_3\}$
$q_2$	$\emptyset$	$\{q_3\}$
$q_3$	$\emptyset$	$\emptyset$

Select Construction Method:-

ans Constructing transition table for DFA.

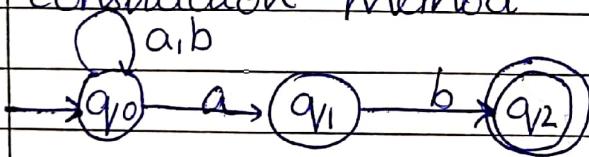
S DFA	0	1
$q_0$	$q_1$	$\emptyset$
$q_1$	$q_0$	$[q_1, q_2]$
$q_2$	$\emptyset$	$q_1$
$[q_1, q_2]$	$[q_0, q_2]$	$[q_1, q_2]$

4.



### Subset Construction Method :-

1. Obtain DFA for the following NFA using subset construction method



Step 1: Identify the start state of DFA.

Since  $q_0$  is the start state of NFA, Hence  $q_0$  is also a start state in DFA.

Step 2: Identify the alphabets of DFA.

$$\Sigma = \{a, b\}$$

Step 3: Identify the no. of states in NFA.

$\{q_0, q_1, q_2\}$ . 8 possible subsets ( $2^3$ ).

No. of states present in DFA is  $Q_D = 2^3 = 8$ .

$\{\emptyset, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}\}$ .

$\{q_0, q_1, q_2\}$ .

Step 4: Identify the final states of DFA.

$F_{DFA} = \{q_2\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}$  are the final states.

Step 5:

$$\delta(\emptyset, a) = \emptyset$$

$$\delta(\emptyset, b) = \emptyset$$

$$\delta(q_0, a) = \{q_0, q_1\}$$

$$\delta(q_0, b) = \{q_0\}$$

$$\delta(q_1, a) = \emptyset$$

$$\delta(q_1, b) = \{q_2\}$$

$$\delta(q_2, a) = \emptyset$$

$$\delta(q_2, b) = \emptyset$$

$$\delta(\{q_0, q_1\}, a) = \{q_0, q_1\}$$

$$\delta(\{q_0, q_1\}, b) = \{q_0, q_2\}$$

$$\delta(\{q_0, q_2\}, a) = \{q_0, q_1\}$$

$$\delta(\{q_0, q_2\}, b) = \{q_0\}$$

$$\delta(\{q_1, q_2\}, a) = \emptyset$$

$$\delta(\{q_1, q_2\}, b) = \{q_2\}$$

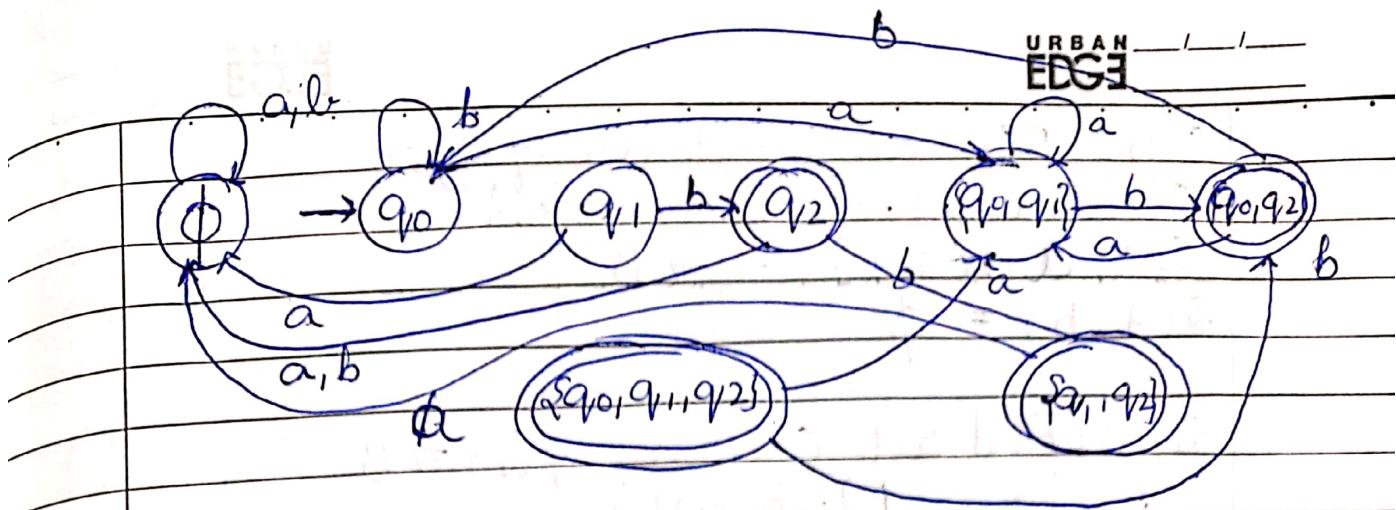
$$\delta(\{q_0, q_1, q_2\}, a) = \{q_0, q_1\}$$

$$\delta(\{q_0, q_1, q_2\}, b) = \{q_0, q_2\}$$

Step 6: Transition table for DFA as shown below:

SDFA	a	b
$\emptyset$	$\emptyset$	$\emptyset$
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_1\}$	$\emptyset$	$\{q_2\}$
$\{q_2\}$	$\emptyset$	$\emptyset$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_1, q_2\}$	$\emptyset$	$\{q_2\}$
$\{q_0, q_1, q_2\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$

Transition diagram



2. Obtain NFA to accept the strings of A's and B's ending with ab or ba and convert NFA to DFA using subset construction method

### Epsilon NFA

can be defined using 5 tuples

$$\text{ENFA} = \{Q, \Sigma, \delta, q_0, F\}$$

Q is set of states

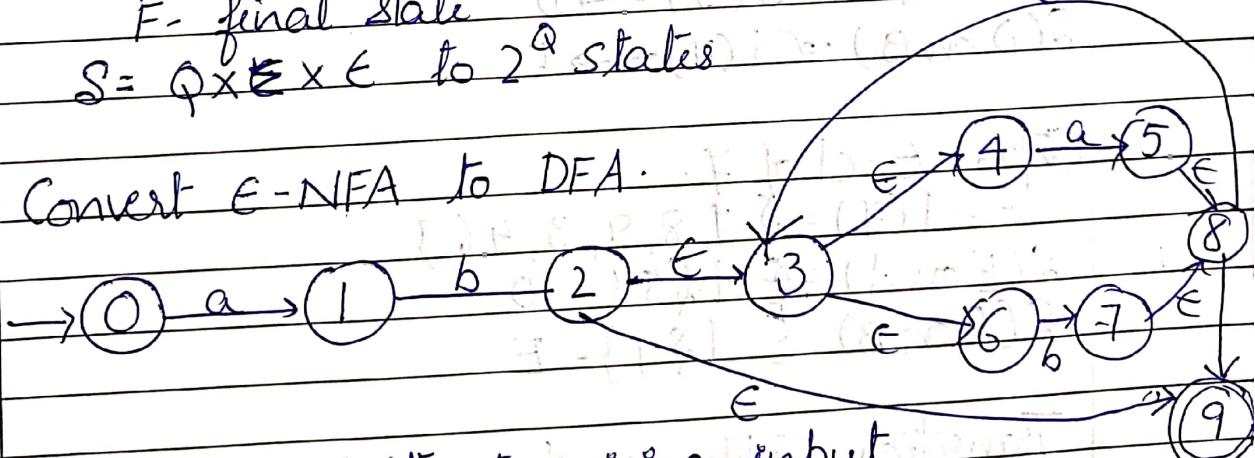
$\Sigma$  set of alphabets

$q_0$  Start state

F- final state

$$\delta = Q \times \Sigma \times \epsilon \rightarrow 2^Q \text{ states}$$

1. Convert E-NFA to DFA.



$\epsilon$ -closure - without giving input how many states we can reach.

$$\epsilon\text{-closure}(3) = \{3, 4, 6\}$$

including itself

Step 1:- Here zero is the start state of NFA, then  $\epsilon$ -closure of 0 is the start state of DFA.

Step 2: Find out  $\epsilon$ -closure of zero.

$$\epsilon\text{-closure}(0) = \{0\} \xrightarrow{\epsilon} A$$

$$S(A, a) = \epsilon\text{-cl}\{1\} \xrightarrow{\epsilon} B$$

$$S(A, b) = \emptyset$$

Step 3: Find out  $\epsilon$ -closure of 1  $\Rightarrow B$

$$\epsilon\text{-closure}(1) = B = \{1\}$$

$$S(B \text{ on } a) = \emptyset$$

$$S(B \text{ on } b) = \epsilon\text{-cl}\{2\} \Rightarrow C = \{2, 3, 4, 6, 9\}$$

Step 4: Con A, Con B

$$\epsilon\text{-closure}(2) = \{2, 3, 4, 6, 9\} = C$$

$$S(C \text{ on } a) = \epsilon\text{-cl}\{5\} \xrightarrow{\epsilon} D$$

$$S(C \text{ on } b) = \epsilon\text{-cl}\{7\} \xrightarrow{\epsilon} E$$

Step 5:  $\epsilon$ -closure of 5

$$\epsilon\text{-closure}(5) = \{5, 8, 9, 3, 4, 6\} \xrightarrow{\epsilon} D$$

$$S(D \text{ on } A) = \epsilon\text{-cl}\{5\} \xrightarrow{\epsilon} D$$

$$S(D \text{ on } B) = \epsilon\text{-cl}\{7\} \xrightarrow{\epsilon} E$$

Step 6:  $\epsilon$ -cl of 7.

$$\epsilon\text{-cl}(7) = \{7, 8, 9, 3, 4, 6\}$$

$$S(E \text{ on } A) = \epsilon\text{-cl}\{5\} - D$$

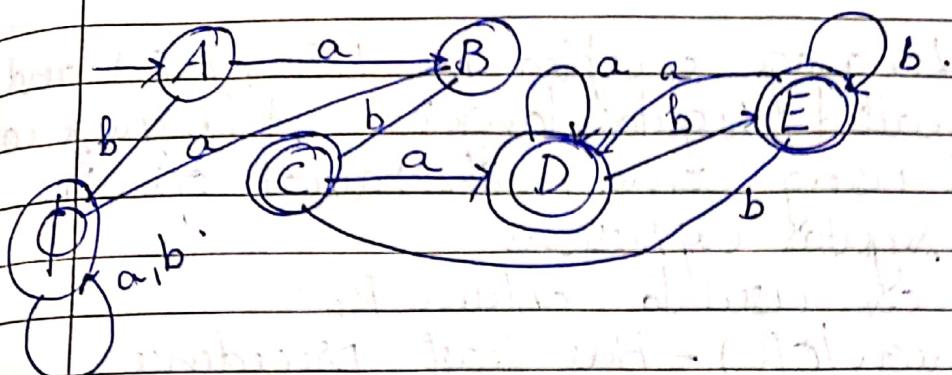
$$S(E \text{ on } B) = \epsilon\text{-cl}\{7\} - E$$

Transition Table as shown below

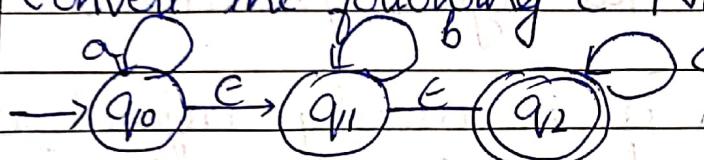
SDFAs

	a	b
A	B	$\emptyset$
B	$\emptyset$	C
C	D	E
D	D	E
E	D	E

Transition diagram as shown below.



2. Convert the following E-NFA to equivalent DFA.



## Module 2 Regular Expressions:

Definition: Language accepted by DFA, NFA and E-NFA is called regular language. A language can be described using some mathematical operators are called regular expressions.

3 operators are used to obtain RE

- 1) + (union/OR) - Has least precedence.
- 2) • (concatenation) - Next least precedence.
- 3) \* (closure) - Has highest precedence

Regular Expression is recursively defined as

$\emptyset \rightarrow$  is a regular expression representing empty language

$\epsilon \rightarrow$  RE indicating empty string

$a \rightarrow$  RE indicating language containing only a.

If R and S are the regular expressions corresponding to the language  $L(R)$  and  $L(S)$  can be defined as shown below.

- a)  $R + S$  : is a reg. exp corresponding to the language  $L(R) \cup L(S)$ .
- b)  $R.S$  : corresponding to the language  $L(R).L(S)$
- c)  $R^*$  : is a reg. exp corresponding to the language  $L(R)$ .

Type I: Problems

1. Obtain reg. exp consisting of any no. of a's
- ns  $R.E = a^*$

2. Obtain RE - atleast one 'a'  
 ans  $a^+$

3. Obtain RE - a's and b's of any length, including null string.  
 ans  $(a+b)^*$  → includes  $\epsilon$

4. a's and b's ending with abb.  
 ans  $(a+b)^* abb$

5. a's and b's starting with ab  
 ans  $ab(a+b)^*$

6. a's and b's (any no) any no. of c (any no of)  
 ans  $a^* b^* c^*$

7. Atleast one a, Atleast one b, atleast one c  
 ans  $a^+ b^+ c^+$

8. 0's and 1's ending with 3 consecutive zeros  
 ans  $(0+1)^* 000$

9. a's & b's whose 10<sup>th</sup> symbol from right end is a  
 ans  ~~$(a+b)^*$~~   $\underline{(a+b)^* a (a+b)^*}$

10.  $L = \{w \mid w \text{ mod } 3 = 0 \text{ where } w \in (a,b)\}$   
 ans.  $((a+b)(a+b)(a+b))^*$

11.  $L = \{L = a^n b^m \mid m+n \text{ is even}\}$ .  
 ans.  $(aa)^* \cdot (bb)^* + a(aa)^* b(bb)^*$

$R_1 \Rightarrow$  RE representing Machine M1

$L(R_1)$

$R_2 \Rightarrow$  RE representing Machine M2

$L(R_2)$

EDGE

Conversion from Reg exp to finite automata

Theorem: Read it on your own.

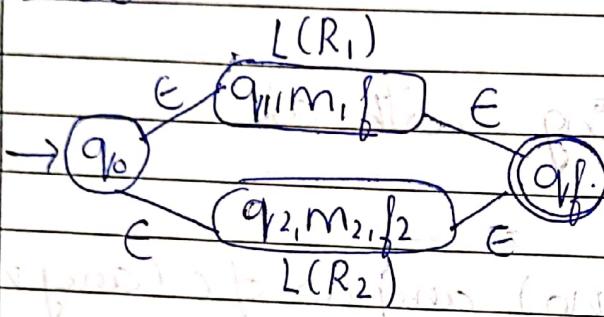
→ Various machine corresponding to the exp  $R_1 + R_2$ ,  $R_1 \cdot R_2$ ,  $R_1^*$

Theorem: Let  $R$  be the regular expression for a final

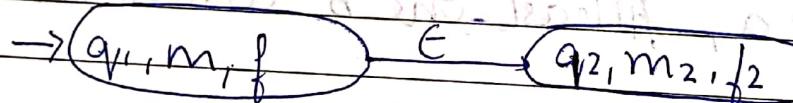
automata  $M = (Q, S, S, q_0, F)$

Case 1:  $R = R_1 + R_2$

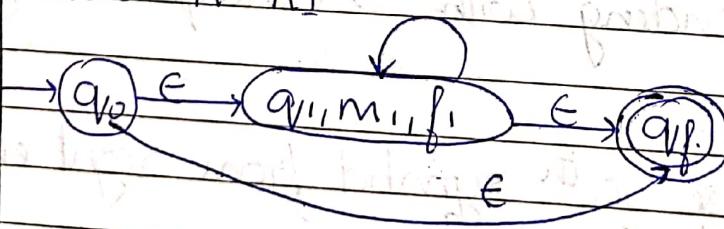
↓↓↓ We can construct an NFA which accepts either  $L(R_1)$  or  $L(R_2)$  which can be represented as shown below.



Case 2:  $R = R_1 \cdot R_2$



Case 3:  $R = R_1^*$

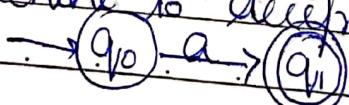


1. Obtain a RE to obtain accept strings of a's and b's starting with 'ab'. Convert the RE to finite automata.

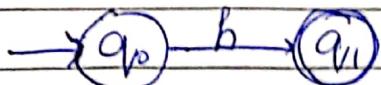
ans.  $RF = ab(a+b)^*$

FA to accept the above RE

Step 1: Machine to accept 'a' as shown below.



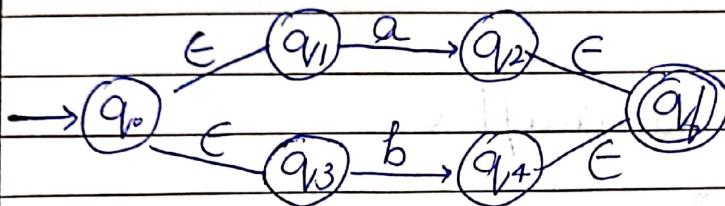
Step 2: Machine to accept b



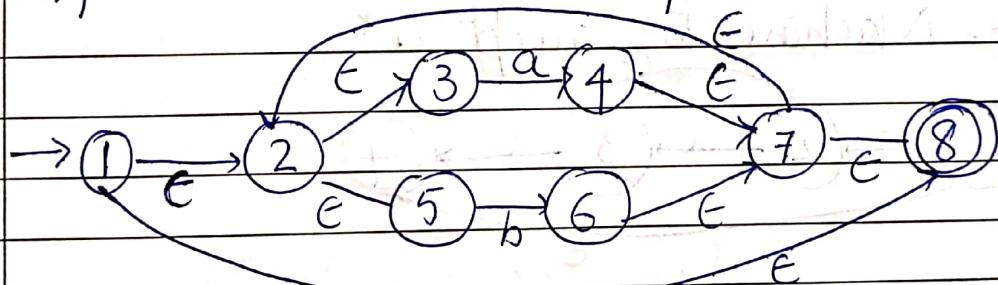
Step 3: Machine to accept ab.



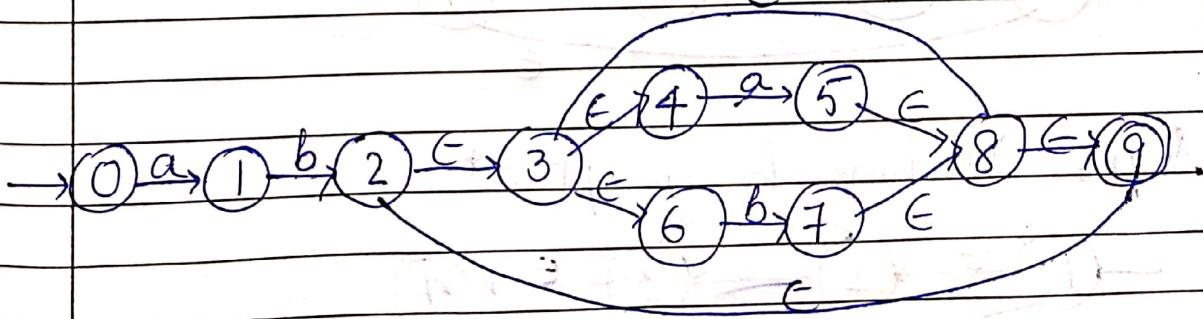
Step 4: Machine to accept a+b



Step 5: Machine to accept  $(a+b)^*$



Step 6: Machine to accept ab(a+b)\*

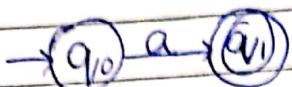


2. Convert the following RE to FA

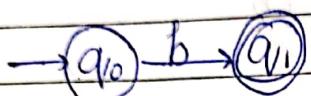
$$\text{RE} = a^* + b^* + c^*$$

ans

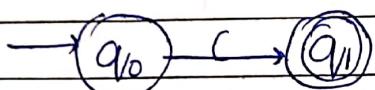
Step 1: Machine to accept a



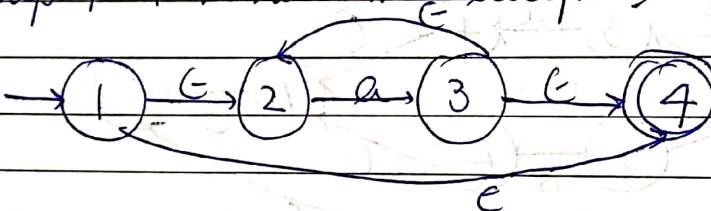
Step 2: Machine to accept b



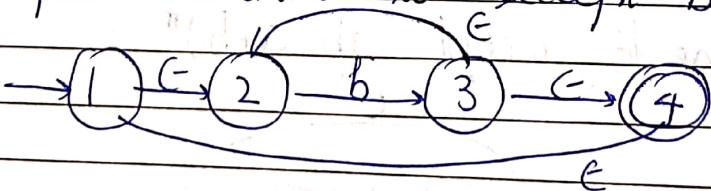
Step 3: Machine to accept c



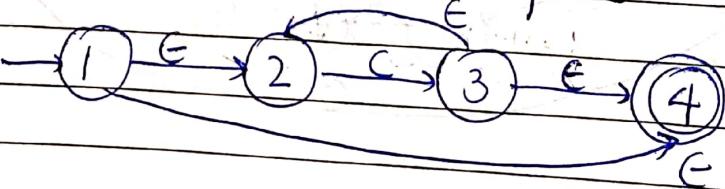
Step 4: Machine to accept  $a^*$



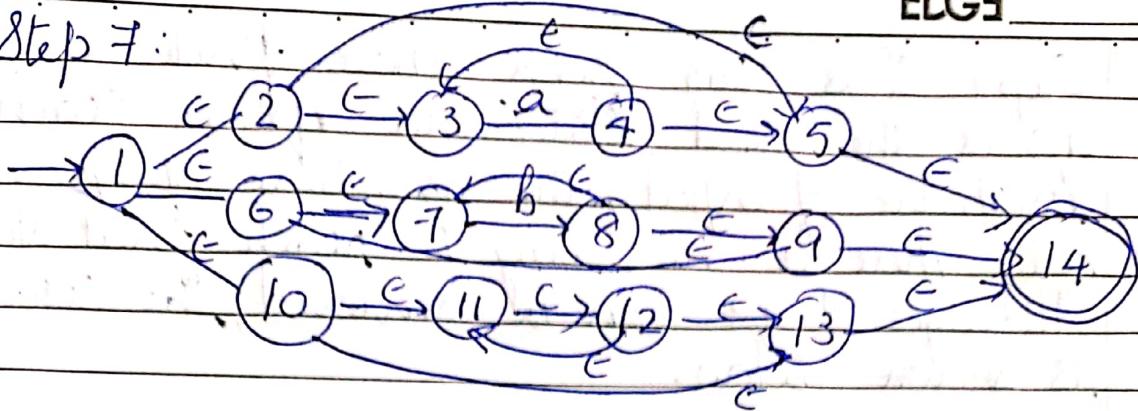
Step 5: Machine to accept  $b^*$



Step 6: Machine to accept  $(*)$



Step 7:



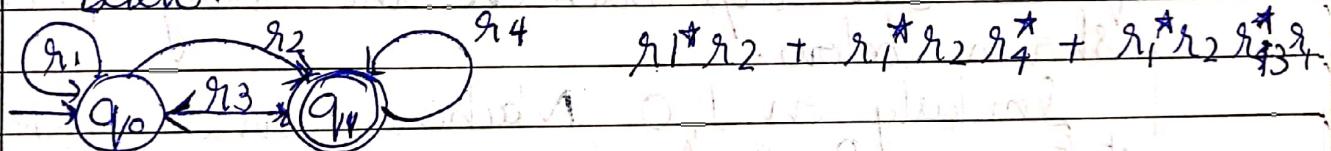
Conversion from FA to RE.

State Elimination Method      Kleen's Theorem

These Two techniques are used.

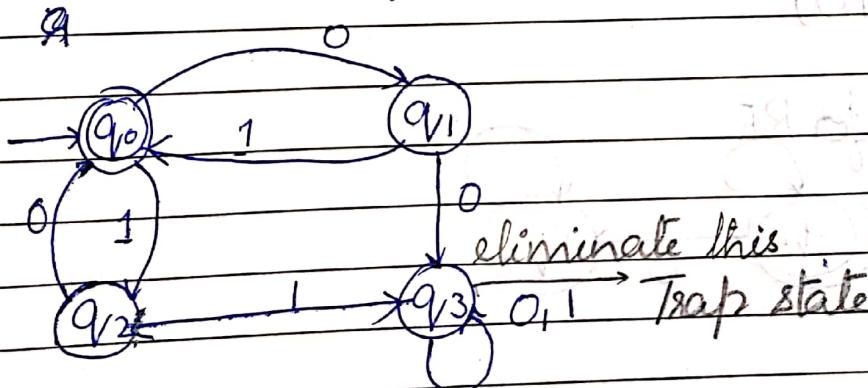
State Elimination Method: Theorem:-

General procedure to obtain RE from FA as shown below.

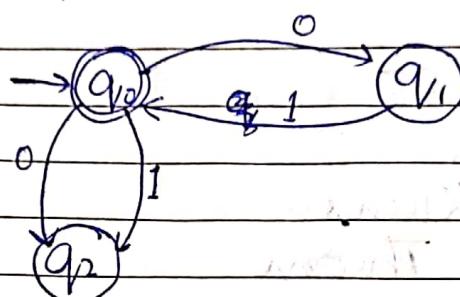


$$RE = r_1 * r_2 (r_4^* + r_3 r_1 * r_2)$$

- Obtain a RE for the following finite automata



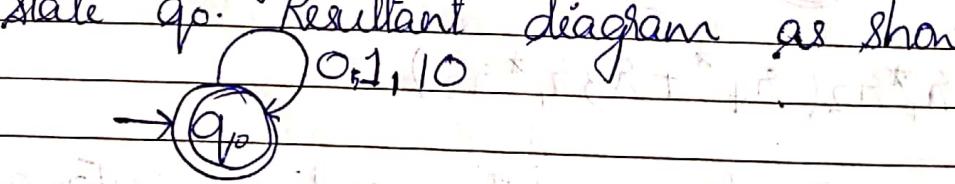
Step 1: It is clear from above finite automaton that  $q_3$  is the dead state. Once  $q_3$  is reached, irrespective of input, the machine stays in  $q_3$  only. There is no way to reach final state. All edges of  $q_3$  can be removed, the result is shown below.



Reduce this diagram even more

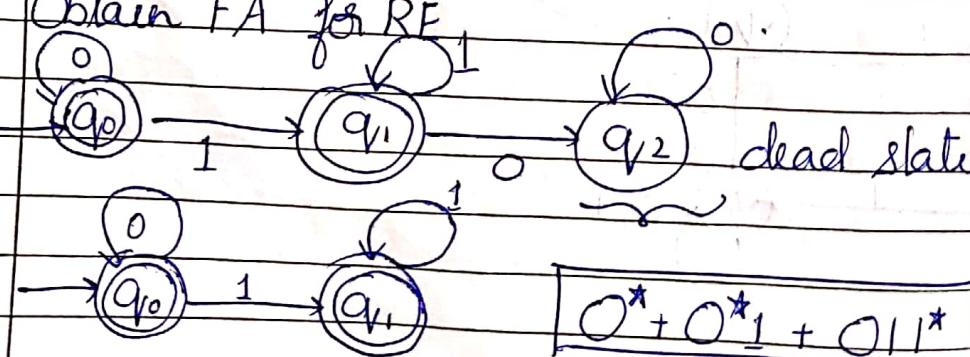
Step 2: It is clear from above diagram if input string is 0, machine goes to  $q_1$  and comes to  $q_1$ . This process can be repeated. Instead of  $q_1$  we can loop back on the string 0, 1 as shown below.

Similarly on 1, 0 Machine comes back to state  $q_0$ . Resultant diagram as shown below.



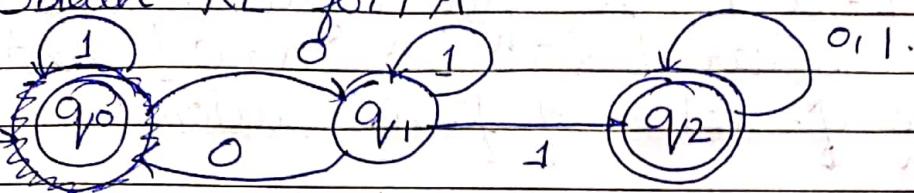
$$RE = (01 + 10)^*$$

2. Obtain FA for RE



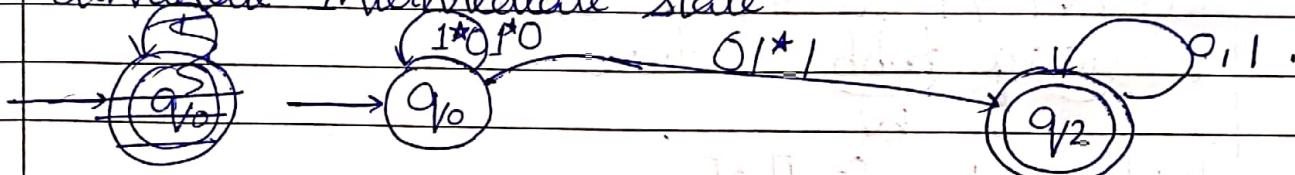
$$|0^* + 0^*_1 + 011^*|$$

3. Obtain RE for FA.



$$1^* 0 1^* 1 + 1^* 0 1^* 0 1^* 1 + 1^* 0 1^* 1 (0^* + 1^*)$$

Eliminate Intermediate state



$$1^* 0 1^* 0 0 1^* 1 (0+1)^*$$

$$R.E = (1^* + 0 1^* 0) (0 1^* 1) (0+1)^*$$

Properties of R.E

Pumping Lemma:

Theorem - using to prove that certain languages are not regular.

Language  $M = (Q, \Sigma, S, q_0, F)$  be a finite automata and  $n$  is no. of states.  $L$  be the regular language accepted by  $M$ .

For every string  $x$  in  $L$ ,  $\exists$  a constant  $n$  such that  $|x| \geq n$ . Now  $x$  can be broken into 3 substrings  $u, v$  and  $w$ .

such that  $x = u, v, w$ , satisfying the following constraint

$$1) V \neq \emptyset \text{ i.e. } |V| \geq 1$$

$$2) |uv^n| \leq n \text{ Then } uv^n \text{ is in } L \text{ for } i \geq 0$$

i. Show that  $L = a^n b^n$  is not regular: n>0.

Step 1: Let  $L$  be regular  $L = \{a^n b^n, n \geq 0\}$ . and  $n$  be the no. of states of finite automata  
 $x = a^n b^n$

Step 2:  $|x| = 2n \geq n$ .

we can split  $x$  into  $u, v, w$  such that  $|uv| \leq n$   
 and  $|v| \geq 1$  as shown below:

$x = aaaa bbbb$ , where DFA

$\downarrow$   
 $u \quad v \quad w$

$|u| = n-1$  so that

$|v| = 1$

$|w| = n$

Lazy eval/ Subseq- construct

definition

$\epsilon$ -NFA

RE

According to the pumping lemma

$uv^i w \in L$  for  $i = 0, 1, 2, \dots$

Application of FA

Step 3: If you take  $i = 0$

$a^m (a)^0 b^m \in L$

$a^m (a)^0 b^m \in L$

$a^m b^m \notin L$

App of RE

If you substitute  $i = 0$  the string  $v$  doesn't appear and no. of  $a$ 's will be less than the no. of  $b$ 's and string  $x$  doesn't have contain  $a^n b^n$ . But acc to pumping lemma no of  $a$ 's must be followed by  $b$ 's which is contradiction to our assumption that language is regular.

$\Rightarrow L = a^n b^n \text{ for } n > 0$  is not regular

imp

$w = ab \quad w' = ba$

$w w' r = \text{reverse}$