

# Polynomials

$$p(x) = \underbrace{a_n x^n + a_{n-1} x^{n-1} + \dots + a_2 x^2 + a_1 x + a_0}_{\text{leading coefficient}} \quad \text{degree } \deg P = n$$

$$\underline{\text{ex}} = \begin{array}{l} x^2 - 6x + 5 \\ z^3 - iz^2 - z + i \end{array} \quad \begin{array}{l} \text{degree} \\ p(z) = 1 \\ p(x) = 0 \end{array}$$

Zero  
polynomial

$$p(x) = \sum_{i=0}^n a_i x^i = a_0 x^0 + a_1 x^1 + \dots + a_n x^n \quad \deg \Rightarrow -\infty$$

$$\underline{\text{ex}} \quad (z^2 + 1) + (z^3 - iz^2 - z + i) \\ = z^3 + (1-i)z^2 - z + (1+i)$$

$$\textcircled{2} \quad (z^2 + 1)(z^3 - iz^2 - z + i) \\ = z^5 - iz^4 - z^3 + iz^2 + z^3 - iz^2 - z + i \\ = z^5 - iz^4 - z + i$$

remarks :  $\rightarrow \deg(P+Q) \leq \max\{\deg P, \deg Q\}$   
 $\deg(PQ) = \deg P + \deg Q$

polynomials, satisfy properties like comm, (with  $\neq$ ),  
asoc. but they are not a field.

### Roots of polynomials

Def  $\rightarrow$  A number  $x_0$  is called a root of  $p(z)$  if  $p(x_0) = 0$

ex  $z_0 = 1$  is a root of

$$Q(z) = z^3 - iz^2 - z + i$$

$$\text{since } Q(1) = 0$$

ex  $(x - x_0)$  is called a linear factor  
in fact this is a polynomial of degree 1  
a product of  $n$  linear factors

$$(x - x_0)(x - x_1)(x - x_2) \dots (x - x_n)$$

is a polynomial of degree  $n$ , fact  
which  $x_1, x_2, \dots, x_n$  are roots.

$$\begin{aligned} \text{ex } (z + 1)(z - 1)(z - i) &= (z^2 - 1)(z - i) = \\ &= z^3 - iz^2 - z + i = Q(z) \end{aligned}$$

the roots of  $Q(z)$  are  $1, -1, i$

$$\text{ex} \Rightarrow P(x) = x^2 - 6x + 5$$

$$x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

$$= \frac{6 \pm \sqrt{36 - 20}}{20} = \frac{6 \pm 4}{2} \begin{matrix} \swarrow 5 \\ \searrow 1 \end{matrix}$$

$$\Rightarrow P(x) = (x-5)(x-1)$$

$$\underline{\text{ex}} \quad P(x) = x^2 - 2x + 1 = (x-1)(x-1)$$

$x_1 = 1$  is a root with multiplicity 2.

The fundamental theorem of algebra

every polynomial of degree  $n$  over  $\mathbb{C}$  has  $n$  roots (counting multiplicity)

Question  $\rightarrow$  how do we find roots?

for  $n=1$   $p(x) = ax + b$   $x_1 = -\frac{b}{a}$

for  $n=2$ ;  $p(x) = ax^2 + bx + c$  • quadratic formula

for  $n=3$ ,  ~~$p(x) = ax^3 + bx^2 + cx + d$~~  E formula

for  $n \geq 5$  no formula by radicals



There are "tricks" for finding roots in specific cases. In general there are numerical methods.

Thm (Vieta's formulas)

the sum of the roots  $x_1 + x_2 + \dots + x_n$

$$= -\frac{a_{n-1}}{a_n}$$

the product of the roots  $x_1 x_2 \dots x_n =$

$$(-1)^n \frac{a_0}{a_n}$$

(for  $n=2$ ;  $x_1 + x_2 = -\frac{b}{a}$ ,  $x_1 x_2 = \frac{c}{a}$ )

Thm if all the coefficients are integers.

integers and  $\frac{p}{q}$  is a rational root

then  $p$  divides  $a_0$  and  $q$  divides  $a_n$

Thm if  $p(z)$  has real coefficients and  $z_0 \in \mathbb{C}$  is a root, then  $\bar{z}_0$  is also a root

(This is

Proof  $\Rightarrow$  write  $P(z) = \sum_{j=0}^n a_j z^j$

(this is the same as  $a_0 + a_1 z + a_2 z^2 + \dots + a_n z^n$ )

$z_0$  is a root  $\Rightarrow P(z_0) = 0 \Rightarrow \overline{P(z_0)} = 0$

$$0 = \overline{P(z_0)} = \sum_{j=0}^n \overline{a_j (z_0)^j}$$

$$= \sum_{j=0}^n \overline{a_j (z_0)^j} = \sum_{j=0}^n \overline{a_j} \cdot \overline{(z_0)^j}$$

$(a_j \in \mathbb{R})$   
(for every  $j$ )

$\Rightarrow$

$$= \sum_{j=0}^n a_j (\overline{z_0})^j = P(\overline{z_0})$$

$\rightarrow z_0 \nrightarrow$   
a root

ex:  $p(x) = x^5 - x^7 - 10x^3 + 10x^2 + 9x - 9$

~~write  $y = x^2$~~

$(x-1) \nrightarrow$  is a linear factor of  
 $x^5 - x^7 - 10x^3 + 10x^2 + 9x - 9$

$$\begin{array}{r}
 x-1 \overline{) x^5 - x^4 - 10x^3 + 10x^2 + 9x - 9} \\
 \underline{+ x^5 - x^4} \phantom{+ 9x - 9} \\
 -10x^3 + 10x^2 \phantom{+ 9x - 9} \\
 \underline{-10x^3 + 10x^2} \phantom{+ 9x - 9} \\
 0 \phantom{+ 9x - 9} \\
 9x - 9 \\
 \underline{9x - 9} \\
 0
 \end{array}$$

so it will be

$$p(x) = (x-1)(x^4 - 10x^2 + 9)$$

or write  $y = x^2$  :  $y^2 - 10y + 9$

~~or~~

$$y^2 - 9y - 1y + 9$$

$$y(y-9) - 1(y-9)$$

$$= (y-1)(y-9)$$

roots are  $y=1, 9$

$$x^2 = 1, x^2 = 9$$

$$x = \pm 1, x = \pm 3$$

hence

$$p(x) = (x-1)(x-1)(x+1)(x+3)(x-3)$$



Matrix  $\rightarrow$  A matrix is a chart of elements arranged in rows and columns

$$A = \begin{pmatrix} 1 & 0 & \pi \\ \sqrt{2} & i & -3 \end{pmatrix}$$

A is a matrix over  $\mathbb{C}$

In general

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} & \dots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \dots & a_{2n} \\ a_{31} & a_{32} & a_{33} & \dots & a_{3n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & a_{m3} & \dots & a_{mn} \end{pmatrix}$$

more notation  $\Rightarrow$

$a_{ij}$  is the entry in row  $i$ , column  $j$   
more notation  $A = A_{m \times n} = (a_{ij})$   
 $A \in M_{m \times n}(\mathbb{C})$

if  $m = n$  then  $A \in M_n(\mathbb{C})$

remarks ① if there are many rows/columns we write  $a_{2516}$

② In this course all entries will be  $\mathbb{R}/\mathbb{C}$

Terminology  $\Rightarrow$

- Size of a matrix :  $m \times n$
- Square matrix :  $A = A_{n \times n}$
- main diagonal : all elements
- 0 matrix : a matrix with  
 $a_{ij} = 0 \forall i, j$

- Identity matrix : Square where all diagonal entries are  $a_{ii} = 1$  and all off diagonal entries are  $a_{ij} = 0 (i \neq j)$

$$I_n = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad I_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- ~~diagonal~~ diagonal matrix : ex  $\Rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 5 \end{pmatrix}$

every  $a_{ij} = 0 (i \neq j)$

- scalar ~~matrix~~ matrix : ex  $\Rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 0 & 4 \end{pmatrix}$

$a_{ij} = 0 (i \neq j)$

$a_{ii} = c, \forall i$

- Transpose matrix ex :  $A = \begin{pmatrix} 1 & 2 & 0 \\ 3 & 1 & 1 \end{pmatrix} A^t = \begin{pmatrix} 1 & 3 \\ 2 & 1 \\ 0 & 1 \end{pmatrix}$

$(A^t)_{ij} = (A)_{ji}$  note that  $A = A_{m \times n}$   
 $\Rightarrow A^t = A_{n \times m}^t$

- Symmetric matrix  $A = A^t (a_{ij} = a_{ji})$

ex  $\begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 8 \\ 3 & 8 & 9 \end{pmatrix}$



~~A: Do~~

•  $-A$ : ex  $A = \begin{pmatrix} 1 & 2 \\ -3 & 4 \end{pmatrix} \Rightarrow -A = \begin{pmatrix} -1 & -2 \\ 3 & -4 \end{pmatrix}$

• skew symmetric:  $[A = -A^T]$

$$A = \begin{pmatrix} 0 & 7 \\ -7 & 0 \end{pmatrix} \quad A^T = \begin{pmatrix} 0 & -7 \\ 7 & 0 \end{pmatrix}$$

$$a_{ij} = -a_{ji}$$

(implies  $a_{ii} = 0 \quad \forall i$ )

• upper triangular: ex  $A = \begin{pmatrix} 1 & 2 & 3 \\ 0 & 4 & 5 \\ 0 & 0 & 6 \end{pmatrix}$   
 $a_{ij} = 0$  for  $i > j$

• lower triangular  $a_{ij} = 0$  for  $j > i$

$$\begin{pmatrix} 1 & 0 \\ & 2 \\ & & 3 \end{pmatrix}$$

• row vector: a matrix with 1 row  
(2 5 3)

• column vector: a matrix with 1 column

$$\begin{pmatrix} 2 \\ 5 \\ 3 \end{pmatrix}$$

## Operations on matrices

equality  $\Rightarrow A = B$  if they have exactly the same size and exactly the same entries

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \neq \begin{pmatrix} 1 & 2 \\ 1 & 3 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \neq \begin{pmatrix} 1 & 2 & 0 \\ 3 & 4 & 0 \end{pmatrix}$$

addition / subtraction: element-wise

$$\text{ex } \begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{pmatrix} - \begin{pmatrix} 0 & 1 & 2 \\ 3 & 4 & 6 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \end{pmatrix}$$

• Scalar times a matrix  $\Rightarrow$  entry-wise

$$\text{ex } 3 \begin{pmatrix} 1 & 2 \\ -1 & 6 \end{pmatrix} = \begin{pmatrix} 3 & 6 \\ -3 & 0 \end{pmatrix}$$

properties

$$\textcircled{1} A + B = B + A$$

$$\textcircled{2} (A + B) + C = A + (B + C)$$

$$\textcircled{3} A + 0 = A$$

$$\textcircled{4} A + (-A) = 0$$

$$\textcircled{5} \alpha(A + B) = \alpha A + \alpha B$$

$$\textcircled{6} (\alpha + \beta)A = \alpha A + \beta A$$

$$\textcircled{7} (\alpha\beta)A = \alpha(\beta A)$$

$$\textcircled{8} (A + B)^t = A^t + B^t$$

$$\textcircled{9} (A - B)^t = A^t - B^t$$

$$\textcircled{10} (\alpha A)^t = \alpha A^t$$

$$\textcircled{11} (A^t)^t = A$$

proof of 8

Size

matrix	Size
A	$m \times n$
B	$n \times m$
A+B	$m \times m$
$(A+B)^T$	$n \times m$
$A^T$	$n \times m$
$B^T$	$m \times n$
$A^T + B^T$	$n \times m$

entry  $\Rightarrow$

$$\underline{(A+B)}$$

$$\Rightarrow ((A+B)^T)_{ij} = (A+B)_{ji}$$



$$(A)_{ji} + (B)_{ji} = (A^T)_{ij} + (B^T)_{ij}$$

$$= (A^T + B^T)_{ij}$$

$$((A+B)^T)_{ij}$$

$\downarrow$   
 $i, j$ -th entry of  
 $(A+B)^T$

$\Downarrow$

$$(A+B)^T_{ji}$$

$\downarrow$   
 $(j, i)$ -th entry  
of  $(A+B)$



## Matrix Multiplication

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \\ 5 & 6 \end{pmatrix} \begin{pmatrix} -1 & 0 & 1 \\ 1 & 2 & -3 \end{pmatrix}$$

$A_{3 \times 2}$

$B_{2 \times 3}$

$$= \begin{pmatrix} 1 & 4 & -3 \\ 1 & 8 & -5 \\ 1 & 12 & -7 \end{pmatrix}$$

## Definition of matrix mult: $\rightarrow$

- Defined for  $A_{m \times n}$  and  $B_{n \times l}$
- The product is  $C_{m \times l}$
- The entries of  $C$  are

$$C_{ij} = \sum_{k=1}^n a_{ik} b_{kj} = a_{i1}b_{1j} + a_{i2}b_{2j} + \dots + a_{in}b_{nj}$$

$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \\ a_{31} & a_{32} \end{pmatrix} \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \end{pmatrix}$$

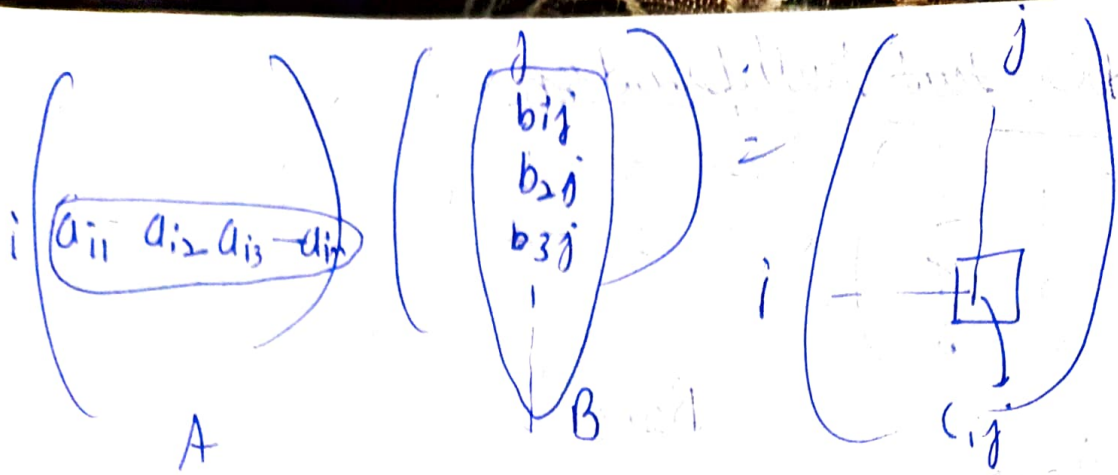
columns

rows

$$= a_{11}b_{11} + a_{12}b_{21} \quad (i=1, j=1)$$

if it was

$$3 \times 3 \quad A \cdot I = A$$
$$a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31}$$



Remarks  $AB \neq BA$  ex.  $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$

formulas like  $(A+B)^2 \neq A^2 + 2AB + B^2$

there could be zero divisors

$A \neq 0, B \neq 0$  but  $A \cdot B = 0$

no cancellation  $AB = AC \Rightarrow B = C$

$(AB = AC \Rightarrow AB - AC = 0 \Rightarrow A(B - C) = 0)$

Properties of matrix multiplication  $\Rightarrow$

Thm  $\Rightarrow$  let  $A_{m \times n}$   $B_{n \times l}$   $D_{l \times k}$  (e.g.) be matrices Then: ①  $(AB)C = A(BC)$

②  $A(B+D) = AB + AD$

③  $(D+B)C = DC + BC$

④  $\alpha(AB) = A(\alpha B)$

⑤  $A I_m = I_m A = A$

⑦  $(AB)^T = B^T A^T$

⑥  $A0 = 0A = 0$

proof of 7

elements:

$$(AB)_{ij} = \sum_{k=1}^m a_{ik} b_{kj}$$

matrix

A

size

$m \times m$

B

$m \times l$

AB

$m \times l$

$(AB)^+$

$l \times m$

$A^+$

$m \times m$

$B^+$

$l \times m$

$B^+ A^+$

$l \times m$

$$((AB)^+)_{ij} = \sum_{k=1}^m a_{jk} b_{ki}$$

$$(B^+ A^+)_{ij} = \sum_k (B^+)_{ik} (A^+)_{kj}$$

$$= \sum_{k=1}^m b_{ki} a_{jk}$$

hence

$$((AB)^+)_{ij} = (B^+ A^+)_{ij}$$

proof of 5

$A I_m =$

$$\begin{pmatrix} a_{11} & a_{12} & a_{13} & \dots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \dots & a_{2n} \\ a_{31} & a_{32} & a_{33} & \dots & a_{3n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & a_{m3} & \dots & a_{mn} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \end{pmatrix}$$

$$= \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{pmatrix} = A$$



Remark: multiplication of a matrix and a column vector is just a special case:

$$A_{m \times n} B_{n \times 1} = C_{m \times 1}$$

power of matrices: if  $A_{n \times n}$  then we define

$$A^0 = I$$

$$A^1 = A$$

$$A^2 = A A$$

$$A^3 = A A A$$

$$\vdots$$

substituting a matrix to a polynomial

ex  $P(X) = 3X^3 - 5X + 2$

$$A = \begin{pmatrix} 1 & 2 \\ 0 & -1 \end{pmatrix}$$

$$P(A) = 3A^3 - 5A + 2I$$

$$= 3 \begin{pmatrix} 1 & 2 \\ 0 & -1 \end{pmatrix} - 5 \begin{pmatrix} 1 & 2 \\ 0 & -1 \end{pmatrix} + 2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$A^2 = \begin{pmatrix} 1 & 2 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 2 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$= I$$

$$A^3 = A^2 A = I A = A$$

POA

$$P(A) = 3A^3 - 5A + 2I$$

$$= 3 \begin{pmatrix} 1 & 2 \\ 0 & -1 \end{pmatrix} - 5 \begin{pmatrix} 1 & 2 \\ 0 & -1 \end{pmatrix} + 2 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 3 & 6 \\ 0 & -3 \end{pmatrix} - \begin{pmatrix} 5 & 10 \\ 0 & -5 \end{pmatrix} + \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$$

$$= \begin{pmatrix} 0 & -4 \\ 0 & 4 \end{pmatrix}$$