

Short HW2: Classification: Introduction

Submitted individually by Thursday, 24.11, at 23:59.

We'll receive late submissions for additional 24 hours, deducting 5 points from the grade.

You may answer in Hebrew or English and write on a computer or by hand (but be clear).

Please submit a PDF file named like your ID number, e.g., 123456789.pdf.

Bonus (maximal grade is 100): Writing on a computer (using LyX/LaTeX, Word + Equation tool, etc.) = 2 pts.

Decision trees

Here you will show that greedy TDIDT algorithms do not guarantee “optimal” trees.

1. Propose a dataset with binary features and a binary target label, such that ID3 (with no stopping rule) returns a decision tree of depth 3 or more (not counting the root level but counting the leaves) even though there exists a decision tree of depth 2 which fits the dataset perfectly. Just to be clear, the depth is the length (in edges) of the longest (directed) path in the tree.

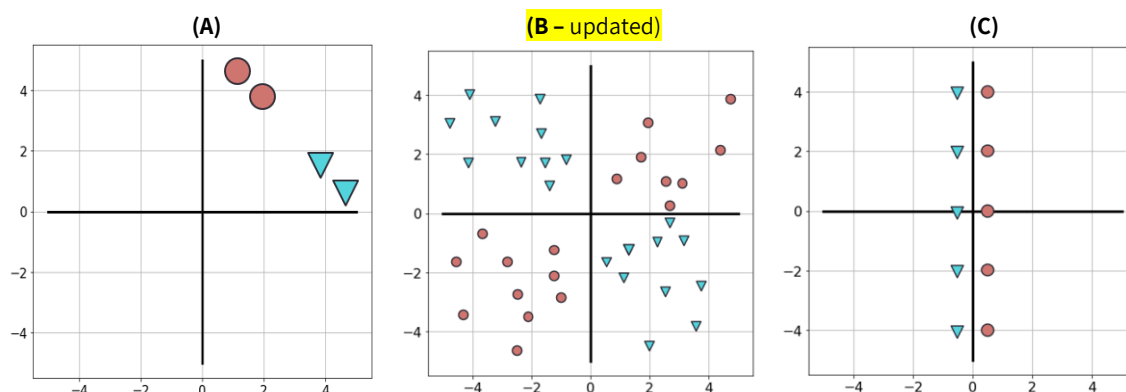
You should:

- 1.1. Explicitly write such a dataset with 3-4 binary features, one binary target label, and 5-8 distinct examples (no two examples with identical features). The data should be in a tabular form like in the dry run in Tutorial 03.
 - 1.2. Manually run ID3. Include the required entropy and information gain calculations (like in Tutorial 03). Draw the resulting tree.
Make sure the tree's depth is at least 3.
 - 1.3. Show a tree of depth 2 which perfectly fits the dataset (i.e., empirical error should be zero).
 - 1.4. Consider running ID3 with `max_depth=2` on your dataset (when facing a tie – predict True).
What is the empirical error of the resulting tree? Explain (no need to actually rerun ID3, think why).
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2. **Prove/Refute:** Given a tree node $v = \{(x_i, y_i)\}_{i=1}^m$ that includes $m \in \mathbb{N}$ examples and given a binary feature a of the examples in v . Assume we split according to a , meaning that we create two subsets $v_{a=T} \triangleq \{(x_i, y_i) \in v \mid x_i[a] = T\}$ and $v_{a=F}$. Then, the entropies of both subsets cannot increase, i.e., it holds that $(H(v_{a=T}) \leq H(v)) \wedge (H(v_{a=F}) \leq H(v))$.
Note I: We defined in the tutorial $H(v) = H\left(\frac{1}{|v|} \{(x, y) \in v \mid y = 1\}\right) \triangleq H(p_v) = -p_v \log_2 p_v - (1 - p_v) \log_2 (1 - p_v)$.
Note II: This question does not focus on a specific algorithm or a splitting criterion, but rather on properties of the entropy.

Separability

3. Following are 3 training sets in the \mathbb{R}^2 feature space with 2 classes (blue/red).

Assume no dataset has two points in the exact same coordinates.



Following are 4 models.

- i. kNN with $k = 1$ (where a point is not considered a neighbor of itself)
- ii. kNN with $k = 3$ (where a point is not considered a neighbor of itself)
- iii. Homogeneous linear model
- iv. Decision tree with at most 4 leaves

(We only consider nodes that split according to a threshold rule on one feature, e.g., $x_1 \geq 5$.)

3.1. For each model above, write which datasets this model can perfectly fit (i.e., with 0 training error) and which datasets it cannot. Write your answers in a table, like in the example below. When you say a model cannot perfectly fit a certain dataset, explain why in 1-2 sentences (without drawings).

Example for a table (answers are random):

Model / dataset	(A)	(B)	(C)
i.	Yes	Yes	Yes
⋮	⋮	⋮	⋮
iv.	Yes	No. Because trees are green.	Yes

3.2. Now assume that all datapoints are rotated by the same unknown angle θ (around the origin).

That is, each 2-dimensional data point $\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$ is transformed into $\mathbf{Q} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$ where $\mathbf{Q} \in \mathbb{R}^{2 \times 2}$ is some rotation matrix.

Without knowing the exact angle θ , answer for each of the 4 models:

- Might your answers for that model change?
 - If not, briefly explain why.
 - Otherwise, the answers for which datasets might change? Briefly explain why.

Answer for example:

- i. Answers unchanged because this is the best model ever.
- ⋮
- iv. Answers on datasets (A), (C) might change because this and that.