# **Neutrino oscillation**

**Neutrino oscillation** is a quantum mechanical phenomenon in which a <u>neutrino</u> created with a specific <u>lepton family number</u> ("lepton flavor": <u>electron, muon, or tau)</u> can later be <u>measured</u> to have a different lepton family number. The probability of measuring a particular flavor for a neutrino varies between three known states, as it propagates through space. [1]

First predicted by <u>Bruno Pontecorvo</u> in 1957, <u>[2][3]</u> neutrino oscillation has since been observed by a multitude of experiments in several different contexts. Notably, the existence of neutrino oscillation resolved the long-standing solar neutrino problem.

Neutrino oscillation is of great theoretical and experimental interest, as the precise properties of the process can shed light on several properties of the neutrino. In particular, it implies that the neutrino has a non-zero mass, which requires a modification to the Standard Model of particle physics. The experimental discovery of neutrino oscillation, and thus neutrino mass, by the Super-Kamiokande Observatory and the Sudbury Neutrino Observatories was recognized with the 2015 Nobel Prize for Physics. [4]

### **Observations**

A great deal of evidence for neutrino oscillation has been collected from many sources, over a wide range of neutrino energies and with many different detector technologies. The 2015 Nobel Prize in Physics was shared by Takaaki Kajita and Arthur B. McDonald for their early pioneering observations of these oscillations.

Neutrino oscillation is a function of the ratio  $L_E$ , where L is the distance traveled and E is the neutrino's energy. (Details in § Propagation and interference below.) Neutrino sources and detectors are far too large to move, but all available sources produce a range of energies, and oscillation can be measured with a fixed distance and neutrinos of varying energy. The preferred distance depends on the most common energy, but the exact distance is not critical as long as it is known. The limiting factor in measurements is the accuracy with which the energy of each observed neutrino can be measured. Because current detectors have energy uncertainties of a few percent, it is satisfactory to know the distance to within 1%.

#### Solar neutrino oscillation

The first experiment that detected the effects of neutrino oscillation was Ray Davis's Homestake experiment in the late 1960s, in which he observed a deficit in the flux of solar neutrinos with respect to the prediction of the Standard Solar Model, using a chlorine-based detector. This gave rise to the solar neutrino problem. Many subsequent radiochemical and water Cherenkov detectors confirmed the deficit, but neutrino oscillation was not conclusively identified as the source of the deficit until the Sudbury Neutrino Observatory provided clear evidence of neutrino flavor change in 2001.

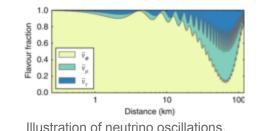
Solar neutrinos have energies below 20 MeV. At energies above 5 MeV, solar neutrino oscillation actually takes place in the Sun through a resonance known as the MSW effect, a different process from the vacuum oscillation described later in this article. [1]

### **Atmospheric neutrino oscillation**

Following the theories that were proposed in the 1970s suggesting unification of weak, strong, and Electromagnetic forces, a few experiments on proton decay followed in the 1980s. Large detectors such as <u>IMB</u>, <u>MACRO</u>, and <u>Kamiokande II</u> have observed a deficit in the ratio of the flux of muon to electron flavor atmospheric neutrinos (see <u>muon decay</u>). The <u>Super-Kamiokande</u> experiment provided a very precise measurement of neutrino oscillation in an energy range of hundreds of MeV to a few TeV, and with a baseline of the diameter of the <u>Earth</u>; the first experimental evidence for atmospheric neutrino oscillations was announced in 1998.

### Reactor neutrino oscillation

Many experiments have searched for oscillation of electron <u>anti</u>-neutrinos produced at <u>nuclear reactors</u>. No oscillations were found until the detector was installed at a distance 1–2 km. Such oscillations give the value of the parameter  $\theta_{13}$ . Neutrinos produced in nuclear reactors have energies similar to solar neutrinos, of around a few MeV. The baselines of these experiments have ranged from tens of meters to over 100 km (parameter  $\theta_{12}$ ). Mikaelyan and Sinev<sup>[9]</sup> proposed to use two identical detectors to cancel systematic uncertainties in reactor experiment to measure the parameter  $\theta_{13}$ .



In December 2011 the <u>Double Chooz</u> [10] firstly found that  $\theta_{13} \neq 0$  and in 2012 the <u>Daya Bay</u> experiment announced a discovery that  $\theta_{13} \neq 0$  with a significance of 5.2  $\sigma$ ; these results have since been confirmed by RENO. [12]

# Beam neutrino oscillation

Neutrino beams produced at a particle accelerator offer the greatest control over the neutrinos being studied. Many experiments have taken place that study the same oscillations as in atmospheric neutrino oscillation using neutrinos with a few GeV of energy and several-hundred-km baselines. The MINOS, K2K, and Super-K experiments have all independently observed muon neutrino disappearance over such long baselines. [1]

Data from the <u>LSND</u> experiment appear to be in conflict with the oscillation parameters measured in other experiments. Results from the <u>MiniBooNE</u> appeared in Spring 2007 and contradicted the results from LSND, although they could support the existence of a fourth neutrino type, the sterile neutrino. [1]

In 2010, the INFN and CERN announced the observation of a tau particle in a muon neutrino beam in the OPERA detector located at Gran Sasso, 730 km away from the source in Geneva. [13]

 $\underline{\text{T2K}}$ , using a neutrino beam directed through 295 km of earth and the Super-Kamiokande detector, measured a non-zero value for the parameter  $\underline{\theta_{13}}$  in a neutrino beam.  $\underline{\text{I41}}$   $\underline{\text{NOvA}}$ , using the same beam as MINOS with a baseline of 810 km, is sensitive to the same.

# **Theory**

Neutrino oscillation arises from mixing between the flavor and mass <u>eigenstates</u> of neutrinos. That is, the three neutrino states that interact with the charged leptons in weak interactions are each a different superposition of the three (propagating) neutrino states of definite mass. Neutrinos are emitted and absorbed in <u>weak</u> processes in flavor eigenstates  $[\underline{a}]$  but travel as mass eigenstates.

As a neutrino superposition propagates through space, the quantum mechanical <u>phases</u> of the three neutrino mass states advance at slightly different rates, due to the slight differences in their respective masses. This results in a changing superposition mixture of mass eigenstates as the neutrino travels; but a different mixture of mass eigenstates corresponds to a different mixture of flavor states. So a neutrino born as, say, an electron neutrino will be some mixture of electron, mu, and tau neutrino after traveling some distance. Since the quantum mechanical phase advances in a periodic fashion, after some distance the state will nearly return to the original mixture, and the neutrino will be again mostly electron neutrino. The electron flavor content of the neutrino will then continue to oscillate – as long as the quantum mechanical state maintains <u>coherence</u>. Since mass differences between neutrino flavors are small in comparison with long <u>coherence lengths</u> for neutrino oscillations, this microscopic quantum effect becomes observable over macroscopic distances.

In contrast, due to their larger masses, the charged leptons (electrons, muons, and tau leptons) have never been observed to oscillate. In nuclear beta decay, muon decay, pion decay, and kaon decay, when a neutrino and a charged lepton are emitted, the charged lepton is emitted in incoherent mass eigenstates such as  $|e^{-}\rangle$ , because of its large mass. Weak-force couplings compel the simultaneously emitted neutrino to be in a "charged-lepton-centric" superposition such as  $|v_e\rangle$ , which is an eigenstate for a "flavor" that is fixed by the electron's mass eigenstate, and not in one of the neutrino's own mass eigenstates. Because the neutrino is in a coherent superposition that is not a mass eigenstate, the mixture that makes up that superposition oscillates significantly as it travels. No analogous mechanism exists in the Standard Model that would make charged leptons detectably oscillate. In the four decays mentioned above, where the charged lepton is emitted in a unique mass eigenstate, the charged lepton will not oscillate, as single mass eigenstates propagate without oscillation.

The case of (real) <u>W boson</u> decay is more complicated: W boson decay is sufficiently energetic to generate a charged lepton that is not in a mass eigenstate; however, the charged lepton would lose coherence, if it had any, over interatomic distances (0.1 nm) and would thus quickly cease any meaningful oscillation. More importantly, no mechanism in the Standard Model is capable of pinning down a charged lepton into a coherent state that is not a mass eigenstate, in the first place; instead, while the charged lepton from the W boson decay is not initially in a mass eigenstate, neither is it in any "neutrino-centric" eigenstate, nor in any other coherent state. It cannot meaningfully be said that such a featureless charged lepton oscillates or that it does not oscillate, as any "oscillation" transformation would just leave it the same generic state that it was before the oscillation. Therefore, detection of a charged lepton oscillation from W boson decay is infeasible on multiple levels. [16][17]

#### Pontecorvo-Maki-Nakagawa-Sakata matrix

The idea of neutrino oscillation was first put forward in 1957 by <u>Bruno Pontecorvo</u>, who proposed that neutrino–antineutrino transitions may occur in analogy with <u>neutral kaon mixing</u>. Although such matter–antimatter oscillation has not been observed, this idea formed the conceptual foundation for the quantitative theory of neutrino flavor oscillation, which was first developed by Maki, Nakagawa, and Sakata in 1962 and further elaborated by Pontecorvo in 1967. One year later the solar neutrino deficit was first observed, and that was followed by the famous article by Gribov and Pontecorvo published in 1969 titled "Neutrino astronomy and lepton charge".

The concept of neutrino mixing is a natural outcome of gauge theories with massive neutrinos, and its structure can be characterized in general. In its simplest form it is expressed as a unitary transformation relating the flavor and mass eigenbasis and can be written as

$$egin{aligned} \ket{
u_lpha} &= \sum_i U^*_{lpha i} \ket{
u_i}, \ \ket{
u_i} &= \sum_lpha U_{lpha i} \ket{
u_lpha}, \end{aligned}$$

where

- $|\nu_{\alpha}\rangle$  is a neutrino with definite flavor  $\alpha$  = e (electron),  $\mu$  (muon) or  $\tau$  (tauon),
- ullet  $|
  u_i
  angle$  is a neutrino with definite mass  $m_i$ , i=1,2,3,
- the asterisk (\*) represents a complex conjugate; for antineutrinos, the complex conjugate should be dropped from the first equation and added to the second.

 $U_{\alpha i}$  represents the *Pontecorvo–Maki–Nakagawa–Sakata matrix* (also called the *PMNS matrix*, or sometimes simply the *MNS matrix*). It is the analogue of the <u>CKM matrix</u> describing the analogous mixing of quarks. If this matrix were the identity matrix, then the flavor eigenstates would be the same as the mass eigenstates. However, experiment shows that it is not.

When the standard three-neutrino theory is considered, the matrix is  $3\times3$ . If only two neutrinos are considered, a  $2\times2$  matrix is used. If one or more sterile neutrinos are added (see later), it is  $4\times4$  or larger. In the  $3\times3$  form, it is given by [22]

$$U = egin{bmatrix} U_{e1} & U_{e2} & U_{e3} \ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \ U_{ au 1} & U_{ au 2} & U_{ au 3} \end{bmatrix} \ = egin{bmatrix} 1 & 0 & 0 \ 0 & c_{23} & s_{23} \ 0 & -s_{23} & c_{23} \end{bmatrix} egin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \ 0 & 1 & 0 \ -s_{12} & c_{12} & 0 \ 0 & 0 & 1 \end{bmatrix} egin{bmatrix} e^{i\delta} & 0 & 0 \ 0 & e^{ilpha_2/2} & 0 \ 0 & 0 & 1 \end{bmatrix} \ = egin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix} egin{bmatrix} e^{ilpha_1/2} & 0 & 0 \ 0 & e^{ilpha_2/2} & 0 \ 0 & 0 & 1 \end{bmatrix},$$

where  $c_{ij} = \cos \theta_{ij}$ , and  $s_{ij} = \sin \theta_{ij}$ . The phase factors  $\alpha_1$  and  $\alpha_2$  are physically meaningful only if neutrinos are <u>Majorana particles</u> — i.e. if the neutrino is identical to its antineutrino (whether or not they are is unknown) — and do not enter into oscillation phenomena regardless. If <u>neutrinoless double beta decay</u> occurs, these factors influence its rate. The phase factor  $\delta$  is non-zero only if neutrino oscillation violates <u>CP</u> symmetry; this has not yet been observed experimentally. If experiment shows this  $3 \times 3$  matrix to be not unitary, a sterile neutrino or some other new physics is required.

### Propagation and interference

Since  $|\nu_i\rangle$  are mass eigenstates, their propagation can be described by plane wave solutions of the form

$$\ket{
u_i(t)} = e^{-i\left(E_i t - ec{p}_i \cdot ec{x}
ight)} \ket{
u_i(0)},$$

where

- quantities are expressed in natural units  $(c=1,\hbar=1)$
- $E_i$  is the energy of the mass-eigenstate i,
- *t* is the time from the start of the propagation,
- $\vec{p}_i$  is the three-dimensional momentum,
- $lacktriangledown ec{x}$  is the current position of the particle relative to its starting position

In the ultrarelativistic limit,  $|\vec{p}_i| = p_i \gg m_i$ , we can approximate the energy as

$$E_i = \sqrt{p_i^2 + m_i^2} \simeq p_i + rac{m_i^2}{2p_i} pprox E + rac{m_i^2}{2E},$$

where E is the total energy of the particle.

This limit applies to all practical (currently observed) neutrinos, since their masses are less than 1 eV and their energies are at least 1 MeV, so the Lorentz factor,  $\gamma$ , is greater than 10<sup>6</sup> in all cases. Using also  $t \approx L$ , where L is the distance traveled and also dropping the phase factors, the wavefunction becomes:

$$|
u_i(L)
angle = e^{-irac{m_i^2L}{2E}} \, |
u_i(0)
angle \, .$$

Eigenstates with different masses propagate with different frequencies. The heavier ones oscillate faster compared to the lighter ones. Since the mass eigenstates are combinations of flavor eigenstates, this difference in frequencies causes interference between the corresponding flavor components of each mass eigenstate. Constructive interference causes it to be possible to observe a neutrino created with a given flavor to change its flavor during its propagation. The probability that a neutrino originally of flavor  $\alpha$  will later be observed as having flavor  $\beta$  is

$$P_{lpha 
ightarrow eta} = \left| \left\langle 
u_eta(L) | \, 
u_lpha 
ight
angle 
ight|^2 = \left| \sum_i U_{lpha i}^* U_{eta i} e^{-irac{m_i^2 L}{2E}} 
ight|^2.$$

This is more conveniently written as

$$egin{aligned} P_{lpha 
ightarrow eta} &= \delta_{lpha eta} - 4 \sum_{i>j} \mathrm{Re} \left( U_{lpha i}^* U_{eta i} U_{lpha j} U_{lpha j}^* 
ight) \sin^2 \! \left( rac{\Delta m_{ij}^2 L}{4 E} 
ight) \ &+ 2 \sum_{i>j} \mathrm{Im} \left( U_{lpha i}^* U_{eta i} U_{lpha j} U_{lpha j}^* 
ight) \sin \! \left( rac{\Delta m_{ij}^2 L}{2 E} 
ight), \end{aligned}$$

where  $\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$ . The phase that is responsible for oscillation is often written as (with c and  $\hbar$  restored)

$$rac{\Delta m^2\,c^3\,L}{4\hbar E} = rac{ ext{GeV fm}}{4\hbar c} imes rac{\Delta m^2}{ ext{eV}^2} rac{L}{ ext{km}} rac{ ext{GeV}}{E} pprox 1.27 imes rac{\Delta m^2}{ ext{eV}^2} rac{L}{ ext{km}} rac{ ext{GeV}}{E},$$

where 1.27 is unitless. In this form, it is convenient to plug in the oscillation parameters since:

- The mass differences,  $\Delta m^2$ , are known to be on the order of 1 × 10<sup>-4</sup> eV<sup>2</sup>
- Oscillation distances, L, in modern experiments are on the order of kilometers
- Neutrino energies, E, in modern experiments are typically on order of MeV or GeV.

If there is no CP-violation ( $\delta$  is zero), then the second sum is zero. Otherwise, the CP asymmetry can be given as

$$A_{ ext{CP}}^{(lphaeta)} = P(
u_lpha 
ightarrow 
u_eta) - P(ar{
u}_lpha 
ightarrow ar{
u}_eta) = 4 \sum_{i>j} ext{Im} \Big( U_{lpha i}^* U_{eta i} U_{lpha j} U_{eta j}^* \Big) \sin igg( rac{\Delta m_{ij}^2 L}{2E} igg)$$

In terms of Jarlskog invariant

$$\mathrm{Im}\Big(U_{lpha i}U_{eta i}^{st}U_{lpha j}^{st}U_{eta j}\Big)=J\sum_{\gamma.k}arepsilon_{lphaeta\gamma}arepsilon_{ijk}$$
 ,

the CP asymmetry is expressed as

$$A_{ ext{CP}}^{(lphaeta)} = 16J\sum_{\gamma}arepsilon_{lphaeta\gamma}\sin\!\left(rac{\Delta m_{21}^2L}{4E}
ight)\sin\!\left(rac{\Delta m_{32}^2L}{4E}
ight)\sin\!\left(rac{\Delta m_{31}^2L}{4E}
ight)$$

#### Two-neutrino case

The above formula is correct for any number of neutrino generations. Writing it explicitly in terms of mixing angles is extremely cumbersome if there are more than two neutrinos that participate in mixing. Fortunately, there are several cases in which only two neutrinos participate significantly. In this case, it is sufficient to consider the mixing matrix

$$U = egin{pmatrix} \cos heta & \sin heta \ -\sin heta & \cos heta \end{pmatrix}.$$

Then the probability of a neutrino changing its flavor is

$$P_{lpha 
ightarrow eta, lpha 
eq eta} = \sin^2(2 heta) \sin^2\!\left(rac{\Delta m^2 L}{4E}
ight) ext{(natural units)}.$$

Or, using SI units and the convention introduced above

$$P_{lpha 
ightarrow eta, lpha 
eq eta} = \sin^2(2 heta) \sin^2\! \left( 1.27 rac{\Delta m^2 L}{E} rac{[\mathrm{eV}^2]\,[\mathrm{km}]}{[\mathrm{GeV}]} 
ight)\!.$$

This formula is often appropriate for discussing the transition  $v_{\mu} \leftrightarrow v_{\tau}$  in atmospheric mixing, since the electron neutrino plays almost no role in this case. It is also appropriate for the solar case of  $v_e \leftrightarrow v_x$ , where  $v_x$  is a superposition of  $v_\mu$  and  $v_\tau$ . These approximations are possible because the mixing angle  $\theta_{13}$  is very small and because two of the mass states are very close in mass compared to the third.

## Classical analogue of neutrino oscillation

The basic physics behind neutrino oscillation can be found in any system of coupled <u>harmonic oscillators</u>. A simple example is a system of two <u>pendulums</u> connected by a weak spring (a spring with a small <u>spring constant</u>). The first pendulum is set in motion by the experimenter while the second begins at rest. Over time, the second pendulum begins to swing under the influence of the spring, while the first pendulum's amplitude decreases as it loses energy to the second. Eventually all of the system's energy is transferred to the second pendulum and the first is at rest. The process then reverses. The energy oscillates between the two pendulums repeatedly until it is lost to <u>friction</u>.

The behavior of this system can be understood by looking at its <u>normal modes</u> of oscillation. If the two pendulums are identical then one normal mode consists of both pendulums swinging in the same direction with a constant distance between them, while the other consists of the pendulums swinging in opposite (mirror image) directions. These normal modes have (slightly) different frequencies because the second involves the (weak) spring while the first does not. The initial state of the two-pendulum system is a combination of both normal modes. Over time, these normal modes drift out of phase, and this is seen as a transfer of motion from the first pendulum to the second.

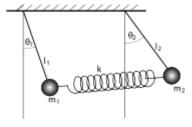
The description of the system in terms of the two pendulums is analogous to the flavor basis of neutrinos. These are the parameters that are most easily produced and detected (in the case of neutrinos, by weak interactions involving the <u>W boson</u>). The description in terms of normal modes is analogous to the mass basis of neutrinos. These modes do not interact with each other when the system is free of outside influence.

When the pendulums are not identical the analysis is slightly more complicated. In the small-angle approximation, the <u>potential energy</u> of a single pendulum system is  $\frac{1}{2} \frac{mg}{L} x^2$ , where g is the <u>standard gravity</u>, L is the length of the pendulum, m is the mass of the pendulum, and x is the horizontal displacement of the pendulum. As an isolated system the pendulum is a harmonic oscillator with a frequency of  $\sqrt{g/L}$ . The potential energy of a spring is  $\frac{1}{2}kx^2$  where k is the spring constant and x is the displacement. With a mass

attached it oscillates with a period of  $\sqrt{k/m}$ . With two pendulums (labeled a and b) of equal mass but possibly unequal lengths and connected by a spring, the total potential energy is

$$V=rac{m}{2}\left(rac{g}{L_a}x_a^2+rac{g}{L_b}x_b^2+rac{k}{m}(x_b-x_a)^2
ight).$$

This is a quadratic form in  $x_a$  and  $x_b$ , which can also be written as a matrix product:



Spring-coupled pendulums

Time evolution of the pendulums

Lower frequency normal mode

Higher frequency normal mode

$$V = rac{m}{2}(egin{array}{ccc} x_a & x_b \end{array}) egin{pmatrix} rac{g}{L_a} + rac{k}{m} & -rac{k}{m} \ -rac{k}{m} & rac{g}{L_b} + rac{k}{m} \end{pmatrix} inom{x_a}{x_b}.$$

The 2×2 matrix is real symmetric and so (by the spectral theorem) it is *orthogonally diagonalizable*. That is, there is an angle  $\theta$  such that if we define

$$\left(egin{array}{c} x_a \ x_b \end{array}
ight) = \left(egin{array}{ccc} \cos heta & \sin heta \ -\sin heta & \cos heta \end{array}
ight) \left(egin{array}{c} x_1 \ x_2 \end{array}
ight)$$

then

$$V=rac{m}{2}(\,x_1\,\,x_2\,)igg(egin{array}{cc} \lambda_1 & 0 \ 0 & \lambda_2 \ \end{pmatrix}igg(egin{array}{cc} x_1 \ x_2 \ \end{pmatrix}$$

where  $\lambda_1$  and  $\lambda_2$  are the <u>eigenvalues</u> of the matrix. The variables  $x_1$  and  $x_2$  describe normal modes which oscillate with frequencies of  $\sqrt{\lambda_1}$  and  $\sqrt{\lambda_2}$ . When the two pendulums are identical ( $L_a = L_b$ ),  $\theta$  is 45°.

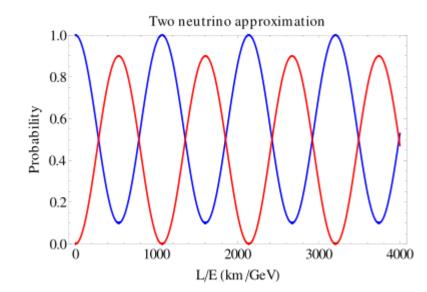
The angle  $\theta$  is analogous to the Cabibbo angle (though that angle applies to quarks rather than neutrinos).

When the number of oscillators (particles) is increased to three, the orthogonal matrix can no longer be described by a single angle; instead, three are required (<u>Euler angles</u>). Furthermore, in the quantum case, the matrices may be <u>complex</u>. This requires the introduction of complex phases in addition to the rotation angles, which are associated with <u>CP violation</u> but do not influence the observable effects of neutrino oscillation.

# Theory, graphically

#### Two neutrino probabilities in vacuum

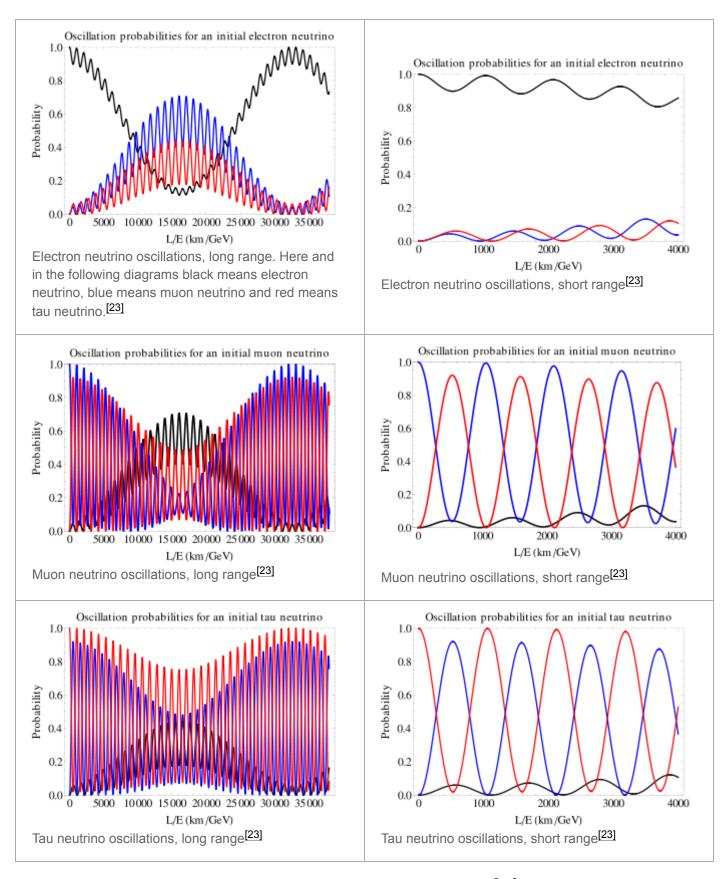
In the approximation where only two neutrinos participate in the oscillation, the probability of oscillation follows a simple pattern:



The blue curve shows the probability of the original neutrino retaining its identity. The red curve shows the probability of conversion to the other neutrino. The maximum probability of conversion is equal to  $\sin^2 2\theta$ . The frequency of the oscillation is controlled by  $\Delta m^2$ .

### Three neutrino probabilities

If three neutrinos are considered, the probability for each neutrino to appear is somewhat complex. The graphs below show the probabilities for each flavor, with the plots in the left column showing a long range to display the slow "solar" oscillation, and the plots in the right column zoomed in, to display the fast "atmospheric" oscillation. The parameters used to create these graphs (see below) are consistent with current measurements, but since some parameters are still quite uncertain, some aspects of these plots are only qualitatively correct. [23]



The illustrations were created using the following parameter values: [23]

- $\sin^2(2\theta_{13}) = 0.10$  (Determines the size of the small wiggles.)
- $\sin^2(2\theta_{23}) = 0.97$
- $= \sin^2(2\theta_{12}) = 0.861$
- $\delta$  = 0 (If the actual value of this phase is large, the probabilities will be somewhat distorted, and will be different for neutrinos and antineutrinos.)
- Normal mass hierarchy:  $m_1 \le m_2 \le m_3$
- $\Delta m_{12}^2 = 7.59 \times 10^{-5} \text{ eV}^2$
- $\Delta m_{32}^2 \approx \Delta m_{13}^2 = 2.32 \times 10^{-3} \text{ eV}^2$

# Observed values of oscillation parameters

- $\sin^2(2\theta_{13}) = 0.093 \pm 0.008$ . PDG combination of Daya Bay, RENO, and Double Chooz results.
- $\sin^2(2\theta_{12}) = 0.846 \pm 0.021$ . This corresponds to  $\theta_{sol}$  (solar), obtained from KamLand, solar, reactor and accelerator data.
- $\sin^2(2\theta''_{23}) > 0.92$  at 90% confidence level, corresponding to  $\theta_{23} \equiv \theta_{atm} = 45 \pm 7.1^{\circ}$  (atmospheric)[25]
- $\Delta m_{21}^2 \equiv \Delta m_{sol}^2 = (7.53 \pm 0.18) \times 10^{-5} \text{ eV}^{2[24]}$
- $|\Delta m_{31}^2| \approx |\Delta m_{32}^2| \equiv \Delta m_{\text{atm}}^2 = (2.44 \pm 0.06) \times 10^{-3} \text{ eV}^2$  (normal mass hierarchy)[24]
- $\delta$ ,  $\alpha_1$ ,  $\alpha_2$ , and the sign of  $\Delta m_{32}^2$  are currently unknown.

Solar neutrino experiments combined with <u>KamLAND</u> have measured the so-called solar parameters  $\Delta m_{\rm sol}^2$  and  $\sin^2\theta_{\rm sol}$ . Atmospheric neutrino experiments such as <u>Super-Kamiokande</u> together with the K2K and MINOS long baseline accelerator neutrino experiment have determined the so-called atmospheric parameters  $\Delta m_{\rm atm}^2$  and  $\sin^2\theta_{\rm atm}$ . The last mixing angle,  $\theta_{13}$ , has been measured by the experiments <u>Daya Bay</u>, Double Chooz and <u>RENO</u> as  $\sin^2(2\theta''_{13})$ .

For atmospheric neutrinos the relevant difference of masses is about  $\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$  and the typical energies are  $\approx 1 \text{ GeV}$ ; for these values the oscillations become visible for neutrinos traveling several hundred kilometres, which would be those neutrinos that reach the detector traveling through the earth, from below the horizon.

The mixing parameter  $\theta_{13}$  is measured using electron anti-neutrinos from nuclear reactors. The rate of anti-neutrino interactions is measured in detectors sited near the reactors to determine the flux prior to any significant oscillations and then it is measured in far detectors (placed kilometres from the reactors). The oscillation is observed as an apparent disappearance of electron anti-neutrinos in the far detectors (i.e. the interaction rate at the far site is lower than predicted from the observed rate at the near site).

From atmospheric and solar neutrino oscillation experiments, it is known that two mixing angles of the MNS matrix are large and the third is smaller. This is in sharp contrast to the CKM matrix in which all three angles are small and hierarchically decreasing. The CP-violating phase of the MNS matrix is as of April 2020 to lie somewhere between -2 and -178 degrees, from the T2K experiment. [26]

If the neutrino mass proves to be of Majorana type (making the neutrino its own antiparticle), it is then possible that the MNS matrix has more than one phase.

Since experiments observing neutrino oscillation measure the squared mass difference and not absolute mass, one might claim that the lightest neutrino mass is exactly zero, without contradicting observations. This is however regarded as unlikely by theorists.

# **Origins of neutrino mass**

The question of how neutrino masses arise has not been answered conclusively. In the Standard Model of particle physics, <u>fermions</u> only have mass because of interactions with the Higgs field (see <u>Higgs boson</u>). These interactions involve both left- and right-handed versions of the fermion (see *chirality*). However, only left-handed neutrinos have been observed so far.

Neutrinos may have another source of mass through the <u>Majorana mass term</u>. This type of mass applies for electrically neutral particles since otherwise it would allow particles to turn into anti-particles, which would violate conservation of electric charge.

The smallest modification to the Standard Model, which only has left-handed neutrinos, is to allow these left-handed neutrinos to have Majorana masses. The problem with this is that the neutrino masses are surprisingly smaller than the rest of the known particles (at least 500,000 times smaller than the mass of an electron), which, while it does not invalidate the theory, is widely regarded as unsatisfactory as this construction offers no insight into the origin of the neutrino mass scale.

The next simplest addition would be to add into the Standard Model right-handed neutrinos that interact with the left-handed neutrinos and the Higgs field in an analogous way to the rest of the fermions. These new neutrinos would interact with the other fermions solely in this way, so are not phenomenologically excluded. The problem of the disparity of the mass scales remains.

#### Seesaw mechanism

The most popular conjectured solution currently is the *seesaw mechanism*, where right-handed neutrinos with very large Majorana masses are added. If the right-handed neutrinos are very heavy, they induce a very small mass for the left-handed neutrinos, which is proportional to the reciprocal of the heavy mass.

If it is assumed that the neutrinos interact with the Higgs field with approximately the same strengths as the charged fermions do, the heavy mass should be close to the  $\underline{GUT \ scale}$ . Because the Standard Model has only one fundamental mass  $scale, [\underline{b}]$  all particle masses  $[\underline{c}]$  must arise in relation to this scale.

There are other varieties of seesaw<sup>[27]</sup> and there is currently great interest in the so-called low-scale seesaw schemes, such as the inverse seesaw mechanism.<sup>[28]</sup>

The addition of right-handed neutrinos has the effect of adding new mass scales, unrelated to the mass scale of the Standard Model, hence the observation of heavy right-handed neutrinos would reveal physics beyond the Standard Model. Right-handed neutrinos would help to explain the origin of matter through a mechanism known as leptogenesis.

#### Other sources

There are alternative ways to modify the standard model that are similar to the addition of heavy right-handed neutrinos (e.g., the addition of new scalars or fermions in triplet states) and other modifications that are less similar (e.g., neutrino masses from loop effects and/or from suppressed couplings). One example of the last type of models is provided by certain versions supersymmetric extensions of the standard model of fundamental interactions, where R parity is not a symmetry. There, the exchange of supersymmetric particles such as squarks and sleptons can break the lepton number and lead to neutrino masses. These interactions are normally excluded from theories as they come from a class of interactions that lead to unacceptably rapid proton decay if they are all included. These models have little predictive power and are not able to provide a cold dark matter candidate.

# Oscillations in the early universe

During the <u>early universe</u> when particle concentrations and temperatures were high, neutrino oscillations could have behaved differently. Depending on neutrino mixing-angle parameters and masses, a broad spectrum of behavior may arise including vacuum-like neutrino oscillations, smooth evolution, or self-maintained coherence. The physics for this system is non-trivial and involves <u>neutrino oscillations in a dense</u> neutrino gas.

### See also

- MSW effect
- Majoron
- Neutral kaon mixing
- Neutral particle oscillation
- Neutrino astronomy

# Notes

- a. More formally, the neutrinos are emitted in an <u>entangled</u> state with the other bodies in the decay or reaction, and the mixed state is properly described by a <u>density matrix</u>. However, for all practical situations, the other particles in the decay may be well localized in time and space (e.g. to within a nuclear distance), leaving their momentum with a large spread. When these partner states are projected out, the neutrino is left in a state that for all intents and purposes behaves as the simple superposition of mass states described here. For more information, see: Cohen, Andrew G.; Glashow, Sheldon L. & Ligeti, Zoltan (13 July 2009). "Disentangling neutrino oscillations" (https://doi.org/10.1016%2Fj.physletb.2009.06.020). Physics Letters B. **678** (2): 191–196. arXiv:0810.4602 (https://arxiv.org/abs/0810.4602). Bibcode:2009PhLB..678..191C (https://ui.adsabs.harvard.edu/abs/2009PhLB..678..191C). doi:10.1016/j.physletb.2009.06.020 (https://doi.org/10.1016%2Fj.physletb.2009.06.020).
- b. The Standard Model fundamental mass scale can be taken as the scale of  $SU(2)_L \times U(1)_Y$  breaking.
- c. The mass of the electron and the mass of the Z boson are examples of particle masses set by the Standard Model fundamental mass scale.

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