# 1 Problem

Eigenproblems abound in the modeling of nature.

Density Functional Theory models yield single particle-like Schrödinger equations with a nonlinear potential term that accounts for all the many-body interactions.

Electronic Structure Theory generates Schrodinger like equations.

# 2 Goal

We seek a method for an efficient computational solution to the time-independent Schrödinger equation.

# 2.1 the Time-Independent Schrödinger Equation

Given,  $\hat{H}$  is the Hamiltonian operator (or Hamiltonian matrix) for a discrete system),  $\psi$  is the wavefunction, and E, discrete energy states, where

$$\hat{H} = T + V(x) = -\frac{\hbar^2}{2m}\Delta + V(x) \tag{1}$$

for  $\Delta$ , the Laplacian.

We have

$$\hat{H}\psi_j(r) = E_j\psi_j(r), \quad j \in \mathbb{N}$$
 (2)

For numerical calculations, we will typically take H to be a matrix of discrete values describing the position and momentum of particles in the system.

Note that this is an Eigenproblem of the form

$$A\mathbf{x} = \lambda \mathbf{x} \tag{3}$$

### The Imaginary Time Propagation Method

The imaginary time propagation method (ITP) relies on solving the time-dependent Schrödinger equation:

$$i\hbar \frac{\partial \psi(r,t)}{\partial t} = \hat{H}\psi(r,t) \tag{4}$$

in imaginary time where .

#### **Wick Rotation**

We perform a Wick Rotation (setting  $t = -i\tau$ ) to transform eq. 4 into a simple diffusion equation

$$\frac{\partial \psi(r,\tau)}{\partial \tau} = -\frac{\hat{H}}{\hbar} \psi(r,\tau) \tag{5}$$

## 2.2 Solution to the Diffusion Equation

The formal solution to eqn. eq. 5 is given by

$$\psi(r,\tau) = \exp(-\hat{H}\tau/\hbar)\psi(r,0) \tag{6}$$

We expand the initial state  $\psi(r,0)$  in terms of the eigenfunctions  $\phi_j(r)$  the correspond to the eigenvalues  $E_j$  for

$$\hat{H}\phi_i(r) = E_i\phi_i(r) \tag{7}$$

The time evolution starting from the initial state  $\psi(r,0)$  can now be written as

$$\psi(r,\tau) = e^{-\hat{H}\tau/\hbar}\psi(r,0) = e^{-\hat{H}\tau/\hbar} \sum_{j=0}^{\infty} a_j \psi_j(r) = \sum_{j=0}^{\infty} a_j e^{E_j \tau/\hbar} \phi_j(r)$$
 (8)

# 2.3 Imaginary Time Propagation as Iterative Solution

As  $\tau \to \infty$ ,  $\psi(r,\tau)$  becomes proportional to  $\phi_0(r)$ . In other words, iterated  $\psi$  functions will converge on the eigenfunction for the base state of the time-**independent** equation (eq. 6). Here we are solving an eigenproblem through an interative approximation of a differential equation.

iterative differential equation methods

Olver finite differences

# 3 Implementation

 $temp_times[0][0] = i$ 

```
import numpy as np
import numpy.linalg as la
import math, time
import matplotlib.pyplot as plt
from sys import argv
import datetime
%matplotlib inline
k = 100
eps = 10E-6
times = np.array([[0.,0.]])
temp_times = times
H = np.random.rand(k+200,k+200)
H = H.T.dot(H)
file = datetime.datetime.now().strftime("%Y%m%d%H%M%S")
for i in range(k):
        # print i
        i = i+2
        n = i
        err = 1
        conv = 1
        int_H = H[0:n,0:n]
        start = time.clock()
        phi0 = np.random.rand(n)
        # print la.eig(H)[1].T
        CayleyN = (np.identity(n)-0.5*int_H)
        CayleyP = (np.identity(n)+0.5*int_H)
        while(conv > eps):
                phi1 = la.solve(CayleyP,CayleyN.dot(phi0))
                mu = math.sqrt(phi1.dot(phi1))
                phi1 = phi1/mu
                conv = math.sqrt((np.abs(phi1)-np.abs(phi0)).dot(np.abs(phi1)-np.abs(phi0)))
                # err = math.sqrt(2)*math.sqrt(abs(phi1.dot(int_H.dot(int_H)).dot(phi1)- (phi1.dot(int_)
                # print err
                phi0 = phi1
        end = time.clock()
        delta_t = end-start
```

```
temp_times[0][1] = delta_t
    times = np.concatenate((times,temp_times),axis=0)
    np.savetxt(file,times,fmt='%.4e')

plt.plot(times[:k,0],times[:k,1])

plt.show()
```

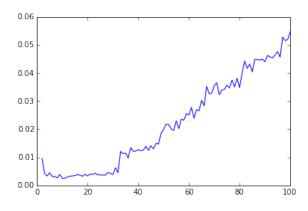


Figure 1: png

np.set\_printoptions(precision=6)
phi0

```
array([-0.098619, -0.100255, -0.095033, -0.095798, -0.09463, -0.096591,
       -0.096496, -0.100948, -0.103793, -0.105997, -0.102556, -0.101054,
       -0.106374, -0.104128, -0.096394, -0.101002, -0.098431, -0.101118,
        -0.09653 \;\; , \;\; -0.100673 \; , \;\; -0.09977 \;\; , \;\; -0.096231 \; , \;\; -0.097664 \; , \;\; -0.095953 \; ,
       -0.10621 , -0.101699 , -0.100809 , -0.095755 , -0.093848 , -0.097243 ,
       -0.097106, -0.097369, -0.10216, -0.1036, -0.094454, -0.099593,
       -0.100047, -0.102212, -0.094055, -0.095699, -0.098182, -0.10711,
       \hbox{-0.097889, -0.097309, -0.099708, -0.09835, -0.100899, -0.100339,}
       -0.0983 , -0.090089 , -0.110011 , -0.097674 , -0.098536 , -0.097574 ,
       -0.099521, -0.098617, -0.100734, -0.099086, -0.099396, -0.100646,
       -0.100855, -0.105439, -0.101658, -0.101174, -0.095862, -0.100688,
       -0.09901 , -0.101402 , -0.098972 , -0.103596 , -0.09954 , -0.097495 ,
       -0.102617, -0.10404, -0.10443, -0.102043, -0.097404, -0.096225,
       -0.101167, -0.100604, -0.097121, -0.100775, -0.101373, -0.095651,
       -0.10295 , -0.098441 , -0.095474 , -0.094655 , -0.097631 , -0.093334 ,
       -0.09568 , -0.098619 , -0.103043 , -0.091929 , -0.096411 , -0.10155 ,
       -0.096962, -0.093571, -0.103762, -0.102534, -0.109668)
```

## la.eig(int\_H)[1][:,0]

```
array([ 0.098598,
                   0.100265,
                               0.095025,
                                          0.095775,
                                                      0.09464,
                                                                 0.096599,
                                                                 0.101034,
        0.096508,
                   0.100957,
                               0.103805,
                                          0.106031,
                                                      0.102552,
        0.10636 ,
                   0.104147,
                               0.096384,
                                          0.101009,
                                                      0.098411,
                                                                 0.101118,
        0.096546,
                   0.100696,
                               0.09975 ,
                                          0.096243,
                                                      0.097646,
                                                                 0.095947,
        0.106207,
                   0.101702,
                               0.100812,
                                          0.095777,
                                                      0.093859,
                                                                 0.097235,
        0.097111,
                   0.097374,
                               0.102151,
                                          0.103593,
                                                      0.094456,
                                                                 0.099608,
        0.100065,
                   0.102219,
                               0.094074,
                                          0.095701,
                                                      0.098194,
                                                                 0.107129,
                   0.097316,
                                          0.098345,
        0.097895,
                               0.099701,
                                                      0.100888,
                                                                 0.100346,
                   0.090111,
                               0.110021,
                                          0.097654,
                                                      0.09853,
        0.098301,
                                                                 0.097568,
        0.099519,
                   0.09863 ,
                               0.100716,
                                          0.099087,
                                                      0.099384,
                                                                 0.100641,
        0.100856,
                   0.105444,
                               0.101621,
                                          0.101194,
                                                      0.095854,
                                                                 0.100674,
        0.09899 ,
                   0.101403,
                               0.098962,
                                         0.103598,
                                                      0.099548,
                                                                 0.097491,
        0.102601,
                   0.104041,
                               0.104411,
                                         0.102041,
                                                      0.097384,
                                                                 0.096235,
                   0.100597,
                               0.097123, 0.100782,
        0.101188,
                                                      0.101379, 0.095635,
        0.102954,
                   0.098423,
                               0.095493, 0.094661,
                                                      0.097597,
                                                                 0.093326,
        0.095669,
                   0.098604,
                               0.10304 ,
                                          0.091931,
                                                      0.096429,
                                                                 0.101558,
        0.096972,
                   0.093569,
                              0.103769, 0.102539,
                                                      0.109665])
```

#### la.eig(int\_H)[0]

```
array([ 7.574560e+03,
                          5.851004e+01,
                                           5.632109e+01,
                                                            5.459495e+01,
         5.362252e+01,
                          5.296774e+01,
                                           5.150103e+01,
                                                            4.943875e+01,
         4.818028e+01,
                          4.691145e+01,
                                           4.621418e+01,
                                                            4.521559e+01,
         4.484616e+01,
                          4.425040e+01,
                                           4.365566e+01,
                                                            4.232450e+01,
         4.043672e+01,
                          3.973590e+01,
                                           3.936313e+01,
                                                            3.874096e+01,
         3.814460e+01,
                          3.781824e+01,
                                           3.655263e+01,
                                                            3.594899e+01,
         3.502903e+01,
                          3.480710e+01,
                                           3.395651e+01,
                                                            5.005400e+00,
         5.314336e+00,
                          3.341442e+01,
                                           3.285543e+01,
                                                            3.288507e+01,
         5.539514e+00,
                          6.032592e+00,
                                           3.221875e+01,
                                                            3.176203e+01,
         3.166426e+01,
                          3.041883e+01,
                                           6.391642e+00,
                                                            6.543347e+00,
         6.718073e+00,
                          2.977022e+01,
                                           2.926405e+01,
                                                            2.893288e+01,
         7.281683e+00,
                          2.836177e+01,
                                           7.416408e+00,
                                                            7.637716e+00,
```

```
2.810322e+01,
                2.790089e+01,
                                 2.732124e+01,
                                                 8.033226e+00,
8.130448e+00,
                2.670814e+01,
                                 8.462147e+00,
                                                 8.385890e+00,
2.586330e+01,
                9.370232e+00,
                                 9.638478e+00,
                                                 2.547537e+01,
2.487394e+01,
                1.001520e+01,
                                 2.481070e+01,
                                                 1.029646e+01,
1.064633e+01,
                2.368296e+01,
                                 2.346100e+01,
                                                 1.108152e+01,
2.316317e+01,
                1.124323e+01,
                                 1.136374e+01,
                                                 2.257901e+01,
2.201280e+01,
                1.193988e+01,
                                 1.167881e+01,
                                                 1.239554e+01,
2.160414e+01,
                2.108255e+01,
                                 2.124395e+01,
                                                 1.266829e+01,
1.309715e+01,
                1.340048e+01,
                                 1.361722e+01,
                                                 1.411554e+01,
                2.044325e+01,
                                                 1.735240e+01,
2.033496e+01,
                                 1.944888e+01,
1.514861e+01,
                1.548841e+01,
                                 1.873003e+01,
                                                 1.841149e+01,
                                                 1.655187e+01,
1.631191e+01,
                1.453414e+01,
                                 1.463134e+01,
1.588873e+01,
                1.820149e+01,
                                 1.898044e+01,
                                                 1.811454e+01,
1.584247e+01])
```

#### Finding an Excited Eigenstate

Given our basic