

Curriculum Vitae



Personal Details

Name: Wong Zhong Ming NRIC No: S8929509B
Address: Blk 8A Boon Tiong Road,
 #10-75 Singapore 164008
Date of Birth: 26 August 1989 Race: Chinese
Nationality: Singaporean Marital Status: Single
Mobile No: 81339882 Email: zhongming.wong@gmail.com

Availability: 1 month notice

Working Experiences

1. PricewaterHouse Coopers LLP (Singapore)

Job Appointment : Senior Audit Associate (Grade 2) – Regulatory Advisory (Financial Services)

Period : Dec'15 – Current

Job Responsibilities : Enclosed below,

- Review the risk profiling assessment of customers according to their respective risk categories and the application of the appropriate due diligence procedures. Performance of KYC remediation of a global bank to ensure compliance with MAS regulations, including assessment of account risk rating.
- Review the adequacy of KYC/AML assessments performed for suspicious transaction reporting filed for various private banks, and the adequacy of the enhanced monitoring controls in place. Responsible for review of KYC/AML performed for the purposes of periodic review, including review of the adequacy and robustness of assessments performed for high risk clients when determining AML risk rating.
- Perform audit support on KYC/AML for various financial institutions, including review of customer on boarding documentation to ascertain completeness of KYC/AML documentation and consistency of AML risk profiling.
- Assisted to review, develop and recommend implementation of internal all-rounded Compliance Monitoring Framework and developing compliance frameworks, policies and procedures
- Acting as the main point-of-contact for Asset Management Clients for any regulatory/compliance matters and includes keeping the company abreast of any regulatory updates and changes from time to time
- Assisted to prepare and provide compliance training and build-up the compliance culture within newly licensed Asset Management clients
- Perform gap analysis of Policies and Procedures for Fund Management companies pertaining to the various applicable regulatory compliance updates in accordance with the Securities & Futures Act (SFA), MAS Guidelines and/or Notices and industry best practices.
- Ability to work closely with the stakeholders and other support departments to perform work under tight deadline.
- Regulatory Advisory experience in Private Banks, Private Equity and Asset Managers (RFMC and CMSL Holders)
- Knowledge in MAS 626, Private Banking Control, Securities and Futures Act (SFA), Financial Advisers Act (FAA) and Risk-Based Capital Requirements for Fund Management Companies

2. Ernst & Young LLP (Singapore)

Job Appointment : Senior Audit Associate (Grade1) – Financial Services Office (FSO)

Period : Sep'13 – Dec'15

Job Responsibilities : Enclosed below,

- Involved in Financial Reporting, Compliance Audit Review, Risk Management, conducting AML/KYC and Due Diligence reviews to ensure compliance with the relevant standards (US GAAP/IFRS/MAS requirements)
- Assist in the preparation and execution of both Financial and Compliance audits and reviews of business processes, integrity of financial information and internal control systems
- Responsible for identifying opportunities to improve the reliability of processes and use of financial resources
- Coordinating with clients to gather information and identify gaps in controls and make practical recommendations
- Acting as the main point-of-contact for Asset Management Clients for any regulatory/compliance matters and includes keeping the company abreast of any regulatory updates and changes from time to time
- Audit experience in Merchant Banks, Private Equity, REITs, Trustee Co and Asset Management Companies
- Appointed to be MAS Inspector in various MAS Agreed-Upon Procedures (AUP) for MAS Regulatory Compliance

3. PSA Corporation Ltd

Job Appointment : Accounts Executive

Period : Sep'12 – Sep'13

Job Responsibilities : Enclosed below,

- Management Reporting (Full Set)
- Prepares periodic financial reports
- GST Submission and Reporting
- Tax Computation
- Reconciliation of provision and various accounts
- Raising of Journal Vouchers

4. Nippon Yusen Kaisha(NYK) Shipmangement Pte Ltd

Job Appointment : Accounts Assistant

Period : Jul '11 – Sep'12

Job Responsibilities : Enclosed below,

- Accounts Payable Functions
- Vessel Accounts Management
- Checking and verification of portage bills (monthly Ship expenses), manning agent statement of account and raising of journals.
- Prepare journals for accrual of crew wages / manning expenses, journal for Lub Oil ROB, journal for insurance prepayments, etc.
- Preparation of TT remittances for crew home allotment.
- Reconciliation of Maritime balance sheet items e.g. home allotment, chat card inventory, ROB provision, advance to port agent, etc.
- Compilation and preparation of monthly accounting reports, quarterly closing reports and provisional reports.

Academic Qualifications

2012-Current	CPA (Australia) CPA (Aust.) Holder
2010-2012	Royal Melbourne Institute of Technology Bachelor in Business (Accountancy)
2006-2009	Ngee Ann Polytechnic Diploma in Accountancy
2002-2005	Henderson Secondary School GCE 'O' Levels
1996-2001	River Valley Primary School PSLE

Co-Curriculum Achievements

NPCC (2002 -2005)	-National Youth Achievement Award (Bronze) -CCA Merit Award (NPCC)
Ngee Ann Poly (2006 – 2009)	-Freshmen Orientation Sub-Committee Member -Freshmen Recruitment Sub-Committee Member -Ngee Ann Canoeing Club Member
Nippon Yusen Kaisha (2011- 2012)	- Social Recreational Committee Member

Personal Skills

Software Skills

1. Microsoft Office (Excel, Pivot, V-lookup, Powerpoint)	Excellent
2. Bloomberg	Intermediate
3. Thomson Reuters	Intermediate
4. Macromedia Flash and Dreamweaver.	Intermediate
5. MYOB	Intermediate
6. ACCPAC	Intermediate
7. Oracle Financial Systems	Intermediate
8. Hyperion Financial Mgmt Systems	Intermediate

Language Skills

1. English :	Conversant and writes well
2. Chinese :	Conversant and writes well
3. Cantonese :	Conversant

Personal Strengths

1. Independent and adaptive
2. Pleasant disposition
3. Highly motivated personality
4. Excellent Interpersonal skills
5. Good interpersonal skills and attitude to work with due diligence

6. Strong Analytical and communication skills

National Service Details

Rank:	Corporal		
Date of Enlistment:	13 July 2009	Performance:	Very Good
Operational Ready Date:	12 May 2011	Conduct:	Outstanding
Achievement:	WY 10/11 SAF Outstanding Suggestor Award (Bronze)		

References

Name:	(LTC) Koh Ban Chuan, Richard
Company:	Singapore Armed Forces
Position:	Wing Commander, Echo Wing, Officer Cadet School(OCS)
Contact:	+65 91870473

Name:	(Ms) Lynn Teo
Company:	Nippon Yusen Kaisha(NYK) Shipmanagement Pte Ltd
Position:	Deputy Manager (Accounts)
Contact:	+65 9297 2824