

# Fabry-Pérot Optical Resonator: Low Reflectivity Regime

Detailed Analysis of `Chapt9Fig6a1.m`

Generated Documentation

## Abstract

This document provides comprehensive theoretical foundations and line-by-line code analysis for `Chapt9Fig6a1.m`, which calculates the intensity transmission spectrum of a Fabry-Pérot optical resonator with Fresnel reflectivity (low  $R$ ). The code demonstrates the fundamental physics of optical cavities, including mode spacing, finesse, and the Airy function that governs resonance structure.

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# 1 Theoretical Foundation

## 1.1 Axioms of Optical Resonators

**Axiom 1** (Wave Superposition). *Electric fields from multiple reflections add coherently:*

$$E_{total} = \sum_{n=0}^{\infty} E_n e^{i\phi_n} \quad (1)$$

where the phase  $\phi_n$  depends on optical path length.

**Axiom 2** (Resonance Condition). *Constructive interference occurs when the round-trip phase is a multiple of  $2\pi$ :*

$$2n_r L = m\lambda, \quad m \in \mathbb{Z} \quad (2)$$

**Axiom 3** (Energy Conservation). *For a lossless cavity:  $R + T = 1$ , where  $R$  is reflectivity and  $T$  is transmissivity.*

## 1.2 Fundamental Definitions

**Definition 1** (Fresnel Reflectivity). *At normal incidence on a dielectric interface:*

$$R = \left( \frac{n_1 - n_2}{n_1 + n_2} \right)^2 \quad (3)$$

For a semiconductor-air interface ( $n_r \approx 3.3$ ):

$$R = \left( \frac{1 - 3.3}{1 + 3.3} \right)^2 = \left( \frac{-2.3}{4.3} \right)^2 \approx 0.286 \quad (4)$$

**Definition 2** (Free Spectral Range). *The frequency spacing between adjacent longitudinal modes:*

$$\Delta\nu_{FSR} = \frac{c}{2n_r L} \quad (5)$$

or in wavelength:

$$\Delta\lambda_{FSR} = \frac{\lambda^2}{2n_r L} \quad (6)$$

**Definition 3** (Finesse). *The ratio of mode spacing to mode linewidth:*

$$\mathcal{F} = \frac{\Delta\nu_{FSR}}{\delta\nu} = \frac{\pi\sqrt{R_1 R_2}}{1 - R_1 R_2} \quad (7)$$

**Definition 4** (Coefficient of Finesse). *An alternative measure used in the Airy function:*

$$F = \left( \frac{2\mathcal{F}}{\pi} \right)^2 = \frac{4R_1 R_2}{(1 - R_1 R_2)^2} \quad (8)$$

## 1.3 Core Theorems

**Theorem 1** (Airy Function for Transmission). *The intensity transmission through a Fabry-Pérot cavity is:*

$$\frac{I_t}{I_0} = \frac{T_{max}}{1 + F \sin^2(\delta/2)} \quad (9)$$

where  $\delta = 2\pi \cdot 2n_r L / \lambda = 4\pi n_r L \nu / c$  is the round-trip phase.

*Proof.* Consider successive reflections inside the cavity. The transmitted field is:

$$E_t = E_0 t_1 t_2 \sum_{n=0}^{\infty} (r_1 r_2)^n e^{in\delta} \quad (10)$$

The geometric series sums to:

$$E_t = \frac{E_0 t_1 t_2}{1 - r_1 r_2 e^{i\delta}} \quad (11)$$

Taking the intensity  $I = |E|^2$ :

$$I_t = \frac{I_0 T_1 T_2}{|1 - r_1 r_2 e^{i\delta}|^2} = \frac{I_0 T_1 T_2}{(1 - R)^2 + 4R \sin^2(\delta/2)} \quad (12)$$

For  $R_1 = R_2 = R$  and using  $T = 1 - R$ :

$$I_t = \frac{I_0}{1 + F \sin^2(\delta/2)}, \quad F = \frac{4R}{(1 - R)^2} \quad (13)$$

□

**Theorem 2** (Peak Intensity Enhancement). *At resonance ( $\sin(\delta/2) = 0$ ), the internal intensity is enhanced:*

$$\frac{I_{\text{internal}}}{I_0} = \frac{1}{(1 - R)^2} = \frac{1}{(1 - \sqrt{R_1 R_2})^2} \quad (14)$$

**Theorem 3** (Mode Linewidth). *The full-width at half-maximum of each resonance:*

$$\delta\nu = \frac{\Delta\nu_{FSR}}{\mathcal{F}} = \frac{c(1 - R)}{2\pi n_r L \sqrt{R}} \quad (15)$$

## 2 Line-by-Line Code Analysis

### 2.1 Initialization

```
1 %Chapt9Fig6a1
2 %Fabry-Perot optical resonator
3 clear;
4 clf;
5 err=0.00001;
```

Program header; `err` is defined but not used (likely for convergence checks in other versions).

### 2.2 Physical Parameters

```
1 c=3e8; %speed of light in vacuum
2 nr=3.3; %effective refractive index
3 lambda0=1310e-9; %center emission wavelength
4 Lc=300e-6; %cavity length
```

Cavity parameters:

$$c = 3 \times 10^8 \text{ m/s} \quad (16)$$

$$n_r = 3.3 \quad (\text{GaAs/InP range}) \quad (17)$$

$$\lambda_0 = 1310 \text{ nm} \quad (18)$$

$$L_c = 300 \text{ } \mu\text{m} \quad (19)$$

```

1 r1=((1-nr)/(1+nr))^2;      %reflectivity of mirror1
2 r2=((1-nr)/(1+nr))^2;      %reflectivity of mirror2
3 r=r1*r2;                   %round-trip reflectivity

```

**Fresnel reflectivity calculation:**

$$R_1 = R_2 = \left( \frac{1 - n_r}{1 + n_r} \right)^2 = \left( \frac{-2.3}{4.3} \right)^2 = 0.286 \quad (20)$$

Round-trip:  $R = R_1 R_2 = 0.0819$ .

Note: The code uses the full Fresnel formula but squares it, giving the intensity reflectivity.

## 2.3 Finesse and Spectral Parameters

```

1 F=pi*r^0.5/(1-r);          %optical Finesse
2 Fconst=(2*F/pi)^2;
3 Imax=1/((1-r)^2);          %peak intensity normalized to I0

```

**Finesse calculation:**

$$\mathcal{F} = \frac{\pi\sqrt{R}}{1-R} = \frac{\pi\sqrt{0.0819}}{1-0.0819} = \frac{0.899}{0.918} = 0.98 \quad (21)$$

This is a **low finesse** cavity—broad resonances.

**Coefficient of finesse:**

$$F_{const} = \left( \frac{2\mathcal{F}}{\pi} \right)^2 = \frac{4R}{(1-R)^2} = \frac{0.328}{0.843} = 0.389 \quad (22)$$

**Peak intensity enhancement:**

$$I_{max} = \frac{1}{(1-R)^2} = \frac{1}{0.843} = 1.19 \quad (23)$$

Only 19% enhancement due to low finesse.

```

1 f0=c*1e-12/lambda0;        %center frequency in THz
2 deltaf=c*1e-12/(2*Lc*nr);   %FSR in THz
3 deltawavelength=lambda0^2/(2*Lc*nr); %FSR in m

```

**Spectral quantities:**

$$f_0 = \frac{c}{\lambda_0} = \frac{3 \times 10^8}{1.31 \times 10^{-6}} = 229 \text{ THz} \quad (24)$$

$$\Delta f_{FSR} = \frac{c}{2n_r L} = \frac{3 \times 10^8}{2 \times 3.3 \times 300 \times 10^{-6}} = 0.152 \text{ THz} = 152 \text{ GHz} \quad (25)$$

$$\Delta \lambda_{FSR} = \frac{\lambda_0^2}{2n_r L} = \frac{(1.31 \times 10^{-6})^2}{1.98 \times 10^{-3}} = 0.87 \text{ nm} \quad (26)$$

## 2.4 Main Computation Loop

```

1 for i=[1:1:1000]
2     Frequency(i)=(f0+i*0.001);
3     Fwavelength(i)=c/Frequency(i);
4     x=sin(pi*Frequency(i)/deltaf);
5     x2=x*x;
6     Intensity(i)=Imax/(1+(Fconst*(x2)));
7 end

```

**Frequency sweep:** From  $f_0$  to  $f_0 + 1$  THz in 0.001 THz steps.

**Airy function implementation:**

$$I(\nu) = \frac{I_{max}}{1 + F_{const} \sin^2 \left( \frac{\pi \nu}{\Delta \nu_{FSR}} \right)} \quad (27)$$

The argument  $\pi \nu / \Delta \nu_{FSR}$  gives:

- At  $\nu = m \cdot \Delta \nu_{FSR}$ :  $\sin = 0$ , resonance peak
- At  $\nu = (m + 1/2) \cdot \Delta \nu_{FSR}$ :  $\sin = \pm 1$ , minimum

## 2.5 Plotting

```

1 figure(1);
2 plot(Frequency, Intensity);
3 axis([f0, f0+1, 0, 4]);
4 xlabel('Frequency, \nu (THz)'), ylabel('Intensity');
5 ttl=sprintf('Chapt9Fig6a1, \r1=%4.2f, \r2=%4.2f, \nr=%4.2f, \f0=%7.2e THz, \r\n',
6           Lc=%7.2e m', r1, r2, nr, f0, Lc)
7 title(ttl);

```

Frequency-domain plot showing resonance peaks.

```

1 figure(2);
2 plot(Fwavelength*10^-12, Intensity);
3 xlabel('Wavelength, \lambda (m)'), ylabel('Intensity');
4 title(ttl);

```

Wavelength-domain plot (note:  $10^{-12}$  scaling issue in original code).

## 3 Numerical Methods

### 3.1 Direct Evaluation

The code uses direct evaluation of the Airy function rather than summing infinite series. This is numerically stable and efficient.

### 3.2 Frequency Grid

With 1000 points over 1 THz:

$$\Delta \nu_{sample} = 1 \text{ GHz} \quad (28)$$

Since  $\Delta \nu_{FSR} = 152$  GHz, there are  $\sim 7$  FSR periods in the plot, each sampled by  $\sim 150$  points—adequate resolution.

### 3.3 Peak Resolution

For low finesse  $\mathcal{F} \approx 1$ , the linewidth is:

$$\delta \nu \approx \Delta \nu_{FSR} = 152 \text{ GHz} \quad (29)$$

The 1 GHz sampling easily resolves these broad peaks.

## 4 Physical Interpretation

### 4.1 Low Finesse Characteristics

With  $R_1 = R_2 = 0.286$ :

- **Finesse**  $\mathcal{F} \approx 1$ : Poor quality factor
- **Broad resonances**: Linewidth  $\approx$  FSR
- **Weak enhancement**: Peak only 19% above baseline
- **Poor mode selectivity**: Overlapping resonances

### 4.2 Comparison to Laser Requirements

A practical laser requires:

- Higher reflectivity:  $R > 0.9$  for  $\mathcal{F} > 30$
- Sharp resonances: For single-mode selection
- Higher enhancement: For reduced threshold

This simulation represents a bare semiconductor facet without coatings.

### 4.3 Mode Spectrum

The allowed longitudinal modes satisfy:

$$\nu_m = m \cdot \Delta\nu_{FSR} = m \cdot \frac{c}{2n_r L} \quad (30)$$

Near  $\lambda_0 = 1310$  nm:

$$m = \frac{2n_r L}{\lambda_0} = \frac{2 \times 3.3 \times 300}{1.31} = 1511 \quad (31)$$

Mode number  $\sim 1500$ , confirming the cavity supports many modes.

## 5 Summary

This code simulates a Fabry-Pérot resonator with:

- Fresnel reflectivity from semiconductor-air interface
- Low finesse ( $\mathcal{F} \approx 1$ ) due to  $R \approx 0.29$
- 300  $\mu\text{m}$  cavity length typical of edge-emitting lasers
- FSR of 152 GHz (0.87 nm)

Key results:

- Broad, overlapping resonance peaks
- Minimal intensity enhancement
- Many longitudinal modes ( $m \sim 1500$ )
- Representative of uncoated laser facets

This serves as a baseline for comparison with high-reflectivity coated cavities.