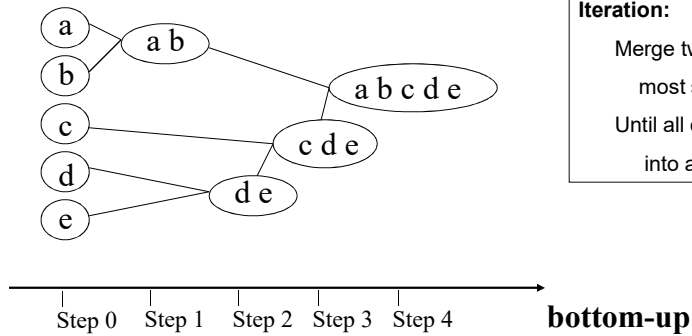


## Hierarchical Clustering

### ◆ Agglomerative approach



#### Initialization:

Each object is a cluster

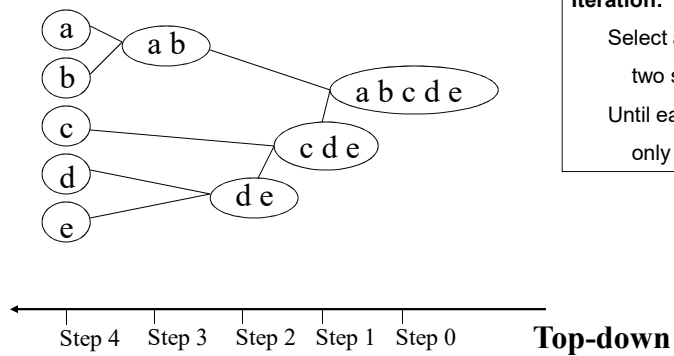
#### Iteration:

Merge two clusters which are most similar to each other;  
Until all objects are merged into a single cluster

1

## Hierarchical Clustering

### ◆ Divisive Approaches



#### Initialization:

All objects stay in one cluster

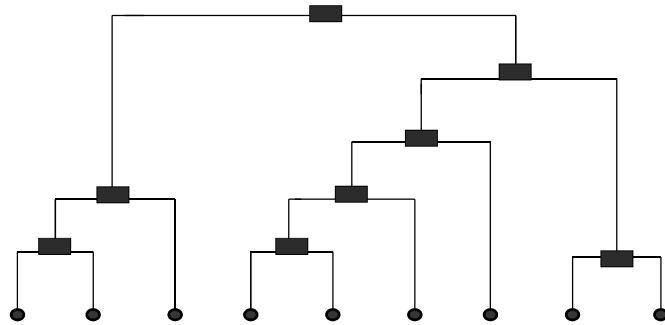
#### Iteration:

Select a cluster and split it into two sub clusters  
Until each leaf cluster contains only one object

2

## Dendrogram

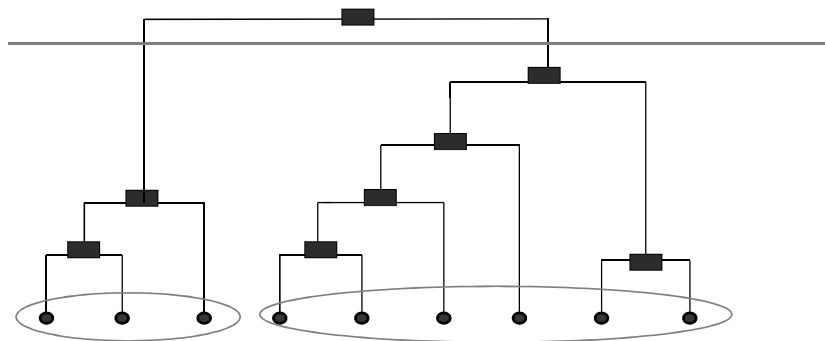
- ◆ A binary tree that shows how clusters are merged/split hierarchically
- ◆ Each node on the tree is a cluster; each leaf node is a singleton cluster



3

## Dendrogram

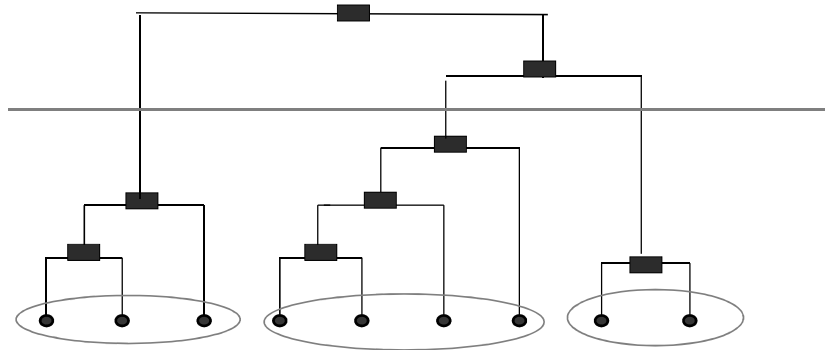
- ◆ A clustering of the data objects is obtained by cutting the *dendrogram* at the desired level, then each connected component forms a cluster



4

## Dendrogram

- ◆ A clustering of the data objects is obtained by cutting the *dendrogram* at the desired level, then each connected component forms a cluster

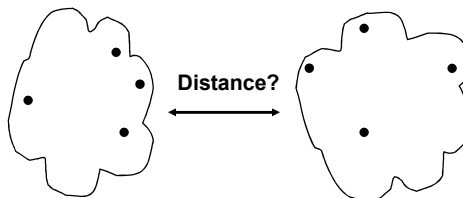


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## How to Merge Clusters?

- ◆ How to measure the distance between clusters?

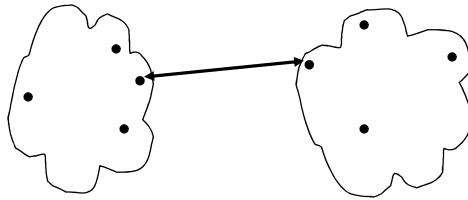
- ◆ Single-link
- ◆ Complete-link
- ◆ Average-link
- ◆ Centroid distance



**Hint:** Distance between clusters is usually defined on the basis of distance between objects.

6

### How to Define Inter-Cluster Distance



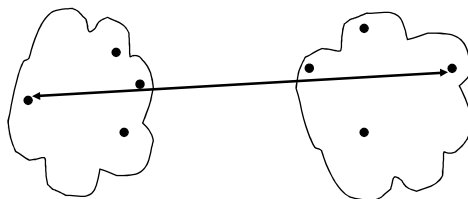
- ◆ Single-link
- ◆ Complete-link
- ◆ Average-link
- ◆ Centroid distance

$$d_{\min}(C_i, C_j) = \min_{p \in C_i, q \in C_j} d(p, q)$$

The distance between two clusters is represented by the distance of the closest pair of data objects belonging to different clusters.

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### How to Define Inter-Cluster Distance



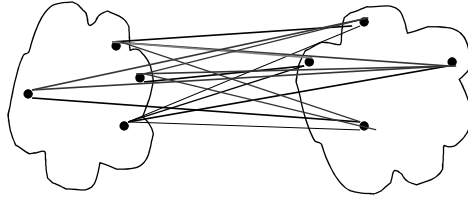
- ◆ Single-link
- ◆ Complete-link
- ◆ Average-link
- ◆ Centroid distance

$$d_{\min}(C_i, C_j) = \max_{p \in C_i, q \in C_j} d(p, q)$$

The distance between two clusters is represented by the distance of the farthest pair of data objects belonging to different clusters.

8

### How to Define Inter-Cluster Distance



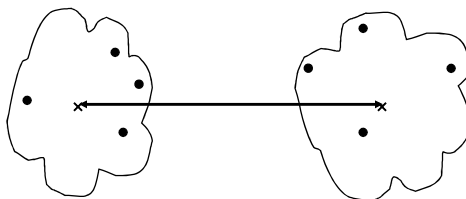
- ◆ Single-link
- ◆ Complete-link
- ◆ Average-link
- ◆ Centroid distance

$$d_{\min}(C_i, C_j) = \text{avg}_{p \in C_i, q \in C_j} d(p, q)$$

The distance between two clusters is represented by the average distance of all pairs of data objects belonging to different clusters.

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### How to Define Inter-Cluster Distance



$m_i, m_j$  are the means of  $C_i, C_j$ ,

- ◆ Single-link
- ◆ Complete-link
- ◆ Average-link
- ◆ Centroid distance

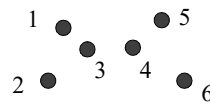
$$d_{\text{mean}}(C_i, C_j) = d(m_i, m_j)$$

The distance between two clusters is represented by the distance between the means of the clusters.

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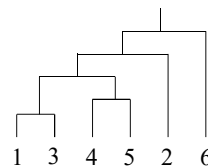
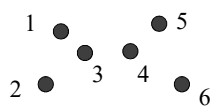
## An Example of the Agglomerative Hierarchical Clustering Algorithm

- ◆ For the following data set, we will get different clustering results with the single-link and complete-link algorithms.

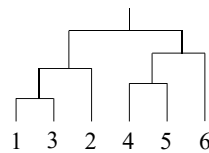
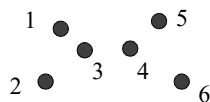


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### Result of the Single-Link algorithm



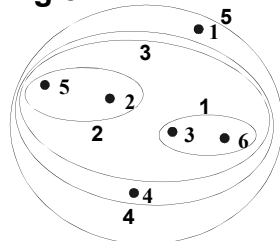
### Result of the Complete-Link algorithm



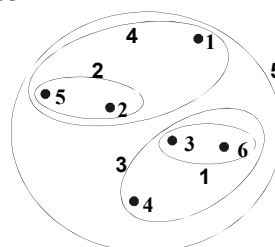
12

## Hierarchical Clustering: Comparison

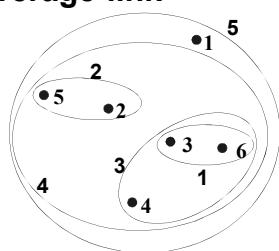
**Single-link**



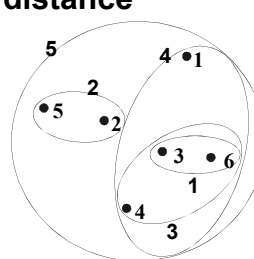
**Complete-link**



**Average-link**



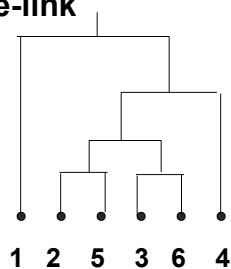
**Centroid distance**



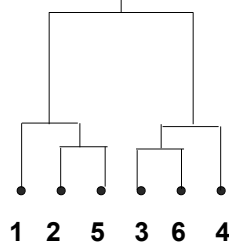
13

## Compare Dendrograms

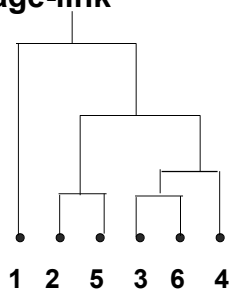
**Single-link**



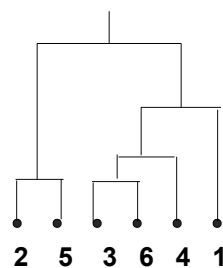
**Complete-link**



**Average-link**



**Centroid distance**



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