

# Analysis and compensation of control valve stiction-induced limit cycles

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**Abstract**—In this paper time-domain analysis is performed for limit cycles in control loops that are induced by control valve stiction, and an analytical relationship between the proportional-integral controller parameters and the oscillation amplitude and period of process output is established. Based on this relationship, a novel controller design approach is proposed to achieve the desired oscillation amplitude. Compared with the qualitative controller tuning methods in the literature, the proposed approach is quantitative, and it avoids tuning the controller parameters in a trial-and-error manner for compensation of control valve stiction. The validity of the limit cycle analysis and the effectiveness of the proposed compensation approach are illustrated with numerical examples.

## I. INTRODUCTION

Industrial surveys [1][2][3] reported that about 20–30% of control loops in process industries suffer from control valve nonlinearities. Among these nonlinearities, the control valve stiction usually leads to oscillations in closed-loop systems, which has received increasing attention recently [4]. The first step to deal with control valve stiction is to detect the existence and quantify the severity of stiction. Once the stiction has been detected and quantified, a typical method for eliminating or mitigating it is to perform valve maintenance or replacement; however, this is feasible only during the plant shutdowns, which are usually scheduled every 6 months to 3 years. Consequently, it is desirable to continue the operation of the sticky control valves and to apply some compensation techniques to reduce or remove the detrimental effects of control valve stiction.

Existing compensation methods can be classified mainly into four categories, namely, the knocker method [5][6][7], the constant reinforcement method [8], the two-movement method [9][10][11], and the controller tuning method [12][13]. In particular, the controller tuning method does not change the control loop configuration, and it is relatively easy to implement in practice. However, the controller tuning method has two drawbacks: first, this method is based on the describing function approach for the limit cycle analysis, which involves a rough approximation of the control valve

stiction and possibly has significant errors in calculating the oscillation amplitude and period; second, only qualitative tuning suggestions are provided on increasing or decreasing the controller parameters, and thus the controller parameters are determined in a trial-and-error manner.

The work in this paper is inspired by the time-domain limit cycle analysis of feedback systems with backlash [14] and relay [15]. However, the authors in the latter papers only consider symmetric limit cycles in the state-space description based on switched linear subsystems. In this paper we calculate the time-domain expression of the asymmetric signals in the proportional-integral-controlled feedback loop, where the sticky control valve is described by He's stiction model [16], and formulate an exact relationship between the proportional-integral (PI) controller parameters and the oscillation amplitude and period of the process output. Based on this relationship, a novel controller design approach is proposed to obtain the PI controller parameters for reducing the oscillation amplitude to a desired value. To our best knowledge, these are the first results in the literature on exact analysis of limit cycles caused by the control valve stiction, and on quantitative controller tuning methods for compensating the negative effects of control valve stiction.

The rest of this paper is organized as follows. In Section II the system under consideration is described. The limit cycle analysis is presented in Section III, followed by the discussion on the controller design in Section IV. The proposed approach is illustrated through two numerical examples in Section V. Concluding remarks are given in Section VI.

## II. PROBLEM FORMULATION

Consider a closed-loop system, where a plant  $G(s)$  is actuated by a sticky control valve  $f$  as shown in Fig. 1. Here,  $r(t)$ ,  $e(t)$ ,  $u(t)$ ,  $m(t)$ ,  $y(t)$ ,  $w(t)$  and  $y_m(t)$  denote the reference, control error, controller output, valve position, process output, process noise, and measured process output, respectively. The parameter  $c$  is a real-valued constant to take care of the static offset of  $y_m(t)$  so that the noise-free process output  $y(t)$  is a signal derivative from zero. The process  $G(s)$  is assumed to be a first-order plus dead time (FOPDT) model,

$$G(s) = \frac{K_p}{T_p s + 1} e^{-\theta s}. \quad (1)$$

It is known that this model can well approximate a higher-order linear time-invariant system [17]. A PI controller  $C(s)$  is used

$$C(s) = K_c + \frac{K_i}{s}. \quad (2)$$

There are several data-driven stiction models in the literature [4], among which He's stiction model [16] is adopted

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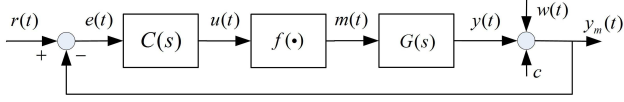


Fig. 1. A closed-loop system where the plant  $G(s)$  is actuated by a sticky valve  $f$ .

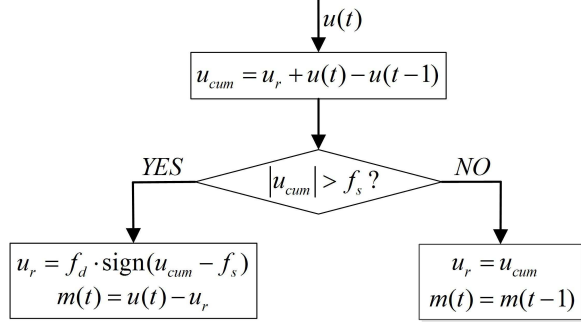


Fig. 2. Flowchart of He's stiction model (adapted from Fig. 2 of [16]).

here to describe the behaviors of the sticky control valve in oscillations due to its structural simplicity and effectiveness in modeling valve stiction [11]. The flowchart of He's stiction model is presented in Fig. 2. The two parameters  $f_s$  and  $f_d$  in Fig. 2 stand for the static and kinetic friction bands, respectively. The variable  $u_r$  is the residual force acting on the valve which has not resulted in a valve movement, and the variable  $u_{cum}$  is a current cumulative force acting on the valve.

The following assumptions are made throughout the paper:

- A1. The process and sticky control valve can be described by  $G(s)$  in (1) and He's stiction model in Fig. 2, respectively. The parameters of  $G(s)$ , namely,  $K_p$ ,  $T_p$  and  $\theta$ , the parameters  $f_s$  and  $f_d$  of He's stiction model, and the static offset  $c$  are known *a priori*, and the sign of the process gain  $K_p$  is positive.
- A2. The reference  $r(t)$  stays at a known constant value  $r_0$ . Under some non-zero initial condition, the valve stiction leads to oscillations in the control loop, in which the valve position  $m(t)$  moves back and forth at two known positions.

Assumption A1 is not restrictive. In industrial processes many controlled plants can be well modelled by a FOPDT model [17], and He's stiction model is widely used to capture the sticky control valves [16][11]. With the available oscillatory measurements  $\{u(t), y_m(t)\}$ , all the unknown system parameters ( $K_p$ ,  $T_p$ ,  $\theta$ ,  $c$ ,  $f_s$  and  $f_d$ ) and the initial valve position  $m_0 \triangleq m(0)$  can be well estimated using the Hammerstein model identification techniques [11][18][19][20]. The phenomenon stated in Assumption A2, namely, the oscillation appears under a constant reference, and the valve moves between two positions, has been observed from many industrial control loops [4]. These two valve positions, denoted as  $m_1$  and  $m_2$  (with  $m_1 > m_2$ ), are determined only by the reference  $r_0$  and the initial valve position  $m_0$  from He's stiction model shown in Fig. 2 in relatively broad ranges for

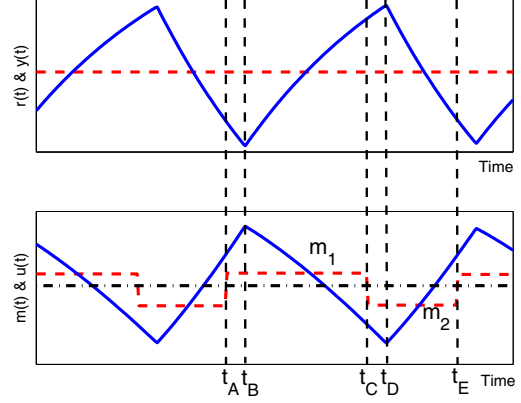


Fig. 3. Illustration of oscillatory signals in the closed-loop system: the process output  $y(t)$  (top, solid), reference  $r(t)$  (top, dash), controller output  $u(t)$  (bottom, solid), valve position  $m(t)$  (bottom, dash) and desired valve position  $m_{ss}$  (bottom, dashdot).

the PI controller parameters. That is,

$$m_1 = m_0 + iJ, \quad m_2 = m_1 - J, \quad i \triangleq \left\lfloor \frac{r_0 - c}{K_p} - m_0 \right\rfloor + 1, \quad J \triangleq f_s - f_d,$$

where the symbol  $\lfloor \cdot \rfloor$  is the largest integer less than or equal to the operand. Hence, with the definition of He's stiction model in Fig. 2 and good estimation of  $m_0$  from Hammerstein model identification, it is reliable to say that the valve position  $m(t)$  moves back and forth at two known positions, even after the variation of the controller parameters for the compensation purpose.

In the next two sections we perform the time-domain analysis of limit cycle and propose a controller design method to reduce the oscillation amplitude to a desired value based on Assumptions A1-A2.

### III. LIMIT CYCLE ANALYSIS

In this section time-domain limit cycle analysis is conducted for the closed-loop system in Fig. 1. In order to ease the presentation, the process noise  $w(t)$  is assumed to be absent for the time being.

#### A. Main Idea

If the control valve has no stiction, the valve position  $m(t)$ , under a constant reference  $r_0$ , can reach its steady value  $m_{ss}$  defined as

$$m_{ss} \triangleq (r_0 - c)/K_p. \quad (3)$$

However,  $m(t)$  cannot accurately arrive at  $m_{ss}$  when the stiction is present; instead,  $m(t)$  jumps around  $m_{ss}$  in a periodic manner, leading to oscillations in the control loop. Since the static offset  $c$  always appears together with  $r_0$ , we denote  $r_{ss} \triangleq r_0 - c$  as the nominal reference for the control loop with zero offset, and use  $y(t)$  to discuss the oscillation amplitude instead of  $y_m(t)$ .

When the oscillation appears, the system signals evolve as illustrated in Fig. 3. During one time period  $[t_A, t_E]$ , the valve jumps up at the time instant  $t_A$  from the lower position  $m_2$  to the upper position  $m_1$ , and holds until  $t_C$  when it moves

back to  $m_2$ . At  $t_E$ ,  $m(t)$  experiences an upward jump again. Define two time instants  $t_B = t_A + \theta$  and  $t_D = t_C + \theta$ , and two (unknown) time intervals  $T_1 = t_C - t_A$  and  $T_2 = t_E - t_C$ , where  $\theta$  is the time delay of the FOPDT model (1). Assume that  $T_1 > \theta$  and  $T_2 > \theta$ . When the control valve moves, the relationship between  $u(t)$  and  $m(t)$  is

$$m(t) = u(t) \pm f_d, \quad (4)$$

where the summation (subtraction) sign is applied if  $u(t)$  is decreasing (increasing).

The key objective of limit cycle analysis is to compute  $T_1$  and  $T_2$ , which characterize the limit cycle. The main idea of limit cycle analysis is stated as follows. The first step is to utilize the step response of the FOPDT model (1) to establish the relationship between the oscillation amplitude and period of the process output. Then, the character of the valve motion (4) is exploited to formulate two equations for the unknown period parameters  $T_1$  and  $T_2$ . Furthermore, the Newton-Raphson method [21] is utilized to solve for  $T_1$  and  $T_2$ . Finally, the special case of a symmetric limit cycle is discussed to have a deeper insight into the relationship between the oscillation amplitude and period.

### B. Analysis of Process Output $y(t)$

The variation of the process output  $y(t)$  during one oscillation period is analyzed in this section. Separate  $G(s)$  as a time delay  $e^{-\theta s}$  followed by  $G_0(s) = K_p/(T_p s + 1)$ . Then, the subsystem  $G_0(s)$  of  $G(s)$  takes the constant  $m_2$  as the input during  $[t_A, t_B]$ . The process output  $y(t)$ , which is the same as the output of  $G_0(s)$ , is

$$y(t) = K_p m_2 + [y(t_A) - K_p m_2] e^{-(t-t_A)/T_p}. \quad (5)$$

Thus,  $y(t)$  at  $t_B = t_A + \theta$  is,

$$y(t_B) = K_p m_2 + [y(t_A) - K_p m_2] e^{-\theta/T_p}. \quad (6)$$

Because the input to  $G_0(s)$  is switched from the minimum value  $m_2$  to the maximum value  $m_1$  at  $t_B$ , the process output  $y(t)$  is minimized at this moment, namely,  $y_{\min} = y(t_B)$ . Similarly,  $y_{\max} = y(t_D)$ . Rewrite (6) to let  $y(t_B)$  be the independent variable, i.e.,

$$y(t_A) = K_p m_2 + [y(t_B) - K_p m_2] e^{\theta/T_p}. \quad (7)$$

Similarly, the subsystem  $G_0(s)$  encounters a constant input  $m_1$  in  $[t_B, t_C]$ , then

$$y(t_C) = K_p m_1 + [y(t_B) - K_p m_1] e^{-(T_1-\theta)/T_p}. \quad (8)$$

Moreover, the process output  $y(t)$  at the time instants  $t_D$  and  $t_E$  is respectively

$$y(t_D) = K_p m_1 + [y(t_C) - K_p m_1] e^{-\theta/T_p}, \quad (9)$$

$$y(t_E) = K_p m_2 + [y(t_D) - K_p m_2] e^{-(T_2-\theta)/T_p}. \quad (10)$$

The existence of a limit cycle requires  $y(t_A) = y(t_E)$ . This condition, together with (7) and (10), results in

$$[y(t_B) - K_p m_2] e^{\theta/T_p} = [y(t_D) - K_p m_2] e^{-(T_2-\theta)/T_p}. \quad (11)$$

Substituting (8) and (9) into (11) to eliminate  $y(t_D)$ , we can obtain

$$y(t_B) = K_p \frac{m_2 + J e^{-T_2/T_p} - m_1 e^{-(T_1+T_2)/T_p}}{1 - e^{-(T_1+T_2)/T_p}}, \quad (12)$$

where  $J \triangleq m_1 - m_2 = f_s - f_d$  is the jump amplitude when the valve moves. The oscillation amplitude of the process output  $y(t)$  can be defined as

$$\begin{aligned} Y(T_1, T_2) &\triangleq y_{\max} - y_{\min} \\ &= y(t_D) - y(t_B) \\ &= K_p m_1 + [y(t_B) - K_p m_1] e^{-T_1/T_p} - y(t_B) \\ &= [K_p m_1 - y(t_B)] (1 - e^{-T_1/T_p}). \end{aligned} \quad (13)$$

With  $y(t_B)$  in (12), we can rewrite (13) as

$$Y(T_1, T_2) = K_p J \frac{(1 - e^{-T_1/T_p})(1 - e^{-T_2/T_p})}{1 - e^{-(T_1+T_2)/T_p}}. \quad (14)$$

### C. Analysis of Controller Output $u(t)$

Since two unknown period parameters  $T_1$  and  $T_2$  exist in (14), we consider the characteristics of the controller output  $u(t)$  and formulate two equalities on  $T_1$  and  $T_2$  here.

At the time instants  $t_A$  and  $t_C$ , the controller output  $u(t)$  and valve position  $m(t)$  have the relationship (4), namely,

$$u(t_A) = m_1 + f_d, \quad (15)$$

$$u(t_C) = m_2 - f_d. \quad (16)$$

Because the contribution of the integrator in the PI controller (2) at an arbitrary time  $t_X$  is  $K_i \int_{-\infty}^{t_X} e(\tau) d\tau = u(t_X) - K_c e(t_X)$ , and the control error is  $e(t) = r_{ss} - y(t)$ , the controller output  $u(t)$  is, for  $t \in [t_A, t_B]$ ,

$$\begin{aligned} u(t) &= K_c e(t) + u(t_A) - K_c e(t_A) + K_i \int_{t_A}^t e(\tau) d\tau \\ &= u(t_A) + K_c [y(t_A) - y(t)] + K_i r_{ss} (t - t_A) - K_i \int_{t_A}^t y(\tau) d\tau. \end{aligned} \quad (17)$$

Substituting (5) into (17) and calculating the integral term until  $t = t_B$ , one obtains

$$u(t_B) = u(t_A) + (K_c - K_i T_p) [y(t_A) - y(t_B)] + K_i (r_{ss} - K_p m_2) \theta. \quad (18)$$

Similarly, the controller output  $u(t)$  at the time instants  $t_C$ ,  $t_D$  and  $t_E$  is respectively

$$\begin{aligned} u(t_C) &= u(t_B) + (K_c - K_i T_p) [y(t_B) - y(t_C)] \\ &\quad + K_i (r_{ss} - K_p m_1) (T_1 - \theta), \end{aligned} \quad (19)$$

$$\begin{aligned} u(t_D) &= u(t_C) + (K_c - K_i T_p) [y(t_C) - y(t_D)] \\ &\quad + K_i (r_{ss} - K_p m_1) \theta, \end{aligned} \quad (20)$$

$$\begin{aligned} u(t_E) &= u(t_D) + (K_c - K_i T_p) [y(t_D) - y(t_E)] \\ &\quad + K_i (r_{ss} - K_p m_2) (T_2 - \theta). \end{aligned} \quad (21)$$

With the conditions of the limit cycle,  $u(t_A) = u(t_E)$  and  $y(t_A) = y(t_E)$ , and the definition  $m_{ss} = r_{ss}/K_p$  in (3), the summation of both sides of (18) – (21) yields

$$H_1(T_1, T_2) \triangleq m_1 T_1 + m_2 T_2 - m_{ss} (T_1 + T_2) = 0, \quad (22)$$

which indicates that the desired valve position  $m_{ss}$  is the time average of two actual valve positions  $m_1$  and  $m_2$ .

The value  $u(t_C)$  in (16) can be represented using (18) and (19) as

$$\begin{aligned} u(t_C) &= m_2 - f_d \\ &= u(t_A) + (K_c - K_i T_p) [y(t_A) - y(t_C)] + K_i r_{ss} T_1 \\ &\quad - K_i K_p [m_2 \theta + m_1 (T_1 - \theta)]. \end{aligned}$$

Replacing  $y(t_A)$  and  $y(t_C)$  in the above equation with (7) and (8) in terms of  $y(t_B)$ , respectively, and considering (12), (15) and  $J = m_1 - m_2$  ultimately give (23) (see the top of next page).

#### D. Solving for the Period Parameters of the Limit Cycle

Given He's stiction model parameters,  $f_s$ ,  $f_d$ ,  $m_1$  and  $m_2$ , the process model parameters,  $K_p$ ,  $T_p$ ,  $\theta$ , the controller parameters,  $K_c$  and  $K_i$ , the reference  $r_0$ , and the static offset  $c$ , Eqns. (22) and (23) yield two equations for two unknowns  $T_1$  and  $T_2$ . Since these are nonlinear equations, the Newton-Raphson method [21] is utilized to solve for  $(T_1, T_2)$ . Define  $\Phi \triangleq [T_1, T_2]^T$  and

$$H(\Phi) \triangleq [H_1(\Phi), H_2(\Phi)]^T = [0, 0]^T.$$

A solution  $\Phi^*$  can be obtained using the iterative formula

$$\Phi^{i+1} = \Phi^i - J_a^{-1}(\Phi^i) H(\Phi^i), \quad (24)$$

where  $J_a(\Phi)$  is Jacobian matrix of  $H(\Phi)$ . Note that the solution  $\Phi^*$  completely characterizes the behavior of the limit cycle.

#### E. Special Case of Symmetric Limit Cycle

In this section we discuss the special case of a symmetric limit cycle, where  $T_1 = T_2 \triangleq h$ , to gain a deeper insight into (14). The symmetric limit cycles have been observed in some industrial control loops [4]. In this case, the oscillation amplitude (14) can be rewritten as

$$Y(h) = K_p J \frac{1 - e^{-h/T_p}}{1 + e^{-h/T_p}} = K_p J \tanh\left(\frac{h}{2T_p}\right), \quad (25)$$

which is a monotonically increasing function of  $h$ . This intuitively reveals that an increment of the oscillation frequency implies the reduction of the oscillation amplitude.

Other interesting conclusions can also be drawn from (25). First, the oscillation amplitude  $Y$  is proportional to  $J$ , which has the potential in estimating the stiction severity. That is, with the amplitude  $Y$  and the period parameters  $T_1$  and  $T_2$  easily read from the measurement of  $y_m(t)$ , the stiction parameter  $J = f_s - f_d$  can be estimated from (25) if the gain  $K_p$  of the process model (1) is known *a priori*. Second, there exists a maximum amplitude for  $y(t)$  if the oscillation period is sufficiently long, namely,  $Y_{\max} \approx K_p J$  when  $h \gg 2T_p$ . This can be understood as follows. Because  $h$  is sufficiently large,  $G_0(s)$  has reached its steady states for the two constant inputs  $m_1$  and  $m_2$  before the next jump; then, the difference between the two steady-state values is  $K_p(m_1 - m_2) = K_p J$ . Third, the relationship between the oscillation amplitude and period can

be well approximated by a straight line  $Y(h) = K_p J h / (2T_p)$  if  $h/(2T_p) < 2$ . This quantitatively illustrates the price of the compensation in practice: the frequency of oscillation is doubled if the amplitude is expected to be reduced by half.

## IV. CONTROLLER DESIGN

The objective of controller design is to reduce the amplitude of oscillatory  $y(t)$  to a desired value  $Y_d$  to meet the predetermined control loop performance by only adjusting the PI controller parameters.

Recall that Assumption A2 states that the valve moves back and forth at only two positions  $m_1$  and  $m_2$  in the oscillation. This requires  $u(t) \in (m_2 - J, m_1 + J)$ ,  $t \in [t_A, t_E]$  even after the tuning of the controller parameters. Note also that the process output  $y(t)$  is minimized and maximized at the time instants  $t_B$  and  $t_D$ , respectively. Due to the existence of the integrator in the PI controller, the maximum value of  $u(t)$  may not be accessed at  $t_B$ ; instead, it could be reached in  $(t_B, t_D)$ . The controller output  $u(t)$  in the time interval  $[t_B, t_D]$  can be expressed as

$$\begin{aligned} u(t) &= u(t_B) + (K_c - K_i T_p) [y(t_B) - y(t)] \\ &\quad + K_i (r_{ss} - K_p m_1) (t - t_B). \end{aligned}$$

Therefore, the derivative of  $u(t)$  with respect to  $t$  is

$$\dot{u}(t) = -(K_c - K_i T_p) \dot{y}(t) + K_i (r_{ss} - K_p m_1). \quad (26)$$

Note that in the time interval  $[t_B, t_D]$

$$y(t) = K_p m_1 + [y(t_B) - K_p m_1] e^{-(t-t_B)/T_p}.$$

Substituting the derivative of  $y(t)$  into (26) and letting  $\dot{u}(t_1) = 0$  yield

$$t_1 = t_B + T_p \ln \frac{(K_c - K_i T_p) [y(t_B) - K_p m_1]}{K_i T_p (K_p m_1 - r_{ss})},$$

where  $t_1$  corresponds to the time instant when  $u(t)$  reaches its maximum value. Correspondingly, the process output  $y(t)$  at  $t_1$  becomes

$$y(t_1) = \frac{K_c K_p m_1 - K_i T_p r_{ss}}{K_c - K_i T_p}.$$

Thus, the upper threshold of  $u(t)$  is limited to

$$\begin{aligned} u(t_1) &= u(t_B) + (K_c - K_i T_p) y(t_B) - K_c K_p m_1 + K_i T_p r_{ss} \\ &\quad + K_i T_p (r_{ss} - K_p m_1) \ln \frac{(K_c - K_i T_p) [y(t_B) - K_p m_1]}{K_i T_p (K_p m_1 - r_{ss})} \\ &< m_1 + J. \end{aligned} \quad (27)$$

Note that in (27), it is clear that  $y(t_B) - K_p m_1 < 0$ ,  $K_p m_1 - r_{ss} > 0$ ; hence, the definition of the natural logarithm requires

$$K_c - K_i T_p < 0, \quad (28)$$

which is natural since the integral time constant  $T_i \triangleq K_c / K_i$  is usually expected to be less than the time constant  $T_p$  of the process to accelerate the systematic dynamics. Analogous to

$$H_2(T_1, T_2) \triangleq f_s + f_d - K_i K_p (m_1 T_1 - \theta J) + K_i r_{ss} T_1 + (K_c - K_i T_p) K_p J \left[ \frac{e^{\frac{\theta}{T_p}}}{1 - e^{-\frac{T_1 + T_2}{T_p}}} \left( e^{-\frac{T_1}{T_p}} + e^{-\frac{T_2}{T_p}} - 2e^{-\frac{T_1 + T_2}{T_p}} \right) - 1 \right] = 0. \quad (23)$$

the analysis of  $u(t_1)$ , the lower threshold of  $u(t)$  is obtained as

$$\begin{aligned} u(t_2) &= u(t_D) + (K_c - K_i T_p) y(t_D) - K_c K_p m_2 + K_i T_p r_{ss} \\ &\quad + K_i T_p (r_{ss} - K_p m_2) \ln \frac{(K_c - K_i T_p) [y(t_D) - K_p m_2]}{K_i T_p (K_p m_2 - r_{ss})} \\ &> m_2 - J. \end{aligned} \quad (29)$$

Based on the limit cycle analysis in the last section and the inequality conditions established here, the objective of controller design is to find the controller parameters  $K_c$  and  $K_i$ , such that  $Y(T_1, T_2)$  is equal to a desired oscillation amplitude  $Y_d$ , while meeting the following constraints:

Equalities: (14), (22), (23),

Inequalities: (27), (28), (29),  $K_c > 0$ ,  $K_i > 0$ .

With He's stiction model parameters,  $f_s$ ,  $f_d$ ,  $m_1$  and  $m_2$ , the process model parameters,  $K_p$ ,  $T_p$  and  $\theta$ , the reference  $r_0$  and the static offset  $c$ , the steps of our proposed controller design to achieve  $Y(T_1, T_2) = Y_d$  are summarized as follows.

- 1) Calculate the expected period parameters  $T_1$  and  $T_2$  corresponding to the desired amplitude  $Y_d$  from (14) and (22).
- 2) Formulate the curve (23) that the controller parameters  $K_c$  and  $K_i$  need to satisfy.
- 3) Check the inequality conditions (27), (28) and (29) for the validity of Assumption A2, and obtain the feasible region for  $(K_c, K_i)$ .

## V. EXAMPLES

Two simulated examples are provided to illustrate the effectiveness of the limit cycle analysis and proposed controller design method.

**Example 1.** A closed-loop simulation experiment is performed in the same configuration as an experimental study for the closed-loop control valve stiction compensation in [11]. That is, the PI controller and the process model are respectively

$$C(s) = 0.25 \left( 1 + \frac{1}{50} \right), \quad G(s) = \frac{3.8163}{156.46s + 1} e^{-2.5s}.$$

The static offset is  $c = -192.3411$ , the parameters of He's stiction model are  $f_s = 8.4$  and  $f_d = 3.5243$ , and the sampling period is 0.5 sec. These parameters are reported to be capable of capturing a water tank level control system actuated by a sticky control valve. The process noise  $w(t)$  is set as a Gaussian white noise with the variance 0.5 passing a discrete low-pass filter  $F(z) = 1/(z - 0.5)$ . The reference  $r(t)$  is kept at a constant value  $r_0 = 35$ .

First, the oscillatory signals are collected from the simulation and plotted in Fig. 4. From this figure, the parameters

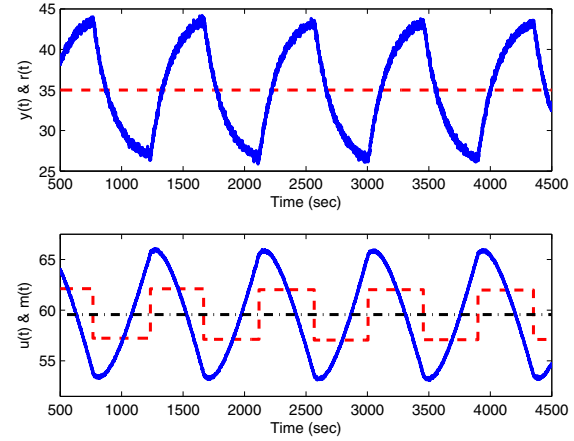


Fig. 4. Signals in Example 1 before the compensation: the measured process output  $y_m(t)$  (top, solid), reference  $r(t)$  (top, dash), controller output  $u(t)$  (bottom, solid), valve position  $m(t)$  (bottom, dash) and desired valve position  $m_{ss}$  (bottom, dashdot).

of oscillation are determined as  $\hat{T}_1 = 449.5$  sec,  $\hat{T}_2 = 435.5$  sec, and  $\hat{Y} = 16.5865$ . Moreover, the two valve positions are  $\hat{m}_1 = 62.0190$  and  $\hat{m}_2 = 57.0491$ . Note that the limit cycle is slightly asymmetric.

Second, the Newton-Raphson method (24) is used to solve for the period parameters  $T_1$  and  $T_2$  of the limit cycle. In this case, the two valve positions  $m_1$  and  $m_2$  are assumed to be known *a priori*, namely,  $m_1 = 62.0190$  and  $m_2 = 57.0491$ . The Newton-Raphson method gives the numerical solution as  $\check{T}_1 = 448.0877$  sec and  $\check{T}_2 = 434.9368$  sec. Correspondingly, the amplitude of oscillation in (14) is calculated as  $\check{Y} = 16.5155$ . The slight discrepancies between the simulation results and the numerical solutions for the oscillation period parameters are primarily attributed to the error in reading off  $\hat{Y}$  from the noisy simulation trajectories. These results support the limit cycle analysis and prove the effectiveness of Newton-Raphson method in solving for the period parameters  $T_1$  and  $T_2$ .

**Example 2.** The compensation objective is to reduce the oscillation amplitude by half through tuning the controller parameters, that is,  $Y_d = \hat{Y}/2 = 8.2933$ . First, the expected period parameters  $T_1$  and  $T_2$  are obtained from solving (14) and (22) with the Newton-Raphson method,  $\bar{T}_1 = 152.2604$  sec and  $\bar{T}_2 = 147.7918$  sec. Then, the desired controller parameters  $(K_c, K_i)$  are determined by (23), with the constraints (27), (28) and (29). The final feasible region for  $(K_c, K_i)$  is shown in Fig. 5. Here, the middle point (marked as \* in Fig. 5) of the feasible region,  $\bar{K}_c = 1.1137$  and  $\bar{K}_i = 0.0249$ , is chosen for the compensation without consideration of other factors such as robustness and process noise.

The compensation is implemented at  $t = 4500$  sec, and

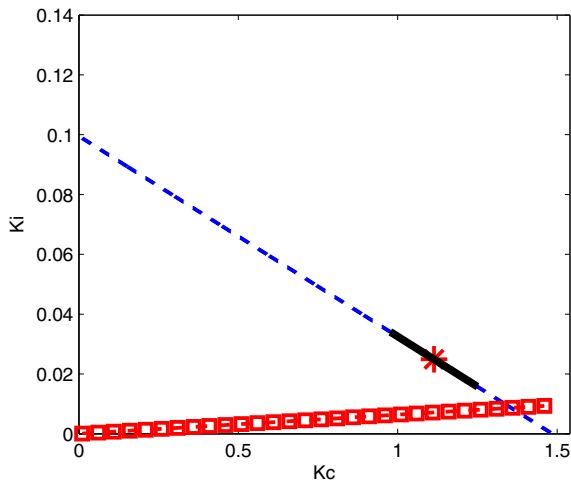


Fig. 5. Controller design in Example 2: the function in (23) (dash), the inequality in (28) (square-dash), the final feasible region satisfying (27) and (29) (thick solid), and the selected controller parameter pair  $(K_c, K_i)$  (\*).

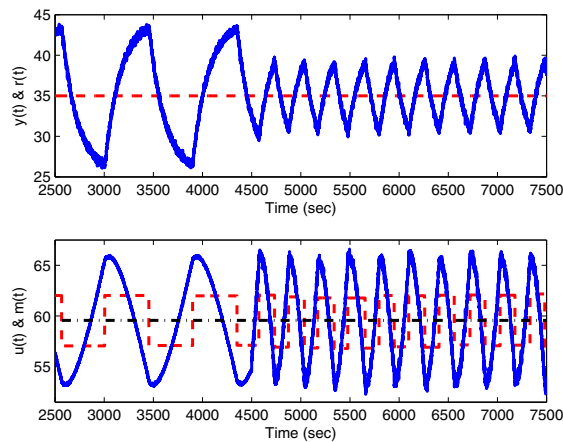


Fig. 6. Signals in Example 2 after the compensation:  $y_m(t)$  (top, solid),  $r(t)$  (top, dash),  $u(t)$  (bottom, solid),  $m(t)$  (bottom, dash) and  $m_{ss}$  (bottom, dashdot).

the signals after compensation are exhibited in Fig. 6. The amplitude and the period after the compensation become 8.3009 and 305.5 sec, respectively. Note that the amplitude reduction from 16.5865 to 8.3009 is achieved at the cost of period decrement from 895 sec to 305.5 sec. This example demonstrates the effectiveness of our proposed controller design method.

Finally, we compare the proposed compensation method against the controller tuning method in [13]. In the present circumstance, the latter only suggests qualitatively to reduce the integral effect  $K_c$  in the controller  $C(s)$ . As a result, the parameter pair  $(K_c, K_i)$  has to be determined in a trial-and-error manner. By contrast, the proposed method directly gives the desired controller parameters.

## VI. CONCLUSIONS

In this paper time-domain limit cycle analysis was provided for control loops with sticky control valves described by He's stiction model. Based on this analysis, a novel

PI controller tuning approach was proposed to reduce the oscillation amplitude to a desired value. Compared with the qualitative-type controller tuning methods in the literature, the proposed approach is quantitative, and directly gives the desired control parameters, which can significantly improve the efficiency in compensating control valve stiction.

For future work, robustness to model uncertainty and noises will be incorporated into the controller design in determining the optimal controller parameters  $(K_c, K_i)$ . Experimental validation of the proposed analysis and controller design method will also be pursued.

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