# Irreducible Components and Dimension of the Springer Fiber of a Hook-Type Slodowy Slice

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#### Abstract

with more words than symbols, what is springer fiber of hook-type slodowy slice. we show that its irreducible components have the same dimensions. and use this to study another variety.

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## 1 Introduction

Let  $\mathfrak{g} = \mathfrak{gl}_m \times \mathfrak{gl}_{m+n}$ , with n > 0. Let  $\pi : \widetilde{\mathcal{N}} \to \mathcal{N} \hookrightarrow \mathfrak{g}$  be the Springer resolution

Let (e,h,f) be the principal  $\mathfrak{sl}_2$ -triple in  $\mathfrak{gl}_n$ . We have an embedding  $\mathfrak{gl}_n \hookrightarrow \mathfrak{gl}_m \times \mathfrak{gl}_n \hookrightarrow \mathfrak{gl}_{m+n}$ . Let (E,H,F) be the  $\mathfrak{sl}_2$ -triple in  $\mathfrak{gl}_{m+n}$  which is the image of (e,h,f) via this embedding. Let S be the Slodowy slice  $E+\mathfrak{z}_{\mathfrak{gl}_m}(F)$ . We have a map  $\mathfrak{gl}_{m+n} \to \mathfrak{gl}_m$  given by coordinate projection. Restricting to S, we obtain  $f_1:S\to \mathfrak{gl}_m$ . (It turns out that this map is surjective.) Then, we obtain  $p_1:S\to \mathfrak{g}$  by  $x\mapsto (x,f_1(x))$ . Taking the map  $p_1:S\to \mathfrak{g}$  and the Springer resolution  $\widetilde{\mathcal{N}}\to \mathfrak{g}$ , we obtain a fibred product  $S\times_{\mathfrak{g}}\widetilde{\mathcal{N}}$ .

In this paper we study  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$ . As a step towards studying  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$ , we consider the map  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}} \to \mathfrak{gl}_m$  given by taking the map to  $\mathfrak{g}$  and then projecting to  $\mathfrak{gl}_m$ . The main section of this paper will study the fibers of

this map. Given  $X \in \mathcal{N}_m \subseteq \mathfrak{gl}_m$ , we call the fiber at X the n-Slodowy-slice Springer fiber at X.

Before talking about future work, say what is the main result of the paper.

#### 1.1 Future Work

irreducble components have module structure of group algebra of Sn. has applications to representation theory. write about this here. The paper (TODO: cite) describes a bijection between the Steinberg variety (which is blah) and pairs of standard Young tableaux (each having shape blah). The variety  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$  looks something like the Steinberg variety. Maybe there is a similar correspondence, between elements of  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$  and pairs of standard Young tableaux: one having the shape of the Jordan form of X, one having the shape of the Jordan form of X.

#### 1.2 Overview

Section 2 reviews some preliminary material. Section 3 finds the unique (up to similarity) principal  $\mathfrak{sl}_2$ -triple in  $\mathfrak{gl}_n$ . Section 4 embeds this  $\mathfrak{sl}_2$ -triple into  $\mathfrak{gl}_{m+n}$  as described above. We compute the Slodowy slice and end up with a nice description of  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$ .

Section 5 discusses how to reduce the problem of finding the irreducible components of an n-Slodowy-slice Springer fiber at  $X \in \mathcal{N}_m$  to an easier problem. Section 6 solves the easier problem. Section 7 finds the irreducible components of an n-Slodowy-slice Springer fiber. Section 8 applies the results of section 7 to find the irreducible components of  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$ . Section 9 discusses an interesting direction for future work. Finally, section 10 proves some linear algebra lemmas that were used in the paper.

# 1.3 Acknowledgment

TODO. UROP+ program and Haoshuo. MIT (provided funding)?

# 2 Preliminary Definitions and Facts

#### 2.1 Conventions and Notations

We write  $GL_m, \mathfrak{sl}_m$  to denote  $GL_m(\mathbb{C}), \mathfrak{sl}_m(\mathbb{C})$ , and so on. By  $J_m$  we refer to the nilpotent  $m \times m$  Jordan block (which, by convention, has ones below the diagonal). Given a partition  $\lambda = (\lambda_1, ..., \lambda_k)$ , we write  $J(\lambda)$  to denote the block matrix

$$\begin{pmatrix} J_{\lambda_1} & & \\ & \ddots & \\ & & J_{\lambda_k} \end{pmatrix}.$$

A partition is always indexed in nonincreasing order, even if it is defined differently. For example, if  $\mu = (4, 6, 3)$ , then  $\mu_1 = 6$ ,  $\mu_2 = 4$ ,  $\mu_3 = 3$ .

### 2.2 Springer fibers

Let  $\mathfrak{g}$  be a Lie algebra. Let  $\mathcal{N} \subseteq \mathfrak{g}$  be the subset consisting of nilpotent elements. Let  $\mathcal{B}$  be the variety of Borel subalgebras of  $\mathfrak{g}$ . Let  $\widetilde{\mathcal{N}} = \{(\mathfrak{b}, n) : n \in \mathfrak{b}\} \subseteq \mathcal{B} \times \mathcal{N}$ . Let  $\pi : \widetilde{\mathcal{N}} \to \mathcal{N}$  be the projection onto the second coordinate. We call this the *Springer resolution*. For  $n \in \mathcal{N}$ , we call  $\pi^{-1}(n)$  the *Springer fiber at* n.

### 2.3 Springer fibers in $\mathfrak{gl}_m$

Now we let  $\mathcal{N}_m$  be the set of nilpotent elements in  $\mathfrak{gl}_m$ , and  $\mathcal{B}_m$  the variety of Borel subalgebras of  $\mathfrak{gl}_m$ . Let  $H \subseteq \mathfrak{gl}_m$  be the subalgebra of upper triangular matrices. The variety of Borel subalgebras of  $\mathfrak{gl}_m$  is  $\mathcal{B} = \{gHg^{-1} : g \in GL_m\}$ . Thus, the Springer fiber at  $X \in \mathcal{N}$  is

$$\mathcal{F}_X = \{gHg^{-1} : X \in gHg^{-1}\}.$$

**Definition 2.1.** A flag  $(V_i)$  of  $\mathbb{C}^m$  is a sequence of subspaces

$$0 = V_0 \subseteq V_1 \subseteq \cdots \subseteq V_m = \mathbb{C}^m,$$

where dim  $V_i = i$ .

We say that  $X \in \mathfrak{gl}_m$  preserves a flag  $(V_i)$  if  $\forall i. XV_i \subseteq V_i$ . Note that  $X \in \mathcal{N}$  preserves a flag  $(V_i)$  if and only if  $\forall i. XV_i \subseteq V_{i-1}$ .

The simplest flag is the standard flag  $(E_i)$ , where  $E_i := \langle e_1, ..., e_i \rangle$ . Note that the group H is exactly the subset of  $\mathfrak{gl}_m$  which preserves  $E_i$ .

We can think of  $\mathcal{B}$  as the set of flags of  $\mathbb{C}^m$ , via the correspondence

$$gHg^{-1} \leftrightarrow (gE_i)$$
.

Note that X preserves  $(gE_i)$  if and only if  $X \in gHg^{-1}$ . Thus, we may write the Springer fiber at  $X \in \mathcal{N}$  in terms of flags, as

$$S_X = \{(gE_i) : X \in gHg^{-1}\} = \{(V_i) : \forall i. \ XV_i \subseteq V_{i-1}\}.$$

**Theorem 2.2.** (Needs citation!) The irreducible components of the Springer fiber at  $J(\mu)$  are in bijection with the standard Young tableaus of shape  $\mu$ . Further, the irreducible components are equidimensional, of dimension  $\sum_{i < j} \min(\mu_i, \mu_j) = \sum_i (i-1)\mu_i$ .

### 2.4 Slodowy Slices

A basis for  $\mathfrak{sl}_2$  is

$$e' := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, h' := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, f' := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

Given a Lie algebra  $\mathfrak{g}$ , and a homomorphism  $\phi : \mathfrak{sl}_2 \to \mathfrak{g}$  sending (e', h', f') to (e, h, f), we say that (e, h, f) is an  $\mathfrak{sl}_2$ -triple. If  $\mathfrak{g}$  is semisimple, then given any nilpotent  $e \in \mathfrak{g}$ , the Jacobson-Morozov theorem [1, 3.7.1] says that there exist  $h, f \in \mathfrak{g}$  such that (e, h, f) is an  $\mathfrak{sl}_2$ -triple.

Given (e, h, f), we define the *Slodowy slice at* e as  $S_e := e + \ker \operatorname{ad}_f$ . By the Jacobson-Morozov theorem, we can always find a Slodowy slice at any nilpotent  $e \in \mathfrak{g}$ , when  $\mathfrak{g}$  is semisimple.

# 3 Principal $\mathfrak{sl}_2$ -triple in $\mathfrak{gl}_n$

The unique nilpotent regular element of  $\mathfrak{gl}_n$  (up to similarity) is  $J_n$ . In this section we use this to find that there is a unique principal  $\mathfrak{sl}_2$ -triple in  $\mathfrak{gl}_n$ . Write  $e = J_n$ .

**Lemma 3.1.** There is exactly one way to choose  $h, f \in \mathfrak{gl}_n$  so that (e, h, f) is an  $\mathfrak{sl}_2$ -triple.

Proof. Note that [h', e'] = 2e', and [e', f'] = h', and [h', f'] = -2f'. Thus e, h, f must obey the same relations. In particular, he - eh = 2e. The matrix eh is h shifted down one, and he is h shifted left one. Thus,  $2e_{ij} = (he - eh)_{ij} = h_{i,j+1} - h_{i-1,j}$ . We can use this to show that  $h_{ij} = 0$  when  $i \neq j$ . Then we can use it to show that  $h_{ii} = h_{i-1,i-1} + 2$ , so that  $h_{ii} = h_{i1} + 2(i-1)$ .

Similarly, from [e, f] = h we get that  $h_{ij} = (ef - fe)_{ij} = f_{i-1,j} - f_{i,j+1}$ . We can use this to show that  $f_{ij} = 0$  when  $j \neq i+1$ . Then we can use it to show that  $f_{i,i+1} = f_{i+1,i+2} + h_{i+1,i+1}$ , that  $f_{1,2} = -h_{1,1}$ , and that  $f_{n-1,n} = h_{n,n}$ . From the first equation we find that

$$-h_{1,1} = f_{1,2} = f_{n-1,n} + \sum_{i=1}^{n-1} h_{ii} = \sum_{i=1}^{n} h_{ii} \implies$$
$$\sum_{i=1}^{n} h_{ii} = 0.$$

(That is,  $h \in \mathfrak{sl}_n$ .) This shows that  $h_{11} = n - 1$ ,  $h_{22} = n - 3$ , ...,  $h_{nn} = 1 - n$ . So we have determined h; it is

$$h = \begin{pmatrix} n-1 & & & & \\ & n-3 & & & \\ & & \ddots & & \\ & & & 3-n & \\ & & & & 1-n \end{pmatrix}.$$

Now, we can use our expression for f in terms of h to obtain

$$f = \begin{pmatrix} 0 & 1-n & & & & & & & & \\ & 0 & (1-n)+(3-n) & & & & & & & \\ & & & \ddots & & & & & \\ & & & 0 & (1-n)+\dots+(n-1) \\ & & & & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1(1-n) & & & & & \\ & & & 0 & (n-1)(-1) \\ & & & 0 & (n-1)(-1) \\ & & & 0 \end{pmatrix}.$$

# 4 Finding $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$

### 4.1 Finding the Slodowy slice S

In the previous section we computed the principal  $\mathfrak{sl}_2$ -triple (e, h, f) in  $\mathfrak{gl}_n$ . Embedding this into  $\mathfrak{gl}_{m+n}$  as described previously, we obtain

$$(E, H, F) = \left( \begin{pmatrix} 0 & 0 \\ 0 & e \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & h \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & f \end{pmatrix} \right).$$

**Lemma 4.1.**  $\mathfrak{z}_{\mathfrak{gl}_n}(f)$  is the set of upper-triangular  $X \in \mathfrak{gl}_n$  such that for all i, j,

$$X_{i+1,j+1} = \frac{j(n-j)}{i(n-i)} X_{ij}.$$

*Proof.* Let  $X \in \mathfrak{z}_{\mathfrak{gl}_n}(f)$ . Looking at the definition of f from the previous section (and zero-padding the matrices), we see that  $(fX)_{ij} = i(n-i)X_{i+1,j}$ , and  $(Xf)_{ij} = (j-1)(n-(j-1))X_{i,j-1}$ . So,

$$\forall i, j \in \{1, ..., n\}.\ i(n-i)X_{i+1,j} = (j-1)(n-(j-1))X_{i,j-1}.$$

Taking j = 1, the condition above tells us that  $\forall i \geq 2$ .  $X_{i,1} = 0$ . Taking j > 1 and i < n, we obtain that

$$\forall i, j \in \{1, ..., n-1\}. \ X_{i+1,j+1} = \frac{j(n-j)}{i(n-i)} X_{ij}.$$

So, every  $X \in \mathfrak{z}_{\mathfrak{gl}_n}(f)$  is upper triangular and satisfies the condition above. Conversely, it is clear that for such X we have fX = Xf.

#### Lemma 4.2.

$$\mathfrak{z}_{\mathfrak{gl}_{m+n}}(F) = \left\{ \begin{pmatrix} X & & | & | \\ X & & | & | \\ \hline - & a & - & | & Y \end{pmatrix} : X \in \mathfrak{gl}_m; Y \in \mathfrak{z}_{\mathfrak{gl}_n}(f); a, b \in \mathbb{C}^m \right\}.$$

Proof. Let 
$$Z = \begin{pmatrix} Z_{11} & Z_{12} \\ Z_{21} & Z_{22} \end{pmatrix} \in \mathfrak{z}_{m+n}(F)$$
. We have 
$$\begin{pmatrix} 0 & Z_{12}f \\ 0 & Z_{22}f \end{pmatrix} = ZF = FZ = \begin{pmatrix} 0 & 0 \\ fZ_{21} & fZ_{22} \end{pmatrix}.$$

There is no restriction on  $Z_{11}$ . The condition  $Z_{12}f = 0$  means that all but the last column of  $Z_{12}$  must be zero, and the condition  $0 = fZ_{21}$  means that all but the first row of  $Z_{21}$  must be zero. And the condition  $Z_{22}f = fZ_{22}$  means that  $Z_{22} \in \mathfrak{z}_{\mathfrak{gl}_{m+n}}(f)$ .

These lemmas provide an explicit characterization of the Slodowy slice  $S = E + \mathfrak{z}_{\mathfrak{gl}_{m+n}}(F)$ .

#### Corollary 4.3.

$$S = \left\{ \left( \begin{array}{c|c} X & & | \\ \hline X & & b \\ \hline - & a & - \\ \hline \end{array} \right) : a, b \in \mathbb{C}^m, X \in \mathfrak{gl}_m, Y \in \mathfrak{z}_{\mathfrak{gl}_n}(f) \right\}.$$

# 4.2 A description of $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$

Recall that we have the map  $S \to \mathfrak{g}$  given by  $Z \mapsto (p(Z), Z)$ , where  $p: \mathfrak{gl}_{m+n} \to \mathfrak{gl}_m$  is the coordinate projection. We also have the Springer resolution  $\widetilde{\mathcal{N}} \to \mathfrak{g}$ . From these two maps we define the fibered product  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$ .

To obtain an explicit description of  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$ , we will begin by finding the image of the projection  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}} \to S$ . As the image of the Springer resolution is  $\mathcal{N} = \mathcal{N}_m \times \mathcal{N}_{m+n}$ , the image of the projection  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}} \to S$  is simply  $S' = \{Z \in S : p(Z) \in \mathcal{N}_m, Z \in \mathcal{N}_{m+n}\}$ .

#### Lemma 4.4.

$$S' = \left\{ A_{X,a,b} := \begin{pmatrix} X & & & & | \\ X & & & & b \\ & & & & | \\ \hline - & a & - & 0 & & \\ & & 1 & 0 & & \\ & & & \ddots & \ddots & \\ & & & 1 & 0 \end{pmatrix} \in \mathcal{N}_{m+n} : a, b \in \mathbb{C}^m, X \in \mathcal{N}_m \right\}.$$

*Proof.* As every element of  $\mathfrak{z}_{\mathfrak{gl}_n}(f)$  is upper triangular, Corollary 9.10 tells us that if a matrix of the form given in Corollary 4.3 is nilpotent, and the upper-left block X is nilpotent as well, then e+Y must be nilpotent. Then, again using that every element  $Y \in \mathfrak{z}_{\mathfrak{gl}_n}(f)$  is upper triangular, Lemma 9.8 tells us that if e+Y is nilpotent, then Y=0.

Hence every element of S' must simply have e in its bottom-right block. So, every element of S' is of the desired form.

This is not a fully explicit characterization of S', since we don't say which choices of X and  $a, b \in \mathbb{C}^m$  lead to  $A_{X,a,b}$  being nilpotent. We could use Lemma 9.9 to find a necessary and sufficient condition on X, a, b; however, the description above of S' will be good enough for our purposes.

#### Corollary 4.5.

$$S \times_{\mathfrak{g}} \widetilde{\mathcal{N}} = \{((X, A_{X,a,b}), ((X, A_{X,a,b}), \mathfrak{b})) : \mathfrak{b} \in \mathcal{B}, (X, A_{X,a,b}) \in \mathcal{N} \cap \mathfrak{b}\} \cong \{(A_{X,a,b}, \mathfrak{b}) : \mathfrak{b} \in \mathcal{B}, (X, A_{X,a,b}) \in \mathfrak{b} \cap \mathcal{N}\}.$$

We have a map  $\pi: S \times_{\mathfrak{g}} \widetilde{\mathcal{N}} \to \mathcal{N}_m$  given by  $(A_{X,a,b}, \mathfrak{b}) \mapsto X$ . We define the n-Slodowy-slice Springer fiber at  $X \in \mathcal{N}_m$  to be the fiber  $\pi^{-1}(X)$ . Because  $\mathcal{B} = \mathcal{B}_m \times \mathcal{B}_{m+n}$ ,

$$\pi^{-1}(X) \cong \{ (A_{X,a,b}, \mathfrak{b}_{m+n}) : A_{X,a,b} \in \mathfrak{b}_{m+n} \cap \mathcal{N}_{m+n} \} \times \{ \mathfrak{b}_m : X \in \mathfrak{b}_m \}.$$

The right factor of the product is simply the usual Springer fiber at X. Let

$$\mathcal{P}_X = \{ (A_{X,a,b}, (V_i)) : \forall i. \ A_{X,a,b} V_i \subseteq V_{i-1} \}.$$

Using our correspondence between Springer fibers in  $\mathcal{B}_{m+n}$  and flags of  $\mathbb{C}^{m+n}$ , we see that  $\mathcal{P}_X$  is isomorphic to the left factor of  $\pi^{-1}(X)$ . In the next few sections, we will find the irreducible components of  $\mathcal{P}_X$ . Then we will use this, along with the result about the usual Springer fiber at X, to find the irreducible components of  $\pi^{-1}(X)$  and then of  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$ .

# 5 Strategy for finding components of $\mathcal{P}_{J(\lambda)}$

Let  $X = J(\lambda) \in \mathfrak{gl}_m$ , where  $\lambda = (\lambda_1, ..., \lambda_k)$ . In this section we write  $\mathcal{P} := \mathcal{P}_{J(\lambda)}$  and  $A_{a,b} := A_{J(\lambda),a,b}$ . Let  $(e_{ij})_{1 \leq i \leq k, 1 \leq j \leq \lambda_i}$  be the standard basis for  $\mathbb{C}^m$ .

For convenience we write  $e_{ij} := 0$  for j < 1; now we may express the fact that  $(e_{ij})$  is a Jordan basis by writing  $\forall i.Xe_{ij} = e_{i,j-1}$ .

In this section we begin finding the irreducible components of

$$\mathcal{P} = \{ (A_{a,b}, (V_i)) : \forall i. \ A_{a,b} V_{i+1} \subseteq V_i \}.$$

For  $1 \le w \le k$  and  $0 \le r \le \lambda_w$  (note that we allow r = 0), define

$$\mathcal{P}_{w,r} := \{ (A_{a,b}, V) \in \mathcal{P} : \exists P \in GL_m . \exists b'. (P^{-1}, I_n) A_{a,b}(P, I_n) = A_{e_{wr},b'} \}.$$

Lemma 5.1.  $\mathcal{P} = \bigcup_{1 < w < k, 0 < r < \lambda_w} \mathcal{P}_{wr}$ .

*Proof.* For any  $a \in \mathbb{C}^m$ , define  $f_a : \mathbb{C}^{m+n} \to \mathbb{C}$  by  $e_{ij} \mapsto a_{ij}$  and  $f_i \mapsto 0$ . For this proof we take the coordinate-free view of  $A_{a,b}$  as the linear transformation  $\mathbb{C}^{m+n} \to \mathbb{C}^{m+n}$  that sends  $e_{ij}$  to  $e_{i,j-1} + f_a(e_{ij})f_n$ , sends  $f_{i+1}$  to  $f_i$ , and sends  $f_1$  to (b,0).

Let  $(A_{a,b}, (V_i)) \in \mathcal{P}$ . Since X is nilpotent, Theorem 9.7 says that there is a change of basis  $P : \mathbb{C}^m \to \mathbb{C}^m$  such that  $Pe_{ij}$  forms a Jordan basis for X, and for all but one pair (i, j) we have  $f(Pe_{ij}) = 0$ .

Now let  $Q = (P^{-1}, I_n) A_{a,b}(P, I_n)$ . Since  $Pe_{ij}$  is a Jordan basis, we have  $Q = A_{a',b'}$  for some  $a',b' \in \mathbb{C}^m$ . All that is left is to show that a' is of the form  $e_{wr}$  for some w and r. Indeed, this results from the fact that  $f_{a'}(e_{ij}) = f_a(Pe_{ij}) = 0$  for all but one pair (i,j).

**Lemma 5.2.**  $\mathcal{P}_{w_1r_1} = \mathcal{P}_{w_2r_2}$  exactly when either  $r_1 = r_2 = 0$ , or  $(\lambda_{w_1}, r_1) = (\lambda_{w_2}, r_2)$ .

*Proof.* If  $r_1 = r_2 = 0$ , we have  $e_{wr_1} = e_{wr_2} = 0$ , so clearly  $\mathcal{P}_{wr_1} = \mathcal{P}_{wr_2}$ . And if  $(\lambda_{w_1}, r_1) = (\lambda_{w_2}, r_2)$ , then clearly any matrix of the form  $A_{e_{w_1r_1}b'}$  can be transformed to a matrix of the form  $A_{e_{w_2r_2}b'}$  by making the change of basis that swaps  $e_{w_1j}$  with  $e_{w_2j}$ .

Conversely, suppose  $\mathcal{P}_{w_1r_1} = \mathcal{P}_{w_2r_2}$ . Let  $k \geq 0$  be minimal so that  $A_{e_{w_1r_1},0}f_1 \neq 0$ . Obviously  $k = r_1$ . Since  $A_{e_{w_1r_1},0}$  and  $A_{e_{w_2r_2},0}$  differ only by a change of basis of  $\mathbb{C}^m$ , the same reasoning shows that  $k = r_2$ . Hence  $r_1 = r_2$ . Now, if  $r_1 = 0$ , we are done.

Otherwise, we have  $r_1 > 0$ . Let  $k' \geq 0$  be maximal so that  $\exists v \in \mathbb{C}^m$ .  $A_{e_{w_1r_1},0}^{k'}v = A_{e_{w_1r_1},0}f_1$ . Obviously  $k' = \lambda_{w_1} - r_1$ . Clearly k' is independent of  $\mathbb{C}^m$ -basis, so we see that  $\lambda_{w_1} - r_1 = k' = \lambda_{w_2} - r_2$ .

**Lemma 5.3.** When  $\mathcal{P}_{w_1r_1} \neq \mathcal{P}_{w_2r_2}$ , we have  $\mathcal{P}_{w_1r_1} \cap \mathcal{P}_{w_2r_2} = \emptyset$ .

*Proof.* It suffices to remark that  $\{(x,y): \exists w, r.\ x,y \in \mathcal{P}_{wr}\}$  is an equivalence relation. Reflexivity is the statement of Lemma 5.1, and associativity and transitivity are obvious from the definition of  $\mathcal{P}_{wr}$ .

Now, fix any w and r. We will find the irreducible components of  $\mathcal{P}_{w,r}$ . These will all happen to be equidimensional (with dimensions independent of w and r), so their closures in  $\mathcal{P}$  will be the irreducible components of  $\mathcal{P}$ .

Let

$$G := \{ P \in GL_m : P^{-1}XP = X \},$$

and

$$G_{wr} = \{ A \in G : e_{wr}A = e_{wr} \}.$$

Now, define

$$\mathcal{Q}_{wr} = \{ (A_{e_{wr},b}, (V_i)) \in \mathcal{P}_{wr} \}.$$

Let G act on  $\mathcal{P}_{wr}$  by

$$P \cdot (A_{ewr,b}, (V_i)) := ((P, I_n)A_{ewr,b}(P, I_n)^{-1}, (P, I_n)(V_i)) = (A_{ewr,P^{-1},Pb}, (P, I_n)(V_i)).$$

Note that for any  $x \in \mathcal{Q}_{wr}$ , we have  $G_{wr} = \{g \in G : g \cdot x \in \mathcal{Q}_{wr}\}$ . So, by restriction of G to  $G_{wr}$  and  $\mathcal{P}_{wr}$  to  $\mathcal{Q}_{wr}$ , we obtain an action of  $G_{wr}$  on  $\mathcal{Q}_{wr}$ .

Consider the map  $\varphi: \mathcal{Q}_{wr} \times G \to \mathcal{P}_{wr}$  defined by

$$(x,P) \mapsto P \cdot x.$$

Then, letting  $G_{wr}$  act on G by  $g \cdot h := hg^{-1}$ , we obtain an action of  $G_{wr}$  on  $\mathcal{Q}_{wr} \times G$ .

**Lemma 5.4.** The map  $\varphi$  is a principal  $G_{wr}$ -bundle.

*Proof.* We need to show that  $G_{wr}$  acts freely and transitively on the fibers of  $\varphi$ . It is obvious that  $G_{wr}$  acts freely on  $\mathcal{Q}_{wr} \times G$ ; it is enough to note that it acts freely on G.

Let  $y \in \mathcal{P}_{wr}$ . By definition of  $\mathcal{P}_{wr}$ , there is  $P_y \in G$  with  $P_y \cdot y \in \mathcal{Q}_{wr}$ . We have

$$\varphi^{-1}(y) = \{(x, P) : P \cdot x = y\} = \{(P^{-1}y, P) : P^{-1}y \in \mathcal{Q}_{wr}\} = \{((P^{-1}P_y^{-1}) \cdot (P_y \cdot y), P) : (P^{-1}P_y^{-1}) \cdot (P_y \cdot y) \in \mathcal{Q}_{wr}\}.$$

Using that  $G_{wr} = \{g \in G : g \cdot (P_y \cdot y) = P_y \cdot y\}$ , the expression above becomes

$$\{((P^{-1}P_y^{-1})\cdot (P_y\cdot y),P):(P^{-1}P_y^{-1})\in G_{wr}\}.$$

Setting  $Q := P^{-1}P_y^{-1}$ , so that  $P = P_y^{-1}Q^{-1}$ , the above becomes

$$\{(Q \cdot (P_y \cdot y), P_y^{-1} Q^{-1}) : Q \in G_{wr}\} = \{Q \cdot (P_y \cdot y, P_y^{-1}) : Q \in G_{wr}\}.$$

Thus the fibers are exactly the  $G_{wr}$ -orbits; or in other words,  $G_{wr}$  acts transitively on the fibers, as desired.

Our strategy is to find the irreducible components  $X \subseteq \mathcal{Q}_{wr}$ , and we will then argue that the irreducible components of  $\mathcal{P}_{wr}$  are of the form  $\varphi(X \times G)$ . So, we will now find the irreducible components of  $\mathcal{Q}_{wr}$ .

Actually  $\mathcal{Q}_{wr}$  might be unnecessarily difficult to think about; it is easiest in the case r=0. So, we will change basis to make r=0. Let m'=m-r, and n'=n+r. Let  $\lambda'=(\lambda_1,...,\lambda_{w-1},\lambda_w-r,\lambda_{w+1},...,\lambda_k)$ . Let  $X'=J(\lambda)$ . (TODO: explain that A' is like A, but with m',n' taking the place of m,n.) Let  $\mathcal{Q}'=\{(A'_{X',0,b},(V_i)): \forall i.\ A_{X',0,b}V_{i+1}\subseteq V_i\}$ .

#### Lemma 5.5. $Q_{wr}\cong \mathcal{Q}'$

*Proof.* Let  $e'_{ij}$  be the standard Jordan basis for X'. Let  $f'_1, ..., f'_{n'}$  be a basis for  $\mathbb{C}^n$ , with  $A_{X',0,b}f'_{i+1} = f'_i$ , and  $A_{X',0,b}f_1 = (b,0)$ . Set m' := m-r, and n' := n+r. Define the linear map  $Q_{wr}: \mathbb{C}^{m'+n'} \to \mathbb{C}^{m+n}$  by:

- For all  $i, e'_{ij} \mapsto e_{ij}$ .
- For  $j = 1, ..., r, f'_{n+j} \mapsto e_{w,(\lambda_w r) + j}$ .
- For  $j = 1, ..., n, f'_j \mapsto f_j + e_{w,(\lambda_w r) n + j}$ .

Change of basis by  $Q_{wr}$  maps  $Q_{wr}$  to Q', and conjugation by  $Q_{wr}^{-1}$  maps Q' to  $Q_{wr}$ .

The next section finds the irreducible components of  $\mathcal{Q}'$ . To avoid death by primes, we will refer to it as  $\mathcal{Q}$ , and refer to m', n' as m, n, and so on, throughout the next section.

# 6 The components of Q

### 6.1 Setup

Let  $X = J(\lambda) \in \mathfrak{gl}_m$ . Let  $(e_{ij})_{1 \leq i \leq k, 1 \leq j \leq \lambda_i}$  be the standard (Jordan) basis for  $\mathbb{C}^m$ . Let

$$Q = \{ (A_{0,b}, (V_i)) : \forall i. \ A_{0,b} V_{i+1} \subseteq V_i \}.$$

In this section we find the irreducible components of Q.

We write  $b_{ij}$  to denote the projection of  $b \in \mathbb{C}^m$  onto  $e_{ij}$ . For each row i, let  $p_i(b) = \max\{j : b_{ij} \neq 0\}$  (the maximum of the empty set is zero). Then set  $q_i(b) = \lambda_i - p_i(b)$ . When it is clear enough from context where the b is coming from, we will just write  $p_i$  and  $q_i$  instead of  $p_i(b)$  and  $q_i(b)$ .

Let  $I = \{i_1 < \cdots < i_r\} \subseteq \{1, ..., k\}$ , and let  $(\rho_i)_{i \in I}$  be any map  $I \to \mathbb{N}_{>0}$  such that (1)  $\rho_i \leq \lambda_i$ , (2)  $\rho_i$  is decreasing with i, (3)  $\lambda_i - \rho_i$  is decreasing with i, and (4)  $\rho_i < n$ . For notational convenience (although we assign meaning to neither  $i_0$  nor  $i_{r+1}$ ), we define  $q_{i_0} := n$ , and  $p_{i_{r+1}} := 0$ . Then, we define  $B_{I,(\rho_i)}$  as the set of  $b \in \mathbb{C}^m$  satisfying the following conditions.

- For all  $k \in \{1, ..., r\}, p_i = \rho_i$ .
- For all  $k \in \{0, ..., r\}$ ,  $p_{i_{k+1}} = \max_{i: q_i < q_{i_k}} p_i$ .

Note that for any  $b \in B_{I,(\rho_i)}$  we have  $p_{i_1} > \cdots > p_{i_r} > p_{i_{r+1}}$ , and also  $q_{i_0} > q_{i_1} > \cdots > q_{i_r}$ .

**Lemma 6.1.**  $\mathbb{C}^m = \bigcup_{I,(\rho_i)} B_{I,(\rho_i)}$ , where I ranges over all subsets of  $\{1,...,k\}$ , and  $(\rho_i)$  ranges over all maps  $I \to \mathbb{N}_{>0}$  satisfying the conditions (1),(2),(3),(4). Further, none of the  $B_{I,(\rho_i)}$  is contained in the union of the others.

Proof. Let  $b \in \mathbb{C}^m$ . If  $\{i: q_{i_0} > q_i\}$  is the empty set, then stop. Otherwise, take any  $i_1 \in \arg\max_{i:q_{i_0} > q_i} p_i$ , and set  $\rho_{i_1} := p_{i_1}$ . If  $\{i: q_{i_1} > q_i\} = \emptyset$ , then stop. Otherwise, take any  $i_2 \in \arg\max_{i:q_{i_1} > q_i} p_i$ , and set  $\rho_{i_2} := p_{i_2}$ . Continuing on in this way, eventually we reach a k where  $\{i: q_{i_k} > q_i\} = \emptyset$ . Then we set  $I = \{i_1, ..., i_k\}$ . Note that  $I, (\rho_i)$  satisfy conditions (1)–(4), and furthermore  $b \in B_{I,(\rho_i)}$ .

Now, we check that no  $B_{I,(\rho_i)}$  is contained in the union of the others. Fix I and  $(\rho_i)$ . Take any  $b \in B_{I,(\rho_i)}$  with  $p_i = \rho_i$  for  $i \in I$  and  $p_i = 0$  for  $i \notin I$ . It is clear that  $b \notin B_{I',(\rho'_i)}$  whenever  $I' \neq I$  or  $(\rho'_i) \neq (\rho_i)$ .

Let  $\mathcal{Q}_{I,(\rho_i)} := \{(A_{0,b},(V_i)) \in \mathcal{Q} : b \in B_{I,(\rho_i)}\}$ . We will show that  $\mathcal{Q}_{I,(\rho_i)} \cong B_{I,(\rho_i)} \times \text{(some springer fiber)}$ . Then we will use the result about the irreducible components of a Springer fiber to find the irreducible components of  $\mathcal{Q}_{I,(\rho_i)}$ , and the closures of these will be the irreducible components of  $\mathcal{Q}$ .

## **6.2** Study of $B_{I,(\rho_i)}$

Fix any I and  $(\rho_i)$  satisfying the conditions (1)–(4) of Lemma 6.1. As before, we write  $\{i_1 < \cdots < i_r\} := I$ , and  $q_{i_0} := n$ , and  $p_{i_{r+1}} := 0$ .

First, we provide an alternative characterization of  $B_{I,(\rho_i)}$ .

**Lemma 6.2.**  $B_{I,(\rho_i)}$  is the set of  $b \in \mathbb{C}^m$  satisfying the following conditions.

- For all  $k \in \{1, ..., r\}$ ,  $p_{i_k} = \rho_{i_k}$ .
- For all  $i \notin I$ ,
  - For all  $k \in \{0, ..., r\}$  such that  $\lambda_i > q_{i_k} + p_{i_{k+1}}$ , we have  $q_{i_k} \leq q_i$ .
  - For all  $k \in \{1, ..., r+1\}$  such that  $\lambda_i \leq q_{i_{k-1}} + p_{i_k}$ , we have  $p_i \leq p_{i_k}$ .

*Proof.* First we show that every element of  $B_{I,(\rho_i)}$  satisfies those conditions. Let  $b \in B_{I,(\rho_i)}$ . It is clear that  $\forall k. \ p_{i_k} = \rho_{i_k}$ .

Take  $i \notin I$  and  $k \in \{0, ..., r\}$  such that  $\lambda_i > q_{i_k} + p_{i_{k+1}}$ . Suppose for contradiction that  $q_i < q_{i_k}$ . Then  $p_i \leq \max_{j:q_j < q_{i_k}} p_j = p_{i_{k+1}}$ . Then  $\lambda_i = p_i + q_i < q_{i_k} + p_{i_{k+1}}$ , a contradiction. So we must have  $q_{i_k} \leq q_i$ , as desired.

Now take  $i \notin I$  and  $k \in \{1, ..., r+1\}$  such that  $\lambda_i \leq q_{i_{k-1}} + p_{i_k}$ . Suppose for contradiction that  $p_i > p_{i_k}$ . Then, putting this together with the first inequality,  $\lambda_i - p_i < q_{i_{k-1}} + p_{i_k} - p_{i_k}$ ; that is,  $q_i < q_{i_{k-1}}$ . Consequently,  $p_i \leq \max_{j:q_j < q_{i_{k-1}}} p_j = p_{i_k}$ , as desired.

Now we have shown that every element of  $B_{I,(\rho_i)}$  satisfies the conditions of the lemma, and we proceed to the converse. Let  $b \in \mathbb{C}^m$  satisfy the conditions. Let  $k \in \{0, ..., r\}$ . We need to show that  $\max_{j:q_j < q_{i_k}} p_j = p_{i_{k+1}}$ . Given the conditions (1)–(4) on  $\rho_i$ , it suffices to show that for each  $i \notin I$  with  $q_i < q_{i_k}$ , we have  $p_i \leq p_{i_{k+1}}$ . Indeed, given  $i \notin I$  with  $q_i < q_{i_k}$ , we cannot have  $\lambda_i > q_{i_k} + p_{i_{k+1}}$ , as that would imply (by hypothesis) that  $q_{i_k} \leq q_i$ . Hence  $\lambda_i \leq q_{i_{k-1}} + p_{i_k}$ , and consequently (by hypothesis)  $p_i \leq p_{i_k}$ .

Corollary 6.3.  $B_{I,(\rho_i)}$  is the set of  $b \in \mathbb{C}^m$  satisfying the following conditions.

• For all  $k \in \{1, ..., r\}$ ,  $p_{i_k} = \rho_{i_k}$ .

• For  $i \notin I$ ,

- If 
$$\lambda_i \geq q_{i_0} + p_{i_1}$$
, then  $p_i \leq \lambda_i - q_{i_0}$ .

- If there is 
$$k \in \{1, ..., r\}$$
 with  $q_{i_{k-1}} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}$ , then  $p_i \le \min(p_{i_k}, \lambda_i - q_{i_k})$ .

- If 
$$q_{i_r} + p_{i_{r+1}} > \lambda_i$$
, then  $p_i \le p_{i_{r+1}}$ .

*Proof.* Both  $q_{i_k}$  and  $p_{i_k}$  are decreasing as k increases, so this follows directly from Lemma 6.2. (Note that  $p_i \leq \lambda_i - q_{i_k}$  iff  $q_{i_k} \leq q_i$ .)

#### Corollary 6.4.

$$B_{I,(\rho_i)} \cong \prod_{k=1}^r (\mathbb{C}^{\rho_{i_k}-1} \times (\mathbb{C} \setminus \{0\})) \times \prod_{i:\lambda_i \geq q_{i_0} + p_{i_1}} \mathbb{C}^{\lambda_i - q_{i_0}} \times \prod_{k=1}^r \prod_{\{i \notin I: q_{i_{k-1}} + p_{i_k} > \lambda_i \geq q_{i_k} + p_{i_{k+1}}\}} \mathbb{C}^{\min(p_{i_k}, \lambda_i - q_{i_k})}.$$

*Proof.* Here we use the notation  $x \times y = (x, y)$ , and so on. The isomorphism sends  $b \in B_{I,(\rho_i)}$  to

$$\prod_{k=1}^{r} (b_{i_{k}1}, ..., b_{i_{k}\rho_{i_{k}}}) \times \prod_{i:\lambda_{i} \geq q_{i_{0}} + p_{i_{1}}} (b_{i_{1}}, ..., b_{i,\lambda_{i} - q_{i_{0}}}) \times$$

$$\prod_{k=1}^{r} \prod_{\{i \notin I: q_{i_{k-1}} + p_{i_{k}} > \lambda_{i} \ge q_{i_{k}} + p_{i_{k+1}}\}} (b_{i1}, ..., b_{i, \min(p_{i_{k}}, \lambda_{i} - q_{i_{k}})}).$$

Corollary 6.3 says that this is an isomorphism.

#### Corollary 6.5.

$$\dim B_{I,(\rho_i)} = \sum_{i:\lambda_i \geq q_{i_0} + \rho_{i_1}} (\lambda_i - q_{i_0}) + \sum_{k=1}^r \sum_{\{i:q_{i_{k-1}} + p_{i_k} > \lambda_i \geq q_{i_k} + p_{i_{k+1}}\}} \min(p_{i_k}, \lambda_i - q_{i_k}).$$

*Proof.* Immediate from Corollary 6.4.

# 6.3 Study of $Q_{I,(\rho_i)}$

Fix any I and  $(\rho_i)$  satisfying the conditions (1)–(4) of Lemma 6.1. In this subsection we find the irreducible components of  $\mathcal{Q}_{I,(\rho_i)}$ .

We claim that there is some  $\mu(I,(\rho_i))$  such that every  $(A_{0,b},U) \in \mathcal{Q}_{I,(\rho_i)}$  is similar to  $J(\mu)$ . By finding a algebraic map taking  $b \in B_{I,(\rho_i)}$  to a Jordan basis for  $A_{0,b}$ , we will put  $\mathcal{Q}_{I,(\rho_i)}$  in isomorphism with the product  $B_{I,(\rho_i)} \times (Springerfiberat J(\mu))$ . Then we will use our result about the usual Springer fiber at  $J(\mu)$  to find the irreducible components of  $\mathcal{Q}_{I,(\rho_i)}$ .

So, now we find a Jordan basis for  $A_{0,b}$ . As before, we write  $\{i_1, ..., i_r\} := I$ , and  $q_{i_0} := n$ , and  $p_{i_{r+1}} := 0$ . We also write, somewhat abusively,  $b \in \mathbb{C}^{m+n}$  to refer to the vector  $(b, 0) \in \mathbb{C}^{m+n}$ .

**Lemma 6.6.** The following vectors give a Jordan basis for  $A_{0,b}$ . (For convenience, we write  $A := A_{0,b}$  in this lemma and proof.)

- For  $i \notin I$ , the chain of length  $p_i + q_i$  beginning with  $e_{i,p_i+q_i}$
- The chain of length  $n + p_{i_1}$  beginning with  $f_n (A^{n+p_{i_1}} f_n >> n + p_{i_1})$
- For  $k \in \{1, ..., r\}$ , the chain of length  $q_{i_k} + p_{i_{k+1}}$  beginning with  $v_{i_k} (A^{q_{i_k} + p_{i_{k+1}}} v_{i_k} >> q_{i_k} + p_{i_{k+1}})$ , where  $v_{i_k} := A^{n q_{i_k}} f_n \sum_{l=1}^{p_{i_k}} b_{i_k l} e_{i, l + q_{i_k}}$

*Proof.* There are three things to check: (1) the chains are no longer than their claimed lengths, (2) the claimed lengths sum to m + n, and (3) the span of the chains is  $\mathbb{C}^{m+n}$ .

Proof of (1). It is obvious that a chain beginning with  $e_{i,p_i+q_i}$  has length  $p_i + q_i$ .

Now consider the chain beginning with  $f_n - (A^{n+p_{i_1}} f_n >> n + p_{i_1})$ . Note that  $A^n f_n = b$ , so  $A^{n+p_{i_1}} f_n = A^{p_{i_1}} b = b << p_{i_1}$ . By shifting b left  $p_{i_1}$  times, we zero out all the rows i where  $q_i < n$ . This ensures that the operation of shifting  $b << p_{i_1}$  right  $n + p_{i_1}$  times is invertible by shifting left  $n + p_{i_1}$  times. That is,

$$A^{n+p_{i_1}} f_n = b << p_{i_1} = ((b << p_{i_1}) >> n + p_{i_1}) << n + p_{i_1} = A^{n+p_{i_1}} (A^{n+p_{i_1}} f_n >> n + p_{i_1}).$$

This shows that the chain has length at most  $n + p_{i_1}$ , as desired.

Now, let  $k \in \{1, ..., r\}$ . We have  $v_{i_k} = A^{n-q_{i_k}} f_n - \sum_{l=1}^{p_{i_k}} b_{i_k l} e_{i_k, l+q_{i_k}}$ . First we note that  $q_{i_k} < n$  by the definition of  $\mathcal{Q}_{I,(\rho_i)}$ , so the definition of  $v_{i_k}$  makes sense. We consider the chain beginning with  $v_{i_k} - (A^{q_{i_k} + p_{i_{k+1}}} v_{i_k} >> q_{i_k} + p_{i_{k+1}})$ . Note that  $A^{q_{i_k}} v_{i_k}$  is just b with row  $i_k$  zeroed out. For brevity, we write  $b_{i_k} := A^{q_{i_k}} v_{i_k}$ . Note that  $b_{i_k} << p_{i_{k+1}}$  has rows l zeroed out, for all l with  $q_l < q_{i_k}$ . This ensures that shifting  $b_{i_k} << p_{i_{k+1}}$  right  $q_{i_k} + p_{i_{k+1}}$  times can be inverted by shifting left  $q_{i_k} + p_{i_{k+1}}$  times. That is,

$$\begin{split} A^{q_{i_k}+p_{i_{k+1}}}v_{i_k} &= \\ b_{i_k} &<< p_{i_{k+1}} = \\ ((b_{i_k} &<< p_{i_{k+1}}) >> q_{i_k} + p_{i_{k+1}}) << q_{i_k} + p_{i_{k+1}} = \\ A^{q_{i_k}+p_{i_{k+1}}} (A^{q_{i_k}+p_{i_{k+1}}}v_{i_k} >> q_{i_k} + p_{i_{k+1}}). \end{split}$$

This shows that the chain has length at most  $q_{i_k} + p_{i_{k+1}}$ , as desired.

Proof of (2). The sum of the lengths is

$$\sum_{i \notin I} (p_i + q_i) + \sum_{k=0}^r (q_{i_k} + p_{i_{k+1}}) = \sum_i (q_i + p_i) = m + n.$$

Proof of (3). Let W be the span of the chains listed. We need to show that  $W = \mathbb{C}^{m+n}$ . Because every  $i \in I$  satisfies  $q_i < n$ , clearly  $\langle e_{ij} \rangle_{i,j:q_i > n} \subseteq W$ .

We claim that  $f_n \in W$  as well. To see this, consider the chain beginning with  $f_n - (A^{n+p_{i_1}} f_n >> n + p_{i_1})$ . As explained in the proof of (1), we have  $A^{n+p_{i_1}} f_n \in \langle e_{ij} \rangle_{i,j:q_i \geq n}$ . Consequently,  $(A^{n+p_{i_1}} f_n >> n + p_{i_1}) \in \langle e_{ij} \rangle_{i,j:q_i \geq n} \subseteq W$ . Because  $f_n - (A^{n+p_{i_1}} f_n >> n + p_{i_1}) \in W$ , this implies that  $f_n \in W$ .

Because  $AW \subseteq W$  (obvious, since W is a span of chains), the fact that  $f_n \in W$  implies that  $f_i \in W$  for each i, and also  $b \lt \lt l \in W$  for each  $l \ge 0$ .

Now we are left with showing that  $\langle e_{ij} \rangle_{i,j:q_i < n} \subseteq W$ . It suffices to show that  $e_{i,\lambda_i} \in W$  for each i with  $q_i < n$ . This is obvious for  $i \notin I$ . So, we just need to show that  $e_{i_k\lambda_{i_k}} \in W$  for each  $k \in \{1, ..., r\}$ . We do this inductively; fix k, and suppose we have already shown that  $e_{i_k',\lambda_{i_{k'}}} \in W$  for k' < k. We will show that  $e_{i_k,\lambda_{i_k}} \in W$ .

To see this, consider the chain beginning with  $v_{i_k} - (A^{q_{i_k} + p_{i_{k+1}}} v_{i_k} >> q_{i_k} + p_{i_{k+1}})$ . (Recall  $v_{i_k} = A^{n-q_{i_k}} f_n - \sum_{l=1}^{p_{i_k}} b_{i_k l} e_{i_k, l+q_{i_k}}$ .) Since  $b_{i_k, p_{i_k}} \neq 0$ 

(by definition of  $p_{i_k}$ ), it suffices to show that  $\sum_{l=1}^{p_{i_k}} b_{i_k l} e_{i_k, l+q_{i_k}} \in W$ . As explained in the proof of (1), we have  $A^{q_{i_k}+p_{i_{k+1}}} v_{i_k} \in \langle e_{lj} \rangle_{q_l \geq q_{i_k}}$ . And since  $A^{q_{i_k}+p_{i_{k+1}}} v_{i_k}$  has row  $i_k$  zeroed out, in fact  $A^{q_{i_k}+p_{i_{k+1}}} v_{i_k} \in \langle e_{lj} \rangle_{l:l \neq i_k \wedge q_l \geq q_{i_k}}$ . Hence,  $A^{q_i+P_i} v_i >> q_i + P_i \in \langle e_{lj} \rangle_{l:l \neq i \wedge q_l \geq q_i}$ . By our inductive hypothesis,  $\langle e_{lj} \rangle_{l:l \neq i_k \wedge q_l \geq q_{i_k}} \subseteq W$ , and consequently  $A^{q_{i_k}+p_{i_{k+1}}} v_{i_k} >> q_{i_k}+p_{i_{k+1}} \in W$ . Since we know  $v_{i_k} - (A^{q_{i_k}+p_{i_{k+1}}} v_{i_k} >> q_{i_k}+p_{i_{k+1}}) \in W$ , this implies that  $v_{i_k} \in W$ . Because  $A^{n-q_{i_k}} f_n \in W$ , this then implies that  $\sum_{l=1}^{p_{i_k}} b_{i_k l} e_{i_k, l+q_{i_k}} \in W$ , as desired.

We proved 
$$(1)$$
,  $(2)$ ,  $(3)$ , so we are done.

Let  $\mu(I,(\rho_i))$  be the shape of the Jordan basis given in the lemma. Let  $X_{\mu}$  be the Springer fiber at  $J(\mu)$ , and let  $(X_{\mu,\alpha})_{\alpha \in \text{SYT}(\mu)}$  be the irreducible components.

Given a zero-indexed list  $L = [L_0, ..., L_{l-1}]$ , we define  $\gamma(L) = \sum_i iL_i$ . We are interested in this thing because for any  $\alpha$ , the dimension of  $X_{\mu,\alpha}$  is  $\gamma([\mu_1, ..., \mu_{k+1}])$ .

#### Lemma 6.7.

$$\gamma([\mu_1,...,\mu_{k+1}]) = \gamma([0,\lambda_1,...,\lambda_k]) -$$

$$\left[ \sum_{i: \lambda_i \geq q_{i_0} + \rho_{i_1}} (\lambda_i - q_{i_0}) + \sum_{k=1}^r \sum_{\{i: q_{i_{k-1}} + p_{i_k} > \lambda_i \geq q_{i_k} + p_{i_{k+1}}\}} \min(p_{i_k}, \lambda_i - q_{i_k}) \right].$$

*Proof.* Let  $L = [q_{i_0}, \lambda_1, ..., \lambda_k]$ . Note that

$$\mu = [..., q_{i_0} + p_{i_1}, ..., q_{i_1} + p_{i_2}, ..., ..., q_{i_r} + p_{i_{r+1}}, ...].$$

Let L' be the result of taking  $\mu$  and, for each x, replacing one occurrence of  $q_{i_x} + p_{i_{x+1}}$  by  $q_{i_x} + p_{i_x}$ ; that is,

$$L' = [..., q_{i_0}, ..., q_{i_1} + p_{i_1}, ..., q_{i_2} + p_{i_2}, ..., q_{i_r} + p_{i_r}].$$

We can transform  $\mu$  into L' by just 'moving' each  $p_{i_k}$  to the right by  $1+\#\{i \notin I: q_{i_{k-1}}+p_{i_k}>\lambda_i\geq q_{i_k}+p_{i_{k+1}}\}$  slots. So,

$$\gamma(L') - \gamma(\mu) = \sum_{k=1}^{r} p_{i_k} (1 + \#\{i \notin I : q_{i_{k-1}} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}\}) =$$

$$\sum_{k=1}^{r} p_{i_k} \cdot \#\{i : q_{i_{k-1}} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}\}.$$

Now we consider how to transform L' into L. First we shift  $q_{i_0}$  to the left by  $\#\{i \notin I : \lambda_i \geq q_{i_0} + p_{i_1}\}$  slots. Then we leave  $q_{i_0}$  in place and sort the rest of the list. This entails shifting each  $q_{i_x} + p_{i_x}$  to the left by  $\#\{i \notin I : q_{i_x} + p_{i_x} > \lambda_i \geq q_{i_x} + p_{i_{x+1}}\}$  slots. Shifting  $q_{i_x} + p_{i_x}$  to the left one slot, by swapping it with  $\lambda_i$ , changes the value of  $\gamma$  by  $\lambda_i - (q_{i_x} + p_{i_x})$ . To go from L' to L, we can just make these swaps repeatedly. So,

$$\gamma(L) - \gamma(L') = \sum_{i \notin I: \lambda_i \ge q_{i_0} + p_{i_1}} (\lambda_i - q_{i_0}) + \sum_{k=1}^s \sum_{\{i \notin I: q_{i_k} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}\}} (\lambda_i - (q_{i_k} + p_{i_k})).$$

Now, we put these two results together to get  $\gamma(L) - \gamma(\mu)$ .

$$\gamma(L) - \gamma(\mu) = \frac{\gamma(L) - \gamma(\mu) - \gamma(\mu)}{[\gamma(L) - \gamma(L')] + [\gamma(L') - \gamma(\mu)]} = \frac{[\gamma(L) - \gamma(L')] + [\gamma(L') - \gamma(\mu)]}{[\sum_{i:\lambda_i \ge q_{i_0} + p_{i_1}} (\lambda_i - q_{i_0}) + \sum_{k=1}^s \sum_{\{i:q_{i_k} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}\}} (\lambda_i - (q_{i_k} + p_{i_k}))] + \frac{\sum_{k=1}^s \sum_{\{i:q_{i_{k-1}} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}\}} p_{i_k}}{[\sum_{k=1}^s \sum_{i:\lambda_i \ge q_{i_0} + p_{i_1}} (\lambda_i - q_{i_0}) + \sum_{k=1}^s \sum_{i:q_{i_k} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}} p_{i_k} + \sum_{i:\lambda_i \ge q_{i_0} + p_{i_1}} (\lambda_i - q_{i_0}) + \sum_{k=1}^s \sum_{\{i:q_{i_{k-1}} + p_{i_k} > \lambda_i \ge q_{i_k} + p_{i_{k+1}}\}} \min(p_{i_k}, \lambda_i - q_{i_k}).$$

Since  $\gamma(L) = \gamma([0, \lambda_1, ..., \lambda_k])$ , the equation above implies the desired result.

Lemma 6.8.  $Q_{I,(\rho_i)} \cong B_{I,(\rho_i)} \times X_{\mu}$ .

*Proof.* For  $b \in B_{I,(\rho_i)}$ , let  $P_b$  be the change-of-basis matrix, with columns given by the Jordan basis of the previous lemma, so that  $J(\mu) = P_b^{-1} A_{0,b} P_b$ . From looking at the Jordan basis, it is clear that the map  $P: B_{I,(\rho_i)} \to GL_{m+n}$ , given by  $b \mapsto P_b$ , is algebraic.

Now, we remark that the Springer fiber at  $A_{0,b}$  is simply  $\{P_b(V_i): (V_i) \in X_{\mu}\}$ . This gives the isomorphism  $B_{I,(\rho_i)} \times X_{\mu} \to \mathcal{Q}_{I,(\rho_i)}$  defined by

$$(b, (V_i)) \mapsto (P_b J(\mu) P_b^{-1}, P_b(V_i)),$$

with inverse

$$(A_{0,b}, (V_i)) \mapsto (b, P_b^{-1}(V_i)).$$

Corollary 6.9. For  $\alpha \in SYT(\mu)$ , let  $\mathcal{Q}_{I,(\rho_i),\alpha}$  be the subvariety of  $\mathcal{Q}_{I,(\rho_i)}$  which corresponds to  $B_{I,(\rho_i)} \times X_{\mu,\alpha}$  via the isomorphism of Lemma 6.8. The subvarieties  $(\mathcal{Q}_{I,(\rho_i),\alpha})_{\alpha \in SYT(\mu)}$  are the irreducible components of  $\mathcal{Q}_{I,(\rho_i)}$ . Each has dimension  $\gamma([0, \lambda_1, ..., \lambda_k])$ .

*Proof.* We know that  $B_{I,(\rho_i)}$  is irreducible by Corollary 6.4. Then, the fact that the  $B_{I,(\rho_i)} \times X_{\mu,\alpha}$  are the irreducible components of  $B_{I,(\rho_i)} \times X_{\mu}$  just follows from the fact that the  $X_{\mu,\alpha}$  are the irreducible components of  $X_{\mu}$ .

To get the dimension, we add the dimension of  $B_{I,(\rho_i)}$  to the dimension of  $X_{\mu,\alpha}$ . We get the dimension of  $B_{I,(\rho_i)}$  from Corollary 6.5, and we get the dimension of  $X_{\mu,\alpha}$  from Lemma 6.7. Adding them together, things cancel out and we get  $\gamma([0, \lambda_1, ..., \lambda_k])$ .

#### 6.4 Conclusion

**Theorem 6.10.** The irreducible components of Q are the closures of the subvarieties  $Q_{I,(\rho_i),\alpha}$ , as we let  $I,(\rho_i)$  range over all possibilities satisfying the conditions (1)–(4) of Lemma 6.1, and we let  $\alpha \in \text{SYT}(\mu(I,(\rho_i)))$ .

*Proof.* By Corollary 6.9, the  $Q_{I,(\rho_i),\alpha}$  are irreducible and equidimensional. Then Lemma 6.1 says that their union is Q, and in addition that none is contained in the union of the others.

# 7 The components of $\mathcal{P}_{J(\lambda)}$

As in section 5, we write  $X = J(\lambda) \in \mathfrak{gl}_m$ , where  $\lambda = (\lambda_1, ..., \lambda_k)$ . We write  $\mathcal{P} := \mathcal{P}_{J(\lambda)}$ , and  $A_{a,b} := A_{J(\lambda),a,b}$ . And as before,  $(e_{ij})_{ij}$  and  $(f_j)_j$  form the standard basis for  $\mathbb{C}^{m+n}$ .

### 7.1 The components of $Q_{wr}$

Recall from section 5 the varieties

$$\mathcal{Q}_{wr} = \{ (A_{e_{wr},b}, (V_i)) \in \mathcal{P} \}.$$

Fix any w, r. Lemma 5.5 tells us that

$$Q_{wr} \cong Q' := \{ (A'_{X',0,b}, (V_i)) : \forall i. \ A'_{X',0,b} V_{i+1} \subseteq V_i \},$$

where  $X' = J(\lambda')$ , and  $\lambda' = (\lambda_1, ..., \lambda_w - r, ..., \lambda_k)$ , and m' = m - r, and n' = n + r.

Let  $(\mathcal{Q}'_{I,(\rho_i),\alpha})_{I,(\rho_i),\alpha}$  be the irreducible components of  $\mathcal{Q}'$  give by Theorem 6.10. Write  $\mathcal{Q}_{w,r,I,(\rho_i),\alpha}$  to denote the irreducible component of  $\mathcal{Q}_{wr}$  corresponding to  $\mathcal{Q}'_{I,(\rho_i),\alpha}$  via the isomorphism  $\mathcal{Q}_{wr} \cong \mathcal{Q}'$  of Lemma 5.5.

**Theorem 7.1.** The irreducible components of  $Q_{wr}$  are the subvarieties  $Q_{w,r,I,(\rho_i),\alpha}$ . Each has dimension  $\sum_{i\leq j} \min(\lambda'_i,\lambda'_j)$ , where  $\lambda'=(\lambda_1,...,\lambda_{w-1},\lambda_w-r,\lambda_{w+1},...,\lambda_k)$ .

*Proof.* From the foregoing discussion, it is clear that they are indeed the irreducible components. To calculate the dimension, we refer to Corollary 6.9, which says the dimension is  $\gamma([0, \lambda'_1, ..., \lambda'_k])$ .

# 7.2 The varieties $G_{wr}$ and G

Fix w, r. Recall from section 5 the groups  $G = \{P \in GL_m : P^{-1}XP = X\}$  and  $G_{wr} = \{A \in G : e_{wr}A = e_{wr}\}.$ 

**Lemma 7.2.** G is irreducible and has dimension  $\sum_{ij} \min(\lambda_i, \lambda_j)$ .

*Proof.* The closure of G in  $\mathfrak{gl}_m$  is  $\mathfrak{z}_{\mathfrak{gl}_m}(X)$ . Lemma 9.3 says that  $\mathfrak{z}_{\mathfrak{gl}_m}(X)$  is isomorphic to  $\mathbb{C}^{\sum_{ij}\min(\lambda_i,\lambda_j)}$ .

**Lemma 7.3.**  $G_{wr}$  is irreducible and has dimension  $\sum_{ij} \min(\lambda_i, \lambda'_j)$ , where  $\lambda' = (\lambda_1, ..., \lambda_{w-1}, \lambda_w - r, \lambda_{w+1}, ..., \lambda_k)$ .

*Proof.* The closure of  $G_{wr}$  in  $\mathfrak{gl}_m$  is  $V = \{Y \in \mathfrak{z}_{\mathfrak{gl}_m}(X) : e_{wr}Y = e_{wr}\}$ . In the case that r = 0, the constraint that  $e_{wr}Y = e_{wr}$  is no constraint at all, so we have  $G_{wr} = G$ , and the result follows from Lemma 7.2.

In the case that r > 0, we observe that  $V = \{Y + I : Y \in \mathfrak{z}_{\mathfrak{gl}_m}(X), e_{wr}Y = 0\}$ . The constraint  $e_{wr}Y = 0$  is just saying that a certain row of Y must be all zeroes. So, the set of Y such that  $Y + I \in V$  is the set described by Corollary 9.4. Hence  $V \cong \mathbb{C}^{\sum_{ij} \min(\lambda'_i, \lambda'_j)}$ .

### 7.3 The components of $\mathcal{P}_{wr}$

Recall from section 5 the subvarieties  $\mathcal{P}_{wr} \subseteq \mathcal{P}$ . From Lemma 5.4 we have the principal  $G_{wr}$ -bundle  $\varphi_{wr}: \mathcal{Q}_{wr} \times G \to \mathcal{P}_{wr}$ .

**Theorem 7.4.** Every irreducible component of  $\mathcal{P}_{wr}$  is the closure of some subvariety of the form  $\varphi_{wr}(\mathcal{Q}_{w,r,I,(\rho_i),\alpha} \times G)$ , and the closure of each subvariety of this form is an irreducible component. Each has dimension  $\sum_{i < j} \min(\lambda_i, \lambda_j)$ .

**Remark 7.5.** Theorem 7.4 does not say "the irreducible components of  $\mathcal{P}_{wr}$  are the closures of the subvarieties  $\varphi_{wr}(\mathcal{Q}_{w,r,I,(\rho_i),\alpha} \times G)$ ", as that would seem to suggest some claim about distinctness. Further analysis is required to determine which ones are distinct.

*Proof.* Together, Theorem 6.10 and Lemma 7.2 tell us that the  $Q_{w,r,I,(\rho_i),\alpha}$  are irreducible, and their union is  $Q_{wr}$ . Hence their images are irreducible, and the surjectivity of  $\varphi_{wr}$  implies that their union of their images is  $\mathcal{P}_{wr}$ .

Now, to verify that each image is an irreducible component, we need only verify that they are equidimensional. We use the result of Lemma 5.4, namely that  $\varphi_{wr}$  is a principal  $G_{wr}$ -bundle.

Let  $V_{w,r,I,(\rho_i),\alpha}$  be the closure of  $\mathcal{Q}_{w,r,I,(\rho_i),\alpha} \times G$  in  $\mathcal{Q}_{wr} \times G$  under action by  $G_{wr}$ . Clearly  $\varphi_{wr}(\mathcal{Q}_{w,r,I,(\rho_i),\alpha} \times G) = \varphi_{wr}(V_{w,r,I,(\rho_i),\alpha})$ . So, we have only to compute the dimension of  $\varphi(V_{w,r,I,(\rho_i),\alpha})$ .

This is easy, because  $\varphi_{wr}$  (and, consequently, the restriction of  $\varphi_{wr}$  to  $V_{w,r,I,(\rho_i),\alpha}$ ) is a principal  $G_{wr}$ -bundle, and principal bundles play nicely with dimensions. That is, we can conclude that

$$\dim \varphi_{wr}(V_{w,r,I,(\rho_i),\alpha}) = \dim V_{w,r,I,(\rho_i),\alpha} - \dim G_{wr}.$$

Since  $\dim(\mathcal{Q}_{w,r,I,(\rho_i),\alpha} \times G) = \dim(\mathcal{Q}_{wr} \times G)$  by Theorem 7.1, we know that  $\dim V_{w,r,I,(\rho_i),\alpha} = \dim(\mathcal{Q}_{w,r,I,(\rho_i),\alpha} \times G) = \dim(\mathcal{Q}_{w,r,I,(\rho_i),\alpha} + \dim G$ . By the

equation above then, we get

$$\dim \varphi_{wr}(V_{w,r,I,(\rho_i),\alpha}) = \dim \mathcal{Q}_{w,r,I,(\rho_i),\alpha} + \dim G - \dim G_{wr}.$$

We already calculated those dimensions in Theorem 7.1, Lemma 7.2, and Lemma 7.3 respectively. Referring to those, we get

$$\dim \varphi_{wr}(V_{w,r,I,(\rho_i),\alpha}) = \sum_{i \le j} \min(\lambda_i', \lambda_j') + \sum_{ij} \min(\lambda_i, \lambda_j) - \sum_{ij} \min(\lambda_i, \lambda_j').$$
 (1)

Now,

$$\sum_{i \le j} \min(\lambda_i', \lambda_j') = \sum_{i \le j} \min(\lambda_i, \lambda_j) - \sum_{j} \min(\lambda_w, \lambda_j) + \sum_{j} \min(\lambda_w - r, \lambda_j),$$

and

$$\sum_{ij} \min(\lambda_i, \lambda'_j) = \sum_{ij} \min(\lambda_i, \lambda_j) - \sum_{i} \min(\lambda_i, \lambda_w) + \sum_{i} \min(\lambda_i, \lambda_w - r).$$

Substituting these into the RHS of Equation (1), things cancel out, and we get

$$\sum_{i \le j} \min(\lambda_i, \lambda_j) + \sum_{ij} \min(\lambda_i, \lambda_j) - \sum_{ij} \min(\lambda_i, \lambda_j) = \sum_{i \le j} \min(\lambda_i, \lambda_j).$$

## 7.4 The components of $\mathcal{P}$

Corollary 7.6. Every irreducible component of  $\mathcal{P}$  is the closure of some subvariety of the form  $\varphi_{wr}(\mathcal{Q}_{w,r,I,(\rho_i),\alpha}\times G)$ , and the closure of each subvariety of this form is an irreducible component. Each has dimension  $\sum_{i\leq j}\min(\lambda_i,\lambda_j)$ .

# 8 The components of $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$

Recall from Corollary 4.5 that

$$S \times_{\mathfrak{g}} \widetilde{\mathcal{N}} \cong \{(A_{X,a,b}, (U_i), (V_i)) : \forall i. \ XU_{i+1} \subseteq U_i; \forall i. \ A_{X,a,b}V_{i+1} \subseteq V_i\}.$$

We defined  $\pi: S \times_{\mathfrak{g}} \widetilde{\mathcal{N}} \to \mathcal{N}_m$  by  $(A_{X,a,b}, (U_i), (V_i)) \mapsto X$ , and called  $\pi^{-1}(X)$  the *n*-Slodowy-slice Springer fiber at X.

**Theorem 8.1.**  $\pi^{-1}(J(\lambda)) \cong \mathcal{P}_{J(\lambda)} \times X_{\lambda}$ . Each irreducible component of  $\pi^{-1}(J(\lambda))$  has dimension  $\sum_{ij} \min(\lambda_i, \lambda_j)$ .

*Proof.* The isomorphism sends  $(A_{X,a,b}, (U_i), (V_i))$  to  $((A_{X,a,b}, (U_i)), (V_i))$ . The irreducible components of  $\mathcal{P}_{J(\lambda)}$  are given by Corollary 7.6. Those of  $X_{\lambda}$  are given by Theorem 2.2. Adding the dimensions gives

$$\sum_{i \le j} \min(\lambda_i, \lambda_j) + \sum_{i < j} \min(\lambda_i, \lambda_j) = \sum_{i \le j} \min(\lambda_i, \lambda_j).$$

Let  $\lambda$  be any partition of m. Let  $GL_m \times \{I_n\}$  act on  $\pi^{-1}(J(\lambda))$  by conjugation; that is,

$$(P, I_n) \cdot (A_{X,a,b}, (U_i), (V_i)) := ((P, I_n)A_{X,a,b}(P, I_n)^{-1}, (P, I_n)(U_i), (P, I_n)(V_i)).$$

Let

$$K = \{(g, I_n) \in \operatorname{GL}_m \times \{I_n\} : gXg^{-1} = X\}.$$

Define 
$$\phi_{\lambda}: \pi^{-1}(J(\lambda)) \times (\operatorname{GL}_m \times \{I_n\}) \to S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$$
 by  $\phi_{\lambda}(x,g) = g \cdot x$ .

**Lemma 8.2.** For each partition  $\lambda$  of m, the map  $\phi_{\lambda}$  is a principal K-bundle.

Let  $(C_{\lambda,\beta})_{\beta}$  be the irreducible components of  $\pi^{-1}(J(\lambda))$ . These are described by Theorem 8.1.

**Theorem 8.3.** Every irreducible component of  $S \times_{\mathfrak{g}} \widetilde{\mathcal{N}}$  is the closure of some subvariety of the form  $\phi_{\lambda}(C_{\lambda,\beta} \times (\operatorname{GL}_m \times \{I_n\}))$ , and the closure of each subvariety of this form is an irreducible component. Each has dimension  $m^2$ .

*Proof.* This is analogous to Theorem 7.4; the only difference is the dimension calculation. This time, we have

$$\dim \phi_{\lambda}(C_{\lambda,\beta} \times (\operatorname{GL}_{m} \times \{I_{n}\})) =$$

$$\dim C_{\lambda,\beta} + \dim \operatorname{GL}_{m} - \dim K =$$

$$\sum_{ij} \min(\lambda_{i}, \lambda_{j}) + m^{2} - \sum_{ij} \min(\lambda_{i}, \lambda_{j}) =$$

$$m^{2}.$$

The dimension of  $C_{\lambda,\beta}$  comes from Theorem 7.4; the dimension of  $GL_m$  is obvious; and the dimension of K comes from Lemma 9.3.

# 9 Linear Algebra Facts

In this section we prove linear algebra facts that were used earlier. They are confined to this section to avoid interrupting the rest of the paper.

### 9.1 The centralizer of a nilpotent matrix

**Definition 9.1.** A matrix Y is Toeplitz if it is constant along bands parallel to the main diagonal. That is,  $\forall i, j, k$ .  $Y_{ij} = Y_{i+k,j+k}$ .

**Definition 9.2.** An  $m \times n$  matrix Y is lower-left Toeplitz if it is Toeplitz and, in addition, we have  $y_{n-i,j-1} = 0$  whenever  $i + j \ge \min(m, n)$ .

That is, Y is lower-left Toeplitz if it is Toeplitz, and the only nonzero entries are those with Manhattan distance less than  $\min(m, n)$  from the entry in the bottom-left corner. In yet other words, all but the leftmost (equivalently, bottommost)  $\min(m, n)$  diagonal bands are zero.

**Lemma 9.3.** Let  $\lambda = (\lambda_1, ..., \lambda_k)$  be a partition of m. The centralizer of  $J(\lambda)$  in  $\mathfrak{gl}_m$  is the subalgebra consisting of matrices

$$M = \begin{pmatrix} M_{11} & \cdots & M_{1k} \\ \vdots & & \vdots \\ M_{k1} & \cdots & M_{kk} \end{pmatrix},$$

where each  $M_{ij}$  is a  $\lambda_i \times \lambda_j$  matrix, such that each  $M_{ij}$  is lower-left Toeplitz.

*Proof.* Let

$$M = \begin{pmatrix} M_{11} & \cdots & M_{1k} \\ \vdots & & \vdots \\ M_{k1} & \cdots & M_{kk} \end{pmatrix}.$$

We need to show that  $J(\lambda)M = MJ(\lambda)$  if and only if each  $M_{ij}$  is lower-left Toeplitz.

We have

$$J(\lambda)M = \begin{pmatrix} J_{\lambda_1} M_{11} & \cdots & J_{\lambda_1} M_{1k} \\ \vdots & & \vdots \\ J_{\lambda_k} M_{k1} & \cdots & J_{\lambda_k} M_{kk} \end{pmatrix}, \text{ and } MJ(\lambda) = \begin{pmatrix} M_{11} J_{\lambda_1} & \cdots & M_{1k} J_{\lambda_k} \\ \vdots & & \vdots \\ M_{k1} J_{\lambda_1} & \cdots & M_{kk} J_{\lambda_k} \end{pmatrix}.$$

So, we have  $J(\lambda)M = MJ(\lambda)$  if and only if  $\forall i, j.\ J_{\lambda_i}M_{ij} = M_{ij}J_{\lambda_j}$ . Multiplying on the left by  $J_{\lambda_i}$  just shifts each row down by one, and multiplying on the right by  $J_{\lambda_j}$  shifts each column left by one. The matrices for which left-shifting gives the same result as down-shifting are exactly the lower-left Toeplitz matrices.

**Corollary 9.4.** Let  $\lambda = (\lambda_1, ..., \lambda_k)$  be a partition of m. Let  $w \in \{1, ..., k\}$  and  $r \in \{1, ..., \lambda_w\}$ . Let  $i = [\sum_{j < w} \lambda_j] + r$ . The set of  $M \in \mathfrak{z}_{\mathfrak{gl}_m}(J(\lambda))$  such that the ith row of M is equal to zero is the set of matrices

$$M = \begin{pmatrix} M_{11} & \cdots & M_{1k} \\ \vdots & & \vdots \\ M_{k1} & \cdots & M_{kk} \end{pmatrix},$$

where each  $M_{ij}$  is a  $\lambda_i \times \lambda_j$  matrix, such that:

- For  $i \neq w$ ,  $M_{ij}$  is lower-left Toeplitz
- Each  $M_{wi}$  is of the form

$$M_{wj} = \begin{pmatrix} 0 \\ M'_{wj} \end{pmatrix},$$

where  $M'_{wj}$  is a  $(\lambda_w - r) \times \lambda_j$  lower-left Toeplitz matrix.

#### 9.2 A 'normalization' fact about Jordan bases

**Lemma 9.5.** For any finite-dimensional V, nilpotent  $A: V \to V$ , and linear  $f: V \to \mathbb{C}$ , there is a Jordan basis  $e_{ij}$  for A such that there is at most one i such that there exists j such that  $f(e_{ij}) \neq 0$ .

*Proof.* For any Jordan basis  $(e_{ij})_{ij}$  of A, define

$$S((e_{ij})_{1 \le i \le k, 1 \le j \le \lambda_i}) := \sum_{i} \begin{cases} -1, & \forall j. \ f(e_{ij}) = 0 \\ \lambda_i - \min\{j : f(e_{ij}) \ne 0\}, & \text{otherwise} \end{cases}.$$

We proceed by induction on the measure S. That is, let  $(e_{ij})_{ij}$  be a Jordan basis for A. Our inductive hypothesis is that if there exists a Jordan basis  $(e'_{ij})_{ij}$  with  $S((e'_{ij})_{ij}) < S((e_{ij})_{ij})$ , then we get the desired conclusion.

Now, we have two cases. In the first case,  $(e_{ij})_{ij}$  already satisfies the desired property. In this case we are done. In the other case, there exist  $i_1, j_1, i_2, j_2$  with  $i_1 \neq i_2$ , and  $f(e_{i_1j_1}) \neq 0$ , and  $f(e_{i_2j_2}) \neq 0$ . We let  $j_1, j_2$  be minimal with this property, so that  $\forall j < j_1$ .  $f(e_{i_1j}) = 0$ , and  $\forall j < j_2$ .  $f(e_{i_2j}) = 0$ . Wlog, we assume that  $\lambda_{i_1} - j_1 \leq \lambda_{i_2} - j_2$ .

By our inductive hypothesis, all we need to do is find a Jordan basis  $(e'_{ij})_{ij}$  with  $S((e'_{ij})_{ij}) < S((e_{ij})_{ij})$ . This is what we do. Define  $e'_{ij}$  as follows.

- $e'_{i_1,\lambda_1} := e_{i_1,\lambda_1} \frac{f(e_{i_1,j_1})}{f(e_{i_2,j_2})} e_{i_2,j_2+(\lambda_{i_1}-j_1)}$
- For  $j < \lambda_1, e'_{i_1,j} := A^{\lambda_{i_1} j} e'_{i_1,\lambda_{i_1}}$
- For  $i \neq i_1, e'_{ij} := e_{ij}$ .

Clearly this is a Jordan basis for A. Further, we claim that  $S((e'_{ij})_{ij}) < S((e_{ij})_{ij})$ . It suffices to show that  $\forall j \leq j_1$ .  $f(e_{i_1,j}) = 0$ . We have

$$f(e'_{i_1,j}) = f\left(A^{\lambda_{i_1}-j}\left(e_{i_1,\lambda_1} - \frac{f(e_{i_1,j_1})}{f(e_{i_2,j_2})}e_{i_2,j_2+(\lambda_{i_1}-j_1)}\right)\right) = f\left(e_{i_1,j} - \frac{f(e_{i_1,j_1})}{f(e_{i_2,j_2})}e_{i_2,j_2+(j-j_1)}\right) = f(e_{i_1,j}) - \frac{f(e_{i_1,j_1})}{f(e_{i_2,j_2})}f(e_{i_2,j_2+(j-j_1)}).$$

Clearly (by design), this expression is zero when  $j = j_1$ . And for  $j < j_1$ , we have  $f(e_{i_1,j}) = f(e_{i_2,j_2+(j-j_1)}) = 0$ , so it is zero then as well. Hence the measure S of this new basis is smaller, as desired.

**Lemma 9.6.** For any n and linear  $f: \mathbb{C}^n \to \mathbb{C}$ , there is a Jordan basis  $e_j$  for  $J_n$  such that there is at most one j with  $f(e_j) \neq 0$ .

*Proof.* Let  $e_j$  be a Jordan basis for  $J_n$ . If  $\{j: f(e_j) \neq 0\}$  is the empty set, we are done. Otherwise, let  $j_0 = \min\{j: f(e_j) \neq 0\}$ . For any Jordan basis  $f_j$  with  $j_0 = \min\{j: f(e_j) \neq 0\}$ , define

$$S((f_j)_j) := \begin{cases} -1, & \{j > j_0 : f(e_j) \neq 0\} = \emptyset \\ n - \min\{j > j_0 : f(e_j) \neq 0\}, & \text{otherwise} \end{cases}$$

We proceed by induction on S. That is, let  $(e_j)_j$  be a Jordan basis for  $J_n$  with  $j_0 = \min\{j : f(e_j) \neq 0\}$ . Our inductive hypothesis is that if there exists a Jordan basis  $(e'_j)_j$  with  $j_0 = \min\{j : f(e'_j) \neq 0\}$  and  $S((e'_j)_j) < S((e_j)_j)$ , then the conclusion holds.

We have two cases: either  $(e_j)_j$  satisfies the desired property, or not. If not, then let  $j_1 = \min\{j > j_0 : f(e_j) \neq 0\}$ , and define a new Jordan basis  $e'_j$  as follows.

- $e'_n := e_n \frac{f(e_{j_1})}{f(e_{j_0})} e_{n-(j_1-j_0)}$
- For  $j < n, e'_j := J_n^{n-j} e'_n$

It is straightforward to check that  $j_0 = \min\{j : f(e'_j) \neq 0\}$ , and that  $S((e'_j)_j) \leq S((e_j)_j) - 1$ . By our inductive hypothesis, we are done.

**Theorem 9.7.** For any finite-dimensional V, nilpotent  $A: V \to V$ , and linear  $f: V \to \mathbb{C}$ , there is a Jordan basis  $e_{ij}$  for A such that there is at most one pair (i, j) with  $f(e_{ij}) \neq 0$ .

*Proof.* Lemma 9.5 provides a Jordan basis  $e_{ij}$  such that for all  $i \neq i_0$  and all j, we have  $f(e_{ij}) = 0$ . Restricting A to  $\langle e_{i_0j} \rangle_{j \text{ arbitrary}}$  gives a Jordan block, and then applying Lemma 9.6 gives the desired result.

### 9.3 Nilpotency Lemmas

**Lemma 9.8.** Let  $X \in \mathfrak{gl}_n$  be upper triangular. Then  $J_n + X$  is nilpotent if and only if X = 0.

Proof. Clearly if X = 0, then  $J_n + X$  is nilpotent. Inversely, suppose  $X \neq 0$ . Let  $e_1, ..., e_n$  be the standard basis, with  $J_n e_i = e_{i+1}$ . Let  $i_1 = \max\{i : X e_i \neq 0\}$ . As X is upper triangular, we have  $X e_{i_1} = v + a e_{i_2}$ , with  $a \in \mathbb{C} \setminus \{0\}$ ,  $i_2 \leq i_1$ , and  $v \in \langle e_1, ..., e_{i_1-1} \rangle$ .

Now,  $(J_n + X)^{i_1}e_1 = e_{i_1+1} + v + ae_{i_2}$ . Then,  $(J_n + X)^{i_1+(n-i_1)}e_1 = 0 + (J_n + X)^{n-i_1}(v + ae_{i_2})$ . Clearly  $(J_n + X)^{n-i_1}(v + ae_{i_2}) = v' + ae_{i_2+n-i_1}$ , with  $v' \in \langle e_1, ..., e_{i_2+n-i_1-1} \rangle$ . Now, since  $i_2 \leq i_1$ , we have  $i_2 + n - i_1 \leq n$ , and therefore  $(J_n + X)^n e_1 \neq 0$ . It follows that  $J_n + X$  is not nilpotent.  $\square$ 

Lemma 9.9. Let  $X \in \mathfrak{gl}_m$ , and let

$$Y = \begin{pmatrix} y_{11} & y_{12} & y_{13} & \cdots & y_{1,n-1} & y_{1n} \\ d_1 & y_{22} & y_{23} & \cdots & y_{2,n-1} & y_{2n} \\ & d_2 & y_{33} & \cdots & y_{3,n-1} & y_{3n} \\ & & \ddots & \ddots & \vdots & \vdots \\ & & d_{n-2} & y_{n-1,n-1} & y_{n-1,n} \\ & & & d_{n-1} & y_{nn} \end{pmatrix} \in \mathfrak{gl}_n.$$

For any  $a, b \in \mathbb{C}^m$ ,

$$\det \begin{pmatrix} X & & | & b \\ X & & | & b \\ \hline - & a & - & | & Y \end{pmatrix} =$$

$$\det X \det Y + \left(\prod_i d_i\right) \det \begin{pmatrix} X & \begin{vmatrix} 1 \\ b \\ -a & - \end{vmatrix} 0$$

*Proof.* By induction on n. In the case n = 1, expanding along the last row (taking the usual interpretation of the empty product) gives the desired result.

Now suppose n > 1. Expanding along the last row, we get

Using our inductive hypothesis for the first determiniant, and using that  $\det \begin{pmatrix} A_{11} & 0 \\ A_{21} & A_{22} \end{pmatrix} = \det A_{11} \det A_{22}$  for the second, the expression becomes

$$d_{n-1}\left(\det X\det Y_{n,n-1}+\left(\prod_{i\leq n-2}d_i\right)\det\left(\begin{array}{c|c}X&\begin{vmatrix}|\\&X&\\&&|\\-&a&-\end{vmatrix}0\right)\right)-y_{nn}\det X\det Y_{nn}=$$

$$(d_{n-1}Y_{n,n-1} - y_{nn} \det Y_{nn}) \det X + \left(\prod_{i} d_{i}\right) \det \begin{pmatrix} X & \begin{vmatrix} 1 \\ b \end{vmatrix} \\ -a & - \end{vmatrix} =$$

$$\det Y \det X + \left(\prod_i d_i\right) \det \begin{pmatrix} & & & | & | \\ & X & & | & b \\ \hline - & a & - & | & 0 \end{pmatrix}.$$

Corollary 9.10. If X is nilpotent, and

$$\begin{pmatrix} X & & b \\ & & b \\ \hline - & a & - & \\ & & Y \end{pmatrix}$$

is nilpotent as well, then Y is nilpotent.

*Proof.* By the previous lemma, the characteristic polynomial of the big matrix is

$$g_X(\lambda)g_Y(\lambda) + f(\lambda),$$

where  $g_X(\lambda) = \lambda^m$  is the characteristic polynomial of X, and  $f(\lambda)$  is some polynomial of degree at most m-1.

# References

[1] N. Chriss and victor ginzburg. Representation Theory and Complex Geometry. Modern Birkhäuser Classics. Birkhäuser Boston, 2009.