

`python run_optimization.py` to run the program in Terminal

`pip install CoolProp` in Terminal before running the code

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## Files / high-level structure

- `properties.py` — thin wrapper around a thermophysical property library (CoolProp). Provides `h(p,T)`, `s(p,T)`, `T(p,s)`, `c_p(p,T)`, `rho(p,T)`.
  - `components.py` — component models:
    - `compressor_outlet_state(...)` and `turbine_outlet_state(...)` (isentropic-efficiency based).
    - `recuperator_counterflow(...)` (discretized counterflow HE).
  - `cycle_solver.py` — assembles the cycle states and calls components to compute state properties and component works.
  - `run` / `optimizer` script — searches  $(P_{\text{high}}, T_{\text{turbine}})$  and solves for mass flow such that the cycle consumes the fixed heat input `Q_available`.
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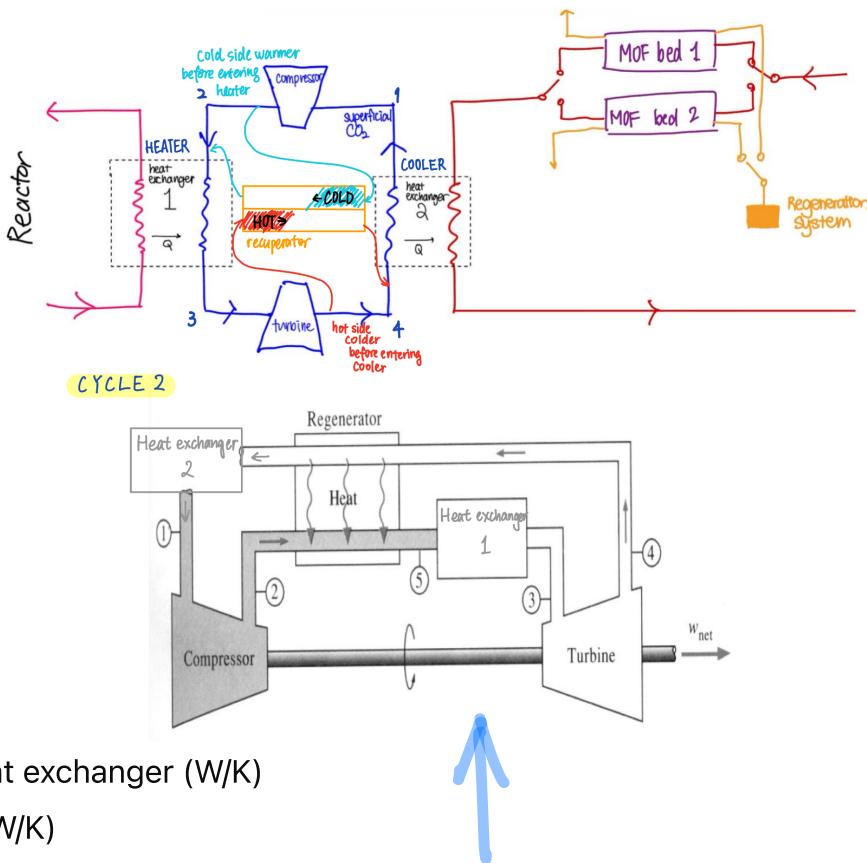
## Key assumptions (one sentence each; each assumption used explicitly in the code)

1. **Single-fluid, closed loop:** Working fluid is CO<sub>2</sub> and the cycle is closed (no mass loss) — this isolates thermodynamic performance from leakage and combustion effects.
2. **Low-side pressure fixed (P\_low):** P\_low is set above the CO<sub>2</sub> critical pressure to avoid two-phase behavior and numerical instability.  $P_1 = 8 \text{ MPa}$
3. **Isentropic-efficiency component model:** Compressors and turbines are modeled by constant isentropic efficiencies ( $\eta_c$ ,  $\eta_t$ ) — a standard first-order engineering model that isolates aerodynamic losses from thermodynamics.  $\eta_c = \eta_t = 0.9$
4. **Single recuperator, nodewise discretized:** Recuperation is represented by a single discretized counterflow heat exchanger with a fixed overall UA (NTU proxy) — this gives physically reasonable preheating while keeping the model simple and robust.
5. **Fixed external heat source:** The helium IHX supplies a known, fixed `Q_available`; the CO<sub>2</sub> mass flow is chosen so the cycle absorbs exactly that heat.  $q_{in} = 10 \text{ MW}$ , find m of CO<sub>2</sub>
6. **Simple pressure-drop handling:** Pressure drops are not modeled in detail (or are represented as fixed fractions) — adequate for early-stage thermodynamic sizing

but not detailed mechanical design

## Notation (used in formulas)

- $p$  — pressure (Pa)
- $T$  — temperature (K)
- $h$  — specific enthalpy (J/kg)
- $s$  — specific entropy (J/kg·K)
- $c_p$  — isobaric specific heat (J/kg·K)
- $\dot{m}$  — mass flow rate (kg/s)
- $\dot{Q}$  — power / heat rate (W)
- $W$  — power (W)
- $UA$  — overall conductance of heat exchanger (W/K)
- $C = \dot{m} c_p$  — heat capacity rate (W/K)
- Subscripts: 1 (compressor inlet), 2 (compressor outlet / cold side of recup inlet), 3 (turbine inlet after heater), 4 (turbine outlet / hot side of recup inlet)



## Component models — formulas & logic

### 1. Property calls (library wrapper)

- $h = h(p, T)$
- $s = s(p, T)$
- $T = T(p, s)$
- $c_p = c_p(p, T)$

These are provided by the `properties` wrapper and are used everywhere.

### 2. Compressor (isentropic-efficiency formulation)

Given inlet  $(p_1, T_1)$ , outlet pressure  $p_2$ , isentropic efficiency  $\eta_c$ :

$T_1 = 35^\circ\text{C}$  warm ambient temperature  
( $27 \sim 47^\circ\text{C}$  usually)

1. Compute inlet enthalpy and entropy:

above  $\text{CO}_2$  critical temperature  $\approx 31^\circ\text{C}$

$$h_1 = h(p_1, T_1), \quad s_1 = s(p_1, T_1)$$

2. Ideal (isentropic) outlet temperature from entropy and outlet pressure:

$$T_{2,\text{ideal}} = T(p_2, s_1)$$

3. Ideal outlet enthalpy:

$$h_{2,\text{ideal}} = h(p_2, T_{2,\text{ideal}})$$

4. Actual outlet enthalpy using isentropic efficiency:

$$h_2 = h_1 + \frac{h_{2,\text{ideal}} - h_1}{\eta_c}$$

5. Estimate outlet temperature  $T_2$  by inverting  $h(p_2, T)$  locally using  $c_p$  (or a property inversion):

$$T_2 \approx T_{2,\text{ideal}} + \frac{h_2 - h_{2,\text{ideal}}}{c_p(p_2, T_{2,\text{ideal}})}$$

6. Compressor specific work (input):

$$w_c = h_2 - h_1$$


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### 3. Turbine (isentropic-efficiency formulation)

Given inlet  $(p_3, T_3)$ , outlet pressure  $p_4$ , isentropic efficiency  $\eta_t$ :

$T_3 = 800^\circ\text{C}$  based on turbine material

1.  $h_3 = h(p_3, T_3)$ ,  $s_3 = s(p_3, T_3)$
2. Ideal (isentropic) outlet temperature:

$$T_{4,\text{ideal}} = T(p_4, s_3)$$

3. Ideal outlet enthalpy:

$$h_{4,\text{ideal}} = h(p_4, T_{4,\text{ideal}})$$

4. Actual outlet enthalpy using efficiency:

$$h_4 = h_3 - \eta_t(h_3 - h_{4,\text{ideal}})$$

5. Estimate outlet temperature  $T_4$ :

$$T_4 \approx T_{4,\text{ideal}} + \frac{h_4 - h_{4,\text{ideal}}}{c_p(p_4, T_{4,\text{ideal}})}$$

6. Turbine specific work (output):

$$w_t = h_3 - h_4$$


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### 4. Recuperator (discretized counterflow formulation)

The recuperator exchanges heat between the hot stream (turbine exhaust) and the cold stream (compressor discharge). The code marches along  $N$  discrete nodes.

Per node (small element), with local hot temperature  $T_h(x)$  and cold temperature  $T_c(x)$ :

1. Local heat-transfer rate (node-wise) using global UA distributed evenly:

$$dQ = \frac{UA}{N} (T_h - T_c) \quad UA = NTU \cdot C_{min}$$

2. Local heat capacity rates:

$$C_h = \dot{m}_h c_{p,h}(p_h, T_h), \quad C_c = \dot{m}_c c_{p,c}(p_c, T_c)$$

3. Update temperatures:

$$T_h \leftarrow T_h - \frac{dQ}{C_h}, \quad T_c \leftarrow T_c + \frac{dQ}{C_c}$$

4. Repeat for all nodes. Track minimum local temperature difference (pinch):

$$\Delta T_{min} = \min_x (T_h(x) - T_c(x))$$

5. After marching, hot-side outlet temperature is  $T_{h,out}$  and cold-side outlet is  $T_{c,out}$ .

Convert to enthalpies:

$$h_{h,out} = h(p_{hot,out}, T_{h,out}), \quad h_{c,out} = h(p_{cold,out}, T_{c,out})$$

### Notes on recuperator:

- $NTU = 5.0$  (chosen), a bit unrealistic, usually around 3.0
- number of nodes = 5c
- minimum pinch temperature difference allowed  $\Delta T_{pinch} = 3.0$
- no recompression & no mass split ( $\dot{m}_h = \dot{m}_c$ ) limits efficiency
- add more recuperators likely increase efficiency

### Cycle assembly — step-by-step thermodynamic calculation

At a high level the solver computes states 1→4 in order (compressor → recup → heater → turbine → recup → cooler) and returns component works and heat needs.

1. State 1 (compressor inlet):

- Known:  $p_1 = P_{low}$ ,  $T_1 = T_{cold,in}$ .
- Compute  $h_1 = h(p_1, T_1)$ .

2. Compressor (1 → 2):

- Given outlet pressure  $p_2 = P_{high}$  and  $\eta_c$ , compute  $T_2, h_2, w_c$  as in compressor formulas.

### 3. Recuperator (cold side inlet = state 2):

- Cold-side inlet:  $p_{\text{cold,in}} = p_2$ ,  $T_{\text{cold,in}} = T_2$ .
- Hot-side inlet:  $p_{\text{hot,in}} = p_4$ ,  $T_{\text{hot,in}} = T_4$  (turbine exhaust — initially unknown; the solver uses the turbine calculation first or uses a loop depending on implementation).
- Run `recuperator_counterflow` to obtain:
  - Cold-side outlet temperature  $T_{2,\text{post-recup}}$  (call this  $T'_2$ ) and enthalpy  $h'_2$ .
  - Hot-side outlet temperature  $T_{4,\text{post-recup}}$  and enthalpy  $h'_4$ .
- In the current simple solver the recup is applied once per cycle evaluation (no iterative inner loop).

### 4. Heater (external IHX):

- The heater raises the cold-side post-recup state to turbine inlet  $T_3$  at pressure  $p_3 = P_{\text{high}}$ .
- Specific heat required per kg:

$$q_{\text{in,spec}} = h_3 - h'_2 \quad \text{where } h_3 = h(p_3, T_3)$$

- For a given available heater power  $\dot{Q}_{\text{available}}$ ,  $\dot{m}$  must satisfy:

$$\dot{m} \cdot q_{\text{in,spec}} = \dot{Q}_{\text{available}} = 10 \text{ MW from Helium cycle}$$

- The code solves this equation for  $\dot{m}$  (bisection or root find).

### 5. Turbine (3 → 4):

- Given  $p_4 = P_{\text{low}}$ , compute  $T_4$ ,  $h_4$ ,  $w_t$  using turbine formulas.

### 6. Recuperator (hot side inlet = state 4):

- The hot stream entering the recup is the turbine exhaust (state 4); run recup discretization as above to get  $h'_{4,\text{out}}$  and the cold preheat  $h'_2$  that is fed to the heater.

### 7. Net work and efficiency:

- Net specific work:

$$w_{\text{net, spec}} = w_t - w_c$$

- Net power:

$$W_{\text{net}} = \dot{m} \cdot w_{\text{net, spec}}$$

- Thermal efficiency (reference to IHX heat):

$$\eta = \frac{W_{\text{net}}}{\dot{Q}_{\text{available}}}$$

# Other Parameters chosen & Reasonings

```
run_optimization.py x
run_optimization.py > solve_for_mdot > residual

8 # =====
9 # USER INPUTS
10 # =====
11 Q_available = 10e6          # 10 MW from helium IHX cycle
12 P_low_MPa = 8.0            # Chosen above CO2 critical pressure to avoid two-phase behavior
13 P_low = P_low_MPa * 1e6
14
15 eta_c = 0.90               # Chosen isentropic efficiency for compressor
16 eta_t = 0.90               # Chosen isentropic efficiency for turbine
17 T_cold_in_C = 35.0          # Chosen above CO2 critical temperature (31C) to avoid two-phase behavior (typically 27-47C)
18
19 # Design space
20 P_high_list_MPa = [21, 24, 27, 30, 33, 35]      # try different compressor outlet pressures (P2)
21 | | | | | |                                         # Chosen based on mechanical design limits (typical range 20-35MPa)
22
23 T_turbine_list_C = [650, 700, 750, 800, 850, 900]  # try different turbine inlet temperatures (T3)
24 | | | | | |                                         # Chosen based on mechanical design limits
25
26 mdot_min = 0.1
27 mdot_max = 300.0          # large range to ensure actual mdot lies within the range during bisection search
```

Results saved in .CSV document, Best point printed out

Best design point:

P_high_MPa	:	35.0
P_low_MPa	:	8.0
T_turbine_in_C	:	750
mdot_kg_s	:	19.02406208463653
net_power_MW	:	3.533930486503994
eta	:	0.3533930486503994
T1_C	:	35.0
T2_C	:	110.2813763884734
T3_C	:	750.0
T4_C	:	551.6223903822238

$$P_2 = P_3 = 35 \text{ MPa}$$

$$P_1 = P_4 = 8 \text{ MPa}$$

$$35.34\% = \eta$$

Goals { prove that current conditions are practical ?  
add recompression + recuperation -

Short answer: for a **closed sCO<sub>2</sub> Brayton cycle with a recuperator**, typical thermal efficiency usually falls roughly in these bands:

- **Simple recuperated Brayton (practical, engineering-grade): ~30–42%** (lower end for moderate turbine inlet temps and imperfect recuperators; upper end for higher temps and good components). [ScienceDirect +1](#)
- **Advanced layouts (recompression / recompression + recuperation): ~40–55%** (this is what literature and recent designs report when peak cycle temperatures are high ≈650–800 °C and recuperators are highly effective). [MDPI +1](#)
- **Lab / ideal-case numbers can be higher (>50%),** but real systems are penalized by recuperator effectiveness, pressure losses, and non-ideal turbomachinery; those losses often reduce practical efficiencies by many percentage points. [MDPI +1](#)

Why the spread? The big drivers are:

- **Maximum cycle temperature** (higher T<sub>max</sub> → higher Carnot limit → higher efficiency). [SpringerLink](#)
- **Recuperator effectiveness and pressure drop** (a poor or highly-lossy recuperator cuts efficiency substantially). [ScienceDirect +1](#)
- **Cycle layout** (simple recuperated vs recompression vs reheated/recompressed variants). [MDPI](#)
- **Real component performance** (isentropic efficiencies of compressor/turbine, leakages, sealing).