

```
(: subst : WAE Symbol WAE -> WAE)
;; substitutes the second argument with the third argument in the
;; first argument, as per the rules of substitution; the resulting
;; expression contains no free instances of the second argument
(define (subst expr from to) ; returns expr[to/from]
  (cases expr
    [(Num n) expr]
    [(Add l r) (Add (subst l from to) (subst r from to))]
    [(Sub l r) (Sub (subst l from to) (subst r from to))]
    [(Mul l r) (Mul (subst l from to) (subst r from to))]
    [(Div l r) (Div (subst l from to) (subst r from to))]
    [(Id name) (if (eq? name from) to expr)]
    [(With bound-id named-expr bound-body)
     (if (eq? bound-id from)
         expr ; <-- don't go in!
         (With bound-id
              named-expr
              (subst bound-body from to))))]))
```

... and this is just the same as writing a formal "paper version" of the substitution rule.

... but we still have bugs!

Before we find the bugs, let us see the bigger context...

### When and How substitution is used in the evaluation process.

#### Modifying our evaluator:

We will need rules to deal with the new syntax pieces -- ``with'` expressions and identifiers.

- Evaluating ``with'` expressions - for an expression of the following form:

```
{with {x E1} E2}
```

We need to:

1. **\*evaluate\*** ``E1'` to get a **value** ``V1'`,
2. we then substitute the identifier ``x'` with the expression ``V1'` in ``E2'`, and
3. finally, we evaluate this resulting new expression.

In other words, we have the following evaluation rule:

```
eval( {with {x E1} E2} ) = eval( E2[eval(E1)/x] )
```

So we know what to do with ``with'` expressions.

- Evaluating **identifiers** -

The main feature of ``subst'`, as said in the purpose statement, is that **it leaves no free instances of the substituted variable**

around. This means that if the initial expression is valid (did not contain any free variables), then when we go from

```
{with {x E1} E2}
```

to

```
E2[E1/x]
```

Then, the result is an expression that has **\*no\* free instances of 'x'**. So we don't need to handle identifiers in the evaluator -- substitutions make them all go away.

We can now extend the formal definition of **AE** to that of **WAE**:

```
eval(...) = ... same as the AE rules ...
eval({with {x E1} E2}) = eval(E2[eval(E1)/x])
eval(id) = error!
```

---

**Important to note:** (**\*\*\*** what should we feed to ``subst'`)

If you're paying close attention, you might catch a potential problem in this definition. We're substituting ``eval(E1)'` for `'x'` in `'E2'`

- ``subst'` **requires a WAE expression**. However,
- **what we are really getting** is ``eval(E1)'` which **is a number**.

(Look at the type of the ``eval'` definition we had for **AE**, then look at the above definition of ``subst'`.) This seems like being overly pedantic, but it will require some resolution when we get to the code.

---

The above rules are easily coded as follows:

```
(: eval : WAE -> Number)
;; evaluates WAE expressions by reducing them to numbers
(define (eval expr)
  (cases expr
    [(Num n) n] ;; same as before
    [(Add l r) (+ (eval l) (eval r))] ;; same as before
    [(Sub l r) (- (eval l) (eval r))] ;; same as before
    [(Mul l r) (* (eval l) (eval r))] ;; same as before
    [(Div l r) (/ (eval l) (eval r))] ;; same as before
    [(With bound-id named-expr bound-body)
     (eval (subst bound-body
                   bound-id
                   (Num (eval named-expr))))] ; <*** (see note)
    [(Id name) (error 'eval "free identifier: ~s" name)])
```

**Note** the ``Num'` expression in the marked line: evaluating the named expression gives us back a number -- we need to convert this number into an abstract syntax (**WAE**) to be able to use it with ``subst'`.

The solution is to use the constructor ``Num'` to convert the resulting number into a numeral (the syntax of a number). It's not an elegant solution, but it will do for now.

- - - - -

#### A few test cases:

We use a new ``test'` special form which is part of the course plugin. The way to use ``test'` is with two expressions and an ``=>'` arrow -- DrRacket evaluates both, and nothing will happen if the results are equal. If the results are different, you will get a warning line, but evaluation will continue so you can try additional tests. You can also use an ``=error>'` arrow to test an error message -- use it with some text from the expected error, ``?'` stands for any single character, and ``*'` is a sequence of zero or more characters.  
(When you use ``test'` in your homework, the handin server will abort when tests fail.) We expect these tests to succeed (make sure that you understand *\*why\** they should succeed).

```
;; tests
(test (run "5") => 5)
(test (run "{+ 5 5}") => 10)
(test (run "{with {x {+ 5 5}} {+ x x}}") => 20)
(test (run "{with {x 5} {+ x x}}") => 10)
(test (run "{with {x {+ 5 5}} {with {y {- x 3}} {+ y y}}}") => 14)
(test (run "{with {x 5} {with {y {- x 3}} {+ y y}}}") => 4)
(test (run "{with {x 5} {+ x {with {x 3} 10}}}") => 15)
(test (run "{with {x 5} {+ x {with {x 3} x}}}") => 8)
(test (run "{with {x 5} {+ x {with {y 3} x}}}") => 10)
(test (run "{with {x 5} {with {y x} y}}") => 5)
(test (run "{with {x 5} {with {x x} x}}") => 5)
(test (run "{with {x 1} y}") =error> "free identifier")
```

Putting this all together, we get the following code; trying to run this code will raise an unexpected error...

```
-----

#lang pl

#| BNF for the WAE language:
  <WAE> ::= <num>
          | { + <WAE> <WAE> }
          | { - <WAE> <WAE> }
          | { * <WAE> <WAE> }
          | { / <WAE> <WAE> }
          | { with { <id> <WAE> } <WAE> }
          | <id>
|#

;; WAE abstract syntax trees
(define-type WAE
  [Num Number]
  [Add WAE WAE]
  [Sub WAE WAE]
  [Mul WAE WAE]
  [Div WAE WAE]
  [Id Symbol]
  [With Symbol WAE WAE])

(: parse-sexpr : Sexpr -> WAE)
;; to convert s-expressions into WAEs
(define (parse-sexpr sexpr)
  (match sexpr
    [(number: n) (Num n)]
    [(symbol: name) (Id name)]
    [(cons 'with more)
     (match sexpr
       [(list 'with (list (symbol: name) named) body)
        (With name (parse-sexpr named) (parse-sexpr body))]
       [else (error 'parse-sexpr "bad `with' syntax in ~s" sexpr)])]
    [(list '+ lhs rhs) (Add (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '- lhs rhs) (Sub (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '* lhs rhs) (Mul (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '/ lhs rhs) (Div (parse-sexpr lhs) (parse-sexpr rhs))]
    [else (error 'parse-sexpr "bad syntax in ~s" sexpr)]))

(: parse : String -> WAE)
;; parses a string containing a WAE expression to a WAE AST
(define (parse str)
  (parse-sexpr (string->sexpr str)))

(: subst : WAE Symbol WAE -> WAE)
;; substitutes the second argument with the third argument in the
;; first argument, as per the rules of substitution; the resulting
;; expression contains no free instances of the second argument
(define (subst expr from to)
  (cases expr
    [(Num n) expr]
    [(Add l r) (Add (subst l from to) (subst r from to))])
```

```

[(Sub l r) (Sub (subst l from to) (subst r from to))]
[(Mul l r) (Mul (subst l from to) (subst r from to))]
[(Div l r) (Div (subst l from to) (subst r from to))]
[(Id name) (if (eq? name from) to expr)]
[(With bound-id named-expr bound-body)
 (if (eq? bound-id from)
     expr
     (With bound-id
          named-expr
          (subst bound-body from to)))))]

(: eval : WAE -> Number)
;; evaluates WAE expressions by reducing them to numbers
(define (eval expr)
  (cases expr
    [(Num n) n]
    [(Add l r) (+ (eval l) (eval r))]
    [(Sub l r) (- (eval l) (eval r))]
    [(Mul l r) (* (eval l) (eval r))]
    [(Div l r) (/ (eval l) (eval r))]
    [(With bound-id named-expr bound-body)
     (eval (subst bound-body
                   bound-id
                   (Num (eval named-expr)))))]
    [(Id name) (error 'eval "free identifier: ~s" name)]))

(: run : String -> Number)
;; evaluate a WAE program contained in a string
(define (run str)
  (eval (parse str)))

;; tests
(test (run "5") => 5)
(test (run "{+ 5 5}") => 10)
(test (run "{with {x {+ 5 5}} {+ x x}}") => 20)
(test (run "{with {x 5} {+ x x}}") => 10)
(test (run "{with {x {+ 5 5}} {with {y {- x 3}} {+ y y}}}") => 14)
;; in reality returns -- eval: free identifier: x
(test (run "{with {x 5} {with {y {- x 3}} {+ y y}}}") => 4)
(test (run "{with {x 5} {+ x {with {x 3} 10}}}") => 15)
(test (run "{with {x 5} {+ x {with {x 3} x}}}") => 8)
(test (run "{with {x 5} {+ x {with {y 3} x}}}") => 10)
(test (run "{with {x 5} {with {y x} y}}") => 5)
(test (run "{with {x 5} {with {x x} x}}") => 5)
(test (run "{with {x 1} y}") =error> "free identifier")
-----

```

---

Oops, this program **still has problems** that were caught by the tests -- we encounter unexpected free identifier errors. What's the problem now?

In expressions like:

```

{with {x 5}
  {with {y x}
    y}}

```

### The problem:

We forgot to substitute ``x'` in the expression that ``y'` is bound to. We need to perform the recursive substitution in two places:

1. The `"with"`'s body expression (which we already have), and
2. The `"with"`'s named expression.

The new `'subst'` code will be:

```
(: subst : WAE Symbol WAE -> WAE)
;; substitutes the second argument with the third argument in the
;; first argument, as per the rules of substitution; the resulting
;; expression contains no free instances of the second argument
(define (subst expr from to)
  (cases expr
    [(Num n) expr]
    [(Add l r) (Add (subst l from to) (subst r from to))]
    [(Sub l r) (Sub (subst l from to) (subst r from to))]
    [(Mul l r) (Mul (subst l from to) (subst r from to))]
    [(Div l r) (Div (subst l from to) (subst r from to))]
    [(Id name) (if (eq? name from) to expr)]
    [(With bound-id named-expr bound-body)
     (if (eq? bound-id from)
         expr
         (With bound-id
              (subst named-expr from to) ; <-- new
              (subst bound-body from to))))])
```

However, we *still* have a problem...

Look at the expression:

```
{with {x 5}
  {with {x x}
    x}}
```

Halts with an error -- `eval: free identifier: x`

It should, however, evaluate to `5`. Carefully trying out our substitution code reveals the problem:

We do not go inside the inner `"with"` when we substitute ``5'` for the outer ``x'`. This is because it has the same name ``x'` - but we *do* need to go into its named expression.

We need to substitute in the named expression even if the identifier has the *same* name as the one we're substituting:

```
(: subst : WAE Symbol WAE -> WAE)
;; substitutes the second argument with the third argument in the
;; first argument, as per the rules of substitution; the resulting
;; expression contains no free instances of the second argument
(define (subst expr from to)
  (cases expr
    [(Num n) expr]
    [(Add l r) (Add (subst l from to) (subst r from to))]
    [(Sub l r) (Sub (subst l from to) (subst r from to))]
    [(Mul l r) (Mul (subst l from to) (subst r from to))]
    [(Div l r) (Div (subst l from to) (subst r from to))]
    [(Id name) (if (eq? name from) to expr)]
    [(With bound-id named-expr bound-body)
     (With bound-id
          (subst named-expr from to)
          (subst bound-body from to))])
```

```

    [(With bound-id named-expr bound-body)
     (With bound-id
      (subst named-expr from to)
      (if (eq? bound-id from) ;; new - only ask on body
          bound-body
          (subst bound-body from to)))))]))

```

The complete (and, finally, correct) version of the code is now:

```

---<<<WAE>>>-----
#lang pl

#| BNF for the WAE language:
    <WAE> ::= <num>
            | { + <WAE> <WAE> }
            | { - <WAE> <WAE> }
            | { * <WAE> <WAE> }
            | { / <WAE> <WAE> }
            | { with { <id> <WAE> } <WAE> }
            | <id>
|#

;; WAE abstract syntax trees
(define-type WAE
  [Num Number]
  [Add WAE WAE]
  [Sub WAE WAE]
  [Mul WAE WAE]
  [Div WAE WAE]
  [Id Symbol]
  [With Symbol WAE WAE])

(: parse-sexpr : Sexpr -> WAE)
;; to convert s-expressions into WAEs
(define (parse-sexpr sexpr)
  (match sexpr
    [(number: n) (Num n)]
    [(symbol: name) (Id name)]
    [(cons 'with more)
     (match sexpr
       [(list 'with (list (symbol: name) named) body)
        (With name (parse-sexpr named) (parse-sexpr body))]
       [else (error 'parse-sexpr "bad `with' syntax in ~s" sexpr)])])
    [(list '+ lhs rhs) (Add (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '- lhs rhs) (Sub (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '* lhs rhs) (Mul (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '/ lhs rhs) (Div (parse-sexpr lhs) (parse-sexpr rhs))]
    [else (error 'parse-sexpr "bad syntax in ~s" sexpr)]))

(: parse : String -> WAE)
;; parses a string containing a WAE expression to a WAE AST
(define (parse str)
  (parse-sexpr (string->sexpr str)))

#| Formal specs for `subst':
    (`N' is a <num>, `E1', `E2' are <WAE>s, `x' is some <id>, `y' is a

```

```

*different* <id>)
  N[v/x]                = N
  {+ E1 E2}[v/x]        = {+ E1[v/x] E2[v/x]}
  {- E1 E2}[v/x]        = {- E1[v/x] E2[v/x]}
  {* E1 E2}[v/x]        = {* E1[v/x] E2[v/x]}
  {/ E1 E2}[v/x]        = {/ E1[v/x] E2[v/x]}
  y[v/x]                = y
  x[v/x]                = v
  {with {y E1} E2}[v/x] = {with {y E1[v/x]} E2[v/x]}
  {with {x E1} E2}[v/x] = {with {x E1[v/x]} E2}
|#

(: subst : WAE Symbol WAE -> WAE)
;; substitutes the second argument with the third argument in the
;; first argument, as per the rules of substitution; the resulting
;; expression contains no free instances of the second argument
(define (subst expr from to)
  (cases expr
    [(Num n) expr]
    [(Add l r) (Add (subst l from to) (subst r from to))]
    [(Sub l r) (Sub (subst l from to) (subst r from to))]
    [(Mul l r) (Mul (subst l from to) (subst r from to))]
    [(Div l r) (Div (subst l from to) (subst r from to))]
    [(Id name) (if (eq? name from) to expr)]
    [(With bound-id named-expr bound-body)
     (With bound-id
      (subst named-expr from to)
      (if (eq? bound-id from)
          bound-body
          (subst bound-body from to))))]))

#| Formal specs for `eval`:
  eval(N)                = N
  eval({+ E1 E2})        = eval(E1) + eval(E2)
  eval({- E1 E2})        = eval(E1) - eval(E2)
  eval({* E1 E2})        = eval(E1) * eval(E2)
  eval({/ E1 E2})        = eval(E1) / eval(E2)
  eval(id)               = error!
  eval({with {x E1} E2}) = eval(E2[eval(E1)/x])
|#

(: eval : WAE -> Number)
;; evaluates WAE expressions by reducing them to numbers
(define (eval expr)
  (cases expr
    [(Num n) n]
    [(Add l r) (+ (eval l) (eval r))]
    [(Sub l r) (- (eval l) (eval r))]
    [(Mul l r) (* (eval l) (eval r))]
    [(Div l r) (/ (eval l) (eval r))]
    [(With bound-id named-expr bound-body)
     (eval (subst bound-body
                  bound-id
                  (Num (eval named-expr))))])
  [(Id name) (error 'eval "free identifier: ~s" name)]))

(: run : String -> Number)

```



```
;; evaluate a WAE program contained in a string
(define (run str)
  (eval (parse str)))

;; tests
(test (run "5") => 5)
(test (run "{+ 5 5}") => 10)
(test (run "{with {x {+ 5 5}} {+ x x}}") => 20)
(test (run "{with {x 5} {+ x x}}") => 10)
(test (run "{with {x {+ 5 5}} {with {y {- x 3}} {+ y y}}}") => 14)
(test (run "{with {x 5} {with {y {- x 3}} {+ y y}}}") => 4)
(test (run "{with {x 5} {+ x {with {x 3} 10}}}") => 15)
(test (run "{with {x 5} {+ x {with {x 3} x}}}") => 8)
(test (run "{with {x 5} {+ x {with {y 3} x}}}") => 10)
(test (run "{with {x 5} {with {y x} y}}") => 5)
(test (run "{with {x 5} {with {x x} x}}") => 5)
(test (run "{with {x 1} y}") =error> "free identifier")
```

---

Reminder:

\* We started doing substitution, with a `let'-like form: `with'.

\* Reasons for using bindings:

- Avoid writing expressions twice.
  - > More expressive language (can express identity).
  - > Duplicating is bad! (=> DRY, Don't Repeat Yourself)
  - > Static redundancy.
- Avoid redundant computations.
  - > Dynamic redundancy.

\* BNF:

```
<WAE> ::= <num>
        | { + <WAE> <WAE> }
        | { - <WAE> <WAE> }
        | { * <WAE> <WAE> }
        | { / <WAE> <WAE> }
        | { with { <id> <WAE> } <WAE> }
        | <id>
```

Note that we had to introduce two new rules: one for introducing an identifier, and one for using it.

\* Type definition:

```
(define-type WAE
  [Num Number]
  [Add WAE WAE]
  [Sub WAE WAE]
  [Mul WAE WAE]
  [Div WAE WAE]
  [Id Symbol]
  [With Symbol WAE WAE])
```

\* Parser:

```
(: parse-sexpr : Sexpr -> WAE)
;; to convert s-expressions into WAEs
(define (parse-sexpr sexpr)
  (match sexpr
    [(number: n) (Num n)]
    [(symbol: name) (Id name)]
    [(cons 'with more)
     (match sexpr
       [(list 'with (list (symbol: name) named) body)
        (With name (parse-sexpr named) (parse-sexpr body))]
       [else (error 'parse-sexpr "bad `with' syntax in ~s" sexpr)])]
    [(list '+ lhs rhs) (Add (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '- lhs rhs) (Sub (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '* lhs rhs) (Mul (parse-sexpr lhs) (parse-sexpr rhs))]
    [(list '/ lhs rhs) (Div (parse-sexpr lhs) (parse-sexpr rhs))]
    [else (error 'parse-sexpr "bad syntax in ~s" sexpr)]))
```

\* We need to define substitution.

Terms:

1. Binding Instance.
2. Scope.
3. Bound Instance.
4. Free Instance.

\* After lots of attempts:

e[v/i] -- To substitute an identifier 'i' in an expression 'e' with  
an  
expression 'v', replace all instances of 'i' that are free in 'e'  
with  
the expression 'v'.

\* Implemented the code, and again, needed to fix a few bugs:

```
(: subst : WAE Symbol WAE -> WAE)
;; substitutes the second argument with the third argument in the
;; first argument, as per the rules of substitution; the resulting
;; expression contains no free instances of the second argument
(define (subst expr from to)
  (cases expr
    [(Num n) expr]
    [(Add l r) (Add (subst l from to) (subst r from to))]
    [(Sub l r) (Sub (subst l from to) (subst r from to))]
    [(Mul l r) (Mul (subst l from to) (subst r from to))]
    [(Div l r) (Div (subst l from to) (subst r from to))]
    [(Id name) (if (eq? name from) to expr)]
    [(With bound-id named-expr bound-body)
     (With bound-id
       (subst named-expr from to)
       (if (eq? bound-id from)
         bound-body
         (subst bound-body from to))))))
```

(Note that the bugs that we fixed clarify the exact way that our scopes work: in `{with {x 2} {with {x {+ x 2}} x}}`, the scope of the first `x` is: ^^^^^^^)

\* We then extended the AE evaluation rules:

```
eval(...) = ... same as the AE rules ...
eval({with {x E1} E2}) = eval(E2[eval(E1)/x])
eval(id) = error!
```

and noted the possible type problem.

\* The above translated into a Racket definition for an `eval` function (with a hack to avoid the type issue):

```
(: eval : WAE -> Number)
;; evaluates WAE expressions by reducing them to numbers
(define (eval expr)
  (cases expr
    [(Num n) n]
    [(Add l r) (+ (eval l) (eval r))]
    [(Sub l r) (- (eval l) (eval r))]
    [(Mul l r) (* (eval l) (eval r))]
    [(Div l r) (/ (eval l) (eval r))]
    [(With bound-id named-expr bound-body)
     (eval (subst bound-body
                   bound-id
                   (Num (eval named-expr))))])
  [(Id name) (error 'eval "free identifier: ~s" name)])])
```